- 1 Diverse Physical Processes Drive Upper-Tail Flood Quantiles in the US
- 2 Mountain West
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#### 9 Abstract

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10 Floods in the mountainous western U.S. typically arise from rainfall, snowmelt, or rain-on-snow 11 (ROS). This implies that streamflow records comprise "mixtures" of flood regimes with differing 12 physical characteristics. This runs counter to the assumption, made in flood hazard practice, that 13 flood observations are independent and identically distributed. In this study, we examine 308 14 watersheds and 281 (91%) of which exhibited substantial roles of at least two flood regimes, with 15 rainfall and ROS accounting for the very largest floods. We demonstrate that flood mixtures can have dramatic impacts on upper-tail flood quantiles—rarer than the 0.01 annual exceedance 16 17 probability. While the geographic distribution of mixed flood regimes is explained by climate and 18 elevation, such regimes are subject to future change due to climate warming. The complexities 19 brought about by diverse flood mixtures require process-based approaches for understanding and 20 modeling future flood frequency distributions.

## Plain Language Summary

Floods in the mountainous western United States can be caused by various physical drivers such as rainfall, snowmelt, or their combination. This implies that statistics such as the so-called "100-year flood"—important for floodplain mapping and infrastructure design—oftentimes belie complex "mixtures" of different physical flood mechanisms. The existence of such mixtures confounds conventional analysis methods and assumptions. Results from our study of 308 watersheds suggest that neglect of mixture effects can lead to large uncertainties in the estimated magnitudes of extreme flood statistics in the region. Current mixtures will also change due to climate warming; floods in historically snowmelt-dominated watersheds, for example, may see an increasing importance of rainfall processes in the future. Our findings thus highlight the importance of more physically-informed approaches for predicting flood statistics in the region and beyond.

#### 33 1 Introduction

Riverine flooding can be triggered by a variety of distinct hydrometeorological drivers. In the 34 35 eastern U.S., most floods are due to extreme tropical cyclone rainfall or springtime extratropical 36 systems (Smith et al., 2011; Villarini & Smith, 2010). Looking further west, extratropical systems 37 combined with summertime mesoscale convective systems (MCSs) make up the flood climatology 38 in the midwestern U.S. (Villarini et al., 2011), while floods in the mountainous western United 39 States can be caused by extreme rainfall (often from atmospheric rivers [ARs]; e.g. Barth et al. 40 2017), snowmelt, or their combination (Berghuijs et al., 2016; Davenport et al., 2020). Such 41 "mixtures" of flood types associated with different physical drivers are also well-documented in 42 Europe (Berghuijs et al., 2019; Blöschl et al., 2017, 2019) and likely exist in most terrestrial 43 regions around the globe. Watersheds with these mixtures generally do not experience each type 44 with equal frequency or severity (Smith et al., 2018).

Flood mixtures have two important implications. The first is related to estimation of extreme streamflow quantiles such as the 100-year average recurrence interval (ARI; corresponding to a 1% annual exceedance probability [AEP]). These and other quantiles from extreme streamflow distributions—derived via methods broadly referred to as flood frequency analysis (FFA)—are central to infrastructure design, dam safety analysis, and floodplain mapping (e.g., NRC, 1988, 1994; USBR, 2006, 2011). For mathematical convenience, FFA practices typically treat a sample of streamflow observations at a given site as independent and identically distributed (iid; e.g.

