

1 In-situ pH Measurement of Water Droplets Using
2 Flash-Freeze SERS

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7 Keywords: Environmental droplet, pH, flash-freeze SERS.

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16 **Abstract**

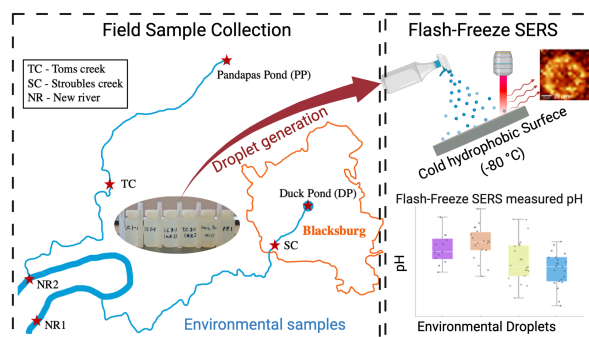
17 Characterization of single droplet pH is required to better understand differences in droplet and
18 bulk water chemistries and the variability of individual atmospheric droplets. In this study, we
19 demonstrate the use of flash-freeze SERS as a means to characterize the pH of micron-size droplets
20 generated from mixtures of low solute concentration environmental water samples and a pH
21 nanoprobe. These samples included stream waters, rain, and snowmelt from areas surrounding
22 Blacksburg, Virginia. Compared to room-temperature SERS, flash-freeze SERS preserves the
23 initial droplet properties and minimizes water loss due to localized heat generation in the vicinity
24 of SERS hot-spots. Moreover, the flash-freeze SERS approach provides greater sensitivity relative
25 to pH paper for low solute concentration droplets. We observed that pH values measured by flash-
26 freeze SERS were generally comparable with those obtained at room temperature, but did not
27 result in heating induced droplet destabilization. Using flash-freeze SERS, we observed that
28 droplet and bulk pH were comparable for most environmental samples. One rainwater sample
29 showed a higher droplet pH compared to its bulk sample. Based upon ICP-MS results, the ionic
30 composition of this rainwater was significantly different from the other collected samples, thus
31 suggesting the effect of droplet composition on droplet pH. Our results demonstrate the application
32 of the flash-freeze SERS method to preserve environmental droplet properties and to measure pH
33 of low solute concentration droplets.

34 **Keywords:** Aerosol droplets; Raman; SERS; pH; flash-freeze

35 **Synopsis:** We illustrate the utility of flash-freeze SERS for characterization of the pH of
36 environmental water samples.

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40 **Introduction**

41 Aerosols and aqueous droplets are ubiquitous in the atmosphere. Among the physiochemical
 42 properties that describe atmospheric droplets, pH is a crucial factor affecting the thermodynamics
 43 of gas-liquid partitioning at the air-water interface and atmospheric chemical kinetics (e.g., aerosol
 44 formation and transportation).¹⁻³ Accurate characterization of atmospheric droplet pH is needed to
 45 improve our understanding and prediction of the effect of atmospheric droplets on climate, human
 46 health, and ecosystems.^{3,4}

47 Atmospheric droplet pH exhibits extensive temporal and spatial variations that reflect changes
 48 in droplet composition as droplets evolve in the atmosphere. The pH of sea spray aerosols is
 49 typically around 7-8 upon generation, but rapidly decreases due to the uptake of acidic gases such
 50 as SO₂ and HNO₃.⁵⁻⁸ In addition, relative humidity (RH) affects the water content of atmospheric
 51 droplets. Processes such as deliquescence (transition from solid particles into aqueous droplets
 52 through water uptake) and efflorescence (transition from aqueous droplets to solid particles via
 53 evaporation) are RH driven.^{9,10} As the water content of a droplet varies, solute concentrations
 54 change thus affecting the equilibrium state of the droplets.^{11,12} Due to the close correlation between

55 droplet composition and pH there is need for the development of droplet pH characterization
56 methods that minimally affect droplet composition.

