Investigating Oxidative Addition Mechanisms of Allylic Electrophiles with Low-Valent Ni/Co Catalysts using Electroanalytical and Data Science Techniques

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ABSTRACT: The catalysis by π -allyl-Co/Ni complex has drawn significant attention recently due to its distinct reactivity in reductive Co/Ni catalyzed allylation reactions. Despite significant success in reaction development, the critical oxidative addition mechanism to form the π -allyl-Co/Ni complex remains unclear. Herein, we present a study to investigate this process with four catalysis-relevant complexes: Co(MeBPy)Br₂, Co(MePhen)Br₂, Ni(MeBPy)Br₂, and Ni(MePhen)Br₂. Enabled by a electroanalytical platform, Co(I)/Ni(I) species were found responsible for the oxidative addition of allyl acetate. Kinetic features of different substrates were characterized through linear free-energy relationship (Hammett-type) studies, statistical modeling, and a DFT computational study. In this process, a coordination-ionization type transition state was proposed, sharing a similar feature with a Pd(0)-mediated oxidative addition in Tsuji-Trost reactions. Computational and ligand structural analysis studies support this mechanism, which should provide key information for next generation catalyst development.

Introduction

Transition-metal catalyzed allylation-type reactions are a powerful method to construct new carbon-carbon and carbon-heteroatom bonds.¹ This class of reactions spans from palladium-catalyzed Tsuji-Trost reactions (Scheme 1a)¹ to more recent reductive allylation processes with first-row transition metals (Scheme 1b).²⁻⁴ A common feature in all these transformations is the formation of a π -allyl-metal intermediate. Typically, a low-valent metal species undergoes oxidative addition across an allyl electrophile to generate a π -allyl-metal intermediate. Although this mechanistic event has been extensively studied for Pd(0) systems, ⁵⁻¹¹ a similar mechanistic understanding of the oxidative addition process for Co or Ni systems has not been investigated in detail.²

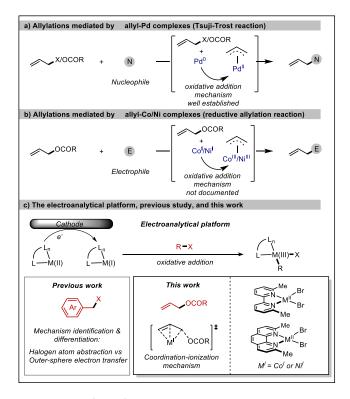
The oxidative addition of Co(I)/Ni(I) species in reductive allylation performs two key functions in catalytic processes. Oxidation addition activates the allyl electrophiles, to provide access to the nucleophilic Co(II)/Ni(II) π -allyl intermediate (after a subsequent redox event). This intermediate then is proposed to engage in additions to various electrophiles. 3c,3e,4e,12,13 Understanding the intimate details of these steps will impact the further development of this emerging reaction class.

In contrast to the efforts in studying these events with Pd(0) complexes, studying the oxidative addition process of low-valent Co(I)/Ni(I) complexes has proven difficult. These complexes are typically unstable and are prone to speciation and disproportionation. Sterically demanding ligands and specialized conditions are often required to stabilize these Co(I)/Ni(I) intermediates. This complexity is also manifested in measuring kinetic data, which is often needed to gain insight into the controlling events that influence catalysis.

To overcome several of these constraints, our groups^{15–17} and others¹⁸ have established an electroanalytical approach to studying oxidative addition processes of low-valent first-row transition metals across activated alkyl halides. Cyclic voltammetry (CV) studies simultaneously generate transient low-valent metal species and allow rapid access to rate data acquisition. This allows the application of physical organic studies, such as Hammett relationships and statistical modeling of the catalyst/substrate kinetics to provide valuable insight into the mechanism of substrate activation. More specifically, we have applied this method to elucidate the oxidative addition mechanisms for various first-row transition metal systems across *benzyl halide* substrates, where either halogen-atom abstraction or outer-sphere electron transfer mechanism was identified to be operating. (Scheme 1c). ^{15b,16}

Herein, we investigated four Co and Ni complexes bearing catalytically relevant ligands (MeBPy, 6,6'-dimethyl-2,2'-bipyridine; MePhen, neocuproine) (Scheme 1c). During this process, we have identified a coordination-ionization type mechanism for activating allyl electrophiles in both Co(I) and Ni(I) systems. A comprehensive kinetic model relating structure to rate for a wide range of both catalysts/substrates was also constructed using multivariate linear-regression analysis. We believe that this mechanistic study could guide the rational design of future Co(I)/Ni(I) catalysis.

Scheme 1. Mechanistic Studies of Oxidative Addition of Allyl Electrophiles



Results and Discussion

Electroanalytical Studies of the $Co(^{Me}BPy)Br_2$, $Co(^{Me}Phen)Br_2$, $Ni(^{Me}Phen)Br_2$, and $Ni(^{Me}Phen)Br_2$ Complexes and their Reactivity with Allyl Acetate. Although different classes of bidentate ligands have been employed in Co/Ni catalyzed reductive allylation reactions, bipyridine- or phenanthroline-type ligands are the most common. $^{3c-d,3g,4b-c,4f-h}$ Thus, we initially focused on these two ligand classes in investigating Co(I)/Ni(I) oxidative addition process.