- 52 Sivapalan & Samuel, 2009) regardless of whether the sample stems from one or multiple causes.
- 53 The difficulty of mixed sample FFA was recognized decades ago in FFA guidelines ("Bulletin
- 54 17B"; ICWD, 1982), and a variety of "mixture distribution FFA" techniques have since appeared
- 55 (e.g. Gotvald et al., 2012; Murphy, 2001; Parrett et al., 2011; Waylen & Woo, 1982). To the best
- of our knowledge, however, these "remedies" have not achieved widespread use (England Jr. et 56
- 57 al., 2018; Kjeldsen et al., 2008). It thus appears that more emphasis on mixtures is needed in flood
- 58 research and practice.
- 59 The second implication of flood mixtures is related to climatic nonstationarity. The existence of
- 60 mixtures suggests that attempts to identify historical trends or to estimate flood quantiles under
- 61 changing conditions are unlikely to succeed if they cannot account for changes in flood
- "subsamples" associated with different physical drivers. Failure to do so may partially explain the 62
- 63 lack of observational evidence for a climate-related increase in flooding (Sharma et al., 2018).
- 64 While nonstationarity is not an explicit focus of this study, results presented below suggest that
- the prevalence of mixtures in the study region will have important implications for FFA in a 65
- changing climate. 66
- While "methodological literature" on mixture distribution FFA abounds (e.g. Gotvald et al., 2012; 67
- Murphy, 2001; Waylen & Woo, 1982), few examples take a regional look at the prevalence of 68
- 69 mixed flood populations and their FFA implications. This study aims to show both the regional
- 70 prevalence of mixtures and what this means for upper tail flood quantiles. These aims share some
- similarity with Smith et al. (2011) and Barth et al. (2017). Compared with Smith et al. (2011), we 71
- 72 bring a different geographic focus and explicitly investigate the effects of mix types on flood
- 73 quantiles. While our study region largely overlaps with that of Barth et al. (2017)—who examined
- the role of ARs in flood quantiles—we consider a wider range of flood drivers. Although the 74
- 75 objective of this study is not to provide practical methods for mixture distribution FFA, it adds to
- emerging research into process-based understanding and prediction of flood frequency. We also 76
- demonstrate the value of new datasets, including from large-scale land surface models (LSMs), to 77
- 78 support such research.

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#### **Background—Floods in the US Mountain West**

- 80 Winter stratiform precipitation—often associated with ARs (e.g., Gershunov et al., 2017; Ralph et
- al., 2006)—brings moisture to the U.S. west coast, where it often experiences orographic 81
- 82 enhancement and snowpack accumulation (James & Houze, 2005). When rain falls on existing
- 83 snowpack, it accelerates melt and can produce ROS flooding (e.g., Marks et al., 1998). In the late
- 84 spring and early summer, snowmelt and ROS are the main flood drivers for watersheds at high
- 85 elevations (e.g., Northern Cascades and Sierra Nevada) and high latitudes (e.g., Montana;
- Berghuijs et al., 2016; McCabe et al., 2007). In the late summer, rainfall-driven floods occur across 86
- 87 the semiarid southwest, often associated with the North American monsoon (e.g., Higgins et al.,
- 88 1997; Li et al., 2003; Vivoni et al., 2006). Large-scale atmospheric circulation anomalies,
- 89 oftentimes outside of the snowmelt season, account for some of the largest floods in the region
- 90 (Hirschboeck, 1987; Maddox et al., 1979, 1980). For example, 13 out of the 21 very large (on a
- unit watershed area basis) floods in the conterminous US (CONUS) analyzed in Hirschboeck 91
- (1987) occurred in the west and were attributed to such phenomena. These include the well-known 92
- 93 west coast "Christmas Flood" in 1964 (e.g., Fredriksen, 1965) and the 1976 Big Thompson flood
- 94 in Colorado (e.g., Costa, 1978).

- Though precipitation extremes have increased only modestly in the western US since 1950s (e.g.,
- 96 Karl et al., 2009; Wright et al., 2019), this trend is projected to continue with climate warming
- 97 (e.g., Diffenbaugh et al., 2005; Dominguez et al., 2012; O'Gorman, 2015). Past warming has
- 98 shifted the snowmelt season earlier, accompanied by declining melt rate (Fritze et al., 2011;
- 99 Musselman et al., 2017). ROS events have become more frequent at high elevation due to higher
- freezing levels, but less frequent at low elevation as snowpack declines (Kampf & Lefsky, 2016;
- McCabe et al., 2007). Thus, the relative balance of different flood drivers has and will continue to
- shift, posing challenges to FFA and potentially causing important changes in flood distributions.