57 Raman spectroscopy provides rich chemical fingerprints for many dissolved analytes.^{13,14}
58 Surface-enhanced Raman spectroscopy (SERS), enabled by the local plasmon resonance at a noble
59 metal interface (e.g., gold nanoparticles (AuNPs)), can enhance Raman signal intensities by up to
60 10^8 .¹⁵ SERS-enabled pH characterization methods have been applied in various systems.¹⁶⁻²⁰ Our
61 group has pioneered the use of pH nanoprobe based SERS methods to characterize single droplet
62 pH.²¹⁻²³ To study droplets generated from environmental samples characterized by low solute
63 concentrations, we developed a flash-freeze SERS method to prevent droplet destabilization that
64 may arise due to localized heat generation at SERS hot spots.²⁴⁻²⁶

65 Kucinski et al observed liquid-liquid phase separation of micron and sub-micron aerosols using
66 flash-freeze flow tube and cryogenic transmission electron microscopy.²⁷ Inspired by this flash-
67 freeze method and the use of vitrification within the biosciences,^{28,29} we flash-froze droplets
68 generated from environmental samples and characterized droplet pH via SERS. The flash-freeze
69 process hinders ice nucleation and crystal growth and thus preserves the component distribution
70 within droplets following aerosolization.^{27,30,31} Importantly, low temperatures can effectively
71 dissipate heat generated during SERS measurements. Herein, we present proof of concept results
72 of the flash-freeze SERS method for environmental droplet pH characterization and show its
73 potential for onsite application and 2D imaging of environmental micrometer scale droplets.

74 **Material and Methods**

75 *Environmental water samples*

76 Stream and pond water samples were collected from six different locations within the New River
77 Valley area of Virginia. Locations and sample abbreviations are marked in Figure 1a (SC-

78 Stroubles Creek, TC–Toms Creek, NR–New River, DP–Duck Pond, PP–Pandapas Pond). Rain
79 samples were collected in December 2017 (R1217), August 2018 (R0818), and March 2021
80 (R0321). Snow samples were collected in March 2018 (S0318), December 2018 (S1218), and
81 February 2021 (S0221) and were stored at -80°C immediately after collection. The snow samples
82 were melted at room temperature prior to measurement. Acidic samples were prepared by adding
83 1-5 mM H₂SO₄ to the environmental samples. All bulk sample pH values were recorded using a
84 commercial pH meter (Orion versa star pro, Thermo Scientific). The ionic composition of field
85 samples were characterized via Inductively Coupled Plasma-Mass Spectrometry (ICP-MS,
86 Thermo Scientific Thermo Electron X Series, Table S1).

87 *Synthesis of pH nanoprobes and AuNS*

88 SERS pH nanoprobes were synthesized via our previously reported approach.^{21,23,32} The
89 synthesis of gold nanostars (AuNS) followed the method of Yuan et al.³³ Detailed synthesis
90 procedures, nanoparticle characterization, and the pH response of the pH nanoprobe are presented
91 in the Supporting Information (SI).

92 *Generation and Collection of Aerosol Droplets*

93 Aerosol droplets were generated from the mixture of environmental samples and pH
94 nanoprobe/AuNS suspensions using commercial spray bottles (10mL). The mixture contained 5%
95 v/v nanoprobe which showed negligible effect on environmental sample pH (Table S2). Droplets
96 were collected on a superhydrophobic filter,^{22,23} with 4-6 times of spray. The high relative humidity
97 (RH≈95%) room temperature SERS measurements follow our previously reported procedure.^{22,23}
98 The flash-freeze SERS measurements were conducted using a pre-cooled Linkam THM600
99 thermostage (-80°C). Droplet flash-freezing is described in detail in the Supporting Information

100 (SI). The collected droplet size ranged from 30-140 μm with an average of $80.3 \pm 21.8 \mu\text{m}$. (Figure
101 S2)

102 *SERS measurements*

103 SERS images of single droplets were collected using a confocal Raman microscope (WITec
104 Alpha 500R, 50 \times objective lens, 785nm laser). The laser power was 2-10mW and the integration
105 time was 0.2s for all measurements. SERS images of droplets at room temperature consisted of
106 10 \times 10 pixels which represents an area covering an entire droplet. SERS images of flash-frozen
107 droplets used 20 \times 20 pixels over the droplet area for better spatial resolution. Bulk environmental
108 sample pH values were measured in triplicate and each measurement was averaged from 20 \times 20
109 spectra over a 100 \times 100 μm^2 area.

110 *pH characterization with pH paper.*

111 We also characterized solution pH using pH paper (Hydriion, 1.0-12.0). The pH values of high
112 concentration solutions were adjusted using 31.6mM HCl and 100 mM NaOH, while the pH of
113 low concentration solutions were adjusted using 1mM HCl and 10mM NaOH. The pH of bulk and
114 spray samples of all environmental samples were characterized using pH paper.

