

Uncoordinated Spectrum Sharing in Millimeter Wave Networks Using Carrier Sensing

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Abstract—We propose using Carrier Sensing (CS) for distributed interference management in millimeter-wave (mmWave) cellular networks where spectrum is shared by multiple operators that do not coordinate among themselves. In addition, even the base station sites can be shared by the operators. We describe important challenges in using traditional CS in this setting and propose enhanced CS protocols to address these challenges. Using stochastic geometry, we develop a general framework for downlink coverage probability analysis of our shared mmWave network in the presence of CS and derive the downlink coverage probability expressions for several CS protocols. Our work is the first to investigate and analyze (using stochastic geometry) CS for mmWave networks with spectrum and BS sites shared among non-coordinating operators. We evaluate the downlink coverage probability of our shared mmWave network using simulations as well as numerical examples based on our analysis. Our evaluations show that our proposed approach leads to an improvement in coverage probability, compared to the coverage probability with no CS, for higher values of signal-to-interference and noise ratio (SINR). Interestingly, our evaluations also reveal that for lower values of SINR, not using any CS is the best strategy in terms of the downlink coverage probability.

Index Terms—mmWave networks, spectrum sharing, carrier sensing, coverage probability, stochastic geometry.

I. INTRODUCTION

THE millimeter-wave (mmWave) band [2], with abundant available spectrum, is a major contributor to the significant bandwidth improvements that 5G brings. Importantly,

the beam-based transmissions for mmWave, instead of the traditional sector-based transmissions, engender the opportunity of spatial spectrum sharing [3]. Spectrum sharing in mmWave networks is essential for enabling *private cellular networks* [4], which is one of the crucial use cases of 5G. Spectrum sharing in licensed bands can be implemented using centralized control. However, the problem of spectrum sharing in unlicensed bands is of much broader interest. Some existing works [5]–[8] have investigated unlicensed mmWave spectrum sharing between different radio access technologies (RATs), specifically, WiGig and 5G, but not necessarily for the same RAT, e.g., 5G.

In this article, we tackle the problem of unlicensed mmWave spectrum sharing among different operators¹ of the same RAT. The very nature of unlicensed usage of the spectrum creates new opportunities for uncoordinated sharing, which has two key advantages. First, uncoordinated spectrum sharing has the flexibility of ad hoc spectrum usage without going through an extensive and time-consuming process via any central coordination. Second, in an uncoordinated sharing system, there is no vulnerable central point of attack that could be targeted by adversaries for unfair provisioning or denial of service. However, along with the advantages, uncoordinated spectrum sharing brings in the significant challenge of *distributed interference management*, because in the absence of coordination, different operators may simultaneously use the same portions of the unlicensed spectrum. Additionally, different operators may share the same strategically important base station (BS) sites/towers. The BS site sharing by operators increases the possibility of strong interferers; for a user equipment (UE), there can be an interferer (above/below its associated BS) with interference as strong as the signal power.

We investigate Carrier Sensing (CS) for distributed interference management in a mmWave network, with the spectrum and BS sites shared among non-coordinating operators. Traditionally, as in WiFi and LTE-LAA, CS is done at the transmitter, where the transmitter listens for any ongoing transmission before transmitting its own signals. If the transmitter identifies the channel to be occupied, it postpones its transmission; otherwise, it transmits its signal. We determine that the choice regarding whether CS is incorporated at the transmitter or at the receiver is of prime importance. Specifically, we observe that when CS is used at the transmitter (CST) in our shared mmWave network, the directionality of mmWave

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¹We use the term ‘operator’ in a general sense, without caring about whether it is private or public, unless explicitly stated.

signals amplifies the hidden terminal problem. Furthermore, multiple BSs at different heights on the same tower cannot sense each other's transmission. Hence, we propose using CS at the receiver (CSR) to overcome the limitations of CST. Intuitively, with directional transmissions, the receivers are in the best positions to assess whether or not they experience interference during the reception. However, even CSR cannot prevent interference that may start during the data transmission phase, right after the CS phase is over. To tackle this problem, we propose an enhanced version of CSR, which we call directional CSR with announcements (*dCSRA*), that enables interference protection beyond CS. The main idea in *dCSRA* is that, if a receiver senses the channel to be free, it also sends out a few broadcast announcements to prevent BSs (that may cause interference) from starting their downlink transmissions, while the announcing node is receiving downlink signals.

Using stochastic geometry [9], we develop a general framework for downlink coverage probability analysis of our shared mmWave network in the presence of CS. Our framework is not specific to any particular CS protocol, and it can be used for any CS schemes that we discuss in this work. Due to the suitability of CSR in our mmWave network, we use our framework to derive the analytical expressions for downlink coverage probability with different CSR schemes. Through extensive evaluations, in Section V, we show that the superiority of a particular CS protocol, over other CS protocols, is dependent on the signal-to-interference and noise ratio (SINR). Hence, our coverage probability analysis serves as a useful tool for deciding which protocol to use under a particular situation, without running extensive simulations.

Using simulations, we validate our coverage probability analysis for the CSR schemes. We also evaluate the impact of various factors (sensing threshold, BS site overlap) on the coverage probability of our shared mmWave network. Our evaluation results show that CSR schemes are always better than the CST schemes. In the high SINR regime, CSR schemes are advantageous over not using any CS. However, interestingly, our evaluations also reveal that in the low SINR regime not using any CS is the best strategy for achieving higher coverage probability. In summary, although CS should improve the performance of a shared spectrum network by avoiding interference, we find that CS may not result in optimal behavior under all circumstances because it avoids interference at the cost of reduced transmissions. CS is advantageous only when the benefit of avoiding interference outweighs the disadvantage of reduced transmissions.

A. Related Work

Research around mmWave spectrum sharing has progressed primarily in the following two categories.

1) *Spectrum Sharing Between Same RATs*: The idea of mmWave spectrum pooling among mobile operators has been explored in various works [3], [10]–[14]. These works have demonstrated that spectrum pooling among mobile operators can significantly boost their downlink throughput. In fact, this advantage of spectrum pooling can be achieved even without any coordination among the mobile operators, as long as the individual networks have a comparable density of BSs [11], [12]. A recent work along this line of research

has proposed a game-theoretic approach for distributed beam scheduling and power control for uncoordinated sharing of mmWave spectrum among different operators [15]. Reference [16] provides a general survey (not specific to mmWave) of power control and beamforming. However, if spectrum pooling is performed using licensed bands, then coordination among the mobile operators is essential because a licensed mobile operator would be willing to share part of its spectrum only when its licensed spectrum is unused [3]; otherwise, the quality of service of its subscribed users may be affected. Various centralized and distributed strategies have been proposed for coordination among the mobile operators [3], [10], [17].

2) *Spectrum Sharing Across Different RATs*: Unlicensed mmWave bands can be shared by WiFi and mobile operators [5], [7], [8], [18], [19]. However, due to the use of directional beams in mmWave, the spectrum sharing solutions for LTE-LAA cannot be applied directly in the mmWave bands. In general, unlicensed spectrum sharing among different RATs requires distributed interference management because coordination between operators of different RATs is unlikely. The interference can be managed using distributed algorithms on the BSs, for scheduling the downlink time slots of the associated UEs [8], [18]. Alternately, distributed interference management can be performed by adding intelligence/adaptability in the CS protocol [5], [7], [19].

In our work, we draw insights from these existing works and build upon their contributions. However, our work is the first to investigate and analyze CS in a mmWave network with shared BS sites and allows spectrum sharing among the operators without any coordination.

In summary, we make the following important contributions in this paper:

- We investigate CS protocols for distributed interference management in a mmWave network, with the spectrum and BS sites shared among operators having no coordination. We describe several drawbacks of traditional CST in our setup and propose the use of CSR.
- We describe that in the absence of coordination among BSs, two types of interferers may exist and introduce the notion of hidden interferers and deaf interferers. We explain that neither CST nor CSR can prevent interference from the deaf interferers. We propose an enhanced version of CSR to reduce the interference from the deaf interferers.
- Based on stochastic geometry, we develop a general framework for downlink coverage probability analysis of our shared mmWave network in the presence of CS. Using our framework, we derive the coverage probability expressions for the non-CS scheme, where no CS is used, and for different CSR schemes, including the proposed *dCSRA*.
- We validate our coverage probability analysis using simulations. We show that our analytical results and the simulations results are very close to each other for different values of SINR. Using our evaluation results, we demonstrate that our protocols lead to an improvement in downlink coverage probability, over no CS, for higher values of SINR.

3) *Organization of the Remaining Paper:* In Section II, we describe the system model of our considered mmWave network. In Section III, we introduce the ideas of hidden and deaf interferers, and explain the capabilities of CST and CSR in terms of dealing with hidden and deaf interferers. In this section, we also present the details of our proposed *d*CSRA protocol. In Section IV, we analyze the downlink coverage probability of our shared mmWave network. We present the evaluation results in Section V, and Section VI provides the conclusions.

II. SYSTEM MODEL

We consider an unlicensed band of W MHz that is shared by M operators. There is no coordination among the operators as well as between different BSs of the same operator.

A. BS Site-Sharing Model

We consider that some BS sites are shared by multiple operators. For modeling shared BS sites, we adopt the approach used in [14]. We use $\mathcal{O} = \{1, 2, \dots, M\}$ to denote the set of operators, and $\mathcal{P}(\mathcal{O})$ is the power set of \mathcal{O} . We use Φ_S , a homogeneous Poisson Point Process (PPP) with density λ_S , to represent the locations of BS sites that are shared by the elements of $\mathcal{S} \in \mathcal{P}(\mathcal{O})$. $\{\Phi_S\}$ represents the collection of all the different Φ_S , where the elements of $\{\Phi_S\}$ are independent homogeneous PPPs. BSs of operator m form the point process Φ_m with density λ_m , where $\Phi_m = \bigcup_{S:m \in S} \Phi_S$ and $\lambda_m = \sum_{S:m \in S} \lambda_S$. Due to the superposition property of PPPs, Φ_m is also PPP [14]. For any $\mathcal{S}, \mathcal{S}' \in \mathcal{P}(\mathcal{O})$, $\Phi_S \cap \Phi_{S'} = \emptyset$, almost surely, because a collection of independent PPPs have no point in common [14]. Thus, in $\Phi_m = \bigcup_{S:m \in S} \Phi_S$, we do not double count the BSs of network m . We use $X_{j,m}$ for the location of the j^{th} BS of network m . With a slight abuse of notation, we use $X_{j,m}$ for the BS itself, if there is no confusion. We use $U_{x,y}$ to indicate the Cartesian coordinate, (x, y) , of a UE's location.

B. Blocking Model

The blocking of each link is independent and identically distributed (i.i.d) as: a link is in line-of-sight (LoS) with probability $p_L(r) = e^{-\beta r}$, and in non line-of-sight (NLoS) with probability $p_N(r) = 1 - p_L(r)$, where r is the link distance in meters [20]. Here, β is the blocking parameter of mmWave signals.

C. Path Loss Model

Free space path loss at a distance of r meters from a transmitter is modeled as $C_\tau r^{-\alpha_\tau}$, where C_τ is the path loss at a reference distance of 1 meter, α_τ is the path loss exponent (PLE) of mmWave signals [2], and $\tau \in \{L \text{ (LoS)}, N \text{ (NLoS)}\}$. I.e., if $\tau = L$, then $C_\tau = C_L$, $\alpha_\tau = \alpha_L$, and if $\tau = N$, then $C_\tau = C_N$, $\alpha_\tau = \alpha_N$. In the remaining paper, we follow the convention that, for a variable associated with a link between a BS at $X_{j,m}$ and another BS at $X_{b,n}$, we use the variable with subscript j, m and superscript b, n . In contrast, for a link between a BS at $X_{j,m}$ and a UE at $U_{x,y}$, we use the UE's coordinates, (x, y) , as the

superscript in parentheses. However, for compactness, we omit the superscript when the UE is at $(0, 0)$, i.e., $(x, y) = (0, 0)$. Using this convention, C_τ is either $C_{\tau(j,m)}^{b,n}$ or $C_{\tau(j,m)}^{(x,y)}$, and α_τ is either $\alpha_{\tau(j,m)}^{b,n}$ or $\alpha_{\tau(j,m)}^{(x,y)}$, depending on whether the link is between a pair of BSs or between a BS and a UE.

D. Fading Model

We consider that each link undergoes independent Rayleigh fading. Hence, the loss in received power due to small scale fading is modeled as an exponential random variable, $F_{j,m}^{b,n}$ or $F_{j,m}^{(x,y)}$, depending on whether the link is between a pair of BSs or between a BS and a UE. Without loss of generality, we assume that $\mathbb{E}[F_{j,m}^{b,n}] = \mathbb{E}[F_{j,m}^{(x,y)}] = 1$. Finally, fading from the co-located BSs are considered independent as they would be mounted at different heights.

In our work, we use the Rayleigh fading assumption as it simplifies the stochastic geometry analysis. While it can be argued that modeling small scale fading as Rayleigh fading may not be accurate [20] due to lesser scattering at mmWave frequencies, experimental results suggest that small scale fading has minimal effect on the received power of mmWave signals with highly directional antennas [2]. Moreover, in Section V, we use simulation-based results to show that the coverage probability of the different CS protocols is minimally affected due to the use of Rayleigh fading instead of Nakagami fading, which is the more accurate model for fading in mmWave frequencies. Note that, we do not explicitly consider shadowing, primarily caused by environmental clutter, as it is implicitly accounted for by our blocking model described earlier.

