

A Novel Method to Discriminate Active from Residual Whitecaps using Particle Image Velocimetry

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Abstract: Parameterization of total whitecap fraction and wind speed relation has a large degree of scattering. Active and residual whitecaps are related to different physical and chemical processes; thus, parameterization of active and residual whitecaps separately is likely to diminish the scattering and is essential to the understanding of breaking process at the air-sea interface. Infrared imagery can provide accurate and objective discrimination between stage A and stage B whitecaps. However, techniques that can separate stage A and stage B whitecaps in visible images are imperative for more accessibility. In this research, PIV has been applied to visible imagery data and the results have been compared with signatures of whitecap lifetime stages in infrared imagery. A linear relationship between whitecap stage A lifetime and time it takes whitecaps to change velocity direction is found. This relationship can be used to discriminate active and residual whitecaps objectively. The result shows that the whitecaps stop moving before the whitecap stage A ends. Reasons that account for this situation have been discussed.

Keywords: Whitecap; Infrared imagery; PIV

1. Introduction

Under continued influence from the wind, waves grow until they become unstable and break. The entrainment of air during wave breaking forms bubbles in the water column which rise to the surface to form whitecaps. Whitecaps can be quantified using whitecap fraction (W), which is the percentage of whitecaps over a region of interest. Whitecaps are often classified as either active (stage A), or residual (stage B) according to their different features during the whitecap lifetime [1]. Active whitecaps are formed and move along the crest of breaking waves. Large amounts of bubbles are generated and penetrate below the surface during stage A. The bubbles rise and provide the source for stage B, the surface foam that lingers after wave breaking. Both active and residual whitecaps contribute to whitecap fraction (i.e., $W = W_A + W_B$).

At each stage of its life, whitecaps have considerable influence on the marine boundary layer and Earth's climate. For example, stage A marks an acoustically active period [2] with significant turbulence, energy dissipation, enhanced ocean mixing, and increased surface roughness [3]. During this stage, the entrainment of bubbles facilitates diffusion of gas into the ocean. Returning to the surface, these plumes drag water upward bringing with them surface active material creating regions of divergence which enhance air-sea gas transfer. Stage A whitecap generation also enhances spray through the tearing of wave crests [4]. Spray droplets formed in this manner enhance sensible and latent heat fluxes and influence tropical storm intensity [4]. At stage B, the bursting of bubbles produces film and jet droplets which remain airborne allowing them to reach moisture equilibrium and transform into sea salt aerosols [5]. Sea salt aerosols have been found to increase planetary albedo directly and indirectly by acting as cloud condensation nuclei [6]. They have also been linked to the removal of atmospheric surface ozone and the activation of halogens, leading to ozone depletion [6]. Hence the discrimination of active and residual whitecaps is essential for accurate parameterization of upper ocean

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processes associated with wave breaking.

Whitecap fraction has been measured extensively (e.g., [1,7–17]) because it is a suitable forcing variable for parameterization of a myriad air-sea interaction processes. However, accurate parameterization requires reliable estimates of W_A and W_B rather than W alone because processes resulting from wave breaking are associated with stage A or stage B, not necessarily both. A common approach is to use visible video and separate residual whitecaps from active whitecaps based on intensity thresholding [13,18]. However, despite active whitecaps generally having greater brightness than residual whitecaps [1], the continuous and subtle change of the image intensity from active to residual whitecaps makes the separation difficult. Algorithms that use image intensity or kinematic properties have made some improvement in recent years. Scanlon and Ward (2013) [17] combined intensity, texture, shape and location of whitecaps to determine the stages of whitecaps. Mironov and Dulov (2008) [19] created a set of criteria to detect whitecaps based on their propagation direction and change in area. Kleiss and Melville (2009, 2010) [20,21] also discriminated active whitecaps manually according to the criteria related to brightness and propagation direction. Despite improvements, the methods based on intensity thresholding and additional criteria remain subjective and contribute to the wide spread of W_A data [22].

Satellite-based radiometric observations of the ocean surface brightness temperature T_B at microwave frequencies (1–37 GHz) afford another independent method for estimating whitecap fraction $W(T_B)$ (e.g., [23]). Availability of $W(T_B)$ on a global scale over long periods provides a consistent database of W over a range of conditions. By virtue of its measuring principle, passive microwave observations of T_B provide the total whitecap fraction [24]. Some work has been done to separate W_A and W_B from $W(T_B)$, (e.g., [16,25]), but more work is required to fully use such a database to identify stage A and stage B whitecaps independently. Efforts have also been made to model W_A . For example, Kleiss and Melville (2009, 2010) [20,21] built a method based on Phillips wave breaking parameters to estimate W_A . Anguelova and Hwang (2016) [22] develop a method based on Phillips theory to parameterize W_A with energy dissipation rate (ϵ). However, both methods are parameterization models built or calibrated based on photographic data so that the subjective influence mentioned before cannot be avoided [22].

Infrared (IR) imagery provides a more reliable and objective choice to discriminate active and residual whitecaps because of their different brightness at IR wavelengths. Jessup et al. (1997) [26] used infrared imaging to investigate wave breaking dissipation and temperature change due to disruption and recovery of the surface skin layer. Marmorino and Smith (2005) [27] observed both active and residual whitecaps that appear bright (warmer) and dark (cooler) respectively compared to the ambient water using airborne infrared remote sensing. Potter et al. (2015) [25] provided evidence for the dichotomic signal from whitecaps in IR imagery and built a method to discriminate whitecaps in stage A and stage B solely based on time series of brightness temperature. They showed that the clear dichotomic signal from whitecap foam in IR provides objective, unambiguous separation of active and residual whitecaps not readily available through other measurement techniques. This can lead to more accurate parameterization of the processes associated with each stage.