115 **Results and Discussion**

116 *The limitations of room-temperature SERS for droplet pH quantification.*

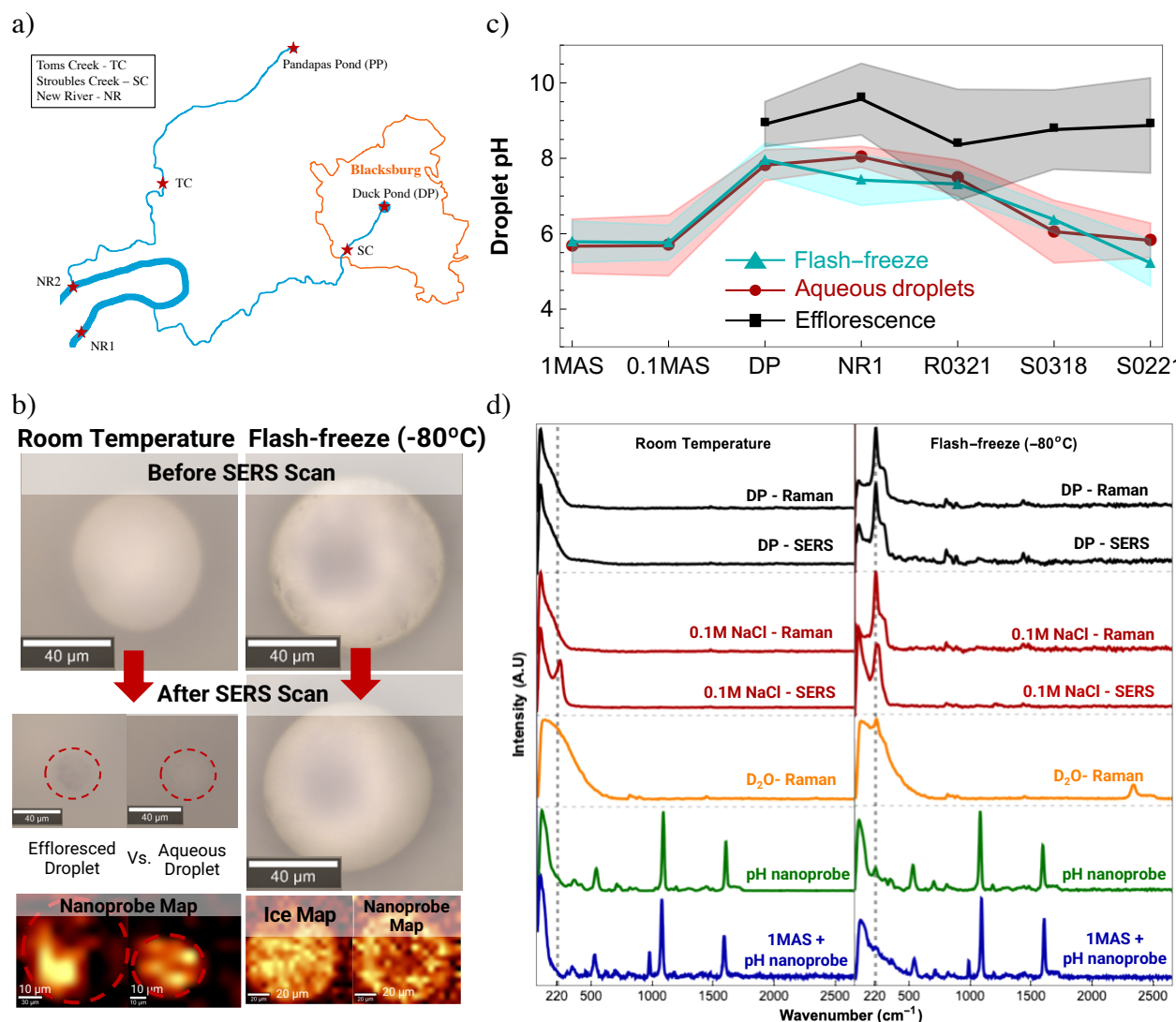
117 We previously observed changes in droplet pH measurements under low salt concentration (<
118 60mM) conditions that were attributed to water loss and efflorescence resulting from the heat
119 generated during a room-temperature SERS measurement.²³ Such a heat effect limits the
120 application of SERS for droplet characterization since atmospheric droplets often contain low
121 solute concentrations. To examine the effect of heat on environmental droplets, we initially
122 characterized droplet pH at room temperature and 95% RH following our previously reported

