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# Change in beak overhangs of cliff swallows over 40 years: partly

- <sup>3</sup> a response to parasites?
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13 Abstract

14

Some birds exhibit a maxillary overhang, in which the tip of the upper beak projects beyond the 15 16 lower mandible and may curve downward. The overhang is thought to help control 17 ectoparasites on the feathers. Little is known about the extent to which the maxillary overhang varies spatially or temporally within populations of the same species. The colonial cliff swallow 18 19 (Petrochelidon pyrrhonota) has relatively recently shifted to almost exclusive use of artificial 20 structures such as bridges and highway culverts for nesting and consequently has recently been 21 exposed to higher levels of parasitism than on its ancestral cliff nesting sites. We examined 22 whether increased ectoparasitism may have favored recent changes in the extent of the 23 maxillary overhang. Using a specimen collection of cliff swallows from western Nebraska, USA, 24 spanning 40 years and field data on live birds, we found that the extent of the maxillary 25 overhang increased across years in a nonlinear way, peaking in the late 2000's, and varied inversely with cliff swallow colony size for unknown reasons. The number of fleas on nestling 26 27 cliff swallows declined in general over this period. Those birds with perceptible overhangs had fewer swallow bugs on the outside of their nest, but they did not have higher nesting success 28 29 than birds with no overhangs. The intraspecific variation in the maxillary overhang in cliff 30 swallows was partly consistent with it having a functional role in combatting ectoparasites. The 31 temporal increase in the extent of the overhang may be a response by cliff swallows to their relatively recent increased exposure to parasitism. Our results demonstrate that this avian 32 33 morphological trait can change rapidly over time.

#### 35 Introduction

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Beaks in some species of birds have a maxillary overhang, in which the upper mandible is longer 37 38 than the lower and may curve downward to result in a slight hook [1]. The overhang is 39 composed of keratin layers within the rhinotheca that cover the bone of the beak, with the rhinotheca near the beak tip subject to wear and growing more rapidly than the less distal 40 rhinotheca layers [2]. The functional significance of the overhang has attracted surprisingly 41 42 little attention. While the extent of the beak's hook may be important in foraging in some species [3, 4], the maxillary overhang has been primarily studied as an anti-parasite adaptation 43 44 [1, 5-7]. During preening the lower mandible creates a shearing force against the overhang, 45 serving to damage ectoparasites on the feathers and leading to lowered parasitism on the body 46 [1]. Experimental reduction in beak overhangs of rock pigeons (*Columba livia*) led to increases in feather lice [6], suggesting that the overhang has an important anti-parasite function. 47 However, some studies have shown that relatively long maxillary overhangs can also be 48 49 detrimental to ectoparasite control [7-9], suggesting that an intermediate degree of overhang may confer the greatest advantage during preening. 50 51 Little is known about the extent of intraspecific variation in the maxillary overhang or 52 how parasitism may have led to selection on this component of avian beak morphology. Here we examine variation in the maxillary overhangs of cliff swallows (*Petrochelidon pyrrhonota*) 53 and assess whether the observed patterns are consistent with those expected if the overhang 54

55 functions in parasite removal. Cliff swallows are highly colonial insectivores that are subject to

56 parasitism by fleas, lice, mites, and hematophagous bugs [<u>10-12</u>], and some of these parasites

57 have detrimental effects on the birds' annual survival and nesting success [10, 11, 13-18]. 58 Within the last 50 years, the cliff swallow has shifted its nesting almost exclusively to artificial 59 sites such as bridges and highway culverts, and the microclimate and nest stability of these artificial sites have led to greater exposure to parasitism than what the birds experienced on 60 61 natural cliff nesting sites [18-21]. As in other highly social species [22-25], parasitism by 62 hematophagous bugs and fleas in cliff swallows tends to increase with colony size [11, 13]. The prevalence of parasites and the fitness costs associated with them suggest that the maxillary 63 64 overhang in cliff swallows is a potential anti-parasite adaptation that might vary both temporally and spatially in response to the greater exposure to parasites the birds have 65 66 encountered in recent years and in the large colonies many occupy. 67 In this study we examine maxillary overhangs within a population of cliff swallows that we have studied for 40 years in western Nebraska. If the maxillary overhang helps in 68 69 controlling parasites, we make the following specific predictions. (1) The presence of the 70 maxillary overhang in cliff swallows should have increased over time in response to their recent 71 greater exposure to parasitism, which in our study area began when the birds switched heavily to artificial nesting sites in the 1980's [19]. (2) Because cliff swallows show some phenotypic 72 specialization for colony size [26, 27], individuals occupying the larger colonies that have more 73 parasites should have greater maxillary overhangs in general than those birds using smaller 74 75 colonies. (3) An increase in the average extent of the overhang should lead to fewer (or at least 76 no change) in parasites now compared to the 1980's despite the potential for greater current 77 exposure to parasites. (4) Cliff swallows with maxillary overhangs should have fewer parasites than those without perceptible overhangs, as found in other species [4-9]. (5) Anti-parasite 78