At the outset, we surveyed the cyclic voltammograms (CVs) of various Co/Ni complexes with phenanthroline and bipyridine-type ligands using acetonitrile solvent with TBAPF₆ as the supporting electrolyte. A considerable challenge in applying these ligands was the speciation of the metal complexes resulting in uninterpretable CVs with overlapping peak responses.¹⁵ We hypothesized that placing methyl groups *ortho* to the nitrogen atoms of the ligands could increase the steric bulk of the metal center and minimize speciation issues through steric shielding of the complexes. Indeed, we found that MeBPy (6,6'-dimethyl-2,2'-bipyridine) and MePhen (neocuproine) were effective ligands and provided quasi-reversible CVs. Particularly, both MeBPy and MePhen have been previously shown to promote Co^{19a-b} or Ni^{4b,19c-g} catalyzed reductive coupling reactions.

Figure 1a–d depict the CVs of *in situ* prepared $Co(^{Me}BPy)Br_2$, $Co(^{Me}Phen)Br_2$, $Ni(^{Me}Phen)Br_2$, and $Ni(^{Me}Phen)Br_2$, measured at scan rates of 0.10 and 0.02 V s⁻¹. The geometry of either $Co^{II}X_2$ or $Ni^{II}X_2$ complex (X = Cl, Br, or I) bearing a bipyridine or phenanthroline-type ligand has been previously defined by crystallography as tetrahedron. A boron-doped diamond electrode with a high overpotential for hydrogen evolution (-1.5 V in H_2O) was used for this analysis. The quasi-reversibility of these complexes allowed us to determine the redox potentials for M(II)/M(I) couples. We did observe an overlap of return peak responses for the $Co(^{Me}BPy)Br_2$

complex (Figure 1a), which could arise from speciation of [Co^I(MeBPy)Br] and [Co^I(MeBPy)Br₂] complexes. Additional voltametric studies of the speciation process are discussed in the supporting information (Section 5).

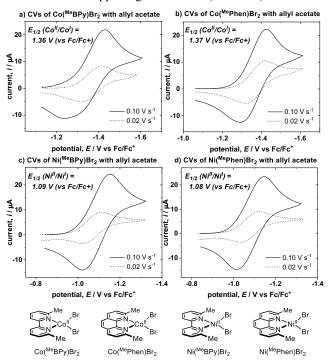


Figure 1. Representative CVs of (a) 1.0 mM CoBr₂ with 1.0 mM $^{\text{Me}}$ BPy ligand, (b) 1.0 mM CoBr₂ with 1.0 mM $^{\text{Me}}$ Phen ligand, (c) 1.0 mM NiBr₂·DME with 1.0 mM $^{\text{Me}}$ BPy ligand, and (d) 1.0 mM NiBr₂·DME with 1.0 mM $^{\text{Me}}$ Phen ligand at scan rates of 0.10 and 0.02 V/s in a 100 mM solution of Bu₄NPF₆ in acetonitrile, using a 0.071 cm² boron-doped diamond working electrode. All CVs are from the first scan.

To probe if these modified complexes are competent catalysts in a prototypical reductive allylation reaction, we conducted bulk electrolysis reactions with allyl acetate and benzaldehyde. Indeed, both cobalt and nickel complexes were able to catalyze the reaction (Table 1, entries 1–4). Control experiments revealed that Co/Ni complexes are necessary to promote the reactions ruling out direct electrolysis as a viable pathway (Table 1, entries 7 and 8). Interestingly, nickel bromide alone effectively catalyzes the reductive allylation, albeit in diminished yields (entry 5).

Table 1. Bulk electrolysis reactions

Electrochemical reaction:			Metal (10%), Ligand (10%) TBABF ₄ (0.1M), DMF (4 ml) OH				
0.2 m	DAC + Ph	. ,	(-) C Zn (+), undivided cell -5 mA, 2.5 h, r.t.				
entry	condition	yield (nmr)		entry	condition	yield (nmr)	
1	NiBr ₂ + ^{Me} BPy	40		5	NiBr ₂ / no lig	29	
2	2 NiBr ₂ + ^{Me} Phen			6	CoBr ₂ / no lig	0	
3	CoBr ₂ + ^{Me} BPy	61		7	MeBPy / no metal	0	
4	4 CoBr ₂ + ^{Me} Phen 60			8	MePhen / no metal	0	
						-	

^aConditions: 0.2 mmol allyl acetate, 1.5 equiv. benzaldehyde, and 0.1 M TBABF₄ in 4 ml DMF, Zn anode, carbon felt cathode, undivided cell, and electrolysis at room

temperature under a constant current of 5 mA for 2.5 h. The metal salt and ligand are specified in the table. Yield was determined by ¹H NMR with trimethoxybenzene as the internal standard.

