

# From webs, loops, shunts and pumps to microbial mix-masters: new paradigms of marine microbial ecology, mixoplankton and implications for a future ocean

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14 **Keywords:** microbial loop, viral shunt, mixotrophy, mixoplankton, phytoplankton, zooplankton,  
15 harmful algal blooms, HABs, climate change, biogeochemical cycling.

## 17 Condensed running head: Microbes and the mixoplankton paradigm

25    **Abstract**

26    Emerging knowledge of mixoplankton–ubiquitous microbes that employ phototrophy and  
27    phagotrophy synergistically in one cell–reshapes our knowledge of the flow of materials and  
28    energy, with wide-reaching impacts on marine productivity, biodiversity and sustainability,  
29    through provision of additional trophic linkages. Concepts of microbial interactions have evolved  
30    from the traditionally-conceived food chain, in which carbon-fixing phytoplankton are grazed  
31    upon by zooplankton, which in turn support fisheries and higher trophic levels, to microbial  
32    webs, loops and shunts as knowledge about abundance, activity and roles of microbial  
33    organisms– bacteria, archaea, viruses, phytoplankton, zooplankton, mixoplankton have  
34    increased. In a future world, phytoplankton that dominate in short food ‘chains’ and simple  
35    microbial ‘webs’ may be disproportionately stressed as temperatures rise, as thermal stratification  
36    reduces water column nutrient exchange, as oceanic waters become more acidic, and as land-  
37    based and atmospheric nutrient pollution shifts in amounts, forms and proportions. These warm,  
38    stoichiometrically imbalanced conditions strengthen the importance of mixoplankton, including  
39    those that form harmful algal blooms, and alter the likely pathways of organic carbon processing  
40    in the ocean. Understanding, measuring, and modeling the interconnectivity of microbes have  
41    direct consequences for understanding how nutrient cycling pathways, harmful algal blooms and  
42    fisheries may change in a globally-changing, anthropogenically-impacted world.

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48 **Introduction**

49 In the plankton microbial world, there is competition for resources and winners achieve that  
50 status by avoiding death as much as from their inherent rate of growth. Persistent questions in  
51 plankton ecology and biological oceanography relate to how plankton interact, how these  
52 interactions modify biogeochemical cycling, affect species succession, and ultimately how they  
53 collectively determine the composition and productivity of higher trophic levels. In the past half-  
54 century, research into microbial planktonic warfare, or more precisely, microbial trophic  
55 interactions, has greatly advanced, with accelerating knowledge of newly-found organisms,  
56 pathways and interactions—and new consequences for aquatic ecosystem functioning.

57

58 There has been much debate about forces driving the biodiversity of plankton species with  
59 researchers focusing mainly on phytoplankton—the traditional primary producers of aquatic  
60 systems (including microbial prokaryotic cyanobacteria and eukaryotic autotrophs; Flynn 1988).  
61 The fact that many co-existing microbes occupy outwardly similar, but sometimes subtly  
62 different, niches led to various researchers in the 1940's - 1960's to question the validity of  
63 prevalent theories about competition and success of phytoplankton in aquatic systems.  
64 Hutchinson (1961) coined the phrase “paradox of the plankton”, suggesting that the rules  
65 governing terrestrial life-forms were not suitable for describing phytoplankton success and  
66 diversity. That a number of species can co-exist in an apparently relatively unstructured aquatic  
67 environment, even though they are all competing for similar if not identical resources, is because  
68 of the lack of equilibrium in the system and wide diversity in physiology of different taxa. More  
69 recently our understanding of how the base of the food web has been questioned.

70

71 The marine food-chain was originally conceptualized based on our understanding of terrestrial  
72 dynamics where the plants are the producers and animals the consumers (Hirston et al. 1960).  
73 Thus, in aquatic systems we have traditionally thought of the phytoplankton as the producers, the  
74 zooplankton as the primary consumers, the fish as the secondary consumers etc. Based upon  
75 plant functional groupings, the marine microbial organisms have also been assigned functional  
76 groups, including phytoplankton, bacterioplankton, zooplankton. Over the last decade, there has  
77 been an increasing awareness about the existence of another important functional group—the  
78 mixoplankton, those protists that engage synergistically in phototrophy and phagotrophy within a  
79 single cell (Flynn et al. 2013, 2019; Mitra et al. 2016). Our emerging knowledge of  
80 mixoplankton has led to a re-imaging of the marine plankton food-web, and a reinterpretation of  
81 ‘phytoplankton’ and ‘(proto)zooplankton’.

82

83 With global climate changes, plankton diversity and succession are changing, making their  
84 understanding ever more important. Here, we review the evolution of conceptual models of  
85 plankton interactions from food chains to the mixoplankton paradigm, and we emphasize how  
86 this latest paradigm alters our understanding of how plankton interact and the importance of  
87 understanding these dynamics in predicting how the microbial community may change in a  
88 future ocean.

89

## 90 **From food chains to loops and webs**

91 The importance of plankton as feed for other marine organisms has long been known. In the  
92 traditional view, plant-like phytoplankton form the base of a linear food ‘chain’, and they are  
93 then consumed by the primary consumers (protozooplankton and mesozooplankton) which are

94 then eaten by small fish, these in turn provide food for larger fish and higher trophic levels. In  
95 these linear food chain systems, bacteria—if considered at all—were only considered as minor  
96 players, for example, as the “decomposers”. In this role, bacteria were viewed solely as  
97 decomposers what ‘rained out’ of the surface ocean, leading to a unidirectional flow of energy  
98 and materials. However, in the late 1970’s, marine ecology saw the advent of the microbial  
99 ‘loop’ paradigm with bacteria identified as playing a more central role. This led to the food chain  
100 being re-imagined as a ‘web’, with the flow of energy and materials mediated at numerous steps  
101 by bacteria (Fig. 1b), with them serving as consumers as well as decomposers (a semantic  
102 difference perhaps, but still an important one).

