

1 **CR-I-TASSER: Assemble Protein Structures from Cryo-EM**  
2 **Density Maps using Deep Convolutional Neural Networks**

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## ABSTRACT

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Cryo-electron microscopy (cryo-EM) has become a leading approach for protein structure determination, but it remains challenging to accurately model atomic structures with cryo-EM density maps. We propose a hybrid method, CR-I-TASSER, which integrates deep neural-network learning with cutting-edge I-TASSER assembly simulations for automated cryo-EM structure determination. The method is benchmarked on 778 proteins with simulated and experimental density maps, where CR-I-TASSER constructs models with a correct fold (TM-score>0.5) for 643 targets that is 64% higher than the best of other *de novo* and refinement-based approaches on high-resolution data samples. Detailed data analyses showed that the major advantage of CR-I-TASSER lies in the deep-learning based  $C\alpha$  position prediction, which significantly improves the threading template quality and therefore boosts the accuracy of final models through optimized fragment assembly simulations. These results demonstrate a new avenue to determine cryo-EM protein structures with unprecedented accuracy and robustness covering various target types and density-map resolutions.

## 42 INTRODUCTION

43 Protein 3D structure determination is crucial for understanding their biological  
44 functions. Over the past decades, nuclear magnetic resonance (NMR) spectroscopy<sup>1</sup>, X-  
45 ray crystallography<sup>2</sup> and electron microscopy (EM)<sup>3</sup> have been widely employed to  
46 obtain protein structures. However, NMR can only be conducted to relatively small  
47 proteins, whereas X-ray crystallography is often constrained by the difficulty of protein  
48 crystallization<sup>4</sup>. Although EM can overcome some of these limitations, it can bring  
49 samples with damage due to high-energy radiation, or cause a low signal-to-noise ratio  
50 when very low electron doses are used<sup>5</sup>. The idea of cryogenic electron microscopy  
51 (cryo-EM) was first proposed in the 1980s to reduce sample damage through frozen  
52 specimens<sup>6</sup>. Over the last decade, various theoretical and technological innovations have  
53 been brought out, including single particle analysis and direct electron detection cameras<sup>5</sup>,  
54 <sup>7, 8</sup>, which have made cryo-EM a practical means for probing protein structures without  
55 crystallization (X-ray) or size limitations (NMR). However, the success rate of cryo-EM  
56 is low with low-resolution density map data and more than half of cryo-EM samples in  
57 the EMDataResource have no atomic structure determined<sup>9</sup>.

58 To help cryo-EM structure determination, a variety of computational structure  
59 modeling methods have been recently proposed, which can be generally categorized into  
60 two groups. The first group of approaches, such as Rosetta-Ref<sup>10</sup>, Flex-EM<sup>11</sup>, iMODFIT<sup>12</sup>,  
61 MDFFF<sup>13</sup>, Situs<sup>14</sup> and EM-Refiner<sup>15</sup>, are built on structure refinement guided by  
62 correlations between the atomic model and cryo-EM maps. Despite the relative  
63 simplicity, most of the refinement programs require predefined model and map  
64 superposition, and the success rate critically depends on the quality of initial models and  
65 the superposition. The second group is referred to as ‘*de novo*’ modeling which  
66 constructs models from sequence and density map alone. One such example is Rosetta *de*  
67 *novo* (Rosetta-dn)<sup>16, 17</sup> which creates the initial model from a density map followed by  
68 RosettaES<sup>17</sup> beam growing and Rosetta folding refinement. Another example is  
69 MAINMAST<sup>18</sup> which constructs initial backbone models from local dense points and  
70 then refines the models with the MDFFF program<sup>13</sup>. Although these *de novo* approaches  
71 are capable of creating models from density maps alone, their success is highly sensitive  
72 to the resolution level of density maps. Additionally, methods such as MAINMAST

73 requests for manual tuning and combination of multiple parameter-sets, rendering the  
74 programs less convenient to be automatedly implemented.

75 We present a new hybrid pipeline, CR-I-TASSER (**CR**yo-EM **I**terative **T**hreading  
76 **AS**SEmbly **R**efinement), for fully automated protein structure determination. While it is  
77 a *de novo* type approach in terms of creating models from sequence and density maps  
78 alone, CR-I-TASSER does utilize multithreading algorithms to identify homologous and  
79 analogous templates from the PDB to facilitate structural assembly. Considering that  
80 most of the traditional *de novo* and refinement-based approaches rely on model-map  
81 correlations, the information of which is less specific when the map resolution is low, we  
82 extend deep residual convolutional neural networks (CNN)<sup>19</sup> to create high-accuracy *C* $\alpha$   
83 atom trace models from density-map samples, which can significantly improve the  
84 threading template quality. In addition, the deep-learning boosted threading models are  
85 further assembled with cutting-edge I-TASSER folding simulations, under the guidance  
86 of specific CNN models and the highly optimized I-TASSER knowledge-based force  
87 field<sup>20</sup>. Our large-scale benchmark tests show a significant advantage of CR-I-TASSER  
88 over the traditional *de novo* and refinement-based approaches in assembling atomic cryo-  
89 EM protein structures. The online server and standalone package of CR-I-TASSER have  
90 been made publicly available at <https://zhanggroup.org/CR-I-TASSER/>.

91

## 92 **RESULTS**

93 CR-I-TASSER is a hybrid method to determine atomic-level protein structures from  
94 cryo-EM density maps. As outlined in Fig. 1, CR-I-TASSER starts with the creation of a  
95 sequence-order independent *C* $\alpha$  conformation by deep convolutional neural network (3D-  
96 CNN) training from density maps. The *C* $\alpha$  conformation is then used to improve the  
97 threading templates created by LOMETS<sup>21</sup>, for which multiple heuristic iteration  
98 algorithms are designed to match the query and template sequences with the *C* $\alpha$   
99 conformation for template reselection and *C* $\alpha$  trace regeneration. Finally, the iterative  
100 threading assembly refinement method (I-TASSER<sup>20</sup>) is extended to assembly atomic  
101 structure models under the guidance of both cryo-EM density map correlation and deep-  
102 learning boosted template restraints. Here, although CR-I-TASSER is built on I-TASSER  
103 and LOMETS<sup>21</sup>, the development of new deep-learning approach to cryo-EM based *C* $\alpha$

104 atom prediction and the integration of sequence-order independent  $C\alpha$  models with  
105 advanced structure assembly methods represent the major novelty of the pipeline.  
106 Although there were prior efforts in applying deep-learning techniques to extract  
107 structural information from cryo-EM density maps<sup>22, 23</sup>, CR-I-TASSER marks the first  
108 pipeline utilizing sequence-order independent  $C\alpha$  positions to improve threading  
109 alignments and regenerate order-dependent  $C\alpha$  trace models, so that the deep-learning  
110 derived cryo-EM models can be directly used for guiding atomic-level structural  
111 assembly simulations. See Supplementary Text 1 for details of CR-I-TASSER datasets.

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### 113 **Density-map based $C\alpha$ significantly improve template quality**

114 A key component of CR-I-TASSER is the deep neural-network based  $C\alpha$  atom  
115 prediction from cryo-EM density maps, which is used to guide both template  
116 regeneration and structure folding simulations. Since the predicted  $C\alpha$  atoms from 3D-  
117 CNN do not have indexes, we define CRscore to estimate the similarity between the  
118 predicted  $C\alpha$  atoms and the native structure by

119 
$$\text{CRscore} = \frac{1}{L} \sum_i \frac{1}{1 + \left(\frac{d_{i,j}}{d_0}\right)^2} \quad (1)$$

120 where  $L$  is the target length.  $d_{ij}$  is the distance between  $i$ th atom in the 3D-CNN model  
121 and  $j$ th atom in the native structure, where the  $i$ - $j$  correspondence is established by a  
122 greedy method selecting the non-redundant  $i$ - $j$  pairs of the shortest distance (see  
123 Supplementary Text 2).  $d_0 = 1.24\sqrt[3]{N-15} - 1.8$  is a distance scale taken from TM-score to  
124 rule out length dependence<sup>24</sup>. Here, the index information (and index connectivity) of  
125 both structures is completely ignored when computing CRscore since we establish the  $i$ - $j$   
126 correspondence by using their coordinate information only (see Supplementary Text 2).

127 In Supplementary Fig. 1a, we list the average CRscore of 3D-CNN models on the 530  
128 test proteins in different resolution ranges. The average CRscore is  $>0.95$  when the  
129 resolution is high ( $<5$  Å), but slightly decreases when the resolution becomes lower ( $>10$   
130 Å). This is consistent with the trend of RMSD shown in Supplementary Fig. 1b, which is  
131 around 2-3 Å for high-resolution density maps but rises to 3-5 Å for low-resolution maps.  
132 As a comparison, we employ an established algorithm, MAINMAST, which can generate

133  $C\alpha$  locations from the density map. In addition, we create  $C\alpha$  atom models by a naïve  
134 greedy procedure which picks  $C\alpha$  atom positions of the highest density values not in an  
135 excluded volume (see Supplementary Text 3). As shown in Supplementary Fig. 1, the  
136 average CRscore and RMSD from our 3D-CNN  $C\alpha$  models are considerably better than  
137 MAINMAST and the naïve greedy procedure when resolution is high to medium (1-8 Å),  
138 and they become much better as the resolution drops, demonstrating the efficiency of  
139 deep-learning training process for  $C\alpha$  position prediction.