advantages should lead to birds with more perceptible overhangs having greater reproductive
success than those without such overhangs.

81	We use two kinds of data in this study: a museum collection of over 1100 cliff swallows
82	collected opportunistically over 40 years in our study area to test predictions (1) and (2), and
83	counts of parasites on nests and observations of live birds to test predictions (3)-(5). Given that
84	previous work on the functional significance of the maxillary overhang in other species has
85	involved primarily laboratory experiments or comparisons among different populations that
86	may also be subject to resource-related selection on bill morphology, our study is unique in
87	examining temporal and spatial correlates of maxillary overhang within a single population.
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89	Methods
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91	Study organisms and study site
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93	The cliff swallow is a highly colonial passerine that breeds commonly throughout the western
94	half of North America and less commonly eastward [21]. In its original habitat, the species built
95	its gourd-shaped mud nests underneath horizontal overhangs on the sides of steep cliffs, often
96	in dense clusters (Fig 1), but now many cliff swallows nest under the sides of bridges and
97	buildings or inside concrete culverts underneath roads [28]. These birds winter in southern
98	South America, primarily Argentina [21]. Cliff swallows feed on a wide array of insect taxa [11]
99	that are caught in flight.

101 Fig 1. Cliff swallows at a nesting colony in western Nebraska.

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104 <i>Cimex vicarius</i> ), fleas (Siphonaptera: Ceratophyllidae: <i>Ceratophyllus celsus</i> ), mites (Astigm	ata:
105 Avenzoariidae: <i>Pteronyssoides obscurus</i> ), and two species of feather lice (Ischnocera:	
106 Philopteridae: Acronirmus [formerly Brueelia; <u>29</u> ] longa and Amblycera: Menoponidae:	
107 <i>Machaerilaemus malleus</i> ) [ <u>10-12</u> ]. The hematophagous bugs and fleas are the most nume	rous
108 and have the greatest effects on cliff swallows by reducing survival of nestlings and adults	,
109 affecting feather asymmetry and site use, and constraining the duration of the nesting sea	son
110 [ <u>11</u> , <u>13-17</u> , <u>30</u> ]. Lice are also associated with a reduction in annual survival of adult cliff	
111 swallows [ <u>10</u> ].	
We studied cliff swallows near the Cedar Point Biological Station (41.2097° N, 101.	5480°
113 W) in western Nebraska, USA, along the North and South Platte rivers. The study area inc	udes
114 portions of Keith, Garden, Deuel, Lincoln, and Morrill counties. Our work was done prima	rily at
cliff swallow colonies on highway bridges and box-shaped culverts underneath roads or ra	ilroad
116 tracks [28]. Colonies were defined as birds from groups of nests that interacted at least	
117 occasionally in defense against predators or by sharing information on the whereabouts o	f food
118 [ <u>11</u> ]. Typically, all the nests on a given bridge or culvert constituted a colony, and most co	lonies
119 were at least 0.5 km from the next nearest. Colony size varied widely, ranging from 2 to 6	000
nests (mean $\pm$ SE = 404 $\pm$ 11 nests, <i>n</i> = 3277 colonies), with some birds also nesting solitari	ly.
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122 Specimen collection

