

1 Effects of carbon nanotube (CNT) geometries on the dispersion characterizations

2 and adhesion properties of CNT reinforced epoxy composites

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9 Abstract

10 Carbon nanotubes (CNTs) are widely added into polymeric materials as additives to
11 improve the mechanical properties of the composites. The great variances of CNTs in
12 geometries including different diameters and lengths may inevitably result in extensive
13 differences on material properties and reinforcing efficiencies in CNT reinforced epoxy
14 composites. This paper investigated the dispersion characterizations and adhesion
15 properties of CNT reinforced epoxy composites with different CNT geometries including
16 three different CNT diameters and two different lengths by particle size analysis, single lap
17 shear (SLS) tests, transmission and scanning electron microscopy (TEM and SEM). The
18 experimental results showed that CNTs with larger diameter (50-100 nm) had a greater
19 ability to achieve more uniform dispersion which further led to better adhesion properties.
20 Although CNT length did not have an evident effect on the CNT dispersion, epoxy
21 composites reinforced by normal-length CNTs (5-20 μm) had higher lap shear strength and
22 toughness than those by shorter CNTs (0.5-2 μm).

23 **Keywords:** Carbon nanotube (CNT), epoxy composites, CNT geometries, particle size
24 analysis, single lap shear test, electron microscopy

25 **1. Introduction**

26 Carbon nanotube (CNT) is generally recognized as a one-dimensional material with
27 extremely high aspect ratio, specific strength, and stiffness [1]. These outstanding
28 mechanical properties along with notable thermal and electrical conductivities have
29 motivated various applications of CNTs in a wide range of fields and industries [2,3]. The
30 CNTs have great potential of introducing advanced properties into polymers by forming
31 CNT reinforced composites [4–6], even compared to other nano fillers [7, 8]. One of the
32 ideal polymeric materials containing CNTs as nanofillers is epoxy resin, which has
33 excellent corrosion resistance and chemical stability [9]. In civil and transportation
34 engineering, CNT reinforced epoxy composites can be used to bond similar and dissimilar
35 materials in the form of adhesive joints or to be applied on the surface of steel structures
36 as anti-corrosive coatings [10–13]. Most of the usages of CNT reinforced epoxy
37 composites require a solid adhesive bonding between the epoxy composite and the
38 substrate material, since a weak adhesion may easily lead to the early failure of adhesive
39 joints or the debonding of protective coatings, which makes adhesion properties of crucial
40 importance to the overall performance of CNT reinforced epoxy composites.

41 Shear or bonding strength is the governing parameter of adhesion properties, while
42 single lap shear (SLS) tests were exclusively used to determine the lap shear strength of
43 polymeric matrices on metal substrates [14–16]. A number of researches has been
44 incorporating CNTs into epoxy resin and trying to improve the lap shear strength or
45 adhesion properties of CNT reinforced epoxy composites. However, inconsistency with

46 their findings were noticed. Some studies showed that the lap shear strength of CNT
47 reinforced epoxy composites was significantly higher than that of neat epoxy composite,
48 with the improvement as high as 39% [17, 18]. While, it is found by some other studies
49 that the improvements of lap shear strength were relatively limited with the similar surface
50 conditions, similar epoxy components, and same weight fraction of CNTs (around 0.5%).
51 Compared to neat epoxy composites, only slightly increases in lap shear strength (typically
52 less than 10%) were reported with the addition of CNTs [19, 20]. Several factors such as
53 surface conditions, epoxy types and CNT fractions, may have an impact on the lap shear
54 strength of CNT reinforced epoxy composites. Except those three influential factors, other
55 possible reasons for the inconsistency in previous studies may be accounted to the
56 geometry and material property differences of CNTs themselves.

57 The geometries of CNTs including diameter and length of the nanotubes. CNTs fall
58 into the category of nanomaterials because the diameter of the tubes is in nanometer scale,
59 but diameters also vary a lot from several nanometers to more than one hundred nanometers
60 [21]. Since the aspect ratio of CNTs is always extraordinary high, the length of CNTs is
61 typically far larger than the diameter. Due to different production methods, the length of
62 CNTs could be as short as micrometer level, or as long as centimeter level. The great
63 variances of CNTs' geometry in diameter and length inevitably result in extensive
64 differences in material properties and reinforcing efficiencies [7,22]. In the literature,
65 different researchers use CNTs with different geometries, very few researches involving
66 the effect of CNT geometries only focus on the thermal and electrical properties of CNT
67 reinforced epoxy composites [23,24]. To the best of the authors' knowledge, there is no

68 relevant research involving investigations on the influences of CNTs' geometries on
69 mechanical properties, especially adhesion properties of CNT reinforced epoxy composites.

70 The dispersion characterizations of CNTs also play a key role to achieve good
71 adhesion properties of CNT reinforced epoxy composites [25]. The high aspect ratio
72 provides CNTs with remarkable mechanical properties, but also creates an enormous
73 surface area of the tubes. The enormous surface area produces strong Van der Waals
74 interactions which are responsible for the strong tendency of CNTs agglomeration [26].

75 The agglomerated CNTs have similar detrimental impact as defects and eventually reduce
76 the adhesion properties of epoxy composites [27]. Therefore, a sufficient adhesion of CNT
77 reinforced epoxy composites is directly related to the homogeneous dispersion of CNTs in
78 the epoxy matrix [6, 28]. On the other hand, CNTs with different diameters and lengths
79 have different aspect ratios, which naturally affect the dispersion characterizations of CNT
80 reinforced epoxy composites [29]. The existing studies mostly report various approaches
81 to improve the dispersion of CNTs with a certain geometry [30–32], there is a severe lack
82 of relevant researches investigating dispersion characterizations of CNT reinforced epoxy
83 composites with different CNT geometries.

84 In this paper, the effects of CNT geometries on the dispersion characterizations and
85 adhesion properties of CNT reinforced epoxy composites were systematically investigated
86 for the first time. CNTs with three different diameters, two different lengths, and three
87 different weight fractions were compared regarding dispersion characterizations and
88 adhesion properties, respectively. Specifically, particle size analysis was carried out to
89 directly quantify the dispersion of CNTs. The adhesion properties of CNT reinforced epoxy
90 composites including lap shear strength, fracture strain and toughness were examined by

91 single lap shear (SLS) tests. Transmission and scanning electron microscopy (TEM and
92 SEM) were conducted on CNTs suspensions and fracture surfaces of CNT reinforced
93 epoxy composites to reveal CNT distributions.

94 **2. Experimental Investigations**

95 *2.1 Materials*

96 Multi-walled CNTs with six different geometries including three different diameter
97 ranges (10-12nm, 20-30nm, 50-100nm) and two different length ranges (normal-length
98 range between 5 to 20 μ m, and short range between 0.5 to 2 μ m) were used in this study,
99 labeled as N10, N20, N50, S10, S20, and S50. Table 1 shows the detailed geometries and
100 other details of the six different types of CNTs according to the manufacturer's
101 specification. All the CNTs were supplied by Skyspring Nanomaterials Inc (Houston, TX,
102 USA) following the manufacturing method of Catalytic chemical vapor deposition. Pure
103 acetone supplied by Sunnyside Corporation was used as solvent to disperse CNTs, since
104 acetone is more volatile than ethanol or deionized water. Moreover, it is easier and faster
105 to get rid of the acetone solution after mixing with epoxy resin. Standard all-purpose epoxy
106 resin consisting of a bisphenol A based resin and a polyamide curing agent, was applied
107 (supplied from East Coast Resin) as the composite matrix. The adherend material for
108 making CNT reinforced epoxy adhesive joints was low carbon A36 steel supplied by Mid
109 America Steel Inc.

110 Table 1 CNT geometries and other properties

Type	CNT Length (μ m)	CNT Diameter (nm)	Specific surface area (m^2/g)	Purity (%)	Bulk density (g/cm^3)
N10	5-20 (Normal)	10-12	500	95	0.27
N20	5-20 (Normal)	20-30	110	95	0.28
N50	5-20 (Normal)	50-100	60	95	0.28
S10	0.5-2 (Short)	10-12	350	98	0.27

S20	0.5-2 (Short)	20-30	90	98	0.25
S50	0.5-2 (short)	50-100	70	98	0.18

111 *2.2 Preparation of CNT suspensions*

112 Although the most prevalent method of mixing CNTs is ultrasonic processing, it was
 113 found that the ultrasonic mixing may often induce the shortening of tube length and aspect
 114 ratio, which lead to differences in mechanical properties and other performances [33, 34].
 115 Thus, in order to accurately investigate the effect of CNT geometries, ultrasonication was
 116 avoided during the mixing process. In this study, a new mixing protocol using a magnetic
 117 stirrer was developed to minimize alterations in CNT geometries and keep the CNTs intact.
 118 First of all, a conical flask filled with acetone was placed on the magnetic stirrer (supplied
 119 by Across International) and stirred by a magnetic rod at a speed of 1600 rpm, while CNTs
 120 with different geometries were gently added into the solvent. A commercially available
 121 surfactant called Sodium dodecylbenzene sulfonate (SDBS, obtained from Sigma-Aldrich
 122 Corp) with a constant weight fraction of 0.5% was also added into the solvent along with
 123 at least 2h mechanical stirring to make sure that CNTs were thoroughly dispersed. For each
 124 CNT geometry, suspensions with three different weight fractions (0.5%, 1%, and 2%) were
 125 examined to further investigate the effect of weight fractions on the dispersion
 126 characterizations of CNTs with different geometries.

