

# Observation of Degenerate Zero-Energy Topological States at Disclinations in an Acoustic Lattice

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Building upon the bulk-boundary correspondence in topological phases of matter, disclinations have recently been harnessed to trap fractionally quantized density of states (DOS) in classical wave systems. While these fractional DOS have associated states localized to the disclination's core, such states are not protected from deconfinement due to the breaking of chiral symmetry, generally leading to resonances which, even in principle, have finite lifetimes and suboptimal confinement. Here, we devise and experimentally validate in acoustic lattices a paradigm by which topological states bind to disclinations without a fractional DOS but which preserve chiral symmetry. The preservation of chiral symmetry pins the states at the midgap, resulting in their protected maximal confinement. The integer DOS at the defect results in twofold degenerate states that, due to symmetry constraints, do not gap out. Our study provides a fresh perspective about the interplay between symmetry protection in topological phases and topological defects, with possible applications in classical and quantum systems alike.

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Although originally conceived to explain electronic quantum phases of matter [1–5], topological band theory was later shown to more generally apply to wave phenomena in periodic systems [6]. Hence, it is relevant to a wide range of classical periodic systems and has recently found fertile ground in acoustic [7,8], mechanical [8], and photonic platforms [9]. For example, it provides mechanisms for the generation of robust one-way states [10,11], topologically robust corner states [12–14], and symmetry-protected bound states in the continuum [15–17] in classical wave systems, which have potential in applications such as topological wave steering [18,19] and topological lasers [20,21].

At the core of these phenomena is the existence of robust in-gap states, which are protected by a bulk-boundary correspondence; if the bulk of the material is topological, in-gap states robust against perturbations or deformations will exist at its boundaries, as long as certain symmetries are preserved. An important extension of this principle applies to specific topological defects, where the existence of topological states hinges on an interplay between the bulk topology of the lattice and the topological charge of the defect [22–27]. Notable examples include topological states bound to vortices [28–31], dislocations [32–35], and disclinations [36–40].

Topological defects allow one to bind topological states within the bulk—as opposed to the boundaries—in

periodic synthetic platforms. These states are particularly beneficial if they lie at midgap, as this guarantees both spectral isolation and maximal confinement, which in turn maximizes nonlinear effects and wave-matter interaction for sensing purposes. In order to pin topological states to midgap, chiral symmetry must be preserved. Unfortunately, in many classical systems, dislocations and disclinations often disrupt chiral symmetry as they destroy the bipartite nature of chiral-symmetric lattices. Consequently, topological states associated with these defects are not protected from deconfinement. This is the case of the recently realized disclination states of Refs. [37,38]. In them, a topological fractional density of states protects states bound to disclinations. However, the reported associated states are either (i) hybridized with bulk states forming resonances [37] or (ii) bound states fine-tuned to be in gap but not protected by symmetry to be at midgap [38].

In this Letter, we demonstrate in theory and experiments how states bound to the core of disclinations can be symmetry protected to lie at midgap in certain obstructed atomic limit (OAL) topological phases, thus ensuring spectral isolation of these states from bulk states and maximizing their confinement to the defect's core. The underlying protection mechanism arises not from the interplay of bulk and defect topologies [37,38], but from the interplay of chiral symmetry in the lattice at large, the point group symmetry of the topological defect, and the

topological phase of the lattice. Said succinctly, the protection mechanism rests on the fact that zero-energy states with opposite chiral charges—which can generally hybridize into the bulk—are prevented from doing so when they form a two-dimensional irreducible representation of some point group symmetry. The point group symmetry of the defect forces the states to be degenerate, and chiral symmetry forces them to be pinned at midgap.

To demonstrate this protection mechanism, we have devised acoustic lattices that preserve a homogeneous coupling strength across the lattice despite the curvature induced by the disclinations. We implement our protection mechanism in this acoustic system and present the first experimental observation of degenerate, symmetry-protected, midgap states at the core of topological defects in synthetic platforms. Our ability to protect multiple degenerate topological states at a single topological defect further advances the technological relevance of these states, as it increases the density of states available for lasing [20,21] or coupling to external devices.