### 3 Data and Methods

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#### 3.1 Streamflow and Other Observational/Model Data

105 This study uses an updated version of the dataset from Davenport et al. (2020), who identified 106 rainfall-, snowmelt-, and ROS-driven streamflow observations in the western US. The longest 107 streamflow records in the dataset range from 1980 to 2020. Davenport et al. (2020) identified US 108 Geological Survey (USGS) daily streamflow observations that exceed the long-term median value 109 and calculated preceding 8-day basin-averaged precipitation (NLDAS-2; Mitchell, 2004) and 110 simulated snow water equivalent from the NLDAS-VIC LSM (Xia et al., 2012). To ensure independence, Davenport et al. (2020) required at least 7 days between streamflow events, which 111 then were classified as: 1) rainfall-driven (total rainfall>10 mm and snowmelt<5 mm), 2) 112 snowmelt-driven (total rainfall<5 mm and snowmelt>10 mm) and 3) ROS when both rainfall and 113 114 snowmelt exceed 5 mm. While the final Davenport et al. (2020) dataset only includes 410 115 watersheds that exhibit multiple flood types, this includes virtually all higher-elevation watersheds (>500 m above sea level [masl]). Watersheds with a only a single type—not analyzed in Davenport 116 117 et al. (2020) nor here—were rainfall-dominated and 65 of 69 are on the Pacific coast or at low elevation in Arizona. Thus, the overwhelming majority of watersheds outside of those two 118 119 subregions exhibited multiple flood types according to the that study's criteria.

120 For each watershed, we selected the largest m streamflow events irrespective of flood type, as well 121 as the largest n streamflow events of each type, where m(n) is the largest value between 25 (20) 122 and the number of unique water years. The m events are henceforth referred to as single-sample 123 floods while the *n* events are referred to by type. If the number of a particular type is less than 20, 124 it is neglected because its distribution cannot be modeled well. In general, the magnitudes of flood 125 types rejected due to limited sample sizes were much smaller than retained types at the same site 126 (Fig. S1). Nonetheless, rejection of flood types on the basis of sample size is a noteworthy 127 limitation of our work and is discussed further in Section 5. 28 sites with m<25 were discarded. 128 To further ensure that flood events are independent, we removed 74 sites that exhibited significant 129 Pearson correlation (p-value < 0.05) between successive peaks. This left 308 watersheds with 130 average sample sizes of 37 for the single-sample group, and 37, 35, and 36 for rainfall-, snowmelt-131 and ROS-driven groups, respectively. We also applied nonparametric bootstrapping to evaluate sample size effects (e.g., from 25 to 41) and obtained very similar shape parameters despite 132 133 uncertainties in the estimated 100-year floods (Fig. S2-S5). For the purposes of this study, i.e. to understand the extent of mixture effects (e.g., shape parameters), these sample sizes appear to be 134 sufficient. We do not necessarily recommend these results for usage in operational FFA. 135

- 136 It should be noted that most prior studies that consider mixture flood distributions (Barth et al.,
- 2017; Gotvald et al., 2012; Smith et al., 2011; Villarini et al., 2011) separated annual instantaneous

- peak flows into flood types. This can seriously limit the number of observations for each subtype.
- Our approach based on continuous daily streamflow timeseries remedies this somewhat. To bridge
- this gap between daily and instantaneous peak flows, ratios between the two (where available)
- 141 were calculated. These ratios decrease with watershed size and are (larger) smaller values for
- 142 (rainfall) snowmelt floods (Fig. S6). This latter fact implies that different flood types diverge
- more—and thus will exhibit stronger mixing effects—at the instantaneous scale than at the daily
- scale.
- 145 3.2 Flood Envelope Curves
- Envelope curves depict the upper bound of regional streamflow observations (Costa, 1987; Enzel
- et al., 1993). We examined how the largest floods of each flood type vary with watershed scale by
- estimating envelope curves from the largest observations via (Fuller, 1914)

$$Q_i = \alpha_i A^{\theta_i} \tag{1},$$

- where  $Q_i$  denotes the largest daily streamflow with respect to drainage area A and flood type i;  $\alpha_i$
- and  $\theta_i$  are scaling intercept and power law coefficients, respectively.
- 151 3.3 Extreme Value and Mixture Modeling and Boundedness
- We fitted Generalized Pareto distributions (GPD; e.g., Coles, 2001) to observations of different
- 153 flood types, as well as the single-sample floods using L-Moments (Hosking & Wallis, 1997). The
- 154 GPD's cumulative distribution function (CDF) is:

$$F_{i}(x_{i}; \mu_{i}, \sigma_{i}, \xi_{i}) = 1 - \left(1 + \frac{\xi_{i}(x_{i} - \mu_{i})}{\sigma_{i}}\right)^{-\frac{1}{\xi_{i}}} \quad \text{for } \xi_{i} \neq 0$$
 (2)