Application of IR imagery has its limitations. Principally, high resolution, fast response IR cameras necessary to capture the subtle temperature changes are orders of magnitude more expensive than off-the-shelf video cameras, often rendering their use cost prohibitive. Furthermore, IR imagery systems which are bulky yet delicate are difficult to set up for field work especially when whitecaps are pervasive and environmental conditions can hamper operations. The maintenance of IR cameras, including the streaming system and associated hardware, also create challenges for long-term, continuous observations, meaning operating IR in remote and unmanned locations is especially challenging.

Here, a novel technique for identifying whitecap stages is introduced. This method utilizes visible and IR imagery of whitecaps captured simultaneously. Time series of thermal properties used to identify stages *A* and *B* observed in IR are compared to time series of kinematic property observed in visible imagery. It will be shown that kinematic properties of visible imagery can be used to identify whitecap stages. The technique provides a means to objectively identify whitecaps stages akin to that afforded by IR imaging while avoiding the cost and complication of IR equipment. This method of stage discrimination independent from IR imagery is invaluable for whitecaps research because it provides the opportunity to fill data gaps in W_A and W_B using inexpensive video cameras and some simple image processing steps. The manuscript is laid out as follows: Section 2 is Materials and Methods, Section 3 is Results and Discussion, and Section 4 is Conclusion.

2. Materials and Methods

2.1. Instrumentation

Data used here were collected during a Gulf of Mexico cruise aboard the R/V Pelican. The principal objective of this cruise was to understand whitecap foam decay using infrared (IR) remote sensing. The R/V Pelican set sail on 4th March 2020 from Louisiana Universities Marine Consortium (LUMCON), Chauvin, Louisiana, and spent 5 days around 27°N, 91°W in the Gulf of Mexico. Figure 1.a shows the route of the cruise. The ship stayed on the stations denoted in Figure 1.a approximately 12 hours each day for data collection and transited between stations at night. During the cruise, the wind speeds were in the range of 4–18 m/s and significant wave height was 1.3–2.7 m. Because of the weather condition and data quality, the events investigated in this study are all from data collected on 6th March when maximum wind speeds and significant wave height were 16 m/s and 2.2 m. Figure 2 shows the wind speed data collected by onboard and significant wave height from NDBC (National Data Buoy Center) station 41040, which was the closest station with wave condition data to the ship, on 6th March. The wind speed data is at an interval of 20 minutes and there is data missing from 12:20 to 14:00 and from 15:20 to 16:20. The wave height data is at an interval of one hour. Two IR cameras and three visible cameras were used throughout the cruise to collect whitecap images. One of the visible cameras was mounted near the IR cameras (as is shown in Figure 1.b) to make sure the footprint of IR cameras fell within that of the visible camera.

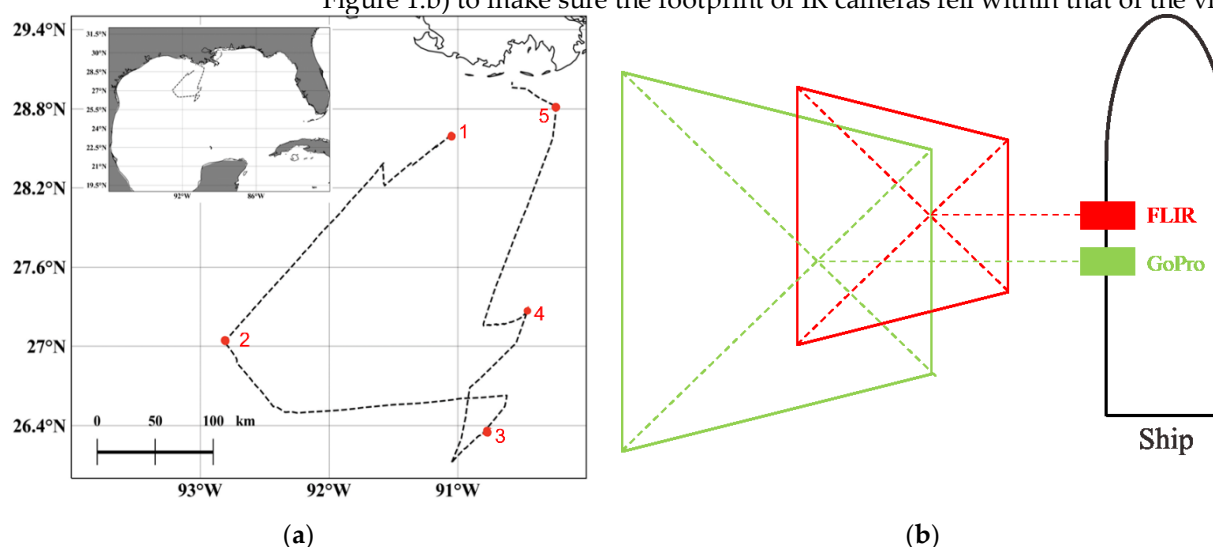


Figure 1. (a) Route of the cruise (red dots denote the stop stations); (b) Schematic of cameras fields of view: FLIR (red); GoPro (green)

