

## Hox proteins as regulators of extracellular matrix interactions during neural crest migration

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**Key words:** Hox, neural crest, ECM, EMT, Integrin

## Abstract

Emerging during embryogenesis, the neural crest are a migratory, transient population of multipotent stem cell that differentiates into various cell types in vertebrates. Neural crest cells arise along the anterior-posterior extent of the neural tube, delaminate and migrate along routes to their final destinations. The factors that orchestrate how neural crest cells undergo delamination and their subsequent sustained migration is not fully understood. This review provides a primer about neural crest epithelial-to-mesenchymal transition (EMT), with a special emphasis on the role of the Extracellular matrix (ECM), cellular effector proteins of EMT, and subsequent migration. We also summarize published findings that link the expression of Hox transcription factors to EMT and ECM modification, thereby implicating Hox factors in regulation of EMT and ECM remodeling during neural crest cell ontogenesis.

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35

36 **1. Introduction: Neural Crest Cell Development**

37

38 Neural Crest Cells (NCCs) are a migratory and multipotent stem cell population common among  
39 vertebrate species. These transient embryonic stem cells arise from the dorsal-most aspect of the  
40 neural tube (**Figure 1**)—a transient embryonic structure fated to become the entire central  
41 nervous system—and begin extensive and stereotypic migratory paths throughout the developing  
42 mesendoderm. (Le Douarin, 1982; Le Douarin and Kalcheim, 1999; Saint-Jeannet, 2016). NCCs  
43 contribute to numerous and diversely specialized tissues; including, for example, craniofacial  
44 skeleton, corneal endothelium and stroma, auditory skeletal structures, sympathetic and sensory  
45 neurons, enteric nervous system, several classes of pigment producing cells across species, as  
46 well as many other tissues types(Jasrapuria-Agrawal and Lwigale, 2014; Lapedriza et al., 2014;  
47 Matsuo et al., 1995; Prendergast and Raible, 2014). Indeed, the field has come to appreciate that  
48 the spectacular diversity of structures formed by NCCs highlights their crucial role in vertebrate  
49 development.

50

51 NCC ontogenesis occurs in four major stages: specification, delamination, migration, and  
52 differentiation (**Figure 1**). NCCs first emerge at the dorsal aspect of the neural tube along the  
53 majority of the anterior-posterior (A-P) axis of the elongating embryo in response to a mélange of  
54 signaling factors; including, WNTs, BMPs, FGFs, Retinoic Acid, and TGF $\beta$  signaling (Conlon,  
55 1995; Goldstein et al., 2005; Hosokawa et al., 2010; Li et al., 2009). At the time of specification,  
56 NCCs can be segregated into four major subpopulations which are collinear with their axial region  
57 of origin; namely the cranial, vagal, trunk, and sacral (Bronner, 2012; Rothstein et al., 2018)  
58 Shortly after their specification, NCCs delaminate from the neural tube and assume mesenchymal  
59 character just prior to their emigration, a process known as epithelial-to-mesenchymal transition  
60 (EMT)(Taneyhill and Padmanabhan, 2014). Following delamination, NCCs migrate along  
61 stereotypic routes throughout the embryo, guided both by NCC-mesenchyme interactions and  
62 chemotaxis. The migration paths of NCCs can be predicted by the axial region of origination—the  
63 most anterior NCCs migrate rostrally to give rise to structures in the head, while more posterior  
64 NCCs give rise to more posteriorly fate structures. Following arrival in the target tissue, NCCs  
65 further undergo changes to assume their stably differentiated tissue types.

66

67 As NCCs emigrate and migrate throughout the early vertebrate body, NCCs interact with, express,  
68 and modify a diversity of extracellular matrix (ECM) constituents. The ECM itself is a diverse and  
69 dynamic microenvironment comprised of hydrated scaffold proteins, polysaccharides, and  
70 signaling molecules, which fundamentally provide structure and support for cell adhesion and  
71 form in tissues (Frantz et al., 2010). Across a number of stem cell niches, the stem cell deposition  
72 of and interaction with ECM defines a large number of their most important properties, such as  
73 retention of stem state, survival, and onset of differentiation programs (Gattazzo et al., 2014).  
74 Undeniably, NCC-ECM interactions are involved in EMT, migration, and differentiation in the  
75 embryo, which are summarized here. Further, while tremendous research efforts have been made  
76 toward elucidating biomechanical and cellular roles of NCC-ECM interactions, the question of  
77 which transcriptional regulators directly regulate the expression of ECM and ECM-interacting  
78 components in NCCs remains to be comprehensively addressed. Intriguingly, mounting evidence  
79 exists which positions Hox transcription factors as potential modulators of both ECM constituent  
80 proteins and cell-surface ECM-interaction components. Thus, we present a primer into the role of  
81 the ECM in NCC development, as well as review the potential role of Hox transcription factors as  
82 regulators of the NCC-ECM interactions.