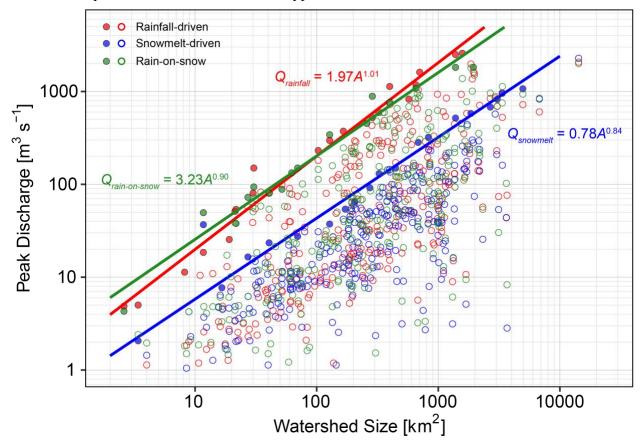
- where  $x_i$  denotes streamflow of flood type i, and  $\mu_i$ ,  $\sigma_i$ , and  $\xi_i$  are the threshold, scale, and shape
- parameters, respectively,  $\mu_i$  and  $\sigma_i$  indicate the central tendency and variability, respectively, while
- 157  $\xi_i$  is indicative of skewness and the "thickness" of the GPD tail. Notably, in GPD and related
- distributions (e.g. the generalized extreme value distribution),  $\xi_i > 0$  indicates that the upper tail is
- heavy and unbounded, meaning that there is nonzero probability density as  $x_i \to \infty$ .  $\xi_i < 0$ , in
- 160 contrast, implies an upper bound to the distribution, while  $\xi_i = 0$  indicates a thin tail. Estimated
- shape parameters have been widely used in the study of extreme events such as rainfall, floods,
- and water vapor transport (e.g., Villarini & Smith, 2010; Su & Smith, 2021). In this study, record
- length thresholds described in Section 3.1 provided  $\mu_i$ ; this approach has been used in prior
- research (e.g., Papalexiou & Montanari, 2019) and practice (e.g. Bonnin et al., 2004; Perica et al.,
- 165 2018).
- Having ensured independence of flood events, we also derived "mixture distributions" by taking
- the product of CDFs of different flood types for watersheds exhibiting multiple flood types
- 168 (Waylen & Woo, 1982; Nadarajah, 2008):

$$F_{mixture}(x) = F_{rainfall}(x) * F_{snowmelt}(x) * F_{ROS}(x)$$
(3)

- 169 If only rainfall and snowmelt peaks are considered for a watershed, for example, the CDF of its
- mixture distribution is the product of  $F_{snowmelt}$  and  $F_{rainfall}$ . We also use "upper tail flood type" to
- describe the type that generates the highest 100- to 500-year ARIs. To partly account for the
- distribution uncertainty, we have also repeated analyses using the log-Pearson type III (LP3)
- distribution (Eqn. S1-S3; e.g., Asquith et al., 2017).

#### **4 Results**

## 4.1 Envelope Curves of Different Flood Types



**Figure 1.** The largest rainfall-, snowmelt-, and ROS-driven flood peaks for each watershed and their corresponding envelope curves. Filled circles denote peaks used for envelope curve fitting.

