

1 **Surface convergence zones due to Lagrangian residual flow in tidally driven
2 estuaries**

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7 ABSTRACT: Buoyant material, such as floating debris, marine organisms, and spilled oil, is
8 aggregated and trapped within estuaries. Traditionally, the aggregation of buoyant material is
9 assumed to be a consequence of converging Eulerian surface currents, often associated with lateral
10 (cross-estuary) density gradients that drive baroclinic lateral circulations. This study explores an
11 alternative aggregation mechanism due to tidally driven Lagrangian residual circulations without
12 Eulerian convergence zones and without lateral density variation. In a tidally driven estuary, the
13 depth-dependent tidal phase of the lateral velocity varies across the estuary. This study demonstrates
14 that the lateral movement of surface trapped material follows the tidal phase, resulting in a lateral
15 Lagrangian residual circulation known as Stokes drift for small amplitude motions. For steeper
16 bathymetry, the lateral change in tidal phase is greater and the corresponding lateral Lagrangian
17 residual flow faster. At local depth extrema, e.g. in the thalweg, depth does not vary laterally,
18 so that the associated tidal phase is laterally constant. Therefore, the Stokes drift is weak near
19 depth extrema resulting in Lagrangian convergence zones where buoyant material concentrates.
20 These ideas are evaluated employing an idealized analytic model in which the along-estuary tidal
21 flow is driven by an imposed barotropic pressure gradient, whereas cross-estuary flow is induced
22 by the Coriolis force. Model results highlight that convergence zones due to Lagrangian residual
23 velocities are efficient in forming persistent aggregation regions of buoyant material along the
24 estuary.

25 SIGNIFICANCE STATEMENT: Our study focuses on the aggregation of buoyant material (e.g.,
26 debris, oil, organisms) in estuaries. Traditionally, the aggregation of buoyant material is assumed
27 to be a consequence of converging Eulerian surface currents, often associated with lateral (cross-
28 estuary) density gradients that drive baroclinic lateral circulations. Our study explores an alternative
29 aggregation mechanism due to tidally driven Lagrangian residual circulations without Eulerian
30 convergence zones and without lateral density variation. Our results highlight that convergence
31 zones due to Lagrangian residual velocities are efficient in forming persistent aggregation regions
32 of buoyant material along the estuary.

33 1. Introduction

34 The estuarine circulation transports buoyant material such as floating debris, marine organisms,
35 surface foam, air bubbles, and pollutants, e.g., oil and microplastics (Kennish 2002). Previous
36 observations and hydrodynamic simulations indicate that buoyant material concentrates in laterally
37 narrow near-surface patches that may extend from several 100 meters to a few kilometers along
38 the estuary (e.g., Nunes and Simpson 1985; Cohen et al. 2019). These material patches greatly
39 facilitate interactions between particles related to mating behavior, predator-prey dynamics, access
40 to nutrients, and the exposure to pollutants. A common mechanism for patch formation is attributed
41 to converging near-surface currents as part of the Eulerian estuarine circulation (e.g., Nunes and
42 Simpson 1985; MacCready and Geyer 2010). This study explores an alternative aggregation
43 mechanism due to tidally averaged Lagrangian residual flow (Zimmerman 1979).

44 Eulerian surface convergence flows can be induced by lateral density variability, for example,
45 resulting from differential advection during a tidal cycle (Nunes and Simpson 1985; MacCready
46 and Geyer 2010). During flood, density in the thalweg becomes elevated relative to the flanks
47 and the resulting baroclinic torques drive a two-cell flow structure with lateral surface currents
48 towards the channel center (Lerczak and Geyer 2004; Burchard et al. 2011; Li et al. 2014). This
49 mechanism suggests that along-estuary surface aggregation zones are tightly linked to lateral
50 density variability. Here, we demonstrate that aggregation zones can form independently of the
51 lateral density distribution.

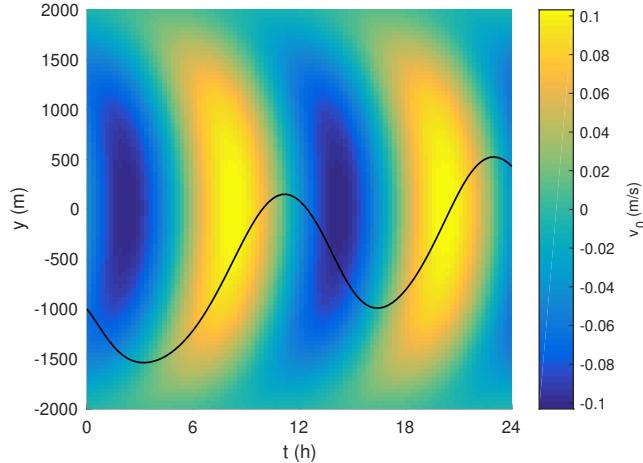
52 The Coriolis force presents another critical ingredient in driving flows across the estuary (Lerczak
53 and Geyer 2004; Valle-Levinson 2008; Li et al. 2014). The cell structure of this lateral circulation

54 can be complex and generally depends on the estuary geometry, turbulent mixing, and density
55 variations. For example, Lerczak and Geyer (2004) explore a single lateral circulation cell due to
56 tidally varying Ekman dynamics. Such circulations are associated with vanishing tidally averaged
57 Eulerian velocities. However, this study finds that in a Lagrangian framework, Eulerian currents
58 with zero tidal average nevertheless contribute to particle transport due the lateral Lagrangian
59 residual velocities. The Lagrangian residual velocity is defined as the velocity of a marked fluid
60 parcel averaged over one tidal cycle (Longuet-Higgins 1969; Zimmerman 1979).