Next, we investigated the reactivity of a transiently generated Co(I)/Ni(I) complexes with allyl acetate as the substrate using CV. Upon addition of 1.0 and greater equivalents of allyl acetate, the cyclic voltammograms of all four Co/Ni complexes showed partial loss of reversibility (Figure 2a-d): the return peak in each CV decreased in the current while no noticeable change in the forward peak was observed. This is consistent with the interception of electrogenerated Co(I)/Ni(I) species by allyl acetate, indicative of an oxidative addition process. 3g,12b,16-18 Similar reactivity has been previously proposed for Co(I) complexes in stoichiometric studies. 3e,3g However, for the Ni-catalyzed reductive coupling reactions, both Ni(0)/Ni(II)^{4b,4e-h,13} and Ni(I)/Ni(III)^{4c,21} catalytic cycles were proposed to be operative. While not ruling out a Ni(0)/Ni(II) catalytic cycle, our cyclic voltammetry studies indicated that Ni(I)-mediated oxidative addition is a viable pathway for the reductive couplings using the ligands under investigation. As a result, the CV patterns can be interpreted as an EC-type mechanism (electrochemical reduction, 'E,' followed by a chemical step of Co(I) or Ni(I)'s oxidative addition into allyl acetate, 'C,' Figure 2e).

The rate constants for the chemical step in the EC mechanism were determined using peak-ratio analysis, a method established by our previous work^{15–17} and Liu and Diao's recent report.¹⁸ For a detailed discussion on the theoretical foundation as well as derivatization process, we direct readers to the supporting information (Section 2). We found that Ni(I) complexes were twice as reactive as the cobalt complexes (Table 2), although cobalt complexes were more reducing than the nickel complexes (–1.36 V vs –1.09 V). Additionally, the MePhen ligand facilitated the oxidative addition process for Co and Ni compared to MeBPy, despite no significant difference in redox potentials of the MeBPy and MePhen complexes. These observations suggest the oxidative addition rate does not directly depend on the reducing ability of the complex.

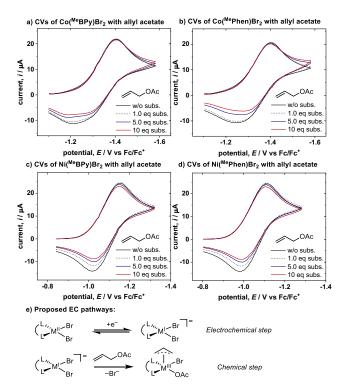


Figure 2. CVs run at varying equivalents of allyl acetate (0, 1.0, 5.0, and 10 equiv.) with (a) 1.0 mM CoBr_2 with $1.0 \text{ mM }^{\text{Me}}\text{BPy}$ ligand, (b) 1.0 mM CoBr_2 with $1.0 \text{ mM }^{\text{Me}}\text{Phen ligand}$, (c) 1.0 mM NiBr₂·DME with $1.0 \text{ mM }^{\text{Me}}\text{BPy}$ ligand, and (d) $1.0 \text{ mM }^{\text{Me}}$ NiBr₂·DME with $1.0 \text{ mM }^{\text{Me}}\text{Phen ligand}$ at the scan rate of 0.10 V/s in a 100 mM solution of $Bu_4\text{NPF}_6$ in acetonitrile, using a 0.071 cm^2 boron-doped diamond working electrode. (e) Proposed EC mechanism. All CVs are from the first scan.

Table 2. Tabulated redox potential, rate constants (with allyl acetate), and derived activation energy^a

Investigated complex	Co(^{Me} BPy)Br ₂	Co(^{Me} Phen)Br₂	Ni(^{Me} BPy)Br ₂	Ni(^{Me} Phen)Br₂
E _{M(I)/M(II)} (V vs Fc/Fc ⁺)	1.36	1.37	1.09	1.08
k _{(M(l)} + allyl acetate) (dm³ mol ⁻¹ s ⁻¹)	5.4	12.6	16.0	24.7
G [‡] (exp) (kcal/mol)	20.6	20.0	19.9	19.6

^aRedox potential $E_{M(I)/M(II)}$ was determined by CV and rate constant $k_{((M(I)+allyl \ acetate)}$ was determined using peakratio analysis. Activation energy (ΔG^{\ddagger}) was calculated by Eyring equation.

Linear Free-Energy Relationship (Hammett-type) Study. To investigate the mechanism of oxidative addition, we conducted a kinetic analysis through a linear free-energy relationship (Hammett-type) study. $^{15-16}$ Substrates bearing different aryl substituents, whose electronic properties can be easily manipulated and characterized by Hammett parameters (σ) , 22 were prepared and classified into 4 different types: (1) C1-substrates bearing different aryl groups on the alkene's terminal position (Figure 3a, substrate 1–12); (2) C2-substrates bearing different aryl groups on the alkene's internal position (Figure 3b, substrate 13–20); (3) C3-substrates bearing different aryl groups on the allylic position (Figure 3c, substrate 21–31); (4) C4-substrates bearing different benzoate groups (Figure 3d, substrate

32–42). The relative rate constants for oxidative addition of Co(I)/Ni(I) complexes across various substrates were measured and the resulting Hammett-type analysis is summarized in Figure 3.

Hammett plots for C1– and C2–substrates (Figures 3a and 3b) display a linear trend with positive ρ values for all complexes,

suggesting a negative charge build-up on the alkenyl positions during the transition state. Electron-deficient alkenes with better π -coordination reacted faster, suggesting precoordination of the Co(I)/Ni(I) complexes to the alkene component during oxidative addition.