127 *2.3 Preparation of CNT reinforced epoxy composites*

128 To prepare the CNT reinforced epoxy composites, the mechanical stirring
 129 CNT/acetone suspension was firstly mixed with the curing agent since the curing agent had
 130 less viscosity than the resin, followed by continually mechanical stirring on the stirrer for
 131 2h to ensure a sufficient mixing between CNTs and curing agent. Then the whole mixture
 132 was placed in a vacuum at 80°C for at least 4h to thoroughly remove the acetone. At last,

133 the CNT/curing agent mixture was mechanically mixed with the resin at a volume ratio of
134 1:1. The complete mixing protocols of CNT acetone suspensions and CNT reinforced
135 epoxy composites adopted in this study are illustrated in Fig. 1.

136 *2.4 Particle size analysis and SLS tests*

137 Particle size analysis is the most commonly used analytical testing method to directly
138 reveal the particle size distribution of CNTs in a wide range. In this study, the dispersion
139 characterizations of CNTs with different geometries were investigated by particle size
140 analysis using Particle Sizing Systems SPOS 780 as shown in Fig. 2(a).

141 In addition, SLS test specimens were designed and manufactured based on ASTM
142 D1002-10. The thickness of adherend sheets was enlarged to be 3.18mm to prevent
143 unexpected failure of the specimen resulted from early buckling of the sheets. Two
144 attachments were bonded at each end of the sheets to balance the misalignment. The
145 thickness of the epoxy composite layer between the two sheets was strictly controlled at
146 0.5 mm. SLS tests were carried out using the MTS Flex Test® SE loading frame under
147 monotonic tensile loading until shear failure occurred. The SLS test set-up is shown in Fig.
148 2(b), while the other specimen configurations, adherend pre-treatment methods, curing
149 conditions, as well as loading protocols were present in a previous study by the authors
150 [35]. For each testing condition, five identical specimens were manufactured and tested.
151 Table 2 demonstrates the experimental matrix to clearly present all the testing conditions
152 included in this study.

153 Table 2 Experimental matrix

Testing condition	CNT Length	CNT Diameter (nm)	CNT fraction (%)
N10-0.5	Normal	10-12	0.5
N10-1	Normal	10-12	1
N10-2	Normal	10-12	2

N20-0.5	Normal	20-30	0.5
N20-1	Normal	20-30	1
N20-2	Normal	20-30	2
N50-0.5	Normal	50-100	0.5
N50-1	Normal	50-100	1
N50-2	Normal	50-100	2
S10-0.5	Short	10-12	0.5
S10-1	Short	10-12	1
S10-2	Short	10-12	2
S20-0.5	Short	20-30	0.5
S20-1	Short	20-30	1
S20-2	Short	20-30	2
S50-0.5	Short	50-100	0.5
S50-1	Short	50-100	1
S50-2	Short	50-100	2

154 **3. Results and discussions**

155 *3.1 Validation of CNT geometries with a new mixing protocol*

156 Before discussing the dispersion characterizations of CNT reinforced epoxy
 157 composites with different CNT geometries, it is indispensable to find out if CNTs remained
 158 their initial geometries after the newly-developed mixing procedures, even though
 159 ultrasonication was not involved in the mixing protocol. Figs. 3(a ~ c) and Figs. 4(a, b)
 160 display TEM images of CNTs suspensions from different testing groups visualizing the
 161 diameters and lengths, respectively. As shown in Fig. 3, the typical diameters of CNTs
 162 from different testing groups (N10, N20, and N50) were around 10.3 nm, 25.0 nm, and
 163 60.6 nm, respectively, which precisely matched the diameter range of the corresponding
 164 testing groups. Furthermore, as for the comparison of CNT lengths, it is clearly illustrated
 165 in Fig. 4 that CNTs from N20 group were generally much longer than CNTs from S20
 166 group. Although it is quite difficult and time-consuming to accurately measure the length
 167 of all the individual CNTs, it is feasible to roughly estimate the average length of most
 168 CNTs in each figure by taking a few visible CNTs with most frequently lengths as

169 representatives. The estimated average length of CNTs in N20 and S20 group were around
170 10.4 μm and 1.4 μm , respectively, according to Fig.4. The average lengths of CNTs in
171 those two testing groups were coincident with the corresponding original length range as
172 well as the ratio between the two CNT geometries. Thus, both diameters and lengths of
173 CNTs were not evidently affected by the mechanical stirring or the surfactant in the mixing
174 protocol, indicating the validity of this new mixing protocol and experimental results in
175 this study.

176 *3.2 Dispersion characterizations*

177 Figs. 5(a ~ c) illustrate the volume weighted particle size distributions of CNT
178 suspensions of different CNT geometries with 0.5%, 1%, and 2% CNT fractions,
179 respectively. The particle size corresponding to the peak in the distribution curve is called
180 ‘mode’ describing the size with the highest frequency. As shown in Fig. 5, for CNT
181 suspensions with all the testing conditions, two particle size distribution types were
182 observed, namely unimodal distribution with only one mode and bimodal distributions with
183 two modes [36]. For example, as shown in Fig 5(b), S10-1 (short CNTs with the diameter
184 around 10 nm in 1% CNT fraction) showed a distribution type of unimodal since there was
185 only one mode located at approximately 64 μm . While the distribution type of S20-1 (short
186 CNTs with the diameter around 20 nm in 1% CNT fraction) belonged to bimodal
187 distribution, with two modes occurring at around 12 μm and 33 μm , respectively. Table 3
188 summarizes the distribution types of all the testing conditions. In order to clearly and
189 quantitatively demonstrate and compare the size distributions or dispersion with different
190 CNT geometries and fractions, in Table 3, the statistical analysis of the particle distribution

191 in each testing condition was also presented, including the mode and geometric mean. The
192 geometric means were calculated using the equation below [33]:

$$D_{mean} = \sum_{i=1}^n (V_i \cdot D_i). \quad (1)$$

193 where, n is the number of particle size classes, V_i is the volume in percentage with class i,
194 and D_i is particle size of class i. It is generally believed that particle size distributions with
195 smaller mode sizes or smaller geometric means yield better dispersion. Because larger
196 particles confirm the existence of bigger CNT clusters as the result of non-uniform
197 dispersion.

198 Table 3 Particle size distribution and its statistical analysis

Testing condition	Distribution type	1 st Mode (μm)	2 nd Mode (μm)	Geometric mean (μm)
S10-0.5	Unimodal	37.93	/	31.29
S10-1	Unimodal	64.56	/	56.93
S10-2	Unimodal	101.43	/	87.78
S20-0.5	Bimodal	14.94	36.86	20.34
S20-1	Bimodal	13.32	48.23	28.46
S20-2	Bimodal	12.09	50.20	33.00
S50-0.5	Bimodal	12.65	41.81	20.26
S50-1	Bimodal	15.28	36.86	24.31
S50-2	Bimodal	11.09	42.05	29.95
N10-0.5	Bimodal	14.43	42.05	27.78
N10-1	Unimodal	66.82	/	52.06
N10-2	Unimodal	91.51	/	76.30
N20-0.5	Bimodal	11.81	38.15	14.10
N20-1	Bimodal	12.37	48.19	28.72
N20-2	Unimodal	50.78	/	38.36
N50-0.5	Unimodal	11.40	/	10.10
N50-1	Bimodal	13.09	47.96	20.67
N50-2	Bimodal	12.19	48.23	37.55

199 When comparing the particle size distributions of CNT suspensions with different
200 diameters, it is found that CNTs with larger diameters always had smaller mode sizes and
201 smaller geometric means, indicating the better dispersions of thicker CNTs, holding CNT
202 length and fraction the same. For instance, according to Fig. 5(a), N10-0.5, N20-0.5 shared

203 a same bimodal distribution. For N10-0.5, the volumes of 1st and 2nd mode were about less
204 than 2% and 6%, respectively, implying that a larger proportion of CNTs had larger sizes.
205 While for N20-0.5, the volumes of 1st and 2nd mode were about 5% and 3%, respectively.
206 This volume change of the modes indicated that as the increase of CNT diameter, CNTs
207 were more likely to exist in the form of smaller particles or clusters rather than larger ones.
208 Moreover, from Table 3, the 1st and 2nd modes as well as the geometric mean of N20-0.5
209 were 11.81 μm , 38.15 μm , and 14.10 μm , which were all smaller than the corresponding
210 values of N10-0.5 (14.43 μm , 42.05 μm , and 27.78 μm), respectively. For N50-0.5, when
211 further enlarging the diameter of CNTs, the dispersion was continually improved. As
212 shown in Fig. 5(a) and Table 3, the distribution type of N50-0.5 was converted into
213 unimodal distribution with all the particles concentrating near the mode of 11.40 μm , and
214 there was no larger particles or clusters to form the 2nd mode. It was evident that as the
215 increase of CNT diameter, the particle size of CNTs in the suspension became smaller
216 resulting in more uniform particle size distribution and dispersion. The same findings could
217 also be drawn from CNTs with other lengths or fractions based on Fig. 5 and Table 3.

