

# **Rural Alaska water treatment and distribution systems incur high energy costs: identifying energy drivers using panel data-analysis for 78 communities**

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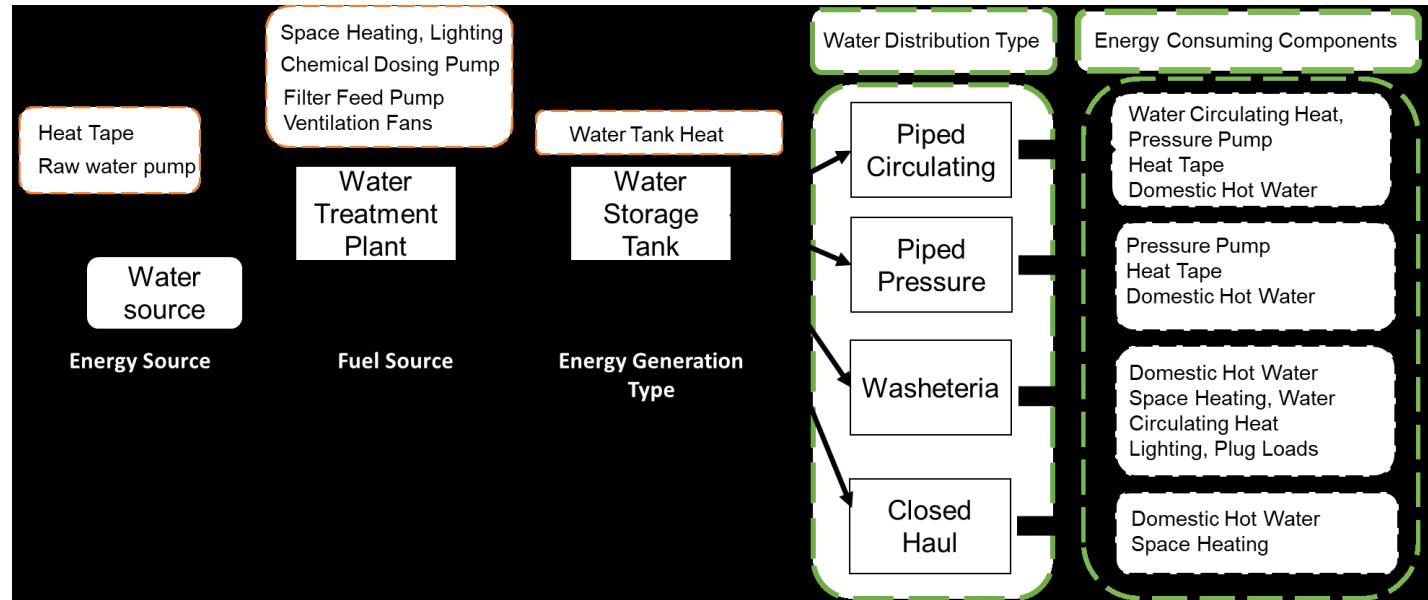
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## GRAPHICAL ABSTRACT



1    **ABSTRACT**

2    The energy consumption for water treatment and distribution in rural Alaska communities, that  
3    represent one of the coldest and most isolated regions in the U.S., has been unexplored. Using  
4    energy audits data from Alaska Native Tribal Health Consortium (ANTHC), we investigate the  
5    annual energy consumption patterns for water treatment and distribution in 78 rural Alaska  
6    communities (average population <500 people) along with seasonal, regional, and population  
7    variability, and water treatment/distribution system types. Regional trends of per capita annual  
8    energy consumption are as follows: Interior > Northern > Southwest > Gulf coast > Southeast  
9    regions of Alaska. Our results indicate that the per capita energy consumption is highest during  
10   the winter and lowest during the summer. Generally, the per capita energy consumption decreases  
11   with an increasing population. The variation of per capita energy consumption based on water  
12   distribution types shows that piped circulating systems consume the most energy, followed by  
13   washeteria, piped pressure, and closed haul. At the water treatment plant, space heating and  
14   electrical motors have the highest per capita energy consumption, followed by domestic hot water,  
15   tank heating, and lighting. Overall, the findings suggest that per capita energy consumption  
16   (kWh/p) for water treatment and distribution in rural Alaska is about 12-26 times higher than the  
17   national average and about two orders of magnitude higher economic costs for the same.

18    **Keywords.** *Rural Alaska; Water-Energy nexus; Water Distribution system; Water Treatment*  
19    *Plant; Oil; Energy consumption.*

20    **Synopsis.** Water treatment and distribution in rural Alaska is energy intensive with spatiotemporal  
21   variation depending on population, and distribution system types.