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124 Cliff swallows were collected opportunistically in 1982-2021 whenever salvageable specimens 125 were found in the course of our research, and preserved as skins [31]. These included birds 126 dying in mist-netting accidents, on roads due to collisions with vehicles, during severe weather 127 events, due to other miscellaneous causes (e.g., drowning during fights, nest falls, killed by 128 predators), or for unknown reasons. The colony at which a dead bird was found was designated as the colony size for that specimen, as banded birds found dead were invariably at 129 130 the site where they were known to be resident. Colony size refers to the number of active 131 nests at a site that year, and was determined from active-nest counts or estimation from the 132 number of birds present [11, 28]. For any colony where we had more than 50 specimens in a 133 year, we randomly selected 50 from each site for this study. We scored maxillary overhangs of 1207 cliff swallow specimens from a total of 230 colonies across the 40 years; of these, 1108 134 135 had full information on colony size, sex, and other variables. Only adult birds (ones at least one 136 year old, known from plumage) were included in this study. We noted which birds were from colonies where parasites had been removed by fumigation [11, 13] and accounted for colony 137 138 fumigation status in the analyses. All specimens were from the collection at the University of 139 Tulsa, except for 9 specimens collected in the study area in 1984 from the collection of the 140 American Museum of Natural History and 8 specimens collected in the study area in 1985 from 141 the collection of the Peabody Museum of Natural History.

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143 Scoring maxillary overhang

145 Each specimen was assigned to one of three categories (Fig 2). Birds with type 0 had no 146 perceptible overhang; those with type 2 had a noticeable downward curving of the upper 147 mandible; and birds with an overhang intermediate between these were type 1 (Fig 2). 148 Repeatability of scoring was done for a random sample of 50 birds that were re-scored 3 149 months later while blind to the previous measures. To account for possible relationships 150 between beak overhang and beak size or overall head size, the beak width at its widest point at 151 the cere was measured with calipers, and (for birds collected in 1982-2018) the head size was 152 measured and converted to volume by G.S.W., as described in Wagnon and Brown [31]. The 153 wing length of the unflattened wing from the shoulder to the tip of the longest primary was 154 also measured. For birds from 1982-2018, all scoring of overhangs and measurements were 155 done by G.S.W. and those from 2019-2021 by C.R.B. Results were almost identical for both the entire dataset and for those measured only by G.S.W., so we assume no systematic bias in the 156 157 measurements between the two people. The measurements for repeatability were done by G.S.W. 158

159

Fig 2. Examples of the three categories of maxillary overhang used for scoring cliff swallow
 specimens: 0 (bottom), 1 (middle), and 2 (top).

162

Maxillary overhangs in live cliff swallows were scored at five non-fumigated colonies in 2020-21 where we also did regular nest checks. We used a 20-60X spotting scope to observe birds sitting in their nest entrances. Observations were made at each site while the colony was primarily incubating, which was a time that most nest intrusions by neighbors or non-residents 167 had largely ceased and thus we were mostly seeing actual residents at the nests [11]. However, 168 because birds were not color-marked, we scored nests as either (1) having at least one resident 169 with a visible beak overhang (corresponding to beak score 2 for the specimens) or (2) as having 170 only birds with no perceptible overhang. This latter group included birds scored as 0 and 1 on 171 specimens because the smaller overhangs were difficult to visually distinguish reliably on live 172 birds under field conditions. Because some nests scored as no overhang may have had a 173 resident with a type 2 overhang that was overlooked, our tests for differences among nests 174 with owners of these overhang types were conservative. All observations and overhang scoring 175 of live birds were done by one person (O.M.P.). 176 177 **Ethical note** 178 179 Specimens were salvaged under authority of the Bird Banding Laboratory of the United States 180 Geological Survey (permit 20948) and a series of Scientific Permits from the Nebraska Game and Parks Commission. All animal use was approved by a series of protocols from the 181 Institutional Animal Care and Use Committees of Yale University and the University of Tulsa. 182 Field sites were on public right-of-way, requiring no permission to access, or on private land 183 184 where landowners had given permission for entry. 185 186 Parasite counts and nesting success 187