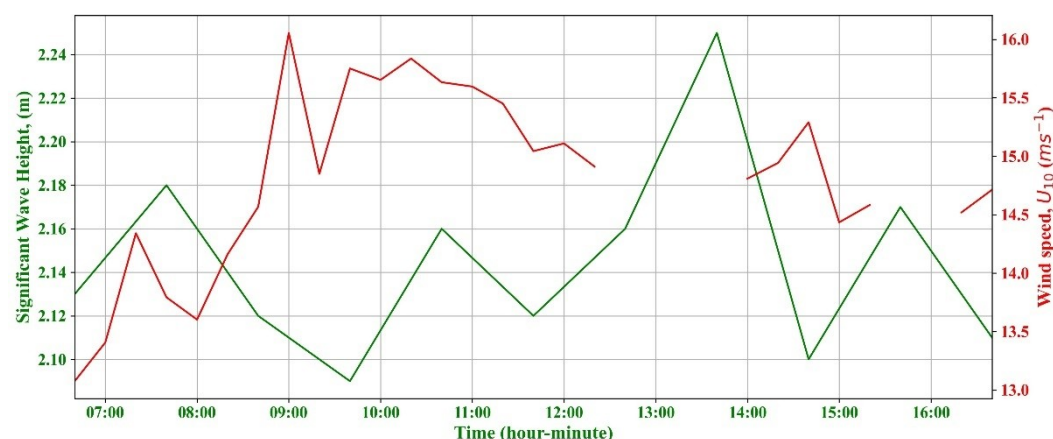


Figure 2. Wind speed and significant wave height conditions for 6th March when the IR and visible imagery analyzed in this study collected.

During the cruise, a total of 50 hours video was recorded by visible cameras and over 60 hours video was recorded by infrared cameras. Three GoPro Hero 8 Black digital video cameras constituted the visible imagery system, where the linear field-of-view (FOV) lens (55.2° Vertical FOV; 85.8° Horizontal FOV; 19 mm focal length) were applied without fisheye effect. The video was recorded at 60 Hz with 1920×1080-pixel resolution. The infrared imagery system was comprised of a FLIR model X8500sc infrared camera (hereinafter called 'FLIR camera') and ATOM 1024 infrared camera (hereinafter called 'ATOM camera'). The FLIR camera was sensitive to the radiation in the spectral range from 1.5 to 5.0 μm with a thermal sensitivity of about 0.02 K and a resolution of 1280×1024 pixels. The lens used in FLIR camera has 39.74° vertical FOV, 48.62° horizontal FOV and 25 mm focal length. The ATOM camera was sensitive to 8–14 μm with a thermal sensitivity of about 0.05 K and a resolution of 1024×768 pixels, and had a lens with 13 mm focal length, 29.27° vertical FOV and 38.39° horizontal FOV. The sampling rate of IR imagery system was at 30Hz.

The infrared imagery system was settled in a black weather casing on the port-side at a height of 4 m above the mean water level (MWL). One of the three GoPro cameras was mounted at the top of the infrared imagery system to yield an overlapped region with the infrared cameras. The tilt angle of FLIR and ATOM cameras were both 42° (acute angle between camera axis and vertical axis at static state), while the tilt angle of GoPro camera was 74°. The tilt angle of GoPro camera set so the horizon was visible in the images for future rectification. The FLIR camera field of view upon the water surface was ~28 m² and the ATOM camera was ~10 m². The overlap area between GoPro and FLIR cameras was about 16.25 m². Figures 3.a and 3.b show the same whitecap observed simultaneously by the GoPro camera and FLIR camera. While the visible image fails to show evidence of brightness intensity difference between stages A and B, (Figure 3.a), the stages are clear in IR where stage A is brighter than the ambient water and stage B is darker (Figure 3.b). Two additional GoPro cameras were mounted on the port and star-board sides of the upper deck 7.6 m above the MWL. These recorded wide-angle views of the ocean surface and horizon.

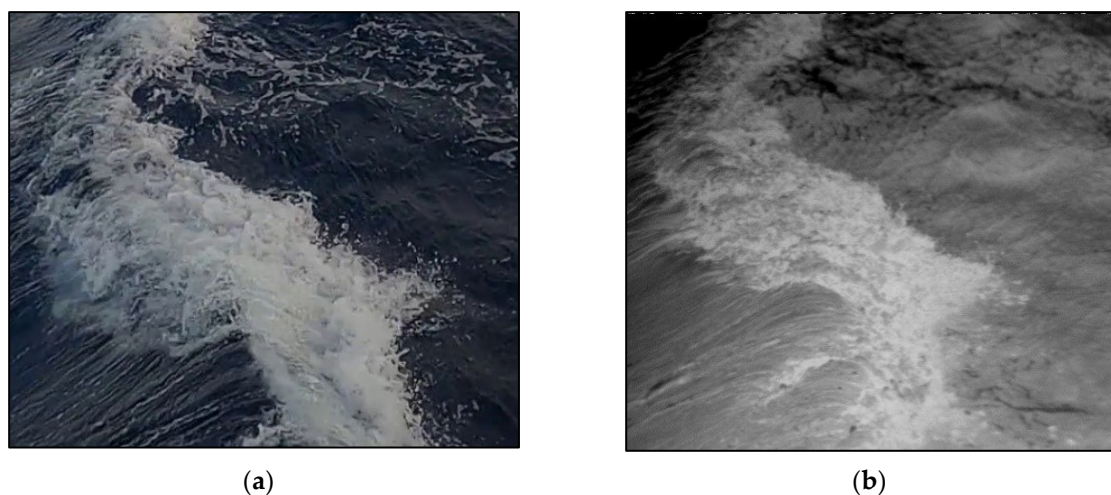


Figure 3. (a) Whitecaps include active and residual foams in a visible image; (b) Whitecaps include active and residual foams in an IR image. The bright patches are active whitecaps, and the dark patches are residual whitecaps.