Our acoustic lattice relies on a coupled-cavity acoustic model [41–43]. As shown in Fig. 1(a), two identical cylindrical cavities with radius  $r \approx 0.5$  cm are coupled via a tube with a deep subwavelength cross section. The length of the tube plus the diameter of the cavity is  $a_0$ , and the height of the cavity is  $h_0 \approx 4$  cm. Here, the first-order resonance (4289 Hz), which has a cosine-function acoustic profile along the cavity's axial direction with one nodal

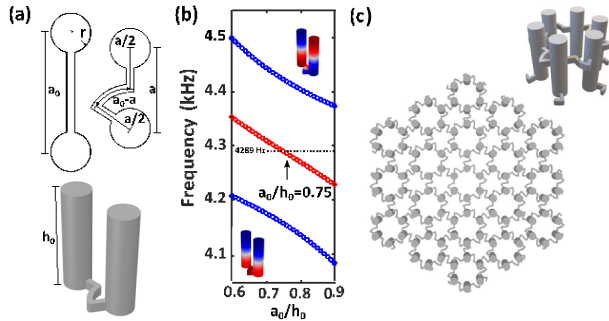


FIG. 1. (a) Top: the top view of a conventional straight tube coupled-cavity model and a coiled tube coupled-cavity model. Bottom: a 3D view of a coiled tube coupled-cavity model. (b) Frequency spectrum of the coupled cavity system with coiled coupling tubes shown in (a), bottom, as a function of the ratio between  $a_0/h_0$ . The blue markers represent the frequencies of the symmetric modes (lower frequency) and the antisymmetric modes (higher frequency). The average frequencies of the symmetric and antisymmetric modes are marked with red circles. The symmetric and antisymmetric modes are distributed symmetrically about the zero-energy level (4289 Hz) at  $a_0/h_0 \approx 0.75$ , indicating chiral symmetry. (c) The top view of the OAL(3c) honeycomb lattice with coiled coupling tubes, where the external coupling tubes are situated at the bottom of the cavity and the internal coupling tubes are located at  $h_0/4$  above the center of the cavity. The figure on the top right shows a single unit cell with coiled coupling tubes.

plane in the middle, is used as the on site orbital. To produce a chiral symmetric system, the ratio between  $a_0$  and  $h_0$  is set at an optimal value 0.75 based on the eigenmode analysis using COMSOL Multiphysics, as shown in Fig. 1(b) [43]. An acoustic honeycomb lattice is then constructed, as shown in Fig. 1(c). Our acoustic model has two salient features that enable us to investigate the symmetry-protected disclination states. First, the coupling tube can be coiled [Fig. 1(a)] while preserving its coupling strength. This feature stems from the fact that only the fundamental mode is permitted in these subwavelength channels. It then follows that the coupling is dictated by the total length of the tube rather than the separation between two cavities. Such a coiling mechanism is vital for studying deformed lattices since it allows the arbitrary placement of atoms while maintaining a homogeneous coupling strength throughout the entire lattice. As such, this system is well suited to implement disclinations, which induce curvature singularities that result in geometric distortions when projected onto flat surfaces. Second, the coupling among cavities is proportional to the local acoustic amplitudes in the cavities, which follows a cosine function along the cavity's axial length. Thus the ratio between couplings within a unit cell ( $c_{\text{int}}$ ) and couplings among neighboring unit cells ( $c_{\text{ext}}$ ) is tunable by the position of the external and internal coupling tubes [44]. We construct the honeycomb lattice with Kekule modulations of the couplings to generate two OAL topological phases, both of which are chiral symmetric [12,45,46] [Figs. 2(a) and 2(b)]. The Kekule modulation consists of having two different couplings:  $c_{\text{int}}$  within unit cells, and  $c_{\text{ext}}$  among neighboring unit cells. When  $c_{\text{int}} < c_{\text{ext}}$ , the lattice is in an OAL phase with Wannier centers at “half filling” at Wyckoff position 3c of the unit cell, as shown in the inset figure of Fig. 2(a) [See Supplemental Material [44] for more details on the OAL(3c) lattice]. On the other hand, when  $c_{\text{int}} > c_{\text{ext}}$ , the lattice is in an OAL phase with three Wannier centers at Wyckoff position 1a of the unit cell at half filling, as shown in the inset figure of Fig. 2(d). While in both phases the bulk polarization [47] vanishes due to the presence of  $C_6$  symmetry [48,49], the OAL(3c) phase has a nontrivial second-order topological index [45,49]. At  $c_{\text{int}} \approx c_{\text{ext}}$ , the lattice is in the perfect honeycomb configuration.