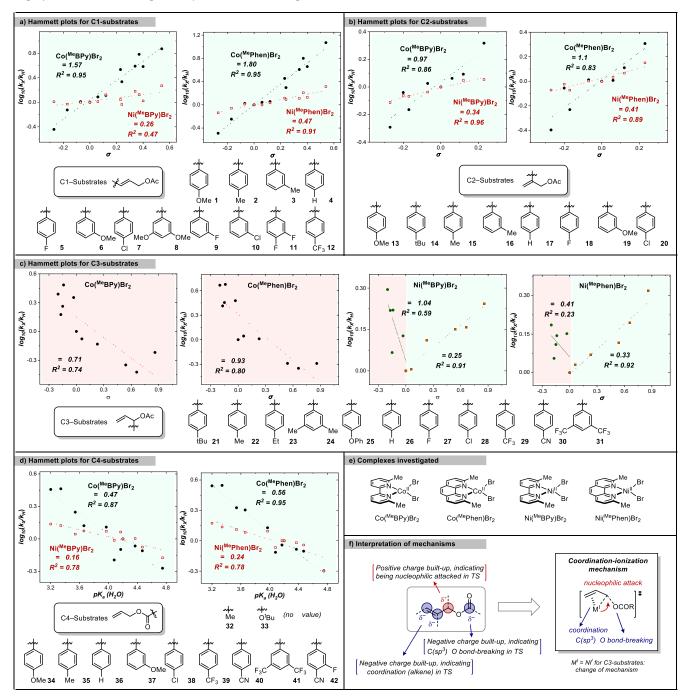


Figure 3. Linear free-energy relationship (Hammett-type) study of oxidative addition reactions with all four Co and Ni complexes: Correlation of σ parameters or pK_a values to kinetic data from (a) C1-substrates (1–12), (b) C2-substrates (13–20), (c) C3-substrates (21–31), and (d) C4-substrates (32–42). (e) Investigated complexes. (f) Interpretation of mechanisms. σ values are from ref. 22, and pK_a values are converted from σ values.

C3–substrates for Co/Ni complexes displayed distinct Hammett patterns (Figure 3c). We observed a negative ρ value for cobalt complexes, indicative of a positive charge build-up on the allylic position during the transition state. This could be

interpreted as a nucleophilic attack²³ of the allylic carbon by Co(I), and a similar trend was previously reported for an Femediated oxidative addition process through a nucleophilic substitution (S_N2) mechanism.²⁴ Intriguingly, a broken

Hammett plot was observed for the nickel complexes where both electron-deficient and electron-rich substrates accelerated the oxidative addition process. We postulated this could indicate a change of mechanism in switching from electronrich (21-25) to electron-poor (27-31) substrates.²⁵ For electron-rich substrates, a negative correlation could be indicative of a nucleophilic attack by Ni(I), consistent with the reactivity of Co(I). A positive correlation, in contrast, is more difficult to interpret. In previous reports^{14b,26} of aryl halide oxidative addition, a positive correlation was interpreted as a three-centered concerted pathway. A similar Hammett trend in alkyl halide activation was used to support a single electron transfer (SET) pathway. 15,16,18 Although not conclusive, we believe the additional radical stabilization of allylic position by the aryl group points towards a SET pathway for the electron-deficient C3-substrates.

Finally, rates for C4–substrate were examined through a correlation of the pK_a value of the conjugate acid of the carboxylate (Figure 3c) to create a Brønsted-type plot. This allowed us to incorporate substrates that lack Hammett parameters (allyl acetate 32 and allyl t-butyl carbonate 33). A negative correlation to pK_a was found for all four complexes, suggesting that a better leaving group ability facilitated the oxidative addition. These results are consistent with the breaking of $C(sp^3)$ —O bond in the transition state, similar to a trend previously observed for a Pd(0)-mediated process.

In summary, a general mechanism initiated by π -coordination of the substrate with Co(I)/Ni(I) complexes (Figure 3e) occurs. Subsequently, the metal attacks the allylic position although a SET pathway is possible for Ni(I) complexes with electron-

deficient C3–substrates (27–31) with concomitant $C(sp^3)$ –O bond-breakage during oxidative addition. Taken together, a general coordination-ionization type transition state is proposed (depicted in Figure 3f). This is a similar mechanism to those proposed for $Pd(0)^{5,7}$ or $Ni(0)^{27}$ mediated processes in Tsuji-Trost reactions. Significant mechanistic contrast has been reported for the oxidative addition of organic substrates between Co(I)/Ni(I) and Pd(0)/Ni(0) systems.²⁸ Therefore, it is intriguing that our study corroborates shared features in these two systems for the oxidative addition of allyl esters.

Parameterization of Substrates and Statistical Modeling of Kinetic Data. In order to integrate and compare the rate profiling more effectively, we applied multivariate linearregression (MLR) analysis.²⁹ The MLR workflow has two essential components: (1) acquisition of rate data from a diverse set of substrates, and (2) extraction of structural properties that can be described by molecular parameters. Statistical models with a correlation between rates and these parameters can then be constructed and utilized to interpret mechanism. Thus, we combined all the measured rate data from C1, C2, C3, and C4-substrate classes using the two different ligands with each metal source to build a statistical model. For molecular descriptors, we chose the natural bond orbital (NBO) charges of the core carbons of the allylic substrate and the pKa (acidity of carboxylate, experimental values), which might describe the transition state features identified in Hammett-type studies. To utilize the two different ligands, a binary classifier was included.