2.2. Image Processing

Data from the IR system was stored in a DVR Express Core, which provided streaming of infrared images and generated RAW images with time stamps every 15 minutes. The GoPro camera generated MPEG-4 files every 10 minutes and time stamping the records by its intrinsic system.

Considering dichotomic difference between whitecaps stages in IR images, thresholding is a straightforward method to separate stage A and B whitecaps from background water and the transition of whitecap lifetime stage can be identified easily. Following Potter et al., (2015)[25], for each breaking event, thresholds were applied to isolate the bright pixels (active whitecaps) and dark pixels (residual whitecaps) and used to quantify their temporal evolution. In infrared images, two threshold values are needed to identify brighter whitecaps in stage A and darker foams in stage B from ambient water. A typical outcome of this processing applied to the event is shown in Figure 4. The transition from stage A to stage B was determined to be the time when the area of stage A was less than stage B (Figure 4).

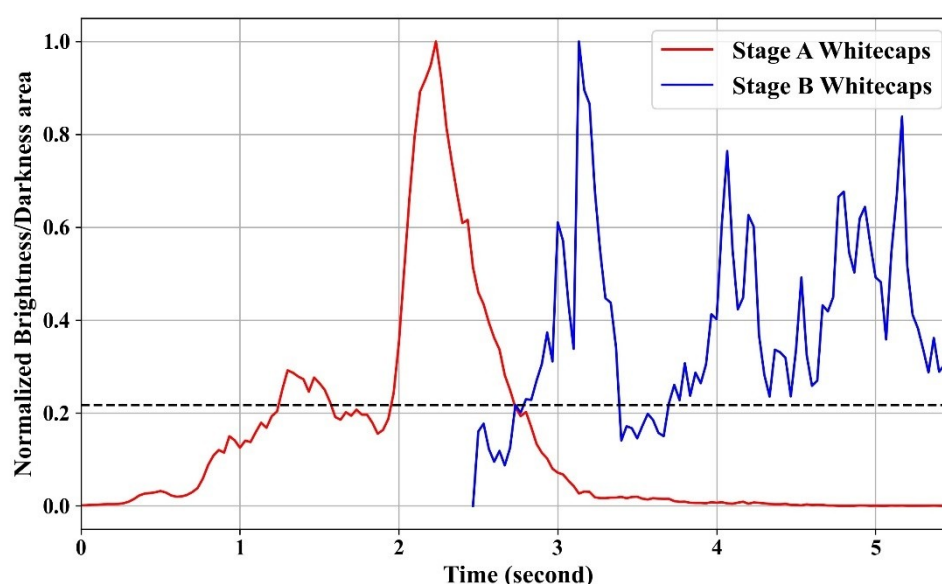


Figure 4. Time series of infrared signal of a breaking event. The whitecaps areas are normalized by their respective maximum.

Particle image velocimetry estimates the velocity field based on the correlation between image matrix segments from sequential frames [28]. It is important to remove the influence of inhomogeneous illumination on the tracers for more accurate results. During the cruise, the cameras were set at some angle to the water surface rather than orthogonally and the uneven illumination caused by the rough surface can also influence the PIV statistical analysis. In this research, the detailed fluid velocity field information is not necessary, thus some other natural tracers like wave ripples can be removed. This was done by applying a thresholding technique that has been applied previously (e.g., [28]) to isolate whitecaps for use as tracers for PIV analysis[29].

Thresholding on digital images requires determination of a suitable intensity threshold value manually or automatically to separate particles of interest from background. In visible images, whitecaps typically have a greater intensity than ambient water; hence, the whitecaps can be identified by pixels with greater intensity value than the threshold. In this research, Adaptive Thresholding Segmentation (ATS) method created by Bakhoday-Paskyabi et al. (2016)[30] was applied to determine the threshold values for each visible and IR images. The threshold is chosen by the application of a triangle algorithm [31] to the first derivative of cumulative distribution function for pixels intensity. The algorithm was compiled and run in Python. It is a robust method with short processing time. A typical result is shown in Figure 5.b.

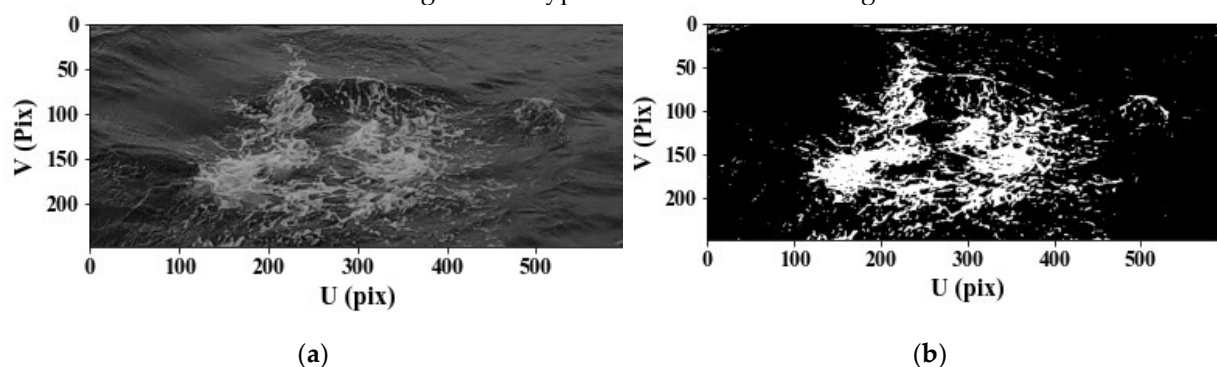


Figure 5. (a) Residual whitecaps in a visible image; (b) Thresholding result of the left panel.