61 The importance of the Lagrangian framework to understand mass transport by time-varying
62 ocean currents has long been recognized (Longuet-Higgins 1969; Zimmerman 1979); yet, except
63 for a handful of exceptions (e.g., Feng et al. 1986; Jay 1991; Ridderinkhof and Zimmerman 1992;
64 Lemagie and Lerczak 2015), few studies previously explored a Lagrangian approach to estuarine
65 transport. A tidally averaged Lagrangian residual velocity (Stokes drift velocity for small amplitude
66 motions) in the direction of phase progression of the oscillatory currents is well known to occur
67 for surface waves including tides (Longuet-Higgins 1969; Li and O'Donnell 1997; LeBlond 1978).
68 This study shows that time-dependent secondary flows in estuaries result in Lagrangian residual
69 flows that laterally organizes buoyant material and controls material transport, playing an important
70 role in estuarine transport processes.

71 To understand the Stokes drift intuitively it is insightful to cite Chris Garrett (2004): “The Stokes
72 drift is like surfing. The more you stay with a wave, the more you drift forward; that is, you stay
73 longer with the forward flow than if were standing still (Eulerian) in which case you would see
74 the forward and backward flow for exactly the same amount of time.” A time-series of surface
75 cross-channel velocities v_0 further illustrates this concept (Figure 1). Because the phase of v_0
76 depends on cross-estuary location y , the particle of the shown path (black line) spends more time
77 in positive v_0 than in negative v_0 , so that the particle moves forward over a tidal cycle by “surfing”
78 positive v_0 .

84 Laterally varying surface currents organize buoyant material and thus play an important role in
85 estuarine transport processes. For example, Burchard et al. (2011) provide examples where the
86 Eulerian mean flows at the surface in the channel center can either be (a) landward or (b) seaward
87 depending on the details of the controlling dynamics. As such, a steady convergence of surface



79 FIG. 1. Modeled cross-estuary surface velocity $v_0(t, y)$ as function of time t and cross-estuary location y with
 80 associated particle trajectory (black line). This trajectory shows how the particle released at $y = -1000$ m follows
 81 the phase to converge in an oscillating tidal motion over the channel center. The model is discussed in detail in
 82 section 3 and is based on solution (9) with parabolic bathymetry (Figure 2) with $h_{\max} = 15$ m and $h_{\min} = 5$ m and
 83 half width $B = 2$ km. Other model parameters are $A = 0.0022\text{m}^2\text{s}^{-1}$, $U_0 = 0.75\text{ms}^{-1}$, $f = 10^{-4}\text{s}^{-1}$.

88 material to the thalweg via lateral Stokes drift would result in the (a) retention or (b) export of
 89 material.

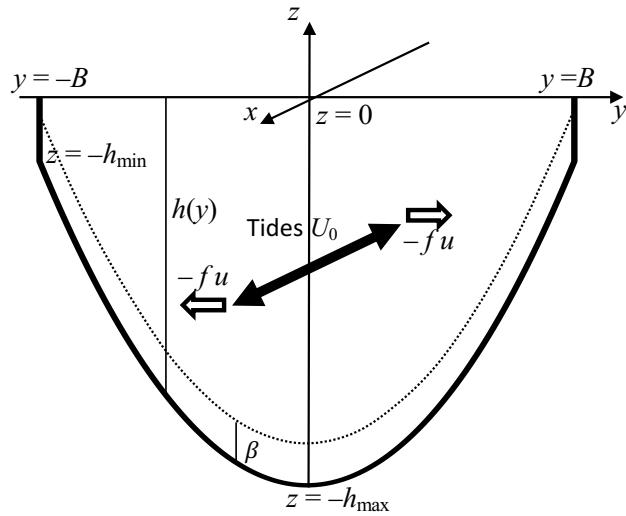
90 The goal of this paper is to provide a proof-of-concept demonstrating the importance of La-
 91 grangian residual flows in tidally driven estuaries for aggregating buoyant material in laterally
 92 narrow zones along the estuary. The following section introduces basic theoretical concepts and
 93 discusses favorable conditions for converging Lagrangian flows in estuaries. Section 3 reviews an
 94 idealized analytic model for the tidally driven estuarine circulation following Lerczak and Geyer
 95 (2004), which is applied in section 4. We conclude in section 5 that Lagrangian convergence zones
 96 are efficient in forming persistent aggregation regions of buoyant material in estuaries.

97 2. Theory

98 a. Lagrangian residual velocity

99 We explore the horizontal Lagrangian residual velocity of an oscillating tidal flow with along-
 100 estuary velocity $u(t, y, z)$ and cross-estuary velocity $v(t, y, z)$. The velocity shall only depend on
 101 vertical coordinate (increasing upward with $z = 0$ at that air-sea interface) and on cross-estuary

102 (lateral) coordinate y (with $y = 0$ at the channel center), see definition sketch in Figure 2. The
 103 velocity (u, v) is assumed to be independent of the along-channel location x . The dependence of
 104 u and v on time t shall be prescribed by a sinusoidal oscillation, so that the Eulerian average \bar{u} and
 105 \bar{v} over one tidal cycle is zero that is $\bar{u} = \bar{v} = 0$. Here, the overbar denotes the temporal average over
 106 one tidal period T from $t - T/2$ to $t + T/2$.



107 FIG. 2. Definition sketch of idealized estuary with parabolic cross-estuary bathymetry. Along-channel tidal
 108 currents are characterized by velocity scale U_0 , the Coriolis force $-f u$ drives a cross-channel flow, while turbulent
 109 mixing induce a bottom boundary layer whose height scales as β . These processes result in a tidal cross-channel
 110 velocity whose phase depends on depth h .

