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Prospects and challenges of numerical modeling of the Sun at millimeter wavelengths

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The Atacama Large Millimeter/submillimeter Array (ALMA) offers new diagnostic possibilities that complement other commonly used diagnostics for the study of the Sun. In particular, ALMA's ability to serve as an essentially linear thermometer of the chromospheric gas at unprecedented spatial resolution at millimeter wavelengths and future polarization measurements has great diagnostic potential. Solar ALMA observations are therefore expected to contribute significantly to answering long-standing questions about the structure, dynamics, and energy balance of the outer layers of the solar atmosphere. In this regard, current and future ALMA data are also important for constraining and further developing numerical models of the solar atmosphere, which in turn are often vital for the interpretation of observations. The latter is particularly important given the Sun's highly intermittent and dynamic nature that involves a plethora of processes occurring over extended ranges in spatial and temporal scales. Realistic forward modeling of the Sun therefore requires time-dependent three-dimensional radiation magnetohydrodynamics that account for non-equilibrium effects and, typically as a separate step, detailed radiative transfer calculations, resulting in synthetic observables that can be compared to observations. Such artificial observations sometimes also account for instrumental and seeing effects, which, in addition to aiding the interpretation of observations, provide instructive tools for designing and optimizing ALMA's solar observing modes. In the other direction, ALMA data in combination with other simultaneous observations enable the reconstruction of the solar atmospheric structure *via* data inversion techniques. This article highlights central aspects of the impact of ALMA for numerical modeling of the Sun and their potential and challenges, together with selected examples.

KEYWORDS

radio radiation, atmosphere, magnetic fields, radiative transfer, magnetohydrodynamics (MHD), millimeter and submillimeter astronomy, solar physics, chromosphere and corona

1 Introduction

When pointed at the Sun, the Atacama Large Millimeter/submillimeter Array (ALMA, [Wootten and Thompson, 2009](#)) mostly observes radiation that originates from the solar chromosphere. This atmospheric layer, which is situated between the photosphere below and the transition region and the corona above, is highly dynamic and intermittent and shows variations on a large range of spatial and temporal scales. Plasma with chromospheric conditions can also be found in the corona in the form of prominences and coronal rain. These structures are integral components of the solar corona in the sense that they not only reflect specific physical processes of the corona but also influence its evolution ([Vial and Engvold, 2015](#); [Antolin and Froment, 2022](#)). The investigation of the thermodynamic conditions and morphology of these dense and cool structures supported by the magnetic field is therefore also a major field to which ALMA can make a major contribution.

Despite very active research regarding the chromosphere, which involves observations at many different wavelength ranges supported by numerical simulations, many fundamental questions concerning this layer remain open. The main reason is that the chromosphere is notoriously difficult to observe. Only a small number of spectral lines and continua are formed in the chromosphere, usually across extended height ranges. The formation of chromospheric spectral lines involves non-equilibrium effects such as non-local thermodynamic equilibrium (NLTE¹, see [Unsöld, 1955](#); [Mihalas, 1978](#); [Carlsson and Stein, 1992](#), and references therein) and time-dependent hydrogen ionization ([Carlsson and Stein, 2002](#)). Consequently, the few currently available diagnostics like the spectral lines of singly ionized calcium and magnesium are difficult to interpret, in particular, in combination with instrumental limitations. As a result, the physical properties of the observed atmospheric region can only be derived with rather large uncertainties, hampering the progress in understanding this important part of the solar atmosphere.

Observations of the solar continuum radiation using ALMA, as offered on a regular basis since 2016, provide unprecedented diagnostic possibilities that are complementary to other

chromospheric diagnostics ([Bastian, 2002](#); [Karlický et al., 2011](#); [Benz et al., 2012](#); [Wedemeyer et al., 2016](#); [Bastian et al., 2018](#)). The radiation continuum at submillimeter/millimeter ((sub-)mm) wavelengths, including the range accessed by ALMA, forms essentially under conditions of local thermodynamic equilibrium (LTE) so that the observed brightness temperature, T_b , is closely related to the actual (electron) temperature of the chromospheric gas in a (corrugated) layer whose average height roughly increases with the selected observing wavelength. Unfortunately, observations at (sub-)mm wavelengths prior to the use of ALMA had too low spatial and temporal resolution for resolving the small spatial and short temporal scales on which the intricate chromospheric dynamics occur. For instance, the Berkeley–Illinois–Maryland Array (BIMA) had a spatial resolution corresponding to a restored beam size of $\sim 10''$ at a wavelength of $\lambda = 3.5$ mm ([White et al., 2006](#); [Loukitcheva et al., 2009](#)). The full diagnostic potential of millimeter wavelengths has therefore only been unlocked by ALMA because of its high temporal and (comparatively high) spatial resolution, which significantly exceeds the resolution achieved by previous millimeter and radio observatories. It should be noted that the millimeter wavelengths addressed in this article are also referred to in terms of their corresponding frequencies (a few 10 s GHz up to ~ 1 THz) and as (ALMA) receiver bands² that covered the discussed wavelength range. In particular, ALMA bands 3 and 6, which have been used most frequently for solar observations so far, refer to (central) wavelengths of 3.0 mm and 1.3 mm and corresponding frequencies of 100 GHz and 230–240 GHz, respectively.

Because ALMA is relatively new as a diagnostic tool for the solar chromosphere, many aspects are not understood well yet. For instance, the exact formation heights and, thus, the layers sampled by the different receiver bands of ALMA and, likewise, the oscillatory behavior seen in the ALMA observations are still debated ([Jafarzadeh et al., 2021](#); [Patsourakos et al., 2020](#); [Narang et al., 2022](#); [Nindos et al., 2021](#)). On the other hand, as solar observing using ALMA is still in its infancy, its capabilities will continue to improve in the near future. However, any new ability to obtain unprecedented observations in any part of the spectrum always brings its own challenges in the interpretation of the resulting data. Fortunately, diagnostics and understanding of ALMA observations and their relationship

¹ In this context, NLTE or non-LTE describes deviations from LTE conditions for the atomic-level populations (which can then be calculated under the assumption of statistical equilibrium), the electron density (mostly due to non-equilibrium hydrogen ionisation), and the radiative source function that is no longer given by the Boltzmann function.

² <https://www.eso.org/public/teles-instr/alma/receiver-bands/>.

to the coordinated observations in other spectral domains can benefit from dedicated numerical modeling.

Like in many other fields of astrophysics, numerical simulations have developed into an essential tool in solar physics. Also, in the context of solar observations with ALMA, simulations help to interpret observational data but can also be used to develop and optimize new observing strategies (Wedemeyer-Böhm et al., 2007; Loukitcheva et al., 2015; Fleishman et al., 2021a). In return, comparisons with observations provide crucial tests for the veracity of existing models of the solar atmosphere. In this brief overview article, the potential value of numerical simulations for solar science with ALMA is explored, ranging from forward modeling of thermal and non-thermal mm continuum radiation and the impact of magnetic fields (Section 2) to data inversion techniques and modeling of instrumental and seeing effects (Section 3). Examples of scientific applications are given in Section 4, followed by a summary and outlook in Section 5.

2 Forward modeling and artificial observations

Forward modeling of the solar atmosphere is typically split into the following steps: (i) radiation (magneto) hydrodynamics simulations (Section 2.1), (ii) synthesis of observables *via* radiative transfer calculations (Section 2.2), and (iii, optional) application of simulated observational effects (e.g., limited angular resolution, see Section 2.3).

2.1 Radiation magnetohydrodynamics simulations

Semi-empirical models of the solar atmosphere like those by created by Vernazza et al. (1981), Fontenla et al. (1993), and Avrett and Loeser (2008) have been an important milestone and are still widely used for reference. Millimeter continuum observations were also used for the construction of these models, which therefore, give a first idea of where and under which conditions radiation continuum at different millimeter wavelengths is formed—on average. While the employed radiative transfer modeling, which even accounts for NLTE, is elaborate, this class of models can by nature not account for the pronounced temporal and spatial variations seen at the much increased resolution of modern observations.

The next step in the development toward realistic models was therefore to account for temporal variations in the chromosphere. The time-dependent one-dimensional simulations by Carlsson and Stein (1995) and variations therefore capture well the dynamics introduced by shock waves that propagate through the solar atmosphere and the resulting

implications for the chromospheric plasma properties, i.e., the ionization degree of hydrogen and, thus, the (non-equilibrium) electron density (Carlsson and Stein, 2002). These simulations have been used for the synthesis of the millimeter continuum and thus provided first predictions of the brightness temperature variations that a telescope with sufficient resolution would be able to observe (Loukitcheva et al., 2008).

However, the solar atmosphere and, in particular, the highly dynamic and intermittent chromosphere is a truly time-dependent three-dimensional phenomenon, which poses significant challenges for realistic modeling capable of reproducing observational findings. Also, temporal variations on short timescales are typically connected to spatial variations across short-length scales. Consequently, accounting for the full time-dependence and multi-dimensionality of the solar chromosphere is a substantial step forward from one-dimensional approaches. In view of limited computational resources, early 3D simulations were restricted in the overall number of grid cells, seeking a compromise between the required resolution and the extent of the computational domain, and the physical processes that could be numerically treated (Skartlien et al., 2000; Wedemeyer et al., 2004). The enormous increase in computational power over the last decades now enables simulations with much higher numbers of grid cells and, thus, a better representation of the chromospheric small-scale structure and larger extents of the modeled region. However, self-consistent numerical simulations of whole active regions are still at the modeling frontier (Rempel et al., 2009).

