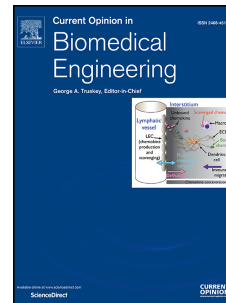


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Science-based strategies of antibacterial coatings with bactericidal properties for biomedical and healthcare settings

Rakesh Pemmada, Aishwarya Shrivastava, Madhusmita Dash, Kuiyan Cui, Prasoon Kumar, Seeram Ramakrishna, Yubin Zhou, Vinoy Thomas, Himansu Sekhar Nanda



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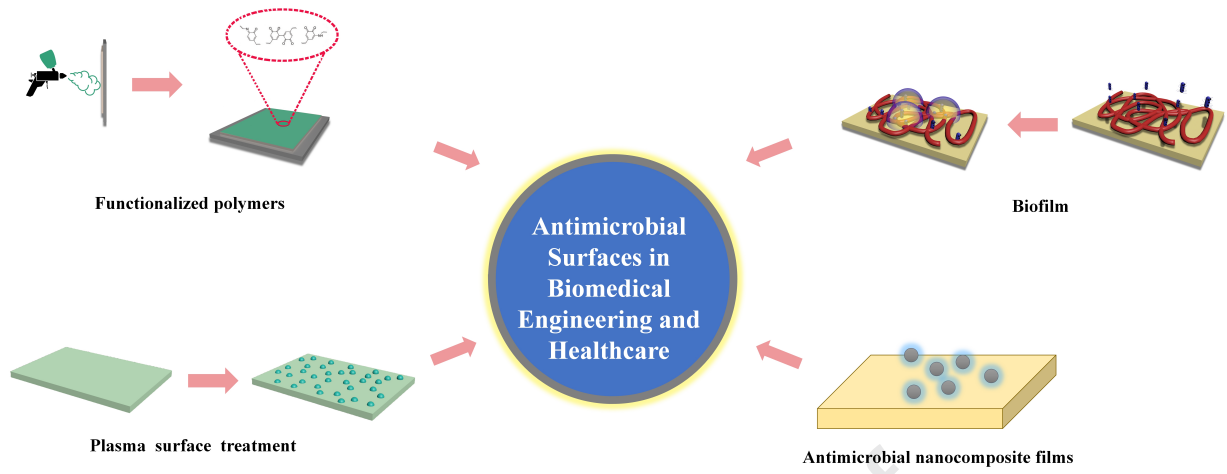
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Journal Pre-proof

1 **Science-based strategies of antibacterial coatings with bactericidal properties**  
2 **for biomedical and healthcare settings**

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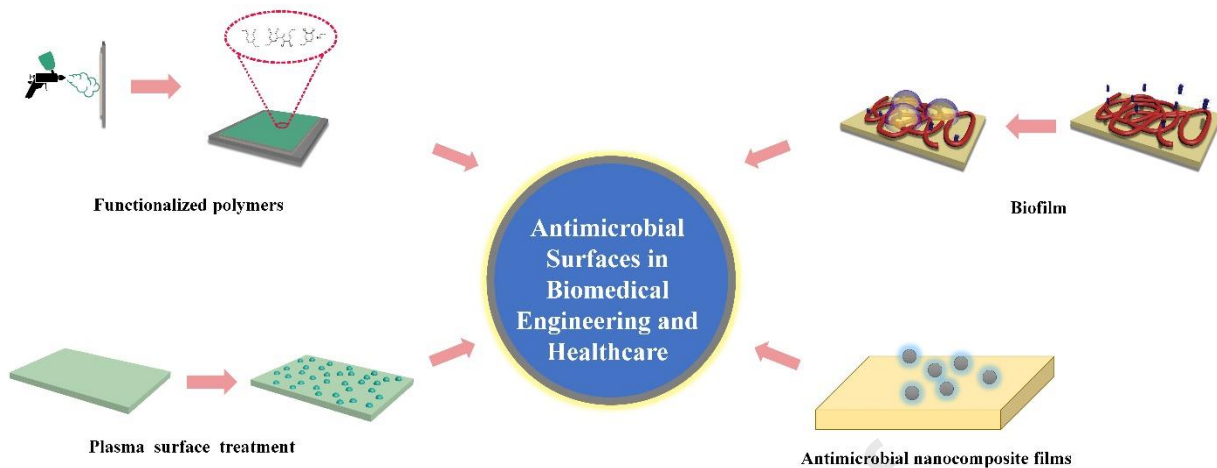
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## 26 **Abstract**

27 Contamination transmission in biomedical and healthcare settings is a significant challenge due to  
28 inadequate microbiological protection from anti-infection agents and disinfectants. Antimicrobial  
29 surfaces have been used as a current hygiene method to combat the growing microbes.  
30 Interestingly, several approaches have been developed to block biofilm formation by integrating  
31 the biocidal agents. Currently, the primary focus is on creating a contact-killing surface or a surface  
32 that may reduce the microbial load to a level below threshold. This review focuses on introduction  
33 of antimicrobials into the surfaces through various science-based strategies for reducing the  
34 bacterial contamination within different medical services environment. This incorporates  
35 effectively settled methods, and strategies consolidating inorganic and natural biocides with  
36 bactericidal properties into the polymer matrix and surface coatings to reduce the bacterial  
37 contamination.

38 **Keywords:** Biomedical; polymers; biocides; coatings; surface modification; bactericidal;  
39 antimicrobial

## 40 **Graphical abstract**



41

## 42 1. Introduction

43 The quality of life is gradually improving as a result of advanced surgical procedures and the  
 44 implantation of biomedical devices [1]. Currently, implants find their applications in various parts  
 45 of the body like orthopedic, cardiovascular, dental, and many others. To develop these implants,  
 46 diverse materials have been studied such as metals, metallic alloys, ceramics, polymers, polymer  
 47 composites, and others [2]. Healthcare systems assay to limit the contamination hazard on these  
 48 temporary implants by taking preventive measures at regular intervals [3]. These safeguard  
 49 substitution plans decree appreciable expenses to medical and healthcare systems. Enhanced  
 50 methodologies in sterilization have much diminished the recurrence of the initial phase  
 51 contaminations in implants. The prevalence of diseases that developed after several weeks or  
 52 months after surgery poses to be a major problem. Such crucial diseases might have occurred by  
 53 the planktonic microscopic organisms coursing in the vascular framework. Regardless of the  
 54 significant attempts in fabricating implantable biomedical devices, bacterial diseases persist due  
 55 to bacterial attachment and their growth on the surfaces [4]. In fact, bacterial adhesion on the  
 56 implants is regarded as one of the most significant global healthcare challenges, owing to its risk  
 57 of severe hazardous infections.