140 Using the 3D-CNN models, CR-I-TASSER creates two types of templates by either  
141 density-map based template reselection or  $C\alpha$  trace regeneration, followed by score re-  
142 ranking. In Supplementary Table 2, we compare TM-scores of the templates from  
143 LOMETS with those after 3D-CNN based refinement, where TM-score is a metric  
144 defined to assess structural similarity of two structures, which has values ranged in (0,1]  
145 with a higher value indicating closer similarity<sup>24</sup> (see Supplementary Text 4 for a more  
146 detailed description of TM-score). In general, 3D-CNN makes the largest improvement  
147 for Hard targets in which  $C\alpha$  traces deduced from 3D-CNN models have a significantly  
148 higher TM-score (0.690 and 0.527 with high- and low-resolution density maps  
149 respectively) than that of the original LOMETS (0.283). Combining both Easy and Hard  
150 targets, the TM-score of the first models by 3D-CNN (0.707) is 45% higher than that by  
151 the original LOMETS (0.487), which corresponds to a  $p$ -value= $1.3 \times 10^{-174}$  in the  
152 Student's t-test, showing that the template quality improvement brought by 3D-CNN is  
153 statistically highly significant.

154

### 155 **CR-I-TASSER on high-resolution simulated density maps**

156 To examine the efficiency of the CR-I-TASSER pipeline, we first apply it to the 301  
157 Hard targets from our benchmark set that lack homologous templates in the PDB.  
158 Overall, CR-I-TASSER creates models with average TM-score=0.772 and RMSD=4.4 Å.  
159 If we count the targets with TM-score >0.5, which corresponds to a model with correct  
160 fold<sup>25</sup>, CR-I-TASSER creates correct folds for 251 targets, which is 9.3 times of that  
161 obtained by I-TASSER (=27, see Table 1), showing the significant impact of cryo-EM  
162 density maps on I-TASSER based structure modeling.

163 As a comparison, we list in Table 1 (Rows 9-11) the results from three *de novo*  
164 programs, MAINMAST<sup>18</sup>, Rosetta-dn<sup>16, 17</sup> and Phenix<sup>26</sup>, which create models from the  
165 same set of density map data (see Supplementary Texts 5-7 for setting). It shows that CR-  
166 I-TASSER outperforms these programs significantly with the average TM-score 76%  
167 higher than MAINMAST (0.438), 84% higher than Rosetta-dn (0.419), and 66% higher  
168 than Phenix (0.466). In Figs. 2b-d, we present a head-to-head TM-score comparison of  
169 CR-I-TASSER with the three control programs, where CR-I-TASSER has a higher TM-  
170 score in 259/270/252 cases than MAINMAST/Rosetta-dn/Phenix and the latter does so  
171 only in 42/31/49 cases. In Figs. 2e-i, we also list the modeling results by five start-of-the-  
172 art cryo-EM refinement programs from Flex-EM<sup>11</sup>, iMODFIT<sup>12</sup>, MDFP<sup>13</sup>, EM-Refiner<sup>15</sup>  
173 and Rosetta-Ref<sup>10</sup>, which start with the I-TASSER models after superposition of the  
174 density maps using Situs<sup>14</sup> (see Supplementary Texts 8-12). Overall, the refinement  
175 programs do not work well for the Hard targets, where their TM-scores are even lower  
176 than that of the initial I-TASSER models, probably due to the poor quality of the initial I-  
177 TASSER models for the Hard proteins that have an average TM-score of 0.345. This  
178 result is consistent with a previous observation<sup>15</sup>, which showed that the correlation  
179 between model quality and model-to-density correlation coefficient (CC) vanishes when  
180 the TM-score of the initial models <0.5, and therefore there is no sufficient CC gradient  
181 to guide the programs for refining structures. We also benchmarked CR-I-TASSER on  
182 229 Easy targets, where it outperforms other control groups with a significantly higher  
183 TM-score (0.949;  $p < 10^{-20}$  in all cases, Student's t-test). Details can be found in  
184 Supplementary Text 13.

185 In addition to the global structure quality listed in Table 1, we also calculate the local  
186 structure scores, including clashes and Molprobity<sup>27</sup>, in Supplementary Table 3. CR-I-  
187 TASSER achieves the second-best clash and Molprobity scores following Rosetta-Ref,  
188 indicating that the CR-I-TASSER models have a reasonable local structure quality.  
189 Moreover, we demonstrated the improvement of template quality plays an critically  
190 important role in CR-I-TASSER structure assembly (Supplementary Text 14), and  
191 benchmarked CR-I-TASSER under Gaussian noises added by Xmipp<sup>28</sup> (see  
192 Supplementary Texts 15 and 16 for details). Furthermore, in Supplementary Fig. 3, we  
193 present an illustrative example from polyomavirus VP1 pentamer protein (PDB ID: 1vps-

194 A), which demonstrated that the template regeneration process can create high-quality  
195 templates from the 3D-CNN  $C\alpha$  traces and result in much improved full-length structure  
196 models, even though the initial threading templates are completely incorrect (see  
197 Supplementary Text 17 for details).

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### 201 **CR-I-TASSER on low-resolution simulated density maps**

202 While cryo-EM experiments are now achieving increasingly good resolutions, it is still  
203 of importance to model structures from medium- and low-resolution density maps,  
204 especially for the molecules with high flexibility or conformational/compositional  
205 heterogeneity<sup>5</sup>. In Table 1 (Rows 25-34), we examine the performance of CR-I-TASSER  
206 on the 301 Hard proteins with resolution ranging from 5 to 15 Å. Compared to the  
207 models with high-resolution density maps (2-5 Å), the overall performance of CR-I-  
208 TASSER is reduced in the low-resolution with an average TM-score=0.597; this is  
209 mainly due to the reduction of the 3D-CNN  $C\alpha$  model quality with lower map resolution,  
210 as shown in Supplementary Fig. 1. Nevertheless, the TM-score of CR-I-TASSER is  
211 significantly higher than the *de novo* programs by MAINMAST (0.204), Rosetta-dn  
212 (0.201) and Phenix (0.180), as well as the refinement programs by Flex-EM (0.303),  
213 iMODFIT (0.316), MDFF (0.319), EM-Refiner (0.305) and Rosetta-Ref (0.268). A  
214 similar trend can be found on the 229 Easy targets as summarized in Table 1 (Rows 36-  
215 47); see Supplementary Text 18 for details.

216 In Supplementary Figs. 4a-b, we list a head-to-head TM-score comparison of CR-I-  
217 TASSER with the best *de novo* and refinement programs, where CR-I-TASSER  
218 outperforms MAINMAST/MDFF in 296/265 cases, while the latter does so only in 5/36  
219 cases. If we count the number of cases with TM-score >0.5, CR-I-TASSER constructs the  
220 correct fold for 191 out of the 301 targets, which is 63 times of that by MAINMAST (3)  
221 and 7.3 times of that by MDFF (26). As an illustration, we present in Supplementary  
222 Figs. 4c-h the modeling results on Q6MIM9 from *Bdellovibrio bacteriovorus*, which  
223 highlights that the hybrid effects of both template reselection and regeneration processes,  
224 as well as the optimized structure assembly simulations, make a major contribution to the



225 modeling of a Hard target with very low-resolution density maps (see Supplementary  
226 Text 19).

227 Overall, although the average TM-score of CR-I-TASSER drops for low-resolution  
228 maps in 530 Hard/Easy targets, the magnitude of the TM-score reduction for CR-I-  
229 TASSER (by 17% from 0.849 to 0.727) is much smaller than that of the other *de novo*  
230 methods, including MAINMAST (54%), Rosetta-dn (53%) and Phenix (73%). Even with  
231 the low-resolution maps, the average TM-score of CR-I-TASSER is 87% higher than that  
232 of the second-best method (MDFF) for Hard targets, and 14% (299%) higher than other  
233 refinement-based (*de novo*) methods for Easy targets. This advantage on low-resolution  
234 data modeling is mainly attributed to the integration of multi-threading alignments and  
235 the deep  $C\alpha$  trace learning with the BFGS and MC assembly simulations, which makes  
236 CR-I-TASSER a robust pipeline for a wide range of map densities.