Numerical two-dimensional (2D) and three-dimensional (3D) models produced using the radiation magnetohydrodynamics (rMHD) simulation codes Bifrost (Gudiksen et al., 2011) and CO⁵BOLD (Freytag et al., 2012) have already been used as the basis for the synthesis of mm continuum radiation (Wedemeyer-Böhm et al., 2007; Loukitcheva et al., 2015) but an increasing number of codes is developing the necessary functionality, e.g., MURaM (Przybylski et al., 2022). Both Bifrost and CO⁵BOLD solve the equations of magnetohydrodynamics and radiative energy transfer together with a realistic equation of state and realistic opacities and further relevant physics. A typical model includes a small part of the solar atmosphere (from a few Mm to a few 10 Mm, cf. Wedemeyer et al., 2016, and references therein) and extends from the upper convection zone into the chromosphere and/or low corona (Figure 1A). This way, the dynamics in the model are driven self-consistently and all layers mapped using ALMA are included. A simulation typically starts with an evolved model snapshot (or any other initial condition) and is evolved in time step-by-step, where the computational time steps are of the order of 1 ms–100 ms, depending on the magnetic field strength in the model. Simulation snapshots of the physical parameters can be output at a freely selectable cadence. Modeling the layers of the solar atmosphere above the temperature

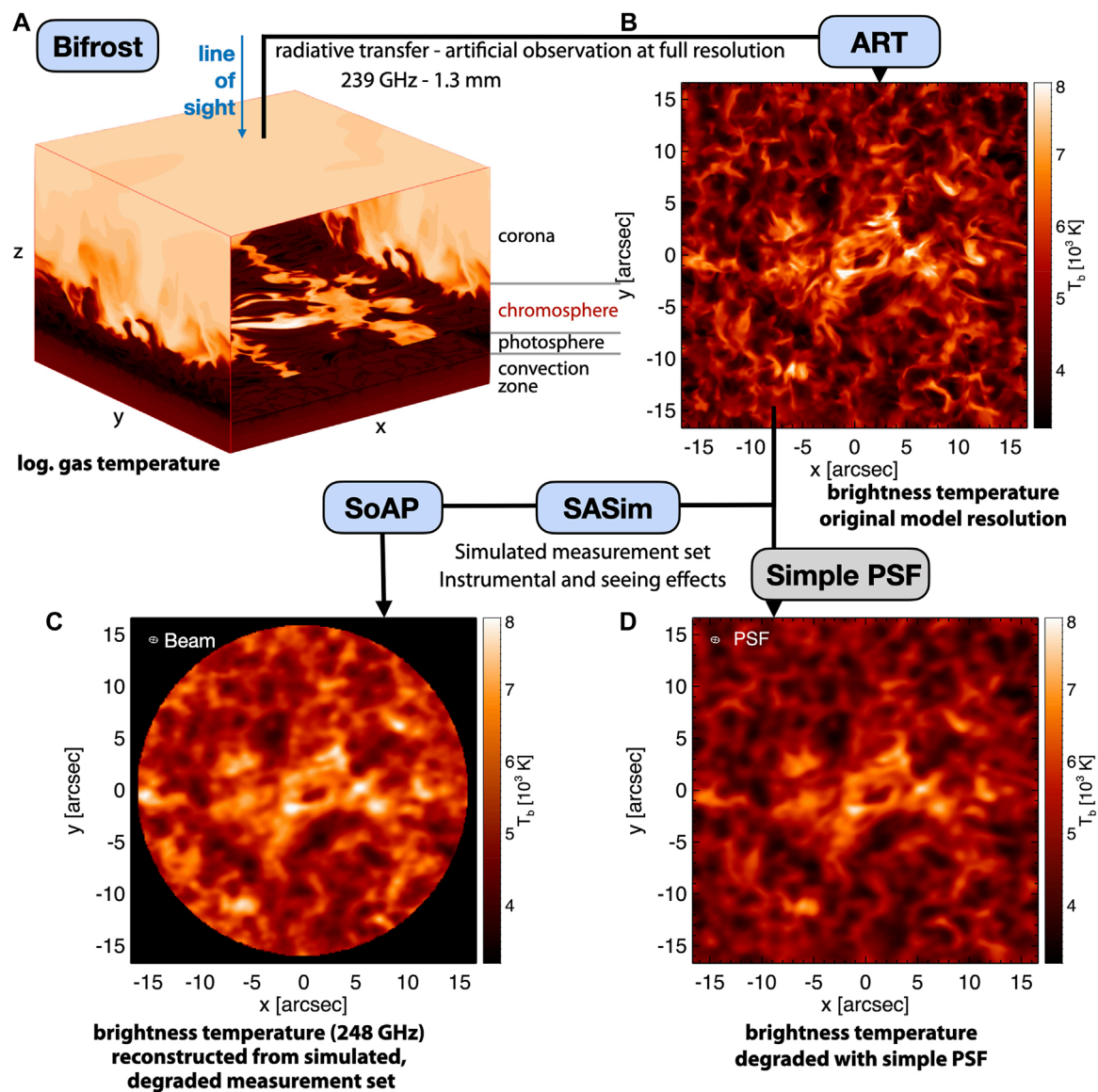


FIGURE 1

Forward modeling of synthetic brightness temperatures at millimeter wavelengths starting with a 3D model snapshot (or a time series of such) from a Bifrost simulation (A). The model features an enhanced network region in the center surrounded by quiet Sun. The radiative transfer calculations with ART produce a brightness temperature map for the selected frequencies [shown here: 239 GHz, (B)] to which then a simple point spread function (PSF) equivalent to an idealized synthesized beam for ALMA can be applied (C). The PSF is shown in the top left corner of the panel. A more realistic simulation of atmospheric seeing and instrumental effects as performed using SASim (and then reconstructed using SoAP) results in stronger degradation (D) of the original image than the simple PSF. It is to be noted that only a moderate example is shown that corresponds to good observing conditions. The synthesized beam is shown in the corner of the reconstructed image in panel (D).

minimum in a realistic way requires the inclusion of additional physical processes and deviations from equilibrium conditions that are usually computationally expensive. As discussed in Section 2.2.1, the detailed treatment of time-dependent non-equilibrium hydrogen ionization, like it is implemented in Bifrost, is of particular importance for the continuum radiation at millimeter wavelengths. Also, adding non-equilibrium

ionization of helium and ion-neutral interactions (ambipolar diffusion) significantly increases the computational costs. Consequently, so far, only a small number of models can account for these additional ingredients and are necessarily limited to 2.5D in order to render such modeling computationally feasible (Martínez-Sykora et al., 2020). These models suggest that the effective formation heights of the millimeter continuum in

both the ALMA bands 3 and 6 are similar in active regions (ARs) and network regions, which contradicts results from previous simulations (see Wedemeyer et al., 2016, and references therein) and actual ALMA observations (Hofmann et al., 2022). Clearly, the inclusion of more physical processes relevant under chromospheric conditions as implemented in the 2.5D simulations conducted by Martínez-Sykora et al. (2020) is an essential step in the right direction. However, given the complicated small-scale dynamics of the chromosphere, modeling this layer in full 3D at sufficient resolution is a critical requirement that comes with high computational costs.

2.2 Spectrum synthesis

In the Sun, continuum radiation is mostly due to thermal emission mechanisms as discussed in Section 2.2.1 but non-thermal emission needs to be taken into account in flares (see Section 2.2.2). As discussed in the following section, this results in particular requirements for the forward modeling of meaningful millimeter radiation.

2.2.1 Thermal radiation

At (sub-)mm wavelengths, the main source of opacity is due to thermal free-free absorption (bremsstrahlung) from electron-ion free-free encounters and H^- (see, e.g., Dulk, 1985; White et al., 2006; Wedemeyer et al., 2016). In other words, the free-free emission originates when free thermal electrons collide with ambient ions and atoms, the total amount of which depends on the electron and ion density, chemical composition, and temperature. All these three inputs vary strongly in the solar atmosphere. In particular, the thermal radiation continuum is sensitively dependent on the local electron density (or line-of-sight electron density). In the presence of a strong magnetic field, additional contribution from gyro emission (Anfinogentov et al., 2019) needs to be taken into account (see the following section).

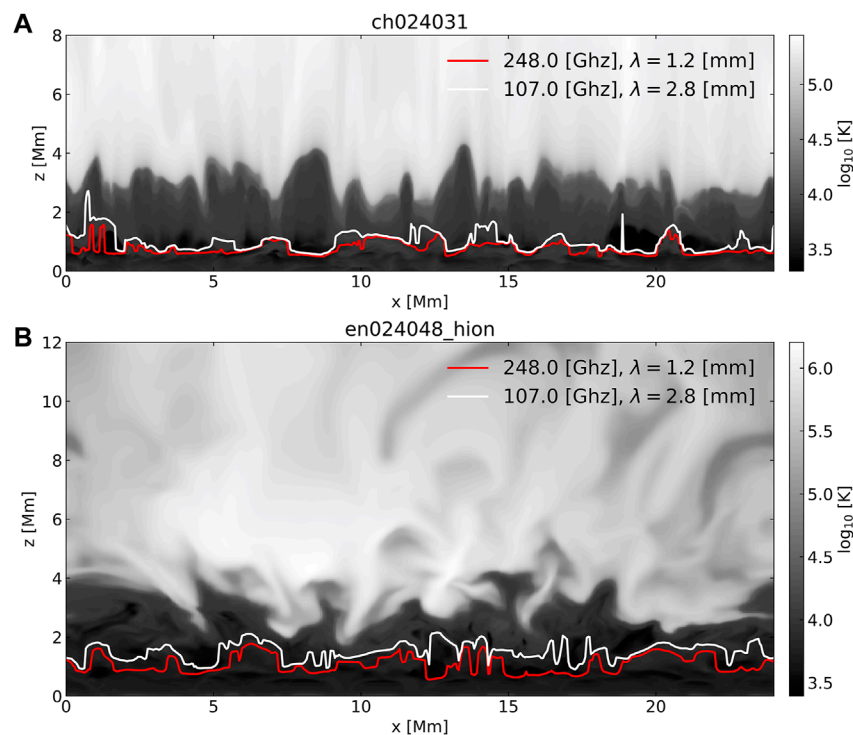
Many radiative transfer codes commonly use electron densities derived from local plasma properties such as the gas temperature to calculate the thermal free-free emission under the assumption of instantaneous LTE conditions. As demonstrated convincingly by Carlsson and Stein (2002), the rapid variations of the plasma properties in the solar chromosphere due to propagating shock waves in combination with finite hydrogen ionization and recombination time scales result in significant deviations of the electron density from equilibrium values. A realistic calculation of synthetic continuum intensity maps at millimeter wavelengths therefore requires detailed non-equilibrium electron densities as input (see, e.g., Leenaarts and Wedemeyer-Böhm, 2006). However, including non-equilibrium hydrogen ionization in

numerical 3D rMHD simulations is computationally expensive, resulting in only a small number of adequate simulations so far (Gudiksen et al., 2011; Loukitcheva et al., 2015; Carlsson et al., 2016).