22 **1. INTRODUCTION**

23 While the availability of water resources is a basic life necessity essential for economic and social  
24 well-being – water supplies are being increasingly threatened due to climate change and increased  
25 demand.<sup>1-4</sup> The United States Geological Survey has reported that around 39,000 Mgal/day of  
26 water was withdrawn in 2015 for public water supply, which constituted almost 14% of total  
27 freshwater withdrawn that year.<sup>5</sup> Overall, water systems are responsible for consumption of nearly  
28 4% of electricity produced in the United States.<sup>6,7</sup> Gradual decrease in non-renewable energy  
29 resources and deterioration of source waters poses a significant threat to continually meet high  
30 demand. In community water systems, energy is utilized for water source and conveyance,  
31 treatment, and distribution. Total energy consumption by water systems has been reported to be  
32 around 1500-3500 kWh/Mgal.<sup>8</sup> Overall, research in the area of ‘energy-for-water’ is currently  
33 underdeveloped across the U.S. and beyond, owing, in part, to a general lack of systematic process  
34 for energy data collection and archival at water utilities.<sup>9</sup> Alaska, the largest state in the U.S., is no  
35 exception to this larger trend. With harsh climate and being home to more than 200 remote and  
36 isolated communities, it is well documented that water and sanitation conditions in Alaska are one  
37 of the poorest in the nation.<sup>10-12</sup> The logistical challenges for the largest state in the country make  
38 data collection even more difficult. Community members and Tribal leaders all recognize that it is  
39 much more expensive to provide water services in remote Alaskan communities, than those in  
40 urban communities in Alaska or the contiguous U.S. There is, however, a woeful lack of specific  
41 data related to enhanced costs for these essential services in Alaskan communities – which  
42 motivates the analyses presented here.

43 Most of the rural communities in Alaska are inaccessible by road,<sup>13</sup> and 32 have no piped water  
44 distribution systems (unserved communities).<sup>14</sup> Also, most rural Alaskan communities are not

45 connected to a large electrical grid, and instead operate individual diesel-powered microgrids.<sup>15</sup>  
46 These microgrids power the water and sewer utilities, that are built to withstand cold weather and  
47 permafrost ground conditions and thus consume more energy than those in the contiguous U.S.  
48 For example, pumping water and wastewater through the system accounts for 8-9% of the energy  
49 use of a piped system,<sup>16</sup> compared to the national average of 0.59%.<sup>17</sup> Water and sewer utilities  
50 typically use oil-based boilers for space and water-tank heating,<sup>18</sup> and the emergency back-up  
51 generators are diesel powered. To keep water from freezing in winter, electric heat tape is used in  
52 water distribution service lines.

53 Various types of water distribution systems are in place in rural Alaska including standard  
54 distribution, circulating distribution, individual wells, and closed haul.<sup>19</sup> Approximately 105  
55 communities have standard distribution or circulating distribution systems, and 11 communities  
56 rely on covered haul systems<sup>19</sup> where water is delivered to homes using trucks and all-terrain  
57 vehicles (ATVs), and stored in cisterns.<sup>20</sup> Gasoline is used to power the trucks, ATVs, and snow  
58 machines for hauling water to homes. Electricity is used to pump water from the wells. Piped  
59 systems use electricity produced by diesel-fueled generators to pump water and wastewater  
60 through the pipes and in circulating systems (that continuously circulate water through the system  
61 to keep it from freezing in sub-zero temperatures). Wastewater is conveyed from a lift station to  
62 the sewage lagoon (typically) or wastewater treatment plant (rarely in rural Alaska). The distances  
63 of households from the pumping station and between each other impacts the energy usage for piped  
64 services for low-pressure or vacuum sewer systems. In winter, the water must be continuously  
65 heated to prevent freezing. Nonetheless, some piped water systems freeze every winter,<sup>21,22</sup> and  
66 operators try to prevent whole-system freeze-ups by applying heat tape and using blow torches on  
67 frozen pipes, both of which are energy intensive. Piped pressure systems are unidirectional water

68 distribution lines that maintain pressurized water supply at the user end. On the other hand, piped  
69 circulating distributions systems maintain a circulating loop of water to keep it constantly moving  
70 which avoids water freezing (Figure S3). Most unserved communities have washeterias, which are  
71 centralized facilities with washers, dryers, showers and taps for treated water. These washeterias  
72 are unique to Alaska, are owned and operated by local governments and viewed as a cost-effective  
73 way to provide community access to treated water. These are like community laundromats in other  
74 rural regions but also have facilities for personal hygiene.

75

76 High energy costs for water along with hindered access to clean water negatively impact water-  
77 use practices in the rural Alaskan communities. An average of 64 Mgal of water is withdrawn and  
78 supplied every day in the entire state, with the average Alaskan using around 90 gal of water per  
79 day.<sup>23</sup> However, a survey of 21 rural northeastern Alaska communities reported that, in  
80 communities without piped water distribution facilities (unserved), each person uses only an  
81 average of 2.4 gal of water per day,<sup>24</sup> which is well below the World Health Organization's  
82 recommended >13.5 gal/day to ensure low levels of health concern.<sup>25</sup> In fact, rural Alaska has the  
83 lowest access rate to in-home water services within the United States. Residents in unserved  
84 communities self-haul water to their homes and haul their waste away.<sup>10</sup> Households rely on  
85 washbasins for handwashing and on "honey buckets" – buckets with a toilet seat on top – for  
86 toilets. In a recent study, 80% of participating households (in two remote, unserved communities)  
87 reported reusing washbasin water an average of 3 times before changing the water.<sup>12</sup> These result  
88 in a substantially lower standard of living, with unserved communities experiencing higher rates  
89 of skin, gastro-intestinal and respiratory diseases.<sup>24</sup>

