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Author for correspondence:

James C. Lamsdell,

Email: james.lamsdell@mail.wvu.edu

A new species of the Ordovician horseshoe crab *Lunataspis*

James C. Lamsdell¹ , Phillip A. Isotalo², David M. Rudkin³ and Markus J. Martin⁴

¹Department of Geology and Geography, West Virginia University, 98 Beechurst Avenue, Morgantown, WV 26506, USA; ²93 Napier Street, Kingston, Ontario K7L 4G2, Canada; ³Department of Natural History, Royal Ontario Museum, 100 Queen's Park, Toronto, Ontario M5S 2C6, Canada and ⁴371 Pawling St, Watertown, NY 13601, USA

Abstract

Horseshoe crabs as a group are renowned for their morphological conservatism punctuated by marked shifts in morphology associated with the occupation of non-marine environments and have been suggested to exhibit a consistent developmental trajectory throughout their evolutionary history. Here, we report a new species of horseshoe crab from the Ordovician (Late Sandbian) of Kingston, Ontario, Canada, from juvenile and adult material. This new species provides critical insight into the ontogeny and morphology of the earliest horseshoe crabs, indicating that at least some Palaeozoic forms had freely articulating tergites anterior to the fused thoracetrone and an opisthosoma comprising 13 segments.

1. Introduction

Xiphosurans (commonly referred to as horseshoe crabs) are aquatic chelicerate arthropods with an extensive fossil record stretching back to the Ordovician (Rudkin *et al.* 2008) but a seemingly low standing diversity with only 76 species described to date throughout the entirety of their 445 Ma evolutionary history (Lamsdell, 2020). Despite being rare components of aquatic ecosystems, the four extant horseshoe crab species have long been recognized as being biomedically important for vaccine production (Das *et al.* 2015) and keystone components of their ecosystems in need of active conservation (Karpanty *et al.* 2006), and are the focus of extensive research (Lamsdell, 2022a). Fossil horseshoe crabs have also been the subject of numerous evolutionary studies, mostly related to the notion that horseshoe crabs are quintessential 'living fossils' exhibiting low rates of evolutionary change manifesting in long-term bradytely and morphological stasis (Fisher, 1984, 1990; Kin & Błażejowski, 2014), potentially driven by a generalist ecology. This traditional narrative has been overturned in recent years, with extinct horseshoe crabs shown to have greater ecological and morphological diversity than modern forms (Lamsdell, 2016) and a number of xiphosuran clades exhibiting marked shifts in morphology linked to heterochronic shifts in development as they occupy non-marine environments (Lamsdell, 2021a, b). Despite these morphological and ecological changes, horseshoe crabs are thought to have maintained a consistent post-embryonic developmental trajectory (Lamsdell, 2021a; Bicknell *et al.* 2022) and exhibit a neuroanatomy conserved at least since the Carboniferous (Bicknell *et al.*, 2021b), making xiphosurans an important group for studying the patterns and drivers of mosaic evolution (Hopkins & Lidgard, 2012; Hunt *et al.* 2015).

Our understanding of horseshoe crab diversity trends has changed drastically in the last decade. The removal of synziphosurines – a polyphyletic grouping of stem and crown euchelicerates (Lamsdell, 2013; Lamsdell *et al.* 2015) – from Xiphosura reduced early Palaeozoic horseshoe crab diversity to a rump, while the synonymy of some 15 species of *Belinurus* (Lamsdell, 2022b) severely depleted the recognized acme of xiphosuran diversity during the Carboniferous. Conversely, the trough in diversity during the Jurassic has been somewhat ameliorated with the description of two new species from the Hettangian (Bicknell *et al.*, 2021a) and Sinemurian (Lamsdell *et al.* 2021). One trend that has remained consistent, however, is the paucity of horseshoe crab species early on in their evolutionary history, with only a single species currently described from the Ordovician (Rudkin *et al.* 2008) and none known from the Silurian. With the early evolution of the group so poorly represented in the fossil record, any new early Palaeozoic discoveries have the potential to provide critical information on horseshoe crab origins. Here, we describe three horseshoe crab specimens from the Upper Ordovician of Ontario, Canada, as a new species congeneric to *Lunataspis aurora*, the only other previously described Ordovician xiphosuran. Critically, this new species is represented by multiple instars and as such affords a view into xiphosuran ontogeny at the very start of their known fossil record, permitting exploration of whether the conserved xiphosuran developmental trajectory has been maintained since the origins of the clade.

