

Plastic Anisotropy Evolution of SS316L and Modeling for Novel Cruciform Specimen

by

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Abstract

In this paper, the evolution of the plastic anisotropy of stainless steel 316L samples is investigated under proportional loading paths using a customized cruciform specimen. The determination of a novel cruciform specimen by a design of experiments approach integrated with finite element simulations is described. The mechanical properties of the material are characterized under uniaxial tension applied in every 15° from the rolling direction and equibiaxial tension from hydraulic bulge experiments. The results reveal that the plastic anisotropy shown in stress and strain significantly evolves with respect to the plastic work. Based on the experiments, the material behavior is modeled using a non-quadratic anisotropic yield function, Yld2004-18p, with parameters modeled as a function of the equivalent plastic strain assuming plastic work equivalence and with constant parameters for comparison. The Hockett-Sherby model is also used for the strain hardening behavior to extrapolate the results to higher strain values. The models are implemented into a user material subroutine for finite element simulations. To validate the model, in-plane biaxial tension experiments are performed, using a customized specimen, to achieve greater deformation than previous designs by introducing double-sided pockets for thickness reduction and notches in the corner areas. The results are compared with finite element simulations implemented with the plasticity models.

Keywords: B. anisotropic material, B. constitutive behavior, B. elastic-plastic material, C. finite elements, C. mechanical testing

1. Introduction

Building accurate material models often involves the consideration of various aspects of material behavior, one of which is differences with respect to the material orientation, so called plastic anisotropy, of sheet metals. In terms of mechanical behavior, plastic anisotropy is often defined by ratios in stress and strain with respect to a reference. The values are not unity, i.e., non-isotropic, in general and can be varied with the material orientation. Their numerical formulations, so called anisotropic yield functions, have been published in the past 75 years starting with Hill and Orowan [1], who published a yield function for orthotropic materials based on the isotropic von Mises yield function in 1948. Several years later, Hosford [2] derived a generalized yield function based on the isotropic yield function from Hershey [3]. Barlat and Lian [4] modified Hosford's yield function to incorporate the effect of shear stress. In the decades since, increasingly advanced yield functions, e.g., Karafillis and Boyce [5], Barlat family functions [6–9], Cazacu and Barlat [10,11], Bron and Besson [12], and Banabic, Balan, and Comsa (BBC) family functions [13,14], have been developed to improve plastic anisotropy predictions, some of which are summarized in the reviews by Banabic et al. [15] and Barlat and Kuwabara [16].

These recent developments have proven successful in their ability to simulate forming processes for a material of consistent plastic anisotropy during deformation [17–21], i.e., relatively constant stress ratios and r -values with respect to plastic work. However, for some materials, a clear distinction is observed in the plastic anisotropy at different levels of plastic work under non-proportional [22–24] or proportional loading [18,25]. The former often presents strong evolutions in the anisotropy, especially upon the loading path change, with respect to plastic work. Thus, it is modeled in conjunction

with kinematic [26–28] or distortional hardening models [29–31], which can capture Bauschinger effect, permanent softening, latent hardening effect, etc., depending on the material. In contrast, the latter presents relatively minor plastic anisotropy changes primarily caused by the texture evolution during deformation [25]. To model this, as an example, Kuwabara et al. [25] calibrated an exponential function, using data at several strain levels, for each parameter of Yld2000-2d [8]. When choosing a yield function, specific types of metals, e.g., steel [30,32,33], aluminum [21,34–37], titanium [38–40], magnesium [41,42] alloys, etc., and their textures can be considered to provide an adequate description of plastic anisotropy. Simultaneously, the computational expense in numerical simulations, which results from the complexity of the model necessitated by the desired level of accuracy, must be balanced.

These advanced plasticity models often require the identification of more parameters, and thus basic material characterization experiments, e.g., uniaxial tension, are insufficient, especially for modeling forming processes. These modeling efforts may be further complicated by the inclusion of stress superposition [43], varying deformation paths [27,44–47], and ductile damage and fracture analysis [48,49]. In 1967, Shiratori and Ikegami [50] designed four potential cruciform specimen geometries and conducted biaxial tension experiments to study more complex deformations. Later, Kuwabara et al. [51] proposed a cruciform specimen with straight arms and investigated the work hardening in cold-rolled steel under biaxial tension. In the decades since, many cruciform geometries have been proposed, one of which is designated as ISO standard 16842 [52] (see more information in [51,53–55]), but an ASTM standard does not exist.

In Banerjee et al. [56], the criteria cited most often for designing cruciform biaxial specimens are uniformity of strain fields, minimization of shear strains, failure behavior in the gauge area, and reduced stress concentrations outside the major deformation region. Common strategies for achieving these objectives include creating notches at the corners [57,58], reducing or increasing the thickness in specific regions [59–61], machining slots in the arms [51,52,59], or some combination thereof [62]. Deng et al. [59] proposed a modified design with a reduced thickness area that does not require inverse numerical calibration and presented the yield locus of a dual-phase steel, DP590, determined experimentally using the specimen. Murakoso and Kuwabara [63] used cruciform specimens to analyze ultra-thin stainless steel sheets under biaxial tension. Despite numerous specimen designs already available in the literature [64], additional variations are still being generated to tailor the achievable results and place emphasis on certain design specifications according to the authors' intended applications. For example, the predecessors to the geometry described in this work required a sufficiently large gauge area for material characterization, such as strain measurement and microstructure imaging [65,66].

For material modeling purposes, the addition of in-plane biaxial cruciform experiments is beneficial for parameter identification or validation of models calibrated using conventional experiments, such as uniaxial tension in different orientations. Although the available testing conditions of in-plane biaxial experiments are mostly limited to the biaxial tension stress states, compression combined with tension [67] can be applied with additional equipment, e.g., an anti-buckling device. Alternatively, shear combined with tension [68] or plane strain tension [69] can be investigated with custom

geometries. A custom device with hinged fixtures and knife-edges has also been developed for off-axes testing [70]. These experiments can improve specific aspects of the material models required by numerical analyses. In Steglich et al. [41], AZ31 magnesium alloy biaxial deformation experiments were used to validate a visco-plastic self-consistent model. In Kuwabara et al. [25], 6016-O and 6016-T4 aluminum alloys were compared experimentally and numerically using cruciform specimens to determine the effects of heat treatment on the plastic anisotropy. In addition to plasticity modeling, Leotoing et al. [71,72] performed in-plane biaxial experiments to investigate the non-linear prestraining effect and construct numerical forming limit models. Ha et al. [36] used a specialized cruciform specimen, in conjunction with center-hole and shear specimens, to characterize the ductile fracture of a heat treated aluminum alloy, AA6111, using the experimental-numerical hybrid method. Kuwabara et al. [73] used the ISO standard cruciform geometry in comparison to uniaxial compression experiments to characterize the strength differential effect in a low carbon steel. Additionally, a 0.8 mm-thick mild steel cruciform specimen using the ISO standard geometry was measured up to a plastic strain level of 0.234 in non-linear stress path experiments to validate the material model [74].

In this paper, the evolution of plastic anisotropy in stainless steel 316L (SS316L) under proportional loading is investigated using a newly designed cruciform specimen and advanced material modeling for the numerical simulation. The plasticity characterization is presented in Section 2 with the experimental results of uniaxial and equibiaxial tensions. Based on the experiments, the material is modeled in Section 3 using a non-quadratic anisotropic yield function with equivalent plastic strain dependent parameters, i.e., Yld2004-18p ($\bar{\epsilon}$), and Hockett-Sherby strain hardening. Section 4

describes the optimization of the cruciform geometry based on six design goals and the in-plane biaxial experiments using the determined cruciform specimen under four proportional loading conditions. In Section 5, finite element (FE) simulations of the cruciform experiments are performed, using Yld2004-18p($\bar{\epsilon}$), Yld2004-18p with constant parameters, and von Mises yield functions, and compared to experimental results to validate the implemented models under the given loading conditions. The work is summarized with conclusions in Section 6.

2. Plasticity characterization

For the material characterization of SS316L sheet of 1.2 mm thickness, uniaxial and equibiaxial tension experiments were performed. From these experimental results, the strain ratios and normalized stresses are calculated at seven plastic work levels to evaluate the plastic anisotropy evolution. Refer to Table 1 for a summary of the material characterization results.

2.1. Uniaxial tension experiment

Seven uniaxial tension experiments were conducted using the ASTM E8 standard sheet-type specimen [75] oriented every 15° from the rolling direction (RD) to the transverse direction (TD) at room temperature in displacement control (5 mm/min), which corresponds to a static strain rate ($\dot{\epsilon} \approx 10^{-3}$ /s) in the plastic range, using an MTS universal testing machine with a 250 kN load cell. Three specimens were tested for each condition

¹ Certain commercial instruments and software are identified to specify the experimental study adequately. This does not imply endorsement by NIST or that the instruments and software are the best available for the purpose.

to ensure repeatability of the results. Strain data were obtained using a 2D-Digital Image Correlation (2D-DIC) system (Correlated Solutions, Inc.) with a 5.0-megapixel camera (FLIR Grasshopper2) and 17 mm lens (Schneider). The specimens were prepared with a base coat of white paint with a black paint overspray pattern for the DIC imaging. The DIC parameters used in the analyses (VIC-2D software) were 19 pixels, 4 pixels, and 5 for the subset, step, and filter sizes, respectively. Figure 1 shows the experimental setup with the 2D-DIC system and specimen geometry from ASTM E8.

Figure 2 contains the true-stress strain curves during uniform elongation for seven uniaxial tension experiments (one for each orientation from the RD) and the corresponding instantaneous r-values, i.e., the ratio of width to thickness strain increment or $r = d\varepsilon_w^p / d\varepsilon_t^p$, with respect to equivalent plastic work. The instantaneous r-values are calculated using a constant plastic strain increment of 0.05. The thickness strain is inferred based on volume conservation. The equivalent plastic strain ($\bar{\varepsilon} \equiv \int d\bar{\varepsilon}$), calculated by the work equivalence principle ($dw = \boldsymbol{\sigma} : d\boldsymbol{\varepsilon}^p = \bar{\sigma} \cdot d\bar{\varepsilon}$), is plotted in the upper x-axis. In Figure 2a, the RD and TD orientations show similar hardening behavior, and the true stress-strain curve for 45° falls slightly below the six other orientations as shown in the inset. Compared to the minor anisotropy in the flow stress seen in the stress-strain curves, the r-values present much clearer anisotropy, varying between 0.4 and 1.55, and even evolve as the plastic work increases. Young's modulus is calculated from the experimental data, and Poisson's ratio is assumed to be the textbook value. The values are summarized in Table 1.