90

91 It seems, thus, that there are several converging factors that contribute to this present scenario  
92 regarding water access and use patterns in rural Alaska – namely higher energy needs for water  
93 services, higher costs of energy itself, and lack of adequate water infrastructure in all communities  
94 or rural households. Consequently, it is critical to look at the provision of water treatment and  
95 distribution through an energy lens to help facilitate sustainable water infrastructure and public  
96 health for Arctic residents. Yet, to our knowledge, there are no studies on energy consumption for  
97 water treatment and distribution systems in rural Alaska. There is a gap between community needs  
98 and current understanding of energy use patterns, which hinders the adoption of cutting-edge  
99 solutions and identification of ‘hot-spot’ communities or regions to prioritize policy  
100 interventions. In this work we investigate spatiotemporal variation of the energy consumption for  
101 water treatment and distribution systems in rural Alaska. The overarching research goal inspiring  
102 this study is to understand the drivers of energy consumption for water treatment and distribution  
103 in rural Alaska. Specifically, we examine if and how the distribution system types, community  
104 population, and annual temperatures affect energy consumption for water treatment and  
105 distribution. We analyze one year of monthly panel data for more than seventy rural Alaska  
106 communities to develop models of energy consumption and compare the estimates to actual data.  
107 Overall, this work sheds light on energy-use for water treatment and distribution in rural Alaska  
108 and establishes a baseline which would be useful for the rural Alaska communities’ adaptation to  
109 climate change efforts, specifically in planning for and designing new water systems or updated  
110 existing systems.

## 111 **2. DATA AND METHODS**

112 ***Data.***

113 We used energy audit data for 78 rural communities obtained by the Alaska Native Tribal Health  
114 Consortium (ANTHC), which conducted surveys to determine total energy consumption for water  
115 treatment and distribution in rural Alaska communities.<sup>16</sup> The list of communities is provided in  
116 Table S1. The rural communities in Alaska are distributed throughout the Northern, Interior,  
117 Southwest, Gulf Coast and Southeast regions which have been classified based on the Alaska  
118 Department of Labor delineation (Fig. 1). Among the total communities considered in this study,  
119 35 communities are spatially clustered in the Southwest region, 18 in the Northern region, 17 in  
120 the Interior region, and remaining 8 in the Gulf Coast and Southeast regions. The data from energy  
121 audit surveys consists of electricity data (kilowatt-hours; kWh), #1 heating fuel oil data (gallons),  
122 spruce and birch wood data (cords), and heat recovery system data (million BTUs). To calculate  
123 the total energy consumption by the water utilities, all data were converted to kWh using  
124 conversion factors listed in Table S4. Each community's boiler efficiency for energy generation  
125 was accounted while converting gallons of heating fuel oil to kilowatt-hours (Table S5). To  
126 estimate per capita consumption, population data was obtained from the US Census.<sup>26</sup> Heating  
127 degree days (HDD) which is a measure of temperature over a specific time-period and is often  
128 used to determine the energy needs for heating buildings,<sup>27-29</sup> was used as a proxy for ambient  
129 temperature. Information on the water system type was obtained from the Alaska Department of  
130 Environmental Conservation<sup>30</sup> and communities were clustered based on their respective water  
131 system types. Finally, the energy data for water treatment and distribution was queried for (i)  
132 temporal (January-December) trends, (ii) spatial variability by geographical regions (Southwest,  
133 Interior, Gulf Coast, Northern, and Southeast), (iii) effects of community population, (iv) water  
134 distribution system (WDS) types, and (v) water treatment plant (WTP) units.

135

136 ***Statistical Analysis.***

137 To understand the differences in total energy consumption contributed by different factors, we  
138 performed one-way analysis of variance (ANOVA) using per capita total annual energy  
139 consumption as response variable, and community population number, temporal trends,  
140 geographic regions, and WDS types as input variables. We also conducted post-hoc analysis using  
141 Tukey's honest significant difference test to do the pairwise comparison between the geographical  
142 regions. All data were checked for normality using the Shapiro-Wilk test<sup>31</sup>. Statistical significance  
143 was set at  $\alpha = 0.05$ , and R programming language was used for all the analyses (R Core Team,  
144 2013).

145

146 ***Panel Data Analysis.***

147 Random effects (RE), and fixed effects (FE) modeling approaches were used to analyze the  
148 determinants of energy consumption. The models were developed in R using the plm package,<sup>33</sup>  
149 to investigate correlations of energy use (kWh) and per capita energy use (kWh/capita) with  
150 predictor variables that included the month of the year, HDD, community population, region, and  
151 water distribution system type. Of the 78 communities under consideration, data from 73 were  
152 used in this modeling exercise, as complete information for all the independent predictor variables  
153 was available only for those communities. The Driscoll-Kraay standard errors are reported to  
154 correct for heteroscedasticity and serial cross dependence.<sup>34</sup> Following RE models (equations 1  
155 and 2) were used to explore how differences between communities' impact energy consumption.

156

157  $kWh_{it} = \beta_0 + \beta_1 Month_t + \beta_2 HDD_{it} + \beta_3 Population_{it} + \beta_4 Region_{it} + \beta_5 System_{it} + \alpha_{it} + \varepsilon_{it}$  (1)

158

159  $kWh/Capita_{it} = \beta_0 + \beta_1 Month_{it} + \beta_2 HDD_{it} + \beta_3 Population_{it} + \beta_4 Region_{it} + \beta_5 System_{it} + \alpha_{it} + \varepsilon_{it}$  (2)

160 Where '*i*' represents a community and '*t*' is the time-period. '*kWh*' is the monthly energy  
161 used by the drinking water utility in kWh. '*kWh/Capita*' is the per capita monthly energy  
162 consumption in kWh. '*Month*' is a time dummy variable representing the month and '*HDD*' is the  
163 number of heating degree days. '*Population*' is a discrete variable while '*Region*' and '*System*' are  
164 dummy variables for the region of Alaska and the type of water system. The error term is  
165 represented by ' $\varepsilon$ ', and the term ' $\alpha$ ' represents unobserved effects, which are assumed to be  
166 uncorrelated with the predictors.