237

### 238 **Structure modeling on experimental density maps**

239 To examine our pipeline in a realistic setting, we further tested CR-I-TASSER on 248  
240 non-redundant proteins with experimental density maps; see Supplementary Text 1 for  
241 details of dataset. On average, CR-I-TASSER achieves an average TM-score=0.783 for  
242 the 248 EMDDataResource targets, which is 158% higher than the best *de novo* program  
243 Rosetta-dn (0.303) and 17% higher than the best refinement program MDFF (0.671). In  
244 Fig. 3, we present a head-to-head comparison of CR-I-TASSER with I-TASSER and  
245 other control programs, where CR-I-TASSER outperforms the control methods  
246 (including I-TASSER) in most of the cases. Especially, CR-I-TASSER outperforms the  
247 sequence-based I-TASSER method in 228 out of 248 cases (92%). The average TM-  
248 score of CR-I-TASSER (0.783) is 23% higher than that of I-TASSER (0.637), which  
249 corresponds to a  $p$ -value= $3.8 \times 10^{-6}$  in Student's t-test, showing significant impact of the  
250 introduction of cryo-EM data in the cutting-edge structure assembly simulations. If we  
251 count the number of cases with TM-score >0.5/0.9 for low-/high-resolution targets, CR-I-  
252 TASSER achieves good predictions in 138 cases, which is 23 and 1.7 times of that by the  
253 best *de novo* program (Rosetta-dn, 6) and the best refinement program (MDFF, 83),  
254 respectively. In the bottom of Table 1 (rows 46-67), we split the data samples into high-  
255 and low-resolution, where a similar trend of the superiority of CR-I-TASSER over other

256 methods is seen. The gap between CR-I-TASSER and the comparison methods, as  
257 assessed by  $\Delta TM = TM\text{-score}_{\text{CR-I-TASSER}} - TM\text{-score}_{\text{other}}$ , is slightly larger for the low-  
258 resolution (0.543/0.141 for Rosetta-dn/MDFP) than the high-resolution samples  
259 (0.457/0.101), despite that all methods perform better for high- than low-resolution  
260 samples. This is probably due to the fact that TM-scores of the control methods for low-  
261 resolution samples are lower and therefore have more room for improvement.  
262 Furthermore, we specifically checked whether any particular secondary structure  
263 components would affect the performance of CR-I-TASSER. As shown in  
264 Supplementary Fig. 5, although CR-I-TASSER performs better in high-resolution than in  
265 low-resolution maps, there is no obvious correlation between the average TM-score and  
266 the ratio of secondary components for both high- and low-resolution cases. More  
267 benchmark results (e.g., template homology cutoff, different network trainings, full maps  
268 etc.) can be found in Supplementary Text 20.

269 As a further case study focusing on difficult targets, we examine in detail a hard  
270 example from the anthrax toxin antigen pore protein (PDB ID: 3j9c-A) in Fig. 4 and  
271 Supplementary Fig. 6. This target consists of 423 residues and the cryo-EM density map  
272 has a resolution of 2.6 Å. In this case, LOMETS failed to locate good templates (the best  
273 template has a TM-score=0.257), which resulted in an incorrect fold of the final I-  
274 TASSER model with a TM-score=0.132. Therefore, the superposition from Situs is  
275 nearly random. Consequently, all refinement-based methods failed to model the target  
276 and have the final model with TM-score=0.144, 0.132, 0.136, 0.143 and 0.153 for Flex-  
277 EM, iMODFIT, MDFF, EM-Refiner and Rosetta-Ref, respectively. As illustrated in Figs.  
278 4a and 4d, the Rosetta-Ref model does not match the native structure both globally and  
279 locally. On the other hand, Phenix built a model from density map alone which fits the  
280 global conformation with the density map. However, there are multiple misconnections  
281 and disordered local structures in the model, resulting in an incorrect topology and  
282 sequence mapping with a TM-score=0.274 (Figs. 4b and 4e). Similar results were  
283 obtained by MAINMAST and Rosetta-dn with TM-score=0.165 and 0.245, respectively.

284 Given the high resolution of the density map, 3D-CNN generated a well-predicted  $C\alpha$   
285 conformation with CRscore=0.947. Benefitting from this high-quality prediction, the  
286 template regeneration algorithm created a reasonable  $C\alpha$  trace model with TM-

287 score=0.534. Following the CR-I-TASSER reassembly, the final model achieves a TM-  
288 score=0.725) for the head globular domain (Fig. 4c) and TM-score=0.620 for the overall  
289 chain (Fig. 4f), which are both significantly higher than that by all template and cryo-EM  
290 based modeling programs.

291 It is notable that the TM-score of the sequence-ordered  $C\alpha$  trace model in CR-I-  
292 TASSER is considerably lower than the CRscore calculated from the order-independent  
293  $C\alpha$  conformation in the anthrax toxin antigen pore protein case. This is mainly due to the  
294 extreme complexity of target structure consisting of a 3-domain globular head flanked  
295 with a long beta-hairpin stem that form an antigen pore with other homo-chains; such  
296 structural complexity not only introduces noises to  $C\alpha$  position predictions due to the  
297 high flexibility of the long stem, but also results in a huge conformational space of  
298 fragment connection patterns, which makes the true backbone difficult to trace. As shown  
299 in Supplementary Fig. 8, there are many mis-predicted  $C\alpha$  atoms around the long stem.  
300 Additionally, the connection conformational space is huge because the two long beta  
301 strands are close to each other, making it hard for the fragment-tracing program to  
302 interpret the correct connection patterns, and hence difficult to establish correct backbone  
303 trace models for the long stem. Given the specific local structures, however, this issue  
304 could be amended by using the density-map-based secondary structure prediction models  
305 because the backbone conformational space could be significantly reduced by excluding  
306 the zigzag connection patterns in the predicted beta zone. A separate computational  
307 pipeline implementing real-space secondary structure prediction powered with deep-  
308 learning is currently under development, which may in the future highly benefit modeling  
309 for targets with extremely low-resolution maps as well.

310

### 311 **End-to-end studies on protein complexes EMD-10564/EMD-30703**

312 As end-to-end case studies from raw density map to final structure, we first present an  
313 illustrative example in Figs. 5a-f and Supplementary Figs. 9a-c for a large-size homo-  
314 tetramer complex Beta-galactosidase (PDB ID: 6tsk), with each chain consisting of 1040  
315 residues. The corresponding density map EMD-10564 has a resolution of 2.3 Å and is  
316 segmented by Phenix `segment_and_split_map` that has been integrated in the CR-I-  
317 TASSER pipeline (see Supplementary Text 22), resulting in a reasonable segmentation

318 model as shown in Supplementary Fig. 9a. Here, we construct 4 models from the 4  
319 segmented density maps separately and look specifically into chain A. As shown in  
320 Supplementary Fig. 9b, 3D-CNN creates a high-quality  $C\alpha$  model with CRscore=0.946,  
321 which is subsequently used for template reranking and selection from the LOMETS  
322 alignment pool (outlined in Supplementary Fig. 12) and for  $C\alpha$  trace generation with the  
323  $C\alpha$  trace connection algorithm (outlined in Supplementary Fig. 14). In this case, the best  
324 template with a TM-score=0.666 was identified by both LOMETS and the predicted  $C\alpha$   
325 trace conformation, as shown in Supplementary Fig. 9c. However, the rest of the  
326 threading templates are not as good as the best one, resulting in an average TM-  
327 score=0.446 for the top-40 LOMETS templates. By combining the template reranking  
328 and  $C\alpha$  trace generation processes, CR-I-TASSER improved the TM-score from 0.446 to  
329 0.513 for the top-40 templates.

330 These templates are submitted to the structural assembly simulations which are guided  
331 by the restraint-enhanced I-TASSER force field and the density-map correlations.  
332 Eventually, CR-I-TASSER constructed the final model with TM-score=0.705 (Fig. 5c),  
333 which is 41% higher than that of the original I-TASSER prediction (0.500). Due to the  
334 size and complexity of the model, Situs does not correctly superpose the I-TASSER  
335 model into the density map, resulting in the general low quality from the refinement-  
336 based programs with TM-score=0.476, 0.474, 0.343, 0.359 and 0.353 for Flex-EM,  
337 iMODFIT, MDFF, EM-Refiner and Rosetta-Ref, respectively. Meanwhile, the *de novo*  
338 programs that we tested are also unsuccessful in creating correct folds because of the  
339 complexity of tracing/building such a large protein, resulting in final TM-scores of 0.194,  
340 0.105 and 0.251, for MAINMAST, Rosetta-dn and Phenix, respectively.

341 Although CR-I-TASSER successfully built a model with the highest TM-score among  
342 the state-of-the-art programs, there is still room for improvement. In fact, the final model  
343 in Fig. 5c shows that the structure of the three domains in the left side of the picture is  
344 very close to the native, but that for the remaining two domains in the right side is poor.  
345 This is partly because the correct LOMETS alignments are mostly located in the left  
346 domains. However, the connection patterns of the  $C\alpha$  trace model shown in Fig. 5a  
347 overlaps well with the target structure, indicating the connections are mostly correct. A  
348 closer view shows that there are several small flaws of misconnections in beta sheets of

349 the right part, where these misconceptions can terminate the growth of the long traces as  
350 the target atoms may be out of the probing radius of the last  $C\alpha$  atom, as shown in the  
351 zoom-in figure of Fig. 5b. The probing radius request is employed as the default in CR-I-  
352 TASSER to ensure the reasonability of the  $C\alpha$  tracing models for general sequences.  
353 Nevertheless, if we use the option of “keep-tracing mode” provided in the CR-I-TASSER  
354 pipeline, which allows for the end point of current trace to break the connection patterns  
355 (see Supplementary Text 23), the created  $C\alpha$  trace models are greatly improved with the  
356 average TM-score increased from 0.446 to 0.708 for this case, where the TM-score of the  
357 first template is improved from 0.666 to 0.749. These high-quality  $C\alpha$  trace templates  
358 lead to a much-improved full-length model with TM-score=0.857 (Fig. 5e). Despite the  
359 improved performance for this case, the “keep-tracing mode” is not used as default  
360 setting in CR-I-TASSER as the drop off of the probing radius could increase the  
361 connection uncertainty and reduce the average performance for regular proteins.  
362 Additionally, since we have separately modeled 4 segmented chains, we could choose a  
363 possibly better model by examining the estimated TM-scores (see Eq. 8 in Methods),  
364 which are 0.777, 0.912, 0.834 and 0.856 for chain A, B, C and D, respectively. By  
365 selecting the model for chain B, we obtained the final full-length model with a TM-score  
366 of 0.908 as shown in Fig. 5f.