103

104 The concept of the ‘microbial loop’ represented a major paradigm shift in marine ecology of the  
105 20<sup>th</sup> century. This was not just a conceptual shift: it was based on acceptance of a greater  
106 abundance of bacteria in the ocean than previously recognized. Even though the abundance of  
107 bacteria in marine waters had been well documented using direct counts by Eastern  
108 (predominately Russian) investigators as early as the 1920’s (e.g., Cholodny 1928), differences  
109 in bacteriological techniques (direct counts vs plate counts) between Eastern and Western  
110 scientists impeded acceptance of the importance of bacteria in the Western literature for many  
111 years (reviewed by Williams and Ducklow 2019). The microbial loop concept, introduced by  
112 Pomeroy (1974), and more formally described by Azam et al. (1983), highlighted the importance  
113 of grazing of bacteria by protozooplankton (2-20  $\mu\text{m}$  size range) wherein these grazers formed an  
114 important linkage between the marine microbial production and higher trophic levels (Fig. 1a).  
115 The microbial web, which encompasses not only bacteria, but also viruses, archaea and  
116 heterotrophic protists, rather than unidirectionally decomposing materials, provides the pathway

117 by which dissolved organic carbon (DOC; see Table 1 for list of abbreviations), largely derived  
118 from phytoplankton exudates, is recycled, and ultimately passed to higher trophic levels. In the  
119 microbial web, predator-prey interactions, via predation, parasitism, mutualism and other  
120 interactions, modulate not only the amount and rate of organic material available for bacterial  
121 growth, but also the numbers of bacteria, and ultimately the energy that is transferred to higher  
122 trophic levels. The heterotrophic protists, as consumers of bacteria, further contribute to the  
123 dissolved organic pool via excretion, but also to the particulate via production of fecal material.  
124 Thus, the acceptance of the role of bacteria thus evolved, from simply decomposers to serving as  
125 food for protozooplankton. This active flux only could be accounted for by an active and  
126 abundant bacterial community—a community that could be counted when methods advanced  
127 (Williams and Ducklow 2019). The advancement of methods also included the advent and  
128 proliferation of use of techniques such as dilution experiments which revealed that  
129 microzooplankton, rather than microzooplankton, were responsible for most of the consumption  
130 of primary production (Landry and Hassett 1982, reviewed by Schmoker et al. 2013).

131

### 132 **From loops to shunts**

133 Nearly two decades after the formalization of the microbial loop concept, the concept of the  
134 ‘viral shunt’ emerged. New data, again coupled with advances in techniques, led to enhanced  
135 understanding of the abundances of viruses and the processes by which viruses facilitate the  
136 movement of nutrients from organisms to pools of dissolved and particulate organic matter  
137 (Wilhelm and Suttle 1999; Jiao et al. 2010; Fig. 1b). From the initial discovery of the prevalence  
138 of marine viruses, shown to outnumber bacteria by an order of magnitude (e.g., Bergh et al.  
139 1989; Suttle 2007), followed by identification of phages of specific taxa (e.g., Sullivan et al.

140 2003; Lindell et al. 2005), including phytoplankton-infecting viruses (e.g., Wilson et al. 2005;  
141 Derelle et al. 2008,), the role of viral interactions in marine microbial interactions came into  
142 prominence. The importance to the understanding of microbial trophic dynamics was that,  
143 depending on the specific compounds released by viral lysis, the cycling of organic compounds  
144 could be modified, as some these compounds could be readily used by bacteria, while other  
145 compounds were more calcitrant (Weitz and Wilhelm 2012). Viruses thus came to be  
146 recognized as playing an important role in the microbial loop, regulating organic matter cycling  
147 via cell lysis and its resultant release of organic material for further consumption by bacteria. It  
148 has also been suggested that viruses can be responsible for lysing harmful algal blooms (HABs)  
149 (e.g., Lawrence 2002; Baudoux et al. 2006) and thus the abundance of specific taxa could be  
150 modified through viral interactions (cf. blooms occurring due to a loophole in predatory activity;  
151 Irigoien et al. 2005). Viral metagenomics has advanced understanding of their geographic  
152 patterns (De Corte et al. 2016) and relationships with many environmental conditions (Mateus  
153 2017).

154

### 155 **From loops and shunts to pumps**

156 The microbial loop concept led to a debate as to whether the loop represented a link, channeling  
157 fixed carbon (C) to higher trophic levels, or whether it represented a sink, a loss of fixed C from  
158 the system, the so-called ‘link-sink’ debate (cf., Sherr and Sherr 2000). The link-sink dichotomy  
159 has been further advanced with the differentiation between the biological carbon pump (BCP)  
160 and the microbial carbon pump (MCP). The former encapsulates the notion that bacteria mediate  
161 the transformation of DOC to more recalcitrant and particulate forms that are subsequently lost  
162 to the deep sea via marine snow or sinking fecal pellets (Honjo et al. 2014), a process considered

163 important in under most oceanic–oligotrophic–conditions (e.g., Fenchel 2008). In contrast, the  
164 MCP is based on the microbial transformation of labile DOC to more recalcitrant forms which  
165 can remain as important sources of sequestered, but dissolved, C in the ocean (Jiao et al. 2010).

166  
167 The balance between the BCP and MCP is a function of the production of DOC and its resulting  
168 quality by phytoplankton—and therefore the conditions on which the phytoplankton were growing  
169 and which type of phytoplankton were growing at any particular time or place. It has also been  
170 proposed that viruses can be significant drivers of the biological pump, via their facilitation of  
171 particle aggregation and transfer to the deep sea, leading to their dual ‘shunt and pump’ roles  
172 (Suttle 2007; Lomas and Moran 2011). The relationships between the BCP and MCP and of the  
173 viral shunt and pump in biogeochemical cycling is, nevertheless, yet to be determined, as diverse  
174 groups of eukaryotic viruses have been documented in the ocean, with different lineages  
175 functioning differently (Blanc-Mathieu et al. 2019), due to their host-strain specificity (Breitbart  
176 2012; Thingstad et al. 2021).

177  
178 Where large phytoplankton dominate, and where nutrients are sufficient, phytoplankton are most  
179 likely to be stoichiometrically balanced, and to be readily grazed by micro- or macro-  
180 zooplankton, leading to an enhanced BCP. When phytoplankton become limited by nutrients or  
181 light, their stoichiometric balance changes, they become less palatable for grazers, and they are  
182 more likely to release DOC (Fig. 2). Thus, under these condition, two factors contribute to an  
183 enhanced MCP. First, cells may release compounds rich in organic C as a stoichiometric  
184 rebalancing process (Glibert and Burkholder 2011), and second, as their food quality to grazers is  
185 reduced, the link with grazers is also weakened (Polimene et al. 2017).