167 FE models (equations 3 and 4) are used to reduce the risk of omitted variable bias. Each village  
168 has unique characteristics, such as location or tribe, that remain constant over time (time invariant).  
169 These characteristics may impact or bias the predictor variables.<sup>35</sup> In FE models the time invariant  
170 characteristics are removed through the fixed effects transformation, which also reduces the risk  
171 of omitted variable bias.<sup>35</sup> It is assumed that changes in energy consumption in a village can only  
172 be due to changes in time variant variables, which are represented by the estimated coefficients.

173 The estimated FE models are as follows:

174

175  $kWh_{it} = \beta_0 + \beta_1 Month_i + \beta_2 HDD_{it} + \varepsilon_{it}$  (3)

176

177  $kWh/Capita_{it} = \beta_0 + \beta_1 Month_i + \beta_2 HDD_{it} + \varepsilon_{it}$  (4)

178

179 ***Correlating energy data.***

180 The energy consumption by different units of WTP is a modelled data collected from AkWarm,<sup>36</sup>  
181 a publicly available software tool that uses historical energy use data and correlates with local

182 weather to provide maximum accuracy in predicting energy use of various electrical units of water  
183 treatment and distribution systems. We correlated total annual energy consumption data from  
184 different communities collected from ANTHC audit data with AkWarm based modeled data to  
185 understand the closeness between the surveyed and AkWarm generated model data used in this  
186 study.

187

### 188 **3. RESULTS AND DISCUSSION**

189 Regions and communities included in this study are pictorially represented on the map in Fig. 1.  
190 The study area, communities, population, and descriptive statistics of variables of the panel data  
191 analysis are presented in Tables 1 and 2. Detailed information for each community is provided in  
192 Table S1. Study sample is diverse with the population size ranging from 30 to 3,270. The number  
193 of annual HDDs ranges from 6,290 to 12,452. The overall energy consumption and per-capita  
194 energy consumption vary between communities. The Interior communities in the study have the  
195 lowest population of the sample, with an average population of just 163 people. The Gulf Coast  
196 communities in the study are only slightly bigger, with an average population of 201. Southeast  
197 communities in the study have on average over 800 people and southwest have an average  
198 population of 1,048. The northern communities have an average size of 572 people.

#### 199 ***Water Distribution System (WDS) Impacts.***

200 The annual per capita energy consumption varied based on WDS types (Fig. 2A). We found that  
201 the annual per capita energy consumption was highest for piped circulating systems (1100 kWh),  
202 followed by washeterias (1000 kWh), closed haul (800 kWh), individual wells (550 kWh), and,  
203 lastly, piped distribution (300 kWh). The high energy consumption in piped circulating systems is  
204 likely due to continuously heating cold water and circulating it through the distribution loop during

205 winter. Energy consumption for washeterias and closed haul systems was comparable, as water is  
206 not pumped for distribution in both cases, and many closed haul communities also operate  
207 washeterias. The energy requirements in washeterias include the use of washers, dryers, showers,  
208 bathrooms, and potable water supply. It is to be noted that electricity consumption of washer and  
209 dryers is included for communities served by washeterias but not for other categories. It is  
210 challenging to separate this from the overall washeteria energy consumption based on available  
211 data. As per some estimates,<sup>37</sup> however, an average American household may use as much as 950  
212 kWh/year on washers and dryers (assuming 6 hours/week operation of each) – though the weekly  
213 usage of washer and dryer in the rural Alaska communities using washeteria facilities may be  
214 lower than the national average estimates. In a closed haul system, water is either transported from  
215 a single watering point to multiple households using fossil fuel powered vehicles or individual  
216 households are responsible for collecting water themselves – though transportation costs were not  
217 accounted for in the analyses. For individual wells, energy consumption solely depends on lifting  
218 pumps that withdraw and transport water from the source to the household. The data show that  
219 only two out of 78 communities used individual wells, and those two communities had a  
220 population of less than 200. Thus, more data on the energy consumption for individual wells is  
221 required to get a comprehensive understanding. Conventional buried piped pressure distribution  
222 systems consume the least amount of energy but are not possible in many Arctic and sub-Arctic  
223 communities due to permafrost soils.

224 ***Population and Regional variations.***

225 Annual per capita energy consumption tends to correlate negatively with population (Fig. 2B). As  
226 the communities are completely off grid, power is typically generated in each community by  
227 individual diesel generators. It is likely that sparsely populated communities cannot scale to create

228 generator efficiency and lack the benefit of economies of scale observable in communities with  
229 larger population size. Per capita energy consumption varies significantly by geographic location  
230 ( $p = 2.65 \times 10^{-6}$ ; Fig. 2C). Interior Alaska communities had the highest annual per capita energy  
231 consumption, followed by Northern, Southwestern, Gulf Coast, and then Southeastern region.  
232 Regional weather and mean annual temperatures vary among communities which likely influences  
233 the observed energy use patterns here. Interior Alaska, away from the sea and bound by the Brooks  
234 Range and Alaska Range from the north and south respectively, experiences extreme temperature  
235 variations with cold winters and warm summers. Southwest Alaska has a maritime climate  
236 dominated by the Bering Sea and the Gulf of Alaska (Fig. 1), with moderate temperatures and less  
237 precipitation. The Gulf Coast region is in southcentral Alaska near Gulf of Alaska, bordered by  
238 Alaska mountain ranges on the north-west side and by the Chugach Mountains on the east.<sup>38</sup>  
239 Southeast Alaska has milder winters and more precipitation throughout the year, making it the  
240 warmest part of the state. Thus, energy consumption for heating remains the lowest compared to  
241 other regions. In general, regions at lower latitudes consumed less energy per capita. Apart from  
242 weather, another factor driving these trends (Fig. 2B) is population. Interior Alaska communities  
243 show higher per capita energy consumption than the northern communities even though belonging  
244 to similar latitude range due to lower mean population for the Interior communities (Table 2).

