

1 **GrowClust3D.jl: A Julia Package for the Relative Relocation of**
2 **Earthquake Hypocenters Using 3D Velocity Models**

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12 **Declaration of Competing Interests**

13 The authors acknowledge there are no conflicts of interest recorded.

14 **Abstract**

15 Relative relocation techniques are widely used to improve the resolution of earthquake
16 hypocenter positions. Here we present GrowClust3D.jl, an open-source software package written
17 in the programming language Julia that builds and improves upon the original GrowClust
18 algorithm, an established relative relocation technique based on cluster analysis instead of a more
19 traditional matrix inversion approach. The adoption of Julia's modern programming environment
20 allows for greater flexibility in GrowClust3D.jl's algorithm design and its computational
21 implementation. Notable additions to the GrowClust3D.jl package include (i) several parallel
22 processing options to improve efficiency in uncertainty quantification routines, (ii) incorporation
23 of geographic map projections and station elevations during the relocation process, and (iii) the
24 ability to use travel-time tables derived from 3D velocity models. We demonstrate the new
25 features of the software package on relocation problems of different scales in Nevada, California,
26 Texas, and New Zealand, where in the latter two cases the use of a 3D velocity model helps
27 resolve structures that remain obscure with earlier versions of GrowClust. We expect that the
28 new GrowClust3D.jl software package will become a valuable public resource for the earthquake
29 science community.

30 **Introduction**

31 Catalogs of the location of earthquake hypocenters are one of the most fundamental
32 derived-data products in seismology. Earthquake locations pervade nearly every aspect of
33 earthquake science, from seismic monitoring, to tomographic imaging of Earth structure and
34 fault networks, to detailed analyses of seismicity patterns, to development of fault-based hazard
35 models (e.g., Ben-Menahem, 1995; Agnew, 2002). Because of this keystone role, one of the

36 primary missions of national and regional seismic monitoring networks is to provide public
37 databases, or catalogs, of earthquake location estimates for events in their reporting region (e.g.,
38 Masse and Needham, 1989; Okada *et al.*, 2004; Hutton *et al.*, 2010; Guy *et al.*, 2015; Pankow *et*
39 *al.*, 2019; Savvaidis *et al.*, 2019; Margheriti *et al.*, 2021). The basic methodology for determining
40 these location estimates from seismic data is well-established (Buland, 1976). In a typical
41 workflow, phase arrival times are marked by network analysts at a set of recording stations, and
42 automated programs use a combination of grid search or linearized single-event inversion
43 approaches (Geiger, 1912; Kennett and Engdahl, 1991; Klein, 2002) to determine the event
44 location that best matches the observed phase arrivals with the timing predicted by travel time
45 tables derived from a specific Earth model.

46 While this procedure seems straightforward at first glance, there are a number of
47 complications in practice that create significant uncertainty and scatter in the reported location
48 results (Bondár *et al.*, 2014; Karasözen and Karasözen, 2020). First, most earthquake location
49 techniques rely on the capacity to accurately predict ray-based theoretical travel times from
50 source to station. While this is a well-posed mathematical problem, it is made more challenging
51 in practice due to our imperfect knowledge of subsurface structure. Lateral and depth-dependent
52 variations in wavespeed that are not represented in these calculations will for example bias
53 location estimates. Second, the precise timing of phase arrivals can be difficult to determine,
54 especially for smaller earthquakes, waveforms with low signal-to-noise, or emergent phase
55 arrivals. Third, inadequacy in the seismic monitoring network or station coverage can hinder
56 location algorithms (Lomax and Savvaidis, 2019). These three forms of uncertainty – from the
57 subsurface earth model, the determination of arrival times, and from the network geometry –
58 routinely generate enough scatter in reported catalog locations to significantly hinder their utility

59 for scientific purposes, especially in cases with sparse network geometry (Lomax *et al.*, 2000;
60 Myers *et al.*, 2007; Bondár and McLaughlin, 2009).

61 A well-tested solution to this dilemma is to refine the initial set of catalog locations using
62 relative relocation algorithms (Got *et al.*, 1994). The basic idea behind these algorithms is to
63 consider measurements of differential travel times from pairs of nearby earthquakes recorded at a
64 set of common stations. This has the advantage of canceling out much of the effect of unmodeled
65 subsurface structure on the ray-theoretical travel times, since the events are in close proximity
66 and thus share much of the same raypath from source to station (Wolfe, 2002). In addition, if
67 differential travel times are measured through waveform cross-correlation rather than by visual
68 inspection, the measurement precision can be improved by more than an order of magnitude to
69 the subsample level (Fremont and Malone, 1987). These concepts form the basis of publicly
70 available “double-difference” software packages like HypoDD (Waldhauser and Ellsworth,
71 2000, 2002; Waldhauser and Schaff, 2008) and later GrowClust (Trugman & Shearer, 2017) that
72 have become widely adopted by the seismology research community.

73 This article focuses on the GrowClust algorithm, which solves a differential form of the
74 classic earthquake location problem using cluster analysis and graph theory instead of more
75 traditional matrix inversion approaches. This formulation has several advantages, including
76 numerical stability and efficient scaling to large-scale earthquake location problems with
77 multiple seismicity clusters (Matoza *et al.*, 2013; Ross, Trugman, *et al.*, 2019; Skoumal *et al.*,
78 2019; Trugman *et al.*, 2020), and the ease of incorporating robust optimization routines during
79 the relocation process to prevent measurement noise from compromising the results (Shearer,
80 1997). For these reasons, or perhaps others that are less quantifiable like reported ease-of-use and
81 user-friendly documentation, GrowClust has become increasingly popular since its first public

82 release as a Fortran90 software package in 2017, with numerous applications at local and
83 regional scales across the world (Chaves *et al.*, 2017; Trugman *et al.*, 2017; Hatch *et al.*, 2018;
84 Koper *et al.*, 2018; Pang *et al.*, 2018; Rubinstein *et al.*, 2018; Pang *et al.*, 2019; Ross, Trugman,
85 *et al.*, 2019; Shaddox and Schwartz, 2019; Skoumal *et al.*, 2019; Hatch *et al.*, 2020; Hauksson,
86 Olson, *et al.*, 2020; Pang *et al.*, 2020; Ross *et al.*, 2020; Alongi *et al.*, 2021; Benson *et al.*, 2021;
87 Chamberlain *et al.*, 2021; Glasgow *et al.*, 2021; Li *et al.*, 2021; Matoza *et al.*, 2021; Ross and
88 Cochran, 2021; Beaucé *et al.*, 2022; Gong *et al.*, 2022; Hatch-Ibarra *et al.*, 2022; Okamoto *et al.*,
89 2022).

90 Current popularity notwithstanding, there is always room for improvement. This article
91 describes a significant update and re-release of the original GrowClust algorithm in the
92 programming language Julia, which has seen rapid growth in user base in the scientific
93 computing community (Bezanson *et al.*, 2017). As we will demonstrate in the following sections,
94 this choice brings about numerous advantages and opportunities for users of GrowClust3D.jl,
95 including (i) automated parallelization of bootstrap resampling routines, rendering uncertainty
96 quantification simple and efficient, (ii) embedding within the Julia ecosystem for easy import of
97 powerful external packages, (iii) more efficient use of computer memory that removes the need
98 for fixed array sizes, and perhaps most importantly, (iv) the ability to perform relocation
99 problems in cases with 3D velocity models. This article is organized as follows: First, we briefly
100 review the core GrowClust algorithm and philosophy (which remains largely unchanged) while
101 highlighting differences with the new GrowClust3D.jl software. Next, we present a series of
102 applications in different study regions to demonstrate the use of the software in various
103 scenarios. Finally, we discuss the various advantages of adopting the new software package,
104 while outlining current limitations and opportunities for future improvements.