2.3. PIV Method

PIV analyzes the correlation between small interrogation regions of subsequent frames to estimate displacement of particles to infer the velocity field [32]. PIV prevails in fluid dynamic research to determine instantaneous information about fluid velocity fields and has also been applied on larger scales to measure the surface water velocity in hydrographic studies (e.g., [33,34]). Some laboratory experiments investigating microscale breaking waves in both visible and IR imagery use PIV to estimate the kinematic properties (e.g., [35–37]). PIV has also been applied in oceanographic field experiments, especially when other high-resolutions measurement methods (e.g., ADCP) were absent. Melville and Matusov (2002)[29] used PIV to image sequence individual whitecaps taken from airborne cameras to estimate the normal velocity whitecap boundaries. Rüssmeier et al. (2017)[28] applied PIV to sea surface foam to estimate the surface current speed and, compared with measurement from ADCP at an offshore station, showed the reliability of PIV. Inspired by these experiments, PIV was used in this research to estimate the instantaneous velocity field during wave breaking.

Large-scale application of PIV, which is used here, applies similar algorithms to conventional PIV. However, instead of laser light and artificial tracers, which are typically used in fluid dynamic laboratory experiments (e.g., [37,38]), natural light and tracers (whitecaps) are used in this research. Therefore, the illumination can affect the PIV result significantly[33]. Enhancement of the images was recommended to improve the processing results[33]. In this research, this was done by applying a thresholding technique to extract whitecaps as tracers for PIV analysis.

Video clips that contained target whitecaps were transformed into 1920×1080 resolution images sequences at 60 Hz. Suitable region of interest (ROI) was chosen and cropped for each sample so that only a single whitecap was always in the frame. The whitecaps were extracted as the tracers of PIV using ATS thresholding method. PIV was realized through PIVlab, which was built in MATLAB by Thielicke and Stamhuis (2014)[39]. PIVlab provides multiple passes to do iterative calculations for better results. The interrogation area was set as 128×128 pixels in the first pass then 64×64, 32×32, and 16×16 in the following passes. Use of 2^n (n is an arbitrary integer) as interrogation area is because PIVlab's algorithm uses Fast Fourier Transform. The step sizes and offset between interrogation area, were set to half the width of corresponding interrogation area [39]. Examples of PIV applied to active and residual whitecaps are shown in Figure 4. The green arrows are velocity vectors.

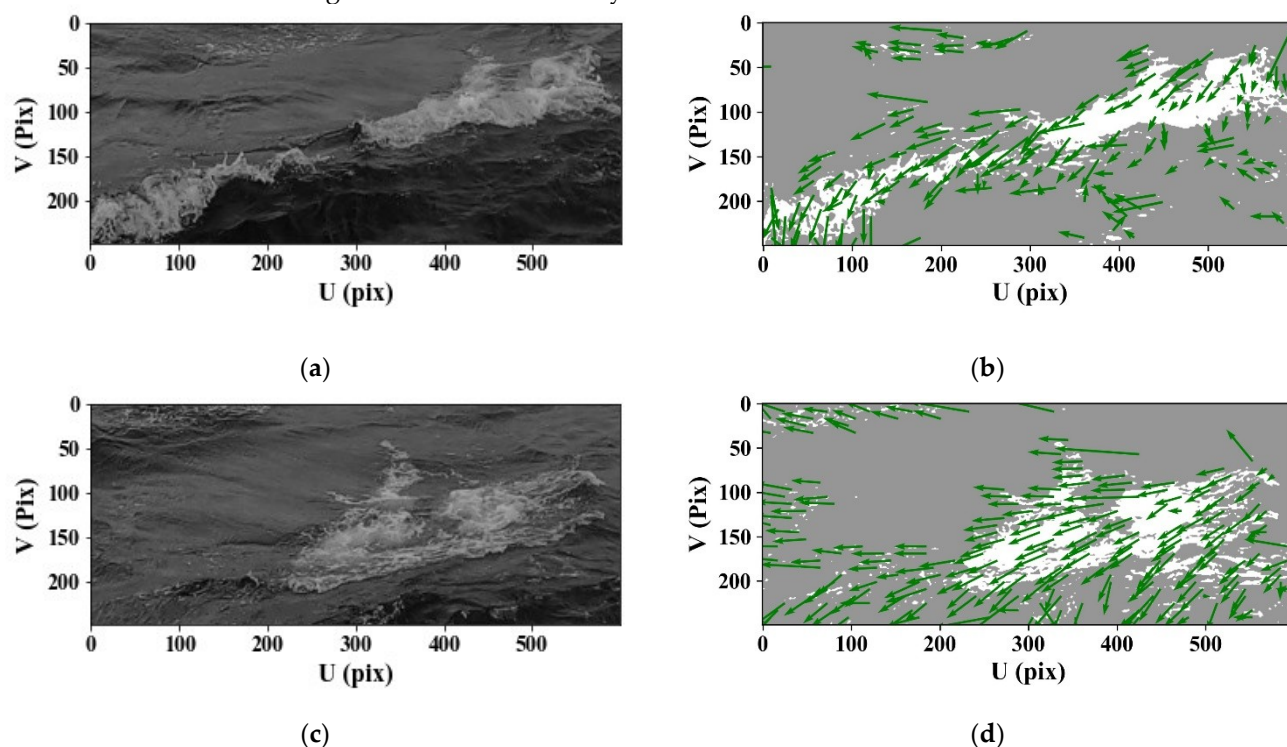


Figure 6. (a) An active whitecap in a visible image; (b) PIV result of the whitecap in the left panel; (c) A residual whitecap in a visible image; (d) PIV result of the whitecap in the left panel.