367 Overall, this example demonstrates the practicality of CR-I-TASSER for generating  
368 high-quality models from unsegmented raw density map data, but also exposes the  
369 potential weaknesses of the default CR-I-TASSER pipeline which is sometime too  
370 conservative when generating  $C\alpha$  traces for targets involving long loops/tails and  
371 disorder regions, where the “keep-tracing mode” may help provide an alternative solution  
372 for better  $C\alpha$  tracing and final model constructions for these cases when the first try fails.

373 In Figs. 5g-h, we present another example of models built from raw low-resolution  
374 density map (13.5 Å), which is for the complex of the SARS-CoV-2 spike protein with a  
375 2H2 Fab (PDB ID: 7dk5). In this complex, three large homo-chains (each with 1261  
376 residues) are bound with the two heavy/light chains of a 2H2 Fab with 214/218 residues.  
377 Due to the low resolution, it is not feasible to automatically segment with only density  
378 map information. Thus, we attempted to build models on the whole map. Given that CR-  
379 I-TASSER performs better for the cases with higher protein-map size ratio as shown in

380 Supplementary Fig. 7b, we first tried to build a long spike protein chain in the map. In  
381 this case, LOMETS recognize the top-1 template with TM-score=0.562, where the CR-I-  
382 TASSER re-ranked the alignments and chose a better first-rank template with TM-  
383 score=0.671. As shown in Fig. 5g and Supplementary Fig. 9d, CR-I-TASSER superposed  
384 the first-rank template into the low-resolution density map correctly and built a final  
385 model with TM-score=0.798 to the deposited structure in the chain C position, where the  
386 model built by I-TASSER has only a TM-score=0.682. After that, the density map was  
387 masked by deleting the part which overlaps with the model just built. The remaining  
388 density map was then used by CR-I-TASSER to build the second and third spike chains  
389 subsequently by repeating this process. As shown in Fig. 5h and Supplementary Figs. 9e,  
390 CR-I-TASSER eventually built three spike protein models on the low-resolution map  
391 with TM-scores of 0.668, 0.800 and 0.798 for the chain A (with up receptor-binding  
392 domain, RBD) and chain B/C with down RBDs, respectively (compared to 0.599, 0.677  
393 and 0.682 by I-TASSER). Although the resolution is low, CR-I-TASSER still assembles  
394 spikes with up/down RBD conformations in the correct position.

395 Following the long-chain structure modeling for the spike proteins, we further  
396 attempted to build models of the heavy/light chains of 2H2 Fab. Since these two chains  
397 are of similar length but not identical, it is hard to tell which one should be built first. By  
398 randomly selecting the heavy chain to start, CR-I-TASSER created models with TM-  
399 scores of 0.702 and 0.518 for the heavy and light chains respectively, which are  
400 marginally better than I-TASSER (TM-score=0.524 and 0.571), where the positions of  
401 the two chains on the map are apparently incorrect (see Supplementary Figs. 9f-g). The  
402 failure for improvement is partly because the native structures of these two chains share  
403 similar folds (TM-score=0.730 by TM-align<sup>32</sup>), and hence they have very similar density  
404 maps, which make it harder to locate the correct position in such a low-resolution map.  
405 Instead of one-by-one modeling, a better strategy may be to introduce complex modeling.  
406 Here, we slightly extended the current pipeline to simultaneously superpose the templates  
407 from two chains and choose the best combination poses (see details in Supplementary  
408 Text 24). With this, good templates for both chains were correctly ranked and superposed  
409 in the density map as shown in Supplementary Fig. 9h. These templates were then  
410 submitted to CR-I-TASSER simulations separately, which resulted in the final models

411 with higher TM-scores (0.827/0.670 for heavy/light chains, see Fig. 5i and  
412 Supplementary Fig. 9i). Despite the simplicity, this result demonstrates the feasibility to  
413 extend CR-I-TASSER for complex-based structural modeling on full density maps.

414

## 415 CONCLUSION

416 We present a new hybrid pipeline, CR-I-TASSER, for automated protein structure  
417 modeling from cryo-EM density map. The core component of the pipeline is the density-  
418 map based  $C\alpha$  trace predictions from deep convolutional neural networks, which are used  
419 for threading template selection and initial model generations through fragment tracing.  
420 The advanced I-TASSER folding simulation platform is then extended to reassemble the  
421 template and  $C\alpha$  trace models, under the guidance of an optimized force field combining  
422 3D-CNN density-map and template restraints with the advanced knowledge-based energy  
423 potentials.

424 CR-I-TASSER was carefully benchmarked on a large-scale data set containing 778  
425 proteins with both computer-simulated and experimental density maps, compared to three  
426 state-of-the-art *de novo* (Rosetta-dn<sup>16, 17</sup>, MAINMAST<sup>18</sup> and Phenix<sup>26</sup>) and five  
427 refinement-based (Flex-EM<sup>11</sup>, iMODFIT<sup>12</sup>, MDFF<sup>13</sup>, EM-Refiner<sup>15</sup> and Rosetta-Ref<sup>10</sup>)  
428 methods. Overall, CR-I-TASSER generates models with an average TM-score=0.839  
429 when high-resolution (2-5 Å) density maps are used, which is 88% higher than the best  
430 *de novo* modeling program (Phenix) and 41% higher than the best refinement program  
431 (MDFF), with a p-value  $<10^{-66}$  in Student's t-test for both comparisons. When the  
432 medium-to-low resolution (5-15 Å) maps are used, although the average TM-score of  
433 CR-I-TASSER is slightly reduced (=0.726), it still generates correct fold with a TM-  
434 score  $>0.5$  for 482 cases, which is 66% higher than the best of other methods (289 by  
435 MDFF program). Detailed data analyses showed that the density-map based deep-  
436 learning  $C\alpha$  trace models from 3D-CNN play a critical role in the structure quality  
437 improvement. Since deep-learning can derive specific and precise information on  $C\alpha$   
438 atoms from density map, the 3D-CNN  $C\alpha$  trace models can therefore be used to more  
439 efficiently constrain both initial template regeneration and CR-I-TASSER model  
440 assembly simulations, compared to traditional *de novo* and refinement-based approaches  
441 that are guided solely by model-density correlations. Thus, CR-I-TASSER provides

442 currently best-in-class performance for automated structure prediction from cryo-EM  
443 density maps.

444 Despite the encouraging results, it is important to note that the current CR-I-TASSER  
445 pipeline relies on the success of 3D-CNN on  $C\alpha$  trace prediction, and we observe that the  
446 accuracy can decrease on low-resolution data. There are also issues in converting  $C\alpha$   
447 positions into ordered tracing models when the target structure involves long loops/tails  
448 or disordered regions. Given the exciting progress witnessed in hybrid deep-learning and  
449 evolution-based protein structure prediction<sup>29-31</sup>, the combination of 3D-CNN with deep  
450 multiple sequence alignments collected from metagenome databases should help further  
451 improve the 3D-CNN  $C\alpha$  trace and CR-I-TASSER model accuracy. Additionally, a new  
452 module of CR-I-TASSER aimed to further enhance its performance on low-resolution  
453 data is in development, in which we employ density-map based real-space secondary  
454 structure modeling powered by deep neural-network learning to assist cryo-EM model  
455 construction. The preliminary result is encouraging and shows that since secondary  
456 structure is “coarser” than  $C\alpha$  positions, the models are easier to learn and can provide  
457 more relevant information to improve the modeling accuracy for the targets with poorer  
458 resolution maps. Meanwhile, CR-I-TASSER mainly focuses on monomer proteins, for  
459 which the density maps need to be segmented manually in the first place. We expect that  
460 it will be possible to combine CR-I-TASSER in a modular fashion with improved  
461 upstream or downstream tools for other modeling tasks (e.g., segmentation or refinement)  
462 to further enhance future performance. Given that a major advantage of cryo-EM is on  
463 large-size protein complex structure determination, however, an important next step is to  
464 extend the deep-learning based structure assembly simulations for  
465 protein-protein/protein-nucleic acid complex structure modeling and determination.  
466 While one of the current state-of-the-art segmentation programs has been integrated into  
467 CR-I-TASSER, new algorithms built on I-TASSER homology modeling and heuristic  
468 structure-map alignment iterations<sup>32</sup> can be a meaningful solution; investigations along  
469 these lines are under progress.

470



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481

482 **Author contributions**

483 Y.Z. conceived and designed the project. X.Z. developed the methods and performed  
484 the experiments. X.Z. and Y.Z. wrote the manuscript. B.Z. and P.L.F. participated in the  
485 discussion and edited the manuscript. All authors proofread and approved the final  
486 version of the manuscript.