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2. Material and methods

2.a. *Lunataspis borealis* material and specimen visualization

Lunataspis borealis sp. nov. is described from three specimens, all housed in the collections of the Royal Ontario Museum (ROM), Toronto, Canada, and recovered from Kingston, Ontario, Canada. The holotype specimen (ROM IP 64616) is a mostly complete adult individual while the two paratypes (ROM IP 64617 and 64618) are juveniles or subadults preserving the prosoma and thoracetrone along with parts of the postabdomen. The fossil material was photographed using a Canon EOS 5D Mark IV digital camera with a Canon EF 100 mm Macro lens. All specimens were imaged immersed in ethanol under polarized light.

2.b. Geological setting

All specimens of *Lunataspis borealis* sp. nov. are derived from the Upper Member of the Gull River Formation, Simcoe Group, Upper Ordovician (Late Sandbian), where it is exposed in the north face of an inactive quarry on the east side of Division Street, south of Benson Street, Kingston, Ontario. Specimens were excavated from a shaly limestone interval c. 4 cm in thickness, immediately below a massive micritic unit in turn located 70–80 cm beneath the top of the exposed section. There is considerable historical controversy regarding the nomenclature of Upper Ordovician lithostratigraphic units in the Kingston area, with some authors having applied terminology based on that of correlative Black River Group strata in New York State (McFarlane, 1992; Cornell, 2000), while others employ the formational names established or modified by Liberty (1969, 1971) for the Lake Simcoe and Kingston areas in Ontario (Carson, 1982; LeBaron & Williams, 1990; Mitchell *et al.* 2004). Mapping by Carson (1982) shows the discovery quarry located in his middle member (3B) of a tripartite Gull River Formation. Subsequent revision (LeBaron & Williams, 1990) resulted in a local twofold division of the Gull River, with the discovery site falling within a redefined upper member. Following NYS terminology, McFarlane (1992) placed the uppermost portion of the discovery quarry section (approximately the top 6 m) in the Lowville Formation. Cornell (2000) showed what appears to be the same section high in the Lowville, equivalent to the upper Gull River Formation (Moore Hill beds of Okulitch (1939)). More recently, revised regional identification of key K-bentonite horizons and of biostratigraphically significant conodont faunas (Mitchell *et al.* 2004) suggests the discovery section falls in the M3 sequence of Holland and Patzkowsky (1996), correlative with the *Belodina compressa* Chronozone. We herein follow the scheme in use by the Ontario Geological Survey (Armstrong & Carter, 2010).

Late Ordovician strata in the Kingston area form part of a broad, warm-water carbonate shelf succession within the St Lawrence Platform tectonic province (Sanford, 1993). Deposition took place along the southern margin of equatorial Laurentia at c. 20° S latitude (Mac Niocaill *et al.* 1997). Near Kingston, gently southeastward-dipping Ordovician rocks are bounded to the north and east by the Frontenac Arch which brings underlying Proterozoic (Grenville Province) basement to the surface (Hewitt, 1964). The main expression of the arch lies less than 10 km from the discovery locality, but because the Precambrian surface has an irregular topographic relief, at several sites within 3–7 km of the quarry, granitic and metamorphic basement rocks come very close to the surface or are exposed as outliers. These Precambrian topographic highs represent small islands or shoal areas within the shallow Gull River sea, just offshore of the fully emergent arch. At some of these