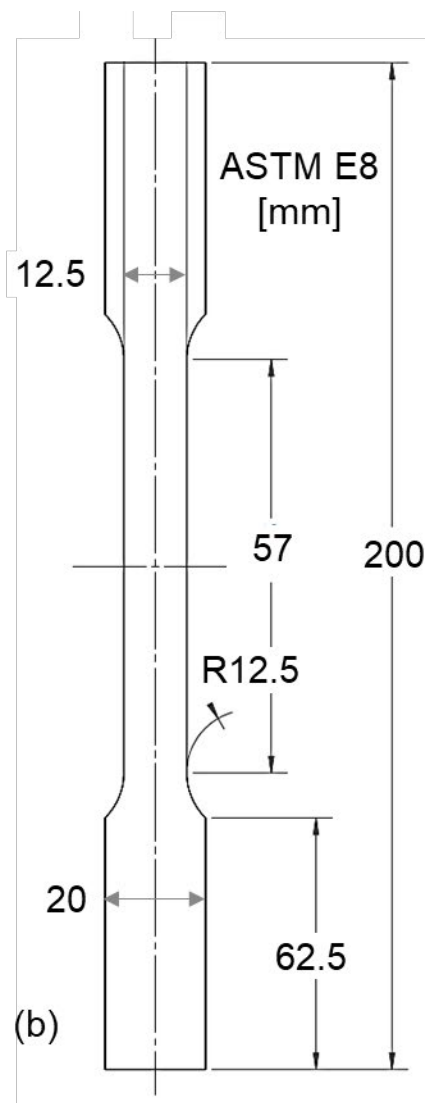
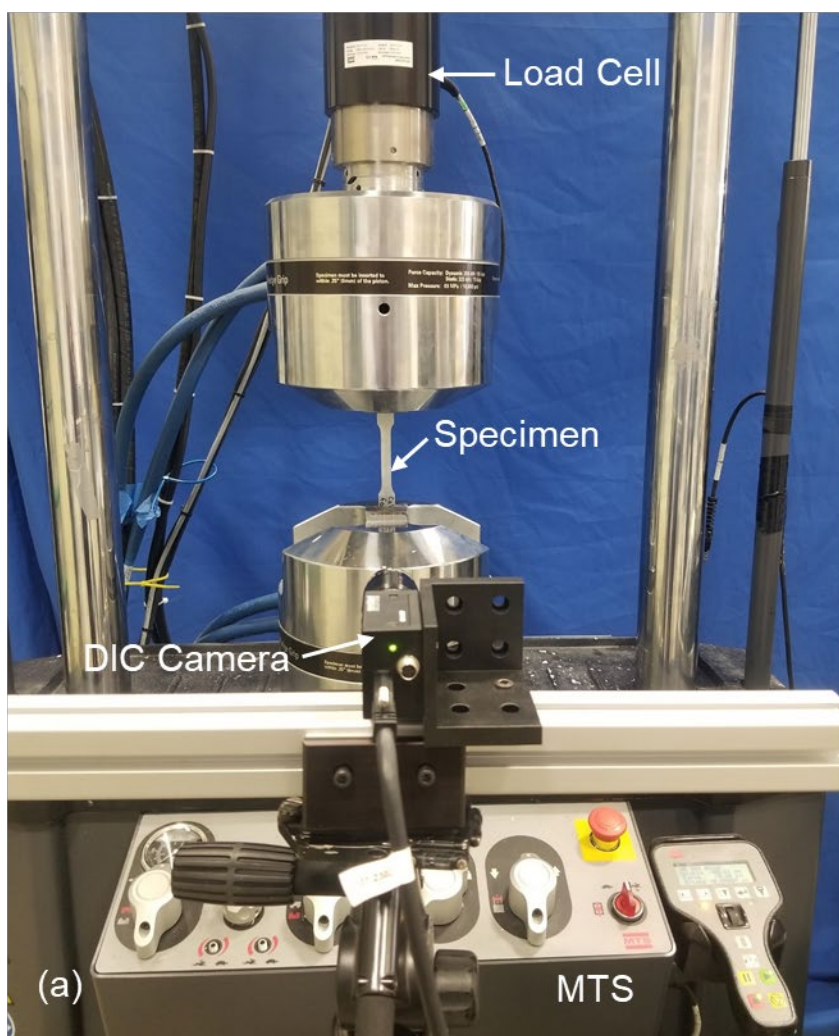


Figure 1. Uniaxial tension experiment: (a) test setup for MTS machine with 2D-DIC system and (b) ASTM E8 specimen geometry.

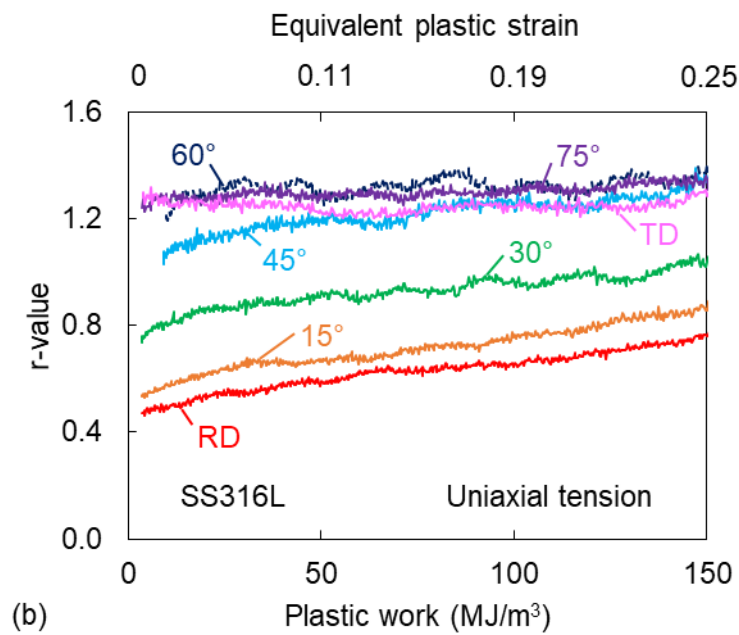
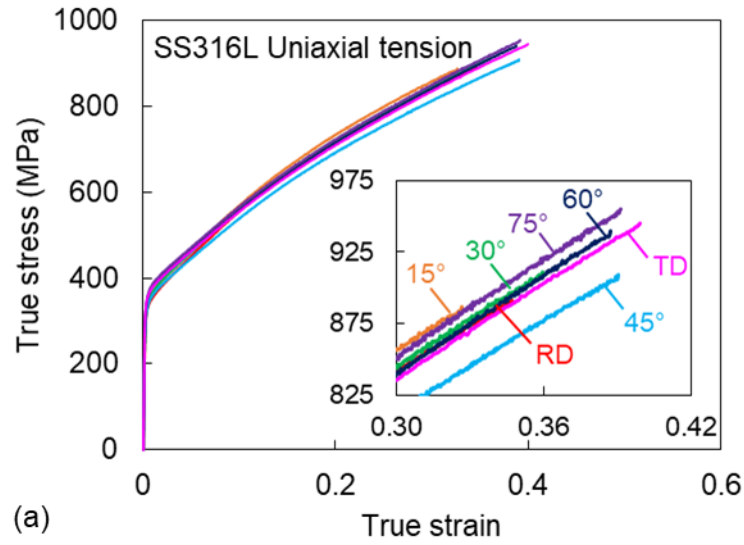


Figure 2. Experimental results of uniaxial tension in every 15° from the RD: (a) true stress-strain curves and (b) instantaneous r-values with respect to the plastic work and equivalent plastic strain.

2.2. Hydraulic bulge experiment

A hydraulic bulge experiment was performed using a servo-hydraulic formability tester (R&B RB316FT) with a 1000 kN (100 tonf) load cell and maximum hydraulic power unit flow rate of 0.757 L/s (12 gal/min) at the Korea Institute of Industrial Technology (KITECH). Square blanks of 200 mm x 200 mm size and 1.2 mm thickness were used with the holding force between 897 kN and 996 kN (90 tonf and 100 tonf). The strain field was measured using a stereo-DIC (surface 3D) system (GOM Inc.) with two 5.0-megapixel cameras (FLIR Grasshopper2) and 75 mm lenses (Schneider). The DIC analysis parameters used for the post-processing (ARAMIS Professional) were subset and step sizes of 19 pixels and 16 pixels, respectively. The experimental setup with the 3D-DIC system is shown in Figure 3.

According to ISO 16808 [52], in the bulge test, the stress-strain relationship is represented by the approximately equibiaxial, or membrane [76,77] stress and the thickness strain at the pole, which is determined by plastic incompressibility and the major and minor surface strains, i.e., ε_1 and ε_2 , measured by the DIC system. The membrane stress, σ_b , is defined as $pR/(2t)$ where p is the pressure recorded by the machine, R is the radius of curvature of the outer surface, and t is the thickness of the specimen. The instantaneous thickness is calculated by $t_0 \exp \varepsilon_t$, where t_0 is the initial sheet thickness and ε_t is the true thickness strain determined by assuming incompressibility, i.e., $\varepsilon_t = -\varepsilon_1 - \varepsilon_2$. The radius of curvature of the outer surface is determined by $2/(\frac{1}{R_1} + \frac{1}{R_2})$, where R_1 and R_2 are the radii of major and minor curvatures of the outer surface at the pole obtained by the DIC system.

The experiment was performed five times to confirm test repeatability, and three of the results are shown in Figure 4a. The measured maximum strain for the bulge test, as commonly is seen, is higher than the maximum strain of uniaxial tension (also shown in Figure 4a), but the flow stresses are higher due to the plastic anisotropy and its evolution. This is characterized by normalizing the flow stress of equibiaxial tension with respect to the equivalent stress, which is uniaxial tension in the RD in this study, i.e., $\sigma_b/\bar{\sigma}$. The values at seven plastic work levels are summarized in Table 1. Similarly, the plastic anisotropy in strain is evaluated by the strain ratio in the RD and TD, i.e., $r_b = d\varepsilon_{TD}^p/d\varepsilon_{RD}^p$ [8], as shown in Figure 4b. Like in the plastic anisotropy observed in uniaxial tension, that of nearly equibiaxial tension also evolves during the deformation. It should be noted that the noise in the stress-strain curve causes an even greater noise level in the r_b values of Figure 4b, but an evolution trend in the three experiments shown is captured. A previous study [78] found that the hydraulic bulge test does achieve a stress state that is perfectly equibiaxial tension. However, the amount of deviation reported is 1-5% for a steel material with an original thickness to diameter ratio between 0.01 and 0.001, which applies to the current work with a ratio of 0.004.

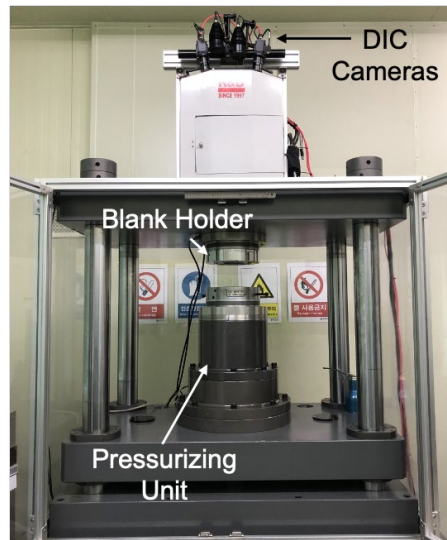


Figure 3. Hydraulic bulge test experimental setup (R&B RB316FT machine).

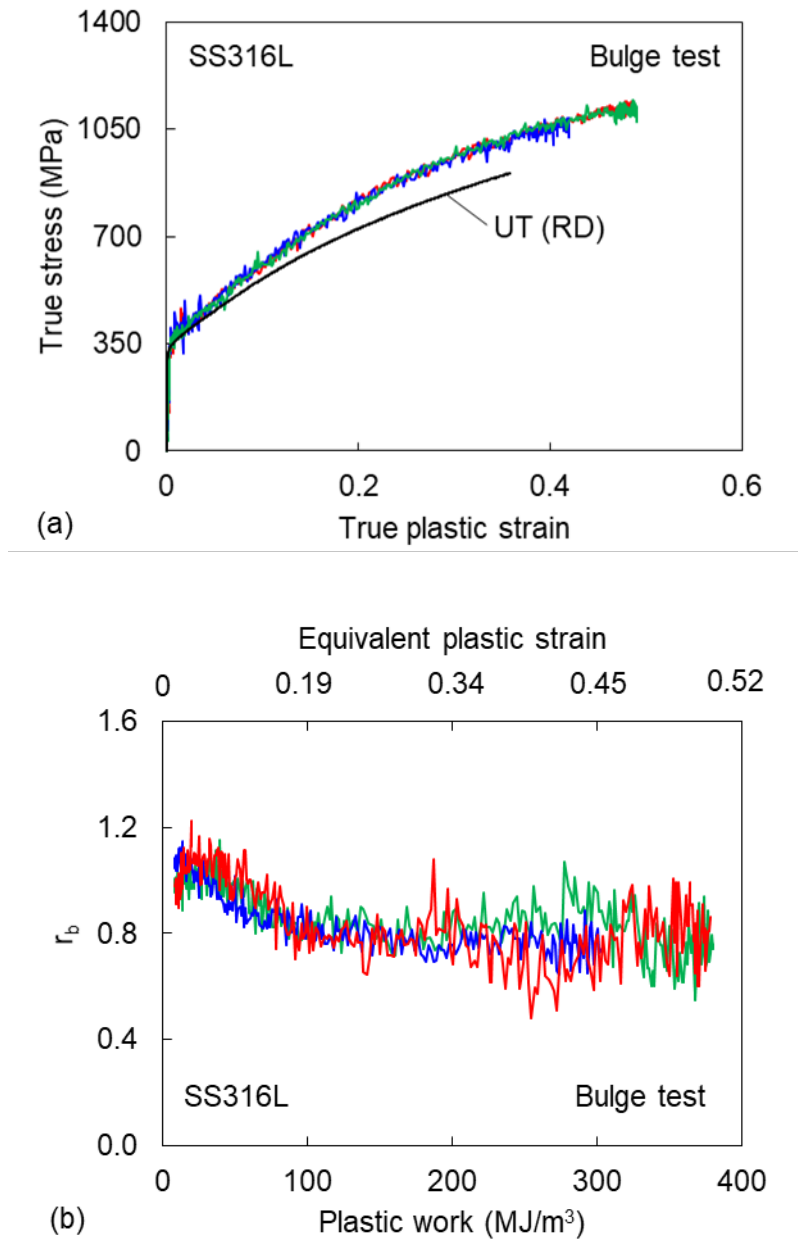


Figure 4. Experimental results of hydraulic bulge experiment: (a) true stress-strain curves of bulge test with comparison to uniaxial tension (UT) in the RD, and (b) r_b value with respect to the plastic work and equivalent plastic strain.