Because fleas are active on the outsides of cliff swallow nests only for a brief time early in the 188 189 spring [11], we assessed flea parasitism for nests by removing nestling cliff swallows and scoring 190 the number of fleas crawling on the nestlings' bodies [13]. For the flea analysis, we used data from colonies throughout the study area in 1982-1989 and 2015-2018 where nestlings were 191 192 removed at 10 days of age and the number of Ceratophyllus fleas counted [11, 13]. Cliff 193 swallow nests were checked (using a flashlight and dental mirror) at 2-4 day intervals at colonies, allowing us to know hatching date and nestling age for each nest. Flea counts were 194 195 done the same way each year throughout the study, with C.R.B. training and supervising the 196 bird handling and parasite counts each year. We also recorded brood size and nestling weight 197 at the time fleas were counted and knew the hatching date and colony size. Data were 198 available for 4453 nestlings from a total of 58 colonies in 1982-1989 and 2015-2018 [18]. Only 199 non-fumigated nests were used in analysis of fleas.

200 Nests where birds were observed in 2020-21 were checked to record nest contents. For 201 these nests, at the time of each nest check we estimated the number of ectoparasitic swallow 202 bugs visible on the outside of each nest. This provides a relative measure of the extent of 203 swallow bug parasitism per nest [32] and is the best way known to score bug parasitism at active nests. One person (O.M.P.) did all swallow bug counts on nests, with the scoring of 204 205 maxillary overhangs of nest owners done without knowledge of the parasite counts or eventual 206 success of a nest. Although we had bug counts per nest taken throughout the nesting season, 207 for this study we used only the last count prior to when the eggs in a nest hatched. This 208 standardized the counts with respect to host nesting stage for each nest, and also meant that 209 we had bug numbers for nests that failed soon after hatching (which typically led to a major

210 reduction in the number of bugs present after failure). Nesting success was measured as the 211 number of nestlings still alive 17 days after hatching. Nests failing prior to hatching or before 212 17 days were scored as having 0 nestlings surviving. We had 190 nests from 2020-21 with 213 information on owners' maxillary overhang, swallow bug parasitism, and nesting success. 214 **Statistical analyses** 215 216 217 Analyses of variables predicting maxillary overhang in specimens, nesting success and bug 218 parasitism in live birds, and the number of fleas per nestling used mixed-model regression 219 implemented with Proc MIXED in SAS [33]. Independent covariates (fixed effects) were 220 identified a priori based on the questions posed here or past work (Table 1). Interactions between fixed effects were explored in preliminary analyses, but none was significant and thus 221 222 not presented here. In analyzing fleas per nestling, we treated year as a categorical predictor 223 variable (e.g., two categories, 1982-89 and 2015-18), designated as decade, given that a ~25-224 year gap existed in when these data were collected. Analysis of the specimen collection, in 225 which specimens were collected continuously across the entire time of the study, treated year 226 as a continuous predictor variable. Fumigation status of a colony site was a categorical (yes/no) 227 variable. Overhang type was an ordinal response variable (0, 1, 2), and because categorizing 228 the overhangs on the specimens was generally very obvious (Fig 2), we considered the intervals 229 among them equivalent, allowing overhang type to be treated as a continuous variable in 230 analyses [34].

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#### 232 \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

## 233 Table 1 Mixed-model results showing fixed-effect and random-effect predictors of beak

## overhang score (0, 1, 2) in cliff swallow specimens (*N* = 1108).

Fixed effect		β	SE	F	df	Р	
year		6.9396	1.1563	36.02	1, 900	< 0.0001	
year*year		-0.00173	0.000289	35.90	1, 900	< 0.0001	
colony size		-0.00016	0.000054	9.04	1, 900	0.0027	
bill width		0.8261	0.6208	1.77	1, 900	0.18	
wing length		0.1527	0.1839	0.69	1, 900	0.41	
colony fumigation stat	us <sup>1</sup>	-0.05575	0.07440	0.56	1, 900	0.45	
sex <sup>2</sup>		0.01658	0.03701	0.20	1, 900	0.65	
	Estim	ated					
	variar	ıce					
Random effect	comp	onent	SE	Levels	Ζ	Р	
colony-site-by-year	0.050	03	0.01857	230	2.69	0.003	5
colony site	0.000	0248	0.01006	78	0.02	0.49	

235

<sup>1</sup>Relative to fumigation = yes as baseline.