123 SERS method.^{22,23} As shown in Figure 1b, following a room temperature SERS scan at 95% RH,
124 the droplet diameter decreased to $\sim 20\mu\text{m}$ from $\sim 50\mu\text{m}$ due to water loss. Simultaneously, the
125 brightness of a majority of the droplets ($>70\%$) changed due to the change in light-scattering that
126 results from droplet efflorescence.^{34,35} Using XY-SERS scanning, we obtained SERS images that
127 reveal the distribution of pH nanoprobe in droplets according to the characteristic peak at 1080
128 cm^{-1} (i.e., the ring-breathing mode of benzene²¹). SERS maps of pH nanoprobe collected from
129 effloresced (i.e., optically darkened) droplets exhibit localized intensities that denote
130 heterogeneous distributions that arise due to ice crystal formation (Figure 1b, Figure S3). We
131 differentiate these effloresced (i.e., darkened) droplets from those that retained their brightness
132 following a SERS scan. The droplets that retain their brightness are aqueous droplets that only
133 partially lost their initial water content. Homogenous pH nanoprobe SERS maps of these aqueous
134 droplets reflect the homogenous distribution of the nanoprobe within the aqueous droplet residue
135 (Figure 1b).

136 The droplet pH values measured via room-temperature SERS exhibit discrepancies between
137 aqueous droplets and effloresced droplets. The mean droplet pH values of effloresced droplets are
138 1–2 pH unit higher than the corresponding aqueous droplets (Figure 1c) and exhibit greater
139 variability as denoted by their large standard deviations. This inconsistency in pH shows that the
140 loss of water content, especially if it results in a phase-transition (e.g., efflorescence), impairs the
141 accuracy of pH measurement using room-temperature SERS. Moreover, the measured value may
142 no longer reflect droplet pH as the phase-transition may affect the spectral behavior of the pH
143 nanoprobe. Therefore, to achieve accurate pH characterization for environmental droplets with
144 low solute concentration, it is important to maintain droplet stability.

145 *Using pH paper method on low concentration environmental water samples.*

146 Ault et al. developed a pH paper-based colorimetric method for pH quantification in aerosols
147 and droplets that was recently adapted by Angle et al. to investigate the acidity of sea spray
148 aerosols.^{36,37} Herein, we tested this approach to quantify environmental droplet pH. Our results
149 indicate that pH paper exhibits a solute concentration threshold below which it is not possible to
150 achieve accurate pH measurement. As shown in Figure 2a, when the solute concentration was
151 relatively high (>10mM), pH paper accurately measures solution pH; however, when the solute
152 concentration was ~1-3mM, the pH paper exhibited very similar colors over a wide range of
153 solution pH values. This result suggests that use of pH paper may lead to inaccurate results when
154 quantifying the pH of environmental samples with low solute concentrations. To test this
155 hypothesis, we measured pH of bulk environmental samples and their aerosolized samples using
156 pH paper (Figure 2a). For stream water samples, DP and its upstream sample SC, both of which
157 have high salt concentrations, had accurate pH readings (i.e., consistent pH values measured by
158 both pH paper and pH meter) whereas the pH paper results for other samples deviated from those
159 obtained using a pH meter. No pH deviation was observed between the spray samples (i.e., the pH
160 of micron-size droplets) and bulk samples of DP and SC. Since the rain and snowmelt solute
161 concentrations are lower than those for the stream water sample, the results obtained using pH
162 paper are likely inaccurate.



163
 164 *Figure 1. a) Sampling locations and annotations for stream water samples. All rain and snowmelt*
 165 *samples were collected near Blacksburg, Virginia. b) Optical images of collected droplets at room*
 166 *temperature and -80 °C before and after SERS scan. Droplets shrank and evaporated during SERS*
 167 *measurement at room temperature, but remained unchanged during SERS scan at -80 °C. The*
 168 *nanoprobe maps at the bottom were generated based upon the signal intensity of the characteristic*
 169 *peak at 1076 cm⁻¹ (reflecting the ring-breathing mode of benzene in 4-MBA). The ice map was*
 170 *generated according to the peak at 220 cm⁻¹ that reflects lattice vibration. The SERS maps showed*
 171 *relatively homogeneous distributions of nanoprobe and ice crystals. c) Comparison between room*
 172 *temperature SERS (aqueous), flash-freeze SERS, and measurement results for effloresced-*
 173 *droplets, the shaded area denotes the standard deviation of measurement results. d) Raman and*
 174 *SERS spectra of one environmental water sample (DP – duck pond), 0.1M NaCl, D₂O, pH*
 175 *nanoprobe, and 1M AS + pH nanoprobe at room temperature and at -80 °C in droplets. Except*
 176 *for the spectra of the pH nanoprobe all other SERS spectra were collected from a mixture of the*
 177 *sample and AuNS.*