218 According to comparisons of particle size distributions between different lengths, the
219 mode sizes and geometric means of CNTs with normal length were often smaller than short
220 CNTs, holding the same CNT diameters and fractions. Take the comparisons between N20-
221 1 and S20-1 as example as shown in Fig 5(b). Both of them had bimodal distributions, but
222 the mode sizes and geometric mean of S10-2 were 13.32 μm , 48.23 μm , and 28.46 μm
223 which were precisely close to those of N10-2 (12.37 μm , 48.19 μm , and 28.72 μm),
224 respectively as shown in Table 3. Regarding most of the other testing conditions with the
225 same CNT diameters and fractions, the distribution parameters of normal-length CNTs

226 were moderately smaller than short CNTs. On the contrary, there were also some cases that
227 short CNTs had better particle size distribution than long CNTs, such as S0-2 and N20-2.
228 In general, the differences of particle size distributions between normal-length and short
229 CNTs were not significant enough to provide evident relationship between the dispersion
230 states of CNTs with different lengths.

231 In addition to the effect of CNT geometries, the CNT fractions also had a considerable
232 influence on the particle size distribution and dispersion of CNTs. By comparing CNTs
233 with the same geometries but different percentages of additions, it was obvious that as the
234 increase of CNT fractions, CNTs tended to have less uniform particle size distributions
235 resulted from apparently larger distribution parameters. Because higher CNT fraction
236 means more CNTs in a certain volume of solvent, leading to that CNTs are more likely to
237 entangled together into larger CNT clusters.

238 The effects of CNT geometries and fractions on the dispersion characterizations could
239 be verified by TEM images. Figs. 6(a ~ f) show the TEM images for the dispersion states
240 of N10-2, N20-2, N50-2 (different diameters), S20-2 (different lengths), N20-0.5, and N20-
241 1 (different fractions). According to Fig. 6(a) of N10-2, almost all the CNTs were entangled
242 with each other into huge CNT clusters, and there were very few individual CNTs apart
243 from those clusters. With the increase of CNT diameter as shown in Fig. 6(c) of N20-2, not
244 only the size of cluster significantly reduced, but also many CNTs were separated from the
245 medium-sized cluster, indicating a more uniform dispersion compared to N10-2. When
246 further enlarging the CNT diameter to 50 nm as shown in Fig. 6(e) of N50-2, most of CNTs
247 were relatively well dispersed with only two smaller clusters within the image area.
248 Comparisons among CNTs with different diameters in the TEM images confirmed that

249 thicker CNTs were prone to have better dispersion characterizations than thinner CNTs.
250 With the same CNT additions, the reduction of CNT diameter increased the surface area
251 and aspect ratio of the tubes leading to stronger interaction among each CNT. Thus, CNTs
252 with small diameter are expected to aggregated tightly with each other yielding non-
253 uniform dispersion.

254 By comparing the TEM images of CNTs with different lengths as shown in Fig. 6(b)
255 and 6(e), N20-2 and S20-2 had similar dispersion characterizations with a CNT cluster
256 surrounded by many separate CNTs. However, the cluster size of N20-2 was fairly larger
257 than of S20-2 indicating the better dispersion of short CNTs, which was consistent with
258 the results from particle size analysis. The effect of CNT length on the dispersion was not
259 pronounced because the variation of length did not significantly change the surface area,
260 so that the attraction forces among each CNT nearly remained the same level. Therefore,
261 the dispersion characterizations of CNTs with different lengths were very similar.

262 Moreover, the comparisons of dispersion characterizations among CNTs with
263 different fractions were revealed by Figs. 6(b ~ d). It was evident that N20-0.5 and N20-1
264 were free of any clusters showing a more preferable dispersion than N20-2. Although there
265 was no noticeable difference between 0.5% and 1% CNT fractions, the dispersion
266 characterization of N20-0.5 seemed to be slightly better than that of N20-1. Since CNTs
267 with higher addition have higher possibility to interact or entangle with each other, it was
268 understood that higher CNT fractions normally exhibit non-uniform dispersion.

269 *3.3 Adhesion properties*

270 Adhesion properties are of top priority to the overall performance of epoxy
271 composites. It was initially believed that adhesion properties of CNT reinforced epoxy

272 composites with larger diameters should be better than that with smaller diameters, while
273 CNT length may not have an obvious impact due to similar dispersion characterizations.
274 Figs. 7(a ~ c) illustrate the average stress-strain curves of CNT reinforced epoxy
275 composites among different CNT geometries with 0.5%, 1%, and 2% CNT fractions,
276 respectively. The average stress-strain curve in each testing condition was mathematically
277 fitted from the five curves measured from SLS tests. The fitting algorithm could be found
278 in previous works by the authors [35, 37]. According to Fig. 7, all the curves shared a
279 similar changing trend regardless of CNT geometries and fractions. As the increase of
280 strain, the stress went up with a sharper slope at the beginning. After the strain reached at
281 over 0.5, an inflection point was observed with the stress increasing remarkable slower and
282 obviously dropping at the end. The shape of the stress-strain curves could be interpreted
283 by pulling-out of the CNTs which is widely believed as one of the major reinforcing
284 mechanisms of CNTs. At the beginning when the epoxy composites and CNTs were firmly
285 bonded, they worked together as a strong integrity to bear the external loading. Owing to
286 different moduli between epoxy and CNTs, when the strain increased to the ultimate shear
287 strain of epoxy, the epoxy matrix was fractured and deactivated, which reduced the overall
288 shear modulus. Although a part of CNTs started to be pulled-out from the surrounding
289 epoxy matrix, the rest part kept well bonded and continually worked to bear the loading.
290 As the pulling-out part extended, the remaining CNTs were not able to bear the loading,
291 catastrophic fracture occurred and CNT reinforced epoxy composites failed [19, 38].

292 The lap shear strength was identified as the highest stress in the stress-strain curves.
293 Figs. 8(a ~ c) show the lap shear strengths of CNT reinforced epoxy composites among
294 different geometries with 0.5%, 1%, and 2% CNT fractions, respectively. As shown in Fig.

295 8(a), the lap shear strength of N20-0.5 (normal length, 20 nm diameter and 0.5% CNT
296 fraction) was 28.30 MPa which was slightly higher than that of N10-0.5. After further
297 increasing the diameter to 50 nm, the lap shear strength reached 29.90 MPa with about 8%
298 increase compared to N10-0.5. For CNT reinforced epoxy composites with the other
299 lengths and fractions, similar increasing trends were observed. The most noteworthy
300 improvement was obtained by N50-1, with increase of around 22% compared to N10-1. It
301 was known that the dispersion characterization of CNTs had a decisive effect on the lap
302 shear strength and adhesion properties of CNT reinforced epoxy composites, and CNTs
303 with larger diameters normally have better dispersion characterizations [25]. Therefore, it
304 was validified that the epoxy composites reinforced by thicker CNTs tend to have higher
305 lap shear strength due to the more uniform dispersion.

306 As for the influence of CNT length on the lap shear strength of CNT reinforced epoxy
307 composites, it was obvious in Fig. 8 that with the same CNT diameters and fractions, the
308 lap shear strengths of epoxy composites reinforced by normal-length CNTs were much
309 higher than those reinforced by short CNTs. Especially, the lap strength of N10-0.5 was
310 almost twice as much as that of S10-0.5. Furthermore, it was noted that the lap shear
311 strength of N10-0.5 was even a little higher than that of S50-0.5, and this trend was valid
312 for the other CNT fractions of 1% and 2%. Given that the dispersion characterizations of
313 S50-0.5, S50-1, and S50-2 were much better than those of N10-0.5, N10-1, and N10-2
314 respectively as shown in Fig. 5 and Table 3, the initial hypothesis of the insignificant effect
315 of CNT length was not applicable with regard to adhesion properties. Epoxy composites
316 reinforced by longer CNTs yielding stronger lap shear strengths cannot be simply
317 explained by the effect of dispersion characterization. It was reported in the literature [39,

318 40] that CNTs with very small lengths were difficult to accomplish a sufficient stress
319 transfer between them and the surrounding epoxy matrix. When lacking interfacial bonding
320 and anchoring on the CNT-epoxy interface, short CNTs were expected to have the similar
321 detrimental effect as imperfections which reduced the stiffness and continuity of the epoxy
322 matrix [41]. On the other hand, by comparing the lap shear strength of CNT reinforced
323 epoxy composites with different CNT fractions, as the increase of CNT addition, the lap
324 shear strength kept dropping due to more non-uniform CNT dispersion.

