

Impact of Processing Effects on Surface Segregation of Bottlebrush Polymer Additives

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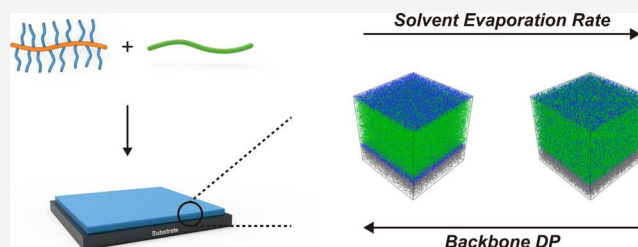
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ABSTRACT: The surface properties of polymeric materials govern interactions with the surroundings and are responsible for various application-relevant properties. Recent studies have shown that bottlebrush polymers can be used to modify the surface chemistry of the polymers because they spontaneously segregate to the interfaces when they are blended with the linear polymers, driven in large part by entropic effects that arise from the unique architecture of bottlebrush polymers. However, while prior work has largely focused on equilibrium segregation profiles, kinetic and processing effects can also drive bottlebrush additives to surfaces and interfaces. In solution-cast blends of polymers and colloids, vertical stratification is controlled by the relative Péclet (Pe) numbers of the constituents, i.e., the relative rates of solvent evaporation and solute diffusion. Herein, we studied processing effects that drive bottlebrush additives to interfaces when blended with linear polymers. We prepared blends of bottlebrush polystyrene (BBPS) and linear perdeuterated polystyrene (dPS), where the BBPS side-chain length was fixed at $N_{sc} = 48$, the BBPS backbone length ranged from $N_b = 30$ –260, and the dPS chain length ranged from $N_m = 40$ –548. The relative Pe numbers of BBPS and dPS were varied by changing the solvent and sizes of BBPS and dPS. In contrast to other binary blends where the constituents have disparate sizes (e.g., colloid/colloid, polymer/colloid, and polymer/polymer), we found that the relative Pe number cannot account for the degree of segregation observed in these bottlebrush and linear polymer blends. For a fixed BBPS side-chain length, we observe stronger surface segregation of bottlebrush additives when the blend is cast using lower boiling point solvents and/or for blends with longer bottlebrush polymers. We further show that solvent annealing of the film can increase the enrichment of bottlebrush additives near surfaces. This study provides insight into the interplay of processing effects and blend thermodynamics that govern surface segregation of bottlebrush polymer additives.



INTRODUCTION

The surface properties of polymeric materials govern interactions with the surroundings and are responsible for various application-relevant properties such as adhesion, wettability, and fouling resistance.^{1,2} As a result, a variety of methods have been developed for modifying surfaces and interfaces. However, many of these methods involve additional processing or treatment steps.^{2–5} For example, polymers can be tethered to a surface through surface-initiated polymerization reactions,^{6,7} and other approaches include plasma treatment,^{8,9} vapor-initiated growth,^{10,11} and chemical modifications, such as through polydopamine polymerization on a surface.¹²

The use of surface-active additives provides an alternative and potentially simpler approach to modifying surface properties, as the additives spontaneously migrate to surfaces without additional processing steps. For example, Asatekin et al. used polyacrylonitrile-graft-poly(ethylene oxide) (PAN-g-PEO), an amphiphilic comb copolymer, to modify the surface of ultrafiltration membranes. They showed that the amphiphilic comb copolymer additives segregated to the surface of

the membranes and increased hydrophilicity.¹³ In another study, Maguire and co-workers studied the surface segregation of poly(methyl methacrylate) (PMMA)-grafted silica nanoparticles from a poly(styrene-*ran*-acrylonitrile) matrix. They showed that PMMA-grafted silica nanoparticles, which have a lower surface energy compared to poly(styrene-*ran*-acrylonitrile), rapidly wet the free surface during thermal annealing.¹⁴ Other examples of surface-active additives include polymer-grafted gold nanoparticles¹⁵ and surfactants,^{16–18} and general strategies for tailoring the attraction of polymers to interfaces and modifying polymeric surfaces are described in recent reviews.^{1,2}

Several studies have examined the thin film structure in blends of bottlebrush and linear homopolymers. A variety of

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61 systems were considered, including blends where enthalpic
62 interactions between the bottlebrush and linear polymer were
63 approximately athermal,^{19,20} attractive,²¹ or repulsive.²² The
64 surface energies of the bottlebrush and linear polymer were
65 always similar. In these cases, the bottlebrush polymers could
66 spontaneously segregate to the interfaces when $N_m/N_{sc} > 2$,
67 consistent with an entropic preference for chain ends near the
68 surface.^{19,20} The extent of the segregation was controlled by
69 architectural parameters (N_b , N_{sc} , N_m) as well as the strength
70 of enthalpic interactions in the bulk and at the surfa-
71 ces.^{1,19,20,22–24} Bottlebrush additives could therefore be used
72 to tune the hydrophilicity of a surface^{22,25} or introduce novel
73 chemistries at a polymer surface, even when side chains have
74 higher cohesive energy densities than the matrix.²¹

75 The role of entropic effects in driving surface segregation is
76 not unique to blends of bottlebrush and linear polymers, and
77 foundational studies have detailed the importance of entropic
78 effects in a wide variety of polymer blends. For example,
79 Yethiraj investigated athermal and thermal blends of branched
80 and linear polymers using Monte Carlo simulations and
81 showed that the branched polymers preferentially segregate to
82 interfaces, if polymer–polymer enthalpic interactions were
83 comparable to those between polymers and the interface.²⁶
84 These predictions were consistent with subsequent experi-
85 ments, which demonstrated that branched polymer additives
86 could be used to modify surface chemistry.^{18,21} Other examples
87 include the observation of polymer chain ends near interfaces
88 and the preferential surface segregation of star or cyclic
89 polymers, as described in recent reviews.^{27,28} Bottlebrush
90 polymers have unique advantages, which may be beneficial for
91 the development of surface-active additives. Both the length of
92 the side chains and the number of side chains per bottlebrush
93 polymer can be controlled, and this is useful for performing
94 fundamental studies focused on understanding the impact of
95 architectural effects on surface segregation. Additionally,
96 bottlebrush copolymers with mixtures of different side-chain
97 chemistries are readily accessible. This attribute enables one to
98 combine “functional” side chains and “compatibilizer” side
99 chains in a single platform, as demonstrated in the use of
100 bottlebrush polymers with poly(dimethylsiloxane) (PDMS)
101 side chains to tailor the surface of poly(lactic acid) (PLA)
102 films.²⁵