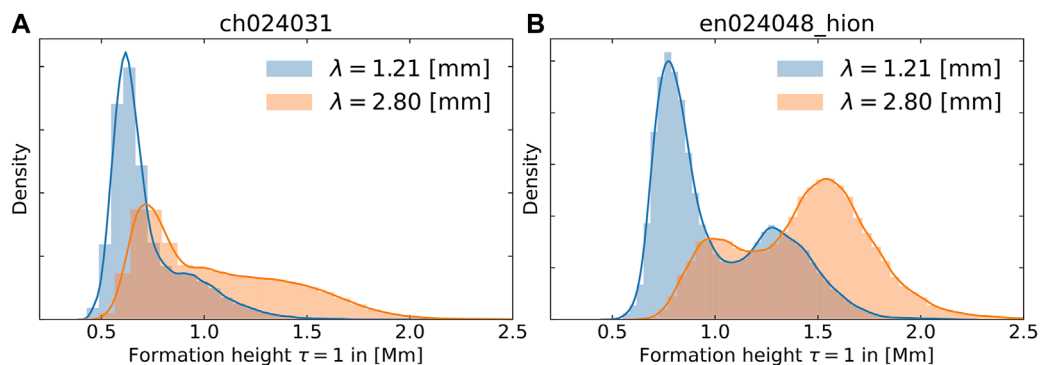
Figures 2, 3 show the formation of the thermal continuum along vertical slices of two snapshots from publicly available³ Bifrost simulations: *en_024048_hion*—an enhanced network simulation, including non-equilibrium hydrogen ionization, and *ch024031*—a coronal hole simulation with LTE ionization. The heights at which the optical depth (τ) equals one are marked as a proxy for the formation height for simplicity. We note that, however, the contribution functions of the (sub-)mm continuum can potentially be wider and consist of several peaks due to the often complicated structure of the chromosphere leading to multiple optically thin contributions along the line of sight. Some ALMA observations indeed show occasional contributions from several layers (Wedemeyer et al., 2020a). For a detailed insight into the layers that actually contribute to the emission at any given wavelength, these more detailed contribution functions should be considered (e.g., Loukitcheva et al., 2015). The corrugated surfaces of optical depth unity—as corrugated as the chromosphere itself—underscore that the frequencies observed using ALMA probe different heights of the atmospheres at different spatial locations. Therefore, classical semi-empirical models of averaged spectra should be used with caution when interpreting spatially/temporally resolved data. The histograms in Figure 3 show that the formation heights [$z(\tau = 1)$] are, on average, lower in the coronal hole atmosphere than in the enhanced network. Both the magnetic topology and detailed ionization balance influence the formation of the millimeter continuum through changes in the electron/proton densities and temperature, directly affecting the free-free opacities. Simulations of more active conditions naturally lead to higher formation heights than in quiet conditions (Martínez-Sykora et al., 2020). This is true even if non-equilibrium effects are not taken into account because the higher densities in ARs lead to higher (sub-)mm opacities (e.g., da Silva Santos et al., 2022a).

Interestingly, even though the Bifrost-enhanced network simulation includes much of the relevant chromospheric physics, the averaged synthetic brightness temperatures in *en_024048_hion* are lower than what ALMA observations suggest. Loukitcheva et al. (2015) reported that $\langle T_b(1.25 \text{ mm}) \rangle \sim 4,800 \text{ K}$ and $\langle T_b(3 \text{ mm}) \rangle \sim 6,100 \text{ K}$ in that simulation, whereas White et al. (2017) obtained $\langle T_b(1.25 \text{ mm}) \rangle \sim 5,900 \text{ K}$ and $\langle T_b(3 \text{ mm}) \rangle \sim 7,300 \text{ K}$ from quiet Sun (QS) observations, values which, for the time being, are set as reference values for observational ALMA brightness temperatures. Alissandrakis et al. (2020) suggested an even higher value:

³ <http://sdc.uio.no/search/simulations>.

**FIGURE 2**

Simulated logarithmic gas temperature (gray-scale) in vertical slices of two 3D rMHD Bifrost simulations: **(A)** coronal hole and **(B)** enhanced network region. The solid lines represent the heights at which the optical depth is in unity at a wavelength of 1.2 mm (red) and 2.8 mm (white), which correspond to ALMA bands 6 and 3, respectively (see also [Figure 3](#)). It is to be noted that these heights are only rough proxies of typical formation heights, whereas the contribution functions can have multiple peaks along the line-of-sight as a result of the complicated thermal structure as can also be seen from the temperature response functions in [Figure 4](#).

**FIGURE 3**

Distributions of the heights of optical depth unity [$z (\tau = 1)$] for the two Bifrost models shown in [Figure 2](#) for the continuum at 1.2 mm (blue) and at 2.8 mm (orange): **(A)** coronal hole and **(B)** enhanced network region.

$\langle T_b(1.25 \text{ mm}) \rangle \sim 6,300 \text{ K}$ for the QS. We note that it has also been shown that the simulation produces, for UV and visible chromospheric lines, widths and intensities weaker than the observed values in the QS regions, which suggests that the simulated chromosphere is overall cooler or/and less dense than

the real Sun (e.g., [Leenaarts et al., 2013](#)). As already stated in [Section 2.1](#), the inclusion of more physical processes relevant under chromospheric conditions as implemented by [Martínez-Sykora et al. \(2020\)](#) is an essential step in the right direction, albeit computationally costly.

While there are several codes that can be used for the synthesis of millimeter continuum radiation, the Advanced Radiative Transfer (ART; [de la Cruz Rodríguez et al., 2021](#)) code was developed with the aim of providing a very fast tool for the synthesis of millimeter continuum maps. ART uses non-equilibrium electron densities as provided by 3D simulations with Bifrost ([Gudiksen et al., 2011](#)) and 1D RADYN simulations ([Carlsson and Stein, 1992](#)), but otherwise assumes LTE conditions. The high computational throughput of ART makes it possible to quickly produce time series, even for all individual spectral channels of ALMA instead of just one representative wavelength per (sub-)band. ART has been used by [Wedemeyer et al. \(2020a\)](#) and [Eklund et al. \(2021a,b\)](#). An example is given in [Figure 1B](#). As a comparison, RH 1.5D ([Uitenbroek, 2001](#); [Pereira and Uitenbroek, 2015](#)), MULTI ([Carlsson, 1986](#)), and ART were used to synthesize ALMA band 3 radiation from a 1D RADYN simulation. The simulation, which was intended as a first experiment toward nano-flares, included a beam of electrons injected during a time interval of 10 s in an initial atmosphere which is then left to evolve. The differences in brightness temperatures between the results from the three codes, which for this experiment did not contain any non-thermal contributions, agreed within $\leq 15\%$ while the beam was injected and on average less than 6% during the rest of the time.

[Fleishman et al. \(2021a\)](#) extended the theory of free-free emission to the case where the plasma can be composed of many chemical elements in various temperature-dependent ionization states. The theory takes into account that the plasma, in any resolution element (voxel), can have a distribution of the temperature described by the differential emission measure (DEM), rather than a single value of the temperature. [Fleishman et al. \(2021a\)](#) found that the chemical elements can enhance the free-free emission intensity by up to 30% depending on the plasma temperature. In the relatively cool chromosphere, where the plasma is only partly ionized, the code takes into account collisions of free electrons with neutral hydrogen and helium. The effect of the magnetic field on free-free polarization is also taken into account according to the exact magneto-ionic theory, which is important for modeling free-free emission from the chromosphere ([Loukitcheva M. et al., 2017](#)). Another effect controlled by the magnetic field is gyroresonant emission due to enhanced opacity of the emission at several gyroharmonics—integer multiples of the gyrofrequency (that is proportional to the ambient magnetic field). [Fleishman et al. \(2021a\)](#) extended the theory of gyroresonant emission to the case of multi-temperature plasma, which required introducing an additional differential density metrics (DDM) complementary to DEM. The code is fully open and available (see [Kuznetsov et al., 2021](#)). Although the main contribution to the radio emission at frequencies greater than ~ 100 GHz (ALMA band 3 and above) comes

from the chromosphere, coronal contributions are not negligible in ALMA bands 1 and 2, and the described code is needed to properly model and isolate the coronal contributions. In particular, the gyroresonant contribution can be strong at 34 GHz and above ([Anfinogentov et al., 2019](#)). In addition, the coronal contribution dominates at microwave frequencies, which bear extremely useful complementary information.

2.2.2 Non-thermal radiation

Non-thermal radiation is emitted by non-thermal electrons accelerated in solar flares or relativistic positrons produced due to nuclear interactions ([Fleishman et al., 2013](#)). The dominant emission mechanism is gyrosynchrotron emission due to spiraling of charged particles in the ambient magnetic field, while free-free emission is often important as well (see [Fleishman et al.](#) in this special issue). The theory of gyrosynchrotron emission is well-developed, but the expressions for the emissivity and absorption coefficients are cumbersome and computationally expensive. To facilitate the computation of gyrosynchrotron emission, [Fleishman and Kuznetsov \(2010\)](#) developed fast gyrosynchrotron codes that programmatically increase the computation speed by orders of magnitude. The initial versions of the codes permitted only a limited set of analytical distribution functions of the electrons over energy and pitch-angle to be employed in the computations. To facilitate numerical modeling with a broader range of numerically defined distribution functions, [Kuznetsov A. A. and Fleishman G. D. \(2021\)](#) extended the codes for the case of distribution functions defined by numerical arrays. This new version of the code ([Kuznetsov A. and Fleishman G., 2021](#)) also employs the improved treatment of the free-free emission described in [Section 2.2.1](#).

2.3 Simulation of atmospheric seeing and instrumental effects

In order to ensure a fair and meaningful comparison between observations and synthetic observables based on numerical models, in principle, all effects due to the telescope and instrument, data processing, and Earth's atmosphere must be considered in detail. Typically, for simplicity but often also *in lieu* of insufficient knowledge of the aforementioned factors, just the (modeled) telescope point spread function (PSF) is applied to synthetic intensity images, resulting in the degradation of the image to the same angular resolution as in the observations. Moreover, such PSFs are often very simplified as contributions such as stray light are usually not known ([Wedemeyer-Böhm and Rouppe van der Voort, 2009](#)). For such comparisons, it should therefore be kept in mind that the degradation effects applied to synthetic images tend to underestimate their effect.

Properly taking into account instrumental and seeing effects is even more challenging for interferometric imaging

as performed with ALMA. For solar observations, ALMA's 12-m array with up to 50 12-m antennas and the Atacama Compact Array (ACA) with up to 12 7-m antennas are correlated, including heterogeneous baselines, resulting in time series or mosaics. At the same time, the up to 4 total power (TP) antennas scan the whole disk of the Sun. The obtained visibility data are later calibrated before the brightness temperature maps are reconstructed *via* an involved deconvolution and imaging process, which is typically based on the CLEAN algorithm (Högbom, 1974; Rau and Cornwell, 2011). The resulting final synthesized beam, which is a byproduct of the CLEAN procedure, typically has an elliptical shape that changes with time as a result of the changing position of the Sun in the sky and, thus, the corresponding varying viewing angles of the array. While the synthesized beam is equivalent to a PSF and can thus be applied to synthetic intensity maps to match the resolution (see Figure 1C), it is still a simplification of the complicated instrumental setup described previously. An even more severe instrumental effect is the sparse sampling of the spatial Fourier space (" u, v -plane"), which is a direct result of the limited number of baselines between antennas. This effect is often compensated by following the source as it moves over the sky with time, thus filling different components in the uv -space. For the Sun, which changes on short time scales, this so-called rotation synthesis technique is not an option as the small structure would effectively be blurred out. Instead, brightness temperature maps of the Sun have to be reconstructed solely on the interferometric information retrieved over a very short time ("snapshot imaging"). The cadence of solar observations with ALMA has decreased from 2 s to only 1, which is a great capability for observing the highly dynamic chromosphere but is challenging for imaging based on sparse uv -sampling.