We introduce a disclination to the honeycomb lattices by the Volterra process of removing a  $2\pi/3$  section of a hexagonal sample [12,44,50]. Such a process generates a disclination with a Frank angle of  $2\pi/3$  and an overall  $C_{4v}$  symmetric structure, with the center of rotation at the core of the disclination. The curvature singularity deforms the lattices as shown in Figs. 2(a) and 2(d) for both OAL phases. To counter the effect of this deformation on the couplings, the coupling tubes are coiled at each site to ensure a uniform overall length (and thus coupling strength) across the entire lattice via the mechanism introduced earlier. Our configuration sacrifices a fractional

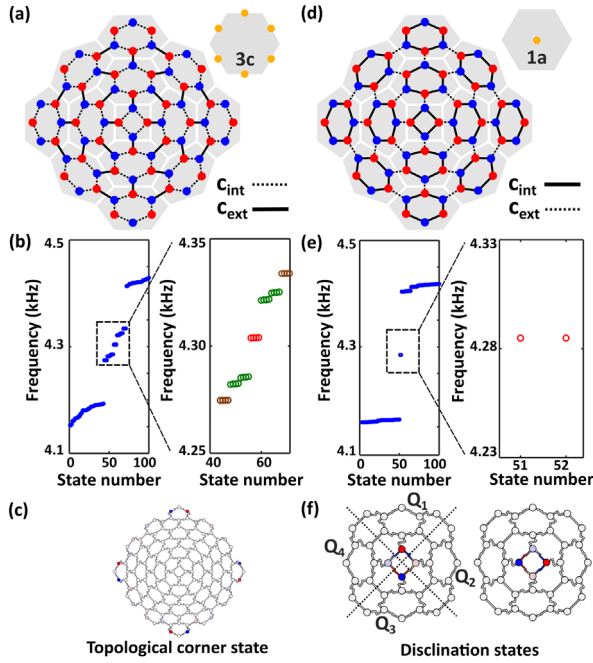


FIG. 2. (a) The OAL(3c) lattice with a  $2\pi=3$  disclination. Each shade represents one unit cell. Two different sublattices are distinguished by red and blue circles. The inset figure shows a unit cell with its Wannier centers at half filling at Wyckoff position 3c. (b) Numerically computed eigenfrequencies for the OAL(3c) structure. The topological corner states, edge states, and trivial corner states are represented by red, green, and brown circles, respectively. (c) The four degenerate topological corner states at 4304 Hz. (d) The OAL(1a) lattice with a  $2\pi=3$  disclination. The inset figure shows a unit cell with its Wannier centers at half filling at Wyckoff position 1a (threefold degenerate). (e) Numerically computed eigenfrequencies for the OAL(1a) structure. (f) The pair of degenerate disclination bound states at 4285 Hz. The dotted lines highlight the quadrants. Only the region surrounding the lattice core is shown for better visualization.

density of states at the disclination in the OAL(3c) phase (which is obtained in the same lattice but with a  $\pi=3$  disclination [37,38,49] and was the first one to predict this fractionalization of the density of states), in favor of preserving chiral symmetry [44]. As we will show, the presence of chiral symmetry and either  $C_4$  and time reversal or  $C_{4v}$  symmetries protect two degenerate states at the core of the disclinations in only one of the two OAL phases.