Table 1. Summary of mechanical properties at seven plastic work levels for SS316L.

Young's modulus		$E = 193.8 \pm 0.4$ GPa		Poisson's ratio		$\nu = 0.33$		
Plastic anisotropy at seven plastic work levels W^p								
(MJ/m ³)		10	25	50	75	100	125	150
Normalized stress ($\sigma/\bar{\sigma}$)								
Uniaxial tension	RD	1.000	1.000	1.000	1.000	1.000	1.000	1.000
	15	1.023	1.016	1.014	1.011	1.010	1.009	1.009
	30	1.024	1.008	1.001	1.001	1.000	0.998	0.998
	45	0.998	0.978	0.972	0.970	0.970	0.969	0.969
	60	1.041	1.010	0.995	0.994	0.993	0.992	0.992
	75	1.056	1.021	1.007	0.999	0.998	0.997	0.997
	TD	1.036	1.006	0.990	0.985	0.985	0.984	0.984
Bulge		1.062	1.065	1.069	1.075	1.082	1.082	1.082
Strain ratio (r-value and r_b)								
Uniaxial tension	RD	0.458	0.531	0.552	0.622	0.628	0.642	0.784
	15	0.564	0.604	0.696	0.696	0.746	0.821	0.839
	30	0.710	0.887	0.900	0.915	0.921	0.940	0.982
	45	1.004	1.124	1.156	1.180	1.203	1.262	1.279
	60	1.295	1.266	1.306	1.317	1.306	1.406	1.299
	75	1.322	1.276	1.355	1.306	1.333	1.341	1.421
	TD	1.335	1.315	1.313	1.261	1.227	1.242	1.252
Bulge		0.969	0.940	0.900	0.872	0.865	0.865	0.865

*Determined normalized stresses typically had uncertainties ± 0.003 and strain ratios typically had uncertainties ± 0.0002 based on one standard deviation.

3. Constitutive modeling

In the following section, the modeling of the material behavior is discussed and is based on the experiments from the previous section. Yld2004-18p($\bar{\epsilon}$), a non-quadratic anisotropic yield function with equivalent plastic strain dependent parameters is used. Additionally, the Hockett-Sherby model is used to describe the strain hardening behavior.

3.1. Strain hardening

The strain hardening behavior is described by the Hockett-Sherby model [79]:

$$\bar{\sigma} = H - (H - \sigma_0) \cdot \exp(-N \cdot \bar{\epsilon}^m) \quad (1)$$

where $\bar{\sigma}$ is the equivalent stress, $\bar{\epsilon}$ is the equivalent plastic strain, and σ_0 is the initial yield stress measured using the 0.2% offset method. H , N , and m are material fitting parameters to the stress-strain curve of uniaxial tension in the RD as seen in Figure 5. One representative stress-strain curve of uniaxial tension in the RD is chosen for the fitting, and the identified values are summarized in Table 2.

Table 2. Material parameters for Hockett-Sherby strain hardening model.

σ_0 (MPa)	H (MPa)	N	m
339.48	1445.44	1.81	0.89

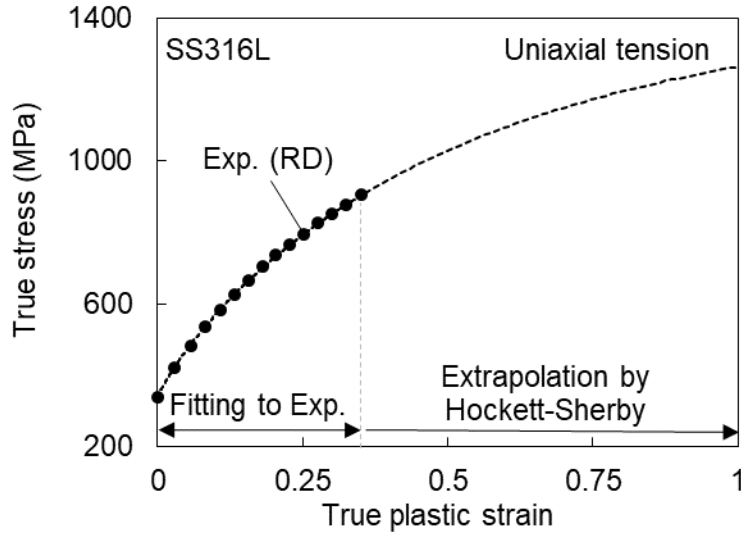


Figure 5. Extrapolation of stress-strain curve for uniaxial tension in the RD (symbols) using Hockett-Sherby model (dashed line).

To validate the extrapolation at larger levels of plastic strain, i.e., beyond the plastic strain range achieved by the uniaxial experiment, the stress-strain curve for Hockett-Sherby is also plotted for comparison with the equivalent stress-strain curve obtained from the bulge test experimental data using Hill 79 [80,81] (see Figure A1).

3.2. Yield function for plastic anisotropy and evolution

To model the plastic anisotropy and its evolutionary behavior with respect to plastic work, a non-quadratic anisotropic yield function, i.e., Yld2004-18p [9], is used:

$$\phi = \phi(\tilde{\mathbf{S}}', \tilde{\mathbf{S}}'') = |\tilde{S}'_1 - \tilde{S}''_1|^a + |\tilde{S}'_1 - \tilde{S}''_2|^a + |\tilde{S}'_1 - \tilde{S}''_3|^a \quad (2)$$

$$\begin{aligned}
& + |\tilde{S}'_2 - \tilde{S}''_1|^a + |\tilde{S}'_2 - \tilde{S}''_2|^a + |\tilde{S}'_2 - \tilde{S}''_3|^a \\
& + |\tilde{S}'_3 - \tilde{S}''_1|^a + |\tilde{S}'_3 - \tilde{S}''_2|^a + |\tilde{S}'_3 - \tilde{S}''_3|^a = 4\bar{\sigma}^a
\end{aligned}$$

where \tilde{S}'_i and \tilde{S}''_j are the principal values of the stress tensors, $\tilde{\mathbf{S}}'$ and $\tilde{\mathbf{S}}''$, which are linearly transformed deviatoric stresses by two operators, $\tilde{\mathbf{C}}'$ and $\tilde{\mathbf{C}}''$, respectively.

$$\begin{aligned}
\tilde{\mathbf{S}}' &= \tilde{\mathbf{C}}' \cdot \mathbf{s} = \tilde{\mathbf{C}}' \cdot \mathbf{T} \cdot \boldsymbol{\sigma} = \tilde{\mathbf{L}}' \cdot \boldsymbol{\sigma} \\
\tilde{\mathbf{S}}'' &= \tilde{\mathbf{C}}'' \cdot \mathbf{s} = \tilde{\mathbf{C}}'' \cdot \mathbf{T} \cdot \boldsymbol{\sigma} = \tilde{\mathbf{L}}'' \cdot \boldsymbol{\sigma}
\end{aligned} \tag{3}$$

where $\boldsymbol{\sigma}$ denotes the Cauchy stress tensor, \mathbf{s} is its deviator, and \mathbf{T} is the transformation tensor between them. The two transformation matrices, $\tilde{\mathbf{C}}'$ and $\tilde{\mathbf{C}}''$, are composed of eighteen parameters, i.e., $\alpha_{i=1-18} = c'_{12}, c'_{13}, c'_{21}, c'_{23}, c'_{31}, c'_{32}, c'_{44}, c'_{55}, c'_{66}, c''_{12}, c''_{13}, c''_{21}, c''_{23}, c''_{31}, c''_{32}, c''_{44}, c''_{55}, c''_{66}$, where the subscripts denote the orientation with respect to the RD, given by:

$$\mathbf{C} = \begin{bmatrix} 0 & -c_{12} & -c_{13} & 0 & 0 & 0 \\ -c_{21} & 0 & -c_{23} & 0 & 0 & 0 \\ -c_{31} & -c_{32} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & c_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & c_{55} & 0 \\ 0 & 0 & 0 & 0 & 0 & c_{66} \end{bmatrix} \tag{4}$$

$$\mathbf{T} = \frac{1}{3} \begin{bmatrix} 2 & -1 & -1 & 0 & 0 & 0 \\ -1 & 2 & -1 & 0 & 0 & 0 \\ -1 & -1 & 2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 3 & 0 & 0 \\ 0 & 0 & 0 & 0 & 3 & 0 \\ 0 & 0 & 0 & 0 & 0 & 3 \end{bmatrix} \quad (5)$$

The exponent a is related to the crystal structure, i.e., 6 for body-centered cubic (BCC) and 8 for face-centered cubic (FCC) [82,83]. The material used in the study is austenitic stainless steel (SS316L) with zero martensite in its as-received condition. Previous works by the authors [84,85] utilizing the same SS316L material confirm that the as-received material is fully austenitic and that minimal phase transformation occurs at room temperature. Thus, $a = 8$ is used for Yld2004-18p modeling in this study.

The plastic anisotropy, characterized by the strain ratios and normalized stresses from the uniaxial tension and hydraulic bulge experiments (Table 1), is used to determine the parameters of the yield function. For Yld2004-18p with constant parameters, the strain ratios and normalized stresses are averaged between plastic work levels of 10 and 150 MJ/m³ to determine the constant parameter set (Table 3). For Yld2004-18p($\bar{\epsilon}$), the individual sets of parameters at seven plastic work levels, i.e., W^p from 10 to 150 MJ/m³, equivalent to $\bar{\epsilon}$ from 0.027 to 0.252, are determined separately, using the least squares method, to capture the evolution in the plastic anisotropy during the deformation. These are shown as the symbols in Figure 6.

Table 3. Summary of Yld2004-18p parameters:

α_i	A_i
1	1.076
2	1.183
3	1.170
4	1.265
5	0.757
6	1.163
7	0.934
8	0.917
9	1.185
10	0.960
11	0.692
12	0.880
13	0.717
14	1.109
15	0.827
16	1.195
17	1.220
18	0.971

Next, each parameter, α_i , is approximated using an exponential function with respect to the equivalent plastic strain, $\bar{\epsilon}$, which is a simplified expression of Kuwabara et al. [25] as,

$$\alpha_{i,1-18}(\bar{\epsilon}) = A_i - B_i \cdot \exp(-D_i \cdot \bar{\epsilon}) \quad (6)$$

where A_i , B_i , and D_i are calibration constants for each α_i . The exponential fits are shown as lines with respect to plastic work and equivalent plastic strain in Figure 6. The corresponding calibration constants, i.e., the fitting parameters for each Yld2004-18p($\bar{\epsilon}$) parameter, determined for SS316L are shown in Table 4.