103 Processing effects also play an important role in the
104 segregation of bottlebrush additives to interfaces, but these
105 remain poorly understood. Our prior work has shown that the
106 segregation of bottlebrush polymers to the surface was
107 strongest in as-cast films, i.e., immediately after solution
108 deposition and without any thermal annealing, provided $N_m/N_{sc} > 2$. Some surface enrichment remained after thermal
109 annealing, but the degree of surface enrichment decreased
110 significantly relative to the as-cast films. This was observed for
111 a variety of bottlebrush additives blended with linear
112 polymers.^{19,22–24} We speculated that the presence of solvent
113 in the environment could play a role,²³ but a detailed study of
114 processing effects was not performed.

115 Processing effects are well studied for other types of binary
116 systems where the constituents have disparate sizes, such as
117 colloid/colloid, polymer/colloid, and linear polymer/polymer
118 systems.^{29–36} These studies have shown that the ratio of the
119 Péclet numbers of the constituents plays an important role in
120 dictating surface enrichment in these blends. The Pe number
121 describes the relative rates of solvent evaporation and solute
122 diffusion:

$$Pe = \frac{6\pi\eta R_h HE}{kT}$$

where η is the solvent viscosity, R_h is the hydrodynamic radius
of the particle, H is the initial film thickness, E is the rate of
evaporation, k is the Boltzmann's constant, and T is the
temperature. Solvent evaporation is faster than solute diffusion
when Pe is greater than 1, and diffusion is faster than
evaporation for Pe number less than 1. In general, studies of
polymer and colloid blends have reported that the smaller
solute is enriched at the surface when Pe numbers of both
solutes are greater than 1 and that the degree of enrichment
increases with increasing Pe number of the larger solute. For
example, Fortini and co-workers studied colloid–colloid
mixtures and found that the smaller solute enriched the
surface (“small-on-top” stratification) when the Pe number for
both particles was greater than 1.³² In another study, Howard
and co-workers studied polymer–polymer and colloid–
polymer mixtures using Langevin dynamics.³⁵ For polymer–
polymer mixtures, they found that shorter polymers were
enriched near the surface and longer polymers were depleted
from the surface, and this effect was more pronounced as the
size of the shorter polymer decreased with that of the longer
polymer held constant. Colloid–polymer mixtures also
displayed similar trends. Smaller particles (either polymer or
colloid) were enriched near the drying interfaces, and the
solute with the larger Pe number was enriched in the bulk
polymer film. However, these trends have not been studied for
blends of bottlebrush polymers and linear polymers. Blends of
bottlebrush polymers and linear polymers may show
qualitatively different effects compared with polymer/polymer
or polymer/colloid blends due to strong entropic effects arising
from the unique bottlebrush architecture. These effects in
general drive the bottlebrush toward surfaces and interfaces
and may be relevant to processing-related effects that produce
enrichment of bottlebrushes during casting. Architectural
parameters such as N_b , N_m , and N_{sc} that govern the strength
of entropic effects are expected to play a role along with other
processing-specific variables, such as the rate of solvent
evaporation and the film casting and annealing history.

Herein, we investigated the effects of processing on surface
segregation of bottlebrush polymer additives by studying
blends of BBPS with linear perdeuterated polystyrene (dPS),
as shown in Figure 1. We varied the relative sizes of
bottlebrush and linear polymer by systematically varying N_b
and N_m while maintaining a constant N_{sc} . We found that non-
equilibrium effects do play an important role in the surface
enrichment of bottlebrush additives, and surface enrichment
was in general stronger in blend films prior to thermal
annealing. In contrast to prior studies of polymer and colloidal
blends, where smaller solutes typically segregated at the surface
when Pe numbers of both solutes are greater than 1, we found
that the bottlebrush polymer additives with larger Pe number
than the linear polymer host will segregate at the surface,
provided that $N_m/N_{sc} > 2$, and segregation was stronger in as-
cast films compared with thermally annealed films. We studied
a series of blends and found variations in the segregation
behavior even when controlling for the relative Pe number,
indicating that the ratio of Pe numbers cannot account for the
segregation behavior when bottlebrush polymers are present.
Rather, entropic effects, dependent in part on the length of the
bottlebrush backbone, dominated segregation behavior. We
also found that vertical stratification increased with the