Chiral-symmetric systems have Hamiltonians  $h$  that obey  $\Pi h \Pi^{-1} = -h$ , where  $\Pi$  is the chiral operator. For every eigenstate  $\psi$  of  $h$  with energy  $\epsilon$  (such that  $h\psi = \epsilon\psi$ ), there is a second eigenstate  $\Pi\psi$  with energy  $-\epsilon$ . This can easily be seen by operating  $h\Pi\psi = -\Pi h\psi = -\epsilon\Pi\psi$ . Thus, the energies in a system with chiral symmetry come in pairs  $\delta\epsilon; -\epsilon$ , and their states are related by the chiral-symmetry operator  $\Pi$ . Our acoustic model is composed of a lattice with four unit cells per side. The OAL(3c) lattice hosts four topological corner states at zero energy, as shown in

Figs. 2(b) and 2(c) and reported earlier in Ref. [12]. The symmetry of the spectrum indicates that the lattice with disclination preserves chiral symmetry. Further confirmation comes from the fact that the corner states have support only on one sublattice at each corner, indicating that they are eigenstates of the chiral operator with well-defined chiral charges and thus are zero-energy states. The OAL(1a) lattice, on the other hand, does not possess corner states. However, it possesses a pair of midgap degenerate states confined to the disclination core, as illustrated in Figs. 2(e) and 2(f). These states are originally presented in this Letter and are the main finding of our paper. Tight binding simulations show similar mode distributions in the band gaps, which corroborates our COMSOL simulation results [44]. These two states have support over both sublattices, indicating that their overall chiral charge is zero; however, these two states form a 2D irreducible representation (irrep) of  $C_4$  plus time-reversal symmetry (TRS) or  $C_{4v}$  (i.e.,  $C_4$  symmetry plus reflection symmetry), which prevents them from being lifted away from the zero-energy level at 4.28 kHz. The 2D irrep of  $C_{4v}$  or  $C_4$  and TRS can be described by the basis  $\psi_{\pm} = \frac{1}{\sqrt{2}}(\delta_1 \pm i\delta_2)$ , where the entries correspond to the disclination's sites at each quadrant  $Q_i$ , for  $i = 1; 2; 3; 4$ , respectively, as shown in Fig. 2(f), left [the states in Fig. 2(f) are proportional to  $\delta_1 = \frac{1}{\sqrt{2}}(\psi_{+} - \psi_{-})$ ]. The states  $\psi$  are eigenstates of  $C_4$  and map to one another under TRS or reflection symmetry. Since  $\psi$  are a basis for the 2D irrep, they must remain degenerate in energy as long as the above-mentioned symmetries are preserved. This basis is convenient because, in the presence of chiral symmetry,  $\psi$  are chiral partners of each other, i.e.,  $\psi_{\pm} = \Pi\psi_{\mp}$  and vice versa, from which it follows that these two states should have energies of opposite sign,  $\epsilon, -\epsilon$ . Thus, under  $C_{4v}$  symmetry or  $C_4$  symmetry plus TRS, as well as chiral symmetry,  $\psi$  must both have  $\epsilon = 0$  identically. In contrast, the OAL(3c) phase does not enclose the 2D representation at the core (only at its corners), and thus it does not trap zero-energy states at the disclination core.

We have experimentally measured two samples corresponding to the OAL(1a) and OAL(3c) lattices containing the  $2\pi=3$  disclination. Only the results of the OAL(1a) lattice are discussed here, while the OAL(3c) results showing corner states can be found in the Supplemental Material [44]. An illustration of the OAL(1a) acoustic lattice is shown in Fig. 3(a). The internal and external coupling tubes are machined on two separate aluminum blocks as shown in Fig. 3(b) and then stacked together. We measure both the bulk and disclination responses of the acoustic lattice, and the results are shown in Fig. 3(c). Details of the experiment can be found in the Supplemental Material [44]. The bulk spectrum shows a gap around 4.3 kHz, while the disclination core response shows a single peak located at the midgap and two lower peaks within the bulk band frequencies. The symmetry of the two