E. Association Model

We consider a system where a UE is served only by its subscribed operator. A UE associates with a BS, among all the BSs of its subscribed operator, that provides maximum received signal power, averaged over the fading randomness [12]. The associated BS of a UE may not be the nearest BS to the UE. The nearest BS to the UE may have a NLoS link with the UE, providing lesser power than a BS having LoS link with the UE, but at a farther distance. We assume that all the BSs transmit with power P_X , and the UEs transmit with power P_U .

F. Antenna Gain Model

We consider uniform linear antenna arrays (ULA) [20] with n_{BS} and n_{UE} antenna elements at each of the BSs and UEs, respectively. Beam steering is done only in the horizontal direction while the vertical steering angle is always fixed (assuming all UEs are at ground level). We consider codebook based analog beamforming [20], i.e., the beam direction is chosen as the one that provides maximum signal strength during beam training, among a set of predefined beams specified in the codebook. For analytical tractability, we assume that an antenna array's radiation pattern follows a step function with a constant gain, M_{BS} (for BS), M_{UE} (for UE), in the main lobe, and a constant gain, m_{BS} (for BS), m_{UE} (for UE), in the side lobe [20]. For the gains,

we use the following expressions, based on [21]: $M_{BS} = 10^{0.8n_{BS}}$, $M_{UE} = 10^{0.8n_{UE}}$, $m_{BS} = 1/\sin^2(\frac{3\pi}{2\sqrt{n_{BS}}})$, and $m_{UE} = 1/\sin^2(\frac{3\pi}{2\sqrt{n_{UE}}})$. We consider single stream downlink transmissions, i.e., a BS serves only one UE in a time slot. From a UE's viewpoint, the misalignment between its main lobe and an interfering BS's main lobe is uniformly random in $[0, 2\pi]$, in the azimuth. Thus, the combined antenna gain from an interfering BS at $X_{j,m}$ to a UE at $U_{x,y}$ is modeled as a random variable, $G_{j,m}^{(x,y)}$, distributed as:

$$G_{j,m}^{(x,y)} = \begin{cases} M_{BS}M_{UE} \text{ w.p. } (\frac{\theta_{BS}}{2\pi})(\frac{\theta_{UE}}{2\pi}) \\ M_{BS}m_{UE} \text{ w.p. } (\frac{\theta_{BS}}{2\pi})(1 - \frac{\theta_{UE}}{2\pi}) \\ m_{BS}M_{UE} \text{ w.p. } (1 - \frac{\theta_{BS}}{2\pi})(\frac{\theta_{UE}}{2\pi}) \\ m_{BS}m_{UE} \text{ w.p. } (1 - \frac{\theta_{BS}}{2\pi})(1 - \frac{\theta_{UE}}{2\pi}) \end{cases} \quad (1)$$

Here θ_{BS} and θ_{UE} are the main lobe beamwidth (in radians) for the BSs and the UEs, respectively. While computing the downlink SINR, we assume that a UE and its associated BS have gone through the beam training, and their antennas are aligned for maximum gain, which is $M_{BS}M_{UE}$.

G. Performance Metric

Our focus is to investigate the performance of various CS protocols in a shared mmWave network. For that, we consider a UE's downlink coverage probability, $P_c(Z)$; $Z > 0$, which is the probability that a UE's SINR is above Z , as the performance metric. $P_c(Z)$ is the complimentary cumulative distribution function (CCDF) of the UE's SINR.

III. CARRIER SENSING FOR DISTRIBUTED INTERFERENCE MANAGEMENT

Since there is no coordination among the BSs, the usage of random medium access protocols is a promising solution in managing the interference in a distributed manner. Among the well known random access protocols, we choose CS over ALOHA and slotted ALOHA because ALOHA suffers from low throughput and slotted ALOHA requires synchronization of time slots among all the BSs. Thus, we consider CS as the random access protocol in our considered mmWave network, i.e., all the BSs belonging to the different operators use CS.

In this section, first, we present a brief overview of the various CS schemes. Although CS is the appropriate choice, it cannot eliminate all the undesired interference. This unavoidable interference is characterized next, in Section III-B, by introducing the notion of hidden and deaf interferers. Then, in Section III-C, we describe the capabilities of different CS protocols, in terms of avoiding interference from hidden and deaf interferers. Finally, we propose a new CS protocol, dCSRA, that is more capable than the other schemes in terms of avoiding interference.

A. Carrier Sensing Overview

Traditionally CS is done at the transmitter. We explain in Section III-C that CST has several drawbacks and propose using CSR. CSR has been investigated for mmWave

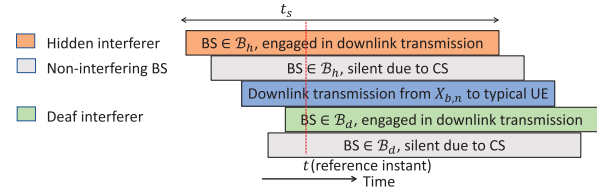


Fig. 1. Timing characteristics of our mmWave network.

networks in [5]; however, unlike our problem of spectrum sharing between the same RATs, this work considers spectrum sharing across different RATs. Additionally, the scenario of co-located BSs is not considered in [5]. Both CST and CSR can be performed omnidirectionally or directionally. With omnidirectional CS, the sensing node listens for any ongoing transmission in all directions. In contrast, with directional CS, the sensing node measures the channel power only in the direction of its main lobe. Considering both the choices of sensing location and direction, we have four possibilities: omnidirectional CST (*oCST*), directional CST (*dCST*), omnidirectional CSR (*oCSR*), and directional CSR (*dCSR*). We assume that all the BSs in the shared mmWave network use the same variant of CS, with the same sensing threshold.

B. Interference in Spite of Carrier Sensing

While the purpose of CS is to avoid interference, it cannot eliminate interference completely. To characterize this unavoidable interference, we introduce the notion of hidden interferers and deaf interferers. Our characterization of the interferers is defined with respect to a UE. For the purpose of explaining hidden and deaf interferers, we consider a *typical UE*, located at the center of the considered region, $(0, 0)$, as the reference UE. The difference between hidden and deaf interferers arises due to the timing characteristics of our mmWave network. We first explain these timing characteristics and then present the ideas of hidden and deaf interferers.

1) *Timing Characteristics*: Since the BSs use single-stream downlink channels, they serve their associated UEs using a time division multiple access (TDMA) scheme with a round-robin scheduling. We assume that all the BSs use the same duration for their downlink time slots, denoted by t_s . However, the time slots of different BSs may not be aligned, as shown with the help of an example in Fig. 1. This figure shows that the time slots of all the BSs are the same, but the beginning of their time slots is not aligned. At the beginning of the time slots, the sensing nodes perform CS, with sensing time negligible compared to t_s . If a sensing node (a scheduled UE or its associated BS) measures the channel power (time-averaged over fading randomness) to be below the sensing threshold, P_{th} , then the BS initiates downlink transmission to the scheduled UE; otherwise, the transmission is deferred. The sensing node senses the channel again after a duration of t_s , at the beginning of the next time slot. Note that, if the sensing is performed by the UEs, then at each time slot only the scheduled UE for that time slot performs the CS. To summarize, a BS uses TDMA for resource sharing among all the UEs associated with it, and CS is used for contention-based resource sharing among different BSs. We assume that a BS

TABLE I
USAGE OF SYMBOLS

Symbol	Meaning
$M, \mathcal{O}, \mathcal{P}(\mathcal{O})$	Number of operators, set of operators, and power set of \mathcal{O}
Φ_S, λ_S	PPP with density λ_S , representing BS sites shared by elements of $S \in \mathcal{P}(\mathcal{O})$
Φ_m, λ_m	PPP with density λ_m , representing BS sites of operator m
$X_{j,m}$ and $U_{x,y}$	Location of the j^{th} BS of network m , and location of a UE with Cartesian coordinate (x, y)
$p_L(r), p_N(r); \beta$	Probability of an r meters link being LoS, NLoS, respectively; mmWave blocking parameter
C_L, C_N $C_{\tau(j,m)}^{b,n}$ or $C_{\tau(j,m)}^{(x,y)}$	mmWave path loss at 1 meter from the transmitter, for LoS and NLoS links, respectively; Notation for C_τ ; $\tau \in \{L, N\}$ when a link is between a pair of BSs or between a BS and a UE
α_L, α_N $\alpha_{\tau(j,m)}^{b,n}$ or $\alpha_{\tau(j,m)}^{(x,y)}$	Propagation path loss exponent of mmWave signals for LoS and NLoS links, respectively; Notation for α_τ ; $\tau \in \{L, N\}$ when a link is between a pair of BSs or between a BS and a UE
$F_{j,m}^{b,n}$ or $F_{j,m}^{(x,y)}$	Fading random variable; link is between a pair of BSs or between a BS and a UE
P_X, P_U	Transmit power of the BSs and UEs, respectively
n_{BS}, n_{UE}	Number of antenna elements at the BSs and UEs, respectively
$M_{BS}, M_{UE}; m_{BS}, m_{UE}$	Main lobe gain for BSs, UEs, respectively; side lobe gain for BSs, UEs, respectively
θ_{BS}, θ_{UE}	Main lobe beam width (radians) for the BSs and UEs, respectively
$G_{j,m}^{(x,y)}$	Combined antenna gain from a BS at $X_{j,m}$ to a UE at $U_{x,y}$
$P_c(Z); Z > 0$	Downlink coverage probability of a UE; the probability that a UE's SINR is above Z
t_s, p_T	Duration of a downlink time slot, transmission probability of a BS in a time slot
P_{th}, P_{th}^A	Sensing thresholds for any active downlink transmissions and announcements, respectively
$A_{j,m}^{b,n}, A_{j,m}^{(x,y)}$	Antenna gain during sensing between a BS at $X_{j,m}$ and a sensing node. The sensing node is a BS at $X_{j,m}$, and a UE at $U_{x,y}$, respectively
N_0, W, N_F, N_f	Noise PSD per Hz, receiver bandwidth, noise figure, and receiver noise floor
$B_{x,y}(r), B_0(r)$	Ball of radius r centered at $U_{x,y}$ and $(0, 0)$, respectively
$B_0(D_L(r)), B_0(D_N(r))$	Interference exclusion zones due to association rule; association distance is r meters
$\mathcal{R}_{L,h}, \mathcal{R}_{L,d}, \mathcal{R}_{N,h}, \mathcal{R}_{N,d}$	Interference exclusion zones due to CS from LoS hidden, LoS deaf, NLoS hidden, and NLoS deaf interferers, respectively.
R, \bar{R}	Random variable representing association distance, and average association distance
\bar{N}_c	Average number of contenders to a CS node

can be silent only because of CS, not due to the lack of downlink load/requests.

Now, consider a downlink time slot of the typical UE, where the sensing node has assessed the channel to be free, and the typical UE is receiving downlink signals from its associated BS, located at $X_{b,n}$. In the context of downlink transmissions, the BSs are the transmitters and the UEs are the receivers.² Thus, the sensing node is the typical UE itself or its associated BS, depending on whether CSR or CST is used. At any point of time, t , during this downlink time slot of the typical UE, all the BSs (except the typical UE's associated BS) can be divided into two classes: \mathcal{B}_h and \mathcal{B}_d . \mathcal{B}_h is the set of BSs whose last CS phase, prior to t , precedes the CS of BS at $X_{b,n}$. In contrast, \mathcal{B}_d is the set of BSs whose last CS phase, prior to t , succeeds the CS of $X_{b,n}$. For example, in Fig. 1, the top two rows are time slots of BSs belonging to \mathcal{B}_h , and the bottom two rows are time slots of BSs belonging to \mathcal{B}_d . Although we define \mathcal{B}_h and \mathcal{B}_d in terms of CS by BSs, in reality, the CS is performed by the BSs themselves or their scheduled UEs depending on whether CST or CSR is used. We use the phrase 'CS by BS' for concisely defining \mathcal{B}_h and \mathcal{B}_d . Additionally, the distinction between CST and CSR is not relevant for distinguishing \mathcal{B}_h from \mathcal{B}_d . Next, we use \mathcal{B}_h and \mathcal{B}_d to define hidden and deaf interferers, respectively.

2) *Hidden Interferers*: Among the set of BSs in \mathcal{B}_h , a subset of it would not be engaged in downlink transmission due to their own respective CS. Let us denote this subset as $\mathcal{B}_{h,0}$, where the 0 indicates no transmission. The remaining BSs in \mathcal{B}_h , denoted by $\mathcal{B}_{h,1}$, would be engaged in downlink transmissions. For example, among the two BSs belonging to \mathcal{B}_h in Fig. 1, one is silent and the other is active. We define the hidden interferers based on $\mathcal{B}_{h,1}$. Among the BSs belonging

to $\mathcal{B}_{h,1}$, we call a BS to be a hidden interferer if it causes interference to the typical UE. We present the mathematical conditions for a BS to be a hidden interferer in the following.