The Solar ALMA Simulator (SASim), which was developed in connection with the ESO-funded ALMA development study, "High-cadence Imaging of the Sun" (Wedemeyer, 2019), addresses this problem by aiming at a more realistic simulation of the degradation effects, including the atmosphere above ALMA, interferometric observations, and the imaging process. As input, the SASim uses currently synthetic millimeter brightness temperature maps that are produced with ART from a Bifrost simulation with snapshot output at 1 s cadence. Based on the simobserve task as part of CASA⁴, the maps are then converted into measurements set similar to what is produced from real ALMA observations of the Sun. In the process, the antenna configuration and the degradation properties of Earth's atmosphere are prescribed. The resulting synthetic measurement set, which is an artificial ALMA observation of the Sun, is then used as input for the same deconvolution and imaging tool as real observations. For this purpose, the Solar ALMA Pipeline (SoAP)

is used (Wedemeyer et al., 2020a; Henriques et al., 2022). An example is given in Figure 1D. Such artificial simulation-based observations enable the optimization of the imaging process as the resulting reconstructed images can be compared to the original (i.e., the SASim input) images for different imaging parameter combinations until the best match between the input and output is found. While this procedure is still computationally expensive, it will support the development of new and/or improved observing modes in the future and provide more realistic means for comparing observations with adequately degraded synthetic brightness temperature images.

3 Advanced reconstruction of model atmospheres from ALMA observations

3.1 Data inversion

Modern inversion techniques allow inferring the physical parameters of model atmospheres from the reconstruction of observed spectropolarimetric data. The model typically includes the stratification of temperature, line-of-sight velocity, microturbulence, and the three components of the magnetic field as a function of column-mass or continuum optical-depth at a reference wavelength. Inversion techniques have been applied systematically to study photospheric datasets with different levels of complexity (Ruiz Cobo and del Toro Iniesta, 1992; van Noort, 2012; Ruiz Cobo and Asensio Ramos, 2013; Scharmer et al., 2013; Pastor Yabar et al., 2021) under the assumption of LTE.

The extension of such inversion methods to chromospheric applications was possible by the assumption of statistical equilibrium and plane-parallel geometry in order to calculate the atom population densities (Socas-Navarro et al., 2000). The most used diagnostic has been the Ca II 8542 Å line (e.g., Pietarila et al., 2007; de la Cruz Rodríguez et al., 2013; Henriques et al., 2020). More recently, the inclusion of lines originating from multiple species and the inclusion of partial redistribution effects have been made possible with the STiC code (de la Cruz Rodríguez et al., 2019), which has enabled the application of inversion methods to datasets including the Mg II h&k lines (e.g., de la Cruz Rodríguez et al., 2016; Gošić et al., 2018; Sainz Dalda et al., 2019) and Ca II H&K lines (Esteban Pozuelo et al., 2019; Vissers et al., 2019; Morosin et al., 2022).

The depth-resolution that can be achieved by inversions greatly depends on the number of spectral diagnostics (lines and continua) included as constraints in the process, as well as their sensitivities to the different regions represented in the model. The inclusion of more diagnostics improves the depth resolution and reduces potential degeneracies in the reconstructed model. However, because of the non-locality of

⁴ Common Astronomy Software Applications (CASA): <http://casa.nrao.edu>.

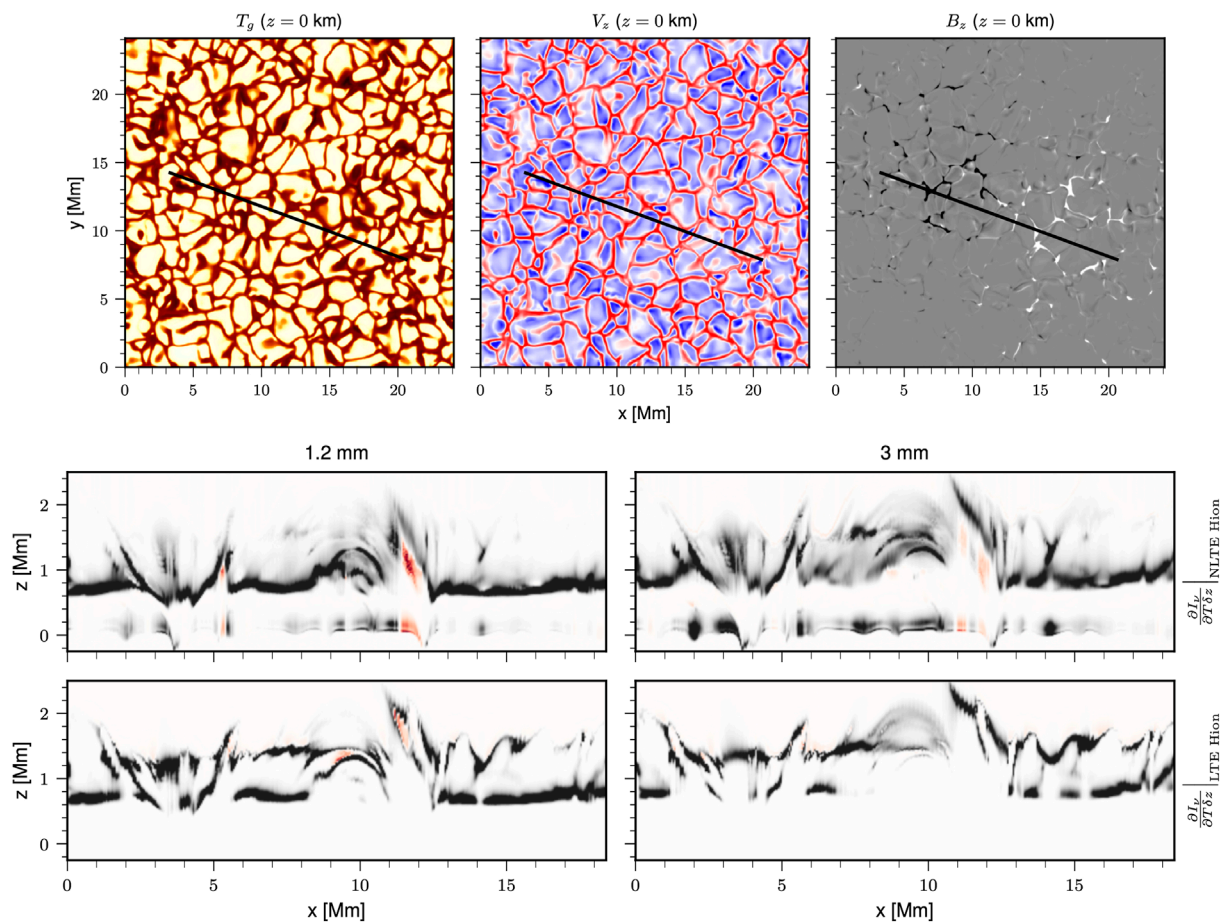


FIGURE 4

Temperature response function computed with the STiC code at 1.2 mm and 3 mm from a vertical slice of a 3D MHD model (Carlsson et al., 2016). In the middle row, the response function has been calculated with an NLTE equation-of-state where the hydrogen population densities are calculated in statistical equilibrium and charge conservation has been imposed in order to calculate the electron density. In the bottom row, an LTE case is shown. For context, we have indicated the location of the slice in the upper row over-imposed on the photospheric temperature, the line-of-sight velocity, and the vertical component of the magnetic field vector.

the radiation field in the chromosphere and the consequent decoupling of the source function from the local temperature stratification, NLTE inversions can fail to accurately constrain temperature stratification in the chromosphere, especially when only one spectral line is included in the inversion.

ALMA millimeter observations provide a powerful diagnostic for chromospheric inversions (da Silva Santos et al., 2018). At those wavelengths, the background continuum samples the chromosphere and the source function can be described by the Planck function. However, while ALMA radiation can be described in LTE, the continuum opacity is set by the electron density and the hydrogen ionization/recombination balance, which are far from LTE in the chromosphere, and time-dependent NLTE hydrogen ionization should be included in the calculations (Leenaarts and Wedemeyer-Böhm, 2006; Leenaarts et al., 2007, see also Section 2.2.1).

Inversion methods are not sufficiently developed to include time-dependent hydrogen ionization yet. An alternative approach, which is more accurate than assuming LTE, is to calculate H ionization in statistical equilibrium and account for this NLTE contribution in the electron densities by imposing charge conservation in each NLTE iteration. The STiC inversion code (de la Cruz Rodríguez et al., 2019) allows performing such calculations as part of the spectroscopic inversion process. To illustrate the importance of this effect, we have calculated the temperature response function (RF) along a vertical slice from a 3D rMHD simulation (cf. Section 2.1) in LTE, using the proposed statistical equilibrium approach (see Figure 4). The response function is representative of the sensitivity of each grid cell to temperature perturbations. For both receiver bands, the LTE response function has significant peaks around heights of 0.8 and 1.2 Mm. While the peak at the lower height tends to

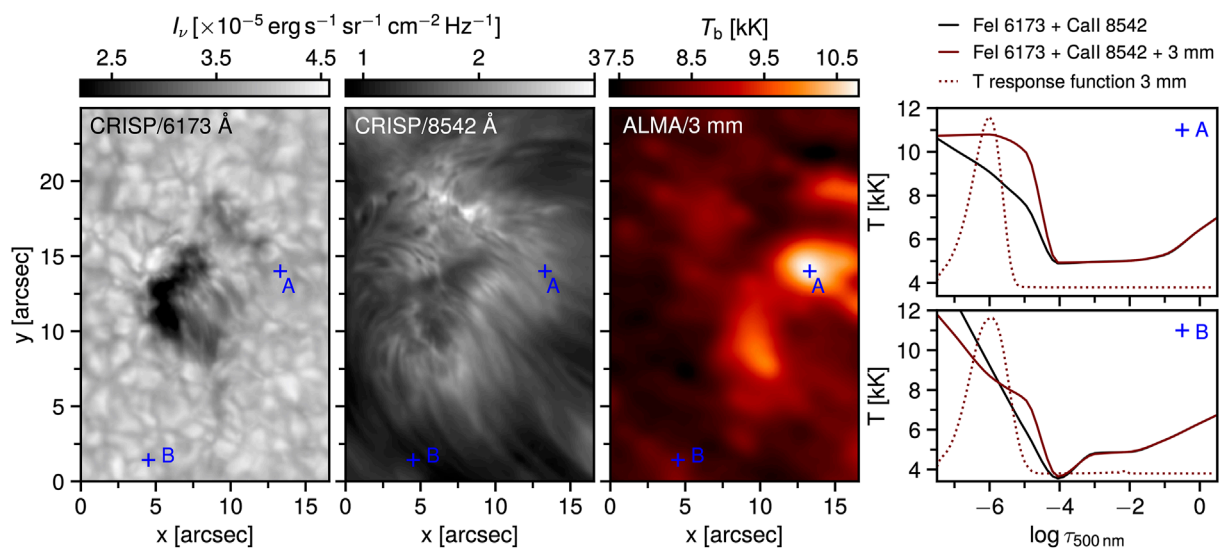


FIGURE 5

NLTE inversions of SST/CRISP and ALMA observations of an active region (da Silva Santos et al., 2022a). From the left to the right: intensities in the continuum at 6173 Å and in the core of the Ca II 8542 Å line, ALMA band 3 brightness temperature map, temperature as a function of the logarithmic optical depth (solid lines) derived from CRISP and CRISP + ALMA inversions at the locations A and B, and temperature response functions at 3 mm in arbitrary units (dotted lines) for the CRISP + ALMA models.

be more prominent for band 6 than for band 3, there are many locations for both bands where peaks at both heights occur, resulting in bimodal RFs. When NLTE H ionization is included in the calculation, the response function generally becomes less localized, basically extending across a height range from as high as 1.8–2.0 Mm to as low as ~ 0.1 Mm for both receiver bands. Also, within this range, the NLTE RF often shows multiple peaks. The computationally easier LTE approach is clearly less accurate, particularly in cold atmospheric pockets after the passage of a shock wave, where a full time-dependent treatment would allow for higher ionization fractions than those predicted by statistical equilibrium. A detailed treatment of time-dependent hydrogen ionization is therefore essential for modeling chromospheric emission at mm wavelengths.