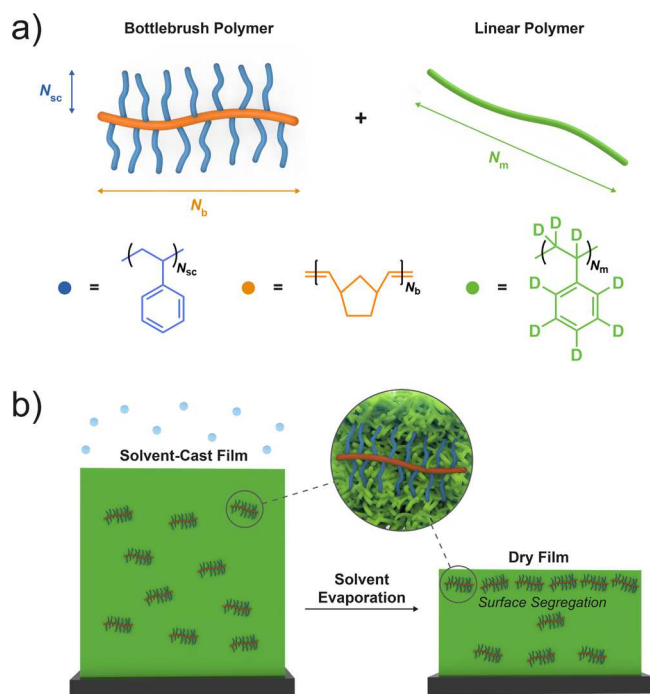


Figure 1. Schematic illustrations of the (a) bottlebrush polymer and linear polymer studied. The bottlebrush polymer contained polystyrene side chains, and these were blended with the linear polymer composed of perdeuterated polystyrene. The architectures of each are determined by the side-chain degree of polymerization N_{sc} , the backbone degree of polymerization N_b , and the linear polymer degree of polymerization N_m . (b) Vertical stratification of the bottlebrush/linear polymer blend during casting.

Table 1. Characteristics of Linear Perdeuterated Polystyrene (dPS), Macromonomer Polystyrene (NBPS), Bottlebrush Polystyrene (BBPS), and Bottlebrush Polystyrene-*co*-perdeuterated Polystyrene (BB(PS-*co*-dPS))

polymer	M_n (kg/mol) ^a	$\bar{D}$ ^b	DP	N_{sc} ^c	N_b ^d	ρ (%) ^e
Linear Polymers						
dPS40	4.50	1.48	40.1			
dPS205	23.0	1.07	205			
dPS548	61.5	1.02	548			
NBPS1	5.49	1.08	47.9			
NBPS2	4.60	1.04	39.3			
NBdPS	4.15	1.03	32.5			
Bottlebrush Polymers						
BBPS30	167	1.19		47.9	30.4	86.3
BBPS70	382	1.07		47.9	69.5	88.3
BBPS180	989	1.24		47.9	180.2	85.5
BBPS260	1425	1.18		47.9	259.6	90.2
BB(PS- <i>co</i> -dPS) 45	201	1.05		36.6 ^f	45.4	93.8
BB(PS- <i>co</i> -dPS) 80	354	1.21		36.6 ^f	80.1	97.1
BBPS146	645	1.04		39.3	146.0	93.3
BBPS350	1547	1.14		39.3	349.6	94.0

^a M_n is the number-averaged molecular weight. Determined by ^1H NMR. ^b $\bar{D}$ is the molecular-weight dispersity. Determined by GPC-RI analysis. ^c N_{sc} is the side-chain degree of polymerization. Determined by ^1H NMR. ^d N_b is the backbone degree of polymerization. Determined by the ratio of M_n of the BBPS to the M_n of the NBPS. ^e ρ indicates the conversion of the macromonomer. Conversion determined by GPC-RI analysis. ^fFor bottlebrush polymers with PS and dPS side chains, N_{sc} represents the average side-chain degree of polymerization.

184 evaporation rate of the casting solvent. Similarly, we found that
185 solvent annealing of blend films could increase segregation
186 indicating that the presence of solvent during casting does play
187 an important role in driving the segregation of bottlebrushes
188 toward surfaces. This study provides new insights into factors
189 that affect stratification when casting thin film blends and
190 potentially provides a general route to tailor thin film surface
191 properties using bottlebrush polymer additives.

192 ■ EXPERIMENTAL SECTION

193 **Materials.** All chemical reagents were purchased from commercial
194 sources and used as received unless noted otherwise. Silicon wafers
195 were washed with Hellmanex III, deionized water, acetone, and
196 isopropyl alcohol with sonication for 15 min for each solvent. Then,
197 the wafers were treated with UV/ozone for 30 min to remove
198 contaminants. 2,2'-Azobis(2-methylpropionitrile) (AIBN) was puri-
199 fied by recrystallization in methanol. Styrene and styrene- d_8 (Sigma-
200 Aldrich Co., LLC) were passed through an alumina column to remove
201 the inhibitor. *exo*-5-Norbornenecarboxylic acid (*exo*-NBCOOH) and
202 third-generation Grubbs catalyst, ichloro[1,3-bis(2,4,6-trimethylphen-
203 yl)-2-imidazolidinylidene] (benzylidene)bis(3-bromopyridine)-
204 ruthenium(III), were purchased from Sigma-Aldrich Co., LLC. *exo*-5-
205 Norbornene-2-methanol (*exo*-NBOH)³⁷ and ((1S,2R,4S)-bicyclo-
206 [2.2.1]hept-5-en-2-yl)methyl-4-cyano-4-(((dodecylsulfanyl)-
207 carbonothioyl)-thio)-pentanoate (NBCTA)²² were synthesized as
208 previously reported. Linear dPS polymers were purchased from
209 Polymer Source, Inc. (Table 1).

210 **Norbornene-Functionalized Polystyrene Macromonomer**
211 (**NBPS**). NBPS was synthesized by reversible addition–fragmentation
212 chain transfer (RAFT) polymerization as previously described, with
213 modifications.²² NBCTA (150.2 mg, 0.295 mmol), styrene (3.37 mL,
214 29.5 mmol), and AIBN (0.95 mg, 0.0059 mmol) were dissolved in 4

mL of anhydrous tetrahydrofuran (THF) in a Schlenk tube equipped
215 with a stir bar. The Schlenk tube was degassed by three freeze–
216 pump–thaw cycles. After the degasification step, the tube was heated
217 to 80 °C to start the reaction. As the reaction progresses, aliquots
218 were taken and tested by gel permeation chromatography (GPC) to
219 monitor the molecular weight. After reaching the target molecular
220 weight, the reaction was stopped by exposing the solution to the
221 atmosphere. Then, the polymer was precipitated in cold methanol and
222 collected by filtration. The polymer was dissolved in THF and
223 reprecipitated in cold methanol two more times to further purify the
224 macromonomer. ^1H nuclear magnetic resonance (^1H NMR) and
225 GPC analyses are presented in the Supporting Information, Figures
226 S1 and S2, respectively.