245

#### 246 ***Seasonal Impacts.***

247 Energy consumption for water treatment and distribution follow seasonal trends in rural Alaska  
248 (Fig. 2D). Seasonal temperature variation between summer and winter months has a significant  
249 correlation ( $p < 0.05$ ) on energy consumption. This is, in part, owing to heating up the water in  
250 source waterlines and distribution network to prevent freezing in subzero temperatures; also, self-

251 hauling requires fossil fuels to transport water from the washeteria or watering points to individual  
252 houses though transportation fuel was not considered in the energy audits. As heating is not  
253 required in summer months, the energy consumption is substantially lower. On average, in winter  
254 months, oil consumption is around 1.8-2.2 gallons/person, and in summer it is only 0.2-0.4  
255 gallons/person (Fig. S1). The mean per capita energy consumption is around 120 kWh/person in  
256 winter and 30-40 kWh/person in summer.

257

258 ***WTP Energy Consumption Breakdown.***

259 AkWarm based modeled total annual energy consumption data was linearly correlated with  
260 ANTHC based annual energy survey data (Fig. S2). Therefore, modeled energy consumption data  
261 was considered to evaluate the component-wise breakdown of annual per-capita energy  
262 consumption for different distribution system types. WTPs play a critical role in treatment and  
263 storage of source water, and the operation and maintenance of WTPs requires substantial energy.  
264 Depending on the WDS types, the energy consumptions by different components at WTP  
265 including space heating, raw water heat, tank heat, domestic hot water, water circulation heat,  
266 ventilation fans, lighting, and other electicals vary significantly (Fig. 3). Within all types of WDS  
267 except piped circulatory system, space heating is the dominant energy consumer followed by other  
268 electicals, domestic hot water, tank heating, and lighting. Most WTPs in Alaska are built indoors  
269 to prevent freeze-ups, and thus need space heating. Diesel powered boilers are used to heat glycol  
270 that radiates heat through space heaters. In some communities, heat recovery systems result in  
271 energy savings.<sup>39,40</sup> Other electicals, including pumps, air compressors and miscellaneous plug  
272 loads, are the second-highest energy consumers. Four types of pumps are common in WTPs: water  
273 pumps carry water from the source to storage tanks; chemical feeding pumps maintain the chemical

274 ratios in the coagulation-flocculation process; and backwash pumps clean multigrade sand filters;  
275 and pumps continuously move water through distribution systems in circulating systems. These  
276 operations are common and vary with level of treatment based on the community water quality  
277 requirements and financial conditions. Lights used in WTPs are one of the highest sources in the  
278 other electrical loads category, likely due to low levels of daylight in winter. Usually, fluorescent  
279 lights are used in indoor locations such as mechanical rooms, boiler rooms, bathrooms, and pump  
280 houses. In outdoor or exterior locations, high-pressure sodium lights are generally used to  
281 withstand adverse weather conditions. Some miscellaneous use in WTPs includes laptops, radios,  
282 coffee pots and mini fridges. In piped circulating WDS which distributes water to maximum  
283 communities in Alaska, water circulation heat is the highest energy consumer followed by other  
284 electricals, space heating, tank heating, raw water heat, lighting, and ventilation fan.

285 ***Panel Data Analysis.***

286 The statistically significant estimates of the panel data analysis are presented in Table 3. Seasonal  
287 variation is present in both the FE and RE models of overall energy consumption. Using April as  
288 the base month, we find that energy consumption is significantly lower during the months of May  
289 ( $p<0.1$ ), June ( $p<0.05$ ), July and August ( $p<0.1$ ), and September ( $p<0.05$ ). While the seasonal  
290 variation is not significant in the per capita models, the number of HDDs is significant ( $p<0.01$ )  
291 with a one unit increase in HDDs increasing per capita energy consumption by almost 200 BTU.  
292 Population has a significant impact on energy consumption: overall energy consumption increases  
293 by 148,070 BTU with every additional person ( $p<0.05$ ), but per capita energy consumption  
294 decreases by 87 BTU ( $p<0.1$ ). The type of water system does not have a statistically significant  
295 impact on energy consumption and the coefficients are omitted from Table 3.

296 ***Potential Study Limitations.***

297 The sample size used in the study may be biased and unrepresentative, as we used readily available  
298 data from energy audits performed by ANTHC. The selection process to select communities to  
299 audit is unclear and may introduce bias in the population. The effects of a possible biased sample,  
300 however, are mitigated by the inclusion of ~40% of Alaska's rural communities from regions  
301 across the state. Another limitation stems from data availability. In remote areas, data collection is  
302 challenging due to missing records of fuel and electricity usage, a lack of staff dedicated to data  
303 tracking in the utilities, and the general inaccessibility of many of these off-grid  
304 communities. Better estimates would be generated by using the number of people served by  
305 utilities instead of the overall population of the community, which is often larger. However only a  
306 few utilities collect these data, and few make the data publicly available. The effects of the data  
307 gap are mitigated by the fact that unserved households still access community water services at  
308 the washeteria or by going to served households. Additional studies are needed to confirm the  
309 findings.