487

488 **Competing interests**

489 The authors declare no competing interests.

490

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492

493 **Tables**

494

495 **Table 1.** Modeling results by CR-I-TASSER and other methods on 778 benchmark test  
 496 proteins involving different density map types and resolutions. P-values are calculated  
 497 using two-tailed Student's t-tests between the TM-scores produced by CR-I-TASSER and  
 498 the other methods. Bold fonts highlight the performer which obtains the best average  
 499 result in each category.  
 500

Methods	$\langle TM - score \rangle$	N (TM>TM <sub>0</sub> ) <sup>4</sup>	$\langle RMSD \rangle$ (Å)	P-value
<i>301 Hard targets with high-resolution density map (resolution in 2-5 Å) (TM<sub>0</sub>=0.5)</i>				
I-TASSER <sup>1</sup>	0.345	27	12.0	8.0×10 <sup>-91</sup>
Flex-EM <sup>2</sup>	0.318	22	12.4	3.8×10 <sup>-96</sup>
iMODFIT <sup>2</sup>	0.340	25	11.9	6.6×10 <sup>-91</sup>
MDFP <sup>2</sup>	0.331	26	12.1	3.4×10 <sup>-91</sup>
EM-Refiner <sup>2</sup>	0.315	18	12.2	6.9×10 <sup>-96</sup>
Rosetta-Ref <sup>2</sup>	0.297	30	14.0	1.2×10 <sup>-99</sup>
MAINMAST <sup>3</sup>	0.438	121	10.2	9.8×10 <sup>-47</sup>
Rosetta-dn <sup>3</sup>	0.419	94	12.2	8.7×10 <sup>-52</sup>
Phenix <sup>3</sup>	0.466	134	8.6	8.7×10 <sup>-42</sup>
CR-I-TASSER <sup>3</sup>	<b>0.772</b>	<b>251</b>	<b>4.4</b>	--
<i>229 Easy targets with high-resolution density map (resolution in 2-5 Å) (TM<sub>0</sub>=0.9)</i>				
I-TASSER <sup>1</sup>	0.762	16	5.1	8.4×10 <sup>-75</sup>
Flex-EM <sup>2</sup>	0.824	66	4.4	4.6×10 <sup>-35</sup>
iMODFIT <sup>2</sup>	0.799	43	4.7	5.6×10 <sup>-48</sup>
MDFP <sup>2</sup>	0.857	104	4.1	4.8×10 <sup>-21</sup>
EM-Refiner <sup>2</sup>	0.846	76	4.0	3.5×10 <sup>-37</sup>
Rosetta-Ref <sup>2</sup>	0.851	103	4.0	6.9×10 <sup>-21</sup>
MAINMAST <sup>3</sup>	0.439	9	11.8	5.7×10 <sup>-78</sup>
Rosetta-dn <sup>3</sup>	0.474	17	12.0	8.0×10 <sup>-77</sup>
Phenix <sup>3</sup>	0.493	8	8.4	1.4×10 <sup>-76</sup>
CR-I-TASSER <sup>3</sup>	<b>0.950</b>	<b>198</b>	<b>1.4</b>	--
<i>301 Hard targets with low-resolution density map (resolution in 5-15 Å) (TM<sub>0</sub>=0.5)</i>				
I-TASSER <sup>1</sup>	0.345	27	12.0	2.0×10 <sup>-48</sup>
Flex-EM <sup>2</sup>	0.303	13	12.3	1.2×10 <sup>-61</sup>
iMODFIT <sup>2</sup>	0.316	23	12.0	2.0×10 <sup>-56</sup>
MDFP <sup>2</sup>	0.319	29	11.8	6.8×10 <sup>-55</sup>
EM-Refiner <sup>2</sup>	0.305	19	12.1	2.3×10 <sup>-60</sup>
Rosetta-Ref <sup>2</sup>	0.268	18	13.9	1.6×10 <sup>-70</sup>
MAINMAST <sup>3</sup>	0.204	3	14.3	2.1×10 <sup>-86</sup>
Rosetta-dn <sup>3</sup>	0.201	7	14.6	6.7×10 <sup>-91</sup>
Phenix <sup>3</sup>	0.180	0	12.5	5.5×10 <sup>-95</sup>
CR-I-TASSER <sup>3</sup>	<b>0.597</b>	<b>191</b>	<b>6.3</b>	--
<i>229 Easy targets with low-resolution density map (resolution in 5-15 Å) (TM<sub>0</sub>=0.9)</i>				
I-TASSER <sup>1</sup>	0.762	16	5.1	8.4×10 <sup>-75</sup>
Flex-EM <sup>2</sup>	0.666	0	5.3	3.5×10 <sup>-90</sup>
iMODFIT <sup>2</sup>	0.767	34	4.4	4.0×10 <sup>-29</sup>
MDFP <sup>2</sup>	0.788	46	4.3	5.5×10 <sup>-23</sup>
EM-Refiner <sup>2</sup>	0.739	21	4.7	5.3×10 <sup>-42</sup>
Rosetta-Ref <sup>2</sup>	0.714	14	4.9	7.5×10 <sup>-49</sup>
MAINMAST <sup>3</sup>	0.202	0	15.6	5.7×10 <sup>-311</sup>
Rosetta-dn <sup>3</sup>	0.225	1	9.2	1.5×10 <sup>-238</sup>

18

Phenix <sup>3</sup>	0.174	0	13.8	$3.2 \times 10^{-309}$
CR-I-TASSER <sup>3</sup>	<b>0.898</b>	<b>137</b>	<b>2.1</b>	--
<i>178 targets with experimental density map (resolution in 2-5 Å) (TM<sub>0</sub>=0.9)</i>				
I-TASSER <sup>1</sup>	0.647	6	8.3	$4.0 \times 10^{-15}$
Flex-EM <sup>2</sup>	0.681	24	8.5	$3.6 \times 10^{-9}$
iMODFIT <sup>2</sup>	0.695	19	7.8	$6.8 \times 10^{-8}$
MDFE <sup>2</sup>	0.709	37	7.3	$4.9 \times 10^{-6}$
EM-Refiner <sup>2</sup>	0.690	32	8.3	$2.5 \times 10^{-7}$
Rosetta-Ref <sup>2</sup>	0.688	40	8.5	$7.1 \times 10^{-7}$
MAINMAST <sup>3</sup>	0.323	2	15.2	$7.4 \times 10^{-72}$
Rosetta-dn <sup>3</sup>	0.353	5	15.7	$1.4 \times 10^{-60}$
Phenix <sup>3</sup>	0.349	1	13.3	$2.7 \times 10^{-63}$
CR-I-TASSER <sup>3</sup>	<b>0.810</b>	<b>75</b>	<b>4.9</b>	--
<i>70 targets with experimental density map (resolution in 5-10 Å) (TM<sub>0</sub>=0.5)</i>				
I-TASSER <sup>1</sup>	0.612	49	9.2	$2.7 \times 10^{-3}$
Flex-EM <sup>2</sup>	0.546	45	9.3	$4.3 \times 10^{-7}$
iMODFIT <sup>2</sup>	0.603	48	8.9	$1.7 \times 10^{-3}$
MDFE <sup>2</sup>	0.573	46	8.7	$5.9 \times 10^{-5}$
EM-Refiner <sup>2</sup>	0.576	45	8.8	$9.7 \times 10^{-5}$
Rosetta-Ref <sup>2</sup>	0.554	43	9.3	$9.7 \times 10^{-6}$
MAINMAST <sup>3,5</sup>	0.221	0	16.1	$2.0 \times 10^{-31}$
Rosetta-dn <sup>3</sup>	0.176	1	15.6	$5.4 \times 10^{-41}$
Phenix <sup>3</sup>	0.118	0	18.3	$1.5 \times 10^{-43}$
CR-I-TASSER <sup>3</sup>	<b>0.714</b>	<b>63</b>	<b>6.2</b>	--

502 <sup>1</sup>Protein structure prediction methods

503 <sup>2</sup>Cryo-EM based structure refinement methods

504 <sup>3</sup>Cryo-EM based de novo structure modeling methods

505 <sup>4</sup>TM<sub>0</sub>=0.5 for simulated Hard targets and low-resolution experimental targets, =0.9 for simulated Easy targets or high-resolution experimental targets

507 <sup>5</sup>Only 61 targets are solved with MAINMAST, probably due to the low resolution and experimental noise

508

509

510 **Figure Captions**

511

512 **Figure 1.** CR-I-TASSER pipeline. Starting with a query sequence and cryo-EM density  
513 map, CR-I-TASSER constructs atomic models through 3 consecutive steps: 1. Initial data  
514 processing to generate 3D-CNN  $C\alpha$  conformation, LOMETS threading and ResPRE  
515 contact-map prediction; 2. Density-map based template reselection and trace generation;  
516 3. Density-map guided fragment reassembly simulations and model refinements.

517

518 **Figure 2.** TM-score comparisons of CR-I-TASSER with I-TASSER and eight other  
519 control methods on 301 Hard targets with 2-5 Å resolution simulated density maps. CR-I-  
520 TASSER versus (a) I-TASSER; (b) MAINMAST; (c) Rosetta-dn; (d) Phenix; (e) Flex-  
521 EM; (f) iMODFIT; (g) MDFF; (h) EM-Refiner; (i) Rosetta-Ref. The symbols with  
522 different colors and shapes denote different ranges of resolution: red square: 2-3 Å;  
523 yellow circle: 3-4 Å; blue triangle: 4-5 Å.

524

525 **Figure 3.** Modeling results on 248 targets with experimental density maps by different  
526 methods. CR-I-TASSER versus (a) I-TASSER; (b) MAINMAST; (c) Rosetta-dn; (d)  
527 Phenix; (e) Flex-EM; (f) iMODFIT; (g) MDFF; (h) EM-Refiner; (i) Rosetta-Ref. The  
528 symbols with different colors denote different ranges of resolution: purple: 2-5 Å; yellow:  
529 5-10 Å.