locations, so-called basal beds of the Shadow Lake Formation contain large angular fragments of Proterozoic crystalline rocks incorporated into high-energy beach sands, which is compelling evidence of an island shoreline probably within a few metres laterally. Gull River carbonates lie just above these basal beds. Fossil-bearing beds of the Upper Member of the Gull River Formation near the top of the discovery quarry were likely formed under oscillating but only slightly deeper (shallow subtidal/peritidal) and quieter water (lagoonal) conditions in a protected area amidst shoals and small islands. Bathyrine trilobite sclerites were found at the same shaly horizon and in direct association with one of the xiphosurid specimens. The thicker micritic beds above and below this horizon yielded a sparse normal marine fauna of isoteline and bathyrine trilobite sclerites, leperditid valves, tetradiid fragments and strophomenate brachiopods.

It is unclear whether the xiphosuran fossils represent moults or carcasses. Trace fossils are present on the bedding surface immediately below the thin shaly interval in which the three specimens were found, but there is no obvious bioturbation within the shale itself. The available evidence suggests the specimens were buried rapidly in fine-grained sediment under relatively low-energy conditions, with minimal compaction and no subsequent physical or biological disturbance, resulting in unique preservation of the small, relatively intact, non-mineralized xiphosuran exoskeletons.

3. Systematic palaeontology

Chelicerata Heymons, 1901

Xiphosura Latreille, 1802

Xiphosurida Latreille, 1802

Lunataspis Rudkin, Young and Nowlan, 2008

Lunataspis borealis sp. nov.

Etymology. The species name *borealis* is Latin for ‘northern’ and refers to the northerly latitude from which the species is known.

Holotype. ROM IP 64616 (Fig. 1a, d), complete adult specimen in dorsal view preserving the dorsal prosomal carapace, thoracetrone, postabdomen and telson.

Additional material. Paratypes ROM IP 64617 (Fig. 1b, e), incomplete juvenile or subadult specimen in dorsal view preserving dorsal prosomal carapace and thoracetrone, and ROM IP 64618 (Fig. 1c, f), incomplete juvenile or subadult specimen in dorsal view preserving the dorsal prosomal carapace, thoracetrone and postabdomen.

Localities and horizon. Upper Member of the Gull River Formation, Simcoe Group, Upper Ordovician (Late Sandbian), in Kingston, Ontario, Canada (44° 15' 52.7" N, 76° 29' 46.3" W).

Diagnosis. *Lunataspis* with cardiac node positioned at base of cardiac lobe; lateral eyes located along middle of prosomal carapace length.

Description. ROM IP 64616 comprises the prosomal carapace, thoracetrone, postabdomen and telson in dorsal view preserved in positive relief. Maximum preserved length 37.0 mm, missing the very tip of the telson. Prosomal carapace 11.6 mm long, 18.8 mm wide, semicircular in outline. Cardiac lobe indistinct. Cardiac node, 1.0 mm long by 1.0 mm wide, located centrally at carapace posterior. Reniform lateral eyes faintly visible, 1.4 mm long by 0.5 mm wide, located 3.6 mm from carapace lateral margin and 5.6 mm from carapace posterior. Marginal rim indistinct. Genal spines extending 4.8 mm beyond prosomal carapace posterior, narrowing distally from width of 4.0 mm proximally. Thoracetrone 7.7 mm long, 14.1 mm wide anteriorly narrowing