105 **Methods**

106 **Review of the GrowClust algorithm**

107 Whether implemented in Fortran90 or Julia, the overarching goal of the program is to
108 take a set of input locations from an earthquake catalog and refine the hypocentral positions
109 (longitude, latitude, depth, and origin time) using observational constraints from waveform
110 cross-correlation. GrowClust's tactic to achieve this goal is to treat the problem from a cluster
111 analysis and graph-theoretical perspective. For each earthquake pair, the algorithm computes a
112 waveform similarity coefficient in which higher values are meant to represent higher waveform
113 similarity and thus more reliable differential time measurements. Using these coefficients,
114 GrowClust undertakes agglomerative clustering (Kaufman and Rousseeuw, 2009; Frades and
115 Matthiesen, 2010), where each event starts out as its own cluster of one and is progressively
116 linked to other similar events in sequence, starting with the most similar event pair to ensure that
117 earthquake pairs with high-quality differential time measurements are the foundation of the
118 relocation set. Each time an event pair is linked, the events (and the clusters to which the event
119 pairs belong) are relocated with respect to one another using a nested grid search approach
120 designed to improve the match between the observed and ray-theoretical differential travel times.
121 Event pair and cluster linkages are sometimes rejected if they violate user-specified quality
122 control criteria. Because of this, when the relocation algorithm completes, there will still be a
123 number of singleton events (clusters of one) that are not relocated and thus remain at their initial
124 position.

125 GrowClust requires four main input datasets and an algorithm control file specifying
126 things like filepaths and run parameters. The requisite datasets include (i) an earthquake catalog

127 specifying the initial locations of the event set to be relocated, (ii) a station list specifying the
128 positions of the stations where differential time measurements are recorded, (iii) a cross-
129 correlation file enumerating differential time measurements for pairs of events recorded at
130 common stations, and (iv) a velocity model or alternative means to generate travel-time tables of
131 theoretical arrival times. The control file organizes these input datasets, specifies the location of
132 the output files to be generated, and gives the user some flexibility on the clustering
133 hyperparameters and quality control criteria that suites their dataset. More details on these
134 choices are provided in Trugman & Shearer (2017) and the online software documentation.

135 **Key modifications of the GrowClust3D.jl algorithm**

136 From a user perspective, the most obvious difference between this original
137 implementation of GrowClust and GrowClust3D.jl is of course the driving programming
138 language: Julia instead of Fortran90 in the original release. While this change may present an
139 obstacle for some, the Julia user base is growing rapidly and the software features a diverse set of
140 packages that can be applied to various problems in scientific computing (Bezanson *et al.*, 2017).
141 Julia presents a user-friendly and flexible interface like Python, but if written carefully it can
142 provide significant advantages in computational efficiency and can indeed be wall-clock
143 competitive with compiled languages like C and Fortran (Bezanson *et al.*, 2017). We expect that
144 users familiar with another programming language will be able to re-use or modify the examples
145 presented in the online repository simply to suit their purposes.

146 The motivation behind the switch to Julia is to take advantage of several opportunities
147 that comprise the bulk of the modifications in algorithm design (Figure 1). Perhaps the most
148 notable new feature is much expanded flexibility in computing ray theoretical travel times. Just

149 like in the original implementation, GrowClust3D.jl can generate its own travel-time tables given
150 an input 1D velocity model. The new implementation will generate a separate travel-time table
151 for each station location that accounts for the listed station elevation, a detail that was neglected
152 for the purposes of simplicity in the original Fortran90 codes. This approximation worked out in
153 most (but not all) cases because station-specific effects on travel time will often cancel out in
154 differential measurements. The more important new feature in GrowClust3D.jl is the capability
155 to use travel-time tables generated from fully 3D velocity models, where wavespeeds vary both
156 laterally and vertically. In the current version, this feature is implemented by reading in station-
157 specific 3D travel time grids generated by NonLinLoc (Lomax *et al.*, 2000, 2009), which is an
158 open-source program that can applied to the task of absolute earthquake location. This
159 integration allows for the absolute and relative locations of output catalogs to be internally self-
160 consistent, which mitigates the potential for unwanted biases or offsets in areas with complex
161 velocity structures.

162 Another useful feature available in the Julia implementation is the ability to parallelize
163 the bootstrap resampling routines used for uncertainty quantification. The original Fortran90
164 release implemented these routines in serial, so performing 100 bootstrap resamples required a
165 runtime of $\sim 100x$ relative to a runtime with no uncertainty quantification. In GrowClust3D.jl,
166 resampling can be readily accomplished through either multithreading on a single computational
167 core or multiprocessing on different cores. As we will demonstrate below, this parallelization can
168 be a significant speed boost for large-scale problems, especially on computing servers with
169 multiple cores.

170 There are several other minor differences worth discussion. While the original GrowClust
171 software relied on simplified geographic transformations, GrowClust3D.jl wraps around the

172 PROJ cartographic library (PROJ contributors, 2022) with formal, user-selected map projections.
173 One common complaint with the Fortran90 implementation is that it uses fixed array sizes for
174 the differential time data, which caused compilation issues on machines with limited memory.
175 The Julia implementation determines appropriate array sizes on the fly and is thus more user-
176 friendly and memory efficient. The input file control parameters remain largely the same, with
177 the exception of new specifications for travel time grid and map projection options. The core
178 relocation algorithm and default hyperparameters are only slightly modified based on user
179 feedback and algorithm performance on new datasets since the original publication. One
180 important modification is that the GrowClust3D.jl software uses a different definition of
181 waveform similarity to rank event pairs in the clustering algorithm. The new definition mitigates
182 a potential issue the original algorithm had in penalizing highly similar event pairs that are
183 recorded at fewer stations while elevating less similar but better-recorded event pairs.

184 **Applications**

185 We demonstrate the new software on different benchmark problems that highlight
186 different features of the revised codes. Several of the datasets presented below have been studied
187 in some capacity in other published works; it is our intention here to simply use them as case
188 study examples of how GrowClust3D.jl can be applied as a scientific research tool.

189 **Spanish Springs Sequence, Nevada**

190 We begin with a reanalysis of the Spanish Springs earthquakes, a sequence of events
191 occurring beneath the Reno suburb in 2012–2015. This spatially compact sequence included a
192 M4.2 mainshock and more than a thousand smaller earthquakes detected by the Nevada
193 Seismological Laboratory (NSL) and has become the canonical GrowClust example since its

194 inclusion the online tutorial with the initial software release (Trugman & Shearer, 2017). Here
195 we use the same input datasets to demonstrate that GrowClust3D.jl produces similar results as
196 the initial release when applied in a comparable way with the same 1D velocity model. To
197 quantify similarity, we measure the location offset between relocated hypocenters from the
198 original GrowClust software and GrowClust3D.jl. For relocated events, the median horizontal
199 and vertical offsets of 12.5 and 38.0 m are comparable to the relative location uncertainty
200 obtained through bootstrap resampling (11.0 and 51.0 m). The slight differences in location arise
201 due to fact that the ray-tracing algorithm in the GrowClust3D.jl explicitly accounts for variations
202 in station elevation (which is neglected in the original GrowClust) and the choice of map
203 projection in GrowClust3D.jl, another feature that is not an option in the original GrowClust.