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## 85 **2. Neural crest EMT and migration are regulated through ECM interactions**

86  
87 EMT in the NCC is canonically marked by upregulation of the genes *Snail1*, *Snail2* and *Twist1*,  
88 which encode for transcription factors that globally regulate EMT. Each of these transcription  
89 factors does so by controlling cellular programs and initiate NCC migration by repressing cell-cell  
90 adhesion mediating proteins, such as E-cadherin (adherens junctions), Occludins (tight  
91 junctions), and Desmoplakin (Desmosomes) (Ohkubo and Ozawa, 2003; Yang et al., 2010; Yook  
92 et al., 2006). Additionally, EMT is marked by species-dependent switch in Cadherin composition  
93 (Rogers et al., 2018), secretion of Fibronectin and Laminin, and reorganization of actin through  
94 expression of Integrins (Henderson and Copp, 1997; Lamouille et al., 2014), all of which  
95 coordinate active, directed cellular migration of NCC. Thus, through the loss of tight cell adhesion  
96 and promotion of a more mesenchymal cell type, *Snail1*, *Snail2*, and *Twist1* all initiate the dramatic  
97 migratory phenotype intrinsic to NCC function.

98

99 NCC remodeling of the ECM is a critical aspect of both NCCs undergoing EMT and throughout  
100 NCC migration to target tissues. The function of the ECM as a director of NCC migration has  
101 recently been thoroughly reviewed (Leonard and Taneyhill, 2020). Building upon this prior  
102 summary, a hallmark of NCC migration is the upregulated expression and secretion of ECM  
103 proteins, such as the Fibronectin family, which enables a more permissive microenvironment for  
104 cell migration(Bilozur and Hay, 1988; Boucaut et al., 1984; Monier-Gavelle and Duband, 1997).  
105 NCC interaction with secreted Fibronectin proteins is primarily mediated by the Integrin family of  
106 transmembrane proteins, which promote cell adhesion, migration, actin polymerization, cell  
107 proliferation, and cell survival(Huttenlocher and Horwitz, 2011; Zeltz and Gullberg, 2016).  
108 Antibody blockade of Integrin signaling *in vitro* disrupts NCC ability to interact with fibronectin and  
109 inhibits migration (Monier-Gavelle and Duband, 1997; Strachan and Condic, 2003). As such,  
110 regulation of Integrin expression is critical to NCC migration. Additionally, not only are ECM  
111 constituent proteins secreted by NCC, the existing ECM is proteolytically remodeled before and  
112 during NCC migration, typically through secretion of matrix metalloproteinases (MMPs) (Small  
113 and Crawford, 2016). Expression of certain MMPs, including ADAM13, MMP14, MMP16, and  
114 MMP9, have been shown by either gain or loss of function in *Xenopus* and chick embryos to be  
115 crucial for cranial NCC for migration (Alfandari et al., 2001; Garmon et al., 2018; Monsonego-  
116 Ornan et al., 2012; Roth et al., 2017). MMPs themselves appear to play even more complex roles  
117 in NCC EMT, beyond their catalytic function. Both MMP14 and MMP28 have been observed to  
118 have nuclear localization, facilitated through either NCC-centric autocrine or paracrine signaling  
119 paradigms, implying a more directed function of these MMPs in EMT progression (Andrieu et al.,  
120 2020; Gouignard et al., 2021). Therefore, NCC modulation of ECM by direct contribution, as well  
121 as active remodeling, supports a system where differential microenvironmental composition of  
122 permissive and non-permissive substrates facilitate NCC migration and EMT.

123  
124 While NCC do differentially secrete ECM proteins (Duband and Thiery, 1987; Leonard and  
125 Taneyhill, 2020; Wang and Astrof, 2016), there is significant production of these components by  
126 cells in the migratory environment of the neural tube, ectoderm, and somites (Copp et al., 2011;  
127 Crawford et al., 2003; Latimer and Jessen, 2010). Importantly, while Laminins and Fibronectins  
128 are expressed by a wide variety of cell types, specific isoforms of each protein are differentially  
129 expressed throughout the developing embryo (Copp et al., 2011; Crawford et al., 2003). As Wang  
130 and Astrof (2016) demonstrated, the NCC-autonomous expression of Fibronectin is necessary  
131 for proper vagal NCC patterning in the heart. Further, antibody staining for Fibronectin expression

132 shows NCC likely begin secreting Fibronectin following EMT (Newgreen and Thiery, 1980).  
133 Laminins, which normally are expressed by cells adjacent to the basal laminae of a tissue, have  
134 been shown to play a more important role in NCC differentiation than migration. So, while post-  
135 EMT NCC may not upregulate Laminins at the time of EMT, Fibronectin is required as a substrate  
136 for correct NCC migration and is expressed cell autonomously and in the surrounding  
137 mesenchyme. Cumulatively, these findings highlight the centrality of ECM-NCC interactions in  
138 governing appropriate EMT and NCC migration.