179 *Droplet pH characterization with flash-freeze SERS*

180 Droplets are stable during the flash-freeze SERS measurement, which can be indicated by the
181 consistent size and the brightness of the droplets before and after SERS scanning at -80°C (Figure
182 1b). In our recent work, we observed a spectral change for the pH nanoprobe in ammonium sulfate
183 (AS) droplets at -50°C which was attributed to aggregation of the pH nanoprobe during ice
184 nucleation and crystal growth that arose due to a moderate temperature decreasing rate
185 ($10^{\circ}\text{C}/\text{min}$).³⁸ Herein, according to the SERS images, the pH nanoprobe were homogeneously
186 distributed without aggregation at -80°C in flash-frozen droplets. The flash-freeze method
187 suppresses transport and localization of droplet components that can occur under slower
188 temperature changing rates due to nucleation and ice crystal formation.^{27,39,40}

189 The preservation of droplet properties by the flash-freezing process is reflected in the Raman
190 and SERS spectra, as well as SERS images of the droplets. We investigated the change in the
191 Raman and SERS spectra of an environmental water sample (Duck Pond, DP) and a 0.1M NaCl
192 solution between room temperature and -80°C after flash-freezing (Figure 1d). NaCl is a common
193 solute in environmental water samples and we focus on the spectra of DP due to its high solute
194 concentration (Table S1). The SERS spectra, except for those obtained using pH nanoprobe, were
195 collected from a mixture of synthesized AuNS and a given sample. Upon freezing, strong peaks at
196 $\sim 220\text{cm}^{-1}$ appeared in all sample spectra, but with variations in peak shape. In the Raman spectra
197 of 0.1M NaCl and DP and in the SERS spectra of DP (Figure 1d), the peak at $\sim 220\text{cm}^{-1}$ (with a
198 shoulder at $\sim 280\text{cm}^{-1}$) appeared only after flash-freezing. This peak corresponds to the literature
199 reported value of the translational lattice vibration of ice^{41,42} and therefore reflects ice formation.
200 To test this hypothesis, we collected Raman spectra of D_2O at room temperature and at -80°C . The
201 peak at 220cm^{-1} remained at the same wave number which indicated that the peak arises from

202 lattice vibrations instead of bond vibrations. The SERS spectra of 0.1M NaCl show negligible
203 change after flash-freezing. Under these conditions, the strong peak at $\sim 220\text{cm}^{-1}$ reflects the
204 combination of the characteristic peak of the Au-Cl covalent interaction and ice.⁴³ Moreover, no
205 apparent spectral changes were observed in 1M AS droplets (Figure 1d). The SERS and Raman
206 spectra of 0.1M NaCl, DP, and 1M AS suggest that, for a wide range of solute species and
207 concentrations, flash-freeze SERS preserves droplet composition with the phase-transition of
208 water being the major change observed between room-temperature SERS and flash-freeze SERS
209 spectra. The homogeneous SERS image of ice generated according to the intensity of 220cm^{-1} peak
210 from the intermolecular vibration suggests the suppression of solute aggregation, thus resulting in
211 negligible change in sample spectra during the flash-freeze process. The similar Raman spectra
212 (reflecting only ice formation), identical SERS spectra, and homogeneous SERS images
213 collectively demonstrate that the flash-freeze process preserved the properties and the solute
214 component distribution in droplets.