325 In addition to lap shear strength, the area under the stress-strain curve could be
326 integrated as toughness which is another important adhesion parameter describing the
327 ability of energy absorption and plastic deformation. Based on Fig. 7, Figs. 9(a ~ c) show
328 the comparisons of toughness among CNT reinforced epoxy composites with different
329 geometries and fractions. Similar as the changing trend of lap shear strength, as the increase
330 of CNT diameter, the toughness of CNT reinforced epoxy composites also improved. As
331 shown in Fig. 9(a), the toughness of N50-0.5 was 36% and 22% higher than those of N50-
332 0.5 and N50-1, respectively. The most tremendous enhancement was also achieved by
333 N50-1, with the increments reaching 54% compared to N10-1. It was worth noting that
334 nearly all the increments in toughness with the same testing conditions were more
335 significant than those in lap shear strength. Considering toughness is determined by both
336 strength and ductility, the increase of CNT diameter not only improved the lap shear
337 strength, but also enhanced the deformability and ductility of CNT reinforced epoxy
338 composites.

339 When comparing CNT reinforced epoxy composites with the same CNT diameter and
340 fractions but different lengths, Fig. 9 demonstrates that the toughness of epoxy composites

341 reinforced by normal-length CNTs was always markedly better than those by short CNTs.
342 The improvement in toughness of N50-1 was as much as 52% higher compared to S50-1
343 in particular. The variation in toughness between epoxy composites reinforced by normal-
344 length and short CNTs might be attributed to the same mechanism in lap shear strength as
345 discussed above. Since insufficient stress transfer between short CNTs and epoxy leading
346 to discontinuity and imperfections of the matrix as a whole, undoubtedly the toughness of
347 short CNT reinforced epoxy composites was rather limited. Moreover, the changing trend
348 of CNT fractions in lap shear strength was still valid in toughness. As the increase of CNT
349 fraction, the toughness of CNT reinforced epoxy composites decreased as a results of non-
350 uniform CNT dispersion.

351 *3.4 SEM analysis on fracture surfaces*

352 To further investigate the dispersion state of CNTs into the epoxy matrix as well as
353 understanding the mechanisms of CNT geometries behind the experimental data, SEM
354 images were also taken on the fracture surfaces of epoxy composites as illustrated in Figs.
355 10(a ~ f) including N10-0.5, N20-0.5, N50-0.5 (different diameters), S50-0.5 (different
356 length), N50-1 and N50-2 (different fractions). It was found in Fig. 10(a) for N10-0.5 that
357 there was a huge CNT clusters with the estimated diameter of 28.0 μm , along with several
358 small clusters. With the increase of CNT diameter for N20-0.5 as shown in Fig. 10(c), the
359 size of the biggest CNT cluster reduced significantly to around 14 μm , and further reduced
360 to around 10.0 μm for N50-0.5 as shown in Fig. 10(e). It was confirmed that epoxy
361 composites reinforced by thicker CNTs had better dispersion which contributed to better
362 lap shear strength and toughness.

363 Fig. 10(e) and 10(f) compare the typical SEM images of CNT reinforced epoxy
364 composites with different lengths. The sizes of CNT clusters of N50-0.5 were visibly
365 smaller than those of S50-0.5 indicating the better dispersion characterizations and
366 adhesion properties of epoxy composites reinforced by normal-length CNTs. By
367 comparing N50-0.5, N50-1, and N50-2 as shown in Fig. 10(b), 10(d), and 10(e), CNT
368 reinforced epoxy composites with lower CNT fraction had smaller clusters leading to more
369 uniform CNT dispersion and stronger adhesion. In general, the sizes of CNT clusters
370 demonstrated in each SEM image were consistent with the measurements in particle size
371 analysis, which proved the validation and accuracy of the experimental results. Except
372 those CNT clusters, there was also a great deal of individual CNTs as demonstrated in Fig.
373 10(b) as well as other figures. Although those thoroughly and homogeneously dispersed
374 CNTs overnumbered CNT clusters a lot, the global dispersion characterization was still
375 dominated by CNT clusters.

376 The SEM images of N10-0.5 and N50-0.5 as shown in Fig. 10(a) and 10(c) were
377 further scanned at high magnification as shown in Figs. 11(a, b). It was observed in Fig.
378 11(a) that the phenomenon of CNT pulling-out was not significant. For most visible CNTs,
379 the main part of the length was still buried into the epoxy with only a tip exposed above
380 the matrix surface. However, as shown in Fig. 11(b), a large part of CNTs had been
381 considerably pulled out from the epoxy matrix, although the pulled-out length varied with
382 each individual CNT. The process of CNT pulling-out was discussed in the last section.
383 Since a lot of energy was consumed by the pulled-out CNTs, this comparison verified that
384 the toughness of CNT reinforced epoxy composites with larger CNT diameters was higher
385 than that with thinner ones, and similar phenomena could also be found between CNT

386 reinforced epoxy composites with different CNT lengths and fractions. It was noted that
387 more CNT pulling-outs prompted the energy consumption, improved the toughness and
388 eventually resulted in better adhesion properties.

389 **4. Conclusions**

390 The main objective of this study was to investigate the effects of CNT geometries on
391 the dispersion characterizations and adhesion properties of CNT reinforced epoxy
392 composites. CNTs with different geometries were dispersed into pure acetone solution by
393 a new mixing protocol, and dispersion characterizations of the CNT suspensions were
394 studied by particle size analysis and TEM. The adhesion properties of CNT reinforced
395 epoxy composites were examined by single lap shear (SLS) tests and SEM. Based on the
396 findings, following conclusions can be drawn:

397 (1) The TEM analysis confirmed that the diameter and length of CNTs were not
398 evidently affected by newly-developed mixing protocol. After the mixing process,
399 CNTs were able to keep their original diameters and lengths.

400 (2) CNT suspensions with larger CNT diameters were more likely to have smaller
401 particle size as a result of better dispersion characterizations. This was because
402 smaller CNTs exhibited larger surface area and aspect ratio which normally
403 resulted in stronger interaction to entangle CNTs together as CNT clusters.

404 (3) Due to the more uniform dispersion of CNTs, epoxy composites reinforced by
405 thicker CNTs had better adhesion properties not only in the higher lap shear
406 strength but also higher toughness implying better ductility and deformability.

407 (4) For the effect of CNT length, according to both particle size and TEM analysis,
408 there was no evident difference between the dispersion characterizations of CNT

409 suspensions with normal-length and short CNTs. Since the variance of CNT
410 length did not significantly change the surface area of CNTs, so that the attraction
411 forces among each CNT nearly remained the same level. However, the adhesion
412 properties of CNT reinforced epoxy composites with normal-length CNTs were
413 much better than those with short CNTs, with the increments could reach as much
414 as 100% in lap shear strength and 52% in toughness. In addition, as the increase
415 of CNT fractions, both dispersion characterizations and adhesion properties
416 deteriorated owing to severe CNT agglomeration.

417 As the findings from this paper indicated that the geometries of CNTs indeed
418 influence quite significantly on the dispersion and properties CNT reinforced epoxy
419 composites, for these composites, investigations related to property improvements are
420 recommended to provide details on the used CNTs for better understandings on the effects
421 for future related studies.

422 **CRediT authorship contribution statement**

423 **Dawei Zhang:** Data curation, Methodology, Formal analysis, Investigation, Writing -
424 original draft, Writing - review & editing. **Ying Huang:** Project administration, Funding
425 acquisition, Supervision, Writing - review & editing. **Leonard Chia:** Methodology,
426 Investigation.

427 **Declaration of interest**

428 The authors declare that they have no known competing financial interests or personal
429 relationships that could have appeared to influence the work reported in this paper.