While it is not possible to constrain all the inversion parameters using ALMA continuum observations alone, the combination of ALMA data with spectroscopic/spectropolarimetric datasets from other facilities such as DKIST, the 1-m Swedish Solar Telescope (SST), BBSO, or NASA's IRIS satellite is extremely powerful in inferring atmospheric models. For example, da Silva Santos et al. (2020a) utilized datasets from the IRIS and ALMA to constrain the average value of microturbulence in plage down to approximately 5 km s^{-1} within the range of the optical depths probed by the Mg II h and k lines ($\log \tau_{500 \text{ nm}} = [-6, -4]$) using STiC. Furthermore, da Silva Santos et al. (2022b) used co-temporal SST/CRISP and ALMA observations to reconstruct a model atmosphere of an AR undergoing magnetic reconnection (Figure 5). The rightmost panels in Figure 5 show that the

addition of the continuum intensities at 3 mm to NLTE inversions of the Fe I 6173 Å and Ca II 8542 Å lines observed by CRISP has a significant impact on the inverted temperatures in the chromosphere ($\log \tau_{500 \text{ nm}} < -4$) where the temperature response functions at 3 mm peaks, and thus, provides more accurate models for, in this case, comparisons with rMHD simulations of magnetic flux emergence into the chromosphere. Hofmann et al. (2022) investigated inversion strategies for co-temporal ALMA and IBIS observations in the Na I 5896 Å and Ca II 8542 Å lines using STiC and showed that performing the inversions on a column-mass scale, instead of an optical depth scale, helps to resolve the chromosphere, while the inclusion of the NLTE electron densities as described previously leads to a warmer chromosphere (by $\sim 1,000 \text{ K}$) than in the LTE case. ALMA band 3 continuum measurements were successfully inverted together with the spectral lines, but band 6 temperatures were difficult to reproduce. The latter could be due to the multi-modal contribution function at 1.2 mm (Loukitcheva et al., 2015) shown in Figure 3, introducing uncertainties in the determination of the height of the observed emission and the time-dependent opacity effects (mostly as a result of the variations of the electron density, see Section 2.2.1) not accounted for in the code.

Inverse modeling of ALMA brightness temperature maps along with spectroscopic or spectropolarimetric observations at higher frequencies entails several challenges in data acquisition and analysis, such as the non-simultaneity of the observations at different wavelengths, significant differences in spatial

resolution, the uncertainties in the absolute flux calibration, and the simplifying assumptions in inversion codes, namely, hydrostatic equilibrium and 1D radiative transfer. Therefore, improving data inversions is ongoing work with large scientific potential but also requires further coordinated campaigns between ALMA and other observatories in order to produce the needed datasets.

3.2 Magnetic field reconstructions

Probing and modeling the coronal magnetic field is a key challenge in solar physics. Measurements of the coronal magnetic field are difficult and yet very rare (e.g., Lin et al., 2004; Brosius and White, 2006; Wiegmann et al., 2014; Schad et al., 2016; Casini et al., 2017; Kuridze et al., 2019), giving modeling of the coronal magnetic field an even more important role. The most easily accessible, routine approach to this modeling is the use of nonlinear force-free field (NLFFF) reconstruction (e.g., Wiegmann and Sakurai, 2012; Wiegmann et al., 2014). Typically, such reconstructions are initiated with a vector boundary condition derived from a routine Zeeman diagnostics at photospheric heights. A challenge associated with this boundary condition is that the magnetic field is not necessarily in a force-free state in the photosphere, which is in conflict with the assumption that the field is force-free.

Fleishman et al. (2019) developed an advanced NLFFF code that can use additional (to the photospheric ones) magnetic constraints at various locations and various heights in the chromosphere and/or corona while performing the NLFFF reconstruction. The quality of the NLFFF reconstruction increases notably the more complete the set of additional constraints is. In this regard, multifrequency imaging spectropolarimetry in various ALMA spectral bands would enable measurements of the LOS magnetic field component at different chromospheric heights (Loukitcheva M. et al., 2017). Adding these measurements to the NLFFF reconstruction code promises a much improved magnetic model of a given AR. The necessary observing modes and post-processing steps are still under development but might be offered in the coming years, possibly starting with full polarization observations of the Sun in band 3 from as early as cycle 10 (i.e., 2023–24).

4 Examples of scientific applications

4.1 Atmospheric stratification and center-to-limb variation

When observing the solar atmosphere not directly from the top but at an inclined viewing angle (i.e., $\mu = \cos \theta < 1$), the line-of-sight traverses a longer path through the solar atmosphere

for a given height difference, resulting in optical depth unity higher up in the atmosphere. As the change in viewing angle corresponds to the variation from observations at different distances from the disk-center ($\mu = 1$) toward the limb ($\mu = 0$), observations of the center-to-limb variation of the continuum emission contain information about atmospheric stratification (i.e., the height dependence of the underlying plasma properties) and can thus be used to constrain models of the solar atmosphere (see, e.g., Brajša et al., 2018). Alissandrakis et al. (2017, 2020) used ALMA brightness temperatures maps in the range ~ 1 – 3 mm at different positions on the solar disk to infer a relation between kinetic temperature and optical depth, which was shown to be consistent with the widely used FALC QS model (Fontenla et al., 1993) within uncertainties. Using ALMA observations, Sudar et al. (2019) determined lower limits for the limb-brightening effect on the order of 10% in band 3 and 15% in band 6. These results agree with the range of values independently derived by Menezes et al. (2022). Such empirically derived model atmospheres provide crucial tests for numerical models (Section 2.1) for which the center-to-limb variation of the (sub-)mm can be computed by repeating the radiative transfer calculations (Section 2.2) under varying viewing angles.

4.2 Small-scale dynamics in quiet Sun regions

As established by observations using other chromospheric diagnostics such as the Ca II K line, internetwork QS regions exhibit a highly dynamic mesh-like pattern produced by the interaction of ubiquitous propagating shock waves (e.g., Wöger et al., 2006), unless obscured by overlying fibrillar structures outlining the magnetic field. The expected appearance of these signatures of chromospheric shock waves at (sub-)mm wavelengths had already been simulated based on 1D simulations (Loukitcheva et al., 2004) and 3D simulations (Wedemeyer-Böhm et al., 2005, 2007) before the advent of ALMA. The simulations confirmed that sufficient spatial resolution, which is particularly challenging at (sub-)mm wavelengths, and a high temporal cadence are essential for enabling the detection of shock waves. However, the viewing angle and, thus, the location on the solar disk also impact the detectability of shock waves due to the potential superposition of structures at different heights along inclined lines of sight (cf. Section 4.1). As a consequence, ALMA is expected to observe the ubiquitous chromospheric shocks in the QS regions with higher contrast at the disk center than closer to the limb (Wedemeyer-Böhm et al., 2007).

As shown in Figure 2 for a Bifrost simulation, the formation height of the millimeter continuum varies significantly when the atmosphere is buffeted by shock waves. Consequently, the brightness temperature increases temporarily by up to several

thousand kelvin, as long as the shock structure remains optically thick at a given wavelength (Eklund et al., 2021b). Comparable ALMA observations show bright small-scale features in the QS regions with typical lifetimes of ~ 1 – 2 min and amplitudes of a few hundred kelvin above the background (Eklund et al., 2020; Nindos et al., 2021). Taking into account the impact of ALMA's limited spatial resolution on the appearance of small-scale structures and the resulting reduction of the amplitudes of the dynamic bright features, the detected bright features are consistent with upward propagating shocks as predicted by numerical simulations (Eklund et al., 2020). **Figure 1** shows the shock-induced chromospheric pattern before and after applying the effects of limited spatial resolution to simulated millimeter continuum images. The amplitude reduction of bright features in the Bifrost simulation at wavelengths corresponding to all ALMA receiver bands 3–10 (0.34 mm–3.22 mm) and the corresponding spatial resolutions with different ALMA antenna configurations were studied by Eklund et al. (2021a), providing conversion factors that could, in principle, be used to correct the amplitudes of bright features in observational data on a statistical basis, although with large uncertainties. Furthermore, propagating shocks create steep temperature gradients in the atmosphere. As numerical simulations show, the slope of the brightness temperature continuum can, in principle, be used to identify signatures of shocks and also the potential existence of multiple shock components propagating at different velocities as shown by Eklund et al. (2021b). The diagnostic potential of splitting ALMA datasets into sub-bands has recently been shown for the study of propagating transverse waves by Guevara Gómez et al. (2022) and for propagating shock waves by Eklund et al. (Forthcoming 2022).

Shocks may play a significant role in the heating of the quiet chromosphere, but addressing this science case with ALMA beyond pure detection requires follow-up observations with higher spatial resolution than the ones available so far. The use of a wider array of configurations in combination with improved imaging routines has the potential to reach a resolution of a few $0.1''$ for ALMA's higher receiver bands. Determining the exact requirements could be achieved through the modeling approach shown in **Figure 1**. In addition, studying the propagation of shock waves through the (sub-)mm continuum forming layers by means of the methods introduced in **Sections 2, 3**, which includes, in particular, inversions and detailed (statistical) comparisons of high-quality ALMA observations and artificial observations based on state-of-the-art numerical 3D simulations, promises essential insights into the formation heights of the (sub-)mm continuum as a function of the wavelength and the atmospheric stratification for different regions on the Sun. da Silva Santos et al. (2020a) and Eklund et al. (2020, 2021a) conducted recent studies employing these methods.