204 Due in part to its proximity to Reno, the Spanish Springs sequence was well-recorded by
205 stations operated by the NSL and includes 1616 events reviewed by NSL analysts over the study
206 period. Many of the recorded events are quite small (< M1) and difficult to manually locate and
207 determine phase arrivals, which produces considerable scatter of the input catalog (Figure 2a).
208 Application of GrowClust3D.jl to this sequence significantly refines the hypocentral positions
209 (Figure 2b), revealing clear and vertically dipping fault structures. There is a section along the
210 mainshock fault plane that is relatively devoid of aftershock activity; this may be the section of
211 peak slip with stress concentrations around its periphery. The results presented here are quite
212 similar to those reported in the original GrowClust manuscript (Trugman & Shearer, 2017), and
213 are largely independent of new features employed (choice of map projection, ray tracing solver,
214 etc.). In all cases, the major structural features and pattern of relocated seismicity are visually

215 identical. The sequence thus remains a useful benchmark and can be used a simple test case for
216 GrowClust users interesting in exploring the new software.

217 **Ridgecrest Sequence, California**

218 If the Spanish Springs sequence represents a useful, small-scale test case for
219 GrowClust3D.jl, the July 2019 Ridgecrest sequence (e.g., Barnhart *et al.*, 2019; Ross, Idini, *et*
220 *al.*, 2019; Lin, 2020; Lomax, 2020; Shelly, 2020) represents an opposite end-member case of a
221 large-scale relocation problem with hundreds of discrete clusters and structural features to
222 resolve over a ~100 km length scale. Here we apply GrowClust3D.jl to the input catalog and
223 waveform cross-correlation dataset described in Trugman (2020) and Trugman *et al.* (2020) as a
224 demonstration test case to gain insight and performance benchmarks of the new codes when
225 applied on a large-scale relocation problem. We use the same local 1D velocity model as the
226 original studies (Hauksson and Unruh, 2007) and GrowClust3D.jl's internal ray-tracing solver to
227 generate the needed travel time tables, which are now station-specific to explicitly account for
228 each station's elevation above mean sea level. Relocations are done using a Transverse Mercator
229 map projection but otherwise use the same algorithm control parameters as the prior studies.

230 The GrowClust3D.jl relocations (Figure 3) are visually much more tightly constrained to
231 clusters or linear features than the input catalog from the Southern California Earthquake Data
232 Center. These locations highlight the remarkable structural complexity and fault architecture of
233 the Ridgecrest sequence, which features hundreds of cross-cutting faults and branches off of the
234 northwest-trending mainshock rupture plane (Liu *et al.*, 2019; Ross, Idini, *et al.*, 2019; Goldberg
235 *et al.*, 2020; Lin, 2020; Lomax, 2020; Shelly, 2020). These structures, as well as the detailed
236 relative depth-distribution of seismicity, are clearly better resolved in the relocated hypocenters

237 (Figure 4). Despite the challenging operational environment with high levels of noise that hinder
238 traditional approaches to earthquake location (Hauksson, Yoon, *et al.*, 2020), the dense seismicity
239 and high-quality cross-correlation measurements allow us to relocate the large majority
240 ($38278/43742 = 87.5\%$) of the events in the catalog.

241 Because of the large problem size, the Ridgecrest sequence also forms an instructive
242 benchmark of the computational performance of the algorithm. Of particular interest here are the
243 speedups in runtime observed when employing the new parallel processing tools in
244 `GrowClust3D.jl`, which are designed to accelerate uncertainty quantification through parallelized
245 bootstrap resampling. In the `GrowClust3D` Julia implementation, there are two distinct ways to
246 do this: by multithreading – parallel computational threads on a single processor with shared
247 memory, and multiprocessing – parallelization of multiple processors or computational cores
248 without shared memory. This capability for non-expert users to readily incorporate parallel
249 processing tools directly in their programs is a notable strength of the Julia language (Edelman,
250 2015; Besard *et al.*, 2019; Gao *et al.*, 2020) that `GrowClust3D.jl` leverages in its algorithm
251 design.

252 Here we perform computational experiments on a mid-scale computing server with a 32-
253 core, 64-thread chip (AMD EPYC-7532) where each computing core has a base 2.4 GHz
254 clockspeed. In one set of experiments, we parallelize 100 bootstrap resampling runs using Julia's
255 multithreading capabilities with different numbers of threads requested, tracking the total
256 runtime of each experiment. In another, we perform an analogous set of experiments via
257 (hyperthreaded) multiprocessing rather than multithreading on a single core. A comparison of
258 these two experiments is presented in Figure 5. As expected, in multiprocessing mode there is a
259 linear improvement in runtime with the number of cores employed. In multithreading mode,

260 runtime improvement is limited by computer memory and threading constraints, and thus
261 saturates after threading resources are expended. While the precise saturation point depends on
262 the platform and problem size, the basic concept does not. Multithreading provides a simple way
263 of accelerating runtimes by a modest amount (2-4x), further gains require multiprocessing on
264 discrete cores and scales linearly with the number of cores available.

265 **Coyanosa, West Texas**

266 By design, GrowClust3D.jl performs relative relocation, keeping the mean hypocentral
267 position of earthquakes in each cluster fixed. Thus, while the method can be used to refine
268 hypocentral positions, the absolute locations are intrinsically tied to the original input catalog.
269 When using GrowClust3D.jl, it is therefore important to be as consistent as possible with the
270 method used to obtain the original, absolute locations of the events of interest. The new software
271 facilitates this consistency with its capability to directly read the travel time grids associated with
272 1D or 3D velocity models that are generated by NonLinLoc (Lomax et al., 2000, 2009), an open-
273 source earthquake location and analysis package that can be used to constrain hypocentral
274 positions and uncertainties from phase arrival data and an assumed velocity model.

275 Here we demonstrate the capability to use 3D travel time grids on a small test case in the
276 Delaware Basin of West Texas, where seismicity rates have risen sharply in tandem with
277 increased hydrocarbon production (e.g., Frohlich *et al.*, 2020; Savvaidis *et al.*, 2020; Skoumal *et*
278 *al.*, 2020; Skoumal and Trugman, 2021; Trugman and Savvaidis, 2021). Our study region for this
279 exercise centers on clusters of seismicity near Coyanosa, Texas in the southern portion of the
280 Basin. We begin with an event set of 901 earthquakes occurring from January 2017 through May
281 2022, taken from the TexNet earthquake catalog (<https://catalog.texnet.beg.utexas.edu/>), which

282 assumes a regional 1D velocity model. We then attempt to relocate all earthquakes in this dataset
283 which have 4 or more arrivals using NonLinLoc, assuming an Azimuthal Equidistant projection
284 (an appropriate choice in that the projection aims to accurately preserve distances from the center
285 of the study region) and using a 3D velocity model derived from local geologic, active seismic,
286 and well-based constraints. A subset of the initial NonLinLoc solutions are not viable, with
287 depths that are artificially pinned to the surface. This artifact can occur for small events with
288 limited and poorly resolved phase arrivals, combined ray path and travel-time complexity due to
289 a shallow, high-velocity evaporite layer characteristic of the Permian Basin (Savvaidis *et al.*,
290 2022). To avoid compromising our final depth estimates, we remove these outlier events from
291 our analysis and further refine the position of the remaining, 794, quality-controlled events using
292 available differential travel time measurements and GrowClust3D.jl, assuming the same velocity
293 model and projection, resulting in a final relocated catalog contains 364 events.