310 ***High Water Costs in Rural Alaska.***

311 While it is common knowledge for rural Alaska residents and Tribal governments that basic  
312 services such as water are very expensive in rural Alaska, specific estimates for the magnitude of  
313 energy costs for water are lacking – owing to lack of data and/or dedicated analyses. U.S. average  
314 national energy consumption for water sourcing, treatment, and distribution ranges 1100 – 2300  
315 kWh/million gallons,<sup>17</sup> which combined with a national average per capita water consumption of  
316 85 gal/day/person<sup>41</sup> translates to 36 – 75 kWh/person for residential water supply. From this study  
317 we see that average per capita annual energy consumption for water withdrawal, treatment and  
318 distribution in rural Alaska is around 940 kWh/person (Figure 2, Table S1) -- about 12- 26 times  
319 higher (kWh/p) than the national average. Combined with five to ten-fold higher electricity

320 generation costs in U.S. compared to the national average,<sup>42,43</sup> this translates to approximately 60  
321 to 260 times higher costs for water sourcing, treatment, and distribution in rural Alaska as  
322 compared to the national average. While shocking, these high costs for water are not unexpected  
323 given the myriad challenges for rural Alaska communities discussed above including remoteness,  
324 extreme weather, and small community sizes.

325 ***Broader Implications***

326 Access to safe and affordable drinking water is increasingly perceived as an environmental justice  
327 issue.<sup>44,45</sup> This work highlights the high costs of water access in rural Alaska communities as a  
328 prime factor driving equitable access to water. Such observations extend not only to rural regions  
329 outside of Alaska<sup>46</sup> but also to the urban fringe globally.<sup>44</sup> Across the United States, clusters of  
330 communities lack sustainable access to in-home water services including those at the Texas-  
331 Mexico border (the Colonias),<sup>47</sup> the Navajo Nation,<sup>48</sup> and the Appalachian region.<sup>49,50</sup> And beyond  
332 the U.S., there are several remote Arctic communities in Canada,<sup>51,52</sup> Greenland,<sup>53</sup> and Russia<sup>54</sup>  
333 that also face similar water access and water security challenges. Overall, this work presents a  
334 framework that can be used in other water insecure regions in the U.S. and beyond to assess drivers  
335 of residential water costs, to provide a comparative benchmark, and to help identify factors that  
336 can drive policy.

337

338 **4. CONCLUSIONS**

339 Water distribution systems can be expensive to operate and more so in rural Alaska, as we have  
340 shown here. Government agencies like the Alaska Department of Environmental Conservation  
341 lack sufficient data in these areas, and we hope this study may complement their existing database

342 by incorporating various parameters that may help forecast energy requirements for future water  
343 needs of these communities. Rural Alaska communities often struggle to obtain adequate funding  
344 to maintain and operate existing water services. To help rural Alaskans balance out these  
345 differences, the Power cost equalization (PCE) program was introduced by the state government  
346 in 1984 to subsidize electricity. However, not all the communities are under the PCE program.  
347 Due to data unavailability, impacts of the PCE program were not included in this study.

348 The results of this study not only quantify the energy costs for water in rural Alaska, but also  
349 provide baseline information for policymakers as well as help Tribal governments and related  
350 organizations to make their case while applying for funding for water-related infrastructure  
351 improvements. Specifically, the results from this work can help guide water system selection for  
352 communities installing new systems as well as improvement of energy efficiency of the existing  
353 water systems, by prioritizing those that are more energy-intensive or focusing on the more energy  
354 inefficient components. For example, for pipe re-circulating systems, performing a cost-benefit  
355 analysis of adding extra insulation around the pipes may be recommended.

## 356 **Supporting Information**

357 Additional details of community specific energy consumption for water treatment and distribution  
358 in rural Alaska.

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362

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## TABLES

**Table 1.** Descriptive statistics of the spatiotemporal variables included in the study

| Variable                      | Mean               | SD                 | Min | Max                |
|-------------------------------|--------------------|--------------------|-----|--------------------|
| Heating Degree Days (Monthly) | 1,037              | 590                | 138 | 2,863              |
| Population                    | 407                | 417                | 30  | 3,270              |
| Energy Use (BTU)              | $9.85 \times 10^7$ | $1.27 \times 10^8$ | 0   | $9.86 \times 10^8$ |
| Energy Use per Capita (BTU)   | 320,608.90         | 342,498            | 0   | 1,809,914          |

**Table 2.** Number of communities included in the study, their population and total rural communities and total regional population

| Region     | Communities in Study | Population in Study | Total Rural Communities | Total Rural Population in Region |
|------------|----------------------|---------------------|-------------------------|----------------------------------|
| Northern   | 18                   | 10404               | 37                      | 27,484                           |
| Interior   | 17                   | 2821                | 59                      | 12,908                           |
| Gulf Coast | 4                    | 691                 | 37                      | 22,114                           |
| Southeast  | 4                    | 2,419               | 41                      | 40,798                           |
| Southwest  | 35                   | 15895               | 92                      | 42,295                           |