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### 141 **3. Integrins as directors of NCC migration and survival**

142

143 The significance of integrins in NCC migration lies primarily upstream of the formation of focal  
144 adhesion complexes, which allow NCC to apply the contractile force necessary to move through  
145 the embryo. Integrin binding domains are found on Fibronectins, Laminins, and Collagens. At  
146 sites where Integrin heterodimers bind to one of these ECM components, Focal Adhesion Kinase  
147 (FAK) is recruited and activated to the intracellular domain of the activated Integrins. FAK further  
148 initiates recruitment of accessory proteins and eventually the initiation of actin stress fibers  
149 (Huttenlocher and Horwitz, 2011). By regulating the polarity of surface integrin localization, NCC  
150 are capable of initiating actin polymerization in a directional manner. Directional and differential  
151 actin recruitment applies a force at the cell membrane, propelling the cell forward and enabling  
152 migration. While other regulatory and cues are mediated through integrin signaling, their function  
153 as mediators of focal adhesion complex formation has been well established in NCC(Breau et al.,  
154 2009; McKeown et al., 2013). As an illustrative example, within the context of the periocular NCCs  
155 which give rise to the corneal endothelium and stroma, Integrin  $\alpha 8\beta 1$  expressed by NCCs  
156 interacts with Nephronectin in the ECM to facilitate migration into the eye (Ma et al., 2022).  
157 Inhibition of either component reduced the capacity of NCC to populate the cornea. The failure of  
158 periocular NCCs to migrate into the presumptive corneal stroma after Nephronectin- $\alpha 8\beta 1$   
159 abrogation was further shown to be mediated through depletion of focal adhesions. Indeed,  
160 considered here as a special case, Integrin mediated interactions with the ECM are fundamental  
161 drivers of NCC migration.

162

163 Integrin signaling is also known to impact both cell proliferation and survival, but NCC cell  
164 proliferation may be governed by a different mechanism (Lawson and Burridge, 2014; Moreno-

165 Layseca and Streuli, 2014; Pugacheva et al., 2006; Walker and Assoian, 2005). Integrin activation  
166 at the cell membrane regulates cell proliferation by inciting a phosphorylation cascade, mediated  
167 canonically by Erk signal transduction pathway. Ultimately, the phosphorylation cascade leads to  
168 the activation of Cdk4/CyclinD1 complex, initiating G1/S progression during the cell cycle.  
169 Interestingly however, NCC-specific depletion of Integrin  $\alpha 4\beta 1$  signaling by antibody blockade in  
170 chick embryos dramatically increased NCC cell death, but did not decrease the cell proliferation  
171 rate as shown by TUNNEL and BrdU assays, respectively (Testaz and Duband, 2001). In partial  
172 corroboration of these data, Cre knockout of *Integrin  $\beta 1$*  exhibited no change in proliferation rates  
173 and did not demonstrate the same NCC-specific cell death in mouse NCC fated for the enteric  
174 nervous system(Breau et al., 2006), which differs from Integrin  $\alpha 4\beta 1$  functional depletion in chick.  
175 Lastly, *Integrin  $\alpha 5\beta 1$*  null mice showed cranial NCC specific cell death(Goh et al., 1997). As such,  
176 loss of Integrin signaling is not sufficient to decrease cell proliferation rates, but may be required  
177 in some NCC populations for cell survival. Further work, including analysis of gain of integrin  
178 function, is necessary to elucidate the precise role integrins may play specifically in directing NCC  
179 proliferation.

180

181 Overall, multiple observations—the distinction in ECM composition in various embryonic regions,  
182 diversity of integrin heterodimer subtypes (Takada et al., 2007), and regulation of cell surface  
183 localization of integrin subtypes—brings to light the possibility of complex regulatory mechanisms  
184 which may govern NCC development. Indeed, NCC migration, then, is directed not only by NCC-  
185 autonomous expression of integrins, but also by the availability of their local ECM substrate.  
186 Additionally, cell survival signaling in migrating NCCs may be facilitated by Integrins. In this way,  
187 the Integrin-ECM interaction go beyond facilitating cell adhesion, but specifically directs cell  
188 migration and survival in NCCs.

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190

#### 191 **4. Non-integrin ECM interactions with the microenvironment facilitate NCC migration**

192

193 Beyond Integrin-ECM interactions, NCC migration is guided by additional microenvironmental  
194 cues. Protein-Protein interactions on the NCC surface between Eph-Ephrins and Semaphorins-  
195 Neuropilins are non-permissive to cranial NCC migration (Davy et al., 2004; Kuriyama and Mayor,  
196 2008; McLennan and Kulesa, 2007). Eph are a family of Tyrosine Kinase Receptors expressed  
197 by cranial NCC and detect Ephrins, another class of membrane bound ligand proteins. In the