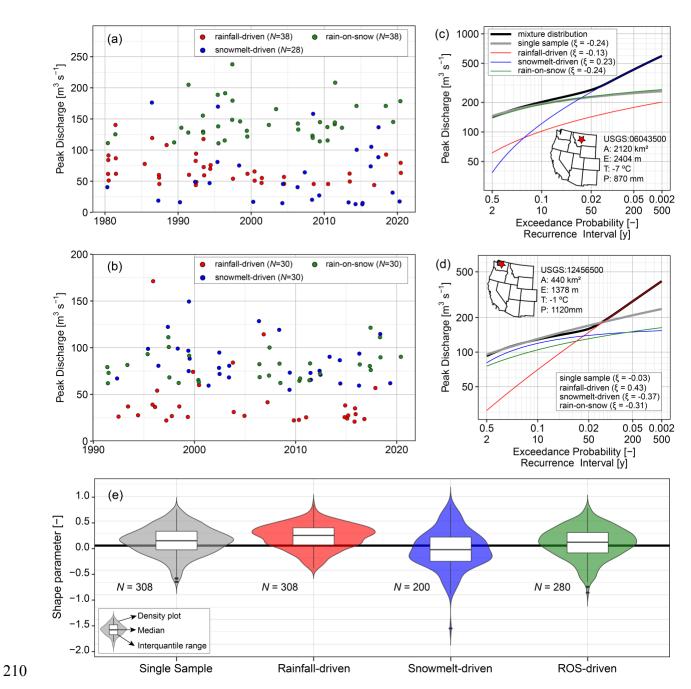
The largest rainfall- and ROS-driven flood peaks are similar in magnitude and are substantially larger than snowmelt floods (Fig. 1). At larger scales (>2,000 km²), however, there are few rainfall or ROS flood events that exceed the snowmelt envelope curve. This is likely due to rainfall partial coverage limits the magnitude of rainfall-driven and ROS-driven floods in large watersheds.

The pronounced differences between flood types evident in Fig. 1 indicate that extreme floods are almost always tied to rainfall. This result is in line with the fact that snowmelt rates are constrained by the available energy and tend to be smaller than extreme rainfall rates (e.g., Jarrett, 1989; Jarrett & Costa, 1988; Kampf & Lefsky, 2016). For example, the largest NLDAS-2 single grid cell daily rainfall depth within the study region was 415 mm, far exceeding the largest NLDAS-VIC daily snowmelt of 285 mm. Nonetheless, these envelope curves conceal important aspects of flood behavior linked to geography and elevation.

#### 4.2 Mixture Distributions and Their Prevalence

To illustrate the concept of mixture distributions and how we calculated them, we show examples from Gallatin River near Gallatin Gateway, MT and Chiwawa River near Plain, WA (Fig. 2). Though both watersheds exhibit three flood types (Fig. 2a-b), the associated GPDs are quite

- distinct (Fig. 2c-d). In both watersheds, mixture distributions resemble the single-sample GPDs
- 195 for ARIs smaller than 10-20 years, but much higher estimates for the upper tail (ARI≥100 years;
- 196 Fig. 2c-d). Similarly, estimated ARIs show substantial differences depending on whether mixture
- distributions or single-sample GPDs are used. For instance, the ARI of a 300 m<sup>3</sup> s<sup>-1</sup> event in
- Gallatin River is estimated to be  $\sim$ 70 years using the mixture distribution, but over 500 years using
- the single sample GPD.
- 200 Snowmelts dominate the tail of the mixture distribution for the higher elevation Gallatin River
- 201 (2400 masl; Fig. 2c), while rainfall-driven peaks dominate the tail for Chiwawa River (1400 masl;
- Fig. 2d). Crucially, single-sample GPDs for these sites fail to capture the upper tail flood
- 203 distribution behavior.
- Among the 308 watersheds in this study, 27 (9%) exhibit a single flood type (i.e., rainfall-driven),
- 205 while 82 (27%) and 199 (64%) exhibit mixtures of two and three flood types, respectively. GPD
- shape parameters for the rainfall peaks are generally positive while snowmelt values are negative
- 207 (Fig. 2e). LP3 shape parameters show similar patterns (Fig. S7). These findings are consistent with
- 208 the envelope curves in Fig. 1: the largest rainfall-driven floods are much more severe than the
- snowmelt-driven events except at the largest watershed scales.