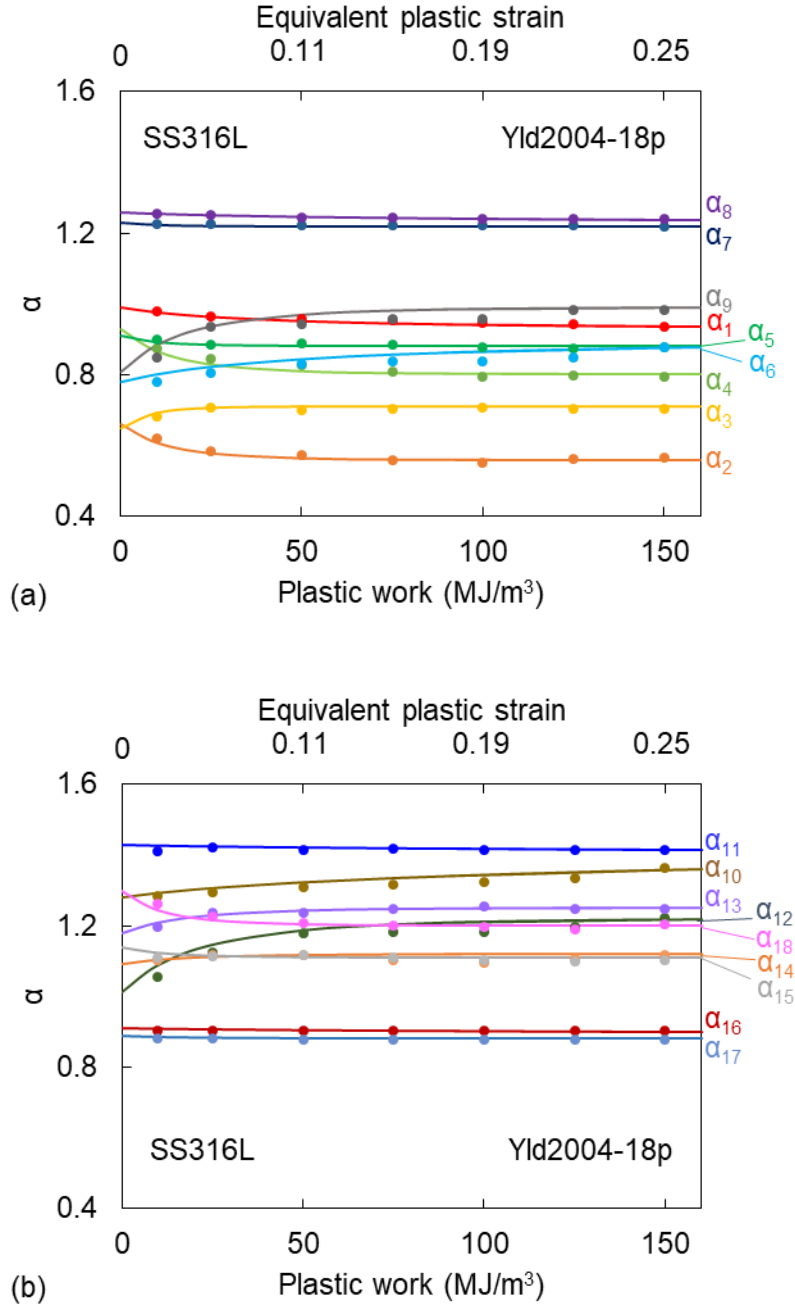


Figure 6. Equivalent plastic strain dependent parameters of Yld2004-18p($\bar{\epsilon}$), i.e., $\alpha_i(\bar{\epsilon})$ (a) $\alpha_1 - \alpha_9$ and (b) $\alpha_{10} - \alpha_{18}$, at seven levels of plastic work to capture the evolution of plastic anisotropy of SS316L. The parameters are fit (solid lines) with the exponential function in Equation 6 and the constants in Table 4.

Table 4. Summary of Yld2004-18p($\bar{\epsilon}$) parameters: $\alpha_i(\bar{\epsilon}) = A_i - B_i \cdot \exp(-D_i \cdot \bar{\epsilon})$.

α_i	A_i	B_i	D_i
1	0.93	-0.06	10
2	0.56	-0.10	27
3	0.71	0.06	45
4	0.80	-0.13	25
5	0.88	-0.03	35
6	0.89	0.11	8
7	1.22	-0.01	30
8	1.23	-0.03	5
9	0.99	0.18	20
10	1.40	0.12	4
11	1.40	-0.03	3
12	1.22	0.21	17
13	1.25	0.07	20
14	1.12	0.03	20
15	1.11	-0.03	27
16	0.89	-0.02	3
17	0.88	-0.01	20
18	1.20	-0.10	30

*Determined α_i parameters typically had variances ± 0.004 from identified values.

Figure 7 shows a comparison of the experimental (symbol) and predicted (dashed line) normalized stress and r-value of uniaxial tension from the RD to TD at seven plastic work levels. Stronger plastic anisotropy evolution is apparent in the r-value, i.e., the numerical values change significantly, e.g., from 0.458 to 0.784 in RD and from 1.004 to 1.279 at 45°, in the specified range, as the plastic work increases compared to the flow

stress in uniaxial tension. The calibrated parameters of Yld2004-18p($\bar{\epsilon}$) capture the experiments reasonably well. During the calibration, a greater emphasis is placed on achieving better predictions at higher levels of plastic work, e.g., $>50 \text{ MJ/m}^3$, than the lower levels, e.g., 10 MJ/m^3 , assuming that the values saturate.

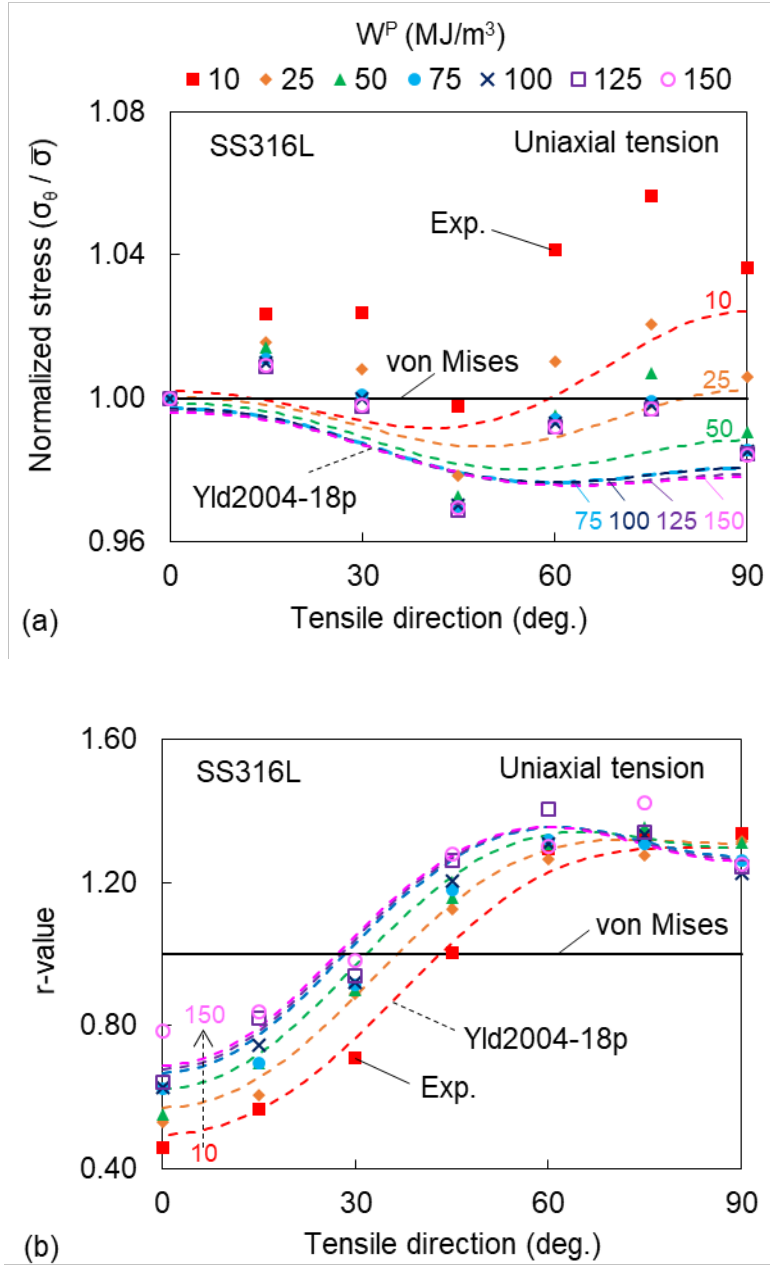


Figure 7. Parameter calibration of Yld2004-18p($\bar{\epsilon}$) for uniaxial tension at seven plastic work levels (shown in legend symbols and colors): (a) normalized stress with respect to the flow stress of uniaxial tension in the RD and (b) r-value. Symbols denote the experiments, dashed lines denote Yld2004-18p($\bar{\epsilon}$), and solid lines denote von Mises.

The yield locus evolution predicted by the determined material parameters is shown in Figure 8. Near the equibiaxial state, the yield locus is expanding as the plastic work increases, with a particularly significant increase between 10 MJ/m³ and 25 MJ/m³, as observable in the inset in Figure 8. Correspondingly, the yield locus appears to be contracting near the uniaxial tension in the TD state. The yield function, including the evolution of the α_i parameters, is implemented into a user material (UMAT) subroutine, for FE simulations using Abaqus/Standard 2019 [86]. The stress integration scheme used in the UMAT is the predictor-corrector method, proposed by Wilkins [87]. During the plastic correction, a semi-explicit method, i.e., General convex Cutting Plane Method (GCPM), proposed by Simo and Ortiz [88], is used to update the stress states satisfying the consistency condition through iterations, projecting the stress onto the yield surface. GCPM is relatively simple to implement compared to a fully implicit method and still performs efficiently but requires careful time step control to guarantee numerical stability [89].

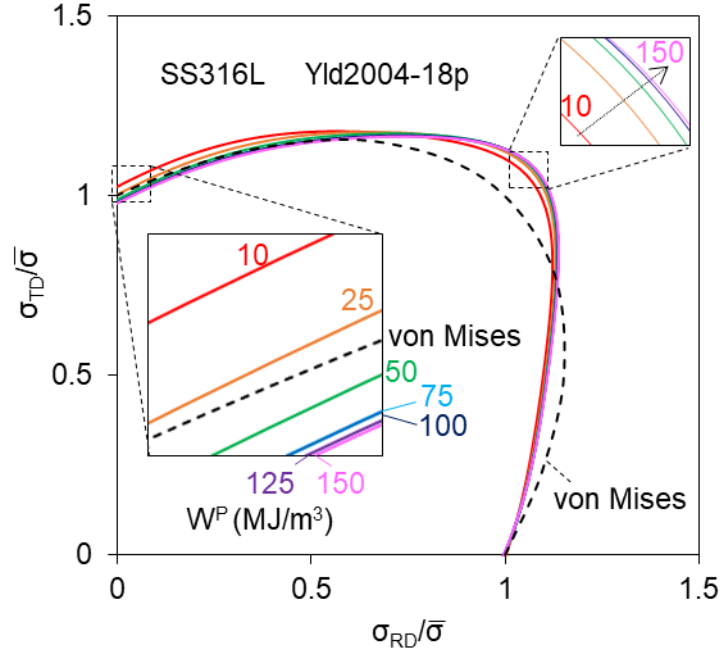


Figure 8. Evolution of Yld2004-18p($\bar{\epsilon}$) yield locus at seven plastic work levels with insets highlighting shrinkage near plane strain and expansion near equibiaxial tension.

4. In-plane biaxial tension using cruciform specimen

Cruciform biaxial experiments using a custom designed cruciform specimen were performed to validate the ability of the implemented plasticity models, i.e., Yld2004-18p($\bar{\epsilon}$) combined with the Hockett-Sherby model, to capture the plastic anisotropy evolution during the in-plane biaxial deformation. This section describes the optimization of a cruciform geometry based on six design goals and the experiments using the determined specimen under four proportional loading conditions.

4.1. Design of customized cruciform specimen

A design of experiments (DOE) approach was taken to generate a cruciform geometry suitable for the biaxial machine at the University of New Hampshire (UNH). The

previous iteration of this geometry is found in [65], which was a scaled version of the geometry developed in [44]. The design goals in this work were (1) maximized strains in the gauge section, (2) nearly linear strain paths, (3) moderate strain uniformity in the gauge area, i.e., ± 2.5 % of the mean strain value, (4) sufficient gauge area for material characterization, e.g., DIC, magnetic induction, and microstructure image scans, (5) a machinable final geometry, and (6) a suitable design for the UNH apparatus, e.g., grip widths, force capacity, displacement, and thickness.