Lemma 1: A BS at $X_{j,m}$, belonging to $\mathcal{B}_{h,1}$, is a hidden interferer to the typical UE, if:

$$\begin{aligned}
 \text{CST: } & C_{\tau(j,m)}^{b,n} A_{j,m}^{b,n} \|X_{j,m} - X_{b,n}\|^{-\alpha_{\tau(j,m)}^{b,n}} < P_{th}/P_X \\
 & \text{and } C_{\tau(j,m)} F_{j,m} G_{j,m} \|X_{j,m}\|^{-\alpha_{\tau(j,m)}} > N_f/P_X \\
 \text{CSR: } & C_{\tau(j,m)} A_{j,m} \|X_{j,m}\|^{-\alpha_{\tau(j,m)}} < P_{th}/P_X \\
 & \text{and } C_{\tau(j,m)} F_{j,m} G_{j,m} \|X_{j,m}\|^{-\alpha_{\tau(j,m)}} > N_f/P_X
 \end{aligned} \tag{2}$$

where $A_{j,m}^{b,n}$ is the antenna gain during sensing between the BS at $X_{j,m}$ and the sensing BS at $X_{b,n}$. $A_{j,m}$ is the antenna gain during sensing between the BS at $X_{j,m}$ and the typical UE acting as the sensing node. Recall from Section II that for any variable associated a link, we omit the superscript when the UE is at $(0, 0)$. Hence, $C_{\tau(j,m)}^{(0,0)} = C_{\tau(j,m)}$, $A_{j,m}^{(0,0)} = A_{j,m}$, $F_{j,m}^{(0,0)} = F_{j,m}$, and $G_{j,m}^{(0,0)} = G_{j,m}$. $N_f = N_0 W + N_F$ is the noise floor. N_0 is the power spectral density (PSD) of the thermal noise, and N_F is the noise figure of the UE's receiver.

Proof: Since the channel has been assessed to be free for downlink transmission to the typical UE, the sum of the received power (averaged over fading randomness) from all the BSs belonging to $\mathcal{B}_{h,1}$ is below the sensing threshold, P_{th} , at the typical UE or its associated BS at $X_{b,n}$, depending on whether CSR or CST is used. Mathematically,

$$\begin{aligned}
 \text{CST: } & \sum_{m \in \mathcal{O}} \sum_{\substack{j \\ X_{j,m} \in \mathcal{B}_{h,1} \\ (j,m) \neq (b,n)}} P_X C_{\tau(j,m)}^{b,n} A_{j,m}^{b,n} \|X_{j,m} - X_{b,n}\|^{-\alpha_{\tau(j,m)}^{b,n}} \\
 & < P_{th}
 \end{aligned}$$

²While our analysis can be extended for uplink transmissions, in this paper we consider downlink transmissions only.

$$\begin{aligned} \text{CSR: } & \sum_{m \in \mathcal{O}} \sum_{j: X_{j,m} \in \mathcal{B}_{h,1} \atop (j,m) \neq (b,n)} P_X C_{\tau(j,m)} A_{j,m} \|X_{j,m}\|^{-\alpha_{\tau(j,m)}} \\ & < P_{th} \end{aligned} \quad (3)$$

Since the received power from one BS, belonging to $\mathcal{B}_{h,1}$, is less than the sum of the received power from all the BSs in $\mathcal{B}_{h,1}$, we can write,

$$\begin{aligned} \text{CST: } & P_X C_{\tau(j,m)}^{b,n} A_{j,m}^{b,n} \|X_{j,m} - X_{b,n}\|^{-\alpha_{\tau(j,m)}^{b,n}} \\ & < \sum_{m \in \mathcal{O}} \sum_{j: X_{j,m} \in \mathcal{B}_{h,1} \atop (j,m) \neq (b,n)} P_X C_{\tau(j,m)}^{b,n} A_{j,m}^{b,n} \\ & \quad \times \|X_{j,m} - X_{b,n}\|^{-\alpha_{\tau(j,m)}^{b,n}} \end{aligned}$$

$$\begin{aligned} \text{CSR: } & P_X C_{\tau(j,m)} A_{j,m} \|X_{j,m}\|^{-\alpha_{\tau(j,m)}} \\ & < \sum_{m \in \mathcal{O}} \sum_{j: X_{j,m} \in \mathcal{B}_{h,1} \atop (j,m) \neq (b,n)} P_X C_{\tau(j,m)} A_{j,m} \|X_{j,m}\|^{-\alpha_{\tau(j,m)}} \end{aligned}$$

Now, combining the above inequality for CST with the CST inequality in (3), we get the first inequality for CST in (2). Similarly, combining the above inequality for CSR with the CSR inequality in (3), we get the first inequality for CSR in (2). The second inequality in (2), which is same for both CST and CSR, indicates that the BS at $X_{j,m}$ causes interference to the typical UE. By interference, we imply undesired power that is above the noise floor. Hence, a BS located at $X_{j,m}$ causes interference to the typical UE if, $P_X C_{\tau(j,m)} F_{j,m} G_{j,m} \|X_{j,m}\|^{-\alpha_{\tau(j,m)}} > N_f$. ■

3) *Deaf Interferers*: In contrast to the hidden interferers, deaf interferers are a subset of \mathcal{B}_d . Similar to \mathcal{B}_h , a subset of \mathcal{B}_d would be silent and the rest active, i.e., $\mathcal{B}_d = \mathcal{B}_{d,0} \cup \mathcal{B}_{d,1}$, with $\mathcal{B}_{d,0} \cap \mathcal{B}_{d,1} = \emptyset$. In Fig. 1, among the two BSs belonging to \mathcal{B}_d one is silent and the other is active. We define deaf interferers based on $\mathcal{B}_{d,1}$. We call a BS, belonging to $\mathcal{B}_{d,1}$, a deaf interferer if it causes interference to the typical UE. The mathematical conditions for a BS to be a deaf interferer are given in the following lemma.

Lemma 2: A BSs at $X_{j,m}$, belonging to $\mathcal{B}_{d,1}$, is a deaf interferer to the typical UE, if:

$$\begin{aligned} \text{CST: } & C_{\tau(b,n)}^{j,m} A_{b,n}^{j,m} \|X_{b,n} - X_{j,m}\|^{-\alpha_{\tau(b,n)}^{j,m}} < P_{th}/P_X \\ & \text{and } C_{\tau(j,m)} H_{j,m} G_{j,m} \|X_{j,m}\|^{-\alpha_{\tau(j,m)}} > N_f/P_X \\ \text{CSR: } & C_{\tau(b,n)}^{(x,y)} A_{b,n}^{(x,y)} \|X_{b,n} - U_{x,y}\|^{-\alpha_{\tau(b,n)}^{(x,y)}} < P_{th}/P_X \\ & \text{and } C_{\tau(j,m)} H_{j,m} G_{j,m} \|X_{j,m}\|^{-\alpha_{\tau(j,m)}} > N_f/P_X \end{aligned} \quad (4)$$

where $U_{x,y}$ is the location of the scheduled UE of the BS at $X_{j,m}$.

Proof: The first inequality in (4), for both CST and CSR, can be derived using a similar procedure as in the proof of Lemma 1. However, in this case, the sensing node is not the typical UE or its associated BS; rather the sensing node is a UE located at $U_{x,y}$ (or the UE's associated BS) whose CS phase starts while the typical UE is already receiving downlink signals. Hence, in (4), both for CST and CSR, the first inequality is based on the fact that the sensing node for

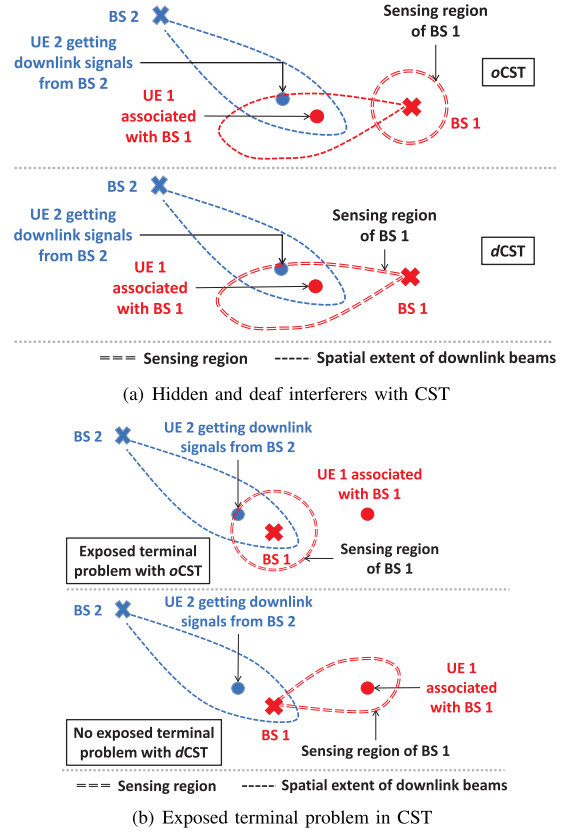


Fig. 2. (a) shows that neither *oCST* nor *dCST* can eliminate hidden and deaf interferers. (b) shows that *dCST* can alleviate the exposed terminal problem significantly, compared to *dCST*.

$X_{j,m}$ could not detect the ongoing downlink transmission from the BS at $X_{b,n}$ to the typical UE. In case of CST, the sensing node is the BS itself at $X_{j,m}$, and in case of CSR, the sensing node is the UE at $U_{x,y}$. Similar to (2), the second inequality in (4) is same for both CST and CSR and indicates that the BS at $X_{j,m}$ causes interference to the typical UE. ■

Remark: Both hidden interferers and deaf interferers fall under the general class of hidden terminals. In our context, the distinction between hidden and deaf interferers is crucial as neither CST nor CSR can tackle the deaf interferers, as explained in the next section.

C. Tackling Hidden and Deaf Interferers

In this section, we describe the CS protocols' capability in tackling hidden and deaf interferers.

1) *CS at Transmitter (CST)*: CST can neither eliminate hidden interferers, nor deaf interferers, irrespective of whether the sensing is done omnidirectionally (*oCST*) or directionally (*dCST*), as shown with the help of an example in Fig. 2(a). For both the cases in Fig. 2(a), UE 1 is within the main lobe of BS 2, but still BS 1 transmits downlink signals to UE 1, because BS 1 cannot sense the ongoing transmissions of BS 2. Thus, the downlink signals of both the UEs experience interference. In this example, BS 2 is a hidden interferer to UE 1, and BS 1 is a deaf interferer to UE 2. For CST, the sensing antenna gains (combining transmitter

and sensing node) are:

$$\begin{aligned} \text{oCST: } A_{j,m}^{b,n} &= A_{b,n}^{j,m} \\ &= \begin{cases} M_{BS}(M_{BS} \times 10^{-0.7}) \text{ w.p. } \frac{\theta_{BS}}{2\pi} \\ m_{BS}(M_{BS} \times 10^{-0.7}) \text{ w.p. } (1 - \frac{\theta_{BS}}{2\pi}) \end{cases} \end{aligned} \quad (5)$$

$$\begin{aligned} \text{dCST: } A_{j,m}^{b,n} &= A_{b,n}^{j,m} \\ &= \begin{cases} M_{BS}M_{BS} \text{ w.p. } (\frac{\theta_{BS}}{2\pi})(\frac{\theta_{BS}}{2\pi}) \\ M_{BS}m_{BS} \text{ w.p. } (\frac{\theta_{BS}}{2\pi})(1 - \frac{\theta_{BS}}{2\pi}) \\ m_{BS}M_{BS} \text{ w.p. } (1 - \frac{\theta_{BS}}{2\pi})(\frac{\theta_{BS}}{2\pi}) \\ m_{BS}m_{BS} \text{ w.p. } (1 - \frac{\theta_{BS}}{2\pi})(1 - \frac{\theta_{BS}}{2\pi}) \end{cases} \end{aligned} \quad (6)$$

In case of *oCST*, the CS is performed by the BS using an omnidirectional antenna pattern. Since we consider ULAs, each BS uses three sectors/panels, each of $\frac{2\pi}{3}$ radians, for uniform coverage across the azimuth. For realising an omnidirectional sensing pattern, each antenna array panel must be able to sense across $\frac{2\pi}{3}$ radians. However, realising a $\frac{2\pi}{3}$ radians beam width with high fidelity is not possible for mmWave ULAs [22]. Consequently, we assume that in case of *oCST*, the CS is done via a quasi-omnidirectional antenna pattern [23], i.e., the gain across a $\frac{2\pi}{3}$ radians wide beam is not constant, but it may vary randomly. To model this quasi-omnidirectional antenna pattern, we use the main lobe gain but penalise it by 7 dB to accommodate the randomness in the gain.³ For this reason, the sensing antenna gain for *oCST* is $M_{BS} \times 10^{-0.7}$. The probabilities in (5) are based on whether the sensing BS is within the main lobe of the transmitting BS or not. In case of *dCST*, the sensing antenna gain in (6) is self explanatory, based on our step function antenna model described in Section II.

dCST has an advantage over *oCST*. We explain this with the help of an example in Fig. 2(b). In this figure, BS 2 would cause no interference to UE 1, but still, due to omnidirectional sensing (*oCST*), BS 1 is going to defer its downlink transmission to UE 1. This problem, known as the exposed terminal problem, causes under utilization of the shared spectrum. In contrast, due to the use of directional sensing (*dCST*), the problem of exposed terminal is significantly reduced, as shown in the bottom part of Fig. 2(b). In this figure, the main lobe beams of BS 1 (sensing) and BS 2 (transmitting) are not aligned. Hence, BS 1 senses low channel power, and starts downlink transmission to UE 1. BS 2 does not cause interference to the signals from BS 1 to UE 1.

When multiple BSs are at different heights on the same tower, they cannot sense each other's ongoing transmission via *oCST* or *dCST*. When these undetectable co-located BSs act as hidden interferers, they may produce interference as strong as the desired signal at a UE. We show an example scenario in Fig. 3(a) with two co-located BSs. In this figure,

³We choose the value of penalty due to quasi-omnidirectional antenna pattern to be 7 dB because it has been reported that the fluctuations in main lobe gain could be around 7-10 dB due to the lack of high fidelity [22].