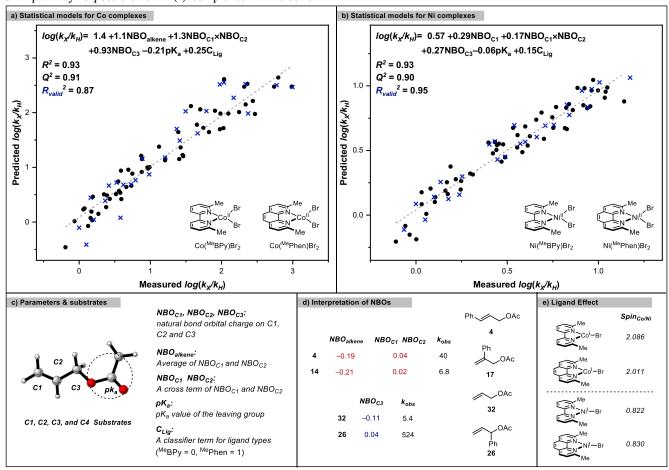


Figure 4. Multivariate linear regression analysis of substrates' kinetic data for (a) two cobalt complexes and (b) two nickel complexes with a pseudo-random 70:30 split into training/validation data set (black circle: training set, blue cross: validation set). (c) Description of substrate parameters and classes used in the models. (d) Interpretation of NBO parameters using k_{obs} from Co(MeBPy) to exemplify. (e) A rational for observed ligand effect using SpinCo/Ni (Spin density on the Co^I or Ni^I center).

A pseudo-random split of the data into training and validation sets (70:30 split) was performed on the entire data set for both metals (84 rates for Co and 83 for Ni). Utilizing the parameters mentioned above, forward stepwise linear regression analysis was performed incorporating individual descriptors as well as cross-terms. Robust models were found for both Co and Ni with excellent internal and external validation statistics (R^2, Q^2) (leave-one-out validation coefficient), and R_{valid}^2 are >0.85 in each model, depicted in Figure 4a and 4b). Specifically, five parameters (Figure 4c) were found to correlate: (1) NBOalkene (in Co model): the average of the NBO charge on both alkenyl carbons (C1 and C2), or NBO_{CI} (in Ni model): NBO charge on C1 position; (2) $NBO_{C1} \times NBO_{C2}$: a cross-term of the NBO_{C1} and NBO_{C2}; (3) NBO_{C3} : NBO charge on allylic position (4) pK_a : the experimental value of the carboxylates; (5) C_{Lig} : a classifier term for ligands (MeBPy as 0, MePhen as 1). The correlation with the two alkene and one allylic NBO terms indicate that electron density on the alkenyl and allylic positions significantly impacts the observed rate. We reasoned that the alkenyl carbons with less electron density increase the rate indicates a pre-coordination with metal complex. The charge stabilization on the allylic position, characterized by NBO_{C3} , on the other hand, indicates a nucleophilic attack by metal. As tabulated in Figure 4d, substrate 4 with a larger value in either parameter is more reactive than 17. Substrate 26 is more reactive than 32 because 26 has a larger NBO charge on the allylic position. In addition, the negative coefficient associated with the pK_a parameter suggests that the oxidative addition is facilitated by the feasibility of the C-O bond-breaking process. Lastly, MePhen's rates are generally faster than MeBPy as quantified by the C_{Lig} term. For rationalization of this ligand effect, we provide a hypothesis using DFT-derived features. The MePhen complex has a larger spin density on the metal than the MeBPy complex, which could result in a more reactive metal center and thus faster oxidative addition (Figure 4e).

Aligned with our Hammett-type studies, the statistical models support a coordination-ionization type transition state. However, the apparent change of mechanism, observed for C3-substrates in Ni's Hammett plots, is not captured in the MLR models.

Kinetic and Synthetic Studies on methylated allylic substrates. Further validation of the coordination-ionization type mechanism was obtained by measuring the rate constants of different methyl substituted allyl acetates. These types of substrates have proven beneficial to uncovering the mechanism for the Pd(0)-catalyzed Tsuji-Trost reactions, which share a similar proposed mechanism with ours. As summarized in Table 3, addition of a methyl group on the C1 or C2 alkenyl position slowed the oxidative addition rates while C3 substituted allyl chlorides showed enhanced rates. Bb

To explore a similar analysis, we prepared a series of allyl ester substrates 39, 43–48 bearing methyl substituents on different positions (both alkenyl and allylic) and measured their rate constants with all four Co(I) and Ni(I) complexes (Table 3). Compared to substrate 39, methyl substituents decreased the rate when on the alkenyl positions (43–46) but increased the rate when appended to the allylic positions

(47-48). This is in accordance with the rate trends observed with Pd(0) and thus further supports a coordination-ionization mechanism for the Co(I)/Ni(I) systems. Specifically, methyl substituents on the alkenyl carbons slow the coordination to the metal while methyl groups on the allylic position stabilize the resultant positive charge build-up during the metal's attack possibly with an S_N1 feature.