530

531 **Figure 4.** Structure modeling results on a protective antigen pore protein (PDB ID: 3j9c-  
532 A) with high-resolution (2.9 Å) density map. (a-c) Predicted models by Rosetta-Ref  
533 (green), Phenix (orange) and CR-I-TASSER (red) are shown along with the native  
534 structure on the head globular domain (Residues 1-98; 185-423, blue). (d-f) The  
535 corresponding full-length models including the stem region. The predicted  $C\alpha$   
536 conformations and connection pattern can be found in Supplementary Fig. 6.

537

538 **Figure 5.** Illustrative examples of end-to-end structural modeling by CR-I-TASSER from  
539 unsegment maps. Through all pictures, native structures are shown in blue overlaid on  
540 density map in gray. (a-f) Beta-galactosidase in complex with L-ribose (PDB ID: 6tsk)  
541 from density map (EMD-10564, resolution 2.3 Å). (a) Best  $C\alpha$  trace model (orange)  
542 superposed with the native. (b) Zoom-in pictures of breaking connections can be  
543 remedied by the “keep-tracing mode” (see Supplementary Fig. 15 for details). (c) Full-  
544 length model by CR-I-TASSER with default setting (red). (d)  $C\alpha$  trace model generated  
545 with “keep-tracing mode” (green). (e) Full-length model by CR-I-TASSER with “keep-  
546 tracing mode” (red); (f) Full-length model with the highest eTM-score among 4 chains  
547 (magenta). (g-i) the SARS-CoV-2 spike protein with receptor-binding domains (RBD)  
548 bound with a 2H2 Fab (PDB ID: 7dk5) from density map (EMD-30703, resolution 13.5  
549 Å). (g) First CR-I-TASSER model (yellow) built on the map as in the chain C location;  
550 (h) Models of chains A (green), B (red) and C (yellow) built on the map; (i) Final CR-I-  
551 TASSER models of heavy/light chains of 2H2 Fab (gold/silver) using complex-based  
552 superposition process described in Supplementary Text 24.

553

554

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- 631  
632

## 633 **ONLINE METHODS**

634 CR-I-TASSER is a hierarchical method integrating I-TASSER with cryo-EM density  
635 maps for high-accuracy protein structure determination. As outlined in Fig. 1, the  
636 pipeline consists of three consecutive steps: (1) initial data processing; (2) deep learning-  
637 based template refinement and regeneration; (3) density map guided structural  
638 reassembly simulations.

639

### 640 **Initial data processing**

641 Starting from query sequence and cryo-EM density map, CR-I-TASSER extracts  
642 three parts of information.

643 *Predicting  $C\alpha$  locations using deep neural-network learning.* Deep convolutional  
644 neural network (3D-CNN) with a residual network architecture<sup>19</sup> (see Supplementary  
645 Text 25 for details) is employed to predict  $C\alpha$  atom locations in a grid system, where the  
646 input of the 3D-CNN is the cryo-EM density map, and the output is the grid values  
647 ranging from 0 to 1 representing the possibility of  $C\alpha$  atoms at the grids. The overall 3D-  
648 CNN architecture is shown in Supplementary Fig. 10a, where the density map in 3D grid  
649 space is taken as input signal to send through a 3D convolutional layer followed by  
650 instance normalization and ReLU and extended to 32 channels. Next, 10 basic blocks  
651 with residual network architecture are used to enhance the network capability of learning  
652 essential information of density maps. Eventually, the signal goes through the last layer  
653 which contains a 3D convolutional layer with 2 output channels and a SoftMax layer. The  
654 final outputs of 3D-CNN contain two complementary probability maps with the same  
655 size of the input density map, in which one map represents the probability of class 1  
656 (“having  $C\alpha$  atom”) while the other one stands for class 0 (“not having  $C\alpha$  atom”). Since  
657 only a few grids are with  $C\alpha$  atoms around them, these two classes are highly  
658 imbalanced. Specifically, if we look at the central part (instead of marginal part) of  
659 density maps where proteins are located, the ratio of the numbers of class 0/1 in  
660 experimental training set is 440,462,749/9,537,251, which is approximately 50/1 (see  
661 Supplementary Table 1). Therefore, to make the training process more balanced, we set  
662 the weights as 1.0 and 50.0 for class 0 and 1 respectively when computing the loss  
663 function, for which the Cross Entropy Loss is employed. Although the weights are

664 important in imbalance training and can affect the training process, the slightly different  
665 weights (e.g. 1/25 or 1/75 for class 0/1) will have negligible effect on the final training  
666 result and hence we only used the weights that are most naturally derived from statistics  
667 result. During the training, Adam optimizer is employed to enhance the learning  
668 efficiency with a learning rate of 0.0005. To reduce overfitting, random dropout is also  
669 used with a drop\_rate=0.2, and the batch sizes are set to 1.

670 The network was trained on two datasets to obtain two network models separately: To  
671 obtain the first training dataset, we download the file  
672 “cullpdb\_pc20\_res1.6\_R0.25\_d190404\_chains3470.gz” from  
673 [http://dunbrack.fccc.edu/Guoli/pisces\\_download.php](http://dunbrack.fccc.edu/Guoli/pisces_download.php), which contains 3,470 non-  
674 redundant proteins and was then randomly split into a training (3,088 proteins) and a  
675 validation (382 proteins) set with a ratio ~9:1 to prevent overfitting. The density map for  
676 the first dataset is simulated by

677 
$$\rho(y) = \sum_i \frac{A_i}{\sqrt{2\pi}\sigma^2} e^{-\frac{|y-x_i|^2}{2\sigma^2}} \quad (2)$$

678 where  $\sigma = R/\sqrt{2}\pi$  with  $R$  being the resolution parameter randomly taken from [1, 15Å],  $y$   
679 is the coordinate vector of the density map,  $x_i$  and  $A_i$  indicate the coordinate vector and  
680 atomic number of  $i$ th atom of the protein, respectively. The second training dataset  
681 contains 3,600 targets with experimental density maps whose resolutions range from 2.1  
682 Å to 10.0 Å. These experimental maps were generated from 36 large complexes with  
683 well-superposed experimental structures by randomly segmenting them into small maps  
684 with a size of  $50 \times 50 \times 50 \text{ \AA}^3$ . To make the training process focus more on  $C\alpha$  atoms, we  
685 set a filter of these small maps by containing at least 250  $C\alpha$  atoms. This can avoid the  
686 issue of containing too few  $C\alpha$  atoms in a map, which could happen in the marginal parts  
687 of experimental maps. Through the 3D-CNN networks, the first model was trained on the  
688 simulated training set with more than 720 epochs. We calculated the average CRscore  
689 loss from the validation set every 30 epochs and stopped the training if: (1) training  
690 epochs > 500 and max average CRscore > 0.8 and the latest average CRscore is 0.02 less  
691 than the max average CRscore, or (2) training epochs > 2000. After stopping training, we  
692 selected the model with the max CRscore (708 epochs, see Supplementary Fig. 11a). The  
693 second model started from the first model and was trained on the experimental training



694 set for 217 more epochs, where the average loss against training epochs is shown in  
695 Supplementary Fig. 11b. The loss in the first model starts to saturate around 600-700  
696 epochs, while that in the second model does so after 800 epochs, probably because of the  
697 relatively higher complexity associated with the experimental maps.

698 Following the 3D-CNN model, a quick procedure is designed to convert the  $C\alpha$   
699 possibility map into  $C\alpha$  atom coordinates (Supplementary Fig. 10b). The procedure first  
700 locates the grid with the highest possibility and labels it as the first  $C\alpha$  atom. It then  
701 iteratively searches for the next  $C\alpha$  atom with the highest possibility at the grids with  
702 distance no less than 3.3Å from all the labeled  $C\alpha$  atoms. The procedure repeats to ensure  
703 at least  $L$  (=query length)  $C\alpha$  atoms are located. It will continue until  $1.2*L$   $C\alpha$  atoms are  
704 located if the next highest possibility is  $>0.9$ .

705 ***Initial template identification by LOMETS.*** We employed LOMETS<sup>21</sup>, a meta-  
706 threading method containing 11 leading fold-recognition programs, to identify  
707 homologous and analogous templates from the PDB. For each query sequence, top 300  
708 templates are collected based on the normalized Z-score ( $Z_n$ ), which measures the  
709 significance of query-template alignments by each program. Accordingly, a target will be  
710 defined as ‘Easy’ if there is on average one or more good templates with  $Z_n > 1$  for each  
711 program, while others are labeled ‘Hard’ due to the lack of good templates.

712 ***Inter-residue contact map prediction.*** ResPRE<sup>33</sup> is used to predict the residue-residue  
713 contact maps. From a query sequence, ResPRE first uses DeepMSA<sup>34</sup> to collect multiple  
714 sequence alignments (MSAs) from the whole-genome and metagenome sequence  
715 databases, where the inter-residue contact maps are then predicted from the inverse  
716 covariance matrix derived from the MSAs, based on deep residual convolutional network  
717 training<sup>19</sup>.

718

### 719 **Deep learning-based template selection and regeneration**

720 We design two procedures utilizing the deep-learning based  $C\alpha$  conformations to  
721 improve initial template quality of CR-I-TASSER through template reselection and  
722 model regeneration, respectively.

723 ***Template reselection by  $C\alpha$  and density map matching.*** LOMETS creates multiple  
724 threading templates, but the best templates do not always rank at the top by the Z-score.