294 The results of this analysis workflow are presented in Figure 6. In this case, use of a
295 location-specific 3D velocity model improves the lateral and depth resolution of the absolute
296 locations, which are noticeably shallower than the initial TexNet catalog, in line with other recent
297 findings (Savvaidis *et al.*, 2021; Sheng *et al.*, 2022). GrowClust3D.jl builds on this improvement
298 by further resolving the relative positions, revealing hidden linear features which correspond to
299 known, northwest-trending shallow normal faults and graben structures in the Delaware Basin
300 (Staniewicz *et al.*, 2020; Hennings *et al.*, 2021; Horne *et al.*, 2021). The GrowClust3D.jl depth
301 distribution is concentrated in the 0–3.5 km range and peaks near 2 km depth, perhaps a
302 signature of triggering from anthropogenic stressing. The improved resolution of hypocentral
303 depths provided by techniques like GrowClust3D.jl may be key to understanding causal factors

304 driving seismicity in this region (Savvaidis *et al.*, 2020; Skoumal *et al.*, 2020; Zhai *et al.*, 2021;
305 Sheng *et al.*, 2022).

306 **Kaikōura Aftershocks, New Zealand**

307 The most notable new feature of the software is the capability to perform relocation tasks
308 that require 3D velocity models with strong lateral variations in Earth structure. This need is
309 perhaps most pronounced in subduction zone settings, where the dipping interface of the
310 subducting slab and overriding plate creates a baseline geometric feature upon which additional
311 structural complexity is nearly always present. Here we consider a relocation problem of the
312 earthquakes surrounding the rupture of the 2016 M7.8 Kaikōura, New Zealand earthquake,
313 which triggered tens of thousands of aftershocks dominantly on crustal faults above the
314 Hikurangi Subduction Zone (Holden *et al.*, 2017; Ulrich *et al.*, 2019; Chamberlain *et al.*, 2021).
315 Perhaps even more so than Ridgecrest, the Kaikōura rupture is remarkable for its complexity,
316 stitching together at least 21 faults across the South Island of New Zealand (Cesca *et al.*, 2017;
317 Hamling *et al.*, 2017; Holden *et al.*, 2017; Kaiser *et al.*, 2017; Zhang *et al.*, 2017) and potentially
318 involving rupture of the underlying subduction interface (e.g., Mouslopoulou *et al.*, 2019).

319 Chamberlain *et al.* (2021) performed a template-matching exercise to detect small
320 earthquakes and applied the original GrowClust algorithm with a 1D cross-section of the 3D
321 tomographic model of Eberhart-Phillips and Bannister (2015). Due to the limitations of the
322 original template-matching catalog, especially the sparse station coverage and limitations in the
323 relocation technique (which assumed a single 1D velocity model for the entire study region),
324 resolution of the sequence could certainly be improved. Here we build on the results of
325 Chamberlain (2021) by using the same input catalog and differential time measurements, but

326 now taking advantage of the new capabilities of the GrowClust3D.jl software to directly use
327 travel time grids derived from a 3D earth model – NZ3D version 2.2 (Eberhart-Phillips and
328 Bannister, 2015; Henrys *et al.*, 2020) – during the relocation process. The input, absolute
329 locations are the same in both cases and were obtained with NonLinLoc (Lomax *et al.*, 2000,
330 2009). Relocations are performed in a Transverse Mercator projection rotated 140 degrees along
331 the strike of the New Zealand islands, following Eberhart-Phillips and Bannister (2015).

332 Relocation results for the Kaikōura sequence are summarized in Figure 7, with select
333 cross-section comparisons to original GrowClust results of Chamberlain *et al.* (2021) in Figure 8.
334 Most of the detected aftershocks are crustal events, well above the Hikurangi megathrust
335 interface depth determined by Williams *et al.* (2013). Very few events appear to locate on the
336 interface itself. Comparing the original and new relocations, we observe a notable sharpening in
337 the seismicity in certain areas, likely in relation to the strength of the lateral variations in
338 wavespeed. For example, the activation of splay faults above the megathrust interface can be
339 seen in cross-sections C and D (Figure 8) using the GrowClust3D.jl locations, but these
340 structural features are not readily visible in identical cross-sections from the original 1D
341 relocation results. These promising results for Kaikōura suggest promise for future applications
342 of the new software in subduction zone settings, even when station coverage is limited (Figure
343 7).

344 **Outlook and Future Directions**

345 In this article we present GrowClust3D.jl, a significant advancement over the original
346 GrowClust software for the relative relocation of earthquake hypocenters. Now rewritten in the
347 programming language Julia, the open-source software package follows the same conceptual

348 paradigm of the original release but contains a number of new features and options we hope will
349 prove useful to the scientific community. The most notable of these is the capability to use 3D
350 velocity models and travel time grids produced by NonLinLoc, ensuring the absolute and relative
351 hypocenters are self-consistent and allowing for improved precision in regions with strong lateral
352 heterogeneity in wavespeed. The new relocation codes also make it simple to parallelize
353 bootstrap uncertainty quantification using multithreading or multiprocessing, resulting in
354 improvements in speed of a factor of 20 or more on large-scale problems, depending on available
355 resources. The new Julia implementation can also make more efficient use of available computer
356 memory because it does not require the user to compile fixed array sizes prior to runtime. Other
357 minor improvements include the incorporation of standardized map projection routines and the
358 explicit accounting of station elevations, factors that were neglected in the original release of the
359 program.

360 Having GrowClust3D as part of the broader Julia ecosystem makes it easier to update the
361 package based on user feedback while opening up new opportunities for additional user
362 flexibility and creativity. The codes already leverage widely used Julia packages, notably ones
363 for statistical and array calculations, interpolations, map projections, and tabular datasets. The
364 codes are deliberately modular in design, which makes it simple for users to modify short
365 sections of codes to suit their scientific objectives. A good example of this is the metric used to
366 define event pair similarity. In the original Fortran implementation of GrowClust, this was a
367 simple summation of cross-correlation coefficients across all stations recording an event pair.
368 This definition, while functional and straightforward, had the tendency to overweight event pairs
369 with more cross-correlation measurements at the expense of highly similar pairs with fewer
370 recordings. The new Julia implementation modifies this definition by considering only a fixed

371 number of stations for all event pairs, the specifics of which can be modified by the user. In Julia,
372 one could easily implement customized metrics to achieve different goals, for example by
373 differentiating template matching pairs from pairs of analyst-reviewed events.