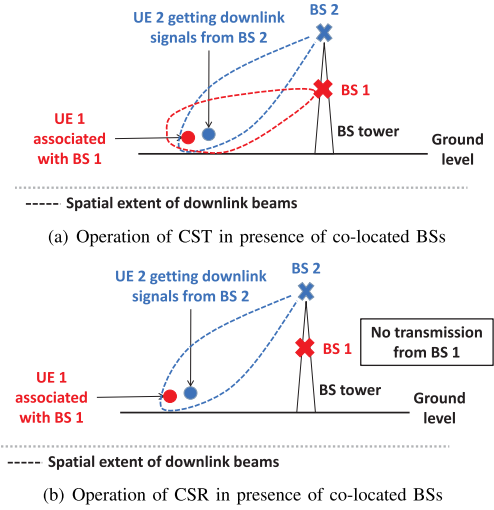


Fig. 3. Figure showing that CST cannot avoid interference from co-located BSs, but CSR can.

BS 1 is unable to sense the ongoing transmissions from BS 2. Thus, BS 1 starts transmitting downlink signals to UE 1, and BS 2 becomes a hidden interferer for UE 1.

2) *Carrier Sensing at Receiver (CSR)*: Due to the above-described disadvantages with CST, we explore the option of CS at the receivers, i.e., at the UEs, in the context of downlink transmissions. If a scheduled UE senses the channel to be free, it informs its associated BS. Only then, the associated BS transmits downlink signals to the UE. Similar to CST, CSR can be directional (*dCSR*) or omnidirectional (*oCSR*). Now, let us revisit the problem of interference from co-located BSs using Fig. 3(b). If UE 1 performs CS while BS 2 is transmitting downlink signals to UE 2, UE 1 will measure a significant amount of power in the channel. Hence, it would not inform BS 1 that the channel is free, and BS 1 will not transmit any signal. Thus, CSR can resolve the problem of co-located BSs acting as hidden interferers. More generally, CSR can eliminate interference from (almost) all the hidden interferers, as long as the sensing threshold, P_{th} , is not much higher than the noise floor, N_f . In case of *oCSR*, the sensing antenna gain is given by:

$$\begin{aligned} A_{j,m} &= A_{b,n}^{(x,y)} \\ &= \begin{cases} M_{BS}(M_{UE} \times 10^{-0.7}) \text{ w.p. } \frac{\theta_{BS}}{2\pi} \\ m_{BS}(M_{UE} \times 10^{-0.7}) \text{ w.p. } (1 - \frac{\theta_{BS}}{2\pi}) \end{cases} \end{aligned} \quad (7)$$

Similar to (5), here we add a penalty term due to the omnidirectional sensing of the UE. In case of *dCSR*, the sensing antenna gains are $A_{j,m} = G_{j,m}$ and $A_{b,n}^{(x,y)} = G_{b,n}^{(x,y)}$, where $G_{j,m}$ and $G_{b,n}^{(x,y)}$ have the same distribution as $G_{j,m}^{(x,y)}$ (defined in (1)).

CSR can tackle the hidden interferers, but it cannot prevent interference from the deaf interferers as shown in Fig. 4(a). In this figure, BS 2 is transmitting downlink signals to UE 2, which has assessed the channel to be free via CSR. During the timeslot of downlink transmission to UE 2, UE 1 performs CSR (Fig. 4(a) is for *dCSR*, but a similar figure can be drawn for *oCSR*) and fails to sense the ongoing transmissions

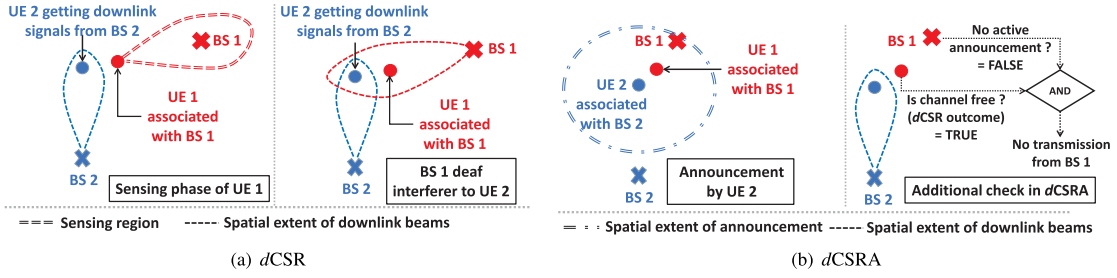


Fig. 4. Figures showing deaf interferers. (a) shows the deaf interference problem associated with *dCSR*. (b) shows protection from deaf interferers by announcement.

from BS 2. Hence, UE 1 informs its associated BS, BS 1, to transmit downlink signals. This results in BS 1 becoming a deaf interferer to UE 2. Note that, the imperfect CS by UE 1 does not affect itself, rather it affects UE 2.

3) *dCSR With Announcements (dCSRA)*: To address the problem of deaf interferers, we propose *dCSRA* with announcements and explain its working using Fig. 4(b). Tackling deaf interferers requires interference protection beyond CS. Thus, in *dCSRA*, if a UE (UE 2 in Fig. 4(b)) assesses the channel to be unoccupied via *dCSR*, it sends out a few broadcast announcements. If a BS (BS 1 in Fig. 4(b)) hears this announcement, it would detect the presence of a UE in its vicinity. Now, even if this BS's scheduled UE (UE 1 in Fig. 4(b)) incorrectly assesses the channel to be free, this BS would refrain from transmission and prevent being a deaf interferer to the UE that had made the announcement previously.

Remark: There are a few important points to note about *dCSRA*. First, we use *dCSRA* instead of *oCSRA* to reduce the problem of exposed terminals. Second, for a BS to hear the announcements, it must be silent (not transmitting). The BSs that are close to an announcing UE are assured to be silent; otherwise, the UE would not have assessed the channel to be free in the first place. In contrast, the BSs that are farther away from an announcing UE may not hear the announcements and may cause deaf interference. However, such interference would not be severe as the interferers are farther away from the UE. Thus, *dCSRA* cannot get rid of all the deaf interferers, but it prevents the strong ones. Third, while listening for the announcements from a UE, the BSs use a sensing threshold, P_{th}^A , that can be different from P_{th} , as the UEs transmit at a different power than the BSs. Finally, the announcements by a UE are sent out omnidirectionally, as shown in Fig. 4(b), because a deaf interferer can be anywhere around a UE. However, the BSs listen for the announcements in a directional way because their interference would be strongest along their main lobe while they act as deaf interferers. Hence, it is logical for the BSs to use higher sensing gain along their main lobe (directed towards the next scheduled UE) when listening for the UEs' announcements. Thus, the antenna gain while listening for announcements is $A_{(x,y)}^{j,m}$, whose distribution is same as that of $A_{j,m}^{(x,y)}$ in (7). In Section V, we show that instead of omnidirectional announcements, a UE can also use directional announcements (directed towards its associated BS), and have comparable coverage probability for higher values of SINR. With directional announcements, $A_{(x,y)}^{j,m}$ has the same distribution as $G_{j,m}^{(x,y)}$ in (1).

IV. COVERAGE PROBABILITY ANALYSIS

In this section, we analyze the downlink coverage probability of a UE in our considered mmWave network using stochastic geometry. We first build a general framework for a UE's downlink coverage probability analysis in the presence of CS. Then, we use this framework for deriving a UE's coverage probability for the different CS protocols discussed in Section III.

For our analysis, we consider a typical UE, and assume that it is a subscriber of network n , and its associated BS is located at $X_{b,n}$. We define \mathbb{T} as the event that the typical UE receives downlink signals from its associated BS, and $p_{\mathbb{T}}$ as the probability of \mathbb{T} . Thus, $p_{\mathbb{T}}$ is the probability that a CS node assesses the channel to be free. We define L_S and N_S as two sub-processes of Φ_S ; $S \in \mathcal{P}(\mathcal{O})$; $n \in \mathcal{S}$, such that L_S and N_S consist of the elements of Φ_S that have LoS and NLoS links, respectively, with the typical UE. We define R as a random variable that denotes the link distance between the typical UE and its associated BS, belonging to L_S or N_S . $f_R(r, L_S)$ and $f_R(r, N_S)$ are the probability density functions (PDF) of R when $X_{b,n} \in L_S$ and $X_{b,n} \in N_S$, respectively.

Theorem 1: In the presence of CS, the downlink coverage probability of a typical UE, during its scheduled time slot, is:

$$P_c(Z) = p_{\mathbb{T}} \int_{r=0}^{\infty} \sum_{\substack{S \in \mathcal{P}(\mathcal{O}) \\ n \in \mathcal{S}}} \left[e^{-\sigma^2 s_L} \mathcal{L}_{I(L_S)|R, \mathbb{T}}(s_L) f_R(r, L_S) + e^{-\sigma^2 s_N} \mathcal{L}_{I(N_S)|R, \mathbb{T}}(s_N) f_R(r, N_S) \right] dr \quad (8)$$

where $s_L = \frac{r^{\alpha_{L(b,n)}} Z}{C_{L(b,n)} G_{b,n}}$ and $s_N = \frac{r^{\alpha_{N(b,n)}} Z}{C_{N(b,n)} G_{b,n}}$, with $G_{b,n} = M_{BS} M_{UE}$. $I(L_S)|R, \mathbb{T}$ and $I(N_S)|R, \mathbb{T}$ represent the normalized interference (normalized by P_X) to the typical UE when $X_{b,n} \in L_S$ and $X_{b,n} \in N_S$, respectively, conditioned on $R = r$ and \mathbb{T} . Finally, $\mathcal{L}_{I(L_S)|R, \mathbb{T}}$ and $\mathcal{L}_{I(N_S)|R, \mathbb{T}}$ are the Laplace transform of $I(L_S)|R, \mathbb{T}$, and $I(N_S)|R, \mathbb{T}$, respectively.

Proof: Due to our PPP based modeling and Slivnyak's theorem [9], the CCDF of any UE's SINR in the considered region would be same as the CCDF of the typical UE's SINR. The typical UE's coverage probability can be written as:

$$\begin{aligned} P_c(Z) &= \sum_{\substack{S \in \mathcal{P}(\mathcal{O}) \\ n \in \mathcal{S}}} P_c(Z, \Phi_S) \\ &= \sum_{\substack{S \in \mathcal{P}(\mathcal{O}) \\ n \in \mathcal{S}}} P_c(Z, L_S) + P_c(Z, N_S) \end{aligned} \quad (9)$$

where $P_c(Z, \Phi_S)$ is the probability that the typical UE is in SINR coverage of Z , while $X_{b,n} \in \Phi_S$. $P_c(Z, L_S)$ and $P_c(Z, N_S)$ are the probabilities that the typical UE is in SINR coverage of Z , while $X_{b,n} \in L_S$ and $X_{b,n} \in N_S$, respectively. In (9), the first equality results from the independence of the elements of $\{\Phi_S\}$. Thus, the events of the typical UE's association with different elements of $\{\Phi_S\}$ are disjoint. Further, the second equality in (9), results from the fact that for any Φ_S , the events of the typical UE's association with L_S and N_S are also disjoint. Next, we analyze the terms $P_c(Z, L_S)$ and $P_c(Z, N_S)$. Towards that goal, let us consider the term $P_c(Z, \tau_S)$ where $\tau_S \in \{L_S, N_S\}$. Thus, $P_c(Z, \tau_S) = P_c(Z, L_S)$, if $\tau_S = L_S$, and $P_c(Z, \tau_S) = P_c(Z, N_S)$, if $\tau_S = N_S$. We can write $P_c(Z, \tau_S)$ as,

$$\begin{aligned} P_c(Z, \tau_S) &= \int_{r=0}^{\infty} P(\text{SINR} > Z \cap \mathbb{A}_{\tau_S} \cap \mathbb{T} | R = r) f_R(r, \tau_S) dr \\ &= \int_{r=0}^{\infty} P(\text{SINR} > Z \cap \mathbb{A}_{\tau_S} | \mathbb{T}, R = r) P(\mathbb{T} | R = r) \\ &\quad \times f_R(r, \tau_S) dr \\ &= p_{\mathbb{T}} \int_{r=0}^{\infty} P(\text{SINR} > Z \cap \mathbb{A}_{\tau_S} | \mathbb{T}, R = r) f_R(r, \tau_S) dr \end{aligned} \quad (10)$$

where \mathbb{A}_{τ_S} is the event of the typical UE's association with a BS belonging to τ_S . We call $P(\mathbb{T} | R = r)$ the transmission probability as downlink transmission to the UE happens only when the sensing node finds the channel to be free. $P(\mathbb{T} | R = r)$ depends on the number of contending transmitters (BSs) in the sensing region of the CS node. Due to our association criteria (see Section II), there is an interference exclusion zone (dependent on the association distance, r) around the typical UE. If this interference exclusion zone overlaps with the sensing region of the CS node for the typical UE, then the number of contenders become dependent on the association distance, r . However, in (10), we assume that the CS outcome does not depend on the association distance, i.e., $P(\mathbb{T} | R = r) = P(\mathbb{T}) = p_{\mathbb{T}}$. We make this assumption because we also need the transmission probability of the interferers to the typical UE for quantifying the interference, and it would be very difficult (if at all possible) to separately analyze the transmission probabilities of all the BS based on the distances of their associated UEs. Hence, instead of the association distance, r , we use the average association distance, \bar{R} , for analyzing the transmission probability as described later in Section IV-B. This way, the transmission probability becomes independent of r and the same $p_{\mathbb{T}}$ can be used for all the BSs.

Let us now focus on $P(\text{SINR} > Z \cap \mathbb{A}_{\tau_S} | \mathbb{T}, R = r)$, the conditional coverage probability.