111 The Lagrangian velocity is often decomposed in Eulerian and Stokes drift velocities (e.g., Buhler
 112 2009). This decomposition is particularly meaningful for small amplitude motions in which the
 113 Stokes drift is the first order approximation of the difference between Lagrangian and Eulerian
 114 velocity. Following Longuet-Higgins (1969) and Zimmerman (1979), we distinguish in this study
 115 between Stokes drift for small amplitude motions and a more general residual circulation, which is
 116 the Lagrangian velocity with zero Eulerian mean motion.

117 To define the Lagrangian residual velocity, we first consider the time-dependent horizontal tra-
 118 jectory $(X(t), Y(t))$ of a particle marked at time t_0 and horizontal position (X_0, Y_0) . For this

119 proof-of-concept study, we examine only Lagrangian surface motion with $z = 0$. The correspond-
 120 ing Lagrangian particle velocity is then obtained from the Eulerian velocity fields through the
 121 relation $(U, V) = (u(t, Y, 0), v(t, Y, 0))$. Finally, the Lagrangian residual velocity is defined by the
 122 tidal average of the Lagrangian velocity, (\bar{U}, \bar{V}) , where the overbar indicates the tidal average
 123 (Zimmerman 1979). In this study, we focus on convergence regions of \bar{V} ; the explicit expression
 124 for \bar{V} is

$$\bar{V}(t, t_0, Y_0) = \frac{1}{T} \int_{t-T/2}^{t+T/2} V(t', t_0, Y_0) dt' = \frac{1}{T} \int_{t-T/2}^{t+T/2} v(t', Y(t', t_0, Y_0), 0) dt'. \quad (1)$$

125 *b. Lagrangian convergence regions*

126 To understand surface aggregation of buoyant particles due to Lagrangian convergence regions,
 127 we first approximate (1). For sufficiently small $|Y - Y_0|$, so that $|\sigma^{-1} \partial v / \partial y| \ll 1$, where $\sigma = 2\pi/T$
 128 is the angular tidal frequency, the first order Taylor expansion in $(Y - Y_0)$ accurately approximates
 129 \bar{V} , which is referred to as Stokes drift v_s (Longuet-Higgins 1969)

$$\bar{V}(y) \approx v_s(y) = \overline{\frac{\partial v}{\partial y}(t', y) \int_{t-T/2}^{t'} v(t'', y) dt''}. \quad (2)$$

130 The condition $|\sigma^{-1} \partial v / \partial y| \ll 1$ ensures that lateral changes of v are relatively small over a tidal
 131 excursion. Generally v_s depends on estuary depth. Note that the Stokes drift does not depend on t
 132 because v is periodic over T and the range of motion is assumed to be sufficiently small in (2). For
 133 a sinusoidally varying tidal flow, the Eulerian cross-estuary velocity can be expressed as

$$v = |v| \Re \{ \exp[i(\varphi - \sigma t)] \}, \quad (3)$$

134 where $|v|$ represent the cross-estuary velocity magnitude and φ denotes the cross-estuary velocity
 135 phase, \Re symbolizes the real part of the argument. Substitution of this velocity expression into (2)
 136 yields

$$v_s = \frac{1}{2} \frac{|v|^2}{\sigma} \frac{\partial \varphi}{\partial y}, \quad (4)$$

137 which demonstrates that the lateral Stokes drift critically depends on the cross-estuary phase change
 138 $\partial \varphi / \partial y$. Generally, v depends on estuary depth h while $h(y)$ changes across the estuary (Figure 2),

139 so that the phase change becomes

$$\frac{\partial \varphi}{\partial y} = \frac{\partial \varphi}{\partial h} \frac{\partial h}{\partial y}. \quad (5)$$

140 The last equation suggests that the Stokes drift (4) is proportional to the channel slope $h_y = \partial h / \partial y$
141 so that the Lagrangian residual transport is greater for steeper bathymetry. Furthermore, wherever
142 h has a local maximum, e.g. in the channel center (Figure 2), h_y changes its sign across that
143 local maximum. Consequently, the Stokes drift flips sign as well, providing a mechanism for
144 Lagrangian convergence zones over bathymetry extrema. Note that this result is valid for arbitrary
145 cross-estuary depth profile $h(y)$ with a local maximum and does not depend on any idealized
146 parabolic channel geometry. Below we show that this mechanism is likely to occur in wide range of
147 tidally driven estuaries that are wide enough for the Coriolis force to be important with sufficiently
148 steep topography. In addition, $\varphi(h)$ may have a local extreme point along varying depth such
149 that $\partial \varphi / \partial h = 0$, providing another possibility for Stokes drift convergence across the channel that
150 is not related to bathymetry extrema but may occur away from the channel center in Figure 2.
151 These possibilities for Lagrangian convergence will next be explored through an idealized model
152 for Ekman-forced lateral flows.

153 3. Idealized model for Ekman-forced lateral flow

154 To design a straight-forward concept model with $\varphi(h)$ and $\bar{v} = 0$, we employ the idealized model
155 for tidally driven Ekman-forced flow from Lerczak and Geyer (2004, see appendix therein), which
156 is reviewed here for convenience. The governing linear equations for the tidally varying along- and
157 cross-estuary velocities, u and v , respectively, are

$$\frac{\partial u}{\partial t} = -g \frac{\partial \eta}{\partial x} + A \frac{\partial^2 u}{\partial z^2}, \quad (6)$$

$$\frac{\partial v}{\partial t} = -f \left(u - \frac{1}{h} \int_{-h}^0 u dz \right) + A \frac{\partial^2 v}{\partial z^2} + \frac{\tau_b}{h \rho}. \quad (7)$$