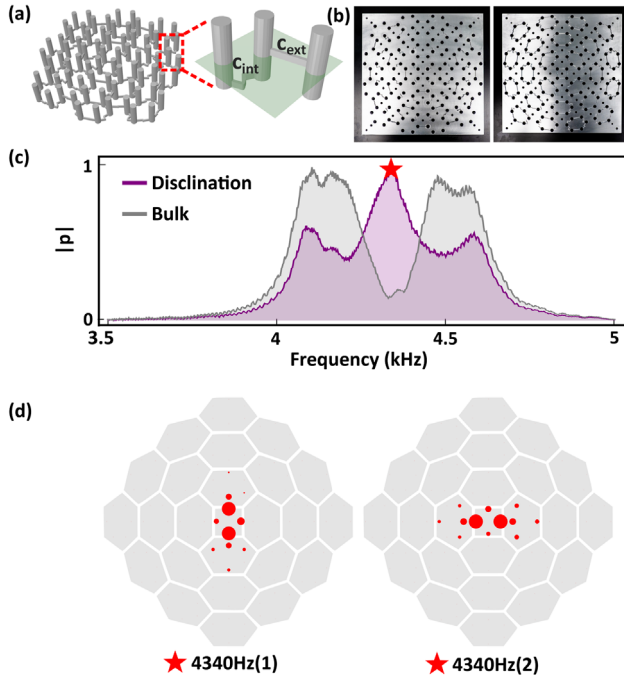


FIG. 3. (a) Top panel: the acoustic OAL(1a) lattice. Only the inner three by three unit cells are shown here for better visualization. Bottom panel: a close-up view of three cavities in the dashed line box shows the position of the external and internal coupling tubes. The transparent cut plane indicates the interface between the two layers used to construct the experimental acoustic sample. (b) Photographs of the OAL(3c) acoustic lattice sample with its cavities (the larger holes) and coupling channels. The two blocks are stacked and then sealed to form the coupled-cavity lattice. The smaller holes without tubes are for mounting purposes. (c) Spectra of the normalized pressure amplitude  $|p|$  of the disclination (purple) and bulk (gray) states. The degenerate disclination states are marked with the red star (two degenerate states at 4340 Hz). (d) The pressure distribution maps of the two disclination states at the frequency marked by the red star in (c). The area of the circle represents the amplitude of the pressure. Note that the entire lattice is measured, but the pressure amplitudes are too weak away from the disclination core.

spectra around midgap is a signature of the well-preserved chiral symmetry in the acoustic lattice. We then raster-map the response profile in the entire lattice by measuring the pressure amplitude at the top of each cavity. The results show that the midgap peak indeed corresponds to the pair of degenerate, symmetry-protected disclination bound states, as shown in Fig. 3(d). These two states are at 4340 Hz, slightly off from the numerically predicted frequency (4285 Hz) due to fabrication variations. The degenerate disclination bound states are orthogonal to one another, and thus they must be separately excited. The other two lower peaks within the bulk band frequencies result from two states at the disclination which are orthogonal to midgap ones and are not maximally localized.

Since the symmetry representations of the states within a topological phase in the lattice are stable as long as the

symmetries are preserved, our protection mechanism is robust to symmetry-preserving perturbations. In a chiral-symmetric lattice, our zero-energy states can be removed from the core only upon a topological phase transition from the OAL(1a) phase to the OAL(3c) phase, where a reconfiguration of the irreps occurs (the 2D irrep of the zero-energy states moves from the disclination core to the corner states). To examine the robustness of the disclination bound states, we have conducted additional simulations with different types of perturbations to the disclination core, and the results can be found in the Supplemental Material [44], along with additional discussion on the protection mechanism of the zero-energy disclination modes.

In conclusion, we have theoretically and experimentally studied a mechanism that protects the maximal confinement of states at topological defects with chiral symmetry. Our mechanism relies on the interplay of the point group symmetry of the topological defect and the topological phase of a lattice. The sonic midgap disclination states not only could inspire new routes for controlling acoustic local density of states for sound emission control [51], but also pave the way for novel energy transportation mechanisms via topological disclination pumps [40]. In addition to acoustics, our theory can be potentially applied to other waves such as electromagnetic waves, and is equally applicable to quantum systems in condensed matter physics. We finally note that the conclusion of this study can be extended to other Frank angles. The simplest example is a disclination with Frank angle  $-2\pi/3$ , which also possesses  $C_{4v}$  symmetry [44]. More generally, chiral symmetry and a point group symmetry with an  $N$ -dimensional representation could protect  $N$  degenerate states at a topological defect.

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