<sup>2</sup>Relative to male as baseline.

240	To account for non-independence of observations (and potential pseudoreplication) in
241	our data, in the mixed models we used the following random intercept variables where
242	appropriate: colony site, coded as the same site designation across years, to account for
243	potential spatial dependence of a colony site's physical location; colony-site-by-year, coded the
244	same for all observations at a colony site in the same year but different between years, to
245	account for dependence among observations at a single colony within a year; and (for the flea
246	analysis) nest identity, coded the same for all nestlings within the same nest in a given year but
247	different among years, to account for potential dependence among nestlings from the same
248	nest.
249	Repeatability in scoring maxillary overhang by G.S.W. was assessed with the intraclass
250	correlation coefficient [35], calculated from a model with specimen number as the independent
251	predictor of maxillary overhang and using Proc GLM in SAS.
251 252	predictor of maxillary overhang and using Proc GLM in SAS.
	predictor of maxillary overhang and using Proc GLM in SAS. Results
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252 253 254	Results
252 253 254 255	Results
252 253 254 255 256	Results Overhang variation among specimens
252 253 254 255 256 257	Results         Overhang variation among specimens         Repeatability of overhang scoring on specimens was high and significant ( $r_l = 0.751$ , $F_{1,49} = 7.16$ ,
252 253 254 255 256 257 258	Results         Overhang variation among specimens         Repeatability of overhang scoring on specimens was high and significant ( $r_1 = 0.751$ , $F_{1,49} = 7.16$ , $P < 0.0001$ ). The two significant predictors of the extent of maxillary overhang were year and

262	effect of head volume ( $\beta$ = 0.0698, SE = 0.1600, $F_{1,833}$ = 0.03, $P$ = 0.86) in a separate analysis that
263	was otherwise identical to that in Table 1.
264	Maxillary overhang varied with year in a curvilinear pattern (Table 1), seemingly
265	increasing from 1982 until about 2009 and declining afterwards (Fig 3). A model with a
266	nonlinear effect of year was a better fit (AIC = 2121.8) than an otherwise identical one with only
267	a linear effect of year (AIC = 2137.1).
268	
269	Fig 3. Extent of the maxillary overhang in cliff swallow specimens in relation to year, 1982-
270	<b>2021.</b> Yearly means (± 1 SE) are shown with gray dots and bars. The predicted values from a
271	mixed model regression (Table 1) with other variables held at their mean are shown with a solid
272	line, and the 95% confidence interval of the predicted values are shown with dotted lines.
273	
274	The extent of the maxillary overhang declined with increasing colony size (Fig 4, Table
275	1).
276	
277	Fig 4. Extent of the maxillary overhang in cliff swallow specimens in relation to colony size
278	(no. active nests at a site). Colony-size means (± 1 SE) are shown with gray dots and bars. The
279	predicted values from a mixed model regression (Table 1) with other variables held at their
280	mean are shown with a solid line, and the 95% confidence interval of the predicted values are
281	shown with dotted lines.
282	
283	Changes in fleas over time

285 The mean (± SE) number of fleas counted on cliff swallow nestlings in the 1980's, 0.896 (± 0.0329, n = 3020), was about twice that in the 2010's, 0.456 (± 0.0304, n = 1434). Decade was a 286 significant predictor of flea count ( $F_{1,3099}$  = 8.63, P = 0.0033), while controlling for the fixed 287 288 effects of brood size ( $F_{1,3099}$  = 22.38, P < 0.0001), hatching date ( $F_{1,3099}$  = 6.83, P = 0.0090), body mass ( $F_{1,3099}$  = 19.16, P < 0.0001), and colony size ( $F_{1,3099}$  = 0.71, P = 0.40) and the random 289 effects of colony site (Z = 1.35, P = 0.089), colony-site-by year (Z = 1.69, P = 0.046), and nest 290 291 identity (*Z* = 12.81, *P* < 0.0001). 292 Overhangs in relation to swallow bug parasitism and nesting success 293 294 The number of swallow bugs counted on the outside of the nest was significantly higher for cliff 295 296 swallow nests where owners had no perceptible maxillary overhang than at nests where at 297 least one owner had an overhang (Fig 5,  $F_{1,183}$  = 4.11, P = 0.044); laying date had no effect on bugs ( $F_{1,183} = 1.71$ , P = 0.19) while controlling for colony site as a random effect (Z = 1.01, P =298