Table 3. Methyl Effect for a previously reported Pd(0) system^{8b} and the Co(I)/Ni(I) systems

k _{rel}	Pd-C	k _{rel}	Co(^{Me} BPy)Br ₂	Ni(^{Me} BPy)Br ₂
CI	1	OCOAr 39	1	1
Me CI	0.32	Me OCOAr	n.r.ª	n.r.ª
Me CI	n.d.	OCOAr Me 44	n.r.ª	n.r.ª
Me CI	0.13	Me OCOAr	0.21 0.03	0.28 0.01
Me	0.22	Me OCOAr 46	0.14 0.01	0.67 0.01
CI Me	1.5	OCOAr Me 47	1.4 0.04	1.9 0.01
Me Me	n.d.	OCOAr Me Me 48	3.0 0.10	4.5 0.10
Metal coc electron sterically		Methyl Effect Me Methyl Effect Garding effect facilitating e	Metal's	─M S _N 1 attack has 1 feature

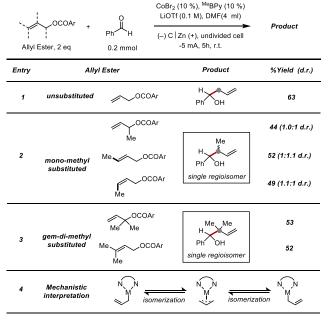
^an.r., no reaction observed. Error bars were calculated on duplicated measurements. The Co or Ni(^{Me}Phen) systems show similarity with ^{Me}BPy systems, and are reported in the supporting information (Section 7). Ar = 4-CF₃-phenyl.

The similarity between Pd(0) and Co(I)/Ni(I) systems was also observed in catalytic coupling reactions. π -allyl-Pd complexes have previously been shown to rapidly isomerize and generate the same isomeric products irrespective of the substitution pattern/geometry of the starting material (depicted in Figure 5).

Figure 5. Isomerization of π -allyl-Pd complex leading to same ratio of regioisomers from different substrates (ref.10b). N = nucleophile.

To determine if this pattern of reactivity is also shared with Co(I)/Ni(I) systems, we treated methylated substrates (39, 43–45 and 47–48) with benzaldehyde as the electrophile using modified conditions from those described above. As depicted in Table 4, $Co(^{Me}BPy)Br_2$ catalyzed reactions were achieved with fair to good yields (44–63%) of products but poor diastereoselectivity (entry 2). Interestingly, isomeric allyl esters in entries 2 and 3 produced the same single regioisomers indicating isomerization of the π -allyl-metal species prior to the coupling event (Entry 4). Similar trends were observed for $Ni(^{Me}BPy)Br_2$ catalyzed reactions (see supporting information, section 12). In both entries 2 and 3, the newly formed bond was at the more substituted carbon, consistent with several previous reports on reductive Co/Ni catalyzed allylation reactions. $^{3c-e,4a,30}$

Table 4. Electrochemical control experiments



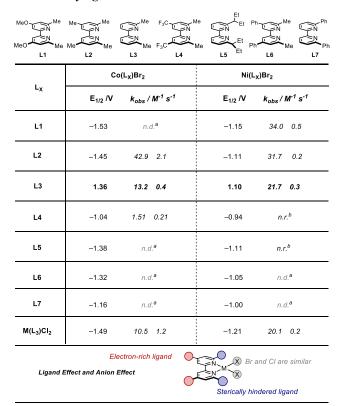
^aConditions: 0.2 mmol benzaldehyde, 2.0 equiv. allyl esters specified in the table, 0.02 mmol CoBr₂, 0.02 mmol $^{\text{Me}}$ BPy, and 0.1 M LiOTf in 4 ml DMF, Zn anode, carbon felt cathode, undivided cell. Electrolysis at room temperature under a constant current of 5 mA for 5 h. Yield was determined by isolation. Ar = 4-CF₃-phenyl.

Evaluating Electronic and Steric Effects of Co/Ni Complexes. To better understand how ligand variation impacts oxidative addition rates, we prepared several Co or Ni complexes bearing electronically and sterically demanding bipyridine-type ligands (L1–L7) and phenanthroline-type ligands (L8–L13).

For bipyridine-type ligands, L1–L4 possess different electronic properties (from electron-rich to electron-poor), while L5 has sterically hindered substituents on the 6,6°-positions. L6 and L7 bear phenyl substituents on either *ortho* or *para* positions. The redox potential and rate constant (with substrate 39) for each complex were determined (Table 5). The CVs of Co(L₁)Br₂, Co(L₅)Br₂, Co(L₆)Br₂, Co(L₇)Br₂, Ni(L₆)Br₂, and Ni(L₇)Br₂ showed complex cyclic voltammetry behavior and have therefore been excluded from this analysis (see supporting information, section 11). The remainder of the

complexes gave interpretable CVs, although oxidative addition was too slow to be measured with either Ni(L₄)Br₂ or Ni(L₅)Br₂ complexes. Generally, the oxidative addition process is typically faster for both Co and Ni complexes bearing electron-donating ligands consistent with the redox potentials of these complexes. While ligand size is difficult to quantify for the cobalt complexes, nickel complex Ni(L₅)Br₂, which contains bulkier 6,6'-substituents, significantly slowed the rate of oxidative addition presumably due to hindered interaction of the substrate. Moreover, the chlorinated and brominated complexes (Co(L₃)Cl₂ and Co(L₃)Br₂, or Ni(L₃)Cl₂ and Ni(L₃)Br₂) give similar rates although the chlorinated complex has a more negative redox potential. Though an investigation on oxidative addition by complexes bearing phenyl-substituted ligands (L6 and L7) was difficult, we found these complexes possess less negative redox potentials, which may further slow oxidative addition.