374 The current implementation of GrowClust3D.jl described here has several limitations to
375 be aware of, some of which could be addressed in future releases pending user interest and
376 feedback. In the current version, GrowClust3D.jl can perform simple 1D ray tracing tasks but
377 does not include a full eikonal equation solver for 3D problems, instead relying on external
378 programs like NonLinLoc to facilitate these calculations. This approach has the advantage of
379 consistency with the input catalog and removes the computationally expensive step of 3D ray
380 tracing but does limit user capabilities for certain problems. Future implementations could
381 feature an internal eikonal equation solver or integrate with other location packages beyond
382 NonLinLoc. Note, GrowClust3D.jl itself only performs relative relocation and not the initial,
383 absolute location. This choice is deliberate because it allows the user to select their own suitable
384 method based on their data and preferences, but it does limit the software in some ways.
385 Likewise, the software requires the user to pre-compute differential travel times from waveform
386 cross-correlation, using any technique they deem sufficient. It may be possible in future to
387 integrate with Julia waveform processing tools like SeisIO (Jones *et al.*, 2020) to help facilitate
388 this step more directly. In addition, relocation packages like HypoDD (Waldhauser and
389 Ellsworth, 2000) have long used catalog differential times in complement to those derived from
390 waveform cross-correlation. This option could be considered if warranted from a value-added
391 standpoint. There are many more possibilities consider; the beauty of an open-source tool like

392 GrowClust3D.jl is that the potential for improvement is bounded only by user imagination and
393 energy.

394 **Data and Resources**

395 The software described in this study is documented and publicly available in the repository:
396 <https://github.com/dttrugman/GrowClust3D>. The original GrowClust software is still available
397 at: <https://github.com/dttrugman/GrowClust>. We thank developers of Julia (Bezanson *et al.*,
398 2017), NonLinLoc (Lomax *et al.*, 2000), PROJ (PROJ contributors, 2022), and the Generic
399 Mapping Toolbox (Wessel *et al.*, 2019) for publicly available software tools used in the
400 implementation and presentation of this study. Earthquake catalog and waveform data from the
401 Nevada Seismological Laboratory (<http://www.seismo.unr.edu/>), Southern California Earthquake
402 Data Center (<https://scedc.caltech.edu/index.html>), TexNet (<https://www.beg.utexas.edu/texnet-cisr/texnet>), and GeoNet (<https://www.geonet.org.nz/>) were used to derive inputs for the
403 relocation algorithm. The 3D compressional wave model and its travel time grids (assuming a
404 constant Vp/Vs of 1.7) for the Coyanosa case study are available on the Texas Data Repository
405 (<https://doi.org/10.18738/T8/RQGQ9D>), where we have made the relocated catalogs produced in
406 this study publicly available (<https://doi.org/10.18738/T8/44T2X8>). The 3D velocity model used
407 for the New Zealand case study are archived on Zenodo
408 (<https://zenodo.org/record/3779523#.YzsAi-zMJoM>).

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696 **Mailing Addresses:**

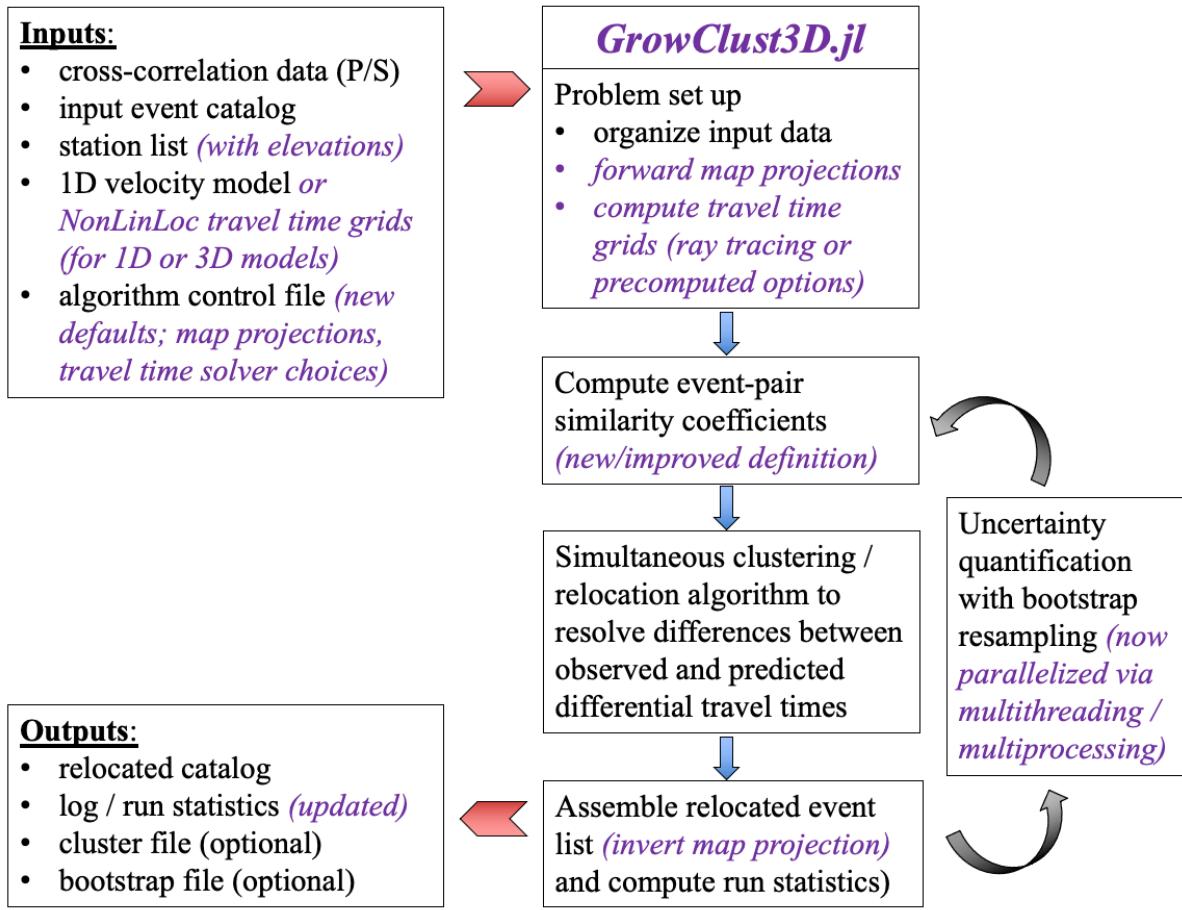
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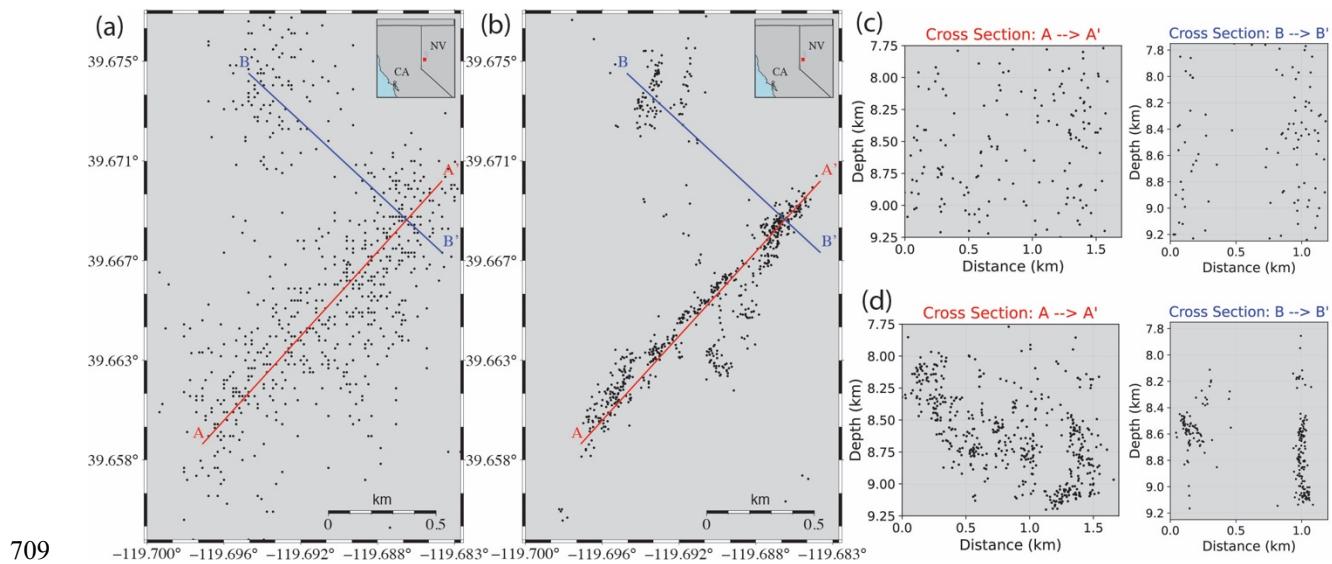
705 **Figures**