Lemma 3: The conditional coverage probability of the typical UE is,

$$P(\text{SINR} > Z \cap \mathbb{A}_{\tau_S} | \mathbb{T}, R = r) = e^{-\sigma^2 s_{\tau}} \mathcal{L}_{I(\tau_S)|_{R, \mathbb{T}}}(s_{\tau}) \quad (11)$$

where $\sigma^2 = \frac{N_f}{P_X}$. In (11), and subsequently in this paper, we use the following convention. If $\tau_S = L_S$, then $\tau = L$

and $\tau^c = N$; otherwise, if $\tau_S = N_S$, then $\tau = N$ and $\tau^c = L$. Accordingly, if $\tau_S = L_S$, then $s_{\tau} = s_L$ and $\mathcal{L}_{I(\tau_S)|_{R, \mathbb{T}}} = \mathcal{L}_{I(L_S)|_{R, \mathbb{T}}}$; otherwise, if $\tau_S = N_S$, then $s_{\tau} = s_N$ and $\mathcal{L}_{I(\tau_S)|_{R, \mathbb{T}}} = \mathcal{L}_{I(N_S)|_{R, \mathbb{T}}}$.

Proof: See Appendix A in [24] for the proof of Lemma 3. ■

Finally, using (11) in (10), and the resulting expression in (9), we get (8). ■

To evaluate the coverage probability in (8), we need the expressions for $f_R(r, \tau_S)$, $\mathcal{L}_{I(\tau_S)|_{R, \mathbb{T}}}$, and $p_{\mathbb{T}}$. First, we present the expression for $f_R(r, \tau_S)$. Then, we analyze $\mathcal{L}_{I(\tau_S)|_{R, \mathbb{T}}}$ and $p_{\mathbb{T}}$ in Section IV-A and Section IV-B, respectively. $f_R(r, \tau_S)$ can be obtained as in [14], where authors investigate sharing of mmWave spectrum and BS sites among operators without any CS protocol. Since the association happens before CS, the CS protocols have no impact on $f_R(r, \tau_S)$. Thus, the expression for $f_R(r, \tau_S)$ is [14]:

$$\begin{aligned} f_R(r, \tau_S) &= 2\pi\lambda_S r p_{\tau}(r) e^{-v_{S, \tau}(r) - v_{S, \tau^c}(D_{\tau^c}(r))} \\ &\quad \times \prod_{\substack{S' \in \mathcal{P}(\mathcal{O}) \setminus S \\ n \in S'}} e^{-v_{S', \tau}(r) - v_{S', \tau^c}(D_{\tau^c}(r))} \end{aligned} \quad (12)$$

Following our convention regarding τ , if $\tau_S = L_S$, then $p_{\tau}(r) = p_L(r)$, $v_{S, \tau}(r) = v_{S, L}(r)$, $v_{S, \tau^c}(r) = v_{S, N}(r)$, and $D_{\tau^c}(r) = D_N(r)$. If $\tau_S = N_S$, then $p_{\tau}(r) = p_N(r)$, $v_{S, \tau}(r) = v_{S, N}(r)$, $v_{S, \tau^c}(r) = v_{S, L}(r)$, and $D_{\tau^c}(r) = D_L(r)$. The expressions for $v_{S, L}(r)$ and $v_{S, N}(r)$ are $2\pi\lambda_S \int_0^r p_L(t) t dt$ and $2\pi\lambda_S \int_0^r p_N(t) t dt$, respectively. Lastly,

$$\begin{aligned} D_L(r) &= \left(\frac{C_L}{C_N}\right)^{\frac{1}{\alpha_L}} \times r^{\frac{\alpha_N}{\alpha_L}} \text{ and} \\ D_N(r) &= \left(\frac{C_N}{C_L}\right)^{\frac{1}{\alpha_N}} \times r^{\frac{\alpha_L}{\alpha_N}} \end{aligned} \quad (13)$$

$D_L(r)$ is the radius of the interference exclusion zone (circular) of LoS interferers belonging to network n when the typical UE and its associated BS has a NLoS link of distance r meters. $D_N(r)$ is the radius of the interference exclusion zone (circular) of NLoS interferers belonging to network n when the typical UE and its associated BS has a LoS link of distance r meters.

A. Laplace Transform of Interference

In this section we analyze the Laplace transform of interference, $\mathcal{L}_{I(\tau_S)|_{R, \mathbb{T}}}(s_{\tau})$. For compactness, we remove $\cdot | R, \mathbb{T}$ from all the terms with the understanding that all the following analysis is for interference to the typical UE, conditioned on $R = r$ and \mathbb{T} . Thus we use, $I(\tau_S)$ for $I(\tau_S | R, \mathbb{T})$ and $\mathcal{L}_{I(\tau_S)}(s_{\tau})$ for $\mathcal{L}_{I(\tau_S)|_{R, \mathbb{T}}}(s_{\tau})$. In the following two lemmas, we first present the expression for $\mathcal{L}_{I(L_S)}(s_L)$, and then for $\mathcal{L}_{I(N_S)}(s_N)$. Before that, we define $\mathcal{R}_{L, h}$, $\mathcal{R}_{L, d}$, $\mathcal{R}_{N, h}$, and $\mathcal{R}_{N, d}$. $\mathcal{R}_{L, h}$ and $\mathcal{R}_{L, d}$ are the interference exclusion zone around the typical UE where LoS hidden interferers and LoS deaf interferers, respectively, cannot be present due to CS.

Similarly, $\mathcal{R}_{N,h}$ and $\mathcal{R}_{N,d}$ are the interference exclusion zone around the typical UE where NLoS hidden interferers and NLoS deaf interferers, respectively, cannot be present due to CS.

Lemma 4: The Laplace transform of $I(L_S)$ is given by (14), shown at the bottom of the page, where $u_{L,h}(s_L, t) = 1$, if $t \in \mathcal{R}_{L,h}$, otherwise $u_{L,h}(s_L, t) = u_L(s_L, t)$; $u_{L,d}(s_L, t) = 1$ if $t \in \mathcal{R}_{L,d}$, otherwise $u_{L,d}(s_L, t) = u_L(s_L, t)$; $u_{N,h}(s_L, t) = 1$ if $t \in \mathcal{R}_{N,h}$, otherwise $u_{N,h}(s_L, t) = u_N(s_L, t)$; $u_{N,d}(s_L, t) = 1$ if $t \in \mathcal{R}_{N,d}$, otherwise $u_{N,d}(s_L, t) = u_N(s_L, t)$. Finally, $u_L(s_L, t)$ is:

$$\begin{aligned} u_L(s_L, t) &= \left(1 - \frac{p_T}{2}\right) \left[\frac{\theta_{BS}}{2\pi} \frac{\theta_{UE}}{2\pi} + \frac{\theta_{BS}}{2\pi} \left(1 - \frac{\theta_{UE}}{2\pi}\right) + \left(1 - \frac{\theta_{BS}}{2\pi}\right) \right. \\ &\quad \left. \times \frac{\theta_{UE}}{2\pi} + \left(1 - \frac{\theta_{BS}}{2\pi}\right) \left(1 - \frac{\theta_{UE}}{2\pi}\right) \right] \\ &\quad + \frac{p_T}{2} \left[\frac{(\theta_{BS}/2\pi)(\theta_{UE}/2\pi)}{1 + s_L C_L M_{BS} M_{UE} t^{-\alpha_L}} \right. \\ &\quad \left. + \frac{(\theta_{BS}/2\pi)(1 - \theta_{UE}/2\pi)}{1 + s_L C_L M_{BS} m_{UE} t^{-\alpha_L}} \right. \\ &\quad \left. + \frac{(1 - \theta_{BS}/2\pi)(\theta_{UE}/2\pi)}{1 + s_L C_L m_{BS} M_{UE} t^{-\alpha_L}} \right. \\ &\quad \left. + \frac{(1 - \theta_{BS}/2\pi)(1 - \theta_{UE}/2\pi)}{1 + s_L C_L m_{BS} m_{UE} t^{-\alpha_L}} \right] \end{aligned}$$

$u_N(s_L, t)$ is same as $u_L(s_L, t)$, but C_L replaced C_N and α_L replaced by α_N .

Proof: See Appendix B in [24] for the proof. ■

Lemma 5: The Laplace transform of $I(N_S)$ is given by (15), shown at the bottom of the page, where $u_{L,h}(s_N, t)$, $u_{L,d}(s_N, t)$, $u_{N,h}(s_N, t)$, and $u_{N,d}(s_N, t)$ are same as $u_{L,h}(s_L, t)$, $u_{L,d}(s_L, t)$, $u_{N,h}(s_L, t)$, and $u_{N,d}(s_L, t)$, respectively, with s_L replaced by s_N in the respective expressions.

Proof: See Appendix C in [24] for the proof. ■

B. Transmission Probability

In this section, we analyze the transmission probability, p_T . As mentioned before, we assume that all the BSs use the same

$$\begin{aligned} \mathcal{L}_{I(L_S)}(s_L) &= u_{L,h}(s_L, r)^{|S|-1} \cdot u_{L,d}(s_L, r)^{|S|-1} \\ &\quad \cdot \prod_{\substack{S'' \in \mathcal{P}(\mathcal{O}) \\ n \notin S}} \exp\left(-2\pi\lambda_{S''} \int_{t=0}^{\infty} (1 - u_{L,h}(s_L, t)^{|S''|}) tp_L(t) dt\right) \cdot \prod_{\substack{S' \in \mathcal{P}(\mathcal{O}) \\ n \in S'}} \exp\left(-2\pi\lambda_{S'} \int_{t=r}^{\infty} (1 - u_{L,h}(s_L, t)^{|S'|}) tp_L(t) dt\right) \\ &\quad \cdot \prod_{\substack{S'' \in \mathcal{P}(\mathcal{O}) \\ n \notin S}} \exp\left(-2\pi\lambda_{S''} \int_{t=0}^{\infty} (1 - u_{L,d}(s_L, t)^{|S''|}) tp_L(t) dt\right) \cdot \prod_{\substack{S' \in \mathcal{P}(\mathcal{O}) \\ n \in S'}} \exp\left(-2\pi\lambda_{S'} \int_{t=r}^{\infty} (1 - u_{L,d}(s_L, t)^{|S'|}) tp_L(t) dt\right) \\ &\quad \cdot \prod_{\substack{S'' \in \mathcal{P}(\mathcal{O}) \\ n \notin S''}} \exp\left(-2\pi\lambda_{S''} \int_{t=0}^{\infty} (1 - u_{N,h}(s_L, t)^{|S''|}) tp_N(t) dt\right) \cdot \prod_{\substack{S' \in \mathcal{P}(\mathcal{O}) \\ n \in S'}} \exp\left(-2\pi\lambda_{S'} \int_{t=D_N(r)}^{\infty} (1 - u_{N,h}(s_L, t)^{|S'|}) tp_N(t) dt\right) \\ &\quad \cdot \prod_{\substack{S'' \in \mathcal{P}(\mathcal{O}) \\ n \notin S''}} \exp\left(-2\pi\lambda_{S''} \int_{t=0}^{\infty} (1 - u_{N,d}(s_L, t)^{|S''|}) tp_N(t) dt\right) \cdot \prod_{\substack{S' \in \mathcal{P}(\mathcal{O}) \\ n \in S'}} \exp\left(-2\pi\lambda_{S'} \int_{t=D_N(r)}^{\infty} (1 - u_{N,d}(s_L, t)^{|S'|}) tp_N(t) dt\right) \end{aligned} \quad (14)$$

$$\begin{aligned} \mathcal{L}_{I(N_S)}(s_N) &= u_{N,h}(s_N, r)^{|S|-1} \cdot u_{N,d}(s_N, r)^{|S|-1} \\ &\quad \cdot \prod_{\substack{S'' \in \mathcal{P}(\mathcal{O}) \\ n \notin S''}} \exp\left(-2\pi\lambda_{S''} \int_{t=0}^{\infty} (1 - u_{N,h}(s_N, t)^{|S''|}) tp_N(t) dt\right) \cdot \prod_{\substack{S' \in \mathcal{P}(\mathcal{O}) \\ n \in S'}} \exp\left(-2\pi\lambda_{S'} \int_{t=r}^{\infty} (1 - u_{N,h}(s_N, t)^{|S'|}) tp_N(t) dt\right) \\ &\quad \cdot \prod_{\substack{S'' \in \mathcal{P}(\mathcal{O}) \\ n \notin S''}} \exp\left(-2\pi\lambda_{S''} \int_{t=0}^{\infty} (1 - u_{N,d}(s_N, t)^{|S''|}) tp_N(t) dt\right) \cdot \prod_{\substack{S' \in \mathcal{P}(\mathcal{O}) \\ n \in S'}} \exp\left(-2\pi\lambda_{S'} \int_{t=r}^{\infty} (1 - u_{N,d}(s_N, t)^{|S'|}) tp_N(t) dt\right) \\ &\quad \cdot \prod_{\substack{S'' \in \mathcal{P}(\mathcal{O}) \\ n \notin S''}} \exp\left(-2\pi\lambda_{S''} \int_{t=0}^{\infty} (1 - u_{L,h}(s_N, t)^{|S''|}) tp_L(t) dt\right) \cdot \prod_{\substack{S' \in \mathcal{P}(\mathcal{O}) \\ n \in S'}} \exp\left(-2\pi\lambda_{S'} \int_{t=D_L(r)}^{\infty} (1 - u_{L,h}(s_N, t)^{|S'|}) tp_L(t) dt\right) \\ &\quad \cdot \prod_{\substack{S'' \in \mathcal{P}(\mathcal{O}) \\ n \notin S''}} \exp\left(-2\pi\lambda_{S''} \int_{t=0}^{\infty} (1 - u_{L,d}(s_N, t)^{|S''|}) tp_L(t) dt\right) \cdot \prod_{\substack{S' \in \mathcal{P}(\mathcal{O}) \\ n \in S'}} \exp\left(-2\pi\lambda_{S'} \int_{t=D_L(r)}^{\infty} (1 - u_{L,d}(s_N, t)^{|S'|}) tp_L(t) dt\right) \end{aligned} \quad (15)$$

CS protocol and the same sensing threshold, P_{th} . Additionally, we assume that all the operators have the same density of BSs (a reasonable assumption as all the operators in our problem are for the same RAT). Thus, we can use the same average association distance, \bar{R} (introduced in the proof of Theorem 1), for any UE belonging to any operator. So, based on the above factors, p_T is same for all the BSs.