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187

188 **Loops, shunts and pumps to mixotrophy**

189 Plankton mixotrophy, as characterized by its early and broadest definition - a combination of  
190 autotrophy and heterotrophy, has been known for many decades (Raven et al. 2009 and  
191 references therein). As common with most concepts, the definition and description of  
192 mixotrophy in aquatic systems was mirrored on terrestrial examples. The most common use of  
193 this term, and reports thereof, refer to the combined capability of phototrophy plus osmotrophy,  
194 the uptake of sugars, dissolved free amino acids and other organic compounds. Yet, even with  
195 this definition, based on results from the occasional laboratory experiment, the early  
196 understanding was that bacteria were far superior to microalgae in competing for organic  
197 substrates (e.g., Wright and Hobbie 1965). Thus, it was generally concluded that osmotrophy  
198 was marginal in its importance for phytoplankton (reviewed by Syrett 1981), and so mixotrophy  
199 for non-bacteria microbes was marginalized as a concept. However, the ability of phytoplankton  
200 to be osmotrophs gained appreciation over time, again largely as a function of new approaches  
201 and methodologies (e.g., Flynn and Butler 1986; Antia et al. 1991; Glibert 1993; Berman and  
202 Bronk 2003; Burkholder et al. 2008). It now seems, from the perspective of osmotrophy, that all  
203 planktonic autotrophs can be recognized to be mixotrophs to some degree (Flynn et al. 2019;  
204 Mitra and Flynn 2021).

205

206 In the 1990's, the first conceptual models of mixotrophy were proposed by Jones (1997) and  
207 Stoecker (1998) for freshwater and marine systems, respectively. These conceptual models  
208 described the occurrence, under varying nutrient and/or light limiting conditions, of mixotrophy

209 as a combination of phototrophy, osmotrophy and also of phagotrophy. Differentiating  
210 mixotrophy in this way becomes important when considering food-web interactions, as phago-  
211 heterotrophy results in death of other organisms in contrast to osmo-heterotrophy which is  
212 dependent on uptake of dissolved material. Just as mixotrophic activity based on the traditional  
213 definition involving phototrophy *plus* osmotrophy was initially considered minimal in  
214 importance (Syrett 1981), mixotrophic activity by protists engaging in phototrophy *plus*  
215 phagotrophy was initially considered nothing more than a curiosity with mixotrophic organisms  
216 considered to be inferior compared to the purely phototrophic and purely phagotrophic  
217 organisms. Thus, for one reason or another, mixotrophic plankton were rarely considered and  
218 when they were considered, they were invariably apportioned as part of the “phytoplankton”  
219 community. For example, based on the Stickney et al. (2000) models of mixotrophy, a fraction of  
220 dinoflagellates was assigned mixotrophic capabilities in the biogeochemical marine ecosystem  
221 model of Fulton et al. (2004).

222  
223 Over the last decade the understanding of protistan mixotrophic activity has changed  
224 significantly. It is now recognized that most of the protist planktonic primary producers,  
225 excluding diatoms, are potential grazers, and over one-third of protozooplankton are capable of  
226 acquired phototrophy (Stoecker et al. 2009; Flynn et al. 2013). This led to a revision of the  
227 protist plankton functional classification (Mitra et al. 2016) and recently, the coining of the term  
228 “mixoplankton” (Flynn et al. 2019). Usage of the term mixoplankton is important, as it clearly  
229 flags organisms that are mixotrophic by virtue of killing other organisms; they are predators and  
230 their activity directly affects food-web structuring. Mixoplankton are thus major players within  
231 the microbial food web. They consume bacteria as well as phytoplankton or other

232 microzooplankton, they release DOC as they digest their food, they also photosynthesize with  
233 their own or acquired chloroplasts, and they, in turn, become food for other micrograzers and  
234 other mixoplankton.

235

236 **The mixoplankton paradigm**

237 Mixoplankton are now recognized to comprise a marine community in their own right, similar to  
238 bacterioplankton, phytoplankton and proto/meso-zooplankton communities. Within the  
239 mixoplankton there is significant diversity with the community broadly being classified into (i)  
240 constitutive mixoplankton (CM) – those that have an inherent ability to photosynthesize (they  
241 have genetic ability to make their own chloroplasts) but that also acquire food through predation,  
242 and, (ii) non-constitutive mixoplankton (NCM) - protists that engage in predation and  
243 photosynthesis through acquired phototrophy (Mitra et al. 2016). Examples of CM include the  
244 iconic marine “phytoplankton” *Emiliania huxleyi*, *Tripos tripos* (previously known as *Ceratium*  
245 *furca*) and also various HAB taxa (e.g., *Karlodinium veneficum*, *Karenia brevis*, *Alexandrium*  
246 *minimum*; Leles et al. 2019). The NCM can be further divided into those that are generalist non-  
247 constitutive mixotrophs (GNCM) acquiring plastids from a range of prey (e.g., the fisheries-  
248 supporting *Laboea strobila*, *Strombidium rassoulzadegani*; Leles et al. 2017) and those that are  
249 specialist non-constitutive mixotrophs (SNCM). The specialists themselves are further  
250 categorized into two functional groups – the plastidic SNCM (pSNCM) that acquire plastids  
251 from specific species (e.g., *Mesodinium rubrum*, *Dinophysis acuta*; Leles et al. 2017), and, the  
252 endosymbiotic SNCMs (eSNCM), those that harbor photosynthetic endosymbionts (e.g.,  
253 different rhizarians).

254

255 Conceptualizing and comprehending the importance of mixoplanktonic activity is much more  
256 than recognizing which protist plankton have this dual synergistic nutritional capability. The  
257 mixoplankton paradigm assumes mixoplankton dominate the base of microbial food-webs, and  
258 via their activity, short-circuit the flow of energy and materials to primary producers in ways that  
259 are fundamentally different than those envisioned in a microbial food-web dependent on bacteria  
260 for this recycling (Fig. 1c). At the simplest level, there are advantages to eating your competitor,  
261 and so-called phytoflagellates that consume other algae or bacteria may gain this advantage  
262 (Thingstad et al. 1996; Mitra et al. 2014). Productivity and/or growth may increase due to the  
263 dual channels by which the organism gains its C or nutrients (Fig. 3). Essential elements such as  
264 C, nitrogen (N) or phosphorus (P) are typically rich in microbial prey, and therefore upon  
265 feeding, the mixoplankton is provided not only an element that may have been otherwise in  
266 limiting proportion, but the consumer gains all elements pre-packaged in the food. Thus, CM  
267 mixoplankton gain C, N, P and micronutrients from grazing, while NCM mixoplankton may gain  
268 at least transitory inorganic C-fixing capacity after feeding on phototrophs (Leles et al. 2021).  
269 For example, the CM dinoflagellate *Margalefidinium* (reported as *Cochlodinium*) *polykrikoides*  
270 nearly doubles its growth rate when allowed to grow as a mixoplankton compared to when no  
271 prey was provided and therefore it was forced to survive on phototrophy only (Jeong et al. 2004).  
272 Similar observations have been reported for the CM dinoflagellates *Karlodinium* *veneficum* and  
273 *Karenia* *brevis* (Adolf et al. 2008; Glibert et al. 2009). As mixoplankton, species that were  
274 originally thought to have comparatively low growth rates because they were grown as  
275 phototrophs, may in reality have an advantage over competitors under nutrient limiting  
276 conditions, leading to compounded gains over time. This is one strategy by which HABs may

277 form; indeed, most protist HAB species (i.e, excluding the cyanobacterial and diatom HABs) are  
278 now recognized to be mixoplankton (Mitra and Flynn 2021).