4.3 Active regions and flares

Well-developed ALMA diagnostics could contribute significantly to progressing our understanding of the thermal and magnetic properties of ARs and flares. Modeling the magnetic topology of a steady-state AR is now routinely possible based on NLFFF reconstructions constrained by routinely available photospheric vector magnetograms (Fleishman et al., 2015; Fleishman et al., 2021b). At the same time, dynamic phenomena are particularly hard to reproduce in numerical simulations for several reasons: the time scales that need to be resolved span the evolution of ARs (from hours to days) to the rapid changes that occur during flares (i.e., to the fractions of seconds). The spatial scales, likewise, span a large range of values from the large extent of ARs (up to several 10 Mm) to the small computational cell size that is needed to adequately model all relevant processes (on the order of km toward sub-grid modeling at even much smaller scales). Finally, the presence of a stronger-than-average magnetic field, the occurrence of magnetic reconnection across different scales, the resulting impact on the chromospheric dynamics and, of particular relevance here, the emission at (sub-)mm wavelengths pose particularly challenging demands to numerical modeling (cf. **Section 2**). Consequently, forward modeling of the (sub-)mm continuum intensities emerging from semi-empirical models is therefore a natural starting point and, despite the obvious limitations, a complementary way of gaining an insight into the physics of AR phenomena through detailed comparisons between synthetic and observed brightness temperatures. Currently, there are a few examples of the application of this methodology in the literature. For instance, Loukitcheva et al. (2017) compared brightness temperature measurements of a sunspot at two different wavelengths with the expected values computed from several 1D static, semi-empirical models in the literature and concluded that none of the models provided a good fit for the penumbra. This means that ALMA can provide constraints on the temperature gradient in those structures. Semi-empirical models have also been used to investigate the observed correlation between the width of the $H\alpha$ line and the brightness temperatures at 3 mm in the plage—which was explained based on the mutual dependence of both diagnostics on the hydrogen atom-level populations (Molnar et al., 2019). The agreement between empirical models and ALMA observations can also be improved in an automatic or iterative way using NLTE inversion codes (**Section 3.1**) when co-temporal spectroscopic observations at optical or ultraviolet (UV) wavelengths are likewise available (da Silva Santos et al., 2020a; de Oliveira e Silva et al., 2022; Hofmann et al., 2022; de Oliveira e Silva et al., 2022). Moreover, one-dimensional time-dependent simulations have been used to study the response of the solar atmosphere to flares. For instance, Simões et al. (2017) used RADYN simulations to evaluate the

diagnostic potential of flare emission in the thermal infrared and sub-millimeter range.

As an example of the applications of multi-dimensional numerical models in the context of interpreting ALMA observations of the Sun, the comparison between ALMA band 6 observations of the AR plage supported by IRIS UV spectroscopy and synthetic spectra from a 2.5D Bifrost simulation suggests that an observed bright linear feature emanating from the plage region is an on-disk type-II spicule, confirming their multithermal nature (Chintzoglou et al., 2021a), while other small-scale plage brightenings at 1.25 mm could be signatures of magnetoacoustic shocks (Chintzoglou et al., 2021b). ALMA band 3 observations of the same plage region also show out-of-phase oscillations in brightness temperature, feature size, and horizontal velocities, which are evidence of sausage-mode and kink-mode waves (Guevara Gómez et al., 2021). AR transients akin to UV-bursts (Young et al., 2018) with nanoflare-like energies have been observed in a flux emergence region with band 3, and their characteristics agree with synthetic thermal emission from a 3D Bifrost simulation of flux emergence, showing several magnetic reconnection events (da Silva Santos et al., 2020b). For a microflare detected at 3 mm in coordination with the IRIS and Hinode/XRT by Shimizu et al. (2021), the timing of millimeter brightening with respect to the soft X-rays indicated that the upper chromosphere was being heated by non-thermal particles in this event. Such an effect has also been pointed out by Valle Silva et al. (2019) using lower resolution observations at 1.4 mm with the Solar Submillimeter Telescope. It should be noted that the formation of millimeter continuum emission under flaring conditions, similarly to what has been conducted for quiet conditions (Section 2.2.1), and the interpretation of those diagnostics have been addressed previously within the given instrumental capabilities (see, e.g., Stepanov et al., 1992; Kundu et al., 1993; Silva et al., 1996; Krucker et al., 2013).

Finally, full 3D modeling of ARs and flares requires a 3D model of the magnetic structure to start with, which can be obtained from an NLFFF reconstruction or other means. To make the modeling with such 3D data cubes realistic, a magnetic “skeleton” must be supplied by thermal plasma, non-thermal particles (in case of flares), placed at the right location at the Sun, and supplied by various means for emission computation and meaningful data-to-model comparison. Nita et al. (2015, 2018) developed a powerful dedicated tool, the GX Simulator, designed specifically for the aforementioned goals. More than that, the GX Simulator includes an integrated mean to create a 3D model and an automated model production pipeline (AMPP) with minimal input from the user: only the date and time of the event have to be defined along with the anticipated resolution and sizes of the data cube. Once specified, the AMPP will download all the required magnetic maps obtained with SDO/HMI and other context data and perform magnetic reconstruction, as well as several other

steps that are needed to create a 3D model. Then, magnetic flux tube(s) can be interactively created and designated to serve as the model flare loop(s). The user can control the properties of the thermal and non-thermal components in these flaring loops, compute mm/radio, EUV, and X-ray emissions from the model, and compare the synthetic images and spectra with the observed ones, in particular, with ALMA data.

As outlined previously, there are many ways in which the ALMA observations of flares and corresponding numerical models could complement each other in order to advance our understanding of this intricate process that is essential for the heating of the solar atmosphere over a large range of scales from nano-flares to X-class flares and, for stars other than the Sun, even toward mega-flares. Owing to the complexity and still insufficient knowledge regarding central aspects, many simulations necessarily focus on particular parts of the flare phenomenon and/or have to make simplifying assumptions. There are, however, efforts to capture flares self-consistently in full 3D time-dependent simulations as those attempted with the MURaM simulations by Cheung et al. (2019). Such simulations could be used for calculating the corresponding (sub-)mm emission as it could be observed with ALMA, though they do not include non-thermal particles responsible for a good portion of the flare emission in the ALMA bands yet. The comparison of both would then, as for the other examples described in this article, allow identifying potential shortcomings of the models, which is necessary for future improvements toward a more detailed and complete picture. ALMA observations of flare emission, either with the already available continuum mapping capabilities and even more so with future full polarization capabilities, would provide valuable information complementary to existing flare diagnostics. Unfortunately, to the authors’ knowledge at the time of writing, there are no good examples of flare observations with ALMA yet, maybe except for one microflare reported by Shimizu et al. (2021). Refer to Fleishman et al. in this issue for more information about how ALMA observations could advance our understanding of solar flares.

4.4 Solar prominences

In the field of prominence research, numerical simulations of (sub-)mm radiation observed using ALMA can help in two areas. The first is the visibility of large and small-scale structures of prominences and filaments in the ALMA observations and the connection between the structures observed at (sub-)mm wavelengths and in other parts of the spectrum. Methods that generate such simulated ALMA observations can be based on actual data observed at different wavelengths—e.g., the simulations of prominence fine structure visibility based on H α observations performed by Heinzel et al. (2015)—or on the

synthesis of the radiation at (sub-)mm wavelengths emerging from models of prominence plasma (*cf.* [Section 2](#)). The first synthetic ALMA-like imaging of simulated prominences was conducted by [Gunár et al. \(2016\)](#). These simulated ALMA data represent the way in which the 3D whole-prominence fine structure (WFPS) model of [Gunár and Mackay \(2015\)](#) would appear in different ALMA bands. This model combines realistic 3D magnetic field configurations with a detailed description of the prominence plasma located in the magnetic dips. In doing so, the 3D WFPS model emulates entire prominences with their numerous fine structures and offers high spatial resolution reaching the best potential capabilities of ALMA. However, we should note that the current ALMA observations of prominences ([Heinzel et al. \(2022a\)](#); [Labrosse et al., 2022](#)) or filaments ([da Silva Santos et al. \(2022b\)](#), in this special issue) do not reach such a resolution. This is because ALMA has not achieved yet its full potential for solar observations and offers only more compact antenna configurations for solar targets. For more details on the actual and simulated observations of prominences and the predictions of the visibility of prominence fine structures, see the review by [Heinzel et al. \(2022b\)](#) in this special issue.