If there are N_c contending BSs within the sensing region of a CS node, then the node will find the channel to be free with probability $(1 - p_T)^{N_c}$, i.e., none of the contenders are active. Hence, we can obtain p_T by solving $p_T = (1 - p_T)^{N_c}$. To do so, first, we have to find N_c . However, N_c is random because, whether a BS is a contender or not depends on the contender's link type with the sensing node and its directionality towards the sensing node, that are not deterministic. To circumvent the randomness of N_c and use a deterministic value of N_c , we use the average number of contenders, \bar{N}_c , in place of N_c and find p_T as $p_T = (1 - p_T)^{\bar{N}_c}$. We show in Section V, that this approximation does not cause the analytically obtained p_T to be very different from the p_T obtained by simulations. The following lemma presents the expression for \bar{N}_c .

Lemma 6: The average number of contenders to a CS node is given by $\bar{N}_c = \bar{N}_{c,L} + \bar{N}_{c,N} + \mathbf{1}_A \cdot \bar{N}_c^A$, where $\bar{N}_{c,L}$ and $\bar{N}_{c,N}$ are the average number of LoS and NLoS contenders, respectively. \bar{N}_c^A is the average number of contenders due to any active announcement. $\mathbf{1}_A$ is 1 if dCSRA is used; otherwise, it is 0. Assuming that a sensing node belongs to operator n , i.e., a BS of operator n , or a UE subscribed to operator n , $\bar{N}_{c,L}$, $\bar{N}_{c,N}$, and \bar{N}_c^A are given by (16), (17), and (18), shown at the bottom of the page, respectively, where θ_{cs} is the main lobe beam width of the CS node's sensing antenna. $D(\bar{R})$ is the radius of the interference exclusion zone (circular) around the CS node where LoS and NLoS contenders belonging to $S' \in \mathcal{P}(\mathcal{O})$; $n \in S'$ cannot be present. $D(\bar{R}) = \bar{R}$ for CSR and $D(\bar{R}) = 0$ for CST. $R_{cs,L}$ and $R_{cs,N}$ are the distances of the farthest LoS and NLoS contenders, respectively, for a CS node along its main lobe. $r_{cs,L}$ and $r_{cs,N}$ are the distances of the farthest LoS and NLoS contenders,

respectively, for a CS node along its side lobe. $R_{A,L}$ and $R_{A,N}$ are the distances of the farthest LoS and NLoS contenders (announcing UE), respectively, along the main lobe of a BS that is listening for announcements in dCSRA. $r_{A,L}$ and $r_{A,N}$ are the distances of the farthest LoS and NLoS contenders (announcing UE), respectively, along the side lobe of a BS that is listening for announcements in dCSRA. $R_{cs,L}$, $R_{cs,N}$, $r_{cs,L}$ and $r_{cs,N}$ are random variables and their distribution depends on the CS protocol. In contrast, $R_{A,L}$, $R_{A,N}$, $r_{A,L}$ and $r_{A,N}$ are deterministic. The distributions of $R_{cs,L}$, $R_{cs,N}$, $r_{cs,L}$ and $r_{cs,N}$ for different protocols, and the expressions for $R_{A,L}$, $R_{A,N}$, $r_{A,L}$ and $r_{A,N}$ are given in Appendix F in [24].

Proof: See Appendix E in [24] for the proof. ■

C. Coverage Probability With Different Protocols

In this section, we describe how the coverage probability expression of (8) varies for different protocols. As in [14], we consider a spectrum sharing system with two operators, i.e., $M = 2$. Thus, $\mathcal{P}(\mathcal{O}) = \{\{1\}, \{2\}, \{1, 2\}\}$, and we assume n is network operator 1. Thus, in (8), $S'' = \{2\}$, $S' \in \{\{1\}, \{1, 2\}\}$, and $\mathcal{S} \in \{\{1\}, \{1, 2\}\}$.

1) Non-CS (nonCS) Scheme: In this case, no CS is performed before the transmission of downlink signals; thus $p_T = 1$. Among the remaining terms in (8), the Laplace transforms are protocol dependent. For the nonCS scheme, the Laplace transforms in (14) and (15) are given by the following corollary. Here, we use the two operator model of [14]. Specifically, we use $a = \frac{\lambda_1}{\lambda}$, $b = 1 - \frac{\lambda_2}{\lambda}$, where λ_1 , λ_2 are the BS density of the two operators, and $\lambda = \lambda_1 + \lambda_2 - \rho\lambda$. The parameter ρ ($0 \leq \rho \leq 1$) is the overlap coefficient, which is a measure of spatial correlation between the BS sites of the two operators.

Corollary 1: When $\mathcal{S} = \{1\}$, the Laplace transform in (14) is given by $\mathcal{L}_{I(L_S)}^{\{1\}}(s_L) =$

$$\exp \left(-4\pi\lambda \left[\int_{t=0}^{\infty} (1-a)(1-u_L(s_L, t)) t p_L(t) dt \right] \right)$$

$$\begin{aligned} \bar{N}_{c,L} = & \sum_{\substack{S'' \in \mathcal{P}(\mathcal{O}) \\ n \notin S''}} \lambda_{S''} \left(\mathbb{E}_{R_{cs,L}} \left[\int_{\theta=0}^{\theta_{cs}} \int_{t=0}^{R_{cs,L}} p_L(t) t dt d\theta \right] + \mathbb{E}_{r_{cs,L}} \left[\int_{\theta=\theta_{cs}}^{2\pi} \int_{t=0}^{r_{cs,L}} p_L(t) t dt d\theta \right] \right) \\ & + \sum_{\substack{S' \in \mathcal{P}(\mathcal{O}) \\ n \in S'}} \lambda_{S'} \left(\mathbb{E}_{R_{cs,L}} \left[\int_{\theta=0}^{\theta_{cs}} \int_{t=D(\bar{R})}^{R_{cs,L}} p_L(t) t dt d\theta \right] + \mathbb{E}_{r_{cs,L}} \left[\int_{\theta=\theta_{cs}}^{2\pi} \int_{t=D(\bar{R})}^{r_{cs,L}} p_L(t) t dt d\theta \right] \right) \end{aligned} \quad (16)$$

$$\begin{aligned} \bar{N}_{c,N} = & \sum_{\substack{S'' \in \mathcal{P}(\mathcal{O}) \\ n \notin S''}} \lambda_{S''} \left(\mathbb{E}_{R_{cs,N}} \left[\int_{\theta=0}^{\theta_{cs}} \int_{t=0}^{R_{cs,N}} p_N(t) t dt d\theta \right] + \mathbb{E}_{r_{cs,N}} \left[\int_{\theta=\theta_{cs}}^{2\pi} \int_{t=0}^{r_{cs,N}} p_N(t) t dt d\theta \right] \right) \\ & + \sum_{\substack{S' \in \mathcal{P}(\mathcal{O}) \\ n \in S'}} \lambda_{S'} \left(\mathbb{E}_{R_{cs,N}} \left[\int_{\theta=0}^{\theta_{cs}} \int_{t=D(\bar{R})}^{R_{cs,N}} p_N(t) t dt d\theta \right] + \mathbb{E}_{r_{cs,N}} \left[\int_{\theta=\theta_{cs}}^{2\pi} \int_{t=D(\bar{R})}^{r_{cs,N}} p_N(t) t dt d\theta \right] \right) \end{aligned} \quad (17)$$

$$\bar{N}_c^A = \sum_{S''' \in \mathcal{P}(\mathcal{O})} \lambda_{S'''} \left(\int_{\theta=0}^{\theta_{BS}} \int_{t=0}^{R_{A,L}} p_L(t) t dt d\theta + \int_{\theta=\theta_{BS}}^{2\pi} \int_{t=0}^{r_{A,L}} p_L(t) t dt d\theta + \int_{\theta=0}^{\theta_{BS}} \int_{t=0}^{R_{A,N}} p_N(t) t dt d\theta + \int_{\theta=\theta_{BS}}^{2\pi} \int_{t=0}^{r_{A,N}} p_N(t) t dt d\theta \right) \quad (18)$$

$$\begin{aligned}
& + \int_{t=r}^{\infty} (1 - u_L(s_L, t)) (a + \rho u_L(s_L, t)) t p_L(t) dt \\
& + \int_{t=0}^{\infty} (1 - a) (1 - u_N(s_L, t)) t p_N(t) dt \\
& + \int_{t=D_N(r)}^{\infty} (1 - u_N(s_L, t)) (a + \rho u_N(s_L, t)) t p_N(t) dt \Big] \Big)
\end{aligned}$$

When $\mathcal{S} = \{1, 2\}$, we have $\mathcal{L}_{I(L_S)}^{\{1,2\}}(s_L) = u_L(s_L, r)^2 \cdot \mathcal{L}_{I(L_S)}^{\{1\}}(s_L)$. The expressions for $\mathcal{L}_{I(N_S)}^{\{1\}}(s_N)$ and $\mathcal{L}_{I(N_S)}^{\{1,2\}}(s_N)$ are same as $\mathcal{L}_{I(L_S)}^{\{1\}}(s_L)$ and $\mathcal{L}_{I(L_S)}^{\{1,2\}}(s_L)$, respectively, but with $u_L(s_L, r)$, $u_L(s_L, t)$, $u_N(s_L, t)$, $p_L(t)$, $p_N(t)$, and $D_N(r)$ replaced by $u_N(s_N, r)$, $u_N(s_N, t)$, $u_L(s_N, t)$, $p_N(t)$, $p_L(t)$, and $D_L(r)$, respectively.

Proof: In the absence of CS, the interference exclusion zones due to CS are non-existent, i.e., $\mathcal{R}_{L,h} = \mathcal{R}_{L,d} = \mathcal{R}_{N,h} = \mathcal{R}_{N,d} = B_0(0)$. Using these in our expressions of Laplace transforms in (14) and (15), and the two operator model of [14], we get the expressions in Corollary 1. ■

2) *CSR Schemes:* In case of *oCSR*, *dCSR*, and *dCSRA*, θ_{cs} is 2π , θ_{UE} , and θ_{UE} , respectively. Using these values of θ_{cs} and the distributions of the sensing distances in Appendix F in [24], we can obtain $\bar{N}_{c,L}$, $\bar{N}_{c,N}$, and \bar{N}_c^A for the different CSR protocols based on 16, 17, and 18. Then, we compute $\bar{N}_c = \bar{N}_{c,L} + \bar{N}_{c,N} + \mathbf{1}_A \cdot \bar{N}_c^A$, and, in turn, the transmission probability as $p_T = (1 - p_T)^{\bar{N}_c}$, for each of the CSR protocols. Now, let us look at the Laplace transforms in (14) and (15). In case of CSR, the interference exclusion regions due to CS, $\mathcal{R}_{L,h}$ and $\mathcal{R}_{N,h}$ are around the typical UE. These regions are not deterministic due to the randomness associated with antenna gain during sensing. To obtain a deterministic value of coverage probability, we approximate these regions as $\mathcal{R}_{L,h} = B_0(h_L)$ and $\mathcal{R}_{N,h} = B_0(h_N)$, where $h_L = \mathbb{E}_{A_{j,m}} \left[\left(\frac{P_X C_L A_{j,m}}{P_{th}} \right)^{\frac{1}{\alpha_L}} \right]$ and $h_N = \mathbb{E}_{A_{j,m}} \left[\left(\frac{P_X C_N A_{j,m}}{P_{th}} \right)^{\frac{1}{\alpha_N}} \right]$. The terms inside the expectations are based on the first inequality for CSR in (2). Thus, h_L and h_N are the average sensing distances of LoS and NLoS hidden interferers, averaged over antenna gain randomness, $A_{j,m}^{(x,y)}$. The distributions of $A_{j,m}$ for different CSR protocols were presented in Section III-C.2. We show in Section V that the above approximation has minimal impact on the overall coverage probability of the typical UE. As the

distribution of $A_{j,m}$ is different for different CSR schemes, h_L and h_N are different for *oCSR* and *dCSR*, but same for *dCSR* and *dCSRA*. Similar to the hidden interferers, for the deaf interferers, we consider $\mathcal{R}_{L,d} = B_0(d_L)$ and $\mathcal{R}_{N,d} = B_0(d_N)$, where d_L and d_N are the average sensing radius for LoS and NLoS deaf interferers, respectively. We use $d_L = d_N = 0$ for both *oCSR* and *dCSR*, as they do not provide any interference protection from the deaf interferers. However, d_L and d_N are non-zero for *dCSRA* due to the use of announcements. For *dCSRA*, $d_L = \mathbb{E}_{A_{j,m}^{(x,y)}} \left[\left(\frac{P_U C_L A_{j,m}^{(x,y)}}{P_{th}^A} \right)^{\frac{1}{\alpha_L}} \right]$ and $d_N = \mathbb{E}_{A_{j,m}^{(x,y)}} \left[\left(\frac{P_U C_N A_{j,m}^{(x,y)}}{P_{th}^A} \right)^{\frac{1}{\alpha_N}} \right]$, where $A_{j,m}^{(x,y)}$ was defined in Section III-C.3. Now, for the CSR schemes, the Laplace transforms in (14) and (15) are given by the following corollary. The following expressions are given in terms of h_L , h_N , d_L , and d_N . For a specific CSR protocol, among *oCSR*, *dCSR*, and *dCSRA*, the values of h_L , h_N , d_L , and d_N must be modified as discussed above.