279

280 Mixoplankton may selectively feed on prey that have a nutritional composition complementary  
281 to themselves (e.g., Glibert and Burkholder 2011), and this, in turn, can alter the elemental  
282 stoichiometry of the mixoplankton and of its release products (e.g., Lundgren et al. 2016; Lin et  
283 al. 2017; Zhang et al. 2017). Availability of food with a differential stoichiometric composition  
284 may provide multiple advantages for a mixoplankton that itself may be limited in a particular  
285 elemental constituent. When a macrograzer consumes a mixoplankton, this upgraded trophic  
286 benefit is further transferred (Traboni et al. 2020). If the grazer is itself a mixoplankter, as in the  
287 case of the multi-link mixoplankton consortia of a bacterivorous CM cryptophyte - pSNCM ciliate  
288 *Mesodinium* - pSNCM dinoflagellate *Dinophysis*, one may expect trophic upgrading to be  
289 accomplished at each step. On the other hand, when release products are altered, this can also  
290 create both positive and negative feedbacks that, in turn, alter the composition of the primary  
291 producers, potentially generating those that are unpalatable (e.g., Mitra and Flynn 2006).

292 Ultimately, how the resource stoichiometric proportions compare with those of the mixoplankton  
293 could have propagating effects through the microbial loop, with implications for food-web  
294 structure and functioning (Mitra et al. 2014, Polimene et al. 2017). Such advantages afforded to  
295 mixoplankton may outweigh their supposedly comparatively poor growth rates in otherwise non-  
296 limiting nutrient conditions. In oceanic waters, mixoplanktonic activity may help to explain why  
297 oceanic 'phytoplankton' may have the ability to grow relatively rapidly even though inhabiting  
298 oligotrophic waters (Goldman et al. 1979); they may be grazing on bacteria to acquire nutrients  
299 (Zubkov and Tarran 2008; Hartmann et al. 2012).

300

301 Mixoplankton have other ecological advantages to phytoplankton. For those mixoplankton that  
302 form HABs, the relationship between phagotrophic nutrition and toxicity of many HAB taxa may  
303 also be synergistic. The toxin may harm or stun the prey, in turn making the prey easier to  
304 capture (Skovgaard and Hansen 2003; Tillmann 2003). Even for those species that are not  
305 directly toxic, mixoplanktonic activity and allelopathy may be synergistic: the mixoplankton gain  
306 while the competitors affected by allelopathic compounds do not (e.g., John et al. 2015). All of  
307 these interactions lay themselves open to interference from virus attack, and all of them will  
308 affect, in one way or the other, the functioning of the microbial loop (Flynn et al. 2021;  
309 Thingstad et al. 2021). Further, mixoplankton activity alters the stability of a plankton  
310 community, and models have suggested that open ocean plankton dynamics may have a more  
311 stable equilibrium and higher production rates due to enhanced nutrient feedbacks (e.g., Mitra et  
312 al. 2014).

313

#### 314 **Mixoplankton – global importance rising**

315 That a large proportion of protist plankton are, in fact, mixoplankton suggests that they are able  
316 to thrive in conditions that are considered imbalanced from an inorganic-nutrient perspective  
317 (Burkholder et al. 2008; Jeong et al. 2010; Glibert and Burkholder 2011; Flynn et al. 2013).  
318 Thus, mixoplankton as a functional group are ubiquitous in the ocean and can be found from  
319 oligotrophic, where nutrients are limiting, to eutrophic environments, where nutrients may be  
320 available but perhaps stoichiometrically imbalanced (Burkholder et al. 2008; Glibert and  
321 Burkholder 2011; Leles et al. 2017, 2019; Faure et al. 2019). Mixoplanktonic activity displayed  
322 by CM may be continually expressed, or more intermittent, depending on conditions.

323 Accordingly, this nutritional route can also be important in the maintenance of blooms, allowing  
324 bloom taxa to be sustained for longer periods of time than might be the case if the dissolved  
325 inorganic nutrients were the only substrate available (e.g., Glibert et al. 2009; Fig. 2c).

326

327 Much is yet to be explored—empirically and in models—with respect to the significance of  
328 mixoplankton in ocean biogeochemistry, C cycling, and in trophic dynamics. Modelling studies  
329 have shown the importance of considering phototrophy and phagotrophy in mixoplankton  
330 synergistically (Flynn and Mitra 2009). Indeed, models which attribute a portion of  
331 phytoplankton activity or zooplankton activity to mixotrophy cannot capture the implications for  
332 biogeochemical cycling and trophic dynamics (Mitra and Flynn 2010). In the absence of  
333 mixoplankton, the C fixation and production of dissolved organics in the open oceans could be  
334 severely underestimated (Fig. 3) with incorrect predictions associated with the MCP (Mitra et al.  
335 2014). Further, ecosystem models ignoring seasonality and biomass production of mixoplankton  
336 functional groups in coastal waters could provide contrary predictions which could ultimately be  
337 deleterious for ecosystem services (Leles et al. 2021).

338

### 339 **The future ocean**

340 Trends in numerous factors suggest that the ubiquitous mixoplankton (Leles et al. 2017, 2019;  
341 Faure et al. 2019) could become dominant in the globally changing (Flynn et al. 2014; Glibert  
342 2020). In contrast to the ‘pure’ protist phytoplankton—the diatoms, mixoplankton tend to become  
343 proportionately more abundant as systems seasonally mature (become nutrient poor) rather than  
344 in immature (nutrient rich) ecosystems (e.g., Mitra et al. 2014). Moreover, in a future world,  
345 short food ‘chains’ and simple microbial ‘webs’ may be disproportionately stressed as

346 temperatures rise, increasing thermal stratification and reducing water column nutrient exchange,  
347 as oceanic waters become more acidic, and as land-based and atmospheric nutrient pollution  
348 shifts in amounts, forms and proportions. These conditions strengthen the importance not only of  
349 mixoplankton, but also that of the MCP. Any enhancement of the MCP will further enhance  
350 mixoplankton dominance by enhanced bacterial activity and abundance, in turn increasing food  
351 availability for those microbial masters capable of eating them. If organisms evolve their growth  
352 rate potential to match the flows of resources in their environment (Flynn and Skibinski 2020),  
353 then mixoplankton would not necessarily have to have high growth rates to succeed in a  
354 changing world.