299 0.15). Nest success (the number of nestlings surviving to day 17) where no owner had a

300 perceptible maxillary overhang was not significantly different from nests where at least one

301 owner had an overhang (Fig 5,  $F_{1,181}$  = 0.79, P = 0.38); nest success was significantly affected by

the number of swallow bugs ( $F_{1,181}$  = 8.01, P = 0.005), clutch size ( $F_{1,181}$  = 5.29, P = 0.022), and

laying date ( $F_{1,181}$  = 12.60, P = 0.0005) while controlling for colony site as a random effect (Z =

1.29, P = 0.10.

Fig 5. Mean (± SE) number of nestling cliff swallows surviving to day 17 per nest and number
of swallow bugs counted per nest where at least one nest owner had a perceptible maxillary
overhang (dark bars; *N* = 75 nests) and where no nest owners had perceptible overhangs
(light bars; *N* = 115). The number of bugs differed significantly among nest types but the
number of nestlings surviving did not (see text).

- 311
- 312
- 313 Discussion
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315 Our analyses show that the extent of the maxillary overhang of cliff swallows in western Nebraska increased over the period 1982-2021 but in a nonlinear way, seeming to peak in the 316 317 late 2000's and then declining. The extent of the maxillary overhang was greater among birds 318 in smaller colonies. Accompanying the temporal increase in the overhang was a reduction in the number of fleas on nestlings in general during the same time period. Birds with perceptible 319 320 overhangs had fewer swallow bugs on the outside of their nest, but this reduction in swallow bug parasitism did not translate into higher nesting success for cliff swallows with more visible 321 322 overhangs. We found no evidence that the extent of the maxillary overhang varied systematically with other aspects of cliff swallow morphology, with non-significant effects of 323 324 wing length, beak width, and head size, and sex had no effect on the extent of the overhang. 325 Cliff swallows have only relatively recently come into contact with more parasites by moving off of natural cliff nesting sites and onto artificial sites such as bridges where parasite 326 survival is likely enhanced [18, 20]. Given the birds' recent exposure to higher levels of 327

328 parasitism (and assuming that the maxillary overhang can be help control ectoparasites on a 329 cliff swallow's feathers; see below), we predicted a temporal response in the extent of the 330 maxillary overhang in this population. The increase in maxillary overhang in the immediate 331 aftermath of the 1980's nesting-site shift supports this prediction (Fig 3). Interestingly, the 332 curvilinear pattern (Fig 3) is consistent with other work showing that maxillary overhangs that 333 are too long can be counterproductive in parasite removal [7-9]; long overhangs also might more often break [6] or interfere with foraging [4]. Thus, opposing selection may have begun 334 335 moving maxillary overhangs back toward more intermediate values in cliff swallows. 336 Increased maxillary overhangs would be particularly beneficial in larger colonies, where 337 more parasites occur [11, 13]. Given that cliff swallows have a genetically based preference 338 and phenotypic specialization for certain colony sizes [26], we thus predicted that cliff swallows 339 in larger colonies should have more pronounced overhangs. However, we found the opposite 340 pattern, with larger-colony phenotypes averaging smaller overhangs (Fig 4). This trend, 341 although statistically significant, was not particularly strong. Possibly the effect of year obscured a colony-size effect through year-based colony sampling biases (e.g., larger colonies 342 343 overrepresented in the earlier years), although we found no significant statistical interaction between year and colony size ( $F_{1,900} = 0.39$ , P = 0.53) in predicting the extent of the overhang. 344 345 The lack of a positive colony-size effect on maxillary overhang is not consistent with it being a 346 genetic response by the more social phenotypes [26, 27] to the challenge of greater parasitism 347 in the larger colonies. No information is available on the heritability of the maxillary overhang 348 for any species [9], which complicates interpretation of empirical patterns (Figs 3, 4) as 349 reflecting selection in general.