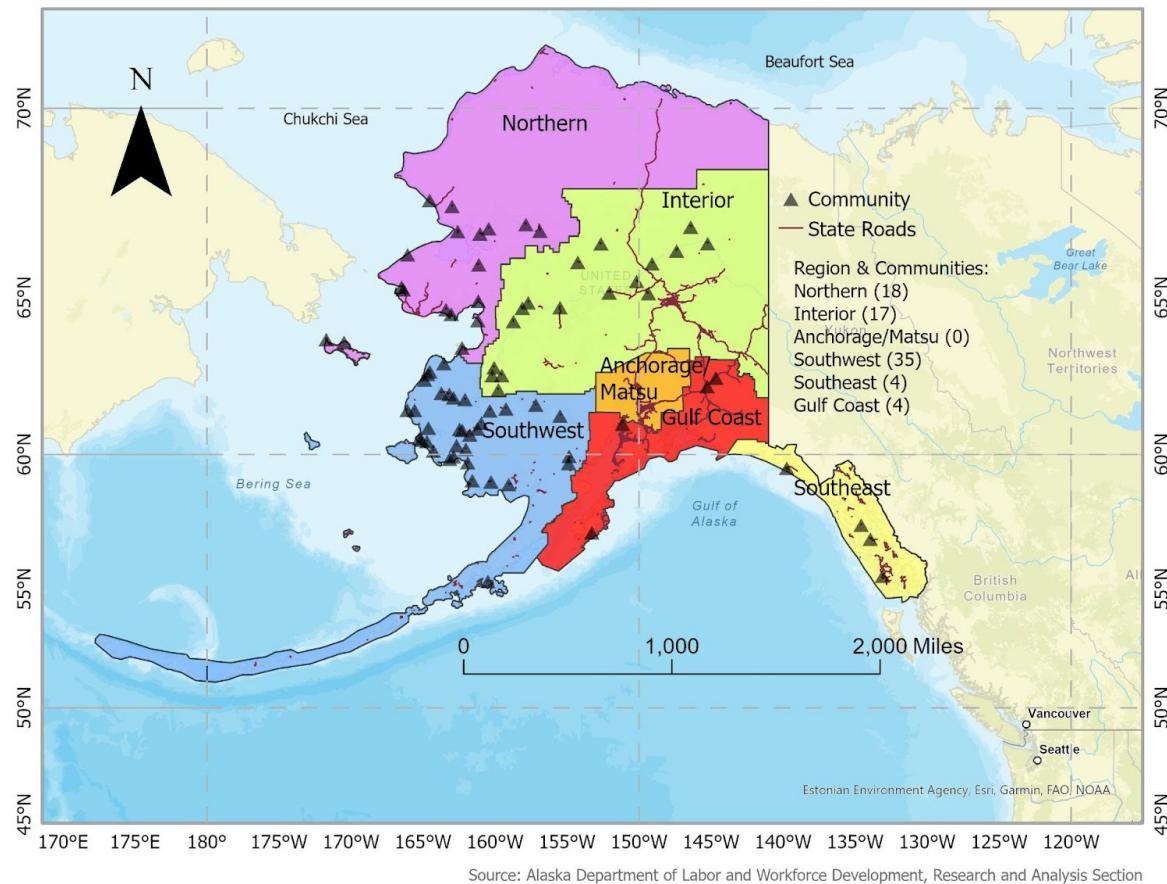
**Table 3:** Statistically significant estimates of the panel data analysis.

|                                   | Fixed Effects        |                      | Random Effects        |                      |
|-----------------------------------|----------------------|----------------------|-----------------------|----------------------|
|                                   | Per Capita<br>kWh    | Total kWh            | Per Capita<br>kWh     | Total kWh            |
| <b>Population</b>                 |                      |                      | -0.02**               | 41.87**              |
| <b>Month (Base = April)</b>       |                      |                      |                       |                      |
| <b>June</b>                       | -13.31               | 11,431.51***         | -14.17                | -11,502.99***        |
| <b>July</b>                       | -11.88               | -10,857.84**         | -13.17                | -11,111.93**         |
| <b>August</b>                     | -12.43               | -9,874.64**          | -13.86                | -10,229.37**         |
| <b>September</b>                  | -12.68               | -9,051.04***         | -14.12                | -9,522.45***         |
| <b>Heating Degree Days</b>        | 0.06**               | 8.93**               | 0.06**                | 9.03**               |
| <b>Region (Base = Gulf Coast)</b> |                      |                      |                       |                      |
| <b>Southeast</b>                  |                      |                      | -4.57                 | -15,829.46*          |
| <b>R<sup>2</sup></b>              | 0.41                 | 0.30                 | 0.42                  | 0.32                 |
| <b>p-value</b>                    | 5.98e <sup>-80</sup> | 3.77e <sup>-52</sup> | 6.51e <sup>-110</sup> | 1.49e <sup>-67</sup> |