Corollary 2: When $\mathcal{S} = \{1\}$, the Laplace transform in (14) is given by (19), shown at the bottom of the page. When $\mathcal{S} = \{1, 2\}$, we have $\mathcal{L}_{I(L_S)}^{\{1,2\}}(s_L) = u_{L,h}(s_L, r) \cdot u_{L,d}(s_L, r) \cdot \mathcal{L}_{I(L_S)}^{\{1\}}(s_L)$. The expressions for $\mathcal{L}_{I(N_S)}^{\{1\}}(s_N)$, $\mathcal{L}_{I(N_S)}^{\{1,2\}}(s_N)$ are same as $\mathcal{L}_{I(L_S)}^{\{1\}}(s_L)$, $\mathcal{L}_{I(L_S)}^{\{1,2\}}(s_L)$, respectively, but with $u_{L,h}(s_L, r)$, $u_{L,d}(s_L, r)$, $u_L(s_L, t)$, $u_N(s_L, t)$, $p_L(t)$, $p_N(t)$, h_L , h_N , d_L , d_N , and $D_N(r)$ replaced by $u_{N,h}(s_N, r)$, $u_{N,d}(s_N, r)$, $u_N(s_N, t)$, $u_L(s_N, t)$, $p_N(t)$, $p_L(t)$, h_N , h_L , d_N , d_L , and $D_L(r)$, respectively.

Proof: Using $\mathcal{R}_{L,h} = B_0(h_L)$, $\mathcal{R}_{N,h} = B_0(h_N)$, $\mathcal{R}_{L,d} = B_0(d_L)$, and $\mathcal{R}_{N,d} = B_0(d_N)$ in (14) and (15), and the two operator model of [14], we get the expressions in Corollary 2. ■

3) *CST Schemes:* We do not derive the expressions for the CST schemes because, as explained in Section III-C, CST has several drawbacks for our considered mmWave network, and it is always inferior than CSR. We validate this claim using simulations in Section V. Thus, the detailed analysis of CST schemes will not provide any additional insights.

Remark: We draw several insights from the expressions of Corollary 1, 2, and (16) - (18). First, the expression in Corollary 1 can be obtained from that of Corollary 2 by using $h_L = h_N = d_L = d_N = 0$ (since the nonCS scheme cannot avoid hidden and deaf interferers). Second, in Corollary 2,

$$\begin{aligned}
& \mathcal{L}_{I(L_S)}^{\{1\}}(s_L) \\
& = \exp \left(-2\pi\lambda \left[\int_{t=h_L}^{\infty} (1-a)(1-u_L(s, t)) t p_L(t) dt + \int_{t=d_L}^{\infty} (1-a)(1-u_L(s, t)) t p_L(t) dt \right. \right. \\
& \quad + \int_{t=\max(r, h_L)}^{\infty} (1-u_L(s, t)) (a + \rho u_L(s, t)) t p_L(t) dt + \int_{t=\max(r, d_L)}^{\infty} (1-u_L(s, t)) (a + \rho u_L(s, t)) t p_L(t) dt \\
& \quad + \int_{t=h_N}^{\infty} (1-a)(1-u_N(s, t)) t p_N(t) dt + \int_{t=d_N}^{\infty} (1-a)(1-u_N(s, t)) t p_N(t) dt \\
& \quad + \int_{t=\max(D_N(r), h_N)}^{\infty} (1-u_N(s, t)) (a + \rho u_N(s, t)) t p_N(t) dt \\
& \quad \left. \left. + \int_{t=\max(D_N(r), d_N)}^{\infty} (1-u_N(s, t)) (a + \rho u_N(s, t)) t p_N(t) dt \right] \right) \tag{19}
\end{aligned}$$

the values of d_L and d_N are zero for the CSR scheme, as it cannot avoid the deaf interferers. Hence, the only difference between the nonCS scheme and CSR is that CSR reduces the range of integration limits by using non-zero values of h_L and h_N . In case of dCSRA, along with non-zero values of h_L and h_N , the values of d_L and d_N are also non-zero and, thus, it can avoid interference from both the hidden and deaf interferers. Third, in Corollary 2, if we increase the values of h_L and h_N via decreasing P_{th} , or the values of d_L and d_N via decreasing P_{th}^A , the range of integration limits reduces and the impact of interference on the coverage probability decreases. This will improve the coverage probability, which is intuitively satisfying because larger values of h_L , h_N , d_L , and d_N imply higher values of sensing radius and, in turn, better protection from the hidden and deaf interferers. However, decreasing P_{th} or P_{th}^A will increase the number of contenders because the upper limits of integrals in (16) - (18) are inversely related to P_{th} or P_{th}^A . This will negatively impact the coverage probability. Hence, the sensing thresholds, P_{th} and P_{th}^A , are critical, and they must be chosen carefully.

V. EVALUATIONS

In this section, we compare the coverage probability of the typical UE for the different CS schemes, discussed in Section III. We consider the nonCS scheme as the baseline for our evaluations. For our evaluations, we consider a shared band of $W = 600$ MHz; specifically, the 37.0-37.6 GHz band, which is currently under consideration to be designated as a shared band [25]. Based on the propagation characteristics of mmWave signals at 37 GHz [2], we use $C_L = -60$ dB, $C_N = -70$ dB, $\alpha_L = 2$, and $\alpha_N = 4$. For transmit powers, we use $P_X = 36$ dBm and $P_U = 15$ dBm. For the number of antennas, we use $n_{BS} = 64$ and $n_{UE} = 16$. For the main lobe beamwidth, we use $\theta_{BS} = \pi/18$ and $\theta_{UE} = \pi/6$. We use $N_F = 10$ dB for the UEs' noise figure. For the mmWave blocking parameter, we use $\beta = 0.007$. We consider $M = 2$ operators in a 10 km x 10 km area with BS density of 30/km² for each of the operators. We use both simulations and numerical evaluations, as required. For numerically evaluating $P_c(Z)$, we use the expressions derived in Section IV-C. For the average association distance, \bar{R} , required for numerical evaluation of p_T , we use 100 meters. For finding \bar{R} , we make use of simulations and determine \bar{R} by averaging the association distances of the typical UE across the different iterations in our simulations. Our simulation procedure is described below. Using $\bar{R} = 100$ meters is a reasonable choice as the average cell radius for the operators is 103 meters when their BS density is 30/km². For evaluating $P_c(Z)$ based on simulations, we use a two step procedure. First, we find the transmission probability, p_T via simulations. We use 10000 different iterations, where each iteration corresponds to a different realization of operator PPPs. We generate the realizations of the operator PPPs (BS locations) with BS site sharing, as described in [14]. For each iteration, i , we count the number of contenders $N_{c(i)}$, and then find a transmission probability for that iteration by solving $p_{T,i} = (1 - p_{T,i})^{N_{c(i)}}$. Then, after all the iterations, we find p_T as $p_T = \frac{1}{10000} \sum_{i=1}^{10000} p_{T,i}$. At the second step,

we repeat the above procedure of 10000 simulation runs, but this time, for each iteration, we calculate the SINR of the typical UE, SINR_i . For computing SINR_i , we use the following procedure. For each iteration, we sequentially check each of the BSs, other than the typical UE's serving BS at $X_{b,n}$. If a BS is a contender, we set it as active with probability p_T . For a BS to be a contender, it has to be located inside the sensing region of the sensing node. For example, in case of CSR, the first inequality for CSR in (2) should not hold true for a BS to be a contender. If any of the contenders in iteration i is active, we set $\text{SINR}_i = 0$ (in linear scale) for that iteration. If none of the contenders are active, then we compute the interference for each of the remaining non-contending BSs and add them up to compute the aggregate interference I . For a non-contending BS at $X_{j,m}$, we assume it belongs to \mathcal{B}_h with probability 0.5, and to \mathcal{B}_d otherwise. Then, we compute its interference to the typical UE, $I_{X_{j,m}}$, as: $I_{X_{j,m}} = C_{\tau(j,m)} F_{j,m} G_{j,m} \|X_{j,m}\|^{-\alpha_{\tau(j,m)}}$, with probability p_T ; otherwise, $I_{X_{j,m}} = 0$. If $X_{j,m} \in \mathcal{B}_d$, and dCSRA is used, then $I_{X_{j,m}}$ is 0 if $X_{j,m}$ was able to hear the typical UE's announcements; otherwise, $I_{X_{j,m}}$ is computed as in the above equation. After computing I , we compute SINR_i as, $\text{SINR}_i = \frac{P_X C_{\tau(b,n)} F_{b,n} M_{BS} M_{UE} r^{-\alpha_{\tau(b,n)}}}{\sigma^2 + I}$, where r is the distance between the typical UE and its associated BS. $C_{\tau(b,n)}$, $F_{b,n}$, r , $\alpha_{\tau(b,n)}$, and I varies with each iteration. Finally, after all the 10000 iterations, we find the fraction of iterations where $\text{SINR}_i > Z$, and use that fraction for $P_c(Z)$. Note that, in the above described procedure, we need p_T for deciding whether a contender or an interferer is active or not. For this reason, we compute the p_T in the first step and then $P_c(Z)$ in the second step.

A. Results

1) *CS vs. nonCS*: In Fig. 5(a), we show the downlink coverage probability of a UE in our shared mmWave network with different protocols. Since we do not have analytical expressions for CST, and we want to compare all the protocols in the same figure, we use simulations for the curves in this figure. We observe from Fig. 5(a) that, in terms of $P_c(Z)$, CS is beneficial only for higher values of SINR (above 35 dB). For lower values of SINR the $P_c(Z)$ with all the CS protocols is inferior to the $P_c(Z)$ with the nonCS scheme, where no CS is used. Thus, for lower values of SINR (below 35 dB), not using any CS is the best strategy in terms of $P_c(Z)$. To explain the trends of different protocols, first, we note that the coverage probability can be written as $P_c(Z) = \Pr[(\text{SINR} > Z) | \mathbb{T}] \times p_T$. Thus, $P_c(Z)$ is upper bounded by $\min(\Pr[(\text{SINR} > Z) | \mathbb{T}], p_T)$. Now, Fig. 5(b) shows p_T for all the protocols, obtained via simulations as described in the beginning of this section. We see from Fig. 5(b) that the p_T for the nonCS scheme is 1.0, but the values of p_T for the CS protocols are much lower than 1.0. In the lower SINR region, $\Pr[(\text{SINR} > Z) | \mathbb{T}]$ is high for all the protocols, but the low p_T for the CS protocols result in lower values of $P_c(Z)$ for the CS protocols, compared to the nonCS scheme. As we move to the higher SINR region, the probability of having both high signal power and low interference power reduces for all the protocols.

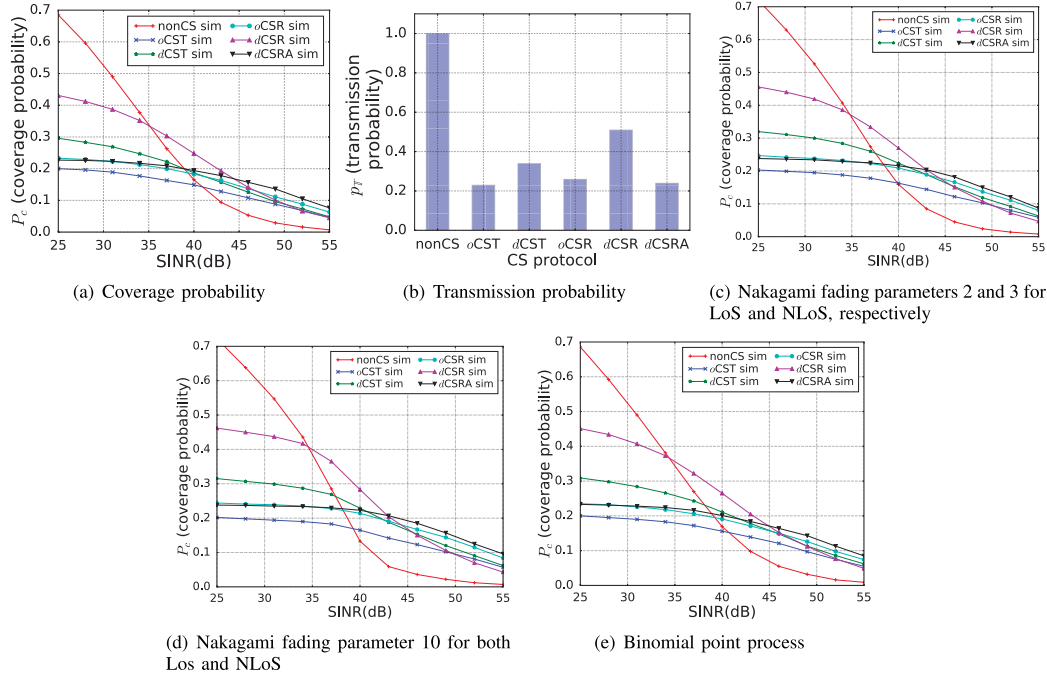


Fig. 5. Coverage probability and transmission probability of different protocols using simulations. For this figure, $P_{th} = N_f + 15$ dB, $P_{th}^A = N_f + 0$ dB, and overlap coefficient is $\rho = 0.5$. For (a), (e) we use Rayleigh fading and for (c), (d) we use Nakagami fading. For (e), the number of BSs is determined using Binomial point process.