355

356 With a trajectory of altered nutrient stoichiometry due to eutrophication and/or de-eutrophication  
357 (e.g., Glibert and Burkholder 2011; Flynn et al. 2014; Glibert et al. 2014), concepts of  
358 stoichiometric imbalance and changes in the traits of growth and metabolism are at the fore in  
359 terms of understanding how systems will be shaped in the future. Meunier et al. (2017) predict  
360 that increasing N:P ratios should shift ecosystems towards systems with trait dominance of  
361 higher optimal N:P ratios, higher P affinity, decreasing N retention and increasing P storage.  
362 These are the traits of many mixotrophic harmful algae. Understanding, quantifying and  
363 predicting how plankton are interacting with changing climate and nutrients will continue to be  
364 challenging. Thus, not only may diatoms be disadvantaged in a future ocean, but those  
365 mixoplankton with harmful properties may emerge even more successful (Flynn et al. 2014;  
366 Glibert 2020).

367

368 **Conclusions**

369 The mixoplankton paradigm requires us to reconsider our conceptual understanding of the  
370 plankton system as the traditional phytoplankton-zooplankton dichotomy represents only a small,  
371 albeit important, component of the marine plankton community. While the microbial loop may  
372 be considered as at least relatively well understood, it still remains poorly described in models;  
373 bacteria are often not included, or, are included as a generic ‘box’ for remineralization. The same  
374 can be said of modeling the activity of viruses (Mateus 2017; Flynn et al. 2021), as they are  
375 either excluded in models, or where included, inadequately parameterized with respect to viral-  
376 host interactions. Of course, the requirement to include ‘mixoplankton’ as a functional group in  
377 models also demands inclusion of bacteria and viruses and the suite of interactions within the  
378 microbiome. The inclusion of mixoplankton alters the quality and fate of DOC and POC, it alters  
379 the production rate and abundance of bacteria, in turn impacting the growth and abundance of  
380 viruses, and growth of heterotrophic micrograzers, a role which mixoplankton themselves may  
381 play.

382

383 Inclusion of mixoplankton interactions in understanding microbial trophic dynamics is not  
384 simply a more complex version of our previous conceptual models, this new paradigm needs to  
385 be appropriately parameterized in models—but these models can only advance in tandem with the  
386 experimental data necessary to appropriately parameterize these models. A holistic effort  
387 integrating *in vivo*, *in vitro* and *in silico* work is needed to confront these challenges.

388 Incorporating individual mixoplankton types and their specific prey, viruses and their hosts, and  
389 all the processes involved, adds tremendous complexity to models. While progress is advancing  
390 in characterizing metabolic versatility via genomic data, incorporating such data in models, and  
391 these data to interpret who is doing what within organisms or communities remains problematic

392 (e.g., Coles and Hood 2016). Nevertheless, ecosystem models including mixoplankton have  
393 advanced to the point where they can be applied in hypothesis testing, but their validation  
394 depends on data availability.

395  
396 While recognizing complexity and parameterizing it appropriately may be the ultimate goal, it  
397 also must be recognized that experiments that explicitly explore the processes of photosynthesis,  
398 grazing and nutrient processes under varying environmental conditions—including conditions that  
399 are outside the traditionally considered ‘normal’ range of temperature, CO<sub>2</sub>, nutrients, pH and  
400 other factors are needed. Experimentalists have to think beyond conditions of idealized ‘balanced  
401 growth’ (a lesson that perhaps should have been learned from Hutchinson 1961!) and  
402 exponential growth and challenge their microbial protists with the stresses, including multiple  
403 stressors, that are emerging in aquatic systems. Advancing the next generation ecological models  
404 will occur as these experiments proceed and as new approaches to incorporate new data streams  
405 (including ‘omics data) in models become routine.

406  
407 In this UN Ocean Decade, it is important to get the basics right – the base of the marine food-  
408 web that drives life in the oceans, impacting biogeochemical processes, higher trophic levels and  
409 associated ecosystem services. Understanding, measuring, and modeling the interconnectivity of  
410 microbes have direct consequences for understanding how nutrient cycling pathways, HABs and  
411 fisheries may change in a globally-changing, anthropogenically-impacted world. At the very  
412 least we will be in a position to better predict, even if we cannot control, the more frequent and  
413 intense blooms of mixotrophic, and indeed mixoplanktonic, HABs that are anticipated in the  
414 future with expanding anthropogenic footprints and climate extremes. Challenges remain, in

415 identifying *who* contributes to the microbiome, the *extent* of their interactions, including the  
416 degree of mixoplanktonic activity, *how* these interactions contribute positively or negatively to  
417 ecosystem functioning and *how* these interactions change as the environment changes.

418

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605 Authorship contributions

606 PMG: conceptualization (original idea; formulation and evolution of overarching research goals  
607 and aims), visualization, writing, AM: conceptualization (formulation and evolution of  
608 overarching research goals and aims.), visualization, writing.

609

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615 Science and NOAA ECOHAB Number YYY.

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Table 1. Abbreviations used in text. Entries are ordered by their first use in text.