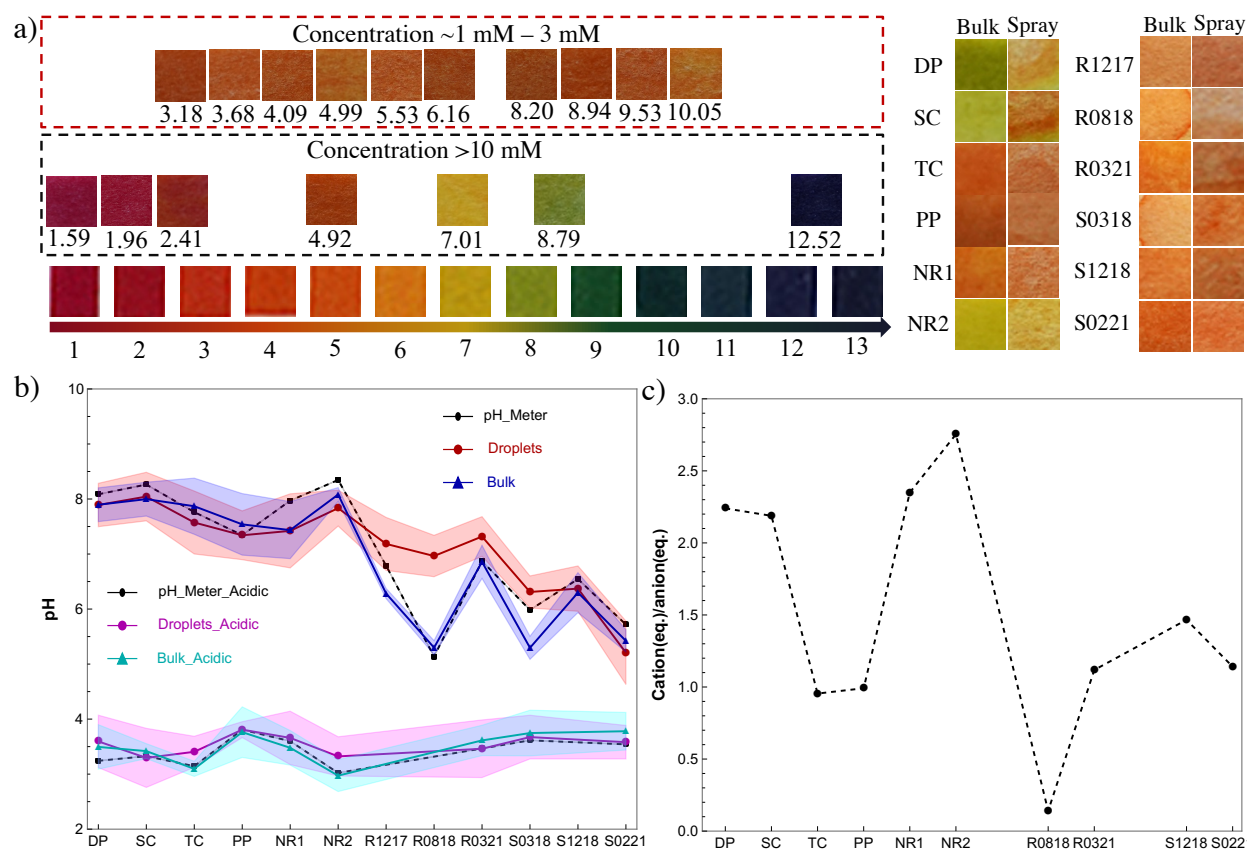
215 We next investigated the droplet pH values using both room temperature SERS and flash-freeze
216 SERS (Figure 2b). Droplets generated from lab-prepared ammonium sulfate (AS) solutions, stream
217 water samples, rain, and snowmelt samples were investigated. We previously characterized AS
218 droplets and observed both 1M and 0.1M AS droplets are stable during room temperature SERS
219 measurements.²³ Herein, the pH values for AS droplets were consistent between room temperature
220 SERS and flash-freeze SERS and agree with our previously reported values (Figure 1c&1d).²³ This
221 consistent pH measurement result suggests that the flash-freeze process preserves the spectral
222 response of the pH nanoprobe and provides accurate pH measurements. For droplets generated
223 from environmental samples, the pH values measured for aqueous droplet residues via room
224 temperature SERS are similar to those measured for frozen droplets using flash-freeze SERS. The