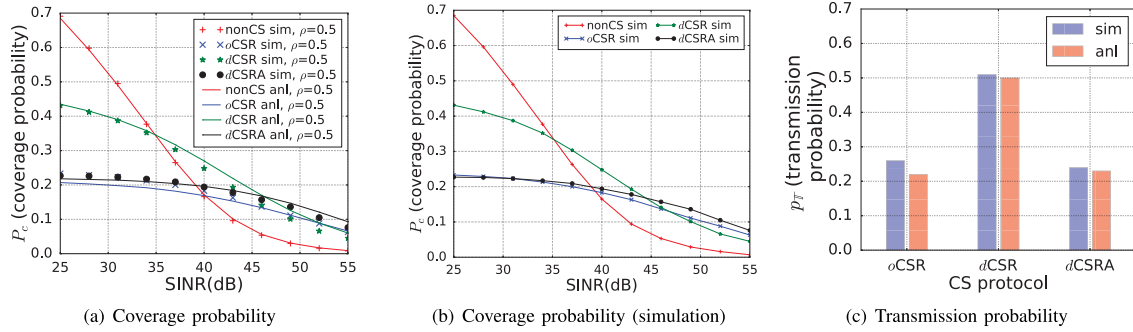


Fig. 6. (a), (c): Coverage probability and transmission probability of nonCS and CSR schemes, using both simulations and analysis. (b) Coverage probability of nonCS and CSR schemes, using only simulations. In this figure, $P_{th} = N_f + 15$ dB, $P_{th}^A = N_f + 0$ dB, and $\rho = 0.5$.

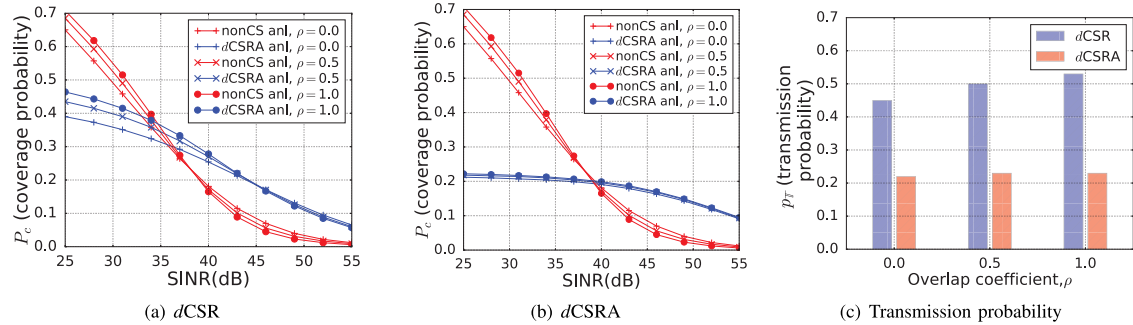


Fig. 7. (a), (b): Coverage probability with nonCS, dCSR, and dCSRA for different overlap coefficient, ρ . (c) Transmission probability of dCSR and dCSRA for different ρ . In this figure, $P_{th} = N_f + 15$ dB, $P_{th}^A = N_f + 0$ dB, and the results are obtained via analysis.

Consequently, in the higher SINR region, interference (in turn, $\Pr[(\text{SINR} > Z) | \mathbb{T}]$) plays a more dominant role over p_T . Hence the $P_c(Z)$ with the nonCS scheme is inferior to the $P_c(Z)$ with the CS schemes because, unlike the CS schemes, the nonCS scheme has no way of avoiding interference.

Fig. 5(c) and Fig. 5(d) show the coverage probability of different protocols when Nakagami distribution with mean

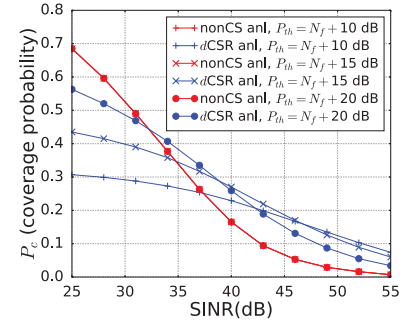
1.0 is used for fading. The parameters for Nakagami distribution are chosen based on the related works in [12], [14]. By comparing Fig. 5(a) with Fig. 5(c) and Fig. 5(d), we observe that using Rayleigh distribution instead of Nakagami distribution for fading has minimal effect on the relative trends of the different curves. Finally, Fig. 5(e) shows the coverage probability of different protocols when the Binomial

point process (BPP) [26] is used for deciding the number of BSs. This figure demonstrates that our findings in Fig. 5(a) are not dependent on our PPP-based modeling.

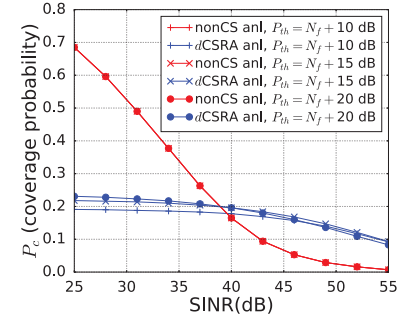
2) *CST vs. CSR*: We observe from Fig. 5(a) that $P_c(Z)$ with CSR is always better than that with CST (compare *oCSR* versus *oCST* and *dCSR* versus *dCST* in Fig. 5(a)). This happens primarily because of the drawbacks associated with CST, that were discussed in Section III-C. Due to the inferior coverage probability with CST, we do not consider it for the subsequent evaluations, and focus on the CSR schemes. Fig. 5(a) also shows that among the CSR protocols, *dCSR* is the best choice in the middle SINR region (35 - 45 dB), and *dCSRA* is the best choice in the higher SINR region (above 45 dB), in terms of $P_c(Z)$. *dCSRA* is better than the other CSR protocols at higher SINR region because *dCSRA* can eliminate the strong deaf interferers. However, it suffers from low transmission probability, as shown in Fig. 5(b), because of refrained transmissions due to active UE announcements. Recall from Lemma 6 that *dCSRA* has additional contenders due to the announcement scheme, accounted by \bar{N}_c^A , which is not present for *dCSR* and *oCSR*.

3) *Validation of Analytical Coverage Probability*: In Fig. 6(a), we use simulations to validate the expressions for coverage probability with nonCS and CSR schemes, derived in Section IV-C. In this figure, the analytical expression based curves are shown using solid lines and are labelled as ‘anl’. The simulation-based curves are shown using the markers and are labelled as ‘sim’. For a side-by-side comparison, we also show the simulation-based coverage probability with nonCS and CSR protocols in Fig. 6(b). We observe that with the nonCS scheme, the $P_c(Z)$ obtained from analysis has very good match with the $P_c(Z)$ obtained using simulations. For the CSR schemes, the match between the analysis-based $P_c(Z)$ and the simulation-based $P_c(Z)$ is also good, but there are slight differences. For *dCSR* and *dCSRA* the slight mismatch is in the higher SINR region. This happens because of using average sensing distances for the LoS and NLoS hidden interferers, h_L and h_N , respectively, as explained in Section IV-C.2. In contrast, for *oCSR*, the slight mismatch between the simulation-based $P_c(Z)$ and the analysis-based $P_c(Z)$ is in the lower SINR region. In this case, the slight mismatch results from the difference in the analysis-based p_T and the simulation-based p_T , as shown in Fig. 6(c). Finally, in Fig. 6(c), the similarity between the simulation-based p_T and the analysis-based p_T for the CSR protocols shows that our approximations in the analysis of transmission probability (Section IV-B) have minimal impact. Fig. 6(a) shows that the best protocol, in terms of coverage probability, varies with SINR. Thus, our coverage probability analysis framework and expressions serve as a useful tool for the network operators in deciding which protocol to use under different SINR conditions without running extensive simulations.

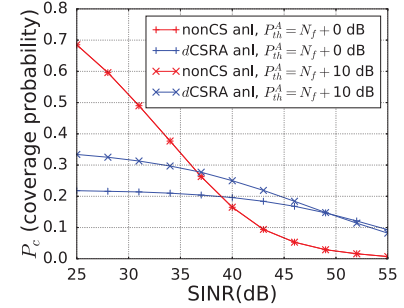
4) *Effect of BSs’ Site Overlap*: Fig. 7 shows the effect of BSs’ site overlap on the coverage probability for three different values of ρ . Recall from Section IV-C that ρ captures the spatial correlation between the BS sites of the two operators. $\rho = 0$ implies no BS site sharing and $\rho = 1$ implies all the BS sites are shared. We observe that, as ρ increases, the $P_c(Z)$



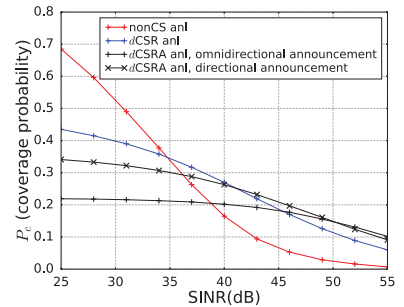
(a) Effect of P_{th} on *dCSR*



(b) Effect of P_{th} on *dCSRA*



(c) Effect of P_{th}^A on *dCSRA*



(d) Impact of announcement direction

Fig. 8. (a), (b): Coverage probability with nonCS, *dCSR*, and *dCSRA* for different values of sensing threshold, P_{th} . (c): Coverage probability with *dCSRA* for different values of P_{th}^A . (d): Coverage probability with *dCSRA* for directional and omnidirectional announcements. In this figure, the overlap coefficient is $\rho = 0.5$, and the results are obtained by analysis.

with the nonCS method dips for the higher values of SINR. This happens because with a higher value of ρ , the possibility of strong interference from a co-located BS also increases, and the nonCS method has no interference protection from the co-located BSs. In contrast, the $P_c(Z)$ with the *dCSR* (Fig. 7(a)) and *dCSRA* (Fig. 7(b)) schemes are not affected by the increase of ρ (in the higher SINR region) as CSR schemes can tackle interference from the co-located BSs. For lower

values of SINR, the $P_c(Z)$ of d CSR improves as ρ increases. This is because with d CSR, p_T improves with an increase in ρ , as shown in Fig. 7(c). However, that is not the case with d CSRA, as shown in Fig. 7(c). Hence, unlike d CSR, the $P_c(Z)$ of d CSRA is unaffected by change in ρ .

5) *Effect of Sensing Threshold*: In Fig. 8, we show that the sensing threshold, P_{th} , plays an important role for the CS protocols. Both from Fig. 8(a) and Fig. 8(b) we observe that as P_{th} is increased the $P_c(Z)$ with the CSR protocols improve in the lower SINR region. This happens because a higher value of P_{th} implies a smaller sensing region. A smaller sensing region reduces the number of contenders, and, in turn, improves the transmission probability of the CSR protocols. As explained in the context of Fig. 5, in the lower SINR region, the transmission probability is the dominant factor in the coverage probability with the CS protocols. However, as we increase P_{th} the advantage of the CSR schemes gradually diminishes in the higher SINR region. This happens because increasing P_{th} implies allowing higher interference, which plays a more dominant role over the transmission probability in the higher SINR region, as explained in the context of Fig. 5. In Fig. 8(c), we show that, similar to P_{th} , careful selection of P_{th}^A is also important, when d CSRA is used. Finally, with the nonCS scheme, $P_c(Z)$ is unaffected by the change of P_{th} or P_{th}^A because it does not use any CS.

6) *Impact of Announcement Directionality*: Fig. 8(d) shows the impact of the directionality of announcements on coverage probability of d CSRA. We see from this figure that using directional announcements improves the coverage probability for lower values of SINR. This is due to the fact that using directional announcements improves the transmission probability for the d CSRA scheme. However, for higher values of SINR, especially where d CSRA is advantageous over d CSR, the directionality of the announcements does not make much difference. Hence, we can use either directional or omnidirectional announcements in d CSRA, depending on the transmission capabilities of the UEs.

VI. CONCLUSION AND FUTURE WORK

We investigated CS for distributed interference management in a mmWave network where multiple non-coordinating operators share spectrum and BS sites. We argued that CST has several drawbacks, specifically in the context of our shared mmWave network, and proposed the use of CSR. Since CSR cannot tackle the deaf interferers, we proposed d CSRA, which can prevent interference from hidden interferers and most of the deaf interferers. We developed a framework for downlink coverage probability analysis of a UE in our shared mmWave network in the presence of CS. Furthermore, we derived the coverage probability expressions for the CSR schemes and the nonCS scheme using our framework. We validated our coverage probability analysis using simulations. Using both simulations and numerical evaluations, we demonstrated the superiority of our CS schemes, over no CS, for the higher values of SINR. We also showed that the sensing threshold plays an important role in determining the coverage probability in the performance of CS. Finally, we showed that for the

lower values of SINR not using any CS is the best strategy in terms of coverage probability.

The CS schemes suffer from low transmission probability; but, once the channel is assessed free, a downlink transmission is (almost) interference free. In contrast, without CS, the downlink transmissions would experience interference. In the future, we will investigate how the quality of downlink transmission (e.g., collisions, jitters) differs for the nonCS and the CS schemes. We will also investigate how to find the best CS threshold for a given set of network parameters.

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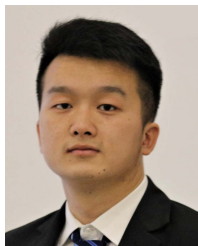
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