228 **Norbornene-Functionalized Perdeuterated Polystyrene Macro-**
229 **monomer (NBdPS).** NBCTA (148.7 mg, 0.292 mmol), deuterated
230 styrene (3.34 mL, 29.2 mmol), and AIBN (0.94 mg, 0.0058 mmol)
231 were dissolved in 4 mL of anhydrous THF in a Schlenk tube equipped
232 with a stir bar. The Schlenk tube was degassed by three freeze–
233 pump–thaw cycles. After the degasification step, the tube was heated
234 to 80 °C to start the reaction. As the reaction progresses, aliquots
235 were taken and tested by GPC to monitor the molecular weight. After
236 reaching the target molecular weight, the reaction was stopped by
237 exposing the solution to the atmosphere. Then, the polymer was
238 precipitated in cold methanol and collected by filtration. The polymer
239 was dissolved in THF and reprecipitated in cold methanol two more
240 times to further purify the macromonomer. GPC analysis is presented
241 in the Supporting Information, Figure S3.

242 **Bottlebrush Polystyrene (BBPS).** BBPS was synthesized in a
243 nitrogen-filled glove box. The predetermined amount of NBPS was
244 added into a vial equipped with a stir bar. Anhydrous DCM was
245 added to the vial targeting a total macromonomer concentration of
246 0.02 M. The pre-measured amount of third-generation Grubbs
247 catalyst was dissolved in anhydrous DCM and was added into the vial

(feed ratios of macromonomer to catalyst are shown in Table). After overnight reaction, the product was precipitated in cold methanol and collected by filtration. GPC analyses are presented in the Supporting Information, Figures S2 and S3.

Bottlebrush Polystyrene-co-perdeuterated Polystyrene (BB(PS-co-dPS)). BB(PS-co-dPS) was synthesized in a nitrogen-filled glove box. The predetermined amount of NBPS and NBdPS (NBPS:NBdPS = 6:4) was added into a vial equipped with a stir bar. Anhydrous DCM was added to the vial targeting a total macromonomer concentration of 0.02 M. The pre-measured amount of third-generation Grubbs catalyst was dissolved in anhydrous DCM and was added into the vial. After overnight reaction, the product was precipitated in cold methanol and collected by filtration. GPC analysis is presented in the Supporting Information, Figure S3.

Film Preparation. The bottlebrush polymer and linear polymer were dissolved in different casting solvents (chlorobenzene, toluene, 50/50 THF + toluene, and THF) at a total composition of 5 wt % solids. The mass ratio of bottlebrush polymer to linear polymer was 1:9 in all cases, and the mass ratio in binary bottlebrush blends was always 1:1. Films were cast by flow coating polymer blend solutions (15 μ L) onto pre-cleaned silicon wafers.³⁸ The gap height was set as 200 μ m for all films. Most film thicknesses ranged from 140 to 200 nm (Supporting Information, Tables S1–S5). Thermal annealing was performed inside a nitrogen-filled glovebox at 150 $^{\circ}$ C for 2 days.

Instrumentation. GPC. GPC was performed using an Agilent Technologies 1200 series module, with THF at 1 mL/min. The module was equipped with three PSS SDV columns in series (100, 1000, and 1000 $\text{\AA}$ pore sizes), an Agilent variable-wavelength UV/vis detector, a Wyatt Technology HELEOS II multiangle laser light scattering (MALLS) detector ($\lambda = 658$ nm), and a Wyatt Technology Optilab rEX refractive index (RI) detector. The flow rate of mobile phase THF was 1 mL/min at 40 $^{\circ}$ C. The mass conversion of the bottlebrush polymer was calculated by comparing integrated RI peak areas for the bottlebrush polymer and macromonomer. The absolute molecular weight of the bottlebrush polymer was determined by static light scattering, and dn/dc was determined by RI analysis assuming 100% mass recovery of the bottlebrush polymer.

NMR Spectroscopy. ^1H NMR spectra were measured on Bruker 600 MHz spectrometers. ^1H NMR chemical shifts were reported in parts per million relative to internal solvent resonances.

Optical Microscopy (OM). Optical micrographs were captured by a Zeiss Axioplan 2 polarizing optical microscope operating in the reflectance mode.

Atomic Force Microscopy (AFM). AFM was performed using an NX20 atomic force microscope. The topography and phase contrast were measured by the tapping mode. The probes were silicon, with a spring constant of approximately 9 N/m and a resonant frequency of 115 kHz. The parameters used for image acquisition were 1.0 Hz scan frequency, 5 $\mu\text{m} \times 5 \mu\text{m}$ scan size, and 256 $\times$ 256 image resolution.

Time-of-Flight Secondary Ion Mass Spectrometry (ToF-SIMS). Positive high mass resolution depth profiling was performed using a ToF-SIMS NCS instrument, which combines a ToF.SIMS5 instrument (ION-TOF GmbH, Münster, Germany) and an in situ scanning probe microscope (NanoScan, Switzerland) and is maintained by the Shared Equipment Authority from Rice University. Bunched 30 keV Bi^{3+} ions (with a measured current of 0.2 pA) were used as primary probe for analysis (scanned area 100 $\times$ 100 μm^2), and sputtering was performed using Ar1500+ ions at 10 keV with a typical current around 0.7 nA, rastered area 500 $\times$ 500 μm^2 . The beams were operated in non-interlaced mode, alternating one analysis cycle and one sputtering cycle (corresponding 1.63 s) followed by a pause of 5 s for charge compensation with an electron flood gun. An adjustment of the charge effects has been operated using a surface potential of 0 V and an extraction bias of 20 V. During the depth profiling, the cycle time was fixed to 200 μs (corresponding to $m/z = 0$ –3649 amu mass range). All depth profiles have been point-to-point normalized by the total ion intensity, and the data have been plotted using a three-point adjacent averaging. Both normalization and smoothing have permitted a better comparison of the data from the different samples. The depth calibrations have been established based on the measured thicknesses

using the surface profiler to obtain a line scan of the craters with the in situ scanning probe microscopy (SPM) by contact scanning.