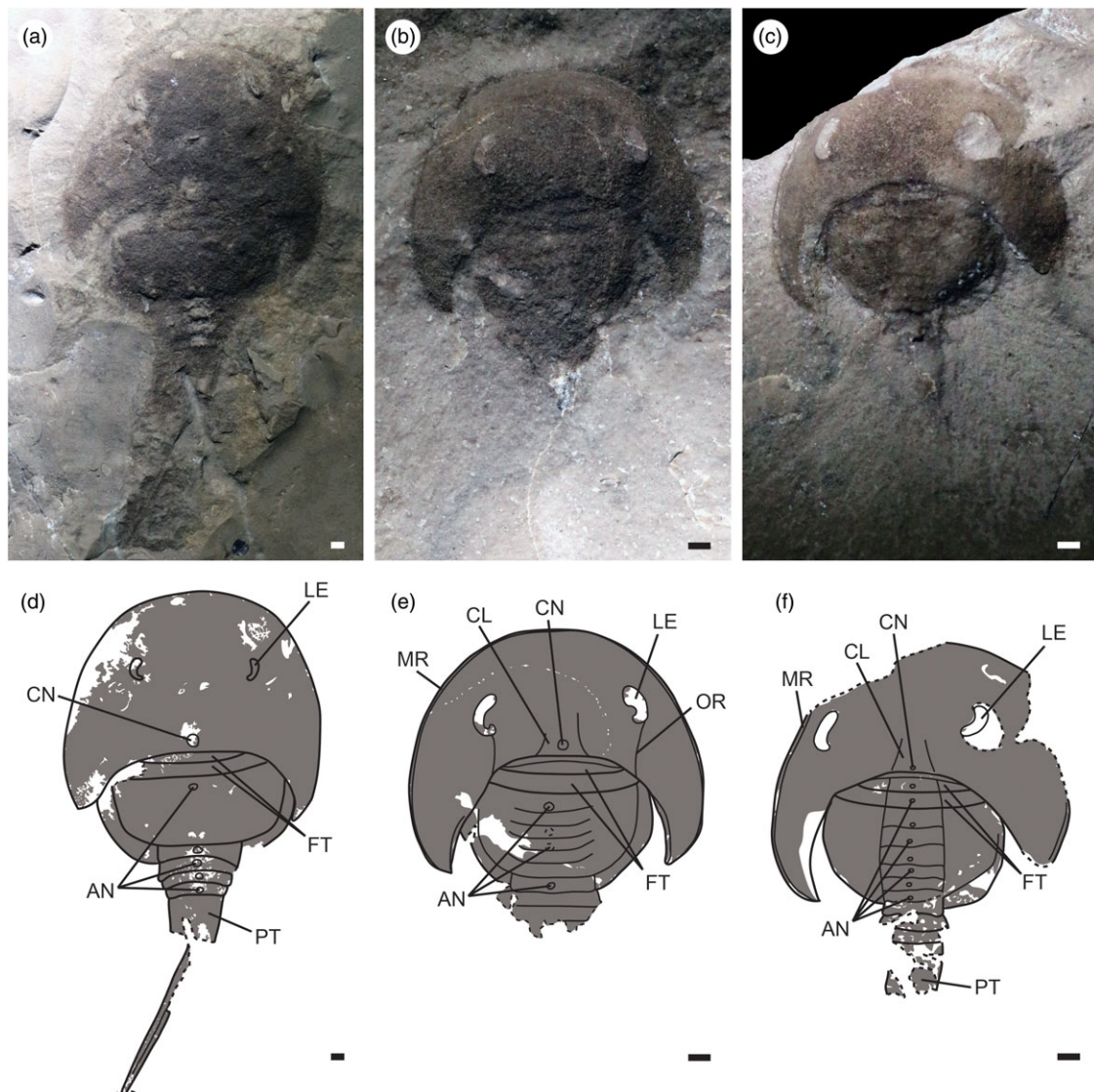


Fig. 1. (Colour online) *Lunataspis borealis* sp. nov. specimens and interpretive drawings. (a) ROM IP 64616. (b) ROM IP 64617. (c) ROM IP 64618. (d) Interpretive drawing of ROM IP 64616. (e) Interpretive drawing of ROM IP 64617. (f) ROM IP 64618. Grey indicates preservation of organic cuticular material. All scale bars = 1 mm. Abbreviations: AN, axial node; CL, cardiac lobe; CN, cardiac node; FT, free tergites; LE, lateral eye; MR, marginal rim; OR, ophthalmic ridge; PT, pretelson.