The key geometric features for the design (as shown in Figure 9) were the radius of the pocket flat area (R_{gauge}), fillet in the pocket (F_{pocket}), thickness of the flat area within the pocket (T_{pocket}), corner notch radius (R_{notch}), and arm width (W_{arm}). It should be noted that T_{pocket} was set to 0.6 mm, corresponding to a 50 % thickness reduction, since this parameter will always trend to the smallest value allowed in the design space to achieve the design goals, especially maximizing strain in the gauge area. The lower and upper bound values of R_{gauge} were selected to allow sufficient surface area for measurement, e.g., DIC, magnetic induction, etc. The lower bound of F_{pocket} was set to 0.25 mm, which is approximated as the machinability limit of standard end mills. Geometric requirements dictate that the minimum W_{arm} is a function of F_{pocket} and R_{gauge} (see Appendix A.2). Further based on literature and prior experience, R_{notch} was selected to be $W_{\text{arm}}/6$. R_{pocket} is the radius of the pocket, as depicted in Figure 9, and is geometrically derived from F_{pocket} and R_{gauge} (see Appendix A.2). The overall width of the specimen W_{specimen} was set to 180 mm to allow for sufficient gripping in the UNH biaxial machine. The constraints on the design parameters are shown in Table 5.

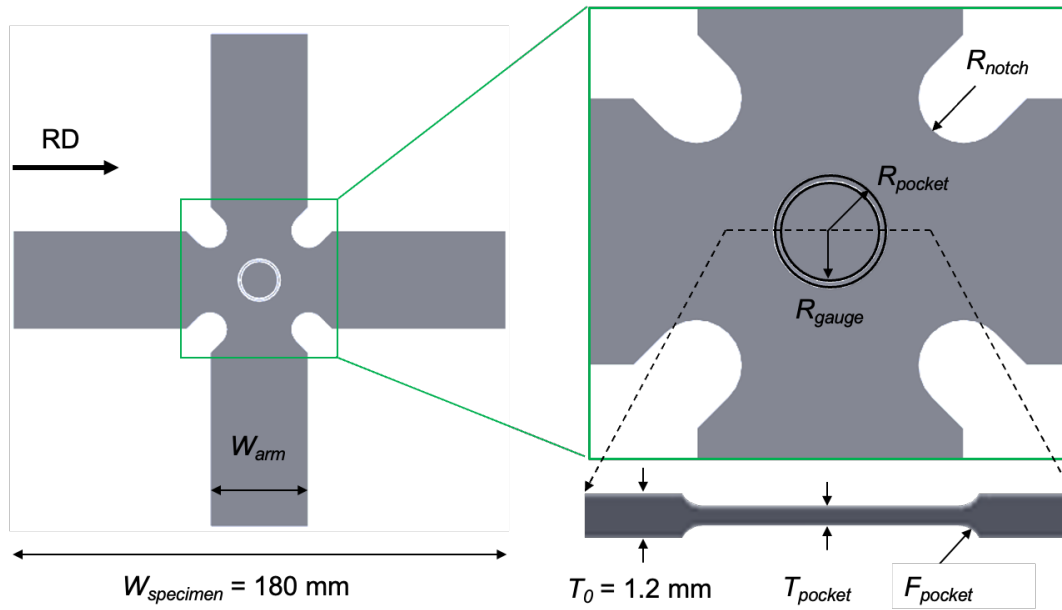


Figure 9. Novel cruciform specimen geometry with a reduced thickness pocket area and corner notch features in variable terms.

Table 5. Lower bound, upper bound, and optimized values for key design parameters.

Design parameter (mm)	Lower bound	Upper bound	Optimized
Radius of pocket flat area (R_{gauge})	4	15	5.65
Fillet in pocket (F_{pocket})	0.25	4	1.50
Arm width (W_{arm})	6.50	30	30
Thickness of pocket (T_{pocket})	0.6		0.6
Corner notch radius (R_{notch})	$W_{arm}/6$		5

For the specimen optimization, a two-level DOE approach was implemented in a commercial optimization software, Isight [90], which is a plug-in for Abaqus, as seen in Figure 10. Orange “process component” and green “application component” boxes, per

Isight nomenclature, are noted in the figure. In the first level DOE, DOE1 module, 32 unique pairs of F_{pocket} and R_{gauge} were selected within the limits listed in Table 5 using the Optimum Latin Hypercube method. Then, the W_{logic} Calculation module determined the minimum values of W_{arm} based on the equations in Appendix A.2 and passed them to the next level. In the second level DOE, DOE2 module, 32 unique values of W_{arm} were identified again using the Optimum Latin Hypercube method. Through the DOE1 and DOE2 modules, 32 x 32 (1024) combinations of the three design parameters (F_{pocket} , R_{gauge} , and W_{arm}) were produced. For each of the 1024 combinations in turn, the R_{notch} Calculation module determined R_{notch} . Then, the variables for each of the 1024 combinations were used in the parameterized FE model (presented in detail in Section 5), and the analysis was run with the same displacement boundary conditions as the experiments. Isotropic hardening and von Mises yield function were assumed in the DOE FE simulations to reduce the computation time.

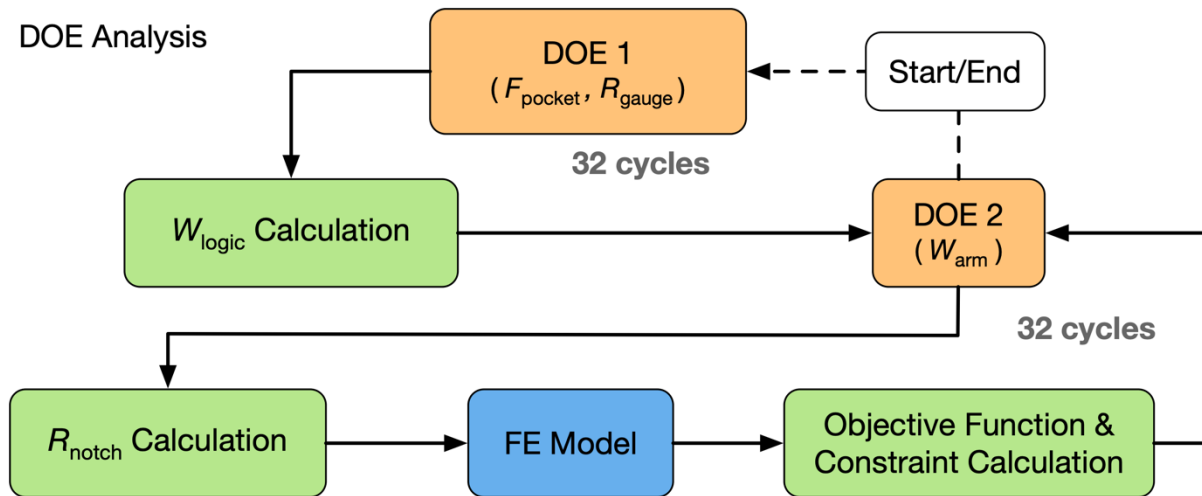


Figure 10. Schematic of process flow in DOE study for cruciform specimen geometry with orange “process component”, green “Java application component”, and blue “FE model application component” boxes per Isight nomenclature noted.

Each run was assessed in the Objective Function & Constraint Calculation module using an objective function and constraint selected to maximize the average effective strain in the center 3 mm radius of the pocket. This is assumed to be a sufficient area for material characterization instruments within R_{gauge} , provided that the required uniformity of equivalent plastic strain is also achieved. These are formulated as,

$$\begin{aligned} \overline{(\bar{\epsilon})}_{R \leq 3} &= \frac{1}{n} \sum_{i=1}^n \left[\bar{\epsilon} \left(\sqrt{x_i^2 + y_i^2} \leq 3 \right) \right] \\ \text{Maximize } &\left[\overline{(\bar{\epsilon})}_{R \leq 3} \right] \end{aligned} \quad (7)$$

with a constraint of ± 2.5 % variation,

$$\text{While } \left[0.975 \overline{(\bar{\epsilon})}_{R \leq 3} \leq \left(\bar{\epsilon} \left(\sqrt{x_i^2 + y_i^2} \leq 3 \right) \right) \leq 1.025 \overline{(\bar{\epsilon})}_{R \leq 3} \right] \quad (8)$$

where, $\bar{\epsilon}$ is the equivalent plastic strain, x_i and y_i are the x and y coordinates, respectively, of the nodes in the undeformed configuration, and R is the radius from the central point of the pocket. The value of the objective function was then passed to the DOE2 module and evaluated for the constraint conditions. This process continued for all

1024 FE analyses. To ensure the applicability of the optimized design to different deformation modes, the DOE process was performed separately for three boundary conditions, i.e., $\delta_x:\delta_y = 1:1, 2:1$, and $4:1$ covering from equibiaxial to plane-strain, where δ_x and δ_y are displacements in each direction. The final dimensions for the geometric parameters are shown in Table 5.

4.2. In-plane biaxial tension experiment

The in-plane biaxial tension experiments were performed using the cruciform specimen optimized in Section 4.1. The cruciform specimens were fabricated at UNH by the following steps. First, the specimen outline of the cruciform shape was waterjet cut from as-received 1.2 mm thick (T_0) SS316L sheets. The perimeters were then lightly sanded to remove any rough edges. Next, the pockets on both sides of the specimen were milled to reduce the thickness, using a custom fixture to prevent bending during the milling operation, and finally polished to reduce the surface roughness using a series of diamond pastes in conjunction with wool bobs attached to a rotary tool.

The in-plane biaxial tension experiments were conducted using a custom loading frame at UNH, that is described in [59,91,92] and shown in Figure 11. The 3D-printed alignment fixture was utilized to center the specimens prior to gripping, and the pantograph ensured that the motion along each axis was symmetrical about the center. The biaxial machine recorded voltages corresponding to force and displacement values during experiments in synchronization with the stereo-DIC (3D surface DIC from Correlated Solutions, Inc.). The strain data were extracted in the center of the pocket in

the post-processing software (VIC-3D) with the following parameters: 21 pixels, 5 pixels, and 5 for the subset, step, and filter sizes, respectively.

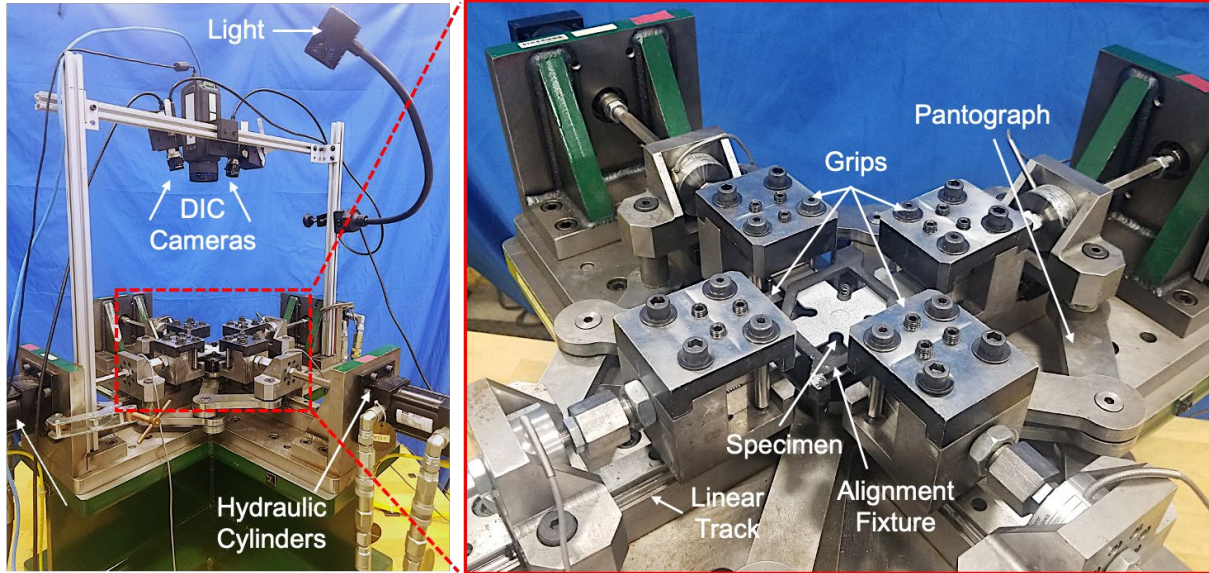


Figure 11. In-plane biaxial tension experimental setup (custom machine at UNH).