225 difference between the mean values of the two methods were insignificant and smaller than 0.5
226 pH unit; however, aqueous droplet residues were only a small portion of the total droplets with the
227 majority experiencing efflorescence. The confirmation of the aqueous state requires collection of
228 optical images prior to and post SERS measurements. In contrast, the flash-freeze SERS approach
229 enhances the success of a given SERS measurement since it preserves droplet properties. The
230 consistent pH measurement results suggest that in the concentration range involved in this study,
231 the loss of water had a negligible effect on droplet pH. According to Table S1, the total solute
232 concentration in the environmental water samples ranged from ~5-30ppm, which corresponds to
233 ~0.1-1mM. Our optical images of initial droplets and their aqueous residues suggest that an
234 approximate 10× enrichment factor can be applied due to the loss of water content (calculated
235 based upon the change in droplet volume); therefore, the final solute concentration in droplet
236 aqueous residues should be ~50-300ppm, and ~1-10mM. The flash-freeze SERS measured pH for
237 stream water spray droplets was consistent with literature values for sea and lake spray aerosols
238 thus validating the pH value measured by flash-freeze SERS.^{3,7}

239 The bulk sample pH and droplet pH measured using flash-freeze SERS are shown in Figure 2b
240 and representative SERS spectra for pH calculation can be found in Figure S4. No apparent pH
241 difference was observed between bulk stream water and these droplets. For the rain and snowmelt
242 samples, we observed slightly lower pH in snowmelt droplets compared to rain droplets and stream
243 water droplets. We also acidified the collected samples with low concentration H₂SO₄ (1-5mM) to
244 mimic atmospherically relevant aerosol droplets. The droplet pH characterized using flash-freeze
245 SERS was consistent with that measured in the corresponding bulk solutions (Figure 2b). The
246 droplet pH of R0818 exhibited a significantly higher droplet pH compared to the bulk sample pH.
247 We investigated the concentration of ions and the relative ratio between the equivalent major

248 cations and anions by ICP-MS (Figure 2c). The cation/anion ratio was estimated using
249 $\frac{[Na^+] + [K^+] + 2 \times ([Mg^{2+}] + [Ca^{2+}])}{2 \times [SO_4^{2-}] + [Cl^-]}$. The ICP-MS result showed that the cation/anion ratio of the R0818
250 sample (0.14) was significantly lower than that of other environmental samples (between 1 to 2.8)
251 and the calcium concentration in snowmelt samples was significantly lower than in other samples.
252 The lower calcium concentration explains the lower pH observed in snowmelt droplets. We note
253 that the ICP-MS results do not present all possible compositions that are present in rainwater (e.g.,
254 ammonium and carbonate were not measured); however, the difference in the calculated
255 cation/anion ratios reflects the substantial composition difference between the R0818 sample and
256 other environmental water samples. We thus infer the difference in pH between droplets and bulk
257 reflects this composition difference. The ICP-MS result showed that, among characterized species,
258 Na^+ , K^+ , and SO_4^{2-} are most abundant in the R0818 sample, whereas in other samples Cl^- is
259 comparable or even more dominant (Table S1). We have previously reported that Na_2SO_4 droplets
260 exhibit higher pH values compared to their bulk solution (~1-2 pH unit), potentially due to the
261 preferred solvation of sulfate away from the air-water interface, which is consistent with our
262 observations for R0818.²³

263 In addition to determining mean pH values, flash-freeze SERS can also provide 2D pH
264 distributions and component distributions within droplets reflecting all of the collected SERS
265 spectra (Figure S5). Such SERS images can help investigate pH effects near the air-water interface
266 and their relation to component distribution. This is critical for both low concentration and high
267 concentration aerosol droplets in the environment. Moreover, through adjustments to the
268 aerosolization and collection process (e.g., application of flow tube), we can potentially apply
269 flash-freeze SERS on-site to characterize field collected environmental droplets with minimal
270 concern for the effect of the Raman laser on droplet stability.



271
 272 *Figure 2. a) The results of pH paper measurements and the effect of solution concentration. For*
 273 *low concentration solutions (1 – 3 mM), the pH paper showed similar color over a wide range of*
 274 *solution pH. b) Measured pH values of environmental samples, and acidic samples made from the*
 275 *environmental samples (pH was adjusted using <10mM H₂SO₄). Bulk pH values of environmental*
 276 *samples were measured by room temperature SERS and the corresponding droplet pH values were*
 277 *measured using flash-freeze SERS. c) The cation/anion ratio of characterized environmental*
 278 *samples according to the ICP-MS result.*

279 **Associated Content:**

280 **Supporting Information.** Synthesis and characterization of pH nanoprobe and AuNS, additional
 281 table (Table S1) and graphs (Figure S1-S5) involved in the discussion are included in the
 282 supporting information.

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290

291 **References**

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