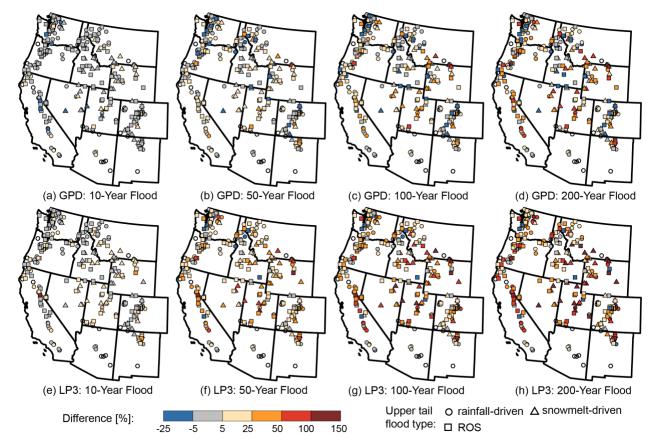


**Figure 2.** The selected peaks of three different flood groups for (a) Gallatin River near Gallatin Gateway, MT (USGS:06043500) and (b) Chiwawa River near Plain, WA (USGS:12456500). GPDs for different groups, along with mixture distributions for (c) Gallatin and (d) Chiwawa. Inset maps in (c) and (d) roughly show watershed locations. (e) Violin plots of all watersheds' single sample and distinct type GDP shape parameters.

### 4.3 Importance of Mixture Distributions in Flood Quantiles

We derived both single-sample GPD/LP3 and mixture distributions for all but 19 sites—denoted with unfilled circles in Fig. 3—which had sufficient records only to derive rainfall-driven distributions. Consistent with examples in the previous subsection, quantile estimates differ

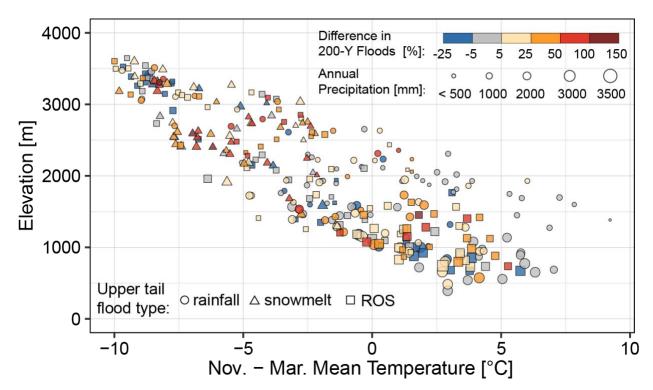
- 220 markedly at high ARIs. For 10-year floods, for example, differences between the two distributions
- are negligible (generally  $\leq \pm 5\%$ ; Fig. 3a) while for 200-year floods, the mixture distribution-based
- estimates are 50-150% higher than the single-sample GPD-based values for most watersheds (Fig.
- 223 3d). LP3-based results show even larger percent differences, indicating stronger "mixing effects"
- 224 (Fig. 3e-h).
- 225 The upper tail flood type shows geographic patterns as rainfall- and snowmelt floods dominate in
- watersheds along the Pacific Coast and the Rocky Mountains, respectively (Fig. 3). ROS upper
- tail flood types can be found throughout the study region. Irrespective of upper tail flood type, the
- 228 mixture distributions yielded >+5% higher estimates than single sample method for 100- and 200-
- year floods for a majority (57% using GPD and 74% using LP3) of watersheds. In these watersheds,
- single-sample shape parameters are smaller than the values from at least one individual flood type
- 231 (Fig. S8a).
- Forty-six (seventeen) watersheds show negative percent differences (< -5%) even for 200-year
- 233 floods between using two methods, meaning the single-sample GPD (LP3) yields higher estimates
- 234 (dark blue symbols in Fig. 3). In these watersheds, shape parameters for single-sample
- distributions are generally larger than the corresponding values from any individual flood type
- 236 (Fig. S8b). This physically-unreasonable result is due to the statistical artifact known as "skew
- separation" which results in increased skewness when mixing statistically different samples
- 238 (Dawdy & Gupta, 1995; see Section 5 for a brief explanation).
- 85 (62) watersheds showed comparable estimates (<5% difference) between the single-sample
- 240 GPD/LP3 and mixture distributions (gray symbols in Fig. 3d, 3h). This can occur in two ways: (1)
- GPD (LP3) of the three (or two) individual flood types can be similar, or (2) floods of one type are
- 242 always larger than the those of the other types. An example of the former is the Thompson River
- 243 near Thompson Falls, MT (USGS: 12389500); an example of the later is the Sauk River near
- Darrington, WA (USGS: 12186000), where rainfall floods are always higher than the snowmelt
- and ROS events (Fig. S9).