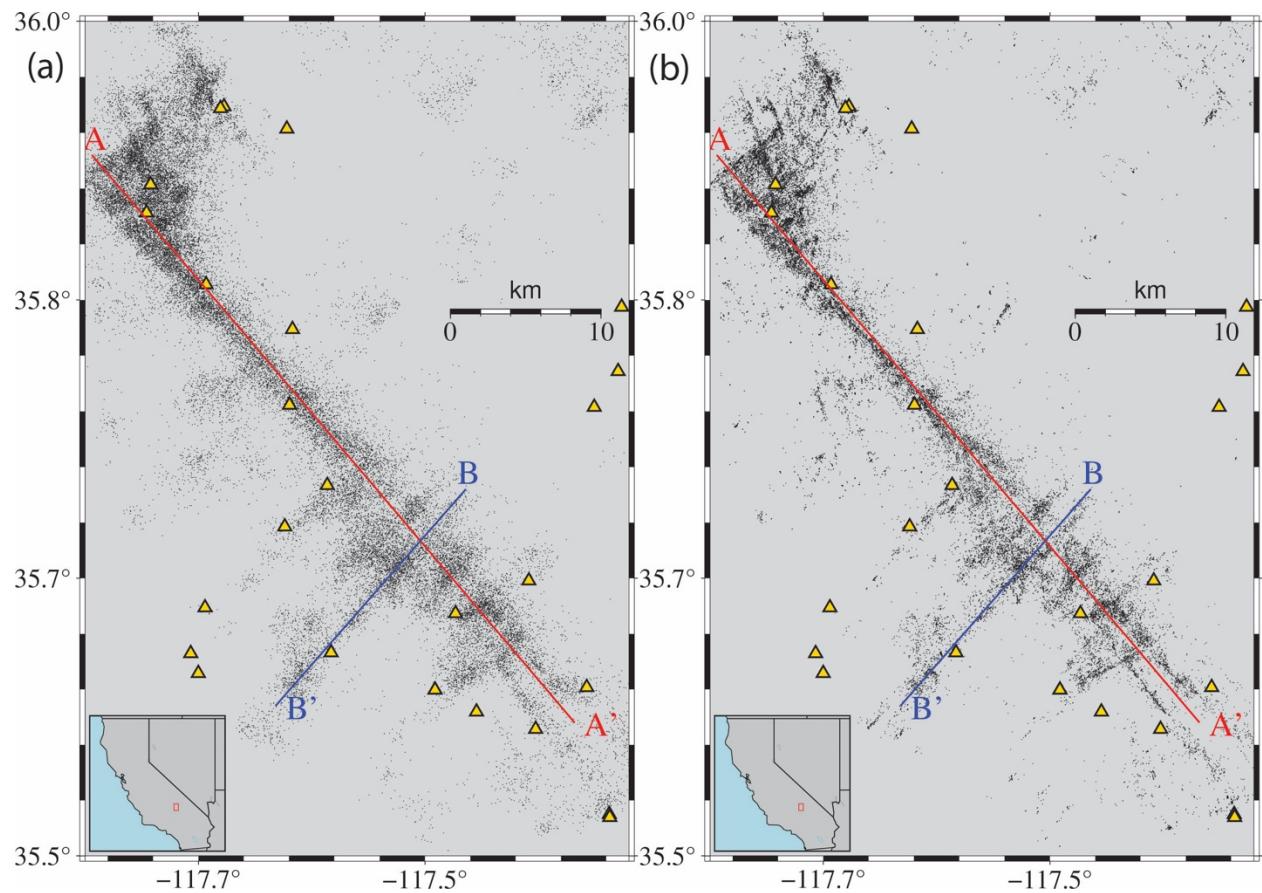
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707 **Figure 1.** Schematic overview of the GrowClust3D.jl workflow, with newly available features