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576

577 **Figure captions**

578 Fig. 1 The complete mixing procedures: (a) CNT suspensions; (b) CNT reinforced epoxy
579 composites.

580 Fig. 2 Test set-up: (a) Particle size analysis; (b) SLS test.

581 Fig. 3 TEM images showing the diamters of CNTs: (a) N10; (b) N20; (c) N50

582 Fig. 4 TEM images showing the lengths CNTs: (a) N20; (b) S20

583 Fig. 5 Volume weighted particle size distributions of CNT suspensions of different CNT
584 geometries: (a) 0.5%; (b) 1%; (c) 2% CNT fractions

585 Fig. 6 TEM images showing the real dispersion states of CNTs with different geometries
586 and fractions: (a): N10-2; (b) N20-0.5; (c) N20-2; (d) N20-1; (e) N50-2; (f) S20-2

587 Fig. 7 Average stress-strain curves of CNT reinforced epoxy composites with different
588 CNT geometries: (a) 0.5%; (b) 1%; (c) 2% CNT fractions

589 Fig. 8 Lap shear strenths of CNT reinforced epoxy composites with different CNT
590 geometries: (a) 0.5%; (b) 1%; (c) 2% CNT fractions

591 Fig. 9 Toughness of CNT reinforced epoxy composites with different CNT geometries:
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593 Fig. 10 SEM images on the fracutre surfaces of CNT reinforced epoxy composites: (a)
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595 Fig. 11 SEM images at high magnification: (a) N10-0.5; (b) N50-0.5

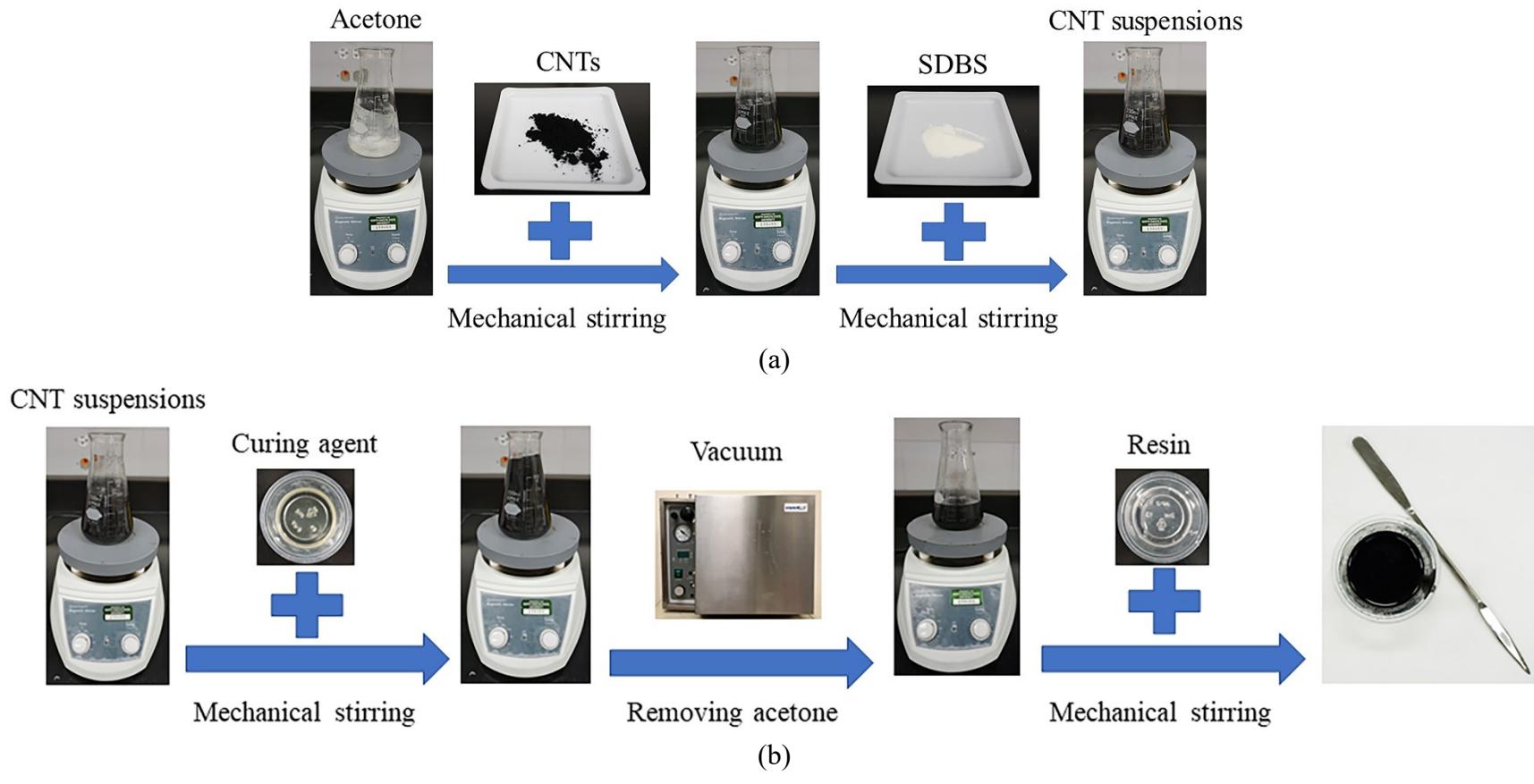
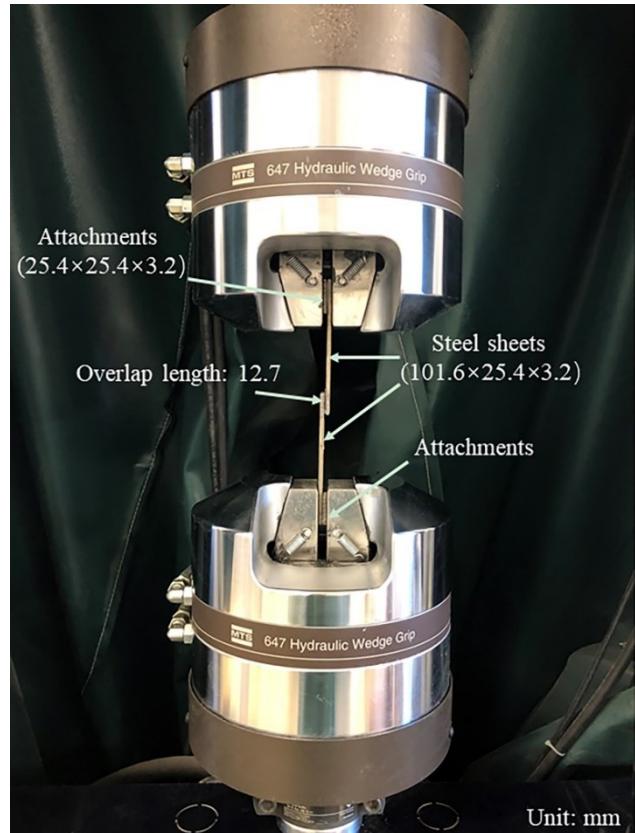


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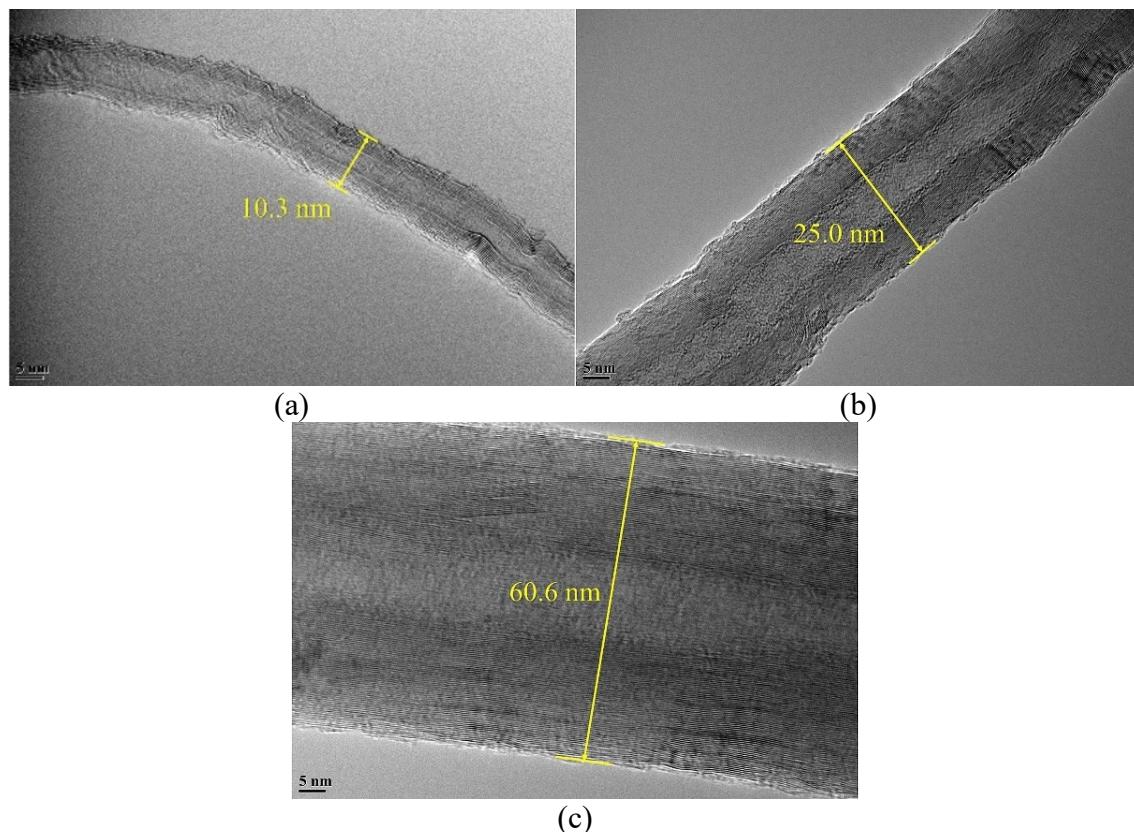