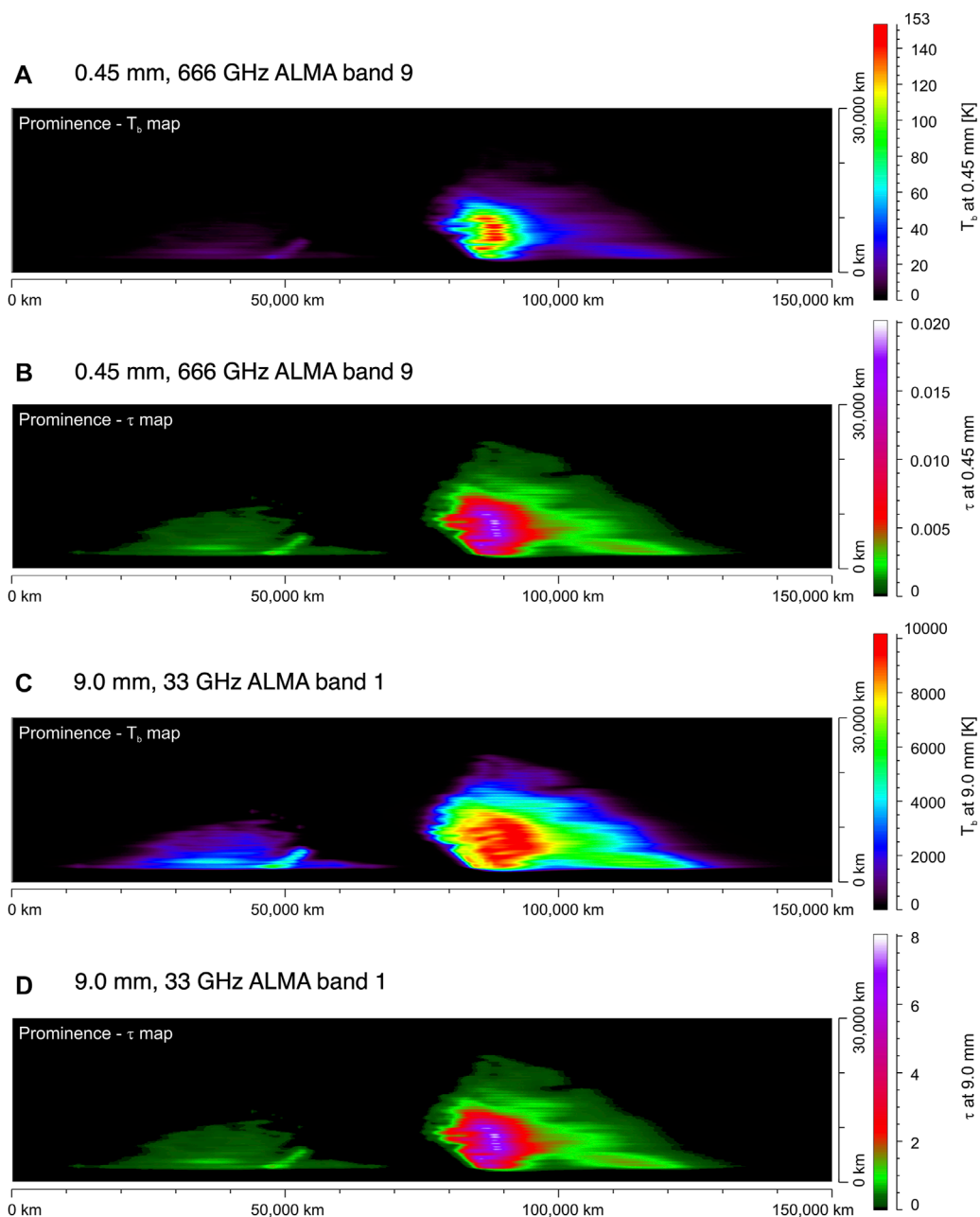
The second area where numerical simulations can help the prominence research is the understanding of the potential of ALMA observations as diagnostics for the kinetic temperature of the solar plasma. It has been known for some time that a measure of the kinetic temperature of the observed plasma can be derived from simultaneous observations in at least two different (sub-)mm wavelengths (see, e.g., [Loukitcheva et al., 2004](#); [Heinzel and Avrett, 2012](#); [Heinzel et al., 2015](#)). However, only recent modeling efforts demonstrated that such a derivation is not entirely straightforward and that in order to achieve sufficient precision, the observed plasma needs to be optically thick at one of those wavelengths and optically thin at the other. It must be noted here that such observations would require a new capability that ALMA is currently not offering and likely will not offer in the near future, namely simultaneous solar observing with two sub-arrays, each in a different receiver band. In the absence of such simultaneous multi-band ALMA observations, thermal properties of the observed plasma can be derived from a combination of ALMA observations and observations in other wavelength ranges, e.g., in the H α line (see [Heinzel et al., 2022a](#); [Labrosse et al., 2022](#)).

The first study of ALMA's diagnostic potential for determining the properties of prominence plasma was conducted by [Rodger and Labrosse \(2017\)](#). These authors used 2D cylindrical prominence models created by [Gouttebroze and Labrosse \(2009\)](#), both in the iso-thermal iso-baric configuration and in the configuration incorporating the prominence-corona transition region (PCTR). The study by [Rodger and Labrosse \(2017\)](#) showed that the realistic assumption of the presence of multi-thermal plasma along a line-of-sight leads

to uncertainties in the determination of the kinetic temperature of the observed plasma. The authors then demonstrated that such uncertainties can be minimized by using ALMA observations at longer wavelengths (e.g., at 9 mm). At such wavelengths, the observed prominence plasma can be expected to be mostly optically thick, leading to reliable diagnostics of its thermal properties.

The relationship between the observed brightness temperature in various ALMA bands and the actual kinetic temperature of the observed plasma was further investigated by [Gunár et al. \(2018\)](#). To do so, these authors used the simulated ALMA observations of [Gunár et al. \(2016\)](#) at wavelengths at which the prominence plasma is optically thin (0.45 mm, corresponding to 666 GHz in band 9) and optically thick (9.0 mm, corresponding to 33 GHz in band 1). The resulting brightness temperature maps and the optical thickness maps are shown in [Figure 6](#). The 0.45 mm and 9.0 mm wavelengths were chosen to assure that the studied plasma is completely optically thin (at 0.45 mm) and, at the same time, that a significant portion of the analyzed prominence is optically thick (at 9.0 mm). However, we should note that at the time of writing, solar observations with ALMA are offered only in bands 3, 5, 6, and 7, while bands 9 and 1 are not available yet. We also note that the current status of the development of band 1 indicates that it will reach a slightly shorter wavelength of 8.6 mm (35 GHz) instead of the 9.0 mm (33 GHz) used here. Moreover, ALMA band 10 will provide access to even shorter wavelengths than those covered by band 9 assumed here.

The results of the study by [Gunár et al. \(2018\)](#) confirmed that the brightness temperature simultaneously measured by ALMA in the aforementioned bands can be used to derive the kinetic temperature in every pixel of the observed prominences, e.g., using the method described in [Section 3](#) of [Gunár et al. \(2018\)](#). However, not all of the derived values of kinetic temperature accurately represent the thermal conditions of the plasma distributed along the lines-of-sight passing through individual pixels because the observed prominence cannot be generally assumed to be optically thick (i.e., have optical thickness above unity) in all pixels, even at the 9.0 mm wavelength. This can be clearly seen in the optical thickness map in [Figure 6D](#), where large parts of the modeled prominence have an optical depth of $\tau_{9.0 \text{ mm}} < 1$. Therefore, without the added information about the actual optical thickness, which is in this case provided by the model, the derived values of the kinetic temperature cannot be automatically assumed to be accurate in all pixels. This is because the basic approximation of the method used to derive the kinetic temperature is not valid if the plasma is not optically thick. Owing to the use of numerical simulations, [Gunár et al. \(2018\)](#) determined that the kinetic temperature values obtained in pixels with an optical thickness larger than 2 typically have an accuracy better than 1,000 K compared to the true kinetic temperature of the studied plasma. Such a study was possible because the

**FIGURE 6**

Synthetic brightness temperature maps [panels (A, C)] and optical thickness maps [panels (B, D)] showing the 3D whole-prominence fine structure model of [Gunár and Mackay \(2015\)](#) in a prominence view. The top two panels were obtained at a wavelength of 0.45 mm, which corresponds to the 666 GHz ALMA band 9. The bottom two panels were obtained at a wavelength of 9.0 mm (33 GHz ALMA band 1). Displayed color scales are unique for each panel. Adapted from [Gunár et al. \(2016\)](#).

authors used realistic numerical simulations, demonstrating the essential value of simulations for testing adequate methods for the interpretation of observations.

The 3D WPFS model of [Gunár and Mackay \(2015\)](#) contains detailed information about the properties of the prominence plasma including its kinetic temperature. Using the (sub-)mm

radiation synthesis method described by [Gunár et al. \(2016\)](#), the authors could synthesize the specific intensities at any wavelength along any LOS crossing the simulated prominence. That led to an ideal set of co-spatial simulated observations ([Figures 6A, C](#)) coupled with the implicit knowledge of the corresponding optical thickness ([Figures 6B, D](#)). A major problem lies in

the fact that the optical thickness values are not explicitly known based on ALMA observations alone—but can be derived from other observations, such as those in the $H\alpha$ line (see Heinzel et al., 2015; Heinzel et al., 2022a; Labrosse et al., 2022). However, Gunár et al. (2018) showed that with the use of numerical simulations, it is possible to determine a criterion for the minimum value of the measured brightness temperature in the optically thin wavelengths (in this case, at 0.45 mm) above which the optical thickness at the optically thick wavelengths is, with great confidence, higher than the required value. The second part of the study conducted by Gunár et al. (2018) showed that the values of the kinetic temperature derived from the ALMA observations correspond to the mean kinetic temperature of the observed plasma weighted by the contribution function of the emission in the optically thick wavelengths. For more details see Sections 5–7 of the study by Gunár et al. (2018).

4.5 Coronal rain

In addition to the large prominence structures discussed in Section 4.4, condensation phenomena occur far more frequently in the solar corona in the form of coronal rain. This phenomenon, which is also thought to be the seeds leading to prominences, corresponds to cool (10^3 – 10^5 K) and dense (10^{10} – 10^{12} cm $^{-3}$) plasma occurring in a timescale of minutes seemingly condensing out of nowhere at coronal heights, and flowing predominantly downward along loop-like trajectories under the action of gravity and gas pressure mainly (Kawaguchi, 1970; Leroy, 1972; Foukal, 1978; Antolin and Rouppe van der Voort, 2012). The leading explanation for coronal rain is thermal instability (TI) within a structure in thermal non-equilibrium and, therefore, reflects mostly the MHD thermal mode becoming unstable and generating a condensation from the localized loss of pressure (Antiochos et al., 1999; Antolin, 2020). Numerical simulations have shown that the easiest path toward thermal instability within a structure such as a coronal loop is to have strongly stratified and high-frequency heating (Klimchuk and Luna, 2019). This state, known as thermal non-equilibrium (TNE), leads to TNE cycles for heating that remain largely unchanged over time. The details of this process and the various observable signatures can be found in the study by Antolin and Froment (2022).

Various properties of coronal rain remain poorly understood. Similar to prominences, the observed rain morphology is filamentary (also known as multi-stranded) and clumpy, whose widths (a few hundred km size) are interestingly very similar to the smallest widths detected for EUV strands in high-resolution observations with Hi-C (Williams et al., 2020). It has been hypothesized that such sizes are set by granular spatial

scales (Martínez-Sykora et al., 2018). This is supported by self-consistent 2.5D rMHD numerical simulations with Bifrost (see Figure 7 and also Section 2.1) but the details of this process remain unclear (Antolin et al., 2022). Observational validation could come from multi-instrument observations with ALMA (using extended array configurations with correspondingly high angular resolution) and IRIS simultaneously observing a bright point and its indirect effects (coronal rain) on the upper atmosphere. While dense, the very small sizes of the clumps make them optically thin most of the time in spectral lines in the optical and UV spectrum such as $H\alpha$ and Mg II k&h line (Antolin and Rouppe van der Voort, 2012; Antolin et al., 2021), and cannot be resolved in the present ALMA configuration. However, extended array configurations with higher resolution should allow their detection. This can be confirmed by forward modeling of Bifrost simulations with coronal rain (see Figure 7), demonstrating once more the essential role of numerical simulations for developing adequate observing modes.

Most coronal rain clumps do not occur in isolation independently from each other. Rain clumps are produced in groups called showers (Antolin and Rouppe van der Voort, 2012) due to a syncing cross-field mechanism known as sympathetic cooling (Fang et al., 2013). These showers have been found to successfully identify coronal loops in the “coronal veil” produced by optically thin radiation (Sahin and Antolin, 2022; Malanushenko et al., 2022). The detection and quantification of rain showers is important for coronal heating since it is a proxy for the coronal volume in TNE (and thus subject to specific spatial and temporal heating conditions). The detection of showers using ALMA remains a target that should be feasible at medium resolution as it is currently offered. The fact that no rain showers have been detected using ALMA so far may be simply due to a lack of off-limb observations (with good seeing conditions) of an AR and the additional complexity of interferometric imaging off-limb. Also, low opacity of rain showers at ALMA wavelengths might prevent their detection. The latter can and should be checked through forward modeling of numerical simulations with, e.g., Bifrost.