Abbreviation	Definition
DOC	Dissolved organic carbon
POC	Particulate organic carbon
HAB	Harmful algal blooms
BCP	Biological carbon pump– fixation of C into POC and its subsequent sinking from the euphotic zone
MCP	Microbial carbon pump– bacterially mediated transformation of labile DOC to recalcitrant DOC
CM	Constitutive mixotroph- those mixotrophs with an inherent ability to photosynthesize (they make their own chloroplasts)
NCM	Non-constitutive mixotroph–microzooplankton that can photosynthesize through acquired phototrophy
GNCM	Generalist non-constitutive mixotroph- NCM acquiring plastids from a range of prey
SNCM	Specialist non-constitutive mixotroph– NCM acquire plastids from specific species. There are two types, pSNCM and eSNCM
pSNCM	Specialist non-constitutive mixotroph– NCM acquire plastids from specific species.
eSNCM	Endosymbiotic specialist non-constitutive mixotroph–NCM that harbor photosynthetic endosymbionts
DIM	Dissolved inorganic matter
DOM	Dissolved organic matter

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624 **Figure Legend**

625 Figure 1. (a). The traditionally-viewed food ‘chain’, now envisioned as a food ‘web’ with the  
626 introduction of the microbial loop (arrows with red outline). In the web, dissolved nutrients and  
627 organic matter are taken up by bacteria that are, in turn consumed by protozooplankton such as  
628 flagellates and ciliates, and they, in turn, also support higher trophic levels, and contribute to  
629 nutrient recycling. Note that the elements of the web are highlighted in color, while the  
630 traditionally-viewed food chain is depicted in gray-scale. (b). The microbial food-web and  
631 microbial loop with inclusion of the viral shunt. In addition to the pathways depicted in (a), the  
632 roles of viruses are represented. They infect bacteria, phytoplankton and protozooplankton (solid  
633 red arrows), in turn releasing particulate and dissolved organic matter during their growth and  
634 reproduction (dashed red arrows). (c). The microbial food-web, including the microbial loop and  
635 the viral shunt, under the mixoplankton paradigm (orange arrows). The phytoplankton now  
636 include diatoms and cyanobacteria. The mixoplankton community comprise constitutive  
637 mixoplankton (CM), that can graze a wide range of particles, from bacteria to other  
638 phytoplankton, and, non-constitutive mixoplankton (NCM) which in addition to grazing can also  
639 photosynthesize through acquired phototrophy. All these organisms form the microbiome and  
640 their interactions alter the flow of dissolved and particulate organic matter, as well as the  
641 nutritional quality of material transferred through the food-web. Organism icons are from the  
642 University of Maryland Center for Environmental Integration and Application Network, Algal  
643 Resources Collection (Wilmington, NC) and flicker, under a creative commons license.  
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645

646 Figure 2. Conceptual model linking phytoplankton stoichiometry, the biological and microbial  
647 carbon pumps (BCP and MCP), and propensity for mixoplankton. Modified from Polimene et al.  
648 (2017)

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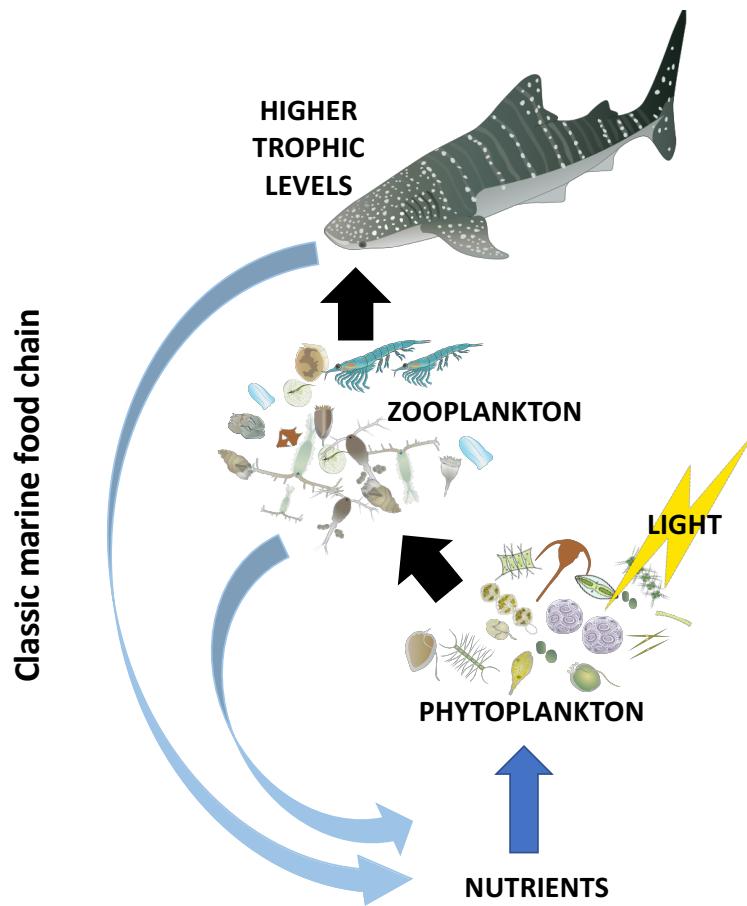
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651 Figure 2. Three contrasting food web structures (upper panels) and the corresponding temporal  
652 patterns (lower panels) of the development of biomass ( $\text{gC L}^{-1}$ ) in the simulated communities  
653 over 30 days. (a) The classic food-web paradigm in which phytoplankton (Phyto) and  
654 Protozooplankton ( $\mu\text{Z}$ ) and Bacteria (B) are the dominant protist plankton functional types; (b)  
655 as for the panel (a) except that the  $\mu\text{Z}$  functional type is replaced by the generalist non-  
656 constitutive mixoplankton (GNCM; e.g., plastidic ciliates); and (c) as for panel (a) except that  
657 the  $\mu\text{Z}$  functional type is replaced by the constitutive mixoplankton (CM; e.g., dinoflagellates).  
658 The release and uptake of dissolved inorganic and organic matter (DIM and DOM, respectively)  
659 are indicated. Note the higher biomass of the GNCM and CM relative to phytoplankton and the  
660 sustained duration of the CM over the time period shown. Figures modified from Mitra et al.  
661 2016.