350 Has an increase in maxillary overhang led to reduced infestations of cliff swallow 351 parasites over time? We do not know about lice: 9.5% of free-living cliff swallows sampled in one year (1992) had one or more amblyceran lice [11], but lice have not been quantified in any 352 other years. Our data on fleas show a reduction of about 50% among those found on nestling 353 354 cliff swallows between the 1980's and the 2010's. Assuming those on nestlings reflect generally 355 the level of flea parasitism on adults, it seems likely that flea parasitism has been reduced over 356 the last 35 years. That this reduction was concurrent with the increase in the extent of the 357 birds' overhangs might indicate that the maxillary overhang is an adaptation to ameliorate the 358 cost of fleas. We note that the overall reduction in flea parasitism was quantitatively small, but fleas counted on nestlings is merely an index of overall parasitism in a nest [11]. 359 360 Based on collected nests where all bugs in a nest were counted, swallow bug parasitism per cliff swallow nest has not changed significantly over the past 35 years [18]; however, in 361 362 2020-21 birds with perceptible overhangs had significantly fewer bugs on the outsides of their 363 nests (another index of parasitism; <u>32</u>), suggesting the maxillary overhang is effective in controlling bugs to some extent. Had the birds not developed greater overhangs over time, the 364 average number of bugs might be greater now than in the 1980's. Cliff swallows have 365 developed a greater tolerance to the effects of swallow bugs over the last 30 years [18], and 366 367 their not being as negatively affected by bugs now as in the 1980's might partly explain why the 368 increased numbers of bugs in nests of birds without perceptible overhangs did not lead to differences in reproductive success (Fig 5). Another possibility is that our inability to distinguish 369 370 overhangs of 0 from 1 under field conditions meant that having to combine nests from these 371 two groups of birds obscured relevant variation in nesting success among them.

372 The predictions of this study are based on the maxillary overhang being effective in 373 controlling flea and swallow bug parasites of cliff swallows. Without experimental studies, we do not know whether cliff swallow fleas are controlled by preening. The presence or absence 374 375 of fleas did not affect the extent of preening in great tits (Parus major; 36) or blue tits (P. 376 caeruleus; <u>37</u>), but blue tits rifle through nest materials, during which they may kill and/or 377 swallow fleas in the nest [37], and a longer beak overhang might be beneficial in such activity. Gravid fleas crawl on cliff swallows' feathers and are relatively slow-moving (C. R. Brown, pers. 378 379 obs.), suggesting they could be dislodged by shearing action of a bird's beak. 380 Both adult and instar swallow bugs crawl on the birds while seeking blood meals, especially at night, and instars in particular are susceptible to fatal injury when engorged and 381 382 easily "pop" at the slightest touch. Preening and a maxillary overhang in all likelihood helps control bugs on the feathers; high levels of nocturnal preening are consistent with our hearing 383 384 extensive bird movement inside nests at night when swallows do not come and go from the 385 nests (C. R. Brown, pers. obs.). Swallow bugs stay mostly inside nests or on the substrate and do not frequently travel on cliff swallows outside of the nest; however, they will disperse on the 386 387 birds' legs [38] and then also are susceptible to preening. However, we should note that not all ectoparasites can be controlled by a maxillary 388 389 overhang. In experiments with rock pigeons, birds without beak overhangs were as successful 390 at controlling highly mobile hippoboscid flies as were those with overhangs [39]. This result

may have been because flies are relatively large and soft-bodied, at least as compared to lice for which advantages of the overhang have been demonstrated [<u>1, 6</u>]. Without experimental studies, we do not know if this situation applies to cliff swallow parasites such as fleas and 394 swallow bugs, although when on the birds these parasites are often either attached to the skin
395 (during feeding) in the case of bugs or slowly crawling on the feathers in the case of fleas (C.
396 Brown, pers. obs.), so in those ways they may be unlike hippoboscid flies.