(a)



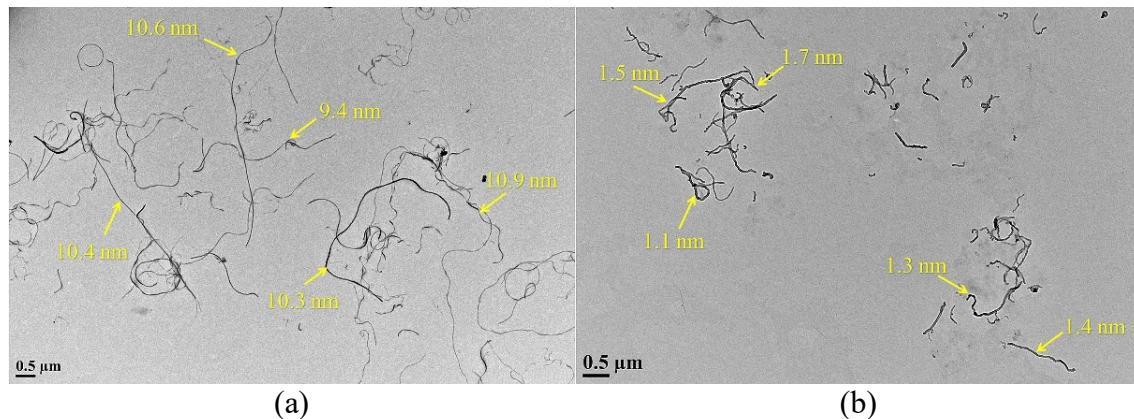
(b)

Fig.2 Test set-up: (a) Particle size analysis; (b) SLS test



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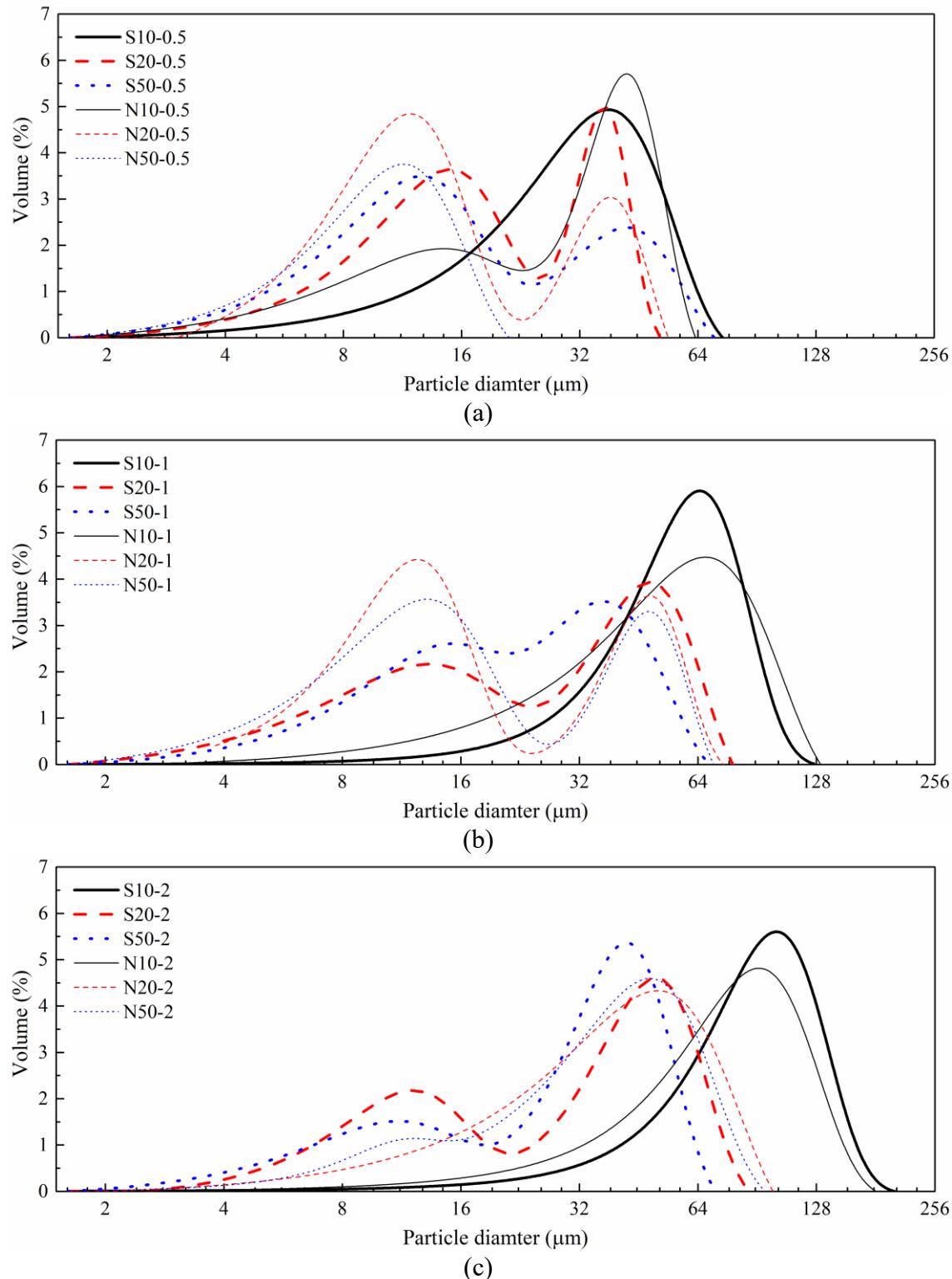
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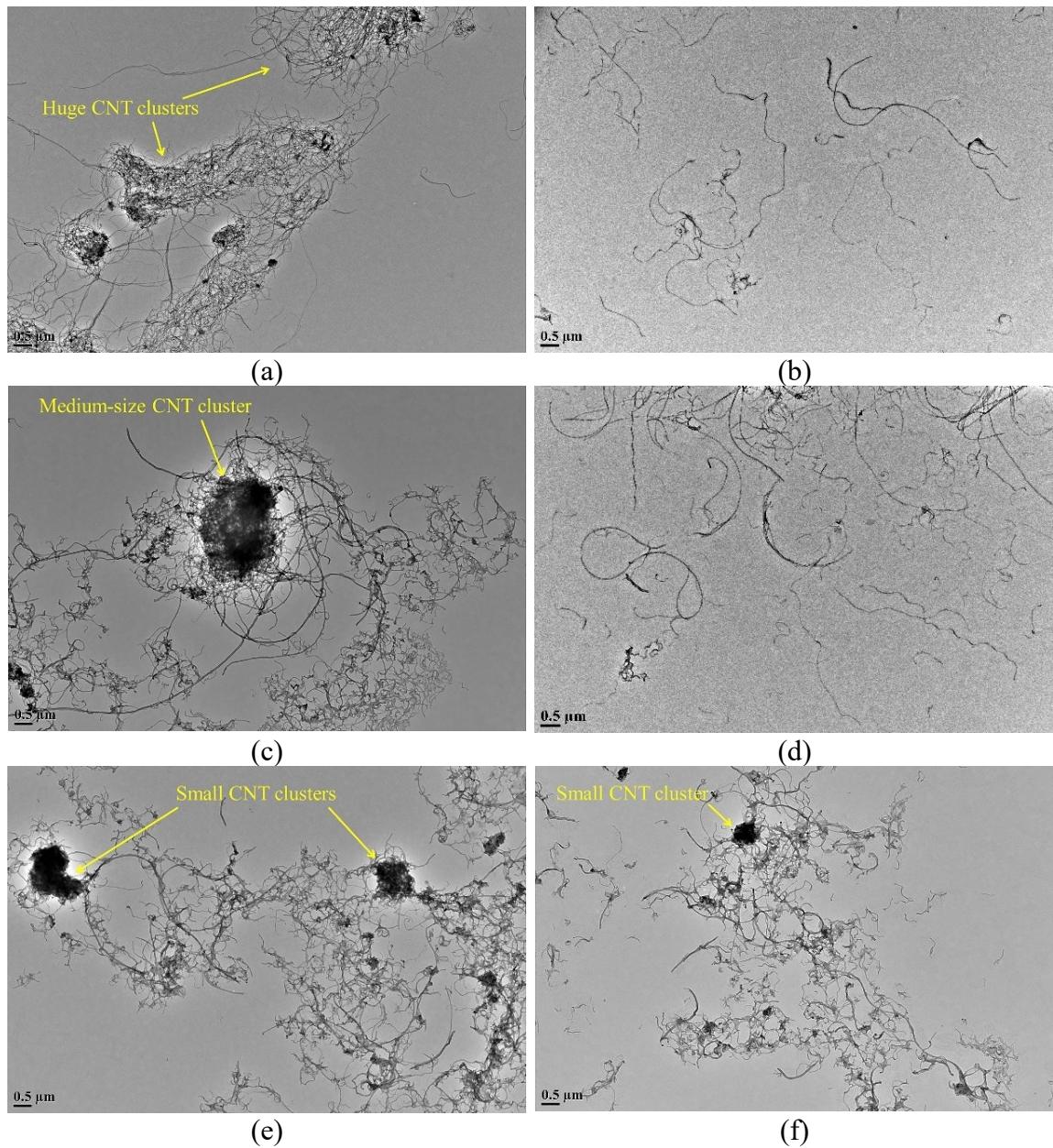
Fig. 4 TEM images showing the lengths CNTs: (a) N20; (b) S20.