198 cranial domain, Ephrins are expressed by the mesenchyme that lies between each cranial NCC  
199 stream into their respective pharyngeal arches (PAs), structures which make up much of the lower  
200 cranial structures, and restricts the Eph-positive NCC into discrete migratory routes (Santiago and  
201 Erickson, 2002). Homozygous knockout of *EphrinB2* leads to mislocalization of mouse cranial  
202 NCC, however expression of the extracellular domain is sufficient to rescue proper NCC migration  
203 and arch invasion(Adams et al., 2001), clearly demonstrating that *EphrinB2* functions as the  
204 required ligand to direct cranial NCC infiltration. Similarly, the Neuropilin and Plexin family of cell  
205 surface proteins heterodimerize to mediate NCC migration, where *Npn1* (*Neuropilin 1*) knockdown  
206 by siRNA in chicken embryos prevented NCC from migrating completely into the 2<sup>nd</sup> PA  
207 (McLennan and Kulesa, 2007). Semaphorins, the ligand for Neuropilin receptors, are secreted by  
208 non-neural crest mesenchyme and are required for proper patterning of certain cranial NCC  
209 populations (Lepore et al., 2006). Thus, Semaphorin-Neuropilins and Eph-Ephrin interactions  
210 modulate NCC migration by altering the way NCC interact with their microenvironment. Together  
211 with Integrin signaling, the layered regulation afforded by these cell surface mechanisms direct  
212 NCC migration in a complex manner necessary to give rise to multiple and distinct NCC fates.

213

214

## 215 **5. Hox genes as potential regulators of NCC migration and proliferation**

216

217 The broad diversity of ECM constituents and NCC-localized cell surface receptors provides a  
218 remarkable regulatory framework to regulate both EMT and migration throughout the vertebrate  
219 embryo. The migratory routes chosen by NCC subpopulations are spatially separated along the  
220 A-P axis, collinear, at least part, with the expression of Hox transcription factors. This ancient  
221 family of genes shares a high degree of conservation in both function and organization between  
222 diverse organismal lineages, from flies to fish, from mice to humans (**Figure 2**) (Mallo and Alonso,  
223 2013). Primarily known for their role in establishing the A-P axis, select Hox family members have  
224 been shown to play an integral function in tumor metastasis (Hong et al., 2015; Wu et al., 2006),  
225 cell proliferation (Krosl et al., 1998; Lee et al., 2015), angiogenesis (Amali et al., 2013; Mace et  
226 al., 2005), and pharyngeal arch formation (Trainor and Krumlauf, 2001), among other functions.  
227 Hox transcription factors in mammals are organized into discrete paralogous clusters across four  
228 chromosomes and are numbered according to their position in the cluster (**Figure 2**). Intriguingly,  
229 Hox genes which occur earlier within the chromosome, that is to say with a Hox gene with a lower

230 number, are expressed more anteriorly, while later genes are expressed more distally from the  
231 head.

232

233 The close association between the A-P expression of Hox transcription factors and the stereotypic  
234 paths chosen by NCCs during their development presents a tantalizing model where the cellular  
235 signals which regulate NCC segregation into their terminal cell types may be rooted in  
236 combinatorial Hox transcriptional regulation, which has long been under investigation (Parker et  
237 al., 2014; Parker et al., 2018; Parker et al., 2019a; Trainor and Krumlauf, 2000; Trainor and  
238 Krumlauf, 2001). Particular research efforts have been applied to discerning the role of Hox  
239 expression within cranial neural crest, which contribute cell lineages to the lower jaw (Sandell and  
240 Trainor, 2006). The earliest Hox expression in vertebrate systems, supported with evidence from  
241 mouse, zebrafish, *Xenopus laevis*, and human organoids, is detectable along the level of the  
242 hindbrain in the rhombomeres (r), the earliest segment of expression ranging from r2 to r3  
243 depending on species specific contexts (Libby et al., 2021; McNulty et al., 2005; Schilling et al.,  
244 2001; Wilkinson et al., 1989). Prior to the rhombomeric Hox expression domains, NCC patterning  
245 in the anterior embryo is largely ruled by the non-Hox homeobox transcription factors *Gbx2*,  
246 *Otx1/Otx2*, and *Emx1/Emx2*, as evidenced by data collected across multiple species (Byrd and  
247 Meyers, 2005; Li et al., 2009; Matsuo et al., 1995; Roeseler et al., 2020; Steventon et al., 2012).  
248 Within the Hox-positive domain, overlapping and combinatorial expression of Hox genes from  
249 different clusters direct specific migration of NCCs into the correct PA. Disruptions in Hox gene  
250 expression in facial patterning, such as mutations in *Hoxa2*, *Hoxb3*, and *Hoxb4*, results in either  
251 the fate transformation or abrogation of cranial NCC migration into the PAs (Gendron-Maguire et  
252 al., 1993; Kitazawa et al., 2015; Nolte et al., 2019; Simeone et al., 1991). In the case of *Hoxa2* in  
253 particular, loss of function in a murine model converts the second-PA fate to mirror that of the first,  
254 duplicating jaw and presumptive auditory structures (Gendron-Maguire et al., 1993). Ectopic  
255 *Hoxa2* expression in chick conversely abolished first-PA structure, the formation of which requires  
256 Hox-negative NCC contribution (Gavalas et al., 2003). Together, these experiments demonstrate  
257 the important and conserved role of anteriorly expressed Hox transcription factors in formation of  
258 cranial NCC derived tissues.