58 To reduce pathogenic bacteria-associated complications, bacterial adherence and  
59 colonization on the implants and devices should be considerably reduced. Many researchers  
60 focused on engineering the surface chemistry of the materials by making a hostile environment for  
61 bacterial adhesion and growth creating an antibacterial surface [5]. Generally, the antibacterial  
62 surfaces on the implants can be achieved by precise release of antibacterial agents, maintaining a  
63 bactericidal or antifouling surface using contact killing strategies [6]. Antibacterial coatings or  
64 antifouling polymers were also used as antibacterial strategies to mitigate the bacterial  
65 colonization. Several surface coatings have been developed for implants and devices using  
66 embedded antimicrobial nanoparticles, functionalized polymers, and inorganic-organic hybrid  
67 materials [7]. The bacterial species are usually targeted with the functional molecules through  
68 antifouling surfaces with antibacterial agents [8]. Due to the advantages of chemical modification,  
69 diverse materials are being employed with relatively lower fabrication costs. Although functional  
70 coatings demonstrate promising features, they usually undergo severe challenges like drug  
71 resistance, delamination, and hydrolytic degradation. Biomimetics has influenced materials  
72 science and engineering to aid the fabrication of advanced materials to mimic the native function  
73 of tissues or organs [9]. Some of the natural surfaces that show excellent antimicrobial properties  
74 prevent bacterial adhesion [10]. Apart from the natural surfaces, biomimicking can create the  
75 synthetic structures that mimic the surfaces with an antibacterial effect[11].

76 Hospital patients are at risk of communicable diseases in addition to the infectious disorders  
77 caused by microbes. Numerous individuals get sick while receiving the medical care as a result of  
78 microorganisms found in the hospital settings, on the medical staff, or on medical equipment.  
79 Nosocomial infection usually affects the patients and deteriorate their health and well being. The  
80 implementation of successful antimicrobial coatings can reduce the morbidity of nosocomial

81 infections caused due to the use of percutaneous, interventional, and implanted medical devices  
82 such as implantable cardioverter defibrillators, coronary stents, artificial hips, and contact lenses  
83 [12][13][14]

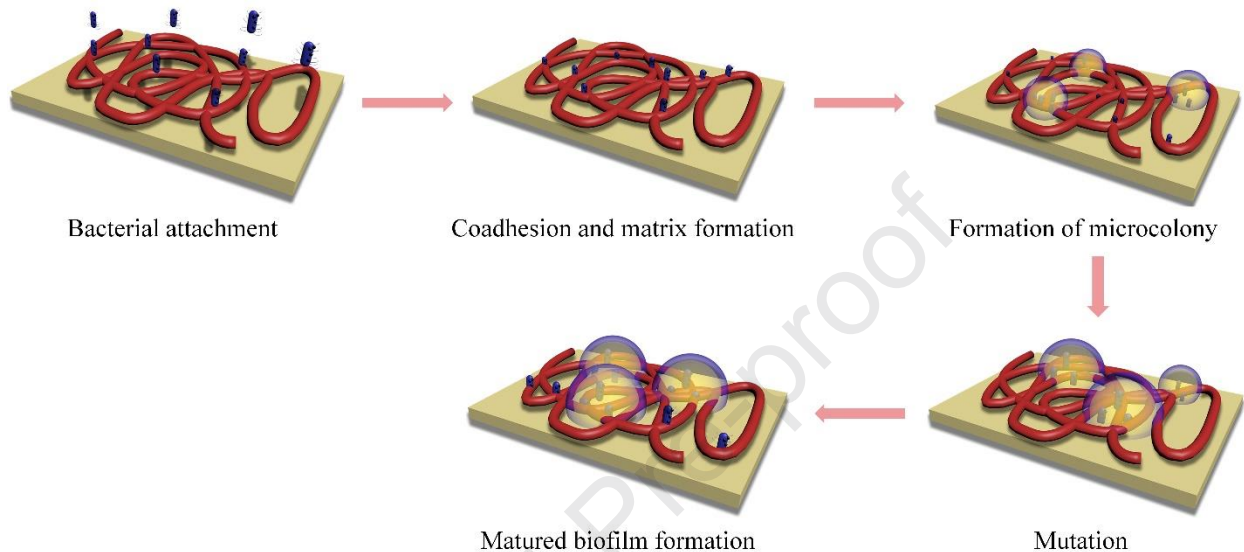
84 This review provides an expansive outline on bacterial contamination in the healthcare settings  
85 and the use of antibacterial strategies to mitigate those issues. Functionalized polymers,  
86 antimicrobial nanoparticle, and plasma surface modification as some of the science-based  
87 strategies are innately concentrated. It also provides an overview of most recent developments on  
88 antibacterial coatings, including the mechanism(s) by which the key component of the coating  
89 inhibits the growth of the biofilm formation. The article ends with a viewpoint on strategies  
90 implemented for biomedical and healthcare settings to protect from bacterial infections creating  
91 an antibacterial coating with bactericidal properties.

## 92 **2. Development of biofilm**

93 A biofilm is a cluster of microbial communities of the cells that are attached to a surface and  
94 embedded in a self-secreted matrix. This extracellular matrix consists mainly of the insoluble  
95 polysaccharides like alginate, proteins, lipids, flagella, pili, and eDNA [15]. Bacterial adhesion to  
96 a surface is dependent upon the surface topography and roughness. The variables affecting this  
97 adhesion and biofilm formation are electrostatic interactions, van der waals forces, hydrophobicity,  
98 and steric hindrance [16]. After adhering to a surface irreversibly, the microscopic organisms start  
99 to increase their number, co-exist, and produce an insoluble network of exopolymers to form the  
100 microcolonies. The development of a full-grown biofilm takes place, when the microcolonies are  
101 infiltrated by another microbial species. Such mature biofilms present a threat to the host because  
102 the microorganisms enclosed inside have the potential to detach and transmit the infections [17].

103 The development of a mature biofilm is a complex process, which includes an initial irreversible  
 104 attachment, maturation I and II and finally, dispersion as shown in the **Figure 1** [18].

105



106

107 **Figure 1.** Schematic showing the development of a mature biofilm. Adapted with the permission  
 108 from [18].