3. Results and Discussion

3.1 Signatures of Whitecap Lifetime Stages in PIV results

Twenty-two whitecaps were captured simultaneously by IR and visible cameras. The average velocity can be achieved from the PIV results for each frame so that a time series of the average velocity of individual whitecap can be built for an entire record of wave breaking. Stage A lifetimes of all the samples are over 1 seconds (Table 1) and so 1Hz low-pass filter was applied to smooth the average velocities which could be done without losing the whitecap decay information. Two types of patterns emerge when plotting whitecap velocity derived from PIV, examples of which are shown in Figure 7. In the first (Figure 7.a), the average horizontal and vertical velocity both show a sinusoidal-shaped curve at the beginning. The horizontal velocity becomes constant after the trough, while the vertical velocity becomes constant after the crest. In the second pattern (Figure 7.b), the horizontal and vertical velocities both show an arctangent-shaped curve with the vertical velocity lagging the horizontal velocity. Ten of the twenty-two events follow the pattern in Figure 7.a and twelve follow the pattern in Figure 7.b.

Table 1. Lifetime of Active Whitecaps in This Study

Lifetime of active whitecaps (second)	Number
1-2	10
2-3	9
>3	3

At each station during the cruise, the ship’s bow was aligned with the wind to avoid sheltering so that more whitecaps could be observed in the ROI, and to increase ship stability which reduced inhomogeneous illumination caused by changes to the camera angle. Therefore, the direction of the wave breaking tends to be horizontal in the videos (i.e., in the u direction) as discussed in the following paragraphs.

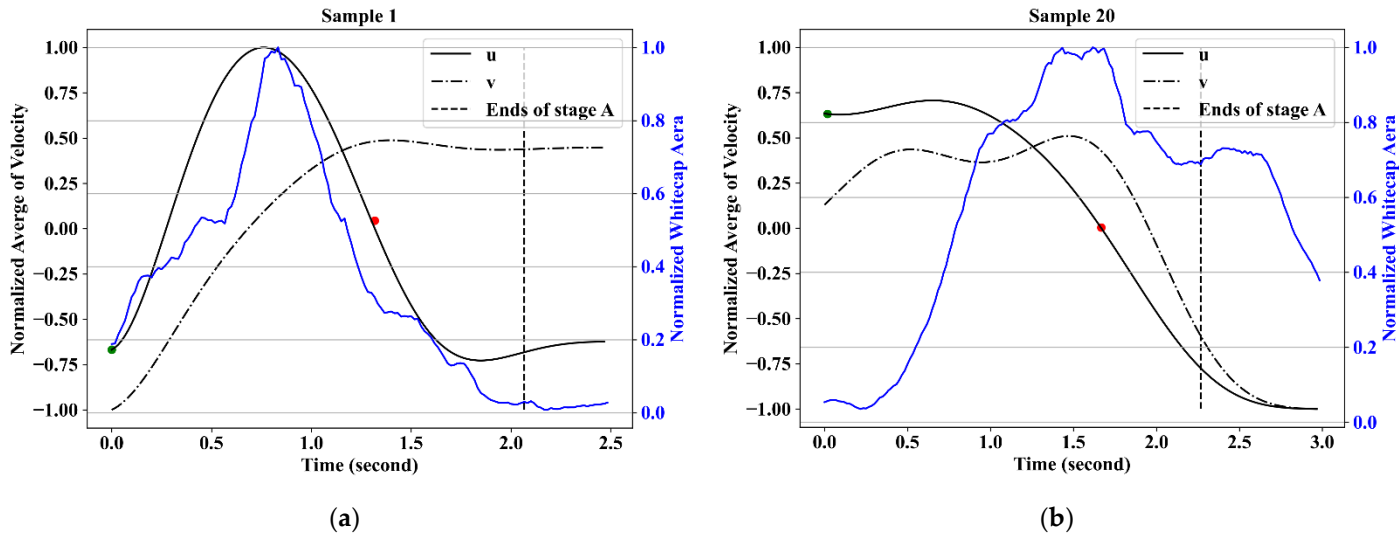


Figure 7. Time series of average u and v velocity of whitecap foam and normalized whitecap area. (a) and (b) are examples of the two types of velocity patterns as discussed in the text. The green dots denote the beginning of wave breaking according to IR images. The red dots denote the last time when the horizontal velocity change the direction. The vertical dash lines denote the end of stage A.

There were two kinds of tracers, active whitecaps, and residual foam, in the images processed using PIV. The tracers representing active whitecaps have three components of velocity, the velocity of breaking wave driven by wind, the surface current velocity, and the velocity of wave orbital motion[40]. The latter two components are background water velocity, and it is assumed that the temporal average is a constant. Hence, the horizontal velocity anomalies are true breaking speed under this assumption. The low-pass filter mentioned above actually removed the information about wave orbital motion, thus it will not be discussed here. The residual foam moves with the background water. In some samples, there was residual foams generated from previous wave breaking already in the ROI or flowing from nearby into the ROI. The first type of pattern (Figure 7.a) describes this situation. It also accounts for why the whitecap area is not zero at the beginning of wave breaking in Figure 7.a. The horizontal velocity is negative at the beginning of wave breaking and keeps increasing to positive during the growth of active whitecaps. Then the averaged horizontal velocity starts to decrease around the time when the whitecap area reaches maximum. At the end of the active stage, the averaged horizontal velocity is negative like the beginning of wave breaking. In the second type of pattern (Figure 7.b), there is no preexisting residual foam. The averaged horizontal velocity keeps decreasing, then changes direction before the active whitecaps turned into residual foam. Therefore, the existence of tracers of background water at the beginning of wave breaking likely account for the different temporal velocity patterns.