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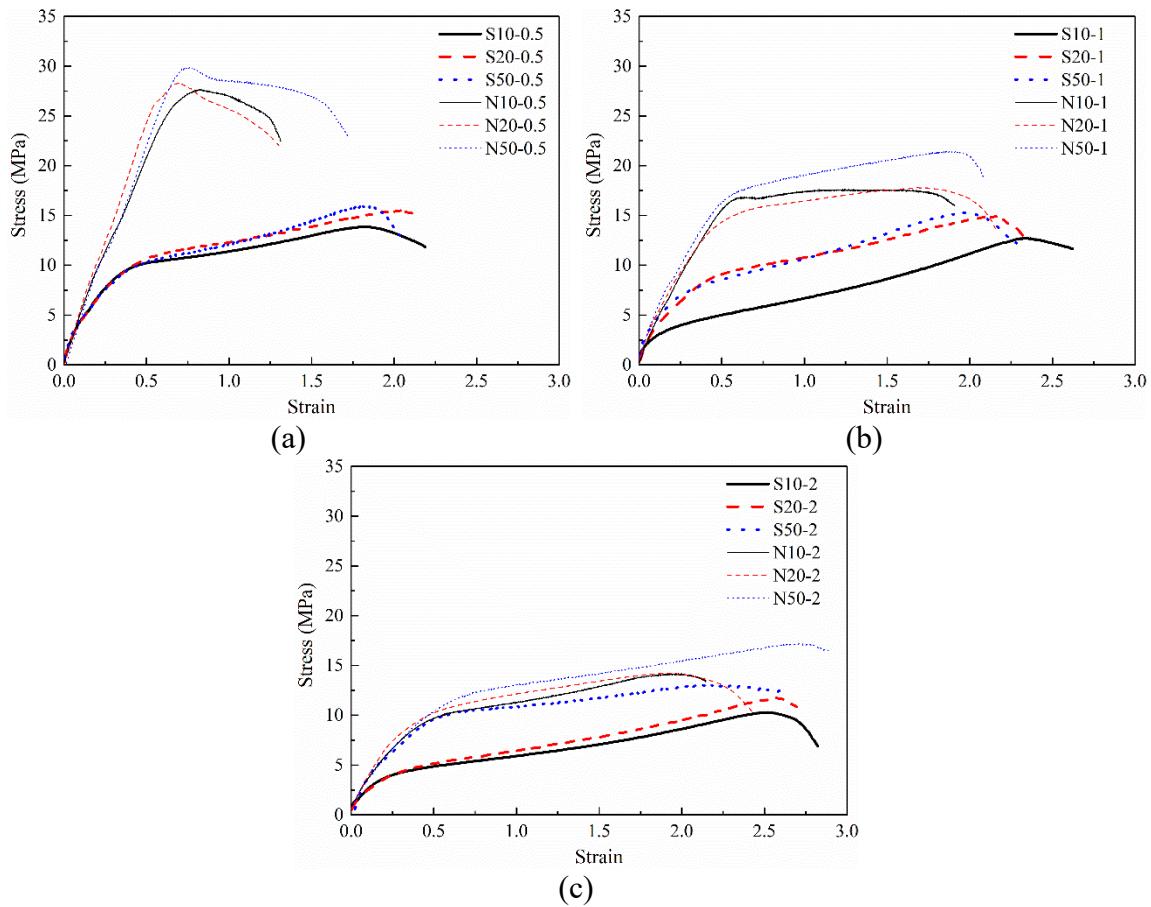
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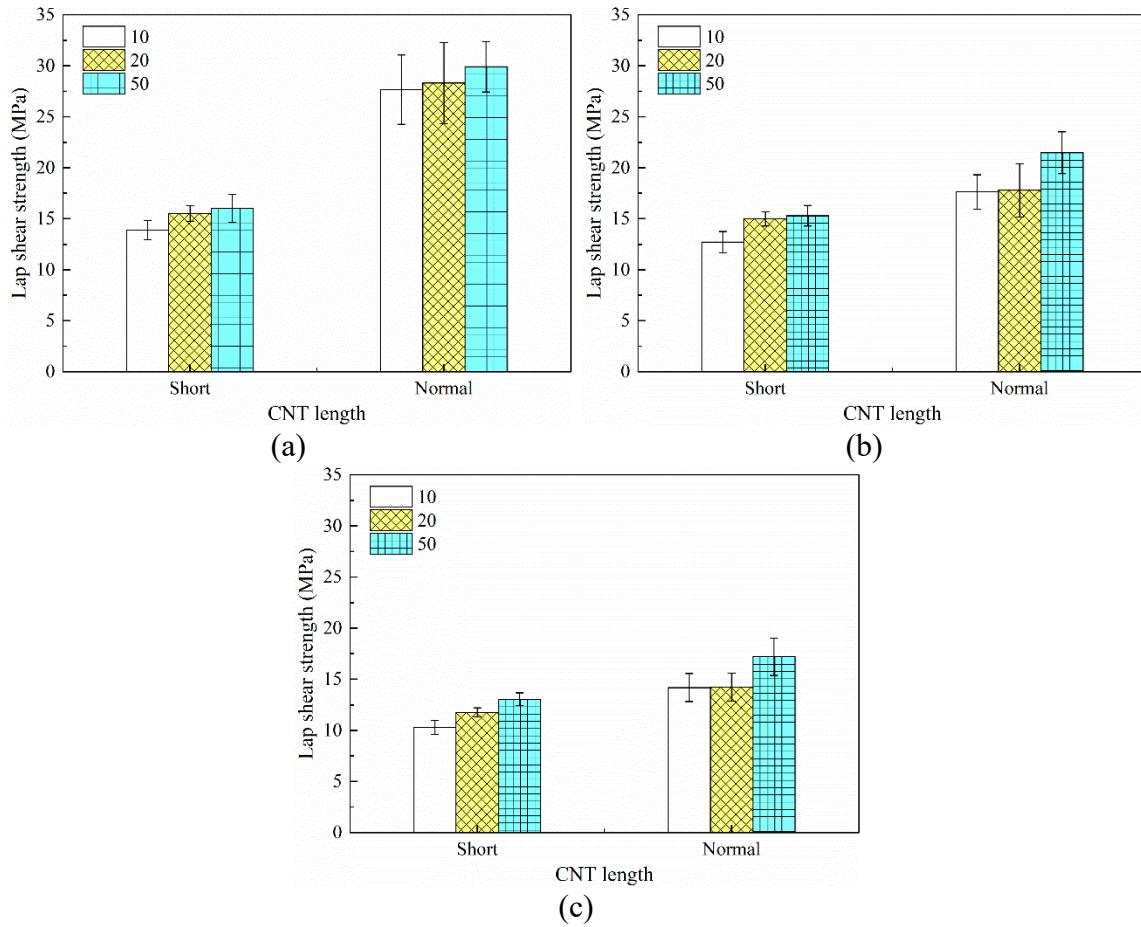
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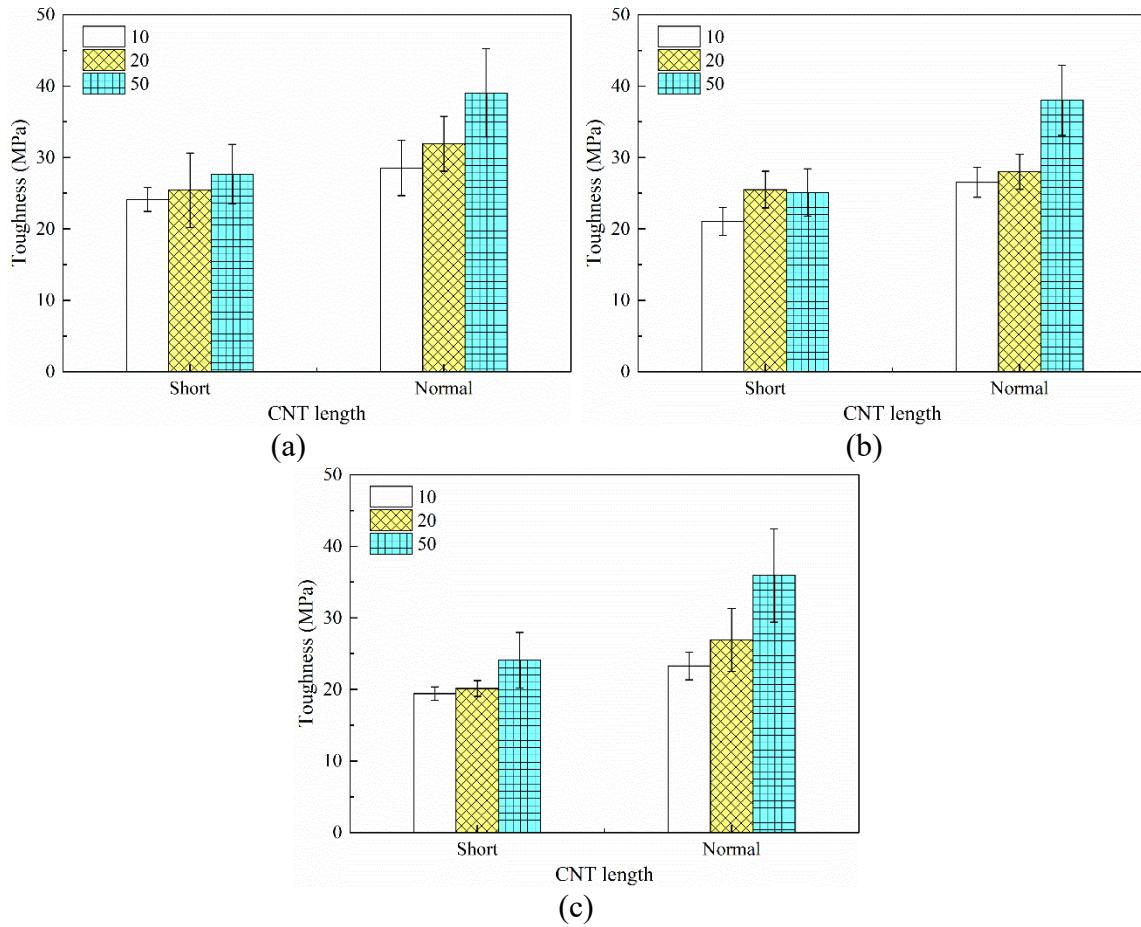
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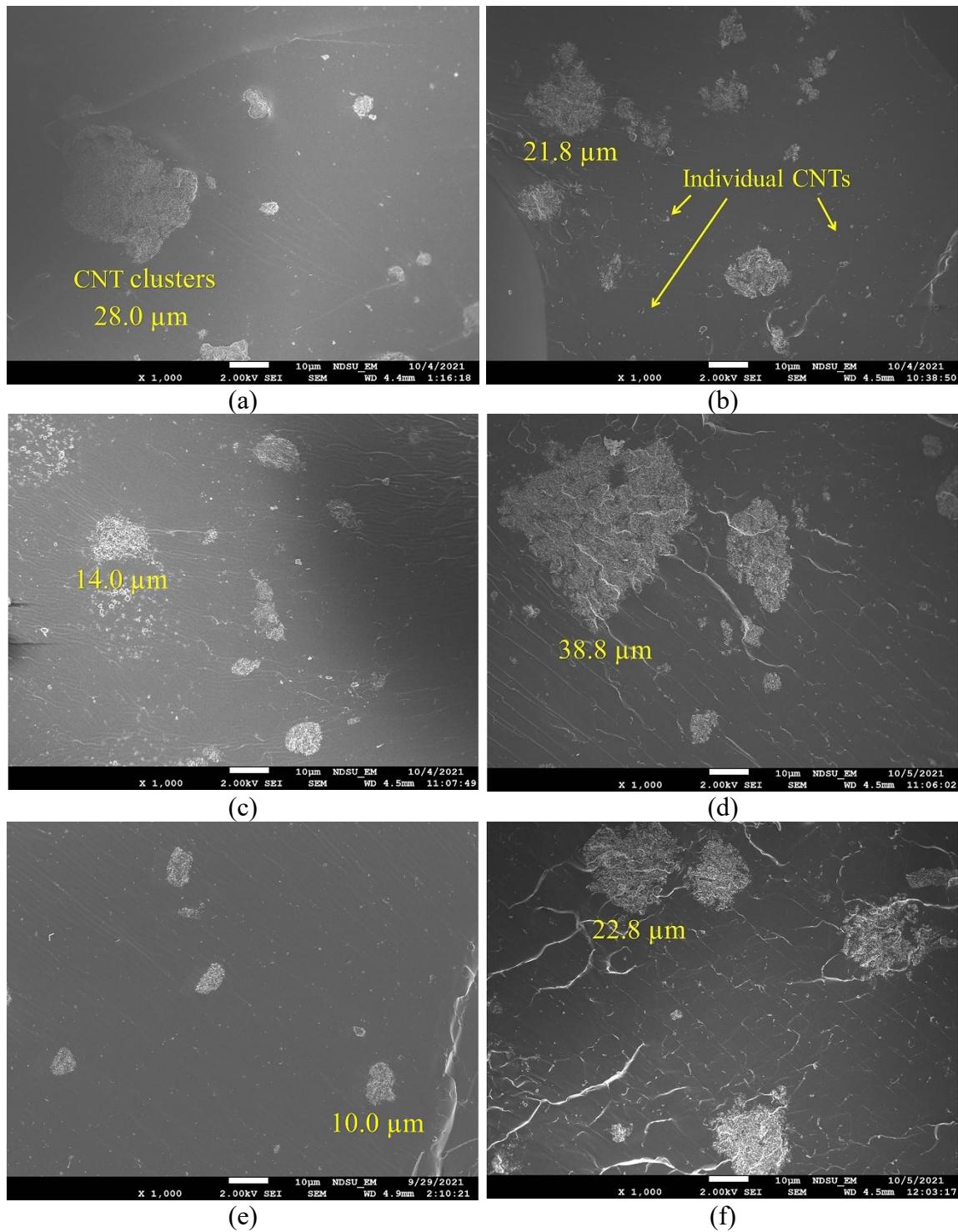
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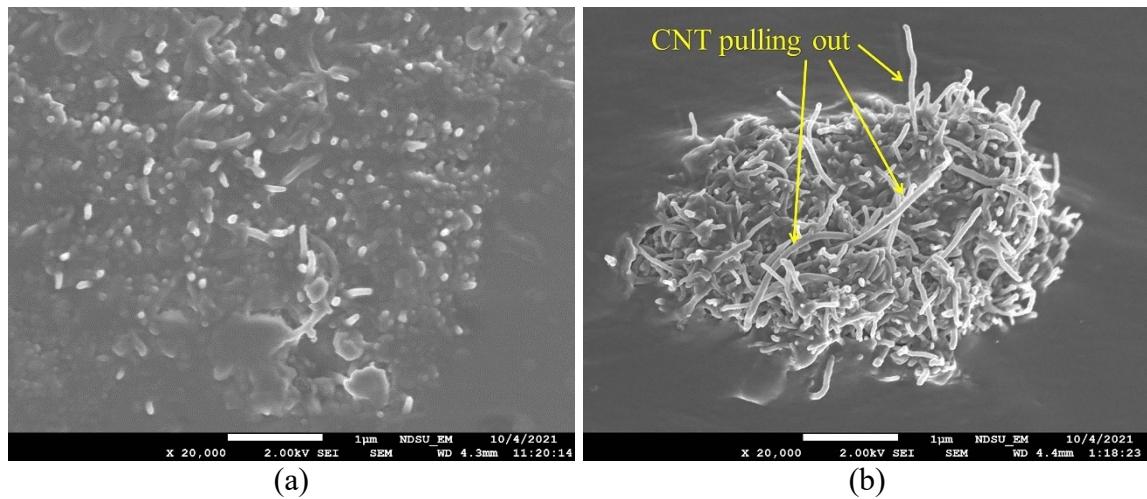
614 Fig. 9 Toughness of CNT reinforced epoxy composites with different CNT geometries:
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616



617 Fig. 10 SEM images on the fracture surfaces of CNT reinforced epoxy composites: (a)
618 N10-0.5; (b) N20-1; (c) N20-0.5; (d) N20-2; (e) N50-0.5; (f) S50-0.5.

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620

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