Determination of Depth-Dependent Bottlebrush Polymer Compositions in Blend Films. The distribution of PS in blend films was determined through ToF-SIMS measurements. We used C_7H_7^+ , C_7D_7^+ , and Si^+ ion signals to track PS, dPS, and silicon, respectively. The distribution of BBPS in the linear dPS matrix was determined through calibration and measurement of the $\text{C}_7\text{H}_7^+/\text{C}_7\text{D}_7^+$ ion intensity ratio (Supporting Information). To calibrate ion intensity ratios, we measured the $\text{C}_7\text{H}_7^+/\text{C}_7\text{D}_7^+$ ion intensity ratio for a series of PS ($M_n = 4.6$ kg/mol) and dPS40 ($M_n = 4.5$ kg/mol) blends at known mass ratios. For each blend, we determined the average $\text{C}_7\text{H}_7^+/\text{C}_7\text{D}_7^+$ ion intensity ratio through ToF-SIMS. Then, we produced a linear fit of secondary ion intensity ratio as a function of PS mass composition and used this relation to determine the PS mass concentration based on measured secondary ion intensity ratios from the blend films. The resulting mass compositional distributions were integrated and normalized with respect to the known PS content in each film. The measured ion intensity ratios along with a linear fit to each dataset are presented in the Supporting Information, Table S6 and Figure S4.

Determination of Interfacial Excesses. The surface, substrate, and total excesses were determined through integration of the depth-dependent compositions of the bottlebrush polymers:

$$z_{\text{surf}}^* = \int_0^{h/2} [\varphi(z) - \varphi^0] dz$$

where surface excess is denoted as z_{surf}^* , h is the thickness of the film, $z = 0$ corresponds to the film–air interface, and $z = h$ corresponds to the film–substrate interfaces. $\varphi(z)$ is the weight fraction of the bottlebrush in the film as a function of depth z .

RESULTS AND DISCUSSION

The main goal of the study was to understand how processing conditions affect the surface segregation of the bottlebrush polymers in bottlebrush/linear polymer blends. We primarily studied blends of bottlebrush polymers with polystyrene side chains (BBPS) blended with linear dPS (Figure 1a). This blend system was chosen because these polymers have small differences in polymer cohesive energies, similar solubilities, and approximately neutral interactions.^{19,20} We additionally studied some all-bottlebrush polymer blends, and to distinguish the bottlebrushes in the blends, one bottlebrush polymer was labeled with dPS side chains. The characteristics of these materials are provided in Table 1 and Supporting Information, Table S7. Across this series of samples, we varied N_m , N_b , the casting solvent used, and the post-deposition annealing conditions. We focus primarily on blends with $N_m/N_{sc} > 2$, except where indicated. Bottlebrush polymers with PS side chains or PS and dPS side chains were synthesized through a “grafting-through” ring-opening metathesis polymerization as shown in Supporting Information, Scheme S1. PS or dPS side chains were first synthesized by RAFT using an *exo*-norbornene-functionalized chain transfer agent (CTA). After synthesizing the macromonomers, bottlebrush polymers with varying backbone degree-of-polymerization N_b (30–350) were synthesized by ring-opening metathesis polymerization (ROMP). GPC analysis was used to confirm the high macromonomer conversion to bottlebrush polymer (Supporting Information, Figures S2 and S3).

First, we were interested in understanding whether the relative Pe numbers of bottlebrush polymer additive and linear polymer could predict enrichment or depletion at the surface, as has been observed in polymer/polymer, polymer/colloid, and colloid/colloid blends. We prepared a series of bottlebrush/linear polymer blends with systematically varying

ratios of Pe numbers. Here, we define the Pe number ratio as that of the larger constituent to the smaller one, e.g., Pe_{BB}/Pe_{Linear} , where Pe_{BB} and Pe_{Linear} are the Pe numbers for the bottlebrush and linear polymers, respectively. We varied this ratio by varying the backbone length of the bottlebrush polymers ($N_b = 30$ –260) (Table 1) while keeping the lengths of the linear polymer and bottlebrush side chains constant (dPS205, DP = 205, $N_m/N_{sc} = 4.3$). The Pe values for each polymer were determined by using viscometry to estimate the hydrodynamic radius of the linear polymer ($R_h = 4.9$ nm) and bottlebrush polymers ($R_h = 7.1$ –16.1 nm). We assumed that the solvent evaporation rate was the same for these blends, as each solution contained the same linear polymer (dPS205), which comprised 90 wt % of solids in each solution. For the blends, the weight fraction of the BBPS was 10 wt %, and we analyzed both as-cast and thermally annealed (2 days at 150 °C) films. We used both OM (Supporting Information, Figures S5 and S6) and AFM (Supporting Information, Figures S7 and S8) to verify the uniformity of the films after casting. ToF-SIMS was used to determine the distribution of the BBPS throughout the films. Uncalibrated depth profiles for all blend film samples (uncalibrated intensity versus sputter time) are presented in the Supporting Information, Figures S9 and S10. In the main manuscript, we focus exclusively on segregation of the bottlebrush polymers toward the film–air interface, at film depth = 0%. Bottlebrush polymers also segregated toward the film–substrate interface. Full-depth profiles for all blend films and film thicknesses are provided in the Supporting Information.