The specimens were subjected to four loading conditions, programmed as the displacement paths in x- and y-axis (aligned with the material orientation, RD and TD, respectively), i.e., $\delta_x:\delta_y = 1:1$, $2:1$, $8:1$, and $1:\text{free}$ (unconstrained in y-axis), by driving the four hydraulic cylinders. The experimental displacement paths are shown in Figure 12. The order of displacement ratios $\delta_x:\delta_y=1:1$, $2:1$, $8:1$, $1:\text{free}$ result in deformation close to equibiaxial, through plane strain, to a near uniaxial strain path in the gauge section. The corresponding experimental results are given in Section 5 with the simulation results.

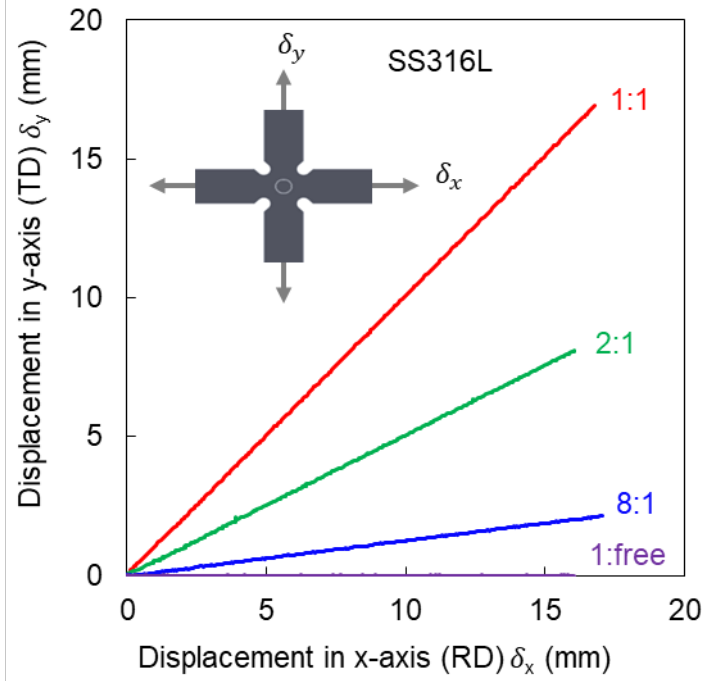


Figure 12. Biaxial tension experimental displacement paths along x-axis (RD) and y-axis (TD).

5. FE simulation for in-plane biaxial tension

To validate the material model from the previous section, finite element simulations are detailed in the following section. A summary of the finite element model is given. Comparisons of the anisotropic plasticity model with experiments and von Mises are also presented.

5.1. FE model details

An FE model of the cruciform specimen for in-plane biaxial tension was created in Abaqus/Standard 2019. A one-eighth model was used, due to three planes of symmetry, with a shortened arm length in comparison to the physical specimens, i.e., 65 mm

specimen half-length instead of 90 mm, to exclude the clamped portion of the specimen arm. The model was meshed using linear brick elements with reduced integration (C3D8R) and consisted of approximately 22,000 elements in total, with finer meshing in the center pocket region and three elements through the half-thickness as shown in Figure 13. Note that a coarser mesh was used for the optimization described in Section 4.1 to reduce the computational expense.

The plasticity models implemented as a UMAT subroutine in Abaqus/Standard 2019 were used for the FE simulations, which include a non-quadratic anisotropic yield function with the equivalent plastic strain dependent parameters, i.e., Yld2004-18p($\bar{\epsilon}$), to capture the plastic anisotropy evolution and Hockett-Sherby model to describe the strain hardening behavior, as explained in Section 3. A quadratic isotropic yield function, i.e., von Mises, combined with the same strain hardening description, is compared with the implemented plasticity models.

The displacement boundary conditions were applied to two reference points, which were kinematically coupled to the thickness surfaces at the end of the specimen arms in the x- and y-axis. Force and displacement data were extracted from these reference points, and strain data was extracted from the center of the pocket surface as marked in Figure 13 (red dot labeled ϵ in the through-thickness section insert).

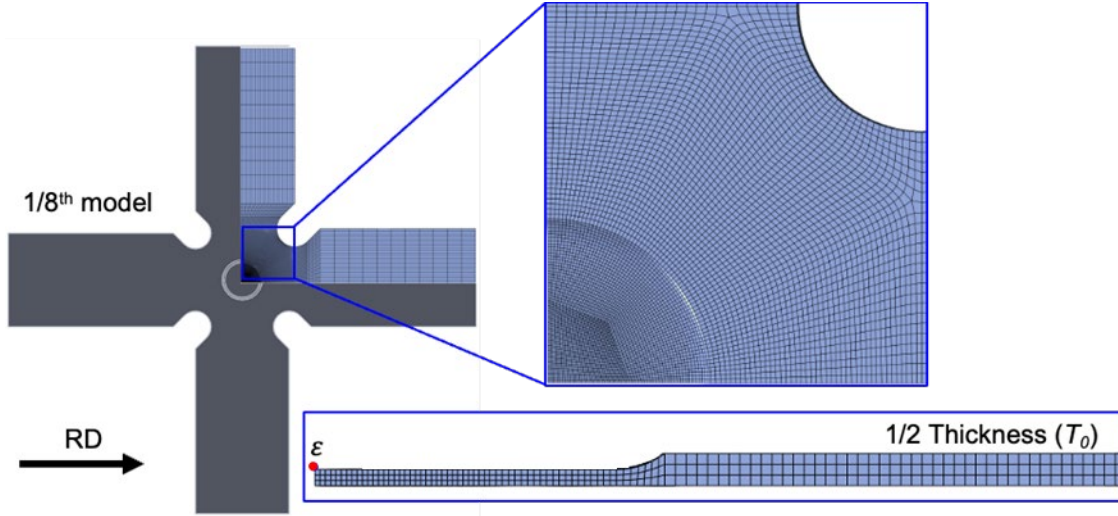


Figure 13. 1/8th symmetry cruciform FE model and mesh design with C3D8R elements (not to scale).

5.2. Anisotropic plasticity model validation

The force-displacement curves extracted from the experiments and simulations are compared in Figure 14. For consistency in Figure 14 and all subsequent figures, the simulation curves are truncated at the maximum displacement achieved by the experiments, i.e., the fracture displacement. Note that the von Mises prediction for the $\delta_x:\delta_y=1:1$ loading condition is an exception and is truncated at a slightly smaller displacement than the experiments due to early localization predicted in the simulation.

Under all four loading conditions, Yld2004-18p($\bar{\epsilon}$) predicts the experimental force-displacement curves well while von Mises overpredicts the force value for nearly the entire displacement range. This is likely due to the quadratic feature and isotropic nature of the von Mises model, which are demonstrated in the material characterization in Section 2. Yld2004-18p tends to overpredict or underpredict the force value for the four cases shown. Considering that complicated, non-uniform deformation fields can be

developed in this cruciform specimen, the accuracy of the material description for a wide range of plastic deformation modes can affect the prediction.

Furthermore, the local strain paths extracted from the surface at the center of the pocket (red dot in Figure 13), were compared for four loading conditions as shown in Figure 15. Only local strains in the RD (ϵ_{RD}) and TD (ϵ_{TD}), i.e., x- and y-axis, respectively, were considered in the strain path plot. The symbols in Figure 15 represent the experimental data from the same location and show the higher maximum achievable strain using the customized cruciform specimen compared to specimens used in past research [59]. The result indicates that the considered specimen design goals, i.e., (1) greater deformation compared to the standard specimen and (2) linear strain paths, are successfully achieved in the experiment.

Overall, in Figure 15, the strain predictions by Yld2004-18p($\bar{\epsilon}$), Yld2004-18p, and von Mises models agree reasonably well with the experiments. Still, Yld2004-18p($\bar{\epsilon}$) captures the strain paths, especially near plane strain given by $\delta_x : \delta_y = 8:1$ and $2:1$, more accurately than von Mises. The final strain levels, i.e., the end point in the curves shown in Figure 15, selected at the same final displacements as experiments, are also consistently overpredicted by von Mises. This seems to be caused by the isotropic assumption ignoring the plastic anisotropy developed by complicated, non-uniform plastic deformations in the surrounding area as shown in Figure 16. Comparing Yld2004-18p and Yld2004-18p($\bar{\epsilon}$), Yld2004-18p($\bar{\epsilon}$) shows better agreement with the experimental data.

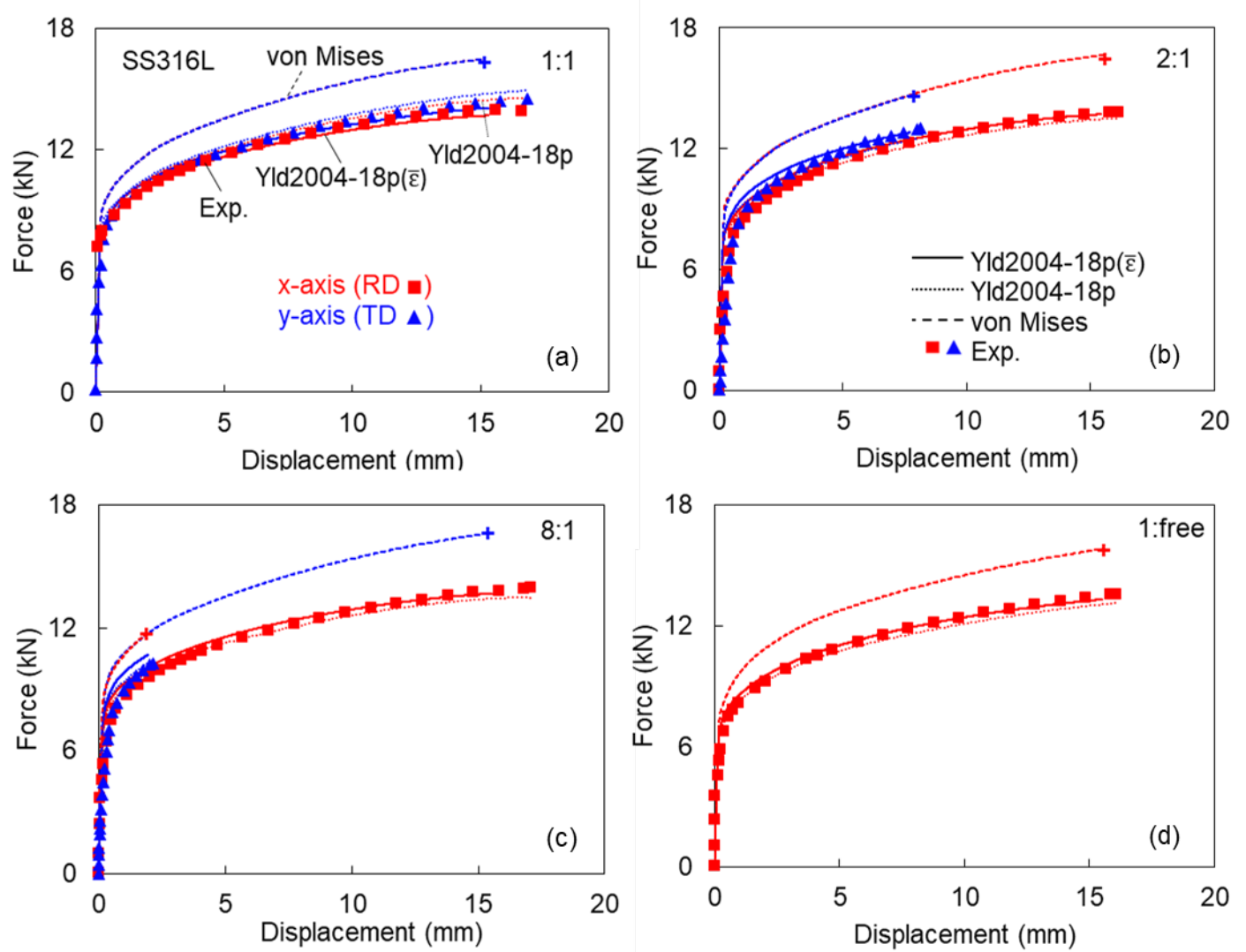


Figure 14. Force-displacement curves comparing Yld2004-18p($\bar{\epsilon}$) (solid lines), Yld2004-18p (dotted lines), von Mises (dashed lines with end points denoted by plus signs), and experimental (square and triangle symbols) results for proportional loading paths: $\delta_x:\delta_y =$ (a) 1:1, (b) 2:1, (c) 8:1, and (d) 1:free. RD and TD are aligned along the x-axis (red) and y-axis (blue), respectively.