3.2 Linear Regression Model

Whitecaps are formed by wave breaking under the influence of wind. Therefore, to a greater or lesser extent, the parameters related to wind and surface water state can influence whitecaps. Tracking individual whitecaps, the waves grow and break as they move forward. Active whitecaps outpace the ambient water, while residual foam flows with the surface water. This phenomenon suggests that velocity variation of an individual breaking wave is related to the lifetime stages of whitecaps. Jessup et al. (1997)[26] found a linear relationship between the centroid speed of active whitecaps and the recovery time of the skin layer after wave breaking, which can be used to estimate stage A whitecaps lifetime. In this study, with the advantage of whitecaps recorded simultaneously using IR and visible remote sensing, the relationship between time scales of kinematic variation and thermal variation can be determined. The time when a stage A whitecap ends, as quantified using IR, is compared with the time when the averaged horizontal velocity last reaches zero after the peak. The results for all 22 waves are shown in Figure 8. Coefficient of determination, r^2 is 0.738, and the linear model is

$$T_A = 1.134 \times T_V + 0.621, \quad (1)$$

where T_A is the time taken for stage A of whitecap to end, and T_V is the time when the averaged horizontal velocity of whitecap last reaches zero relative to the beginning of wave breaking. It can be interpreted that the lifetime of active whitecap is proportional to the timescale of breaking speed. Therefore, T_A and T_V in the equation (1) can be replaced with lifetime of stage A and time it takes for whitecap speed to reach zero, respectively. With the help of this linear model, one can apply PIV to visible imagery and substitute the time scale of the breaking speed to estimate the lifetime of stage A. With this information it can be determined whether a whitecap is in stage A or stage B.

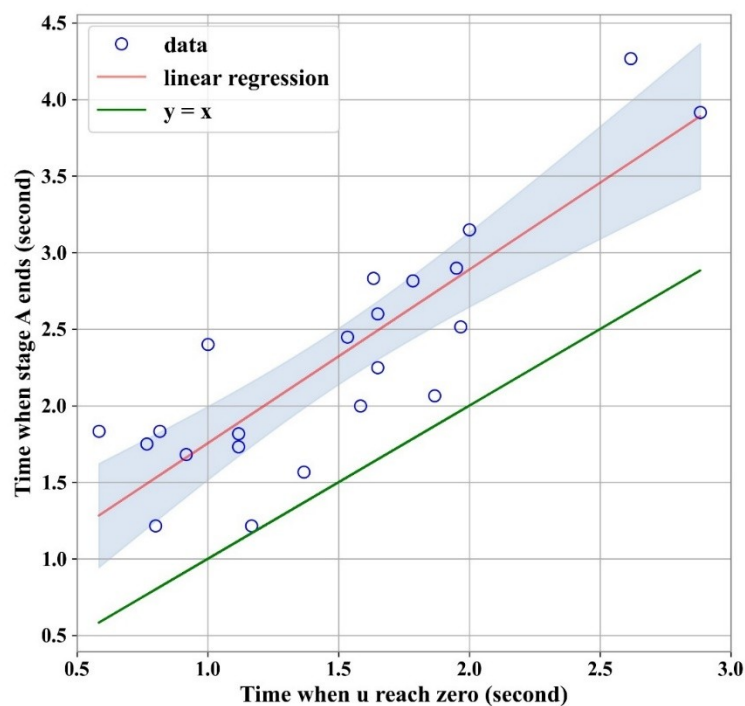


Figure 8. Time when stage A ends against time when averaged horizontal velocity last reaches zero (changes the direction) in the same image sequence. The red line is the least squares linear regression and the green line, $y=x$, is plotted for comparison.

Table 2. Linear Regression Summary for Predicting Stage A Ends Time

	coefficient	95% CI¹	t	p
Intercept	0.621	[0.118 1.125]	2.575	0.018
slope	1.134	[0.819 1.448]	7.515	0.000

¹ CI = confidence interval.

Discrimination of whitecaps stages in this method only requires visible videos. The quality of the images is important for the implementation. Uneven illumination and sun glint are two main factors that can contaminate images [9]. Uneven illumination usually happens when the sea surface is rough, especially around the beginning of wave breaking. Some areas of ambient water can have similar intensity to whitecaps due to uneven illumination which may lead to the failure of whitecaps extraction through thresholding. The changing illumination makes the bright areas appear to move faster than the real surface water velocity in the PIV algorithm. The situation can be worse at the beginning of stage A because the number of the real tracers is small so uneven illumination can have a bigger influence on the average velocity. Uneven illumination can also affect the pixels filtered by thresholding. Overestimation of active or residual foam through image processing can lead to errors in the average. The sun glint affects the prediction result in the similar way to uneven illumination. Normally, the image sequence contaminated by sun glint should be discarded, while the influence of uneven illumination can be avoided by omitting the contaminated images at the beginning of wave breaking or increase the threshold value to filter the contaminated area. Increasing the threshold value can filter some pixels representing whitecaps, but it will not affect the estimate of PIV since the quantification of whitecap fraction is not the purpose of this method.