In contrast to prior studies of solution-processed binary blends, which have reported stronger surface enrichment for the solute with smaller Pe , we observed enrichment of the bottlebrush polymer in all cases. To quantify the segregation of the bottlebrush polymers with varying N_b , we calculated the surface excess of the BBPS for each case (Figure 2b), and this shows that the surface excess of the BBPS increased with the number of backbone repeat units N_b , or equivalently, with increasing Pe ratio (Pe_{BB}/Pe_{Linear}). In contrast, after thermal annealing of the films for 2 days, we observed a measurable but much weaker surface enrichment of the bottlebrush. A representative depth profile after thermal annealing ($N_b = 260$) is shown in Figure 2a, and depth profiles for all blend films after annealing are provided in the Supporting Information, Figure S11. This observation is consistent with prior studies^{1,19,20,22–24} and indicates that the strong surface enrichment observed in as-cast films is due in large part to processing effects during casting.^{19,22,23}

To understand the role of the ratio of the Péclet numbers on surface enrichment in blend films, we prepared blends of either bottlebrush and linear polymer (blends 1 and 2) or two different bottlebrush polymers (blends 3 and 4). Across all of these blends, the ratio of the Pe numbers (Pe_{large}/Pe_{small}) was approximately 2.1 (Table 2). This ratio is arbitrary and chosen out of convenience, and we note that prior studies of stratification in colloidal or polymer–colloid blends have generally focused on larger Pe ratios (>4).^{32,39,40} We cast each of these blends using either a high boiling point solvent (chlorobenzene), a moderate boiling point solvent (toluene), or a low boiling point solvent (THF) and analyzed the depth-dependent film concentration using ToF-SIMS to quantify the surface excess of the larger constituent. The surface excess of the larger constituent in the blend is shown in Figure 3, and uncalibrated depth profiles are presented in the Supporting

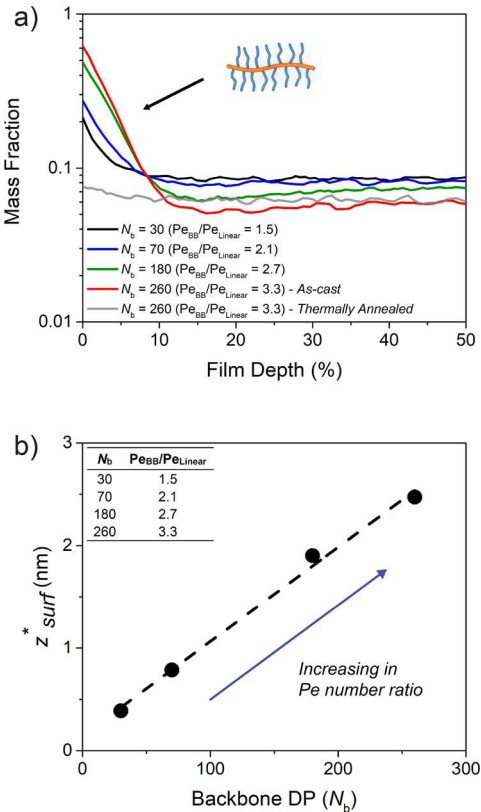


Figure 2. Depth profile analysis and quantification of surface enrichment in blends of BBPS with dPS205 in as-cast films. (a) Mass composition of BBPS with varying N_b as a function of film depth in blend films with dPS205 ($N_m/N_{sc} = 4.3$). Chlorobenzene was used as a casting solvent ($Pe_{Linear} = 1.9$). The polymer–air interface and middle of the film are at 0 and 50% film depths, respectively. (b) Surface excess of bottlebrush in the same blend films, calculated from depth profiles shown in (a).

Table 2. Hydrodynamic Radius (R_h) of the Bottlebrush and Linear Polymers

blend	polymer	R_h^a (nm)	Pe ratio ^b
1 ^c	BBPS70	10.4	2.12
	dPS205	4.9	
2 ^d	BBPS260	16.1	2.15
	dPS548	7.5	
3	BB(PS-co-dPS)45	6.1	2.11
	BBPS146	12.9	
4	BB(PS-co-dPS)80	8.2	2.17
	BBPS350	17.8	

^aMeasured by GPC viscometry. ^bPéclet number ratio for larger to smaller solutes in each blend. ^c $N_m/N_{sc} = 4.3$. ^d $N_m/N_{sc} = 11.4$; the Pe number of the smaller particles was greater than 1.9 for all blends and solvents studied.

Information, Figure S12. Both OM and AFM were used to verify the uniformity of the films, and these data are provided in the Supporting Information, Figures S13 and S14. In contrast to other binary blend systems (e.g., colloid/colloid, polymer/colloid, and polymer/polymer), the blends of the bottlebrush and linear polymers clearly showed segregation of the larger constituent (bottlebrush polymer) toward the film–air surface. Of the four blends shown in Table 2, the surface excess of the bottlebrush polymer was highest for blend 2, which contained the highest N_m/N_{sc} and the largest N_b . The

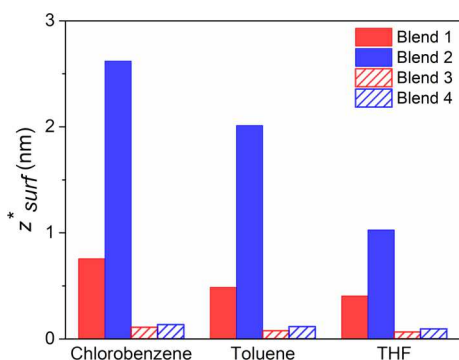


Figure 3. Surface excess of the BBPS when blended with linear dPS (cases 1 and 2) and with BB(PS-co-dPS) (cases 3 and 4). Three different casting solvents with different evaporation rates were used to cast the film.