to 10.1 mm wide posteriorly, broadly rectangular in outline. Number of tergites in thoracetron unknown. First two thoracetron tergites freely articulating, first tergite 0.9 mm long, second tergite 1.0 mm long. Flattened pleural region, potentially corresponding to the ventral doublure, extends around the thoracetron margin posterior to the freely articulating segments and is 1.3 mm wide. Axial region of thoracetron indistinct. Vestigial differentiation of final thoracetron segment axis in line with thoracetron pleural region. Postabdomen composed of three freely articulating segments and pretelson. First postabdominal segment 1.0 mm long and 5.7 mm wide, second 0.9 mm long and 4.9 mm wide, third 0.8 mm long and 4.0 mm wide. Pretelson elongated, 3.2 mm long and 3.4 mm wide. Axial nodes, 0.8 mm long and 0.8 mm wide, present at thoracetron anterior and each postabdominal segment. Telson long, styliform, preserved length 12.5 mm, 0.9 mm wide.

ROM IP 64617 comprises the prosomal carapace, thoracetron, and proximal postabdominal segments in dorsal view preserved in negative relief. Maximum preserved length 13.7 mm, missing the

posterior postabdominal segments and telson. Prosomal carapace 5.8 mm long, 13.1 mm wide, lunate in outline. Cardiac lobe 2.0 mm wide at base. Cardiac node, 0.4 mm long by 0.4 mm wide, located centrally on cardiac lobe. Reniform lateral eyes, 1.7 mm long by 0.5 mm wide, located 2.2 mm from carapace lateral margin and 1.9 mm from carapace posterior. Faint ophthalmic ridge located posterior to lateral eyes, extending to carapace posterior in line with fulcrum of thoracetron pleural region. Narrow (0.2 mm) marginal rim extends to distal portion of genal spines. Genal spines extending 4.4 mm beyond prosomal carapace posterior, narrowing distally from width of 3.4 mm proximally. Thoracetron 5.9 mm long, 7.7 mm wide anteriorly narrowing to 5.3 mm wide posteriorly, semicircular in outline. Thoracetron composed of eight tergites. First two thoracetron tergites freely articulating, both tergites 0.7 mm long. Flattened pleural region, potentially corresponding to the ventral doublure, extends around the thoracetron margin posterior to the freely articulating segments and is 0.5 mm wide. Axial region of thoracetron exhibiting faintly visible vestigial

differentiation of six fused tergites, sixth axial segment in line with thoracetrone pleural region. Postabdomen composed of at least three freely articulating segments. Axial nodes poorly preserved but appear to be present on fused thoracetrone segments.

ROM IP 64618 comprises the prosomal carapace, thoracetrone and postabdomen in dorsal view preserved in positive relief. Maximum preserved length 13.5 mm, missing the telson. Prosomal carapace 6.2 mm long, 13.7 mm wide, lunate in outline. Cardiac lobe 2.8 mm wide at base. Cardiac node, 0.4 mm long by 0.4 mm wide, located centrally on cardiac lobe. Reniform lateral eyes, 1.8 mm long by 0.6 mm wide, located 4.0 mm from carapace lateral margin and 2.1 mm from carapace posterior. Narrow (0.3 mm) marginal rim extends to distal portion of genal spines. Genal spines extending 5.2 mm beyond prosomal carapace posterior, narrowing distally from width of 2.6 mm proximally. Thoracetrone 6.2 mm long, 8.6 mm wide anteriorly narrowing to 6.3 mm wide posteriorly, semicircular in outline. Thoracetrone composed of eight tergites. First two thoracetrone tergites freely articulating, both tergites 0.7 mm long. Flattened pleural region, potentially corresponding to the ventral doublure, extends around the thoracetrone margin posterior to the freely articulating segments and is 0.5 mm wide. Axial region of thoracetrone with axis margins in line with cardiac lobe. Vestigial differentiation of six fused thoracetrone tergites visible within axis, sixth axial segment in line with thoracetrone pleural region. Postabdomen composed of three freely articulating segments and pretelson. First postabdominal segment 0.5 mm long, second 0.5 mm long, third 0.4 mm long, lateral margins indistinct. Pretelson elongated, 2.4 mm long. Axial nodes, 0.3 mm long and 0.3 mm wide, present on thoracetrone segments.