725 We re-rank the top 300 template structures based on their match with the  $C\alpha$   
 726 conformations predicted by the 3D-CNN from cryo-EM density map, using a procedure  
 727 outlined in Supplementary Fig. 12. Because the 3D-CNN  $C\alpha$  conformation has no  
 728 sequence index assigned, the matching procedure starts with the calculation of the  
 729 “fingerprint” for each  $C\alpha$  atom in a given LOMETS template and  $C\alpha$  conformation,  
 730 where a fingerprint vector of  $i$ th  $C\alpha$  atom  $\vec{F}_{temp(iC\alpha)}(i)$  is defined as a set of 20 ascending-  
 731 ranking intra-distances between  $i$ th  $C\alpha$  atom and 20 nearest  $C\alpha$  atoms in the template (or  
 732  $C\alpha$  conformation). A pairing score of  $i$ th atom at template with  $j$ th atom at  $C\alpha$   
 733 conformation is then calculated by

$$734 \quad Fscore_{ij} = |\vec{F}_{temp}(i) - \vec{F}_{C\alpha}(j)|^2 \quad (3)$$

735 The lower  $Fscore_{ij}$  is, the more similar environment two atoms ( $i, j$ ) are in, indicating a  
 736 higher probability for ( $i, j$ ) to be correctly paired. Therefore, we initially select the  $C\alpha$   
 737 atom pairs with the minimum  $Fscore_{ij}$  and pair them in the ascending order, where each  
 738 atom can only be paired once. Generally, if  $i$ th and  $ii$ th  $C\alpha$  atoms from the template are  
 739 correctly paired to  $j$ th and  $jj$ th  $C\alpha$  atoms from the  $C\alpha$  conformation, the intra-distance  
 740 between  $i$ th and  $ii$ th  $C\alpha$  atoms,  $d(i, ii)$ , should be close to that between  $j$ th and  $jj$ th  $C\alpha$   
 741 atoms,  $d(j, jj)$ . Based on this assumption, we further refine the initial pairing using a  
 742 weighted matching score  $S(i, j)$  defined by

$$743 \quad S(i, j) = \sum_{\substack{ii \neq i \\ jj \neq j}} \begin{cases} W(i, ii) & \text{if } |d(i, ii) - d(j, jj)| \leq 1 \\ \frac{W(i, ii)}{(d(i, ii) - d(j, jj))^2} & \text{if } |d(i, ii) - d(j, jj)| > 1 \end{cases} \quad (4)$$

744 Here,  $W(i, ii) = w(i) \cdot w(ii)$ , where  $w(i)$  is the weight for  $i$ th  $C\alpha$  atom from the template  
 745 which is initially set as 1 and updated iteratively by an algorithm outlined in  
 746 Supplementary Fig. 12. After the convergence, only the pairs with a matching score  
 747  $S(i, j) > S_0$  are selected, where the threshold  $S_0$  is defined by the 2-mean clustering of the  
 748 matching scores. Based on the selected  $C\alpha$  pairing, the Kabsch RMSD superposition of  
 749 template and  $C\alpha$  conformation is performed<sup>35</sup>, where the inter-chain distance  $d_{ij} < 10 \text{ \AA}$   
 750 will be used as a new condition to select  $C\alpha$  pairing in addition to Eqs. (3-4). This new  
 751 pairing will be used as the input of pairing refinement and Kabsch superposition to

752 generate a newer pairing. The procedure will repeat until the final pairing and structure  
753 superposition converge (Supplementary Fig. 12). Overall, the idea of the superposition  
754 process described above is to identify the correct pairs of atoms between  $C\alpha$   
755 conformation (index-free) and template alignments (indexed) by comparing their intra  
756 environments.

757 Finally, the CRscore is calculated for each template with the 3D-CNN  $C\alpha$   
758 conformation based on the selected  $C\alpha$  pairing, where the 300 LOMETS templates  
759 selected by Z-score are re-ranked based on the calculated CRscores. A template will be  
760 defined as a ‘good’ template if the CRscore  $>0.5$ . Up to 30 good templates ( $N_{rank} \leq 30$ ) are  
761 selected from this template reselection procedure.

762 ***Initial  $C\alpha$  trace model generation from 3D-CNN  $C\alpha$  conformations.*** Since CR-I-  
763 TASSER uses 40 replicas in the replica-exchange Monte Carlo (REMC) simulations and  
764 each replica starts with different templates, we generate  $N_{gen} = 40 - N_{rank}$  new templates  
765 directly from the 3D-CNN  $C\alpha$  conformations; this contains two steps of  $C\alpha$ -trace  
766 connection and sequence-trace mapping (Supplementary Fig. 14).

767 For  $C\alpha$ -trace connection, we first connect all neighboring  $C\alpha$  atoms which have a  
768 distance below a bond-length  $d_b$ . All connections to a  $C\alpha$  atom that has the number of  
769 connections ( $n_{conn} \leq 2$ ) are considered as ‘true’ connections (e.g., connections to Atoms-1,  
770 3, 5, 7 and 8 in Supplementary Fig. 14a), while all other connections that contradict with  
771 the true connections and make  $n_{conn} > 2$  for other atoms are removed (e.g., connection 2-4  
772 in Supplementary Fig. 14a). After this scan, if a  $C\alpha$  still contains  $>2$  connections, this  
773 atom will be removed from the trace (e.g., Atom 6 in Supplementary Fig. 14a). As shown  
774 in Fig. 11b, the remaining  $C\alpha$  trace pattern will depend on the selection of  $d_b$ . In CR-I-  
775 TASSER, we implement the procedure under eighteen different cutoffs of  $d_b = \{3.8, 3.9,$   
776  $\dots, 5.5 \text{ \AA}$  separately, and keep only the connections with a frequency of occurrence  $>40\%$   
777 in the final  $C\alpha$  connection.

778 This connection procedure creates multiple  $C\alpha$  fragments, where up to 1,000,000  $C\alpha$   
779 traces are generated by randomly connecting the fragments, until no atom is available for  
780 the next connection. The latter could happen at the true end of the protein, or if there is no  
781 available atom in the probing radius (5.5  $\text{\AA}$ ), or if there are other atoms but are already

782 fully connected in an unused fragment. Although the constraints involved in the  
783 connection process can help improve the accuracy of the template generation on average,  
784 it cannot always result in  $C\alpha$  trace model with full length because the growth could stop  
785 anywhere under the constraints. To address this issue, CR-I-TASSER provides an  
786 alternative “keep-tracing mode” to improve fragment tracing success rate for some  
787 special cases by partially releasing some of the restraints or additional iterations (see  
788 Supplementary Text 23 for details).

789 Assuming that each fragment is continuous, we map the query sequence to each  $C\alpha$   
790 trace by gapless threading and calculate the  $C\alpha$ - $C\alpha$  contact map using a distance cutoff  
791  $d < 8 \text{ \AA}$ . Top 300  $C\alpha$  traces are selected based on the Pearson correlation coefficient  
792 (PCC) of the  $C\alpha$ - $C\alpha$  contact map with the predicted contact map from ResPRE, as well  
793 as the PCC of the template structure with the target density map (see Supplementary Text  
794 26). Finally,  $N_{gen}$  templates are selected from the 300 traces based on the PCC of the  
795 template structure with the target density map. This PCC is also employed to re-rank all  
796 top-40 templates including those from template reselection and regeneration.

797 It is noted that two 3D-CNN models have been trained on the simulated and  
798 experimental density-map datasets separately, which generates two sets of  $C\alpha$   
799 conformations for each target. If the two conformations are close, i.e., with the CRscore  
800 between them  $> 0.85$ , which usually indicates good quality of the conformations, we will  
801 take the average for each  $C\alpha$  atom pair to generate the final  $C\alpha$  conformation and use it  
802 for the template reselection and regeneration as described above. In case the  $C\alpha$   
803 conformations are different (CRscore  $< 0.85$ ), which while rare, happens in some cases  
804 with low-resolution experimental cases and usually indicates that the predicted  $C\alpha$   
805 conformation is not reliable, we skip the  $C\alpha$  conformation-based template reselection and  
806 regeneration. Instead, we match each of the LOMETs templates directly with the density  
807 maps using BFGS algorithm (Supplementary Text 27) followed by a short Metropolis  
808 Monte Carlo simulation under the guidance of template-density correlation as defined in  
809 Supplementary Text 26, with movements including 2,000 rigid-body  
810 translations/rotations. The top 40 templates are then selected based on the correlation  
811 coefficients from high to low.