109 Biofilms shield singular cells from hostile factors like antimicrobial agents, supplemental  
 110 limitations, and immunologic protection frameworks. Cells in a biofilm are contrasted in their  
 111 genotypic and phenotypic expression from those of freely the suspended cells and these  
 112 distinctions make them firmly resistant to antibiotics [19]. Aside from the immunity presented by  
 113 the matrix, microbes in biofilms can utilize other survival mechanisms to dodge the host immune  
 114 systems. These microbes can remain dormant and hidden from immune system and cause local  
 115 tissue harm, which may later lead to acute infection. Inside a biofilm, the microscopic organisms  
 116 can adjust to an absence of oxygen environment (anoxia) and supplement limitation by displaying  
 117 altered metabolism, protein production, and gene expression, which may lead to lower the



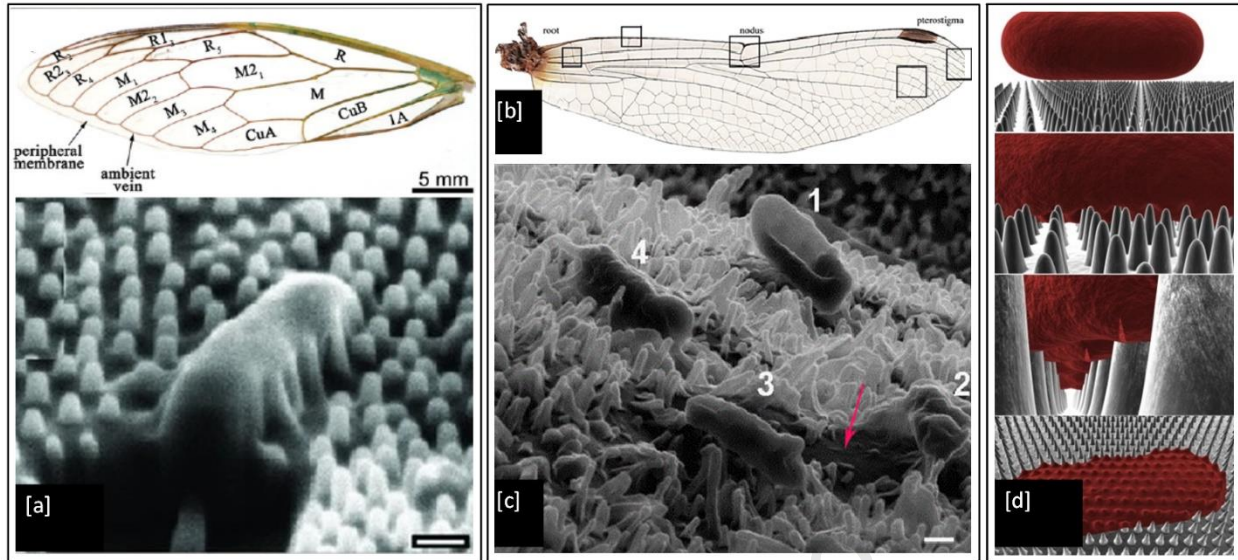
118 metabolic rates and diminish the rates of cell division. These transformations make the microbes  
119 more resistant to antimicrobial treatments by reducing the requirements for cellular functions that  
120 the antimicrobials meddle with or by inactivating the antimicrobial targets. Synchronous  
121 enactment of both natural and acquired immune responses in the host may happen during the  
122 biofilm contamination. Neither of them can kill the biofilm organism. Instead, they cause a  
123 definitive increase in the collateral tissue damage. In this way, the diseases related with biofilms  
124 are extremely persistent diseases grow gradually, rarely get resolved by the host's immune system,  
125 and respond inconsistently to antimicrobial treatments [20]. In fact, they are more challenging than  
126 the planktonic cells and have turned into a major cause of deaths around the world. Predoi et al.  
127 [21] used chemical co-precipitation method to produce cerium doped hydroxyapatite (Ce-HAp)  
128 powder  $\text{Ca}_{10-x}\text{Ce}_x(\text{PO}_4)_6(\text{OH})_2$  coatings with  $x = 0.05$  (5Ce-HAp). The results of the antimicrobial  
129 experiments demonstrated that the examined microbial strains of *E. coli* were successfully  
130 prevented from forming colonies using 5Ce-HAp coatings and solutions.

131 The simplest biofilm preventive measures have aimed to eliminate adhering microbes  
132 (antimicrobial) or to prevent microbial adhesion (antifouling). The excessive use of the antibiotics  
133 has led to the development of several pathogens that are resistant to antibiotics. Thus, antimicrobial  
134 therapy is becoming an increasingly challenging task to counter the contamination and infection.  
135 Hence, alternative strategies need to come up to tackle these problems.

### 136 3. Antibacterial surfaces for biomedical and healthcare settings

137 Desired biomaterials should possess certain biological properties that are related to the surface  
138 characteristics like biocompatibility, biodegradability, non-cytotoxic and anti-infective properties.  
139 The conventional understanding of surface interaction of bacterial pathogens can significantly  
140 affect the development of novel biomaterial-based implants. Bacterial cell adhesion and

141 proliferation can be controlled by engineering their surface properties. To prevent the development  
142 of biofilms on the surfaces of biomaterials, a surface must be capable of preventing bacteria's initial  
143 adhesion, eliminating any bacteria that have managed to penetrate the anti-adhesion barrier, and  
144 removing any dead bacteria that is present over the surface. It is crucial to comprehend the  
145 formation of these biofilms in detail. Excellent substrates for bacterial adhesion, colonization, and  
146 ultimately the biofilm formation are the biomaterials with coarse or permeable surfaces. **Figure 2**  
147 illustrates the cicada (**2a**) and dragonfly (**2b and 2c**) wings in which an individual gram-negative  
148 bacteria (*P. aeruginosa*, *B. catarrhalis*, *E. coli*, and *P fluorescens*) have been observed to sink and  
149 spread between nanopillars of the wing surfaces. Cell susceptibility did not appear to be influenced  
150 by the shape of the cells. The physical nanoprotrusions on the wing surface can harm and stretch  
151 microbial cells, which causes them to lyse and die [22][23][24]. This can give rise to  
152 the attachment and mechanical rupture of the bacterial cell wall, resulting in cell death within 20  
153 minutes[25]. Dragonfly wings have also been found to fight gram-negative and gram-positive  
154 bacteria. The capillary design of the dragonfly wing nanoprotrusions causes increased cell wall  
155 stress and deformation, resulting the cell wall rupture and subsequent cytosol fluid leakage as  
156 shown in the areas marked with red color of **figure 2c**. To demonstrate the surface interaction, a  
157 typical (cicada wing) spatiotemporal 3D model for nanopillar and bacterial cell interaction is  
158 presented in **figure 2d**.