259

260 Due perhaps to the robust nature of Hox-related phenotypes which are manifest at later  
261 developmental stages, the functional role of Hox transcription factors in earlier NCC  
262 developmental programs, such as during EMT and specification, has been less well

263 characterized. Aligning with a previously posited hypothesis published in Taniguchi, 2014, below  
264 we highlight that Hox regulation on NCCs appears to be mediated at least in part by regulation of  
265 reciprocal ECM interactions.

266

267 As previously noted, ECM interactions are foundational drivers of early NCC development.  
268 Importantly for this discussion, expression of several of the ECM modulators and components  
269 discussed previously are known to be influenced by *Hox* gene expression. Wu et al. (2006) found  
270 that *Hoxb7* overexpression in breast cancer cell lines lead to downregulation of *E-cadherin* and  
271 *Claudin* expression, which correlated with increased EMT in tumor cells. Contextualizing this  
272 finding with respect to NCCs, suppressed expression of *Claudin-1* in chick NCCs is known to  
273 increase NCC emigration from the neural tube (Fishwick et al., 2012) and both *Claudin-1* and  
274 *Hoxb7* are proximally expressed at early embryonic stages (Bell et al., 2004; Darnell et al., 2007;  
275 Simard et al., 2005). Further, *Hoxa2* in chick NCC promotes EMT through the repression of  
276 *Cadherin-6b* (Gouti et al., 2011), while mouse *Hoxa1* activates *Cadherin-6b* expression in a  
277 transient manner (Inoue et al., 1997). Hox control of Integrin expression is supported by the  
278 observation that *Hoxd3* upregulates *Integrin β3* expression in human umbilical vein endothelial  
279 cells (Boudreau et al., 1997) which has been shown to increase NCC migratory phenotype  
280 (Boudreau et al., 1997; Monier-Gavelle and Duband, 1997). Additionally, Integrin heterodimers  
281  $\alpha V\beta 3$  and  $\alpha 5\beta 1$ , are both under direct regulation of *Hoxd3* as identified in a number of cancer  
282 types (Boudreau and Varner, 2004).

283

284 Reciprocally to direct Hox transcriptional regulation of ECM components, signaling induced by  
285 ECM may also impact the expression of Hox genes themselves. *Integrin α5β1* null mice showed  
286 a decrease in *Hoxb9* expression along the posterior aspect of the embryo (Goh et al., 1997).  
287 Notably, in the same *Integrin α5β1* null mice, anterior expression of *Hoxb-1*, *Hoxb-4*, and *Hoxb-5*  
288 were all reportedly similar to wild type mice (Goh et al., 1997). Moreover, both Eph and  
289 Neuropilin, whose expression are essential to segregating NCC migration into discrete streams  
290 (Kuriyama and Mayor, 2008), are likely to be differentially expressed under combinatorial control  
291 by *Hoxd4* and *Hoxb4* expression, at least in part (Prin et al., 2014).

292

293 Each of the above-described examples contribute to a model in which Hox transcription factors  
294 may directly regulate NCC EMT and migration through transcriptional control of ECM and ECM  
295 components. Hence, the role Hox transcription factors play in NCC development may be

296 intrinsically tied to transcriptional regulation of NCC microenvironmental interactions, which  
297 involves both interaction with and modulation of ECM components. Several NCC cell-autonomous  
298 regulatory targets have been summarized here, namely those in the Integrin, Ephrin, and  
299 Neuropilin families. These data would position Hox genes as potent drivers of early NCC  
300 developmental programs, expanding on previous models focused on Hox regulation on later fate  
301 acquisition in NCCs.

302

303 Beyond EMT and migration, the NCC proliferation rate appears to be at least partially integrin  
304 independent (Goh et al., 1997; Testaz and Duband, 2001), which may indicate an alternate  
305 mechanism for NCC cell cycle regulation by Hox factors. Supporting a model where *Hox* genes  
306 regulate cell cycle progression, *Hoxb7*-driven *ex vivo* cultured tumors were highly proliferative  
307 when transplanted into immunodeficient mice (Wu et al., 2006). Furthermore, the hyper-  
308 proliferative nature of T47D and MCF7 breast cancer cell lines has also been attributed to altered  
309 *Hoxb5* expression, as both gain and loss of function respectively increased or decreased cancer  
310 cell proliferation rate (Lee et al., 2015). In fact, a novel binding site for a HoxA9-dependant  
311 transcriptional complex was shown to be upstream of the Cyclin-dependent Kinase Inhibitors  
312 *Cdkn2a/b*, the repression of which allows for G1 initiation (Collins et al., 2014). The conserved  
313 role of direct Hox activation of cell cycle gene expression is supported by experiments in *C.*  
314 *elegans* involving the *Hox* ortholog *lin-39* as an upstream activator for *cdk-4* and *cye-1* (cyclin E)  
315 (Roiz et al., 2016). Indeed, while the possibility remains for NCC cell cycle control via Integrin  
316 signaling, there is a growing body of evidence for direct regulation of expression of cell cycle  
317 regulatory genes in NCC by Hox transcription factors.