surface excess of the bottlebrush polymer scaled with solvent volatility and not solubility, which suggests that differences in solvent volatility are more important. In all cases, the BBPS segregated to the film–air interface, but the degree of segregation of the bottlebrush additives to the film surface depended strongly on the evaporation rate of the casting solvent, which might also influence the vitrification of the films. Blend films cast with chlorobenzene exhibited the highest surface concentration (film surface concentration of 62 wt %) while those cast with THF exhibited the lowest surface concentration (26 wt %). Blend films cast with toluene or a 50/50 (mole-to-mole) blend of THF and toluene exhibited surface concentrations between these two limits. Similar trends were observed for the blends with varying N_b ($N_b = 30$ – 180), and depth profiles are presented in the Supporting Information, Figure S16. Segregation toward the film–air interface was strongest for higher backbone lengths N_b . We also verified that the differences in segregation behaviors observed for these films were due to solvent evaporation rates by thermally annealing the films at $150\text{ }^{\circ}\text{C}$ for 2 days. As shown in Figure 4b and Supporting Information Figure S17, only very weak segregation toward the film–air interface was observed for thermally annealed films, and the surface excess was independent of the casting solvent in thermally annealed films. This is also reflected in the concentration of the

surface excess of the bottlebrush polymer decreased as the backbone length of the bottlebrush polymer and the length of the linear polymer were reduced (blend 1 compared with blend 2). Blends of two bottlebrush polymers having the same N_{sc} and different N_b (blends 3 and 4) showed no preferential enrichment of either component, which we attribute to both polymers having the same chain-end density (set by N_{sc}), which highlights the importance of entropic effects on surface segregation. We also observed an impact of the casting solvent, with the highest surface enrichment observed in films cast from the highest boiling point solvent (chlorobenzene). Since the Pe number ratio is approximately the same across all of these samples, it cannot account for variations observed. These measurements indicate that polymer architecture and the solvent evaporation rate all have a significant impact on surface excess in as-cast films, while the Pe ratio is not informative.

To further investigate the effect of the solvent evaporation rate, we analyzed thin film blends of BBPS ($N_b = 260$, $N_{sc} = 48$) with linear dPS205 ($DP = 205$, $N_m/N_{sc} = 4.3$) prepared using four different casting solvents with different evaporation rates (Table 3). In all cases, the weight fraction of the BBPS was 10 wt %, and we analyzed both as-cast and thermally annealed (2 days at $150\text{ }^{\circ}\text{C}$) blend films. The solvents chosen were all good solvents for polystyrene and had similar solubility parameters (18.2, 19.4, and $19.6\text{ MPa}^{1/2}$ for toluene, THF, and chlorobenzene, respectively⁴¹). We also found that

Table 3. Evaporation Rates for Different Casting Solvents Used in This Study

solvent	saturated vapor pressure at $25\text{ }^{\circ}\text{C}$ (MPa)	evaporation rate ($\mu\text{m/s}$) ^a	$C_{\text{surf, as-cast}}$ (%) ^b	$C_{\text{surf, annealed}}$ (%) ^c
chlorobenzene	0.0016	3.76	62	7.6
toluene	0.0038	7.46	48	7.8
50/50 THF + toluene	0.0137	13.4	38	8.0
THF	0.0235	18.2	26	8.0

^aThe solvent evaporation rate was measured as described in the Supporting Information. ^b $C_{\text{surf, as-cast}}$ is the concentration of the BBPS ($N_b = 260$) at the surface immediately after casting. Determined by first data point on the ToF-SIMS depth profile (average of three data points). ^c $C_{\text{surf, annealed}}$ is the concentration of the BBPS ($N_b = 260$) at the surface after annealing at $150\text{ }^{\circ}\text{C}$ for 2 days. Determined by first data point on the ToF-SIMS depth profile (average of three data points).

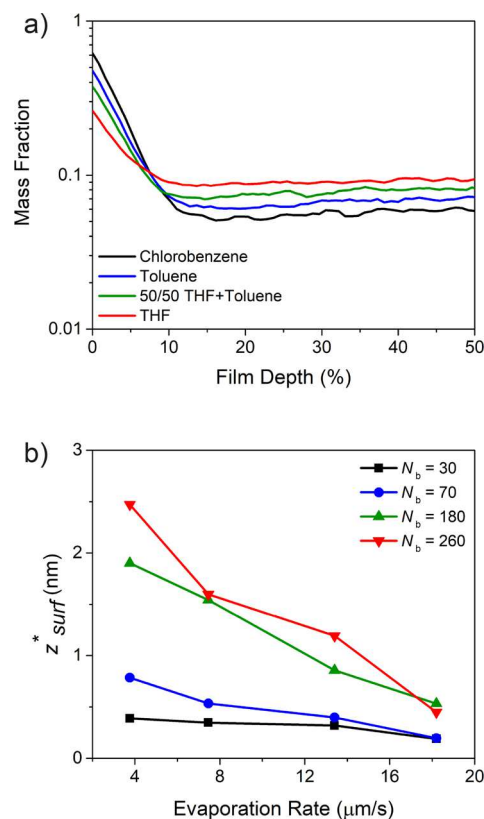


Figure 4. Depth profile analysis and quantification of surface enrichment in blends of bottlebrush polymers with linear polymers. (a) Mass composition of BBPS ($N_b = 260$) with varying casting solvents as a function of film depth in blend films with linear dPS205 ($N_m/N_{sc} = 4.3$). The polymer–air interface and middle of the film are at 0 and 50% film depths, respectively. (b) Surface excess as a function of evaporation rate of the casting solvent (as-cast). Four different types of casting solvent were used to prepare the films.

bottlebrush at the film surface (Table 3) that decreases significantly after annealing and is independent of the casting solvent. This demonstrates that thermally annealed films have reached the equilibrium state, which is distinct from that after casting.