159

160 **Figure 2.** (a) Cicada forewing structural physiology and SEM image of a *P. aeruginosa* cell sliding  
 161 between the nanopillars on the wing surface, (b) Common sand dragonfly wing images from  
 162 optical microscope, (c) *E. coli* bacteria captured by SEM adhering to a dragonfly wing's uncoated  
 163 nanopillar surface in various stages (the bacteria attachment are marked by numbers 1, 2, 3 and 4)  
 164 of death, with a red arrow highlighting the darker portion induced by cellular extravasation  
 165 overflowing the nanopillars, and (d) 3D spatiotemporal model of cicada wing nanopillar  
 166 interactions with rod-shaped bacterial cells. Adapted with the permission from [26].

167 Based on a near-infrared (NIR)-responsive organic/inorganic hybrid coating made up of gold  
 168 nanorods and polyethylene glycol (PEG), Zhao et al. [27] developed a functionalized polyurethane  
 169 surface (PU-Au-PEG) with antifouling and photothermal bactericidal capabilities. Under 808 nm  
 170 NIR irradiation, the PU-Au-PEG demonstrated significant photothermal bactericidal capabilities,  
 171 particularly against the multidrug-resistant bacteria, and demonstrated a high efficiency to resist  
 172 the bacterial adhesion. Superhydrophobic surfaces can maintain optimum air pockets in  
 173 microstructures to reduce the amount of water droplet contact with the materials and avoid  
 174 microbial contamination[28]. Strong interactions between hydrophilic materials on the water and

175 the surface results in the formation of super hydrophilic anti-adhesion surfaces. Most antibacterial  
176 surfaces perform two or more functions simultaneously killing and resistance or release. However,  
177 the bacterial resistance and biocompatibility frequently conflict with one another. Therefore, it is  
178 necessary to improve the composition of the surface to achieve an optimal performance. To induce  
179 the bacterial release, most antibacterial surfaces use non-specific external stimuli (including  
180 temperature, light, and salt ions)[29]. It is necessary to focus on the development of antibacterial  
181 surfaces with self-stimulating capability by using endogenous triggers with biological specificity  
182 to boost the material surface efficiency. Chemical modification drives most of the bactericidal  
183 strategies. On the other hand, regulating bacterial adhesion also depends on the inclusion of surface  
184 microscale topographical factors. By manipulating the surface topography, surface chemistries,  
185 and mechanical properties, polymers with multiple length scales can be combined into various  
186 molecular and supramolecular structures, leading to the production of antimicrobial surfaces that  
187 can be used in a range of biomedical applications [30]. In accordance with how the bacteria are  
188 eradicated, bactericidal surfaces can be divided into two categories: contact-based surfaces and  
189 release-based surfaces. Recently, the antibacterial surface with dual functionality has received a  
190 lot of attention. Antibacterial coatings may reduce bacterial colonization and as a result the  
191 frequency of healthcare-associated infections could be minimized. Antibacterial coatings either  
192 limit the growth of bacteria through antifouling coatings or eliminate the bacteria that are already  
193 adhered to the surface with the help of bactericidal coatings. Any bacteria that can adhere to an  
194 antifouling coating will grow, but on the surfaces with bactericidal coatings, the accumulation of  
195 dead bacteria and other debris leaves the room for new microorganisms to colonize [31]. It is  
196 crucial to design an antibacterial coating specifically for the application for which it is intended,  
197 both in terms of the coating's effectiveness and durability over the intended period of application.

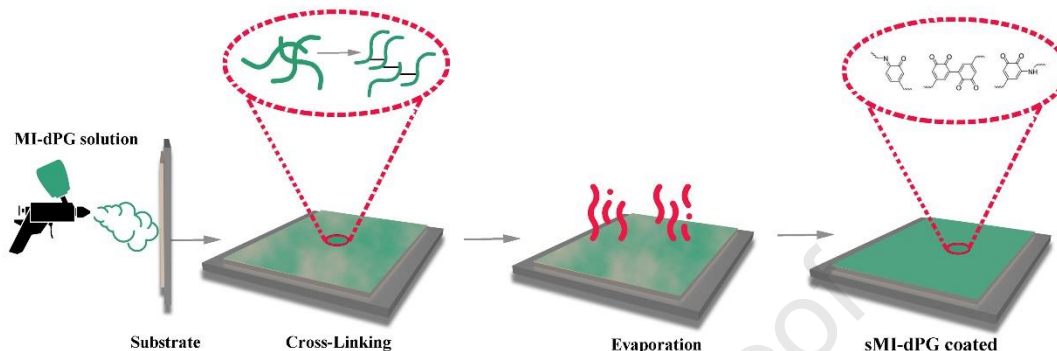
198 For instance, orthopedic implant's osseointegration may be severely impacted by the antifouling  
199 coatings, and high concentrations of cationic bactericidal polymers that may cause the hemolysis  
200 and platelet activation in a blood-contacting environment[32]. It is reasonable to assume that  
201 various biomedical applications will necessitate differing ideal ratios of bactericidal and  
202 antifouling components in the coating in order to achieve a high performance.

### 203 **3. Science based strategies for antibacterial surface**

#### 204 **3.1 Functionalized polymers as surface coatings**

205 Bacterial adhesion and colonization take place when the surface of the implants is covered by the  
206 proteins adsorbed on to their surfaces. The antibacterial surface can be optimized by implementing  
207 the antifouling properties that repel these proteins from the surfaces. Clinical contaminants present  
208 one of the main impediments related to the implantation of any biomaterial after a medical  
209 procedure. Chua et al. [33] explored the utilization of polyelectrolyte multilayers (PEMs)  
210 involving hyaluronic acid (HA) and chitosan (CH) to give antibacterial properties on titanium (Ti)  
211 substrate. Yazici et al. [34] designed an engineered chimeric peptides with freely displayed  
212 antimicrobial domains as an antibacterial surface for application in orthopedic implants. Christoph  
213 et al. [35] developed a mussel polymer-based substrate-independent spray coating method for  
214 modifying the substrate using mussel-inspired dendritic polyglycerol (MI-dPG). This is a  
215 straightforward strategy for setting up a superhydrophobic, water-repellent coating by  
216 coformulation of the mussel-inspired spray coating with hydrophobic nanoparticles. Silver  
217 nanoparticles (AgNPs) were embedded on to the surface via a post-functionalization technique to  
218 boost the antibacterial properties. Wang et al. [36] developed a functional polyurethane  
219 composition (UP-C12-50-T) using the blend of hybrid soft block polyurethane and a traditional  
220 biomedical grade polyurethane (Tecoflex). Human mesenchymal stem cell (MSC) growth next to

221 and under UP-C12-50-T-10 revealed an outstanding biocompatibility and antibacterial properties  
 222 with *E. coli* and *S. epidermidis*.