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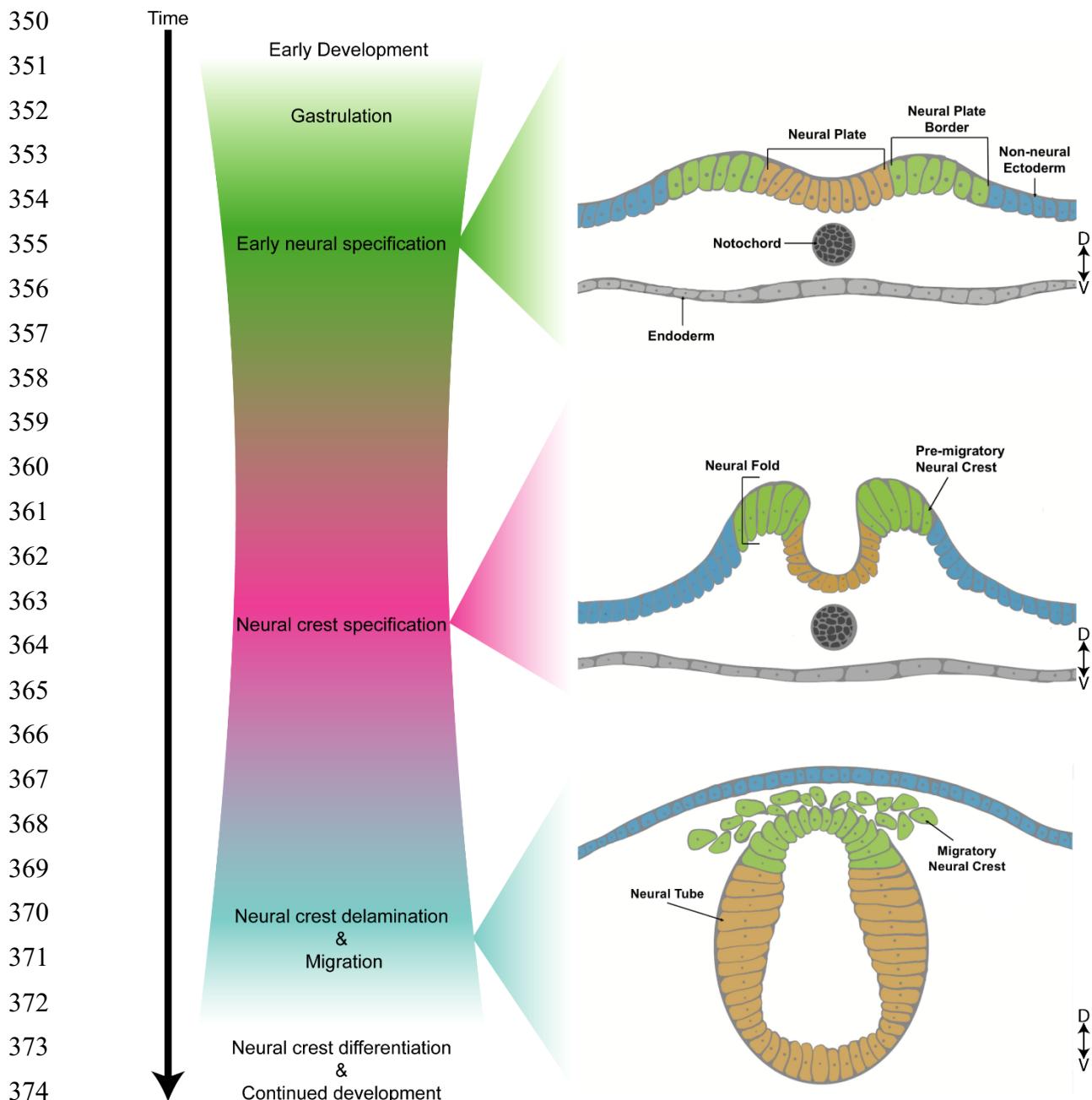
319 Because of the nested expression domains of the *Hox* genes in the NCC, a complex “*Hox* code”  
320 emerges as a likely mechanism for directing NCC migration towards a correct target tissue. A  
321 combination of active *Hox* gene expression modules label various NCC subpopulations along the  
322 anterior-posterior axis. Studying these combinations, however, is partially complicated due to  
323 functional redundancy of many proximally expressed and true paralogous *Hox* genes (Boucherat  
324 et al., 2013; Horan et al., 1995; Hunter and Prince, 2002; Jarinova et al., 2008). Despite this  
325 possibility for redundancy, much of what is known regarding *Hox* function is derived from  
326 experiments involving loss of a single gene. A chief challenge in determining a mechanism  
327 underlying the NCC-ECM-Hox axis will be elucidating not only the impact of individual Hox  
328 perturbation on the NCC-ECM interactions, but also, perhaps more importantly, how combinations

329 of Hox genes work in concert to direct the same interactions. Consideration of combinatorial Hox  
330 codes are already shedding refreshing light on other developmental contexts (Alberstat et al.,  
331 2022; Parker et al., 2019b; Yamada et al., 2021), which if applied to NCC promises a tantalizing  
332 prospect of a mechanism for NCC subtypes selection through discrete activation of ECM-  
333 interaction modules.