**Figure 3.** Percent differences for (a, e) 10-year, (b, f) 50-year, (c, g) 100-year and (d, h) 200-year floods between single-sample and mixture distribution methods. (a-d) and (e-h) are based on GPD and LP3 distributions, respectively. Unfilled circles represent the watersheds that only had sufficient data to model a single flood type.

# 4.4 Linkage of Watershed Characteristics to Flood Quantile Differences

We investigated differences in 200-year floods between single-sample and mixture distributions from Fig. 3d with respect to elevation, basin-averaged annual precipitation, and winter mean temperature. Annual precipitation and winter mean temperature decrease with elevation across the western US (Fig. 4). Large differences in 200-year floods are prevalent across climate and elevation regimes, except for relatively warm watersheds (winter mean temperature > 5 °C) where snow rarely accumulates and where rainfall dominates both single-sample and mixture distributions. For higher and colder watersheds, snowmelt events yield higher rare quantiles (e.g., Fig. 2c); for lower and warmer watersheds, rainfall and ROS events dominate the tail (e.g., Fig. 2d; Fig. 4). Rainfall-driven floods can also dominate the tail distribution even for watersheds at high elevation (>3000 m), consistent with previous research showing that rainfall-driven floods can occur at such elevations (especially in the intermittent snow zone; e.g., Kampf & Lefsky, 2016; Mahoney et al., 2015).



**Figure 4.** The relationship between the percent differences in 200-year floods and their explanatory variables, including winter mean temperature, annual precipitation, and watershed elevations.

#### 5 Discussion and Conclusions

This study examines the behavior of mixture flood populations and its impacts on upper tail distributions in the western US. Here, we discuss limitations of our work as well as implications for FFA practice. We reiterate that this study does not propose a method that can replace current operational FFA techniques, e.g., Bulletin 17C (England et al., 2018), but rather to highlight the potential need for such a replacement.

Envelope curves show that the largest flood events in the region are almost entirely associated with rainfall (including ROS) associated with anomalous atmospheric circulations (Gochis et al., 2015; Hirschboeck, 1987; Maddox et al., 1980); the largest snowmelt events are roughly four times smaller. 91% of the study watersheds exhibited relatively large samples (n>20 for the 1980-2020 period) of least two flood types. Distribution tail behavior further highlights key differences among flood types. Positive GPD/LP3 shape parameters, which indicate the potential for rare but very large floods, are much more common for rainfall and ROS samples than for snowmelt samples. The latter type has, on average, negative shape parameters, indicating an upper bound in flood magnitude from snowmelt tied to limited daytime net radiation.

A total of 223 (246) sites showed undesirable over- or under-estimation in GPD (LP3)-based flood quantiles resulting from neglect of mixture effects. Our results indicate that the influence of mixtures on flood frequency is most prevalent in the upper tail, i.e. above the 100-year ARI. Lower return periods are much less affected. In more than half of sites (57% using GPD and 74% using LP3), mixture distributions resulted in 200-year floods that are at least 5% larger—and much larger, in many cases—than the results of single sample distributions (Fig. 3d, 3h). In a warming

future, watersheds at lower elevations will experience less snowpack and thus reduced frequency of ROS events (e.g., Huang et al., 2018; Musselman et al., 2018), which will potentially shift ROS-dominated tails to rainfall-dominated. Meanwhile, high-elevation watersheds will see more precipitation falling as rain rather than snow (e.g., Freudiger et al., 2014; Fritze et al., 2011), which may change snowmelt-dominated tails to ROS- or rainfall-dominated. These projected changes imply further divergence between snowmelt- and rainfall-driven flood distributions.

A further 46 (seventeen) watersheds show 200-year floods greater than 5% lower using mixture distributions than using the single sample GPD (LP3). These are attributable to "skew separation" (Matalas et al., 1975)—the artificial increase in skewness that results when two or more samples from different populations are mixed. An example of this can be seen in Fig. S10. Dawdy & Gupta (1995) showed that skew separation can result from heterogeneity in flood generating mechanisms.