223  
 224 **Figure 3.** Schematic representation of the mussel-inspired dendritic polyglycerol (MI-dPG) spray  
 225 coating. Adapted with the permission from [35].

226 Like the regular proteins, the synergistic impact of the catechol and amine functionalities  
 227 is also liable for solid attachment to the substrate (**Figure 3**). The multivalent and dendritic  
 228 polyglycerol platform of the MI-dPG upgrades the surface after crosslinking of the coating and  
 229 proves as an important coating technology to fight against the implant-related infection [37]. Due  
 230 to its high corrosion resistance, mechanical strength, and good biocompatibility, Ti is generally  
 231 used in orthopedic and dentistry implant prostheses [38]. However, the protein adsorption and  
 232 bacterial fouling post implantation are some of the issues of these implants. The bacterial fouling  
 233 on a superficial level of implants might cause critical problems and makes the bacterial panels  
 234 tolerant [39]. Therefore, in order to prevent the biofilm development and bacterial fouling on Ti-  
 235 based implantable clinical devices, several procedures are implemented [40]. Several antibacterial  
 236 coatings have been accounted for by utilizing the antimicrobial silver [41], peptides [42],  
 237 photodynamic agents [43], and cationic polymers [44].

238           The surfaces with unique properties such as superhydrophilicity or super hydrophobicity  
239 display microbial resistance through their antifouling component. Generally, a boundary is created  
240 between these surfaces to block direct contact between the surfaces and the microbes.  
241 Superhydrophilicity can be accomplished by covering the surface using nanostructures or covering  
242 of hydrophobic surfaces with a hydrophilic material [45]. The hydrophobic nature of certain  
243 polymers like polycaprolactone (PCL), Poly(lactic acid) (PLA), polystyrene (PS), which are  
244 exposed with organic frameworks, have shown high vulnerability for bacterial biofilm  
245 development. The hydrophobicity of these polymer surfaces are adjusted by different surface  
246 modifications techniques, like chemical etching, acid treatment, leaching, and others to overcome  
247 the bacterial adhesion [46].

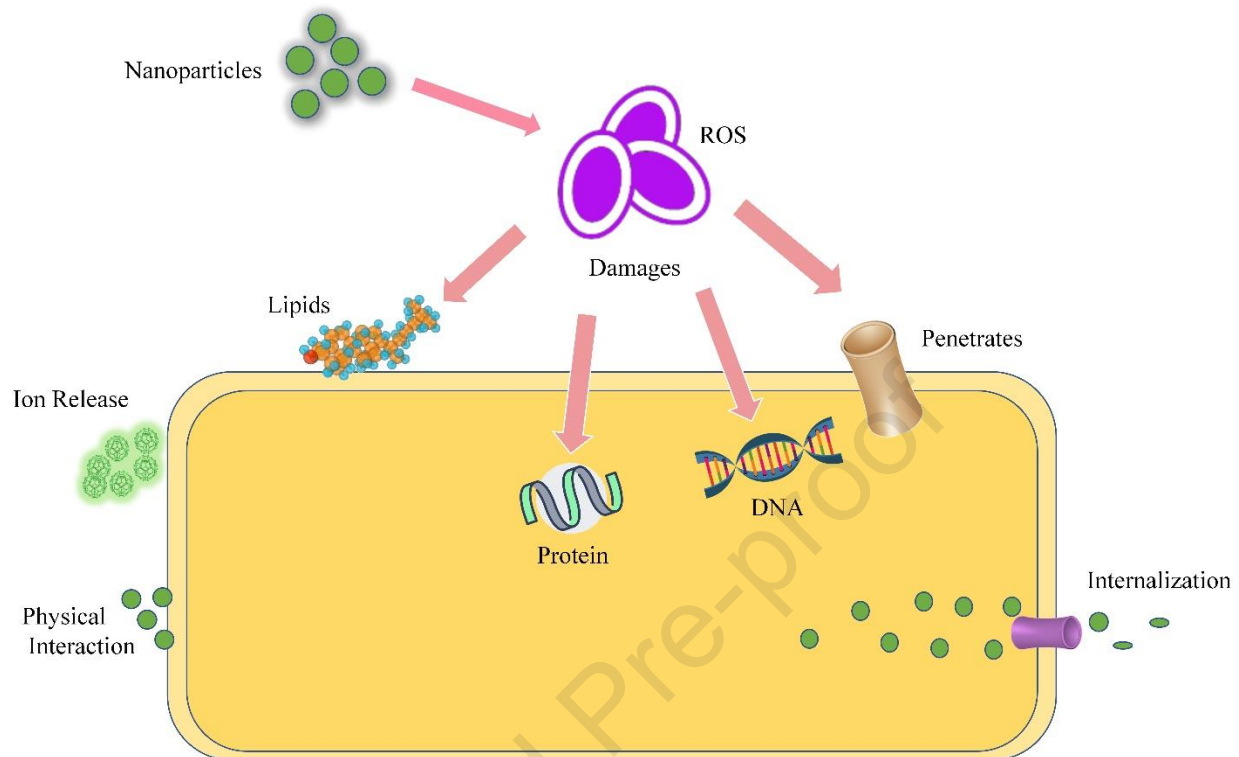
### 248 **3.2 Composite films with antimicrobial nanoparticles**

249 Antibacterial nanoparticles are the materials with an inbuilt capability of fighting against microbes.  
250 These nanoparticles are used as various carriers for other biocidal agents [47]. The benefits of  
251 these nanoparticles are higher surface-to-volume ratio which can maintain optimized antibacterial  
252 efficacy because of their ultra-size and the possibility to functionalize with various other  
253 biomolecules [48]. The nanoparticles are proved to offer antimicrobial properties to various  
254 biomedical implants, some of the mechanisms such as reactive oxygen species (ROS) , dissolved  
255 metal ions, physical interaction, internalization into the cells are shown in **figure 4** [49].