158 Here, the density ρ is assumed to be constant, f is the Coriolis parameter, A is a constant vertical
eddy viscosity, g is the acceleration due to gravity, η is the sea-surface height, $\tau_b = \rho A (\partial v / \partial z)|_{z=-h}$
is the bottom stress, x is the along-estuary coordinate such that $x = 0$ at the estuary mouth and $x < 0$
up-estuary (Figure 2). The along-channel flow is driven by a prescribed tidally-varying hydrostatic

pressure gradient due to the sloping air-sea interface such that

$$\frac{\partial \eta}{\partial x} = \Re[iU_0\sigma g^{-1} \exp(-i\sigma t)],$$

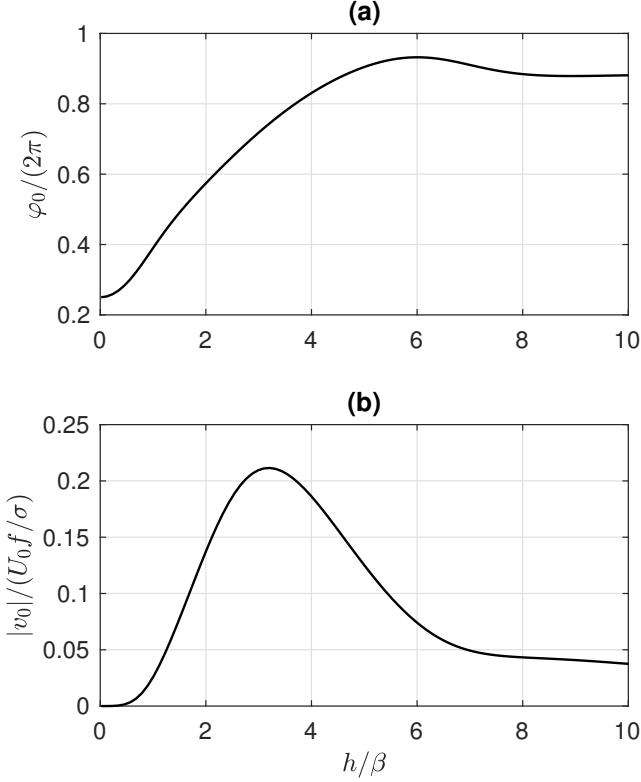
where U_0 is a constant scale for the tidal velocity amplitude and σ denotes the angular tidal frequency. The cross-channel flow is forced through the Coriolis term $-fu$ in (7). Note that (7) describes the dynamics of lateral flows by subtracting the depth average of the v equation assuming a negligible depth-average v .

The balance (7) highlights that the phase of v critically depends on depth h , which is directly seen by scaling the acceleration term (first left-hand side term) relative to the stress terms (two last right-hand side terms) whose ratio scales as h/β , where β is the boundary layer thickness $\beta = \sqrt{2A/\sigma}$. For relatively small h , $h/\beta \ll 1$, the acceleration term is relatively small, and v is expected to be in phase with the Coriolis term. On the other hand, for relatively large h , $h/\beta \gg 1$, acceleration is expected to be dominant so that v is 90° out of phase with the Coriolis term. Therefore, φ depends on h which results in a lateral Stokes drift if h varies across the estuary, as discussed above.

Solutions to (6) and (7) are derived by imposing the boundary conditions $u = v = 0$ at $z = -h$ and a zero surface stress condition $\partial u / \partial z = \partial v / \partial z = 0$ at $z = 0$ (see details in Lerczak and Geyer 2004). Furthermore, solutions are determined so that the depth-integrated cross-channel transport is zero for all t . Analytic solutions are obtained by imposing a time dependence $\exp(-i\sigma t)$ for u and v resulting with (6) and (7) in the complex cross-channel flow structure functions for v in (3)

$$|v| \exp(i\varphi) = \frac{i}{2} U_0 \frac{f}{\sigma} \left[\alpha \frac{\cosh(\kappa z)}{\cosh(\kappa h)} - \alpha \frac{\tanh(\kappa h)}{\kappa h} - \kappa z \frac{\sinh(\kappa z)}{\cosh(\kappa h)} - \frac{\tanh(\kappa h)}{\kappa h} + 1 \right] \quad (8)$$

with $\kappa^2 = -2i\beta^{-2}$ and $\alpha = [(\kappa h)^2 \tanh(\kappa h)] / [\kappa h - \tanh(\kappa h)] - 1$. The lateral velocity depends on the non-dimensional boundary layer thickness β/h (Figure 3). If β is comparable to h , the lateral velocity is characterized by a single lateral cell structure, whereas for small β and greater h/β , the boundary layer is shallower and cross-currents intensify closer to the bottom (Lerczak and Geyer 2004).



180 FIG. 3. Non-dimensional lateral surface (a) phase φ_0 and (b) velocity magnitude $|v_0|$ from (9) as a function
 181 of non-dimensional depth h/β . For constant β , the phase φ_0 changes as the particle moves accross the estuary
 182 resulting in a Stokes drift according to (4).

183 In this study, we are interested in the lateral surface velocity $v_0 = v(z=0)$ with phase $\varphi_0 = \varphi(z=0)$
 184 which are normalized as

$$\frac{\sigma}{f} \frac{|v_0|}{U_0} \exp(i\varphi_0) = \frac{i}{2} \left[\frac{\alpha}{\cosh(\kappa h)} - \alpha \frac{\tanh(\kappa h)}{\kappa h} - \frac{\tanh(\kappa h)}{\kappa h} + 1 \right]. \quad (9)$$

185 Thus, the normalized surface velocity magnitudes and phases only depend on h/β because $\kappa \propto \beta^{-1}$
 186 (Figure 3). Lerczak and Geyer (2004) considered the range of $0.1 < \beta/h < 0.4$ or $2.5 < h/\beta < 10$,
 187 which is typical range found in estuarine systems. For this parameter range, Figure 3 suggests
 188 a significant $|v|$ and a substantial dependence of φ on h for $h/\beta > 8$, indicating non-zero Stokes
 189 drift according to (4) and a significant Lagrangian residual circulation. Figure 1 illustrates how the
 190 lateral surface velocity v_0 changes over a tidal cycle across the estuary enabling surface trapped
 191 particles to move with the phase.