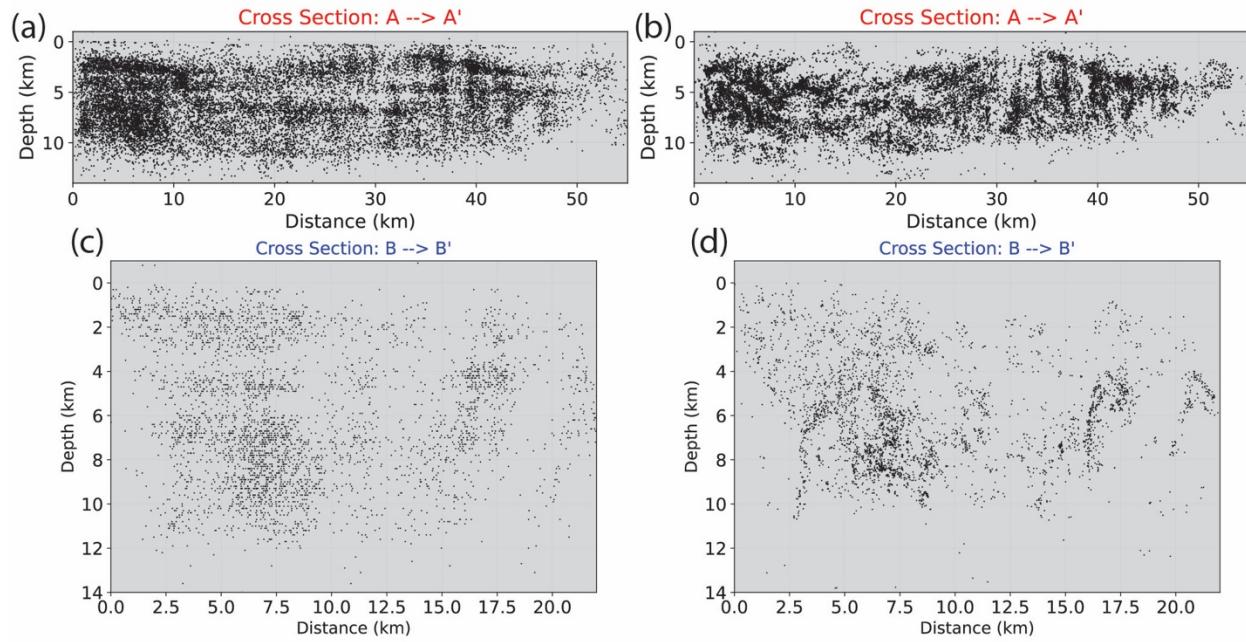
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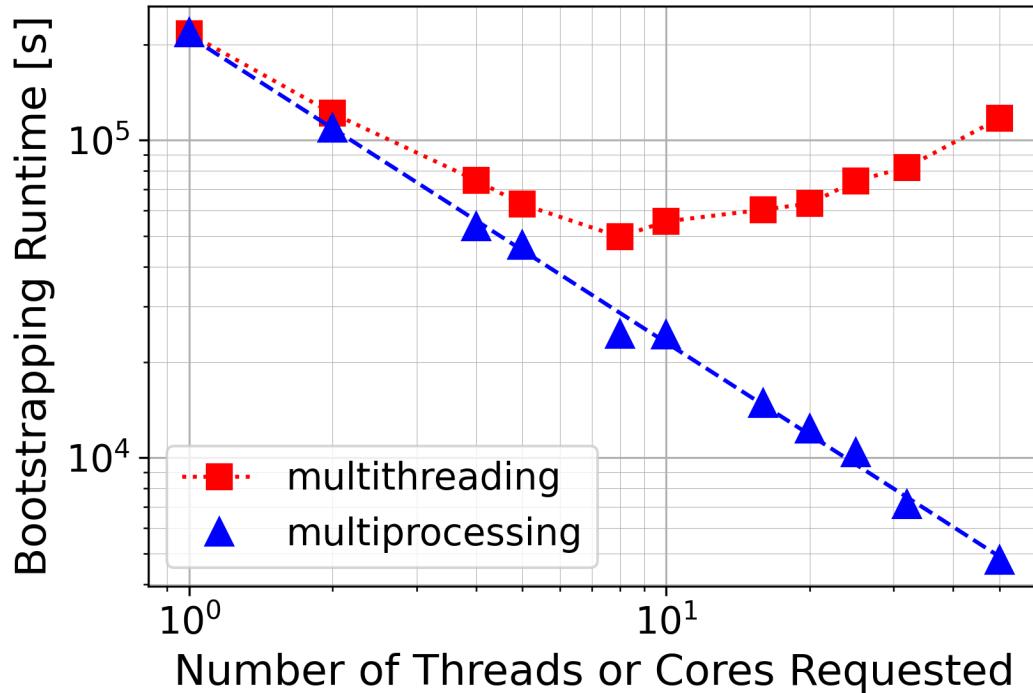
709 **Figure 2.** Relocation of the Spanish Springs, Nevada earthquake sequence. Panels (a) and (b)
710 compare the input catalog reported by the Nevada Seismological Laboratory and to the
711
712 GrowClust3D.jl-relocated catalog in map view. Panels (c) and (d) compare input and
713 relocated catalogs in cross section.



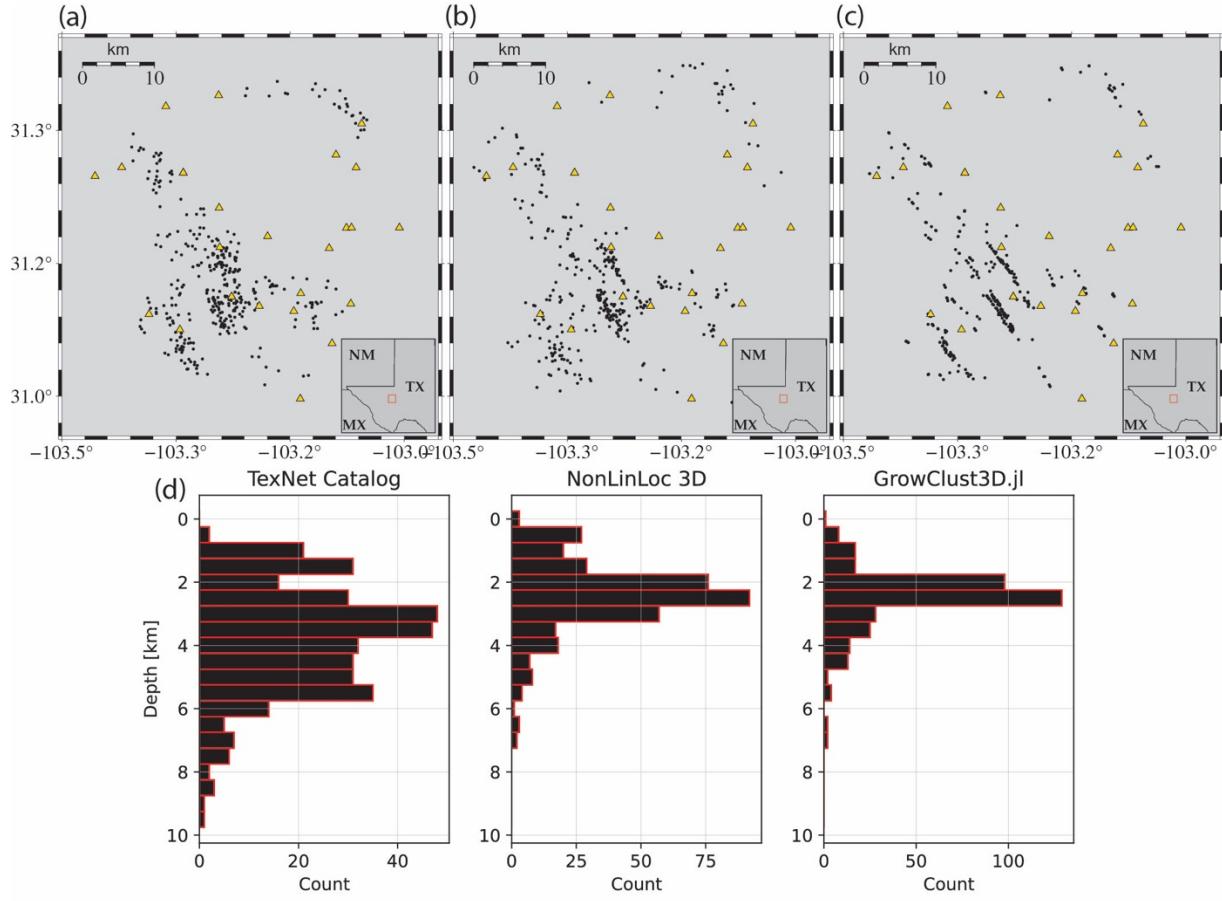
716 **Figure 3.** Map view of the Ridgecrest, California sequence comparing (a) the input catalog from
 717 the Southern California Earthquake Data Center and (b) the GrowClust3D.jl-relocated catalog.
 718 Station locations are marked with triangles. Note the definition of cross sections A-A' and B-B'
 719 that are used in Figure 4.



722 **Figure 4.** Depth cross-sections for the relocation of the Ridgecrest, California sequence. Panels
 723 (a) and (c) show cross-sections of the input catalog from the Southern California Earthquake
 724 Data Center, while panels (b) and (d) show cross-sections of GrowClust3D.jl-relocated
 725 seismicity. Cross sections A-A' and B-B' are defined in Figure 3.