256



257



258

259 **Figure 4** The mechanism of action of antibacterial metal nanoparticles in a composite film.  
 260 Adapted with the permission from [49]

261 These nanoparticles do not act like standard antibiotics. Instead, they directly trigger the responses  
 262 that create a communication with the cell wall of bacteria pertaining to the prevention of biofilm  
 263 formation[50]. The AgNPs are viewed as one of the best antibacterial agents amongst the metal  
 264 nanoparticles[51]. The cell membrane disruption of the bacterial cells is caused by the adsorption  
 265 of AgNPs leading to a depolarization of the bacterial cell wall. ATP production and DNA  
 266 replication are hindered by the ROS generated by the infiltration of AgNPs. [52].

267 Composite films with AgNPs could offer an excellent antibacterial property to the surface.  
 268 Favia et al. [53] considered the plasma-deposition of silver containing polyethyleneoxide (PEO)-  
 269 like coatings as an antibacterial surface. Actinometry revealed a correlation between the amount



270 of silver (Ag) embedded in the coatings and sputtered in the discharge, which could be used to  
271 control the in situ deposition. By spray-coating hydrophobic silica sol and copper oxide (CuO)  
272 nanoparticles, Ren et al. [54] developed a transparent and superhydrophobic bactericidal coating.  
273 The superhydrophobic properties of the formulation prevented *Escherichia coli* and *E. coli* from  
274 adhering to it by up to 3.2 log cells/cm<sup>2</sup> as compared to a bare glass. Furthermore, the live/dead  
275 staining results demonstrated that the coating's performance against *E. coli* was outstanding when  
276 applied as prepared. Zaporojtchenko et al. [55] used co-sputtering of noble metals with  
277 polytetrafluorethylene (PTFE) to create antibacterial metal/polymer nanocomposite coating with  
278 thin metallic rich surface layer. The *S. aureus* and *S. epidermidis* were utilized as test microbes for  
279 evaluation of antibacterial efficacy of these surfaces. 1% of gold (Au) could substantially increase  
280 the release rate of Ag<sup>+</sup> ions. Ag is more dynamic than Au, and the presence of Au improves Ag<sup>+</sup>  
281 particle arrangement. The functional parameters such as power, and pressure applied to the  
282 magnetrons control the properties of the nanocomposite films. Beier et al. [56] used atmospheric  
283 pressure plasma chemical vapor deposition (APCVD) to synthesize the antibacterial thin films.  
284 The results of antibacterial test using *E. coli* showed that the coatings could have an excellent  
285 antibacterial effect. In a study employing APCVD and sol-gel technology, Gerullis et al. [57]  
286 examined the structural morphology, elemental composition, and antibacterial characteristics of  
287 zinc (Zn), Ag and Cu incorporated thin silicon oxide (SiO<sub>2</sub>) films deposited on wood polymer  
288 composites (WPC). BacTiter-Glo® tests revealed that Zn, Ag and Cu-containing layers had  
289 substantial bactericidal effects against *E. coli*. Dudek et al. [58] introduced a method to enhance  
290 the antibacterial performance of the Nickel-titanium (NiTi) alloy to prolong its effectiveness for  
291 healthcare application. The colloidal suspension of 450nm particle size of tricalcium phosphate  
292 (TCP) and the Ag/SiO<sub>2</sub> nanocomposite could produce structurally distinctive calcium

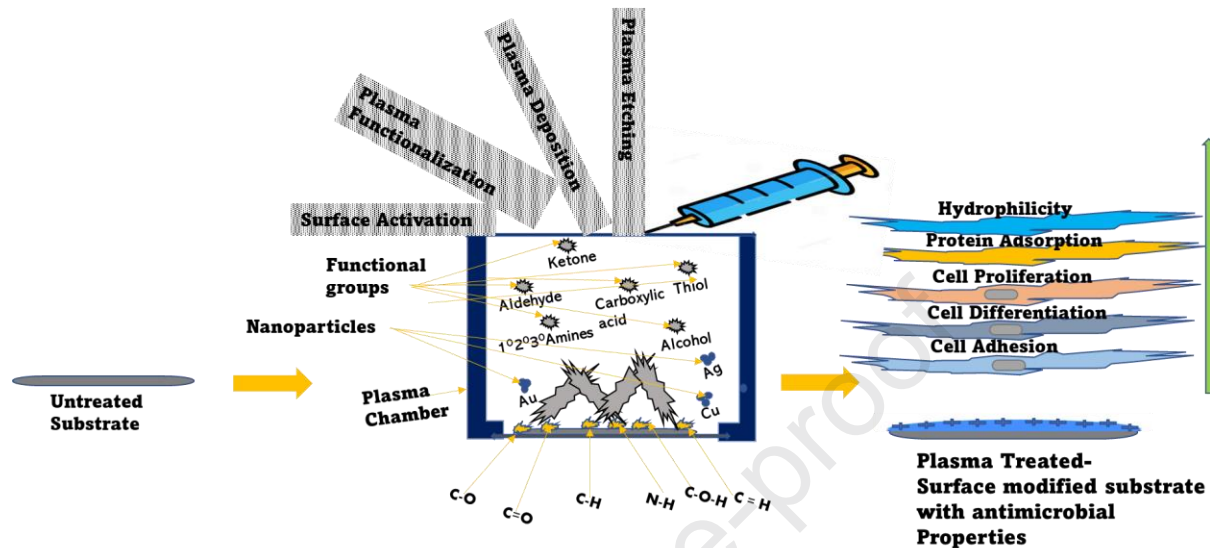
293 phosphosilicate coating via electrophoretic deposition (EPD). Deng et al. [59] demonstrated a  
294 single-step fabrication of antibacterial nanocomposite thin film with imbedded AgNPs. The  
295 APCVD technique was used to feed AgNPs directly into the discharge zone. Antibacterial tests  
296 over these films using *E. coli* and *S. aureus* demonstrated an excellent antibacterial property and  
297 the method can be used to overcome the issues of device related contamination. Wiesenmueller et  
298 al. [60] developed a method to create a cytocompatible and antibacterial coating with long-term  
299 antibacterial effect. The results indicated the tunable release of Ag is necessary to maintain  
300 appropriate cytocompatibility and superior antibacterial activity of the modified surface. Ag-free  
301 top layer was deposited on an Ag-rich base layer, which resulted a better control over Ag<sup>+</sup> release  
302 behavior. Here, the Ag-rich layer serves as an Ag-respository and the burst-release of Ag<sup>+</sup> ions from  
303 the Ag-reservoir is prevented by the top layer that acts as a diffusion barrier. The nanocomposite  
304 films were tested using NIH3T3 mammalian cell line as well as Gram-negative (*P. aeruginosa*)  
305 and Gram-positive (*S. aureus*) bacterial strains. The results demonstrated a tunable and long-term  
306 antimicrobial activity of the films, while retaining an appropriate cytocompatibility over testing  
307 duration. Pollini et al. [61] introduced stereolithographic synthesis of antimicrobial composites  
308 made of HAp for dental applications. Up to 5% filler content resulted in an increase in flexural  
309 strength of the composites. In comparison to the neat samples, the inclusion of uniformly  
310 distributed commercial HAp decreased the bacterial (*S. aureus*, *Escherichia coli*) and fungal (*C.*  
311 *albicans*) growth in a dose-dependent manner. Nataliya et al. [62] used wet chemical method to  
312 generate gelatin nanofibers (GNF) with zinc oxide (ZnO) composites (GNF@ZnO composites).  
313 The GNF@ZnO composites demonstrated antibacterial activity against *P. fluorescence* and *S.*  
314 *aureus*.