Table 5. BPy ligands' electronic and steric effects.



Kinetic rate constants (with **39**) and redox potentials (referenced to Fc/Fc⁺) were measured and compared between nickel and cobalt complexes bearing different ligands. ^aRate constants not determined due to uninterpretable CVs. ^bNo observation of reactivity. Error bars were calculated on duplicated measurements.

For phenathroline-type ligands, L8–L11 possess different electronic properties (from electron-rich to electron-poor), while L11 has hindered substituents (n-butyl). L12 and L13 bear phenyl substituents on either *ortho* or *para* positions (to the nitrogen atoms). In a similar manner as above, the electrochemical properties and oxidative addition rate constants were determined and tabulated in Table 6. Overall, the electronic effect of Co-promoted oxidative addition was difficult to analyze due to uninterpretable CVs of both Co(L₈)Br₂ and Co(L₁₀)Br₂ (see supporting information, section

11). However, for Ni complexes, an electron-rich ligand (L8) was found to facilitate oxidative addition while an electron-poor ligand (L10) slowed the process. Interestingly, the opposite effect was observed for Co and Ni when using a sterically demanding ligand (L9 vs L11) wherein an increase in rate is observed using Co compared to a slowing in rate for the Ni species. The oxidative addition were also studied for chlorinated complexes (M(L9)Cl2), which show a different rate from the brominated complexes (M(L9)Br2). Moreover, for both Co and Ni, complexes bearing para-phenylated ligands (L9 vs L12) possess less negative redox potentials and slow oxidative addition. However, complexes bearing L13 with less negative redox potentials (vs L9) were not compatible with rate analysis due to uninterpretable CVs.

Table 6. Phen ligands' electronic and steric effects.

MeO L8	Me Me Me	CI N Me	N N N N Bu		Me Ph	
	Co(L _X)Br ₂			Ni(L _X)Br ₂		
L _X	E _{1/2} /V	k _{obs} / M ⁻¹ s ⁻¹		E _{1/2} /V	k _{obs} / M ⁻¹ s ⁻¹	
L8	-1.52	n.d.ª		-1.14	43.8 0.8	
L9	1.37	41.7 2.0		1.08	51.2 0.7	
L10	-1.28	n.d.ª		-1.02	43.8 0.8	
L11	-1.40	73.9 3.4		-1.06	6.52 0.66	
L12	-1.35	36.2 0.8		-1.08	32.9 0.4	
L13	-1.31	n.d.ª		-0.95	n.d.ª	
M(L ₉)Cl ₂	-1.50	17.5 5.9		-1.20	76.3 0.1	
Electron-rich ligand (Ni) Ligand Effect and Anion Effect Sterically hindered ligand (Co), (Ni)						

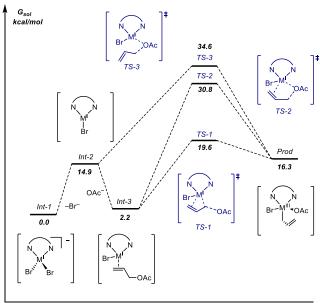
Kinetic rate constants (with **39**) and redox potentials (referenced to Fc/Fc⁺) were measured and compared between nickel and cobalt complexes bearing different ligands. ^aRate constants not determined due to uninterpretable CVs. Error bars were calculated on duplicated measurements.

Calculation. To corroborate **Transition** State experimental and statistical modeling results, density functional theory (DFT) transition state analysis was performed. Aside from seeking a means to visualize the key intermediates, a goal was to survey the possibility of a radicaltype pathway, which has been proposed in other Co(I)/Ni(I) systems (e.g., oxidative addition into alkyl halides).²⁸ An initial search of transition state geometries with allyl acetate was conducted and three different plausible pathways were located (Figure 6a). These transition states were categorized as (1) a coordination-ionization pathway (TS-1), (2) a coordinated radical-type pathway (TS-2), and (3) a noncoordinated radical-type pathway (TS-3). The Co and Ni complexes show similar patterns in the transition states (see supporting information, section 10) and here we used the Co(MeBPy) complex as an example.

As shown in Figure 6b, the geometry of the coordination-ionization transition state (TS-1) shared similar features with Pd(0) systems reported previously. First, the distance between the metal and alkene (2.28 Å for Co–C_{alkenyl} in Co($^{\text{Me}}$ BPy) complex) shows a bonding interaction. Additionally, a bond is being formed between the metal and allylic carbon (2.20 Å for Co–C_{allylic} in Co($^{\text{Me}}$ BPy) complex) with concomitant bond dissociation between the allylic carbon and the acetate (2.73 Å for C_{allylic}–O_{acetate} in Co($^{\text{Me}}$ BPy) complex).

TS-2 and TS-3 (Figures 6b) show the radical abstraction of the acetate group by the metal. However, TS-2 also involves alkene coordination with the metal. Additionally, the trigonal-planer geometry (bond angles specified in Figure 6b for TS-2 and 3) of the allylic carbon supports the radical being generated at this position.