192 **4. Convergence zones due to the Lagrangian residual circulation**

193 Applying the idealized model, we will first contrast differences between the Stokes drift and the
194 full Lagrangian residual velocity, before considering illustrative example solutions for a parabolic
195 cross-estuary bathymetry (Figure 2).

196 *a. Stokes drift*

197 Substitution of (8) into (4) results in the non-dimensioan lateral Stokes drift

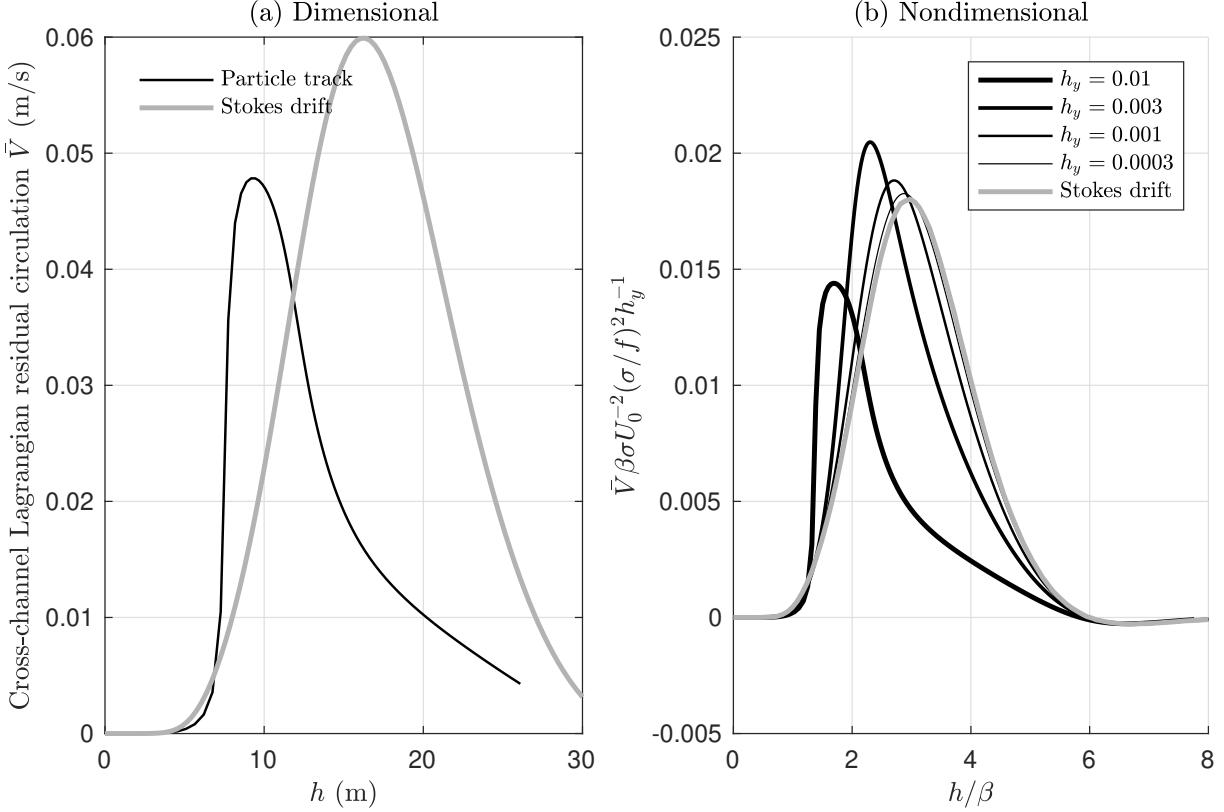
$$v_s \frac{\beta\sigma}{(U_0 f/\sigma)^2} \frac{1}{h_y} = \frac{1}{2} \frac{|v|^2}{(U_0 f/\sigma)^2} \frac{\partial\varphi}{\partial(h/\beta)}, \quad (10)$$

198 which only depends on the single non-dimensional parameter (h/β) (Figure 4). The normalized
199 Stokes drift peaks near where $|v|$ and $\partial\varphi/\partial(h/\beta)$ peak, compare with Figure 3. Note that the
200 Stokes drift changes sign near $h/\beta = 6$, which is associated with a Lagrangian convergence zone
201 as discussed above. Equation (10) highlights that the Stokes drift is proportional to h_y . Recall that
202 h_y must not be too large for an accurate Stokes drift estimate because the small amplitude motion
203 requires that v does only change approximately linearly along the particle $Y - Y_0$. This requires
204 that $|\sigma^{-1}\partial v/\partial y| \ll 1$ or $h_y(\beta\sigma)^{-1}|\partial v/\partial(y/\beta)| \ll 1$, so that h_y also critically controls higher order
205 contributions to the Lagrangian residual velocity (1).

209 *b. Dependence of \bar{V} on h_y and h/β*

210 Next we release and track particles for the flow field (9) to determine the Lagrangian residual
211 circulation numerically based on (1) (Figure 4). Particle paths are computed using standard
212 numerical ordinary differential equation solvers (4th order Runge-Kutta method). Solutions are
213 obtained over a range of the two independent parameters h/β and h_y , where h_y is set constant for
214 each run. The Lagrangian residual velocity still peaks close to where $|v|$ and $\partial\varphi/\partial y$ peak, compare
215 with Figure 3, but clearly also depends on slope h_y (Figure 4). As expected, the Stokes drift (10)
216 accurately approximates \bar{V} for smaller slopes. These numeric solutions provide an expectation for
217 \bar{V} and Lagrangian convergence zones $\partial\bar{V}/\partial y < 0$ across the channel for given β and $h(y)$.