315           The intrinsic antibacterial properties of metal and metal oxide nanoparticles could be used  
316 to control the bactericidal effectiveness of the composite films. These composite films with  
317 nanobiocides could be used for implant or catheter coatings and wound dressings for controlling  
318 the bacterial infection.

### 319 **3.3 Antibacterial surfaces through plasma surface modification**

320 Low temperature plasma (LTP) is a quick and effective disinfection method unrestricted by  
321 bacterial resistance mechanisms. Hence, it offers a novel approach to overcome the medication  
322 resistance. Using LTP surface modification technique, antibacterial surface and coating have been  
323 developed in a number of ways [63]. The plasma surface treatment creates the surface  
324 functionalities (anchoring sites for loading various antimicrobials) and subsequent grafting of anti-  
325 bacterial polymers/peptides. The design and creation of such surfaces that either prevent bacterial  
326 adhesion or resist biofilm formation are the major research strategies. The surface functionalization  
327 using ammonia plasma or amine plasma are considered as the most appropriate plasma surface  
328 treatment strategies, which are quite like cationic disinfectants (contact-killing by forming  
329 quaternary ammonium salts). The plasma surface modification has been extensively studied for  
330 engineering anti-biofouling surfaces. Further, for some of the polymers, the shelf-life of plasma  
331 modified surface is restricted by the issue of surface ageing (hydrophobic recuperation) after  
332 plasma treatment [64]. The deposition of antibacterial nanocomposite films on a surface can  
333 generate the desired antimicrobial activity. Generally, in these films (polymer matrix filled with  
334 the nanoparticles) can be prepared utilizing the plasma-sputtering of a bulk metal to directly  
335 incorporate the nanoparticles into the polymer [65]. The plasma electrolytic oxidation of metal  
336 implants and plasma enhanced reduction of metals (Ag, Au, Cu etc.) directly onto polymer

337 membranes were reported by our collaborative team at UAB [66]. The common plasma process  
 338 methodology of different biomaterial surface is shown in the **figure 5**.



339

340 **Figure 5:** Surface activation of biomaterials through plasma and impact of modified surface to  
 341 cell behavior [67]

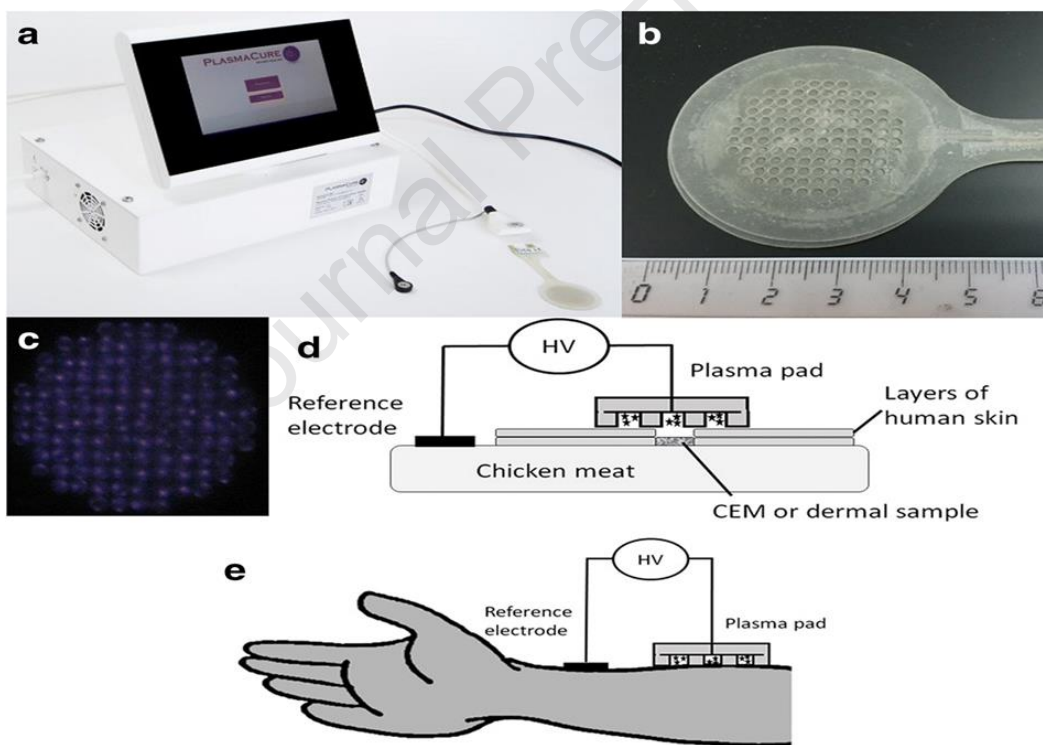
342 In recent years, plasma surface modification technologies have been used as a tool to create  
 343 a variety of antibacterial surfaces. Despax et al. [68] investigated Ag-containing plasma  
 344 polymerized siloxane films. The hexamethyldisiloxane (HMDSO) mass stream rate was used to  
 345 screen the balance between the Ag sputtering and plasma polymerization. Under various plasma  
 346 process conditions, the nanocomposite films had their Ag content ranging from 0-32.5%. Peter et  
 347 al. [69] developed nanocomposite materials with an Ag nanocluster and a SiO<sub>x</sub>CyHz-polymer  
 348 matrix. A gas aggregation cluster source (GAS) could produce Ag nanoclusters with sizes ranging  
 349 from 2-20 nm, and typically it could deposit them quickly through a concentrated pulse. In order  
 350 to incorporate antibacterial characteristics, Spange et al.[70] functionalized the wound dressings  
 351 employing APCVD method. The functionalized dressings demonstrated a very strong antibacterial  
 352 effect against *S. aureus* and *K. pneumoniae*, via direct contact killing mechanism. Kuzminova et