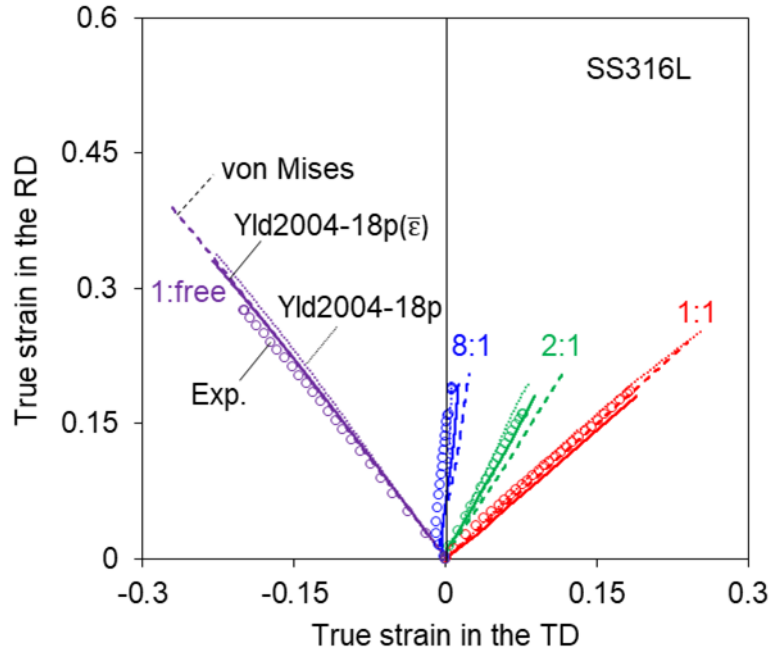
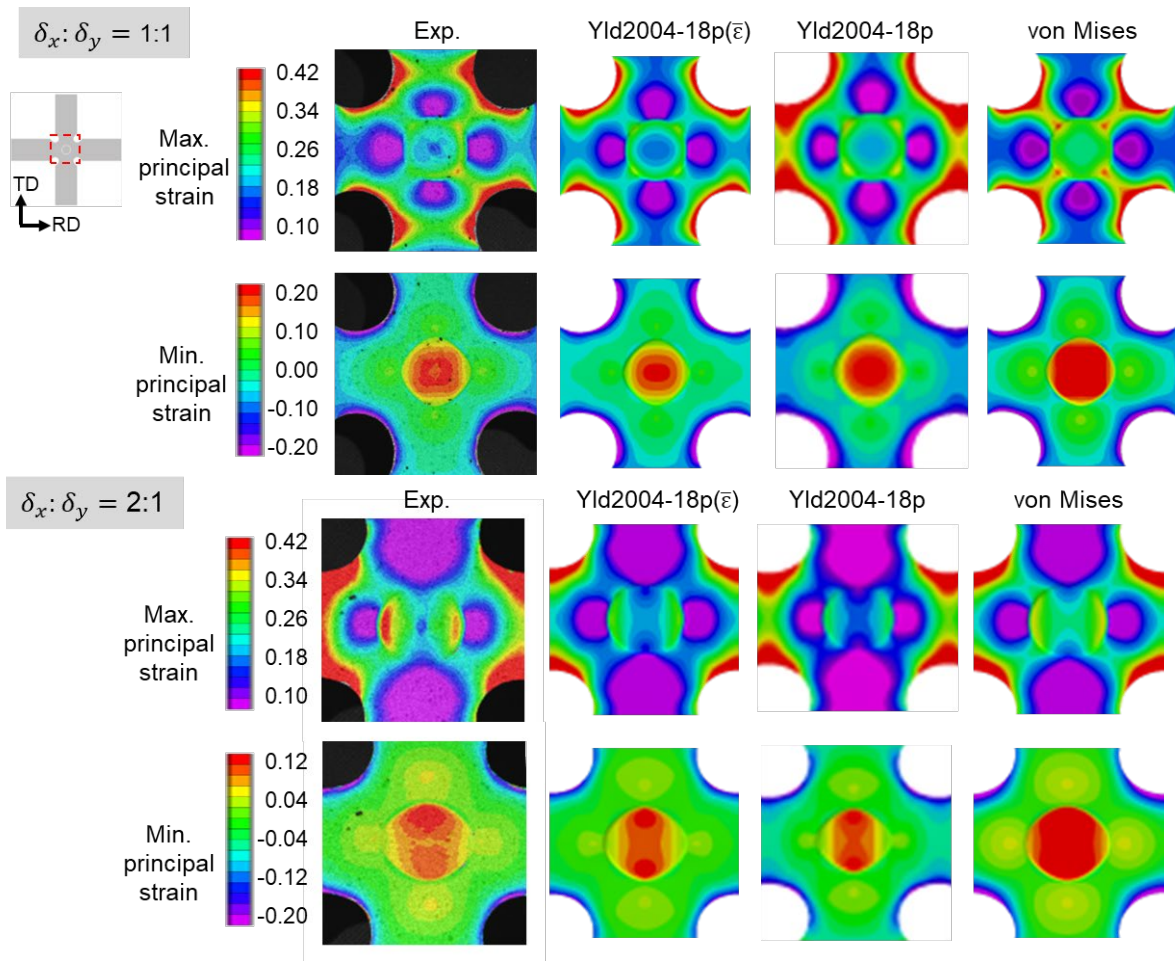


Figure 15. Strain path comparison between Yld2004-18p($\bar{\epsilon}$) (solid lines), Yld2004-18p (dotted lines), von Mises (dashed lines), and experimental (symbols) results for $\delta_x:\delta_y=1:1$, 2:1, 8:1, and 1:free.

Figure 16 shows close-ups of the strain fields in the pocket and the surrounding area, including the notches, just prior to fracture and at the same instance as the force-displacement (Figure 14) and strain paths (Figure 15) for the simulations. Commonly in all loading conditions, the highest maximum principal strain is observed near the notches, which eventually leads to fracture in the specimen. As observed in the comparison of the strain paths, the predictions of the strain contours in the pocket region from Yld2004-18p($\bar{\epsilon}$) show better agreement with experiments than those of Yld2004-18p and von Mises. The predictions of Yld2004-18p match the experimental strain contours better than the predictions of von Mises, but both are unable to capture some of the subtle strain

gradients evident in the DIC experimental images, e.g., the minimum principal strain variation in the radial direction in the specimen pocket for the $\delta_x:\delta_y = 1:1$ and 1:free experiments.



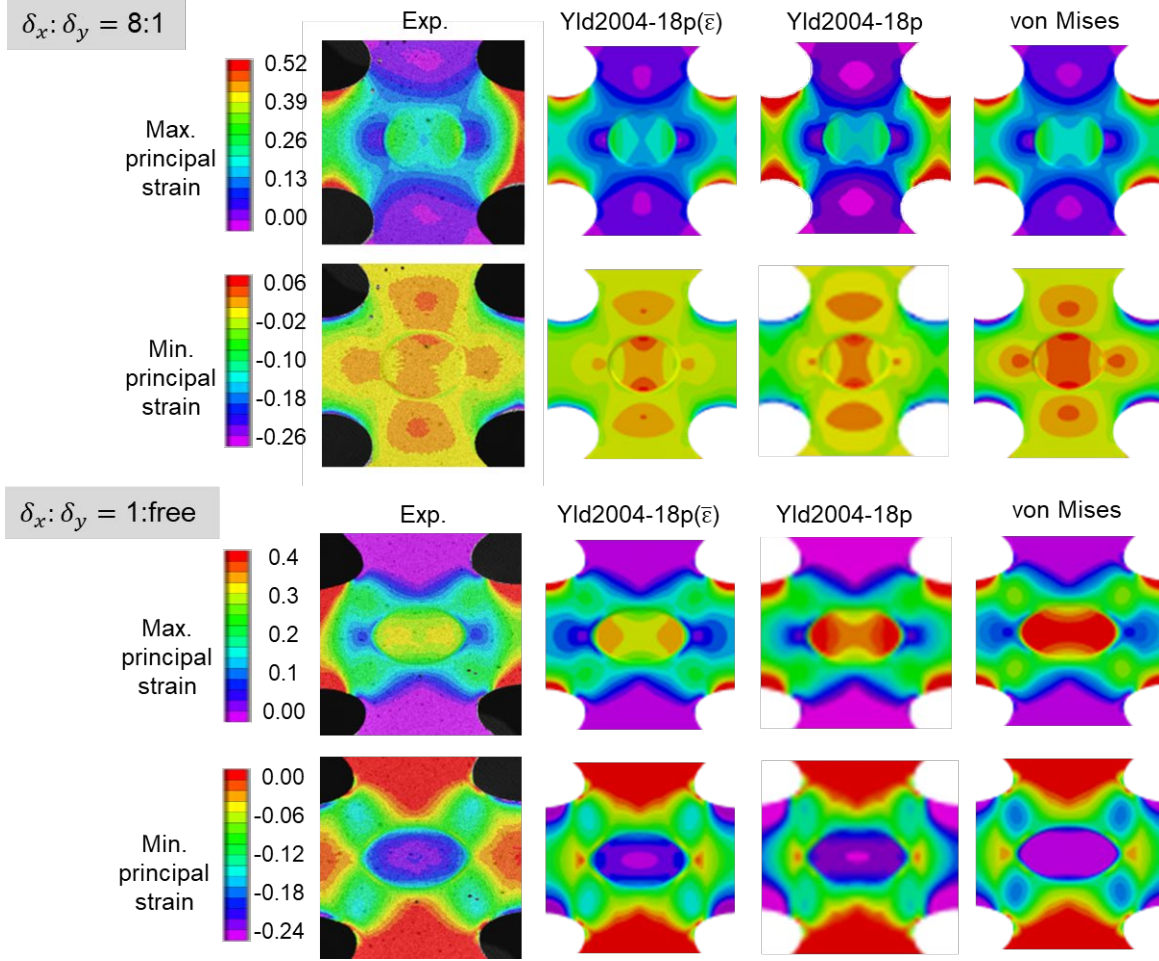


Figure 16. Comparison of principal strain contours captured at the same fracture displacement as the experiment to Yld2004-18p($\bar{\epsilon}$) and von Mises models with proportional loading: $\delta_x:\delta_y =$ (a) 1:1, (b) 2:1, (c) 8:1, and (d) 1:free.

To evaluate the deformation uniformity in the gauge area, the equivalent stress and strain in the thickness (RD-ND plane) and the face (RD-TD plane) of the pocket are examined based on the numerical predictions (see Figure 17). Note that, for simplicity, the predictions of Yld2004-18p are not shown in Figure 17. In the reduced thickness region, the stress and strain are nearly constant through the specimen thickness and along the radial direction on the face. Overall, these predictions indicate that relatively

uniform fields for the equivalent stress and strain are achieved over a large volume, which is desirable for material characterization measurements, e.g., DIC, magnetic induction.