218 For realistic parameters (for example, Figure 4a with $h_y=0.01$, $A = 0.0022\text{m}^2\text{s}^{-1}$, $U_0=0.75\text{ms}^{-1}$,
219 $f = 10^{-4}\text{s}^{-1}$) we find \bar{V} in the order of several cm/s, which comparable to tidally averaged Eulerian



206 FIG. 4. Cross-channel Lagrangian residual velocity \bar{V} from (1) for (a) a dimensional example and (b) non-
207 dimensional $\bar{V}\beta\sigma/(U_0f/\sigma)^2/h_y$ which depends only on h/β and h_y . Gray line shows the Stokes drift estimate
208 (10). The dimensional case uses parameters $h_y=0.01$, $A=0.0022\text{m}^2\text{s}^{-1}$, $U_0=0.75\text{ms}^{-1}$, $f=10^{-4}\text{s}^{-1}$.

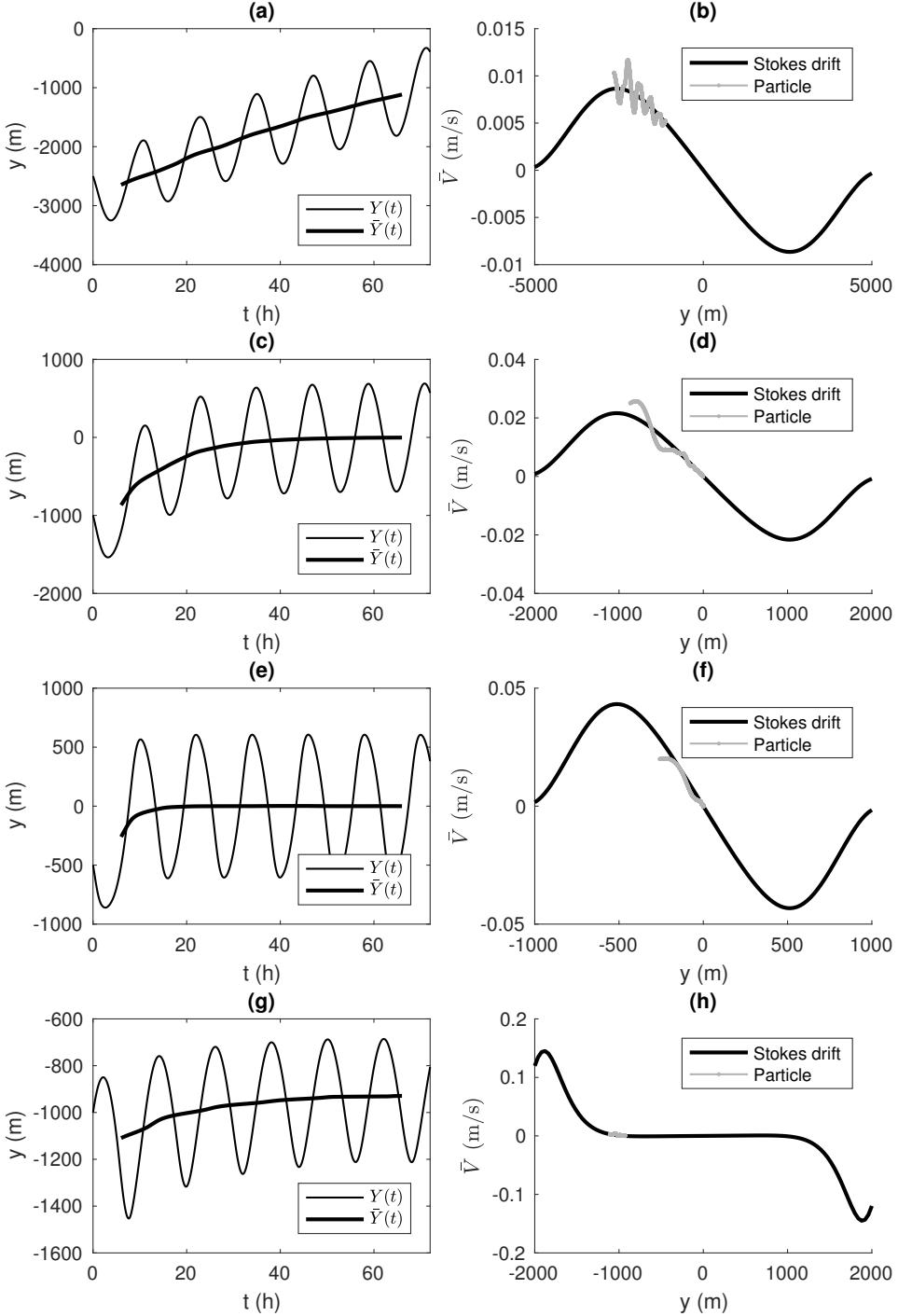
220 lateral currents obtained from more realistic nonlinear hydrodynamic models with stratification
221 (Lerczak and Geyer 2004; Burchard et al. 2011). A simple scaling for \bar{V} can be developed by
222 considering a range of nondimensional \bar{V} based on solutions of the idealized model (Figure 4). For
223 realistic $2 < h/\beta < 5$, normalized \bar{V} is substantial with $\bar{V}\beta\sigma/(U_0f/\sigma)^2/h_y$ often exceeding 0.005.
224 Taking realistic parameters as above, this results in typical $\bar{V} \approx 5 \text{ cm/s}$ for $h_y = 0.01$. Below we
225 furthermore show that variability of h/β from 2 to 8 across the estuary has an appreciable effect on
226 the Lagrangian transport. Thus, our results suggest that lateral Lagrangian residual flows can play
227 an important role in persistently transporting and organizing material over multiple tidal cycles in
228 tidally driven estuaries, such as Delaware Bay, South San Francisco Bay, Mobile Bay, Raritan Bay,
229 Hudson River, and James River.