Taken together, the prevalence of "mixture distributions" and disparities in streamflow magnitudes associated with different types of floods seriously undercut the iid assumption that underpins much of FFA practice. Nonetheless, we take time here to highlight six limitations of our study: 1) we used a relatively small sample sizes (ranging from 20 to 41). While such samples are admittedly small to accurately capture tail behavior, sensitivity analysis showed relatively limited and predictable quantile estimation behavior as a function of sample size (Figs. S2-S5). Nonetheless, sample size issues should probably preclude usage of our results for decision-making purposes. 2) Rainfall and ROS floods in this study could have been further divided into subtypes according to their rainfall generating mechanisms (e.g., ARs vs. non-ARs; Barth et al., 2017) though at the expense of even smaller sample sizes. 3) A flood type was only included in mixture analysis if sufficient samples were available. This could in theory lead to the exclusion of anomalously large events for certain sites, if that event's type is infrequent. Due to the inclusion of the rainfall type at all sites and the bounded behavior of snowmelt floods, ROS is the only type subject to this limitation in our study region; 27 sites (9%) excluded the ROS type. 4) We neglected the role of "low floods" in arid watersheds and can color FFA results (e.g. Cohn et al., 2013)—though our usage of peaks-over-threshold observations rather than annual maxima may mitigate this issue to some extent. 5) Our usage of daily streamflow records differs from most FFA applications, which use instantaneous records. As argued in Section 3.1 (supported by Fig. S6), mixture effects are likely stronger at the instantaneous timescale, meaning our findings likely understate the importance of mixing for such FFA applications. 6) We did not assess the impacts of temporal trends in flood types on mixture results. The existence of trends would complicate analyses further. but cannot be ruled out given observed water cycle changes in the region.

This study, along with Smith et al. (2011) and Barth et al. (2017), highlights the existence of mixed flood samples stemming from a wide variety of hydrometeorological drivers (e.g. snowmelt, ROS, and rainfall from ARs, tropical cyclones, and other storm systems). Our results imply a widespread violation of the conventional FFA iid assumption in our study region; further work is needed to see whether such iid violations are common elsewhere. In addition, projected climate warming in the western US raises questions as to whether past flood observations and FFA results and methods will remain valid in the future. We believe that more explicitly process-based approaches have much to offer (see Sivapalan & Samuel, 2009; Wright et al., 2020 for expanded arguments). Here, we use large-scale LSM simulations to help separate flood samples into distinct groups to study mixture distributions. LSMs and land-atmosphere reanalyses could be used to extend this or other analyses into a longer-term and geographically broader investigation of mixture flood distributions. Even more physically-rooted FFA approaches such as Yu et al. (2019, 2020, 2021),

335 which resolve probable combinations of different hydrometeorological drivers within physically-336 based numerical model simulations, can also provide insights and test hypotheses about the 337 connections between mixed flood regimes, flood frequency, and how these are changing in a 338 warming climate. 339 **Acknowledgments** 340 GY's and DBW's contributions were supported by the U.S. National Science Foundation 341 Hydrologic Sciences Program (award number EAR-1749638). GY was also partly supported by the Maki Postdoctoral fellowship by the Desert Research Institute. FD's contributions were 342 343 supported by Stanford University. 344 **Open Research** 345 The flood data used in this study are available at: https://doi.org/10.5281/zenodo.5645525. 346 References 347 Asquith, W. H., Kiang, J. E., & Cohn, T. A. (2017). Application of at-site peak-streamflow 348 frequency analyses for very low annual exceedance probabilities (USGS Numbered Series 349 No. 2017–5038). Application of at-site peak-streamflow frequency analyses for very low 350 annual exceedance probabilities (Vol. 2017–5038). Reston, VA: U.S. Geological Survey. 351 https://doi.org/10.3133/sir20175038 352 Barth, N. A., Villarini, G., Nayak, M. A., & White, K. (2017). Mixed populations and annual flood 353 frequency estimates in the western United States: The role of atmospheric rivers. Water 354 Resources Research, 53(1), 257–269. https://doi.org/10.1002/2016WR019064 355 Berghuijs, W. R., Woods, R. A., Hutton, C. J., & Sivapalan, M. (2016). Dominant flood generating 356 mechanisms across the United States: Flood Mechanisms Across the U.S. Geophysical 357 Research Letters, 43(9), 4382–4390. https://doi.org/10.1002/2016GL068070

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