In prior work, we found that vertical stratification generally does not occur when the length of the linear polymer N_m is less than twice that of the bottlebrush side-chain length. This reflects an entropic preference for short polymers and polymer chain ends near interfaces, but these prior studies did not investigate potential effects of the solvent evaporation rate. To test for segregation of bottlebrush polymers in blends with $N_m/N_{sc} \sim 2$, we prepared blends of BBPS ($N_b = 260$, $N_{sc} = 48$) and linear dPS40 cast from different solvents ($N_m/N_{sc} = 0.8$). Both OM and AFM were used to verify the uniformity of the films, and these data are provided in the Supporting Information, Figures S18 and S19. Depth profiles are presented in the Supporting Information Figure S20. We observed that the casting solvent did not have a significant impact on bottlebrush stratification, with the bottlebrush polymer depleting near the film–air interface. Some stratification was observed toward the substrate interface as the evaporation rate of the casting solvent decreased (Supporting Information Figure S21), but the bottlebrush polymer was depleted near the film–air surface rather than enriched. For blends with $N_m/N_{sc} < 2$, the casting solvent did not have a significant impact on the distribution of the bottlebrush polymer throughout the film, as the bottlebrush was always depleted near the surface. Together with our results for blend films with varying bottlebrush backbone lengths with $N_m/N_{sc} > 4$ (Figures 2 and 4), these results demonstrate that entropic effects contribute for driving bottlebrush additives to the interface during film processing.

Finally, we studied the effect of solvent annealing on the segregation of the bottlebrush polymer. This was motivated by a prior study in which it was hypothesized that segregation of the bottlebrush polymers to interfaces during casting was due in part to the presence of solvent vapors, which can reduce the importance of the polymer–polymer interactions. To test this, we first thermally annealed blend films, resulting in films with BBPS only weakly segregated toward the film–air interface, and then solvent annealed the films. Both OM and AFM were used to verify the uniformity of the films, and these data are provided in the Supporting Information, Figures S22 and S23.

As shown in Figure 5 and the Supporting Information Figure S24, in blends of BBPS ($N_b = 180$) and dPS548 (DP = 548, $N_m/N_{sc} = 11.4$) with chlorobenzene as an annealing solvent, solvent annealing significantly increased the concentration of BBPS near the film–air interface. The concentration of BBPS near the film–air interface increased after just 10 min of solvent annealing, and the concentration increased further with 5 h of solvent annealing. Interestingly, solvent annealing was not sufficient to fully recover the enrichment observed in as-cast films, suggesting that other processing effects (e.g., solvent evaporation, solute diffusion) are also important in driving bottlebrush additives toward the film–air interface during film casting. These results demonstrate that solvent annealing can drive bottlebrush additives to the film–air interface.

We hypothesize that segregation of the bottlebrush polymer occurs due to entropic effects that are relevant during solvent evaporation. In solvent-swollen films, polymer–polymer interactions are relatively unimportant due to the presence of solvent, and the bottlebrush polymers segregate to the film surface due to more favorable entropic effects. Stronger surface

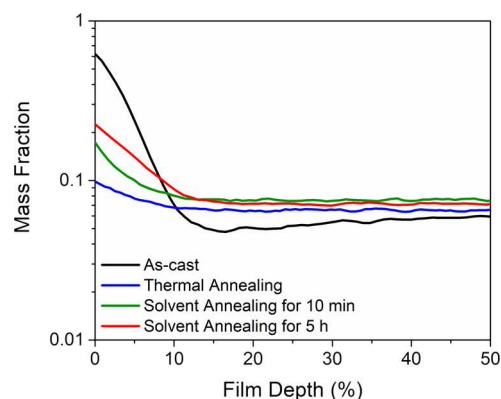


Figure 5. Mass composition of BBPS ($N_b = 180$) as a function of film depth in blend films with linear dPS548 ($N_m/N_{sc} = 11.4$). The polymer–air interface and middle of the film are at 0 and 50% film depths, respectively.

segregation is observed for higher boiling point solvents, as there is more time for the bottlebrush to diffuse to the interface and vitrification of the film is delayed due to slower evaporation. Film drying, which starts at the top and progresses through the film, effectively arrests polymer diffusion, resulting in bottlebrush polymers enriched at the top surface of the film. In dry films, polymer–polymer interactions become more important, and it is favorable for the bottlebrush additives to migrate to the bulk film and increase the entropy of mixing.

CONCLUSIONS

We studied the blend system of BBPS and linear dPS to understand processing effects on surface segregation of bottlebrush polymers. In our studies, the Pe number was systematically varied through changes in bottlebrush backbone length (N_b) and solvent evaporation rate. In contrast to other binary blend systems, such as colloid/colloid, polymer/colloid, and polymer/polymer, variations in the Pe number by itself were not predictive of vertical stratification of the blends. Instead, we found that the degree of surface excess increases with length of the bottlebrush backbone (N_b). Enrichment of the bottlebrush near the film–air interface was only observed for $N_m/N_{sc} > 2$, pointing to an entropically mediated segregation during processing. We also tested four different casting solvents with varying evaporation rates, and stronger surface excess was observed as the evaporation rate of the casting solvent decreased. Finally, to explain the excessive surface segregation of bottlebrush polymers during casting state, we studied the effect of the solvent annealing on the segregation of the bottlebrush polymer. We also found that we could reversely control the vertical stratification behavior by solvent annealing the film and the surface enrichment of the bottlebrush depended on the solvent annealing times. These results demonstrate that bottlebrush additives migrate where there is a favorable entropic attraction, and processing can enhance the degree of surface enrichment. This work can also lead to new approaches for tailoring surface properties of polymeric materials.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.macromol.2c01418>.

¹H NMR and GPC characterization, polymer film thickness, details on methods for ToF-SIMS calibration, hydrodynamic radius (R_h) of bottlebrush and linear polymers, schematic of the BBPS and BB(PS-co-dPS) synthesis method, OM images of the polymer film, AFM analysis of the polymer film, calibrated and uncalibrated full-depth profiles of the polymer blends, detailed description of calculating the evaporation rate of the solvent, and surface excess of the BBPS/dPS blends after thermal annealing (PDF)

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Notes

The authors declare no competing financial interest.

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