230 *c. Illustrative examples*

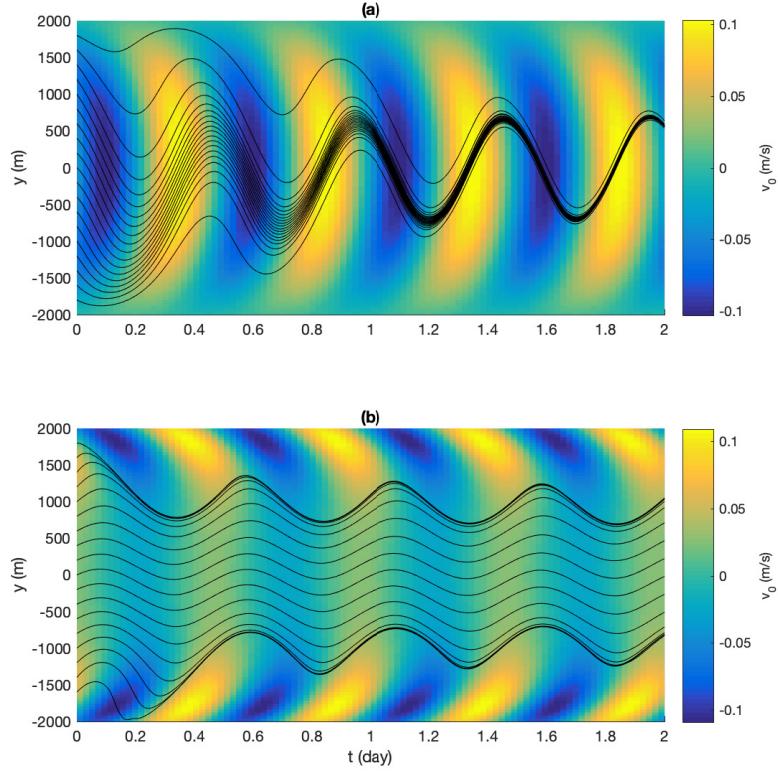
231 We apply the idealized model to a parabolic channel with depth $h(y) = h_{\max} - (h_{\max} -$
232 $h_{\min})(y/B)^2$, where B is half the channel width, and the maximum and minimum depths are,
233 respectively, $h_{\max} = 15$ m and $h_{\min} = 5$ m (Figure 2). To explore the dependence on slope,
234 we design three experiments with $B = 5$ km, 2 km, and 1 km with constant eddy viscosity of
235 $A = 2.2 \times 10^{-3}$ m²s⁻¹. In these experiments, B controls the slope with steeper slopes for smaller
236 B . To investigate model result for a greater h/β range, we also explore a case with smaller A of
237 $A = 3.3 \times 10^{-4}$ m²s⁻¹, where B is set to $B = 2$ km. These A values are consistent with those from
238 Lerczak and Geyer (2004), similar to other model parameters which are held constant at $U_0 = 0.75$
239 m s⁻¹, $f = 10^{-4}$ s⁻¹, and $T = 12$ h. For all cases, particles are released at $t = 0$ and $y = -B/2$ and
240 tracked over six full semi-diurnal tidal cycles.

245 For the three experiments with greater A , h/β is in the range $h/\beta = 0.5 - 3$ so that the phase
246 increases with depth (Figure 3). Consistent with the analysis above, particles converge in the
247 channel center, even for the mildest sloping case with $B = 5$ km for which particles aggregate more
248 slowly (Figure 5, upper six panels). As expected, the Stokes drift v_s is greater for steeper slopes
249 (smaller B), so that the particles accumulate more quickly around channel center where $\partial\varphi/\partial y = 0$.
250 In agreement with the analysis presented above, v_s accurately approximates \bar{V} near the channel
251 center but deviations between v_s and \bar{V} are found away from the channel where slopes are steeper.
252 These Lagrangian convergence zones quickly drive particles towards the channel center regardless
253 of initial particle location (Figure 6a). As observed in Figure 1, particles surf the cross-channel
254 velocity v_0 resulting in a net transport over a tidal cycle. Note that without tidal averaging particle
255 aggregation zones oscillate around the channel center because of the tidal flow.

259 For the case with smaller A , h/β is in the range $h/\beta = 2 - 8$, which includes $h/\beta \approx 6$ at about
260 $y \approx \pm 1000$ m. Since the phase is nearly constant at this cross-channel location with $\partial\varphi/\partial h = 0$
261 (Figure 3), the phase is also nearly constant across the channel and $\partial\varphi/\partial y = 0$. Consistently,
262 Lagrangian convergence zones occur at those lateral locations where $h/\beta \approx 6$. As a consequence,
263 particles converge for this case off the channel center at $y = \pm 1000$ m (Figure 5, bottom two panels),
264 and particles that are evenly released over the channel aggregate in those two convergence zones
265 (Figure 6b). In the channel center between $y \approx -1000$ m to $y \approx 1000$ m, the depth is deeper so that
266 $h/\beta > 6$ here. In this region φ depends only weakly on h/β (Figure 3), so that particles converge



241 Fig. 5. Lagrangian cross-channel transport solutions with parabolic bathymetry with $h_{\max} = 15$ m, $h_{\min} = 5$
242 m and (a,b) $B = 5000$ m, $A = 2.2 \times 10^{-3} \text{ m}^2 \text{s}^{-1}$; (c,d) $B = 2000$ m, $A = 2.2 \times 10^{-3} \text{ m}^2 \text{s}^{-1}$; (e,f) $B = 1000$ m,
243 $A = 2.2 \times 10^{-3} \text{ m}^2 \text{s}^{-1}$; (g,h) $B = 2000$ m, $A = 3.3 \times 10^{-4} \text{ m}^2 \text{s}^{-1}$. (Left panels, a, c, e, g) Particle locations $Y(t)$ and
244 $\bar{Y}(t)$, (right panels b, d, f, h) $\bar{V}(y)$. Other model parameters are $U_0 = 0.75 \text{ ms}^{-1}$, $f = 10^{-4} \text{ s}^{-1}$, $T = 12$ h.