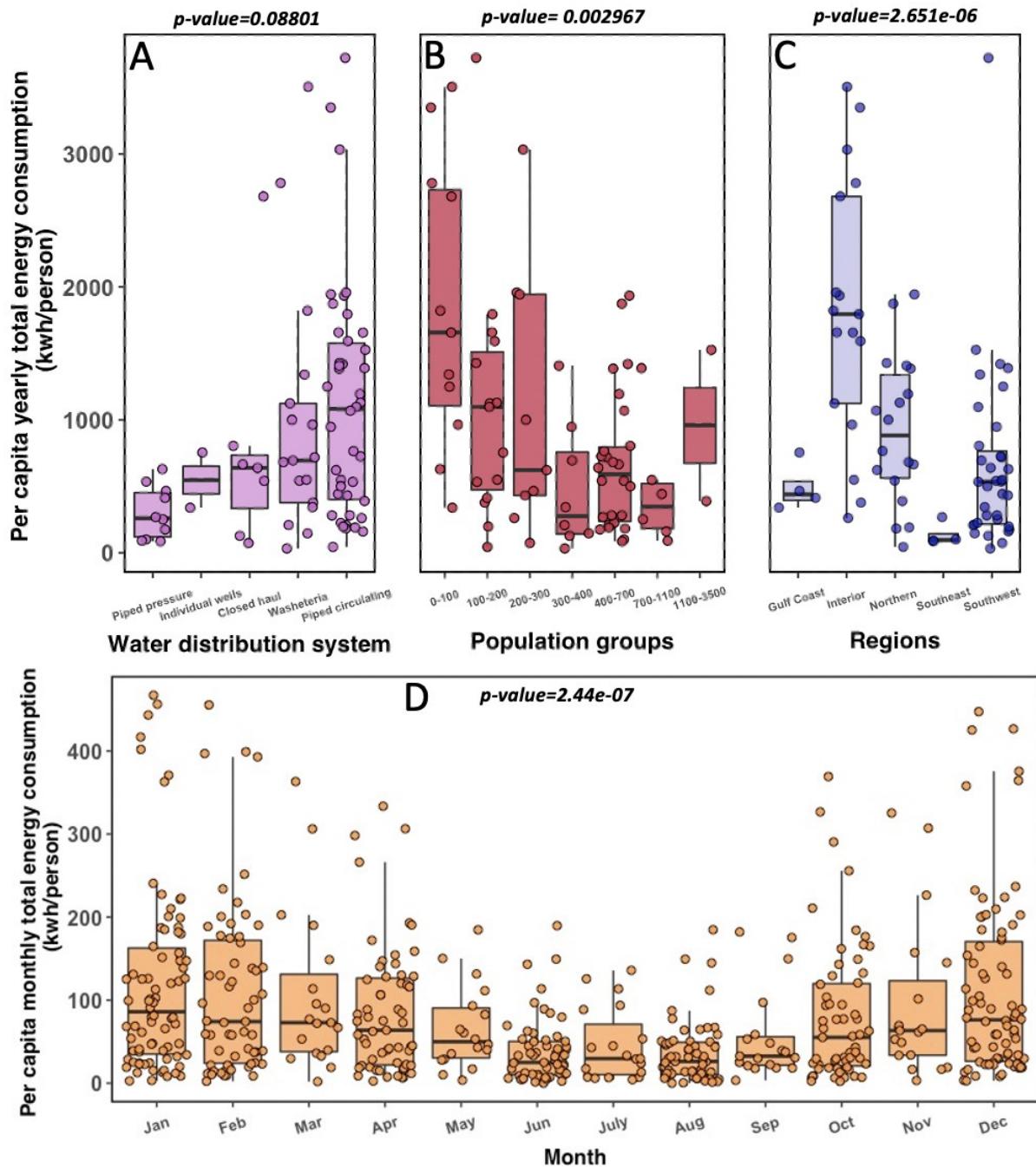
All continuous predictors are mean-centered and scaled by 1 standard deviation.

Significant at the \*10% level, \*\*5% level and \*\*\*1% level

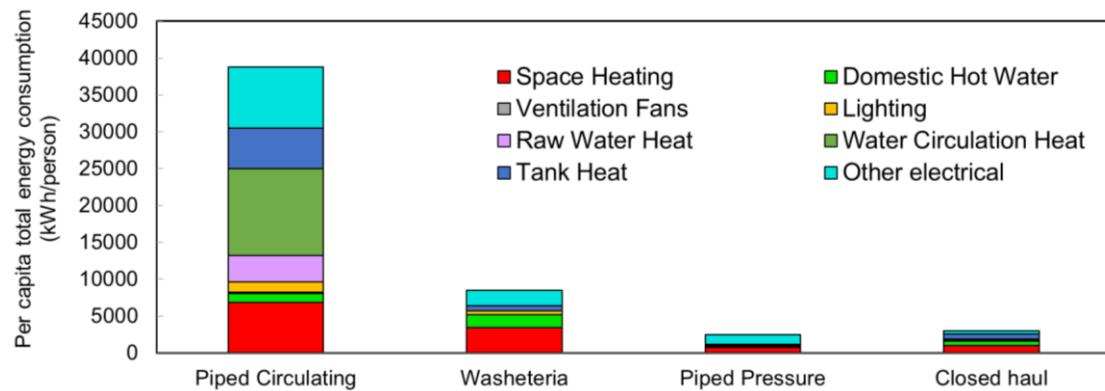
## FIGURES



**Figure 1.** Map shows rural communities (n=78) in the state of Alaska selected for this study representing five geographical regions. Most of the communities are located in remote locations without road access. The map has been prepared using ArcGIS online platform by putting the coordinates for various remote communities selected in this study.



**Figure 2.** Per capita annual energy consumption in rural communities (n=78) based on water distribution system types (A), population range (B), geographical regions (C), and month of the year (D).



**Figure 3.** Component-wise breakdown of annual per capita energy consumption for water treatment and distribution in rural communities (n=78) based on the water distribution system type