Coronal rain has been shown to be largely multi-thermal with probably high ionization levels due to its relatively low lifetime. A very thin corona condensation transition region (CCTR) is expected at the boundaries of rain clumps (similar to the PCTR for prominences), with different plasma conditions ahead and behind the condensation due to a piston-like effect produced by the downward motion (Antolin et al., 2022). Accordingly, the pressure restructuring is expected to be the leading cause for the observed lower than free-fall speeds (Schrijver, 2001). An interesting mass–velocity relation has been numerically obtained but remains to be observationally validated (Oliver et al., 2014). The good correlation between $H\alpha$ intensity and brightness temperature measured by ALMA in prominences

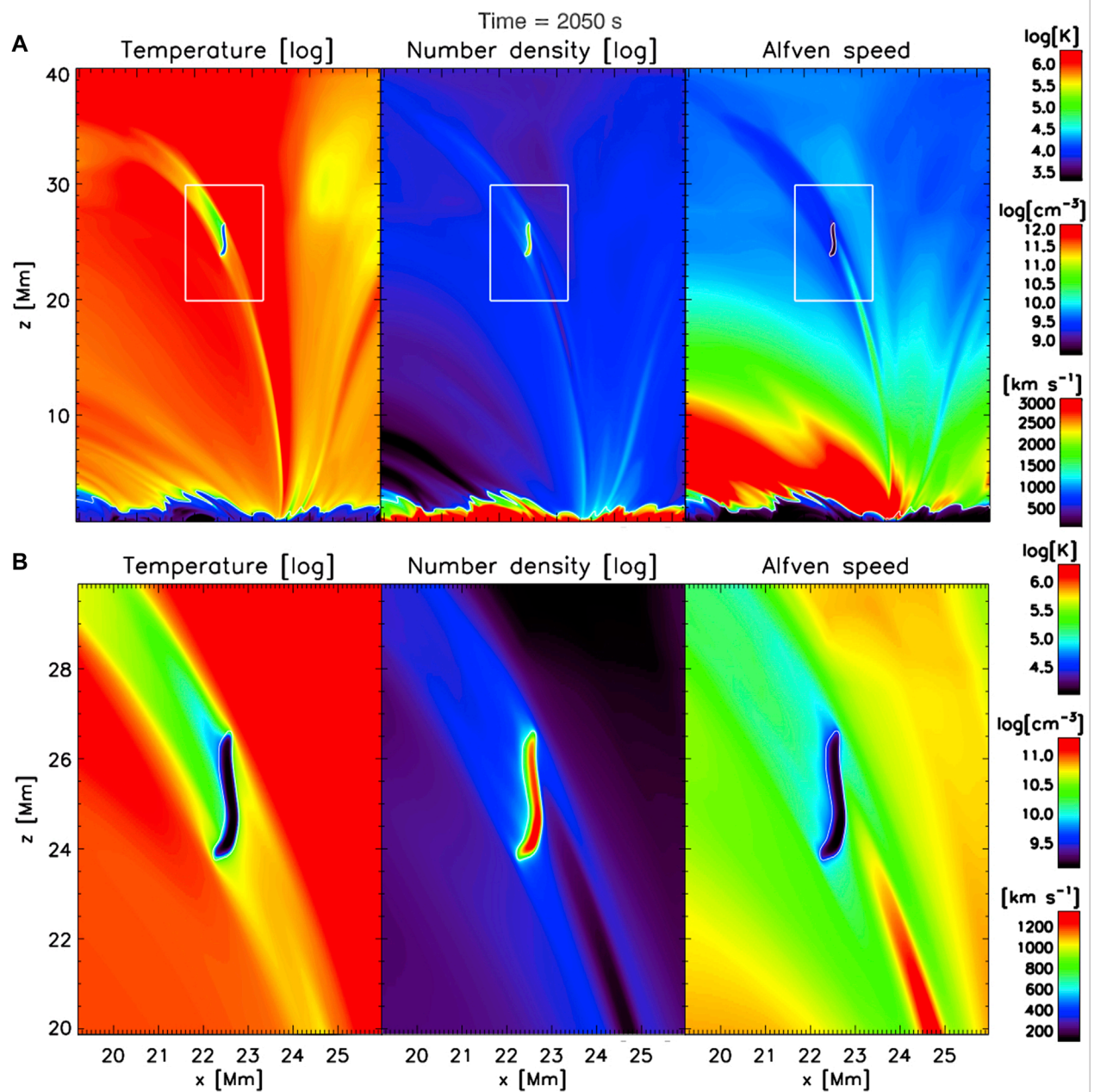


FIGURE 7

Coronal rain event self-consistently produced in a 2.5D rMHD simulation with Bifrost (Section 2.1). (A) (Left to right): snapshot of the temperature (log), mass density (log), and Alfvén speed in a portion of the modeled atmosphere. Thermal instability occurs locally in a coronal loop that is in a state of thermal non-equilibrium, thereby leading to the formation of a condensation (coronal rain clump) falling toward the loop footpoint. (B) (same quantities in same order) shows the white rectangular inset seen in the upper panels (the three color bars on the right of each row correspond uniquely to that particular row). Taken and adapted from Figures 2, 3 of Antolin et al. (2022).

(Heinzel et al., 2022a; Labrosse et al., 2022) suggests that ALMA could also serve as a proxy for prominence and coronal rain mass, and therefore, shed light into the mass–velocity relation. Indeed, the emission measure in H α is known to be strongly correlated to the absolute intensity in H α (Gouttebroze et al., 1993),

and this has been used to infer the densities of rain clumps in H α observations with the SST (Froment et al., 2020).

Future ALMA observations may be able to provide detailed measurements of the morphological, thermodynamic, and

kinematic properties of coronal rain. Such measurements can serve as unique proxies for coronal heating conditions and improve our understanding of fundamental plasma and MHD processes such as thermal instability. As outlined previously, numerical simulations would be essential for several aspects, ranging from the development of adequate observing modes and capabilities to supporting the detailed interpretation of ALMA observations.

5 Summary and outlook

Synthetic brightness temperature maps for millimeter wavelengths based on 3D numerical simulations of the solar atmosphere play an important role in several aspects of solar science with ALMA. First of all, such synthetic observables, for which the connection to the underlying plasma properties in the model is accurately known, have already proven their value for supporting the interpretation of ALMA observations, very much as for other chromospheric diagnostics previously. Another important application of simulation-based observables is the development of (sub-)mm continua as a diagnostic tool for the solar atmosphere. Surprisingly though, the first ALMA observations raised questions regarding even rather fundamental properties such as the exact formation height ranges and their possible variations in time and space, locally and for different types of region. Also, the chromospheric temperatures in 3D simulations (see a comparison in [Wedemeyer et al., 2016](#)) tend to be lower than the brightness temperatures observed with ALMA (e.g., [Bastian et al., 2017](#); [White et al., 2017](#); [Nindos et al., 2018](#); [Wedemeyer et al., 2020a](#)) although some cooler regions have been observed too ([Loukitcheva et al., 2019](#)). The apparent differences with respect to numerical models highlight potentially missing physical ingredients and the need for the further development of models to higher levels of realism as far as computational costs allow. To what extent simulations can reproduce observations and, thus, aid their interpretation depends ultimately on the accessible computational resources and the implementation of efficient and stable numerical schemes and the resulting affordable sizes of computational boxes, grid resolution, and the considered physical processes. An important example in this regard is the need to account for time-dependent hydrogen ionization as it impacts the electron densities in the chromosphere on which the millimeter continuum as mapped by ALMA sensitively depends. Clearly, detailed comparisons to observations, to which solar ALMA observations add a new suite of complementary diagnostics, either directly or with the powerful help of data inversion techniques, serve as crucial tests of models and, as such, of our current understanding of the solar chromosphere. In this regard, it should be noted that such comparisons are limited by the

realism of the instrumental models that are used to produce artificial observations. Degradation of synthetic brightness temperature maps with a simple Gaussian would correspond to an ideal telescope with a filled aperture and ignores ALMA's sparse *uv*-sampling as an interferometer and also degradation effects due to Earth's atmosphere (i.e., phase errors) and the handling of noise during the imaging stage. As pointed out in [Section 2.3](#), such tools are under development but also require notable computational effort.

Another valuable application of simulation-based synthetic observables is the justification of resource investments for the development of additional diagnostics and observing modes, e.g., additional ALMA receiver bands and more extended array configurations for, in principle, higher angular resolution. A much awaited capability of ALMA will be full polarization measurements that would facilitate the determination of the magnetic field in the chromosphere. Detailed knowledge of the 3D magnetic field structure of the solar chromosphere (or chromospheric plasma in the corona such as coronal rain) as a function of time at high resolution would be a fundamental game-changer for understanding the dynamics, energy transport, and (local) heating of this still largely elusive but yet important atmospheric layer inside ARs, QS regions, and prominences alike. A fundamental challenge of polarization measurements is, among other things, the low expected degree of polarization outside of ARs, which thus demands high sensitivity and accuracy and a well-developed observing mode, reliable calibration, and advanced processing/imaging routines (see, e.g., [Grebinskij et al., 2000](#); [Fleishman et al., 2015](#); [Loukitcheva M. et al., 2017](#); [Wedemeyer et al., 2020b](#), and references therein). The development of these components is usually hampered by the insufficiently known "ground truth" against which the data should be compared to. Forward modeling of artificial polarization measurements based on numerical 3D rMHD simulations would also play a very important role here, similar to what is attempted for continuum brightness temperatures.

The scientific potential of ALMA observations of the Sun is promising but requires significant effort and resources to be fully unlocked due to the complexity of the instrument and the observational targets, namely, the dynamic and intermittent chromosphere, both in a quiescent and active state, the atmosphere above ARs including the often dramatically evolving coronal magnetic field ([Fleishman et al., 2020](#)), and non-thermal electrons in solar flares ([Fleishman et al., 2021b](#)). The resulting challenges for numerical modeling of the chromosphere, prominences, and the flaring corona, their appearances at the wavelengths observed using ALMA, and not least, the impact of ALMA's complex instrumental properties pose critical tests of our current understanding that will inspire future progress.

Data availability statement

Publicly available datasets were analyzed in this study. These data can be found at: Atacama Large Millimeter/submillimeter Array (ALMA), <https://almascience.nrao.edu/aq/>, Solar ALMA Science Archive (SALSA), <http://sdc.uio.no/salsa/> and Hinode Science Data Centre Europe, <http://sdc.uio.no/sdc/>.

Author contributions

All authors listed have made a substantial, direct, and intellectual contribution to the work and approved it for publication.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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