334

335 As reviewed in this manuscript, *Hox* genes can be modeled as regulators of the NCC  
336 microenvironment, which includes control of both the contribution to ECM dynamics, as well as  
337 the NCC-ECM interactions used during migration. These data, collectively, begin to describe a  
338 putative mechanism of action for Hox regulation of cranial NCC (**Figure 3**); combinatorial Hox  
339 expression in NCC controls delamination/migration by differentially regulating cell surface  
340 receptors and ECM modulation. Putatively, regulation may include the direct transcriptional  
341 control of NCC-autonomous ECM interaction molecules expression, such as Integrins and  
342 Ephrins. Additionally, NCC cell cycle progression appears to be under direct Hox influence for  
343 certain NCC populations. The involvement of Hox regulation on ECM composition is an emerging  
344 topic and is an exciting area for further exploration (Akin and Nazarali, 2005; Boudreau and  
345 Bissell, 1998; Taniguchi, 2014). Significantly, these results suggest an intrinsic connection  
346 between ECM composition, Hox expression, and NCC EMT/migration, which warrants further  
347 investigation in other NCC populations beyond the cranial NCC.

348

349 **Figure 1**

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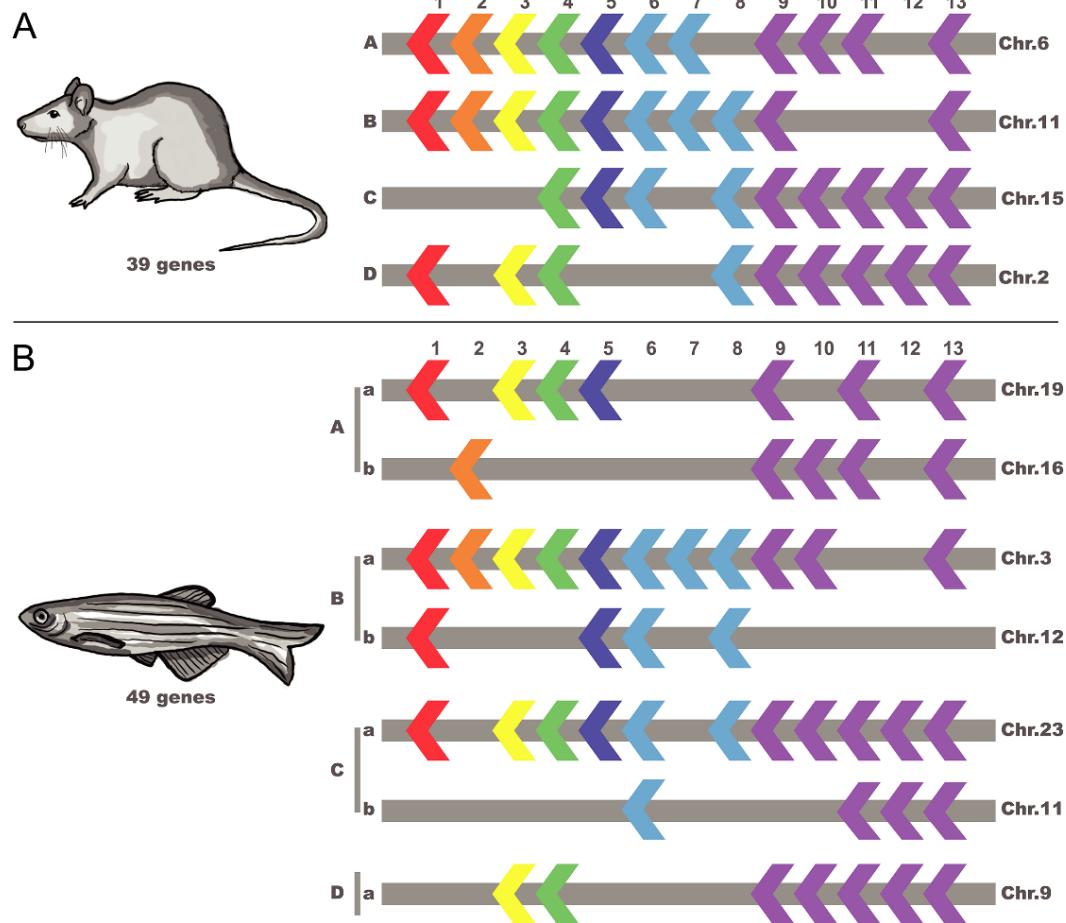
**Figure 1. Generalized schematic of the stages of neural crest cell (NCC) development.** NCCs are first specified following gastrulation in bilateral stripes in border adjacent to the neural plate. These neural plate boarder cells will undergo a dramatic morphogenic rearrangement, which varies from species to species, to fold inward to and reside toward the dorsal aspect of the neural tube. During and immediately after this morphogenesis, the now specified NCCs will undergo an epithelial-to-mesenchymal transition to complete delamination, and begin stereotypical migratory journeys toward specific tissues throughout the embryo. Upon arriving in this target tissues, NCCs switch on diverse tissue dependent gene regulatory programs to differentiate into a multitude of tissue lineages.