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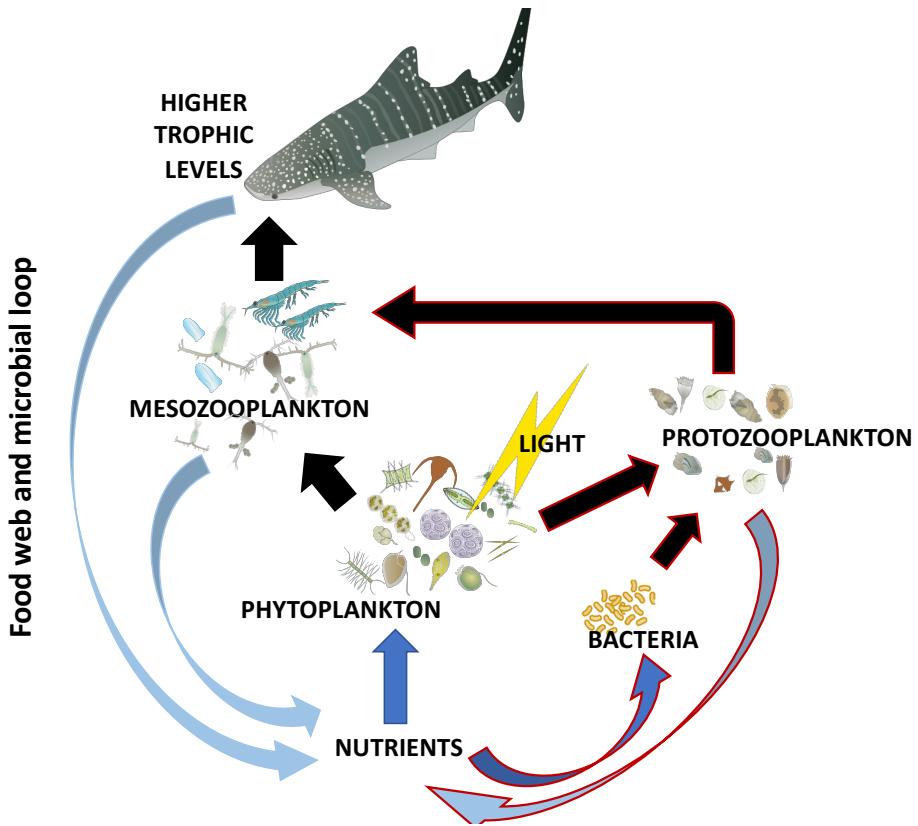
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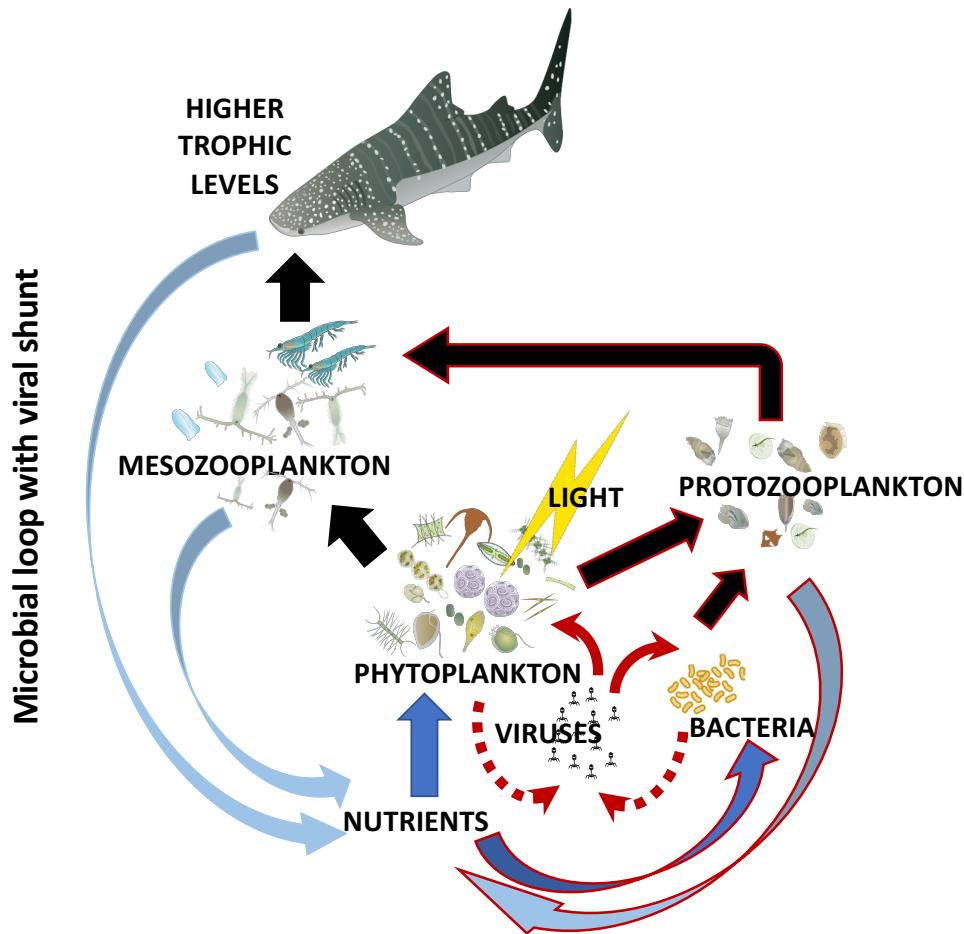
666 Figure 1. (a). The classic food chain in which dissolved inorganic and organic nutrients are taken  
 667 up by phytoplankton, which in turn are grazed by zooplankton and they, in turn support higher  
 668 trophic levels. Nutrient recycling occurs via the grazing processes of zooplankton and higher  
 669 trophic levels. Organism icons are from the University of Maryland Center for Environmental  
 670 Integration and Application Network, Algal Resources Collection (Wilmington, NC) and flicker,  
 671 under a creative commons license.