According to the linear regression result (Figure 8), the average velocity of whitecaps reaches zero earlier than whitecap stage A ends. Two factors may lead to this situation. First, the average velocity reaches zero before the breaking ends. The breaking front proceeds forward while foam after the crest moves in the opposite direction resulting in zero average velocity before the stage A ends. Second, the breaking waves stop moving but keep degassing, especially when the penetration depth of bubbles is deep. Callaghan et al., (2013, 2016) [41,42] conducted a laboratory experiment to explore the whitecap foam decay, where the breaking waves were generated in a seawater channel and recorded with above and side-mounted cameras. They found that whitecap lifetime was a function of wave scale, with larger waves having longer whitecap lifetime [41]. A positive power law relationship between whitecap lifetime and averaged bubble penetration depth was also built in their study[42]. However, there appears to be no direct evidence to that establishes a relationship between penetration depth and stage A lifetime. It is possible that the penetration depth affects stage B lifetime therefore the whitecap lifetime as a whole. Callaghan et al., (2016)[42] built a model to predict breaking dissipation based on volume time-integral, which is the product of whitecap area, averaged penetration depth and growth timescale (timescale of the whitecap area increase). The breaking dissipation has a positive linear relationship with the volume time-integral[42]. Jessup et al., (1997)[26] found a positive linear relationship between stage A lifetime and velocity of breaking front. Under the assumption that the velocity of breaking front is related to the breaking dissipation, it can be inferred that the volume time-integral has a positive relationship with stage A lifetime based on the research mentioned above. It is indicated that the wave scale and the penetration depth have a positive influence on stage A lifetime. To explore this, we conducted a simple experiment using an off-the-shelf bubble maker typically used in aquariums and a small tank. FLIR was used to observe the bubbles on the water surface. The foam temperature showed no observable decrease until the foam remained on the surface for some time after the bubble generator had been turned off. This provides cursory evidence that whitecap bubbles remained in stage A briefly following their arrival on the surface when viewed in IR and supports the idea that white-

caps recorded at sea reached zero velocity before stage A ends. However, information about the factors affecting the time whitecaps take to reach static state is lacking, and a more precise experiment is needed to determine why velocity reaches zero before stage A ends.

During wave breaking, wave energy is dissipated through turbulence. The entraining air rises and forms bubbles on the surface, which enlarge the contact area between air and water aiding temperature loss [27]. Stage B whitecaps are a manifestation of this process [25,27]. However, it remains uncertain whether stage B whitecaps start during the rising of bubbles or after the bubbles reach the water surface. The dissipation process of wave breaking can therefore be divided into two phases, kinetic dissipation dominated by turbulence and internal dissipation dominated by evaporative cooling. It is possible that the kinetic dissipation happens before the internal dissipation so that the breaking crest stops moving before degassing. It is worth further research to clarify the energy transfer during wave breaking.

4. Summary and Conclusion

Under continued influence from the wind, waves grow until they become unstable and break creating whitecaps. These whitecaps are distinguished as either actively generated (stage A) or decaying (stage B). Stage A whitecaps are formed along the crest of a wave as during breaking, stage B are the patches left on the surface. Whitecap coverage is quantified by whitecap fraction W ($W = W_A + W_B$). At each stage of its life, whitecaps have considerable influence on the marine boundary layer so discrimination of whitecap stages is critical to accurately quantify momentum, energy, and mass transfer. Whitecap stages are easily identified in IR by their dichotomic characteristics but subtle change of image intensity from active to residual stages makes the separation difficult at visible wavelengths. This study provides a novel method to distinguish whitecap stages by applying PIV to visible imagery. This highly accessible and practical method paves the way for affordable and accessible cameras to advance whitecap research through improved quantification and understanding of W_A and W_B .

Data were collected during a Gulf of Mexico cruise where breaking waves were captured simultaneously using collocated IR and visible video cameras. The visible images were processed using ATS thresholding to extract whitecaps from background features and PIV to determine the average tracer (whitecaps) velocity. IR images were processed with a simple thresholding technique to distinguish stage A whitecaps from the ambient background. Averaged velocity was then compared to the lifetime of stage A. Twenty-two samples were processed this way. A linear relationship was established between the lifetime of stage A and the timescale of averaged velocity. Hence, substitution of the timescale of averaged velocity into the linear model presented yields the stage A whitecap lifetime.

The linear regression indicates that the velocity of whitecap reaches zero before whitecap stage A ends, with an average delay of ~1 second. Two possible reasons for this are discussed. The first is the potential non-uniform breaking velocity across an individual whitecap that results in zero average before the breaking front stops advancing. The second is that the motion of the whitecap stops before degassing ends and stage A persists until all bubbles have returned to the surface. This would have implication breaking wave mechanics such as bubble penetration depth and degassing time. Some preliminary evidence is provided to support the second hypothesis but further investigation is needed.

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Author Contributions

Conceptualization, Potter; Methodology, Yang; Software, Yang; Validation, Yang; Formal Analysis, Yang; Investigation, Potter and Yang; Resources, Potter; Data Curation, Yang; Writing – Original Draft Preparation, Potter and Yang; Writing – Review & Editing, Potter; Visualization, Yang; Supervision, Potter; Project Administration, Potter; Funding Acquisition, Potter.

Data Availability Statement

Cruise data are available through the Rolling Deck to Repository (R2R) <https://www.rvdata.us> for cruises PE20-08 and PE20-17. Whitecap lifetime extracted from visible and infrared video is archived at National Ocean Data Center. Raw video footage is available upon request by contacting the corresponding author.

Conflicts of Interest

The authors declare no conflict of interest.

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