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380 Figure 2

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382



400 **Figure 2. Schematic of the chromosomal organization of murine and zebrafish Hox gene**  
401 **clusters.** (A) Hox genes in mice are arranged on four chromosomes with each paralogy group (PG)

402 bearing a label A-D. PGs are defined by sequence similarity. As such, *Hoxa1*, *Hoxb1*, and *Hoxd1* all

403 are members of PG1. The most 5' (left) Hox genes are expressed more anteriorly. (B) In zebrafish, due

404 to a teleost-specific whole genome duplication event, PGs A-C are duplicated across an additional 3

405 chromosomes. It should be noted that even with this duplication, there is a high degree of conservation

406 of synteny, order, and representation across both species.

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411 Figure 3

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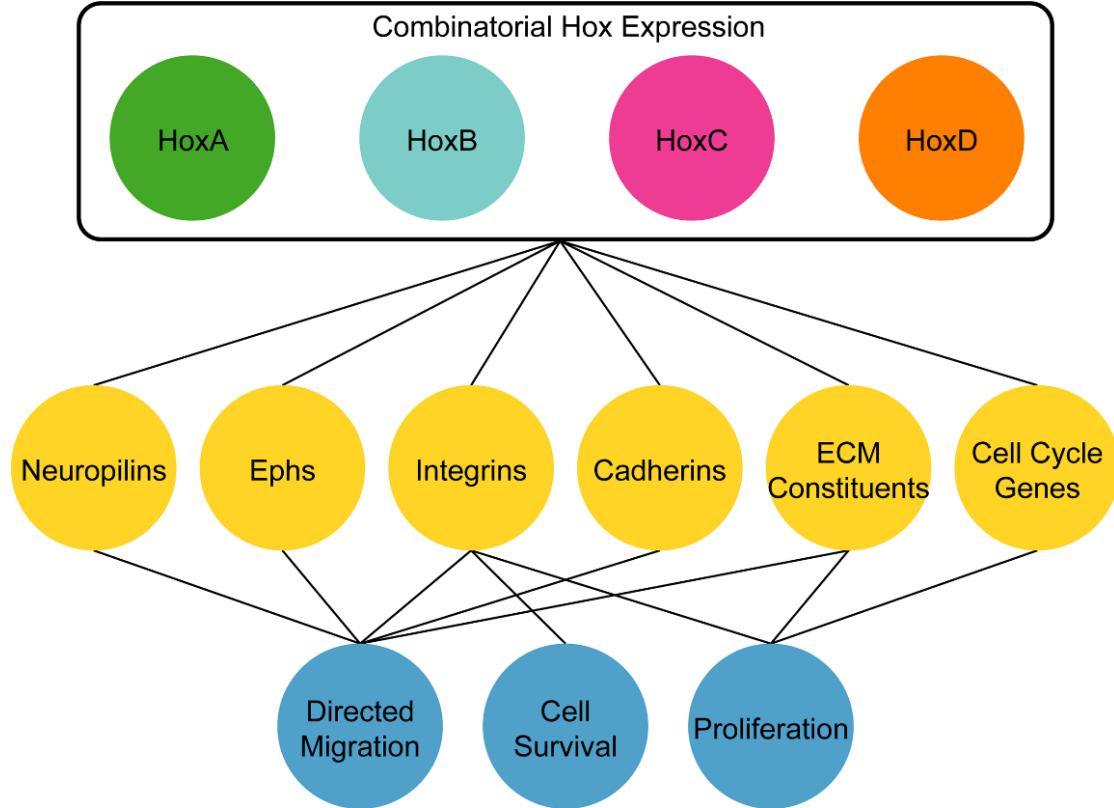
## Combinatorial Hox Expression

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**Figure 3. Model of Combinatorial Hox regulation of NCC microenvironment and cell cycle.** Different combinations and expression levels of nested Hox genes, for example shown here from paralogy group A-D, leads to different combinations of cell surface protein which allow for differential NCC migratory behavior. The putative combinatorial “Hox code” may work in concert with differentiation gene networks to determine the final cell type contribution for each NCC subpopulation.

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437 **Acknowledgements**

438 We acknowledge funding from Cancer Prevention and Research Institute of Texas (CPRIT)  
439 Recruitment of First-Time Tenure Track Faculty Members (CPRIT-RR170062), from NSF  
440 CAREER Award (1942019) and National Institutes of Health DK124804 awarded to R.A.U. We  
441 thank Jessa Westheimer and Ashley Tsang for assistance with figure designs.

442

443 **Conflict of Interest**

444 R.A.U. is a coauthor of the manuscript and an editor of *Differentiation* and was not involved in the  
445 handling of the peer review process of this submission.

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