(a) Energy diagram for Co(MeBPy) complex



b) Transition state geometries for Co(^{Me}BPy) complex

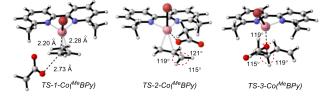


Figure 6. (a) Energy diagrams and (b) Geometries of transition states for Co(MeBPy) complex to exemplify. Transition state geometries and energies for other complexes are reported in supporting information (section 10).

Benchmark studies were conducted where we surveyed a combination of functionals and basis sets to calculate the activation free energy (see supporting information, section 10). In this process, three intermdiates were considered and their energies was tabulated in Figure 7a (Int-1: reduced M(I) complex, Int-2: Int-1 with a loss of bromide anion, and Int-3: Int-2 with coordination of allyl acetate). The (U)BP86-D3/DEF2-TZVPP, SMD(ACN) level of theory was found optimal since it matches the experimental derived activation

energy (Table 7). Through the comparison of the ΔG^{\ddagger} values, TS-2 and TS-3 are >6 kcal/mol higher than TS-1, which further supports the coordination-ionization pathway (TS-1) as the operating mechanism. This is consistent with both the experimental evidence derived from the Hammett and statistical modeling studies.

Table 7. Experiment and computation-derived energies

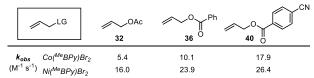
(kcal/mol)	Co(^{Me} BPy)	Co(^{Me} Phen)	Ni(^{Me} BPy)	Ni(^{Me} Phen)
G [‡] (exp.)	20.6	20.0	19.9	19.6
G [‡] (TS-1)	19.6	21.1	17.3	18.8
G [‡] (TS-2)	30.8	31.0	25.6	25.5
G [‡] (TS-3)	34.6	36.4	32.0	32.0

All energies are calculated at room temperature (298 K).

Implications of the Kinetic Analysis on Catalysis. Elucidating the kinetics of Co(I)/Ni(I) mediated oxidative addition has several implications in identifying the substrate/catalysts selection for the desired transformation. First, the mechanistic understanding provides a direct rationale between the pK_a of the leaving group and the rate of oxidative addition (Figure 3d, and 7a). Moreover, our study demonstrate that C1 or C3 substituted allyllic electrophiles generate the same regioisomeric products irrespective of the substituents pattern (Table 4). However, the rate of oxidative addition for C1 and C3 substrates are different (e.g., 4 and 26, 45 and 47, Figure 7a), with C3 substituents reacting significantly faster than the C1 substituted allyl electrophiles. Therefore, if a faster oxidative addition rate is desired, substrates with a better leaving group and C3-substitution pattern should be utilized.

Although our study demonstrates that the oxidative addition mechanisms in Co and Ni systems are similar, we also noted a few differences. As depicted in Figure 7b, Co systems have a wider rate window than two Ni systems suggesting that the nickel complexes are relatively less sensitive to substrates' electronics (e.g., C3 and C4 substrates). Moreover, Mephen ligand is typically more reactive than MeBPy ligand. Therefore, if a faster oxidative addition rate is preferred, Ni(MePhen) could be utilized for the C4–substrates, while Co(MePhen) could be beneficial for the C3–substrates. Furthermore, evaluation of ligand features indicate a faster oxidative addition that uses an electron-rich ligand with less sterics (Table 5).

(a) Kinetic effect by substrates



OAc	Ph 4 OAc	Ph 26 OAc	Me45 OAc	Me 47 OAc
k _{obs} Co(^{Me} BPy)Br	r ₂ 40.4	524	3.7	24.8
(M ⁻¹ s ⁻¹) Ni(^{Me} BPy)Br	43.3	54.4	6.5	45.0

(b) Kinetic effect by catalysts

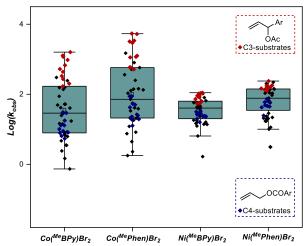


Figure 7. Kinetic effect by (a) substrates and (b) catalysts.

Conclusion

In this study, we present a mechanistic investigation of a catalytically relevant Co(I)/Ni(I) mediated oxidative addition process. The reactivity was initially surveyed by cyclic voltammetry and bulk electrolysis reactions. Kinetics were investigated through Hammett-type, statistical modeling, and DFT studies. These studies led to the hypothesis of a coordination-ionization type mechanism similar to what was proposed for Pd(0)-mediated oxidative addition in Tsuji-Trost reactions. A kinetic study of the substituted allylic substrates further solidified the similarity between Co(I)/Ni(I) and Pd(0) systems. Additionally, through comparison of oxidative addition rates of different Co/Ni complexes, ligand electronic/steric effects were investigated. We envision the mechanistic insight gained in this study should guide the design of new catalysis, which will be reported in due course.

ASSOCIATED CONTENT

Data Availability Statement

The data that support the findings in this work are available within the paper and Supporting Information.

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website.

Materials and methods, experimental cyclic voltammograms and kinetic data, parameters used in the modeling, bulk electrolysis conditions and results, computational details, characterization data and spectra for synthesizing metal complexes, ligands and substrates, and coordinates of DFT-optimized structures. (PDF)

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Notes

The authors declare no competing financial interest.

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