353 al. [71] fabricated silver nanoparticle-based antibacterial nanocomposite coatings using GAS of  
354 AgNPs and plasma enhanced-CVD (PECVD) of the matrix material. The quantity of AgNPs as  
355 well as matrix material characteristics (chemical composition or wettability) could be modulated  
356 when GAS and PECVD were used. This further impacts the Ag<sup>+</sup> release kinetics, which determines  
357 how effectively the composites kill the microbes. Blanchard et al. [72] developed plasma-  
358 polymerized HMDSO (ppHMDSO) film in which the retention of carbon groups is reduced by the  
359 addition of oxygen (O<sub>2</sub>), resulting the formation of a more inorganic, hydrophilic ppSiOx film.  
360 The developed films could be investigated for their antibacterial properties. Deng et al. [73] [74]  
361 developed the method to deposit AgNPs over the polyethylene terephthalate (PET) matrix using  
362 the air pressure deposition method. AgNPs could be uniformly immobilized on the PET surface  
363 and the thickness of the deposition could control the release of AgNPs and the antibacterial  
364 properties of the PET film.

### 365 **3.4 Plasma-assisted surface grafting of antibacterial components**

366 LTP could be used as a pre-treatment step to modify the material or fabric surfaces for subsequent  
367 grafting. Chang et al. [75] used plasma pre-treatment to accelerate chitosan grafting on polyester  
368 surfaces. The textures were first activated on the surface using argon/oxygen (Ar/O<sub>2</sub>) dielectric  
369 barrier discharge (DBD) plasma before being exposed to the atmosphere for oxidation. The fabrics  
370 were immersed in chitosan solvent for chitosan grafting. The grafted surface exhibited a better  
371 biocompatibility with fibroblast cells and antibacterial efficacy against *B. subtilis* and *S. aureus*.  
372 Karam et al. [76] altered the polyethylene by using Ar/O<sub>2</sub> plasma, nitrogen (N<sub>2</sub>) plasma and  
373 plasma-induced grafting of acrylic acid (AA) to examine the determining factors for adsorption.  
374 As a reactive layer for the immobilization of antibacterial nisin peptide on steel surfaces, Duday  
375 et al. [77] utilized the plasma polymerized organosilicon coatings to create antibacterial Ag-

376 stacked cotton/polyester textures. Kostic et al. [78] treated the raw fabrics with air DBD plasma  
 377 prior to submerge in an aqueous silver nitrate ( $\text{AgNO}_3$ ) solution. The fabric could absorb  $\text{Ag}^+$  to  
 378 its surface and the adsorption to the textures was impacted by the treatment time and aging time.  
 379 In addition to the utilization of plasma-engineered surfaces for antibacterial strategies  
 380 (bactericidal-agent release surfaces, contact-killing surfaces, and anti-biofouling surfaces),  
 381 plasma-active antibacterial surfaces are gaining much attention due to the emergence of portable  
 382 cold atmospheric plasma systems [79]. In order to treat chronic wounds, Boekema et al. [80]  
 383 created an atmospheric pressure surface plasma generator and established it's *in vitro* and *in vivo*  
 384 reliability and efficacy in bacterial cell reduction (**Figure 6**).



385  
 386 **Figure 6.** Antibacterial and safety test of a flexible cold atmospheric plasma device for chronic  
 387 wound healing. **a.** The plasma device consisting of the plasma driving unit (plasma pulser) and  
 388 plasma pad; **b.** plasma pad with scale in cm. Plasma pad showing the side with prefabricated holes

389 that is in contact with the skin; **c.** plasma is generated in the small holes; **d.** schematic diagram of  
390 device for the treatment of samples on chicken meat as a support layer; layers of human skin (0.7  
391 mm) were used to increase the distance between sample and plasma; **e.** schematic diagram of  
392 device (HV: high voltage; CEM: collagen elastin matrix). (Adapted from [80])

#### 393 **4. Conclusion and future scope**

394 The engineered strategies for creating an antibacterial surface with bactericidal properties using  
395 functionalized polymeric coatings, nanoparticle and plasma-based surface modification are  
396 discussed. It is witnessed in most of the developments that the biofilm development may be  
397 restricted by restraining introductory attachment of the bacteria. The current research endeavors  
398 are coordinated towards the killing or diminishing bacterial colonies on implants and medical  
399 devices through the bactericidal properties of the surface. It is important to note that antibacterial  
400 agent release in general, are neither a remedy nor a guaranteed method. Instead, they need to be  
401 considered carefully as a component of a coordinated effort to reduce established risk factors for  
402 pathogenic bacteria. However, several significant obstacles need to be addressed before release-  
403 based coatings can be effectively used to combat the infections. The duration and kinetics of  
404 antibacterial administration varies depending on the application. First- or second-order kinetics  
405 govern the typical release patterns that are in use today, which typically involve an initial release  
406 followed by a decreasing downstream dispersion that lasts anywhere from a few hours to several  
407 days. An antibacterial antibiotic that releases quickly and in a high dose could initially seem  
408 favorable. It offers antibacterial defense throughout the early postoperative period, which is  
409 thought to pose the greatest risk of infection and prevents bacterial resistance emergence. The  
410 long-term release is often required in cases of revision or second surgery, as the tissues around the  
411 primary implant are regularly contaminated. Currently, it is extremely difficult to create the

412 coatings that keep released antibacterial component levels within the therapeutic window,  
413 sufficient to kill bacteria but low enough to prevent harm to eukaryotes. Therefore, creative  
414 methods are required to manage and expand the release kinetics to provide new products or  
415 services. Although, there have been many documented antibacterial techniques in the literature,  
416 only a limited platforms have reached clinical testing and use. The inadequacy of realistic *in vivo*  
417 settings in most of the current *in vitro* testing protocols for antibacterial materials is still a crucial  
418 factor responsible for the failure of translational success. The current status and the future  
419 challenges presented in this review will assist the researchers to foster the study and development  
420 of advanced antibacterial coatings for biomedical and clinical settings.

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#### 432 **Author contributions**



433 All the authors listed have made a substantial, direct, and intellectual contribution to the work and  
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435 **Conflict of Interest:**

436 The authors declare that the research was conducted in the absence of any commercial or financial  
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438 **References:**

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**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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