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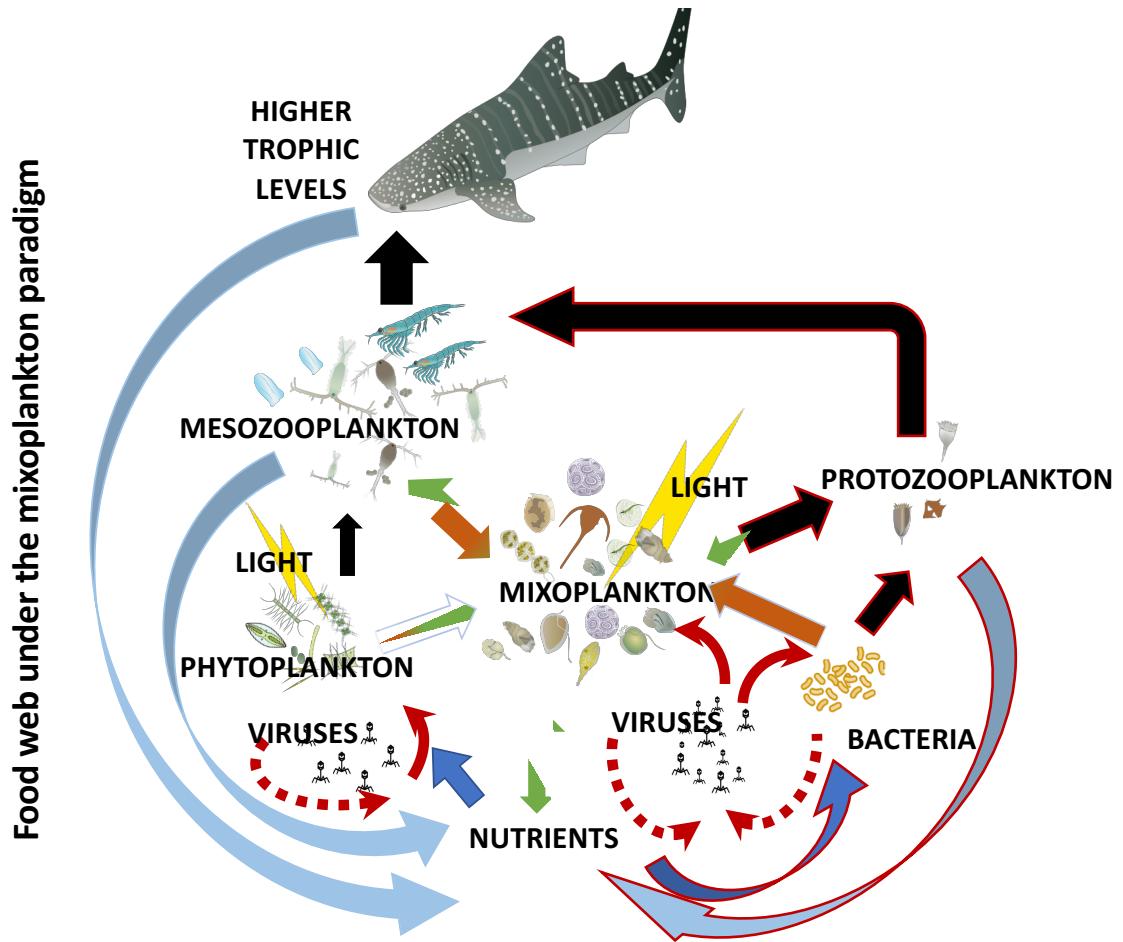
673

674 Figure 1. (b). The classic food chain, now envisioned as a food-web with the introduction of the  
675 microbial loop (arrows with red outline). In addition to the transfer of energy and materials  
676 depicted in (a), dissolved nutrients and organic matter are taken up by bacteria that are, in turn  
677 consumed by protozooplankton, such as flagellates and ciliates, and they, in turn, support higher  
678 trophic levels and contribute to nutrient recycling.



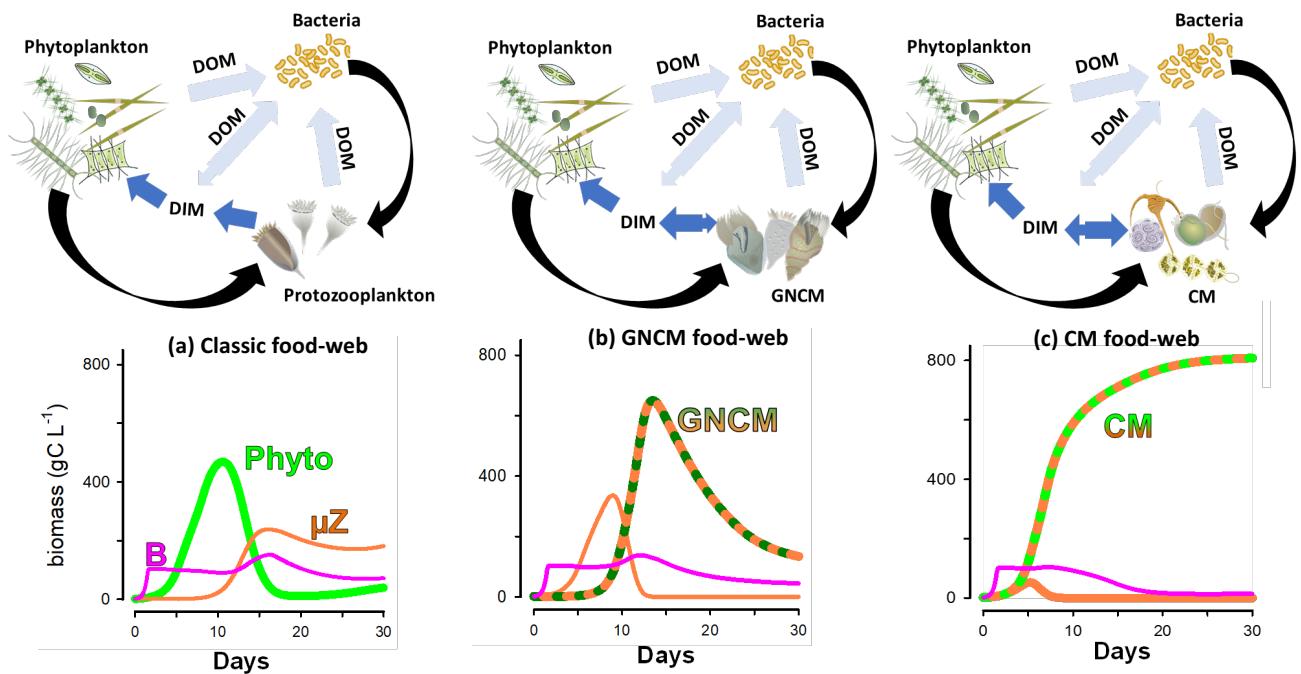
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680 Figure 1. (c). The microbial food-web and microbial loop with inclusion of the viral shunt. In  
 681 addition to the pathways depicted in (b), the roles of viruses are represented. They infect  
 682 bacteria, phytoplankton and protozooplankton (solid red arrows), in turn releasing particulate and  
 683 dissolved organic matter (dashed red arrows) during their growth and reproduction.



684

685 Figure 1. (d). The microbial biome, including the microbial loop and the viral shunt, under the  
 686 mixoplankton paradigm (orange arrows). The phytoplankton now include diatoms and  
 687 cyanobacteria. The mixoplankton community comprise constitutive mixoplankton (CM) that can  
 688 graze a wide range of particles, from bacteria to other phytoplankton, and the non-constitutive  
 689 mixoplankton (NCM) which in addition to grazing can also photosynthesize through acquired  
 690 phototrophy. All these organisms form the microbiome and their interactions alter the flow of  
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695 Figure 2. Three contrasting food web structures (upper panels) and the corresponding temporal  
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 703 are indicated. Note the higher biomass of the GNCM and CM relative to phytoplankton and the  
 704 sustained duration of the CM over the time period shown. Figures modified from Mitra et al.  
 705 2016.

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707