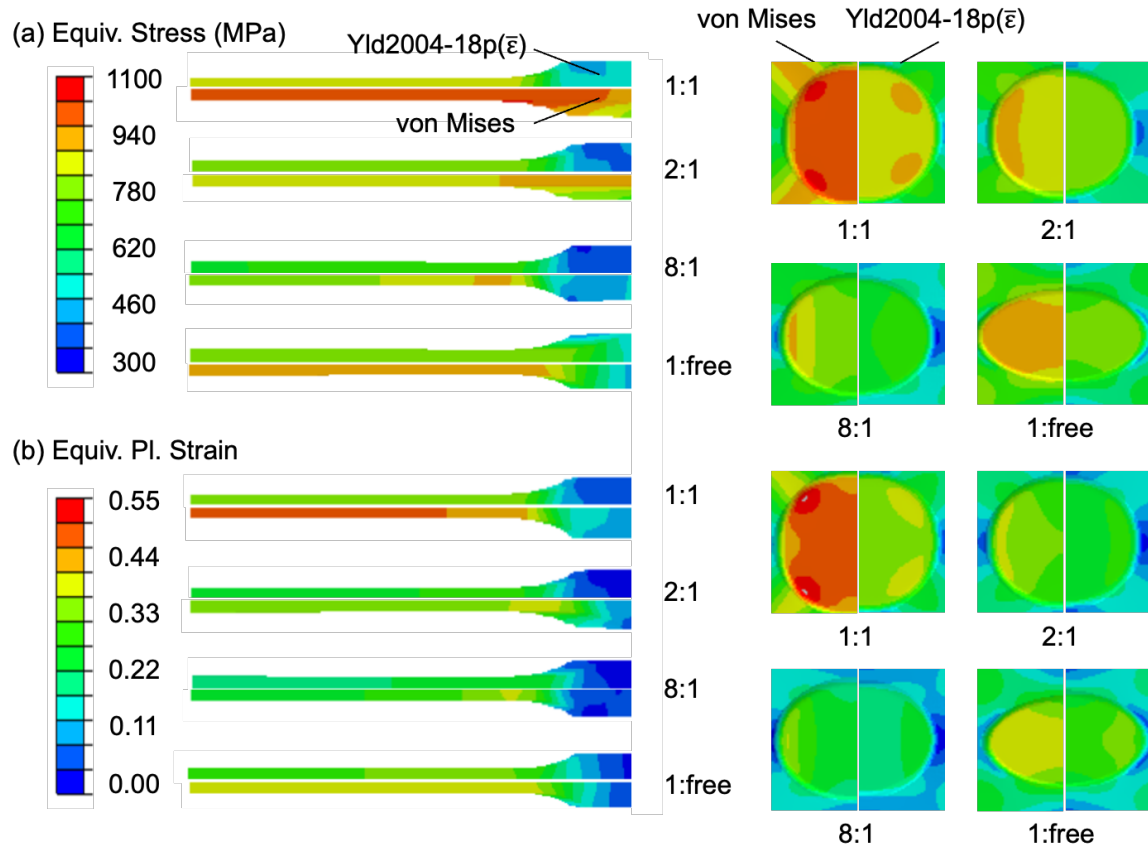


Figure 17. Comparison of equivalent (a) stress and (b) plastic strain along the thickness direction (RD-ND plane) and the face (RD-TD plane) of the pocket.

6. Summary and conclusions

In this work, the plastic anisotropy of SS316L and its evolutionary behavior were investigated under proportional loading paths using a cruciform specimen customized for in-plane biaxial experiments. The plastic behavior, characterized by uniaxial and equibiaxial tension experiments, were modeled by a non-quadratic anisotropic yield

function with equivalent plastic strain dependent material parameters, Yld2004-18p($\bar{\epsilon}$), to capture the strong evolutionary behavior in the plastic anisotropy and Hockett-Sherby model to describe the strain hardening. For the in-plane biaxial tension, a cruciform specimen was newly designed to satisfy six design goals and improve the specimen performance in experiments. Four loading paths were applied to the specimen, imposing strain paths near uniaxial, plane-strain, and equibiaxial tension. The experiments were performed using the in-plane biaxial machine at UNH, and the results were compared with FE simulations using the implemented models in a UMAT for Abaqus/Standard. Overall, the Yld2004-18p($\bar{\epsilon}$) predictions show good agreement with the experimental results for both the force-displacement curves and the strain fields in the gauge region compared to the constant parameter and isotropic yield functions. This validates that the implemented plasticity modeling can accurately describe the plastic anisotropy developed in both stress and strain fields.

Acknowledgements

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Appendix

A.1. Comparison of Effective Stress-Strain Curves for Bulge Test

A comparison of the strain hardening behavior at large, i.e., close to 1, strain levels is shown in Figure A1 to further validate the chosen material model. To simplify the calculations, instead of using Yld2004-18p, the bulge test equivalent stress-strain curve is obtained by the Hill 1979 non-quadratic yield function (Equation 1.1) [80]. The equations for the equivalent stresses and strains for a balanced biaxial stress state [81] are given in Equation A1.2, where ε_1 is half of the absolute value of the thickness strain, a is calculated by equating the equivalent stress and strain from a tensile test and bulge test at an effective strain equivalent to the true uniform strain in tension, and r_2 is the r -value obtained by $r = (r_0 + 2r_{45} + r_{90})/4$. The Hill 1979 effective stress-strain curve for the bulge test (red circles) is plotted with the extrapolated Hockett-Sherby stress-strain curve. Overall, the Hill 1979 stress-strain curve matches well with the Hockett-Sherby stress-strain curve over the entire strain range shown.

$$2(1 + r_2)\bar{\sigma}^a = (1 + 2r_2)|\sigma_1 - \sigma_2|^a + |\sigma_1 + \sigma_2|^a \quad (\text{A1.1})$$

$$\bar{\sigma} = \frac{2\sigma_1}{[2(1 + r_2)]^{1/a}} \quad ; \quad \bar{\varepsilon} = \varepsilon_1[2(1 + r_2)]^{1/a} \quad (\text{A1.2})$$

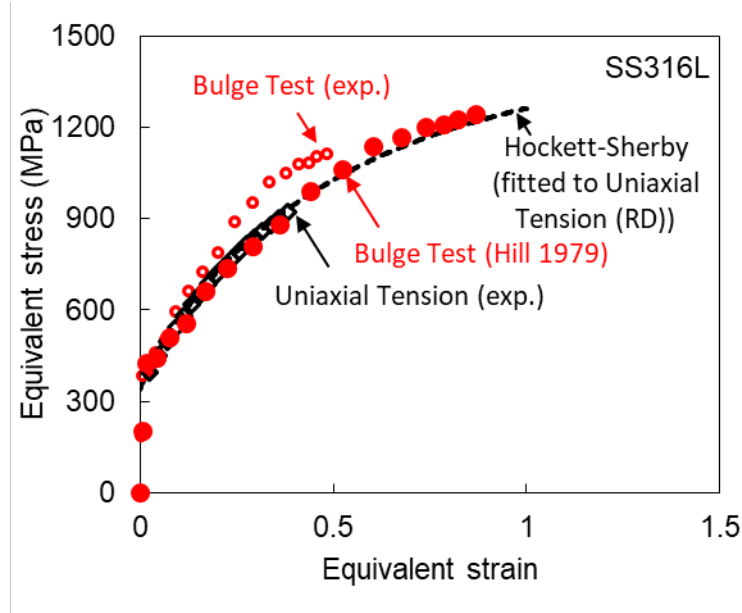


Figure A1. Comparison of extrapolated Hockett-Sherby (black dashed line) stress-strain curve and equivalent stress-strain curve for the hydraulic bulge test using Hill 1979 (red circles). For completeness, the bulge test (red open circles) and uniaxial tension (black open circles) experimental true stress-strain curves are overlaid.

The bulge test (red open circles) and uniaxial tension (black open circles) experimental data stress-strain curves are also included in Figure A1. Note that the bulge test experimental data is plotted in terms of true stress and strain not equivalent stress and strain. The material anisotropy is evident by the difference in the hardening behavior between these experimental true stress-strain curves.

A.2. Cruciform Specimen Geometric Relationships

Through thickness geometric relationship (see Figure 9) for the flat gauge region results in,

$$T_{\text{cut}} = \frac{(T_{\text{sample}} - T_{\text{pocket}})}{2} \quad (\text{A2.1})$$

and

$$R_{\text{pocket}} = \begin{cases} (R_{\text{gauge}} + F_{\text{pocket}}), & \text{if } F_{\text{pocket}} \leq T_{\text{cut}} \\ \left[R_{\text{gauge}} + \sqrt{F_{\text{pocket}}^2 - (F_{\text{pocket}} - T_{\text{cut}})^2} \right], & \text{if } F_{\text{pocket}} > T_{\text{cut}} \end{cases} \quad (\text{A2.2})$$

See Figure A2a for more clarification on Eq. A2. Based on the authors' prior experience, R_{notch} is determined by the following relationship with W_{arm} ,

$$R_{\text{notch}} = \frac{W_{\text{arm}}}{6} \quad (\text{A2.3})$$

Based on the geometric relationship in Figure 9a and Figure A2b,

$$W_{\text{arm}} \frac{\sqrt{2}}{2} = R_{\text{pocket}} + L_{\text{N2P}} + R_{\text{notch}} \quad (\text{A2.4})$$

where L_{N2P} is the shortest distance from the notch to the pocket along the diagonal direction. Substituting Eq. A3 into Eq. A4 and solving for W_{arm} ,

$$W_{\text{arm}} = (R_{\text{pocket}} + L_{\text{N2P}}) \left(\frac{6}{3\sqrt{2} - 1} \right) \quad (\text{A2.5})$$

Assuming that proper triaxial strain is obtained in the corner reinforcement by constraining a relative dimension of in-plane geometry and the sample thickness,

$$L_{N2P} \geq T_{\text{sample}} \quad (\text{A2.6})$$

and therefore, the minimum of W_{arm} can be determined by the following equation.

$$W_{\text{arm}} \geq (R_{\text{pocket}} + T_{\text{sample}}) \left(\frac{6}{3\sqrt{2} - 1} \right) \quad (\text{A2.7})$$

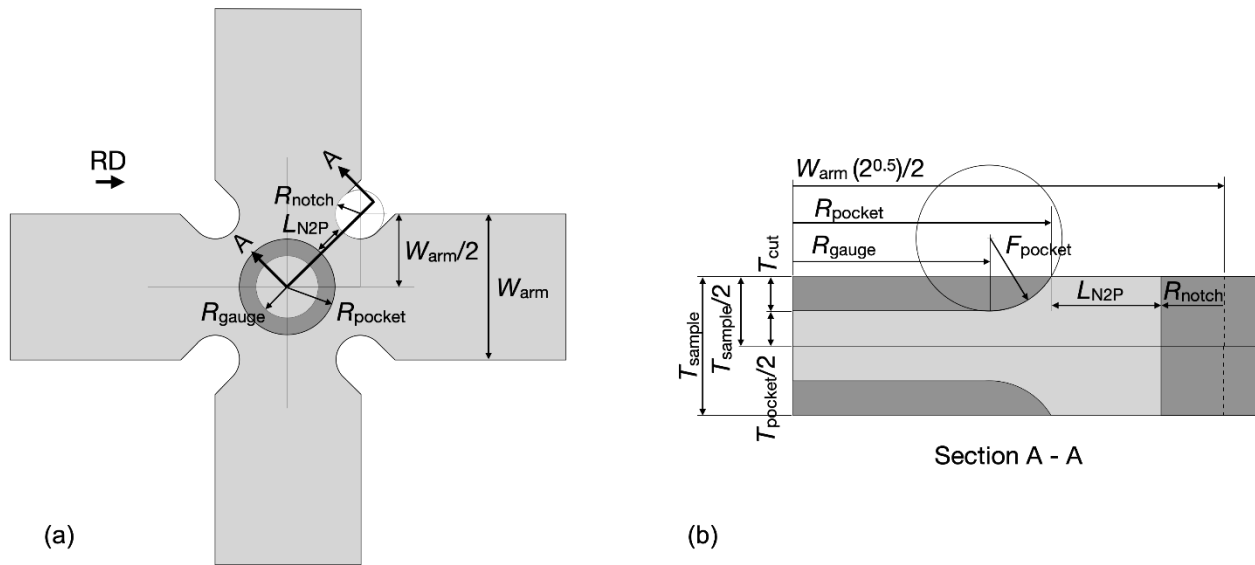


Figure A2. Relationship between the key geometric features for the design (a) plane view and (b) section A-A.

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