In conclusion, the intraspecific variation in the extent of the maxillary overhang in cliff 397 398 swallows was partly consistent with it having a functional role in combatting ectoparasites. The 399 increase over time (up to a point) as the birds were exposed to more parasites, the temporal reduction in fleas, and our observing fewer swallow bugs on the outsides of nests where birds 400 401 had perceptible overhangs all suggested an anti-parasite role for this morphological trait. On 402 the other hand, birds exposed to more parasites in larger colonies did not have greater 403 overhangs than swallows in small colonies, and nesting success did not vary with the extent of 404 the maxillary overhang. If the hippoboscid fly results [<u>39</u>] apply to cliff swallow parasites, the temporal changes in the extent of the maxillary overhang we documented could reflect other 405 406 factors, such as decreased wear on the beak or variation in principal food type (flying insects). We have no direct data to address these possibilities. Regardless of the overhang's precise 407 function, our results add to those of others [40, 41] in demonstrating that avian morphological 408 409 traits can rapidly change over time.

410

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412

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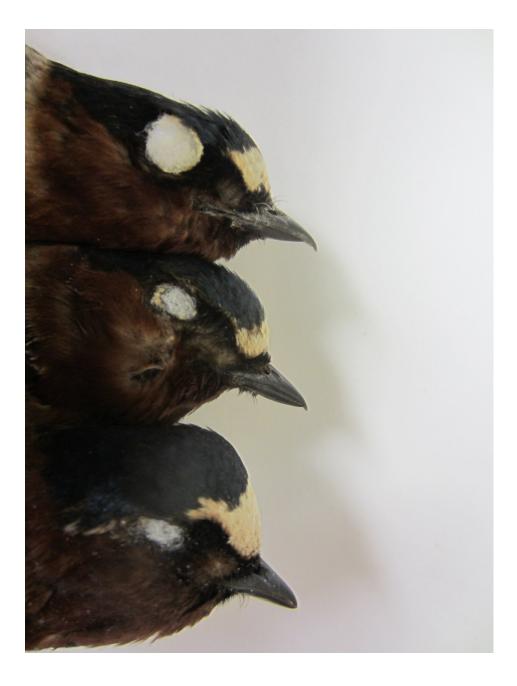
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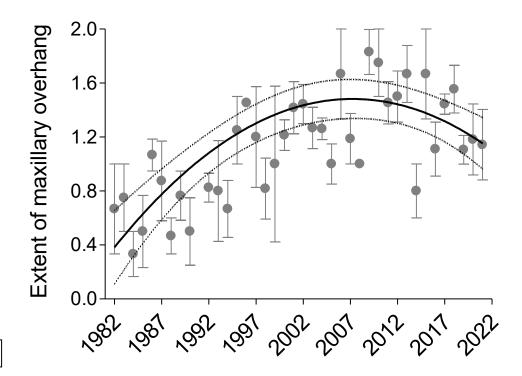


Fig. 3

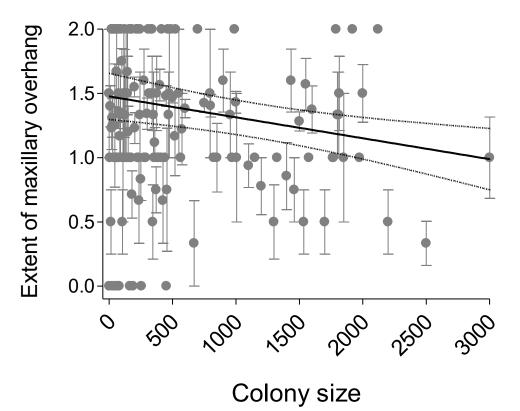


Fig. 4

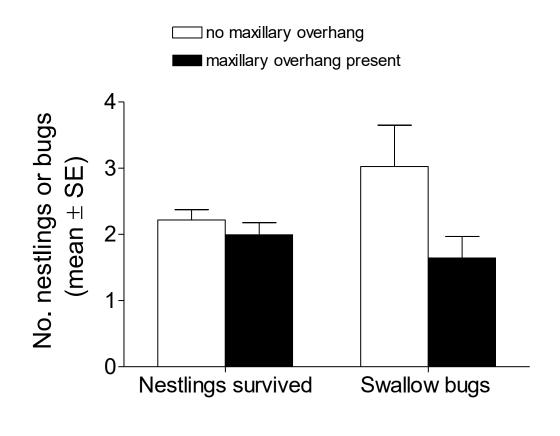


Fig. 5