812

### 813 **Density-map guided structural assembly simulations**

814 CR-I-TASSER performs REMC simulations to assemble full-length structure models,  
815 under a composite energy force field of

$$816 \quad E_{\text{CR-I-TASSER}} = E_{\text{I-TASSER}} + W_{\text{temp}} E_{\text{temp}} + W_{\text{EM}} E_{\text{EM}} + W_{\text{EM}}^{\text{CNN}} E_{\text{EM}}^{\text{CNN}} \quad (5)$$

817 where  $E_{\text{I-TASSER}}$  is the inherent knowledge-based potential extended from I-TASSER<sup>20</sup>  
818 and described in Supplementary Eqs. S2-33 in Supplementary Text 28,  $E_{\text{temp}}$  contains  
819 four aspects of distance and contact restraints collected from the top templates  
820 determined by LOEMTS and 3D-CNN models (Supplementary Eqs. S34-43 in  
821 Supplementary Text 29).  $E_{\text{EM}}$  counts for the global correlation between structure  
822 conformation and experimental density map  $\rho_0$  by

$$823 \quad E_{\text{EM}} = - \sum_y \rho_0(y) \cdot \rho(y) \quad (6)$$

824 where  $\rho(y)$  is calculated by Eq. (2). The  $E_{\text{EM}}^{\text{CNN}}$  counts for the correlation between  
825 structure conformation and the 3D-CNN predicted  $C\alpha$  conformation:

$$826 \quad E_{\text{EM}}^{\text{CNN}} = - \sum_y \rho_0^{\text{CNN}}(y) \cdot \rho(y) \quad (7)$$

827 where  $\rho_0^{\text{CNN}}$  is the density maps calculated by Eq. (2) for the 3D-CNN  $C\alpha$  conformation.  
828 This term is performed only when CRscore between the two 3D-CNN conformations is  
829  $>0.85$ , which is designed to enhance the convergence of simulations to the consensus  $C\alpha$   
830 conformations. It is noted that the negative cross correlation in Eqs. (6-7) instead of PCC  
831 defined in Supplementary Text 26 is implemented because the former is computed faster  
832 than the latter. Additionally, benefit from the linear combination form of Eqs. (6-7),  
833 energy terms need to be computed only for the local segment involved in each  
834 movement, which is significantly faster than the calculations on the entire chain after  
835 each movement. The resolution for  $\rho(y)$  and  $\rho_0(y)$  calculations is automatically detected  
836 and set by a short-trained 3D-CNN predictor for resolution prediction. Our benchmark  
837 results showed that the final model quality is not sensitive to the value of setting  
838 resolution. The weight parameters in Eq. (5), as well as those in the inherent knowledge-  
839 based I-TASSER force field, are determined in a separate training protein dataset, which

840 is non-homologous to the test proteins of this work, by maximizing the average TM-score  
841 of the final models.

842

### 843 **Final model selection and model quality estimation**

844 The structure conformations generated by CR-I-TASSER (referred as ‘decoys’) in  
845 eight low-temperature replicas are clustered by SPICKER to select the states  
846 corresponding to the lowest free energy states<sup>36</sup>. Specifically, an all-to-all RMSD matrix  
847 is calculated among all decoys where a pair of decoys are considered as neighbors if their  
848 RMSD is within a cutoff. The decoy with the largest number of neighbors is selected as  
849 the center of the first cluster and the representative centroid model for the cluster is  
850 obtained by averaging all decoys included. The second cluster is obtained in a similar  
851 way on the remaining decoys after excluding all decoys from the first cluster, and the  
852 procedure repeats till five clusters are obtained. Thus, a decoy cluster captures the  
853 inherent statistics of the Monte Carlo process, i.e., the larger the size of the decoy cluster  
854 is, the higher the convergence is, and accordingly the less uncertainty the model sampling  
855 is. As the cluster centroid models from SPICKER often contain steric clashes, the  
856 centroids of the five biggest clusters are reassembled by a second round of REMC  
857 simulation to improve the hydrogen-bonding network and local structural geometry. The  
858 lowest energy conformations are selected from the second-round simulations and further  
859 refined at atomic level by the fragment-guided molecular dynamics (FG-MD)<sup>37</sup> to create  
860 final models.

861 To evaluate the quality of predicted structures, we calculate the estimated TM-score  
862 (eTM-score) of the  $m$ th CR-I-TASSER model relative to the target structure by

$$863 \quad \text{eTM-score}_m = 0.18 + 0.82 \cdot \max \left( C_m, \max_{n \neq m} \left( \text{TM-score}_{mn} - 0.5(1 - C_n) \right) \right) \quad (8)$$

864 where  $\text{TM-score}_{mn}$  is the TM-score between  $m$ th and  $n$ th predicted models. The  
865 confidence score  $C_m$  is defined as

$$866 \quad C_m = \frac{\text{CRscore}_m}{1 + 0.05 \left( M_{\text{tot}} \cdot \langle \text{RMSD} \rangle_m \right) / M_m} \quad (9)$$

867 where  $M_{tot}$  is the total number of decoy conformations submitted to SPICKER,  $M_m$  is the  
 868 number of decoys at  $m$ th cluster,  $\langle RMSD \rangle_m$  is the average RMSD of the decoys to the  
 869 cluster centroid, and the  $CRscore_m$  is the matching score of the model with the 3D-CNN  
 870 predicted  $C\alpha$  conformation by Eq. (1).

871 Supplementary Fig. 16 displays the data of eTM-score versus the actual TM-scores  
 872 on the first predicted models of all 530 test proteins with high-/low-resolution density  
 873 maps, where most of the data points are located near the diagonal line, showing a strong  
 874 linear correlation. The PCC and cosine similarity between eTM-score and TM-score are  
 875 0.858 and 0.989, respectively. If we use eTM-score=0.5 as cutoff to split  
 876 “Positive”/“Negative” cases, the numbers of cases for True Positive (TP), False Negative  
 877 (FN), True Negative (TN) and False Positive (FP) are 856, 44, 119 and 41, respectively,  
 878 which correspond the TP, FN, TN and FP rates of 95.1%, 4.9%, 74.4% and 25.6%, and  
 879 the overall Matthews correlation coefficient (MCC) = 0.710. The strong correlation  
 880 indicates that eTM-score can be used to reliably estimate the quality of predicted models.

881 In addition to the eTM-score for overall quality estimation, we introduce two metrics,  
 882 local PCC and local confidence, to estimate the local agreement to the density for the  
 883 final models. First, the local PCC for  $i$ th-residue modeling quality from the  $m$ th predicted  
 884 model is defined as

$$885 \quad LPCC(m, i) = \frac{\sum_y [\rho_m(\mathbf{y}, i) - E[\rho_m(i)]] [\rho'_m(\mathbf{y}, i) - E[\rho'_m(i)]]}{\left\{ \sum_y [\rho_m(\mathbf{y}, i) - E[\rho_m(i)]]^2 \cdot \sum_y [\rho'_m(\mathbf{y}, i) - E[\rho'_m(i)]]^2 \right\}^{1/2}} \quad (10)$$

886 where  $\rho_m(\mathbf{y}, i)$  is the density on grid  $\mathbf{y}$  calculated by Eq. (2) but only from the  $i$ th residue  
 887 of the  $m$ th predicted model. Eq. (10) is very similar to the normal PCC (see  
 888 Supplementary Text 26) except that we use a modified density  $\rho'_m$  instead of the  
 889 experimental density  $\rho_0$ :

$$890 \quad \rho'_m(\mathbf{y}, i) = \rho_0(\mathbf{y}) \cdot \frac{\rho_m(\mathbf{y}, i)}{\sum_j \rho_m(\mathbf{y}, j)} \quad (11)$$

891 The reason we use the modified density to compute local PCC for  $i$ th residue is because  
 892 the experimental density  $\rho_0(\mathbf{y})$  on grid  $\mathbf{y}$  contains contributions from all residues, where  
 893 Eq. (11) is designed to decouple the experimental density for  $i$ th residue specifically. Toy

894 model results shown in Supplementary Fig. 17 demonstrate that the  $\rho'_m(y,i)$  is more  
895 reasonable than  $\rho_0(y)$  when computing the local PCC.

896 Second, the local confidence for  $i$ th-residue from the  $m$ th predicted model is defined  
897 by integrating eTM-score and local PCC:

898 
$$LC(m,i) = T(m,i) \cdot \sum_j \frac{eTM\text{-score}_m}{T(m,j)} \quad (12)$$

899 where  $T(m,i)$  is defined as

900 
$$T(m,i) = \frac{(LPCC(m,i)+1)}{N_{model}} \sum_{n=1}^{N_{model}} \frac{eTM\text{-score}_n}{1 + \left(\frac{d_i(m,n)}{d_0}\right)^2} \quad (13)$$

901 Here,  $d_i(m,n)$  is the distance of  $i$ th residue between  $m$ th and  $n$ th models, and  $d_0$  is a  
902 scaling parameter from TM-score (see Supplementary Text 4).  $N_{model}$  is the number of  
903 final models predicted by CR-I-TASSER which is no more than five.

904 As an illustration, Supplementary Fig. 18 displays the local PCC and local confidence  
905 scores on two end-to-end study proteins (6tsk-B and 7dk5), where Supplementary Table  
906 5 lists the average correlation coefficients between the local quality scores and the local  
907 error of predicted models from the experimental structure for all 248 test proteins with  
908 experimental density maps. The data show that both scores can be used for local model  
909 quality estimation. Although the local confidence shows a slightly higher correlation with  
910 the local modeling errors, CR-I-TASSER output both scores for alternative local quality  
911 estimations. In addition, CR-I-TASSER produces up to five models, which allow user to  
912 estimate the global/local quality using other methods such as ensemble structure  
913 comparison.

914

#### 915 **Data Availability**

916 All training and testing data are available at  
917 <https://zhanglab.ccmb.med.umich.edu/CR-I-TASSER/>.

918

#### 919 **Code Availability**



920 The standalone package of the CR-I-TASSER programs, including library and manual  
921 documents, are available to download at [https://zhanglab.ccmb.med.umich.edu/CR-I-](https://zhanglab.ccmb.med.umich.edu/CR-I-TASSER/download.html)  
922 [TASSER/download.html](https://zhanglab.ccmb.med.umich.edu/CR-I-TASSER/download.html).

923

924

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