4. Discussion

Lunataspis borealis sp. nov. exhibits a number of traits considered diagnostic of the genus *Lunataspis*, including the large, lunate prosomal carapace with a low cardiac lobe and a shallow U-shaped posterior embayment (Rudkin *et al.* 2008). The original diagnosis for *Lunataspis* indicates that the thoracetrone is completely fused and composed of six or seven sclerites while the postabdomen consists of three tergites with a medially constricted telson. *Lunataspis borealis*, however, possesses two freely articulating tergites anterior to a thoracetrone comprising six sclerites with a postabdomen of four tergites, the last of which is an elongated pretelson. These differences would be enough to place the new species in a new genus; however, large specimens of *Lunataspis aurora* show that two freely articulating segments exist anterior to the thoracetrone (Young *et al.* 2012) while other specimens demonstrate that the 'constriction' of the telson of *Lunataspis aurora* in the original description is actually the articulation between a narrow telson and an elongated pretelson (Rudkin & Young, 2009), as in *Lunataspis borealis*. The new species described here, while congeneric with *Lunataspis aurora*, can be clearly differentiated based on its position of a large node located at the base of the shallow cardiac lobe and the position of the lateral eyes along the median third of the carapace length compared to the posterior third in *Lunataspis aurora*. The discovery of *Lunataspis borealis* demonstrates that multiple roughly coeval species of *Lunataspis* occupied the shallow seas of Laurentia during the Late Ordovician and extends the range of the genus from Manitoba into eastern Ontario.

The three available specimens of *Lunataspis borealis* comprise at least two distinct instars, with the larger holotype (ROM IP

64616; Fig. 1a) representing an adult or subadult, while the paratypes (Fig. 1b, c) are smaller juvenile individuals. Although the ontogenetic data for the new species is limited to two instars, a number of changes are apparent. The overall proportions of the animal change, with the prosoma increasing from being equal in size to the thoracetrone in juveniles to half again the thoracetrone size in adults. Within the prosoma, the lateral eyes exhibit negative allometry, being proportionally smaller in the adult specimen compared to the juveniles, and shift to being more centrally positioned along the carapace length compared to their more posterior position in the juveniles. The genal spines also proportionally reduce in length, accounting for around a third of the total prosomal carapace length in the adult compared to just under half the prosomal carapace length in the juvenile specimens. The thoracetrone also undergoes a shift from a semicircular outline in the juveniles to a markedly rectangular shape in the adult specimen.

The ontogeny of *Lunataspis borealis* reveals conflicting adherence to the generalized ontogenetic trajectories identified across Xiphosura (Lamsdell, 2021a), with different tagma (Lamsdell, 2013) exhibiting different trends, suggesting some degree of developmental modularity. The changes observed within the thoracetrone, specifically the transition from a semicircular to a more angular outline and the apparent reduction of visible tergite margins and axial nodes, fit the previously identified trends. Conversely, the migration of the lateral eyes anteriorly as the organism matures, the decrease in the proportional length of the genal spines, and the negative allometry of the lateral eyes result in the structures of the prosoma exhibiting a general opposite trend to that inferred for other xiphosurans. Interestingly, a decrease in both the length of genal carapace extensions and lateral eye size is observed in eurypterid ontogeny (Lamsdell & Selden, 2013). As the generalized developmental trajectory for Xiphosura is recognized in both Belinurina and Limulina (Lamsdell, 2021a; Bicknell *et al.* 2022) it is possible that *Lunataspis*, which resolves as the basal-most xiphosuran outside of the Belinurina and Limulina clades, is exhibiting a mixture of ancestral and derived ontogenetic trajectories and that the highly conserved developmental trajectory of Xiphosura developed somewhere within its stem lineage.

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Conflict of interest. None.

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