729 **Figure 5.** Parallelization experiments. Comparison of multithreading (square symbols) and
 730 multiprocessing (triangle symbols) runtime for 100 bootstrap resampling runs of the Ridgecrest
 731 sequence. Note log-log scale, where the x-axis refers to the number of threads or cores requested.

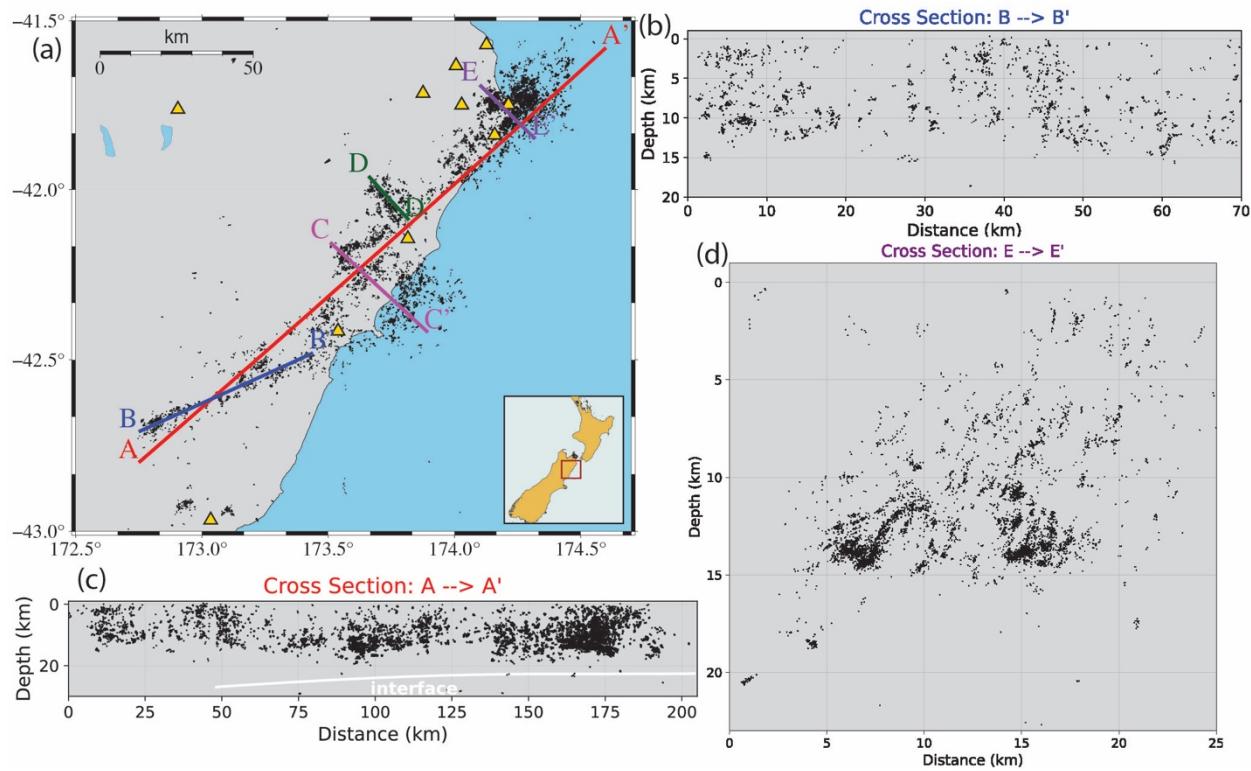


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733 **Figure 6.** Relocation of seismicity near Coyanosa, Texas (USA). (a) TexNet catalog locations
 734 shown in map view. (b) Refined absolute locations of these events using NonLinLoc and a 3D
 735 velocity model. (c) Refined relative relocations of these events using GrowClust3D.jl. (d)
 736 Comparison of the depth distributions of seismicity for the TexNet catalog (1D model),
 737 NonLinLoc (3D model), and GrowClust3D.jl (3D model). In each panel, only the 364 events that
 738 are relocated by GrowClust3D.jl are shown. Station locations are marked with triangles for
 739 reference in panels (a) through (c).

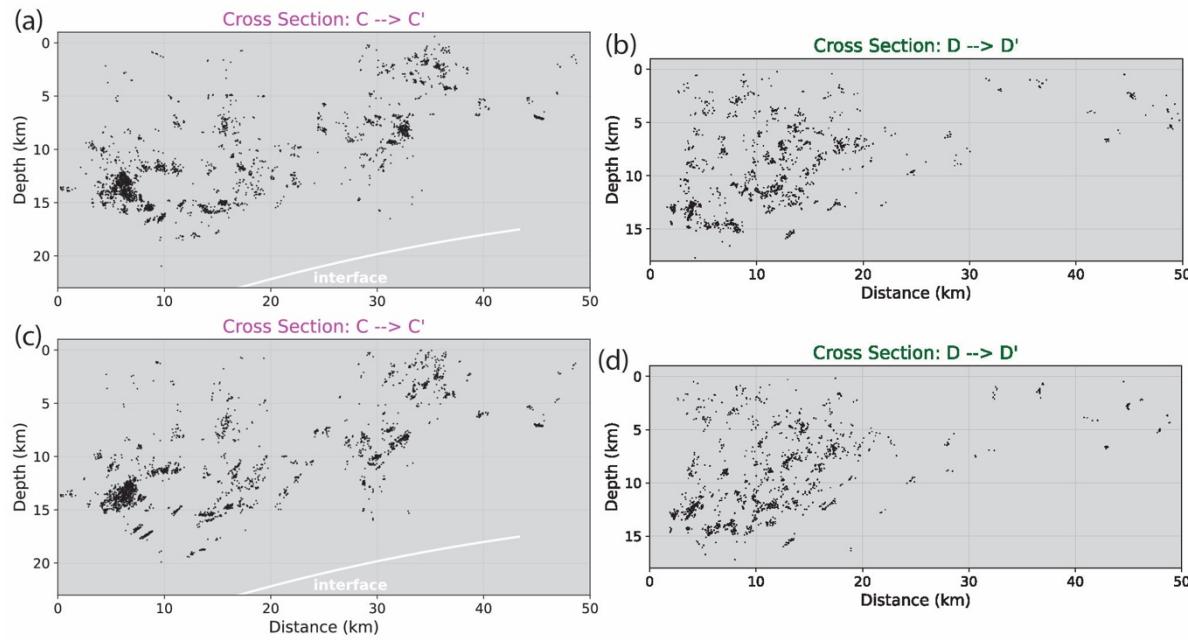
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743 **Figure 7.** GrowClust3D.jl relocations of the Kaikōura earthquake sequence in map view (panel
 744 a) and select cross-sections (panels bcd). Station locations are marked in triangles, with the
 745 position of the subduction interface from Williams *et al.* (2013) marked with a solid line.



748 **Figure 8.** Comparison of the GrowClust relocations of Chamberlain et al. (2021) in panels (a)
749 and (b) with GrowClust3D.jl relocation (this study) in panels (c) and (d). Cross-sections C-C'
750 and D-D' are defined in Figure 7. While both datasets are waveform-relocated, the
751 GrowClust3D.jl solution more clearly resolves the details and dip of possible linear splay
752 faulting structures in cross-section C-C'.