266 FIG. 6. Surface velocity v_0 and Particle trajectories $Y(t)$ (lines) for (a) $A = 2.2 \times 10^{-3} \text{ m}^2 \text{s}^{-1}$ with $\partial h / \partial y = 0$
267 and a convergence zone in the channel center at $y = 0$ m, and (b) $A = 3.3 \times 10^{-4} \text{ m}^2 \text{s}^{-1}$ and $\partial \varphi / \partial h = 0$ and
268 convergence zones near $y \approx \pm 1000$ m; other parameters as discussed in the main text.

267 only slowly to the lateral location where $h/\beta \approx 6$ and particles are trapped between $y \approx -1000$
268 m to $y \approx 1000$ m for long times (Figure 6b). These results suggest that the Lagrangian residual
269 transport and Lagrangian convergence zones strongly depend on turbulent boundary layer mixing
270 (represented by A) and depth. The Stokes drift estimate is accurate for small \bar{V} and thus insightful
271 for identifying convergence regions.

272 Note that A may vary across the estuary due complex topography and related bottom roughness.
273 For example, if roughness increases in shallower regions one may assume an inverse relation
274 between A and h . If this inverse relation is approximated by $A \propto h^{-1}$ then $\beta \propto h^{-1/2}$ and $h/\beta \propto h^{3/2}$,
275 which increases the phase change as the fluid moves across the channel relative to constant A (Figure
276 3) and thus enhances the Stokes drift.

277 **5. Conclusions**

278 This proof-of-concept study demonstrates the importance of Lagrangian residual velocities in
279 transporting and aggregating surface trapped buoyant material in tidally driven estuaries. Any
280 cross-estuary (lateral) Eulerian velocity with zero tidally averaged velocity yet with a lateral tidal
281 phase variability will result in a net Lagrangian velocity that will follow this phase and converge at
282 location where phase is most lagged, often the center of the channel. For small amplitude motions
283 this velocity is characterized by Stokes velocity described by the low-order approximation in (2).

284 A straightforward analysis of the lateral momentum balance reveals that the tidal phase generally
285 depends on tidal frequency, turbulent mixing, and estuary depth. For greater depth and tidal
286 frequency but weaker turbulent mixing, the lateral acceleration increases relative to the stress
287 divergence term, inducing a phase shift of the later velocity towards 90° out of phase with the
288 Coriolis force term. Therefore, the tidal phase varies across the estuary with varying estuarine
289 depth. Our results show that this lateral phase variability has critical implications for the lateral
290 transport and aggregation of surface trapped material. For steeper bathymetry, the lateral change
291 in tidal phase is greater and the corresponding lateral Lagrangian residual flow faster. At local
292 depth extrema, e.g. in the thalweg, depth does not vary laterally, so that the associated tidal phase
293 is laterally constant. Therefore, the Stokes drift is weak near depth extrema resulting in Lagrangian
294 convergence zones where buoyant material concentrates.

295 We examined these ideas employing the idealized analytic model from Lerczak and Geyer (2004)
296 in which the along-estuary tidal flow is driven by an imposed barotropic pressure gradient, whereas
297 cross-estuary flow is induced by the Coriolis force. Analytic solutions of the lateral tidal phase
298 and nondimensional velocity at the surface only depend on a nondimensional depth given by
299 the estuarine depth normalized by a tidally driven turbulent boundary layer depth scale. The
300 nondimensional Stokes drift also only depends on this nondimensional depth and the Stokes drift
301 is proportional to the bathymetry slope. Therefore, the Stokes drift changes sign across depth
302 maxima coinciding with a Lagrangian convergence zone. Furthermore, analytic phase solutions
303 demonstrate a local peak of lateral phase at a particular normalized depth revealing Stokes drift
304 convergence across the channel that is not related to bathymetry extrema but may occur away from
305 the channel center. Although the Stokes drift is only an accurate estimate for the Lagrangian residual

306 velocity for smaller amplitude motions, and thus smaller slopes, it still provides expectations for
307 Lagrangian convergence zones.

308 More comprehensive approaches need to incorporate competing influences due to density vari-
309 ations and lateral advection, which affects the lateral circulation and mixing in the estuary. Some
310 mixing modifications due to stratifications may be captured by adjusting A , and thus β , though
311 others may require that A depends on the tidal phase and vertical coordinate (Jay and Musiak
312 1994). Furthermore, differential advection of saltier or fresher water induce lateral density gradi-
313 ents and associated Eulerian secondary circulations (Nunes and Simpson 1985; MacCready and
314 Geyer 2010) that could either reinforce or compete with Lagrangian convergence zones. Note that
315 even in the presence of variable densities, the tidal phase of the lateral circulation still generally
316 depends on cross-channel location which will introduce Lagrangian residual flows focus of our
317 study.

318 Overall, this study highlights that convergence zones due to Lagrangian residual velocities are
319 efficient in forming persistent aggregation regions of buoyant material along the estuary and should
320 be taken into account for comprehensive estuarine transport investigations.

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324 *Data availability statement.* This research is based on theoretical work without numerical or
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