

1 **Reproductive state modulates utricular auditory sensitivity in a vocal fish**

2 **Running title:** Reproductive state modulates utricular sensitivity

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24

25 **Abstract**

26 The plainfin midshipman, *Porichthys notatus*, is a seasonally breeding vocal fish that relies on acoustic
27 communication to mediate nocturnal reproductive behaviors. Reproductive females use their auditory
28 sense to detect and localize “singing” males that produce multiharmonic advertisement (mate) calls
29 during the breeding season. Previous work showed that the midshipman saccule, which is considered the
30 primary end organ used for hearing in midshipman and most other fishes, exhibit reproductive state and
31 hormone-dependent changes that enhanced saccular auditory sensitivity. In contrast, the utricle was
32 previously posited to serve primarily a vestibular function, but recent evidence in midshipman and related
33 toadfish suggests that it may also serve an auditory function and aid in the detection of behaviorally-
34 relevant acoustic stimuli. Here, we characterized the auditory evoked potentials recorded from utricular
35 hair cells in reproductive and non-reproductive female midshipman in response to underwater sound to
36 test the hypothesis that variation in reproductive state affects utricular auditory sensitivity. We show that
37 utricular hair cells in reproductive females exhibit up to a 6-fold increase in the utricular potential
38 magnitude and have thresholds based on measures of particle acceleration (re: 1 ms^{-2}) that are 7-10 dB
39 lower than non-reproductive females across a broad range of frequencies, which include the dominant
40 harmonics of male advertisement calls. This enhanced auditory sensitivity of the utricle likely plays an
41 essential role in facilitating midshipman social and reproductive acoustic communication.

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44 **Keywords:** Utricle, Auditory, Seasonal plasticity, Hair cells

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46 **New & Noteworthy**

47 In many animals, vocal-acoustic communication is fundamental for facilitating social behaviors. For the
48 vocal plainfin midshipman fish, the detection and localization of social acoustic signals is critical to the
49 species' reproductive success. Here, we show that the utricle, an inner ear end organ often thought to
50 primarily serve a vestibular function, serves an auditory function that is seasonally plastic and modulated
51 by the animal's reproductive state effectively enhancing auditory sensitivity to courting male
52 advertisement calls.

53 **Introduction**

54 Seasonal changes in sensory processing related to an animal's reproductive cycle occur in many
55 non-mammalian vertebrates including songbirds, amphibians, and fishes [For review see (1–3)].
56 Furthermore, reproductive-related changes in sensory processing of auditory information occur in a
57 number of seasonally breeding species that rely on acoustic communication to mediate social interactions
58 in a reproductive context [e.g., birds: (4–9); amphibians: (10–12); and fishes: (13, 14)]. However, previous
59 work has primarily focused on reproductive state-dependent changes in sensitivity of the central auditory
60 system (5, 6, 10–12) or primary hearing organs of the peripheral auditory system (4, 13, 14). Here, we
61 consider reproductive state-dependent changes in the frequency sensitivity and auditory gain of the
62 utricle, an end organ not often associated with an auditory function, in a seasonally breeding vertebrate
63 for which the detection and localization of conspecific acoustic signals is critical to its reproductive
64 success.

65 The plainfin midshipman fish (*Porichthys notatus*) is a seasonally breeding vocal fish that
66 produces social acoustic signals for intraspecific communication during the reproductive season. The
67 social behaviors of this nocturnally-active species are highly dependent upon the production and
68 reception of acoustic signals, which makes the midshipman an excellent model for investigating the
69 neural mechanisms of acoustic communication, especially those related to seasonal changes in vocal-
70 acoustic behavior and auditory reception (15–17). During the late spring and summer, midshipman
71 migrate into the shallow intertidal zone to reproduce and care for their offspring. Courting (type I) males
72 establish nest sites in the rocky substrate where they produce long-duration multiharmonic advertisement
73 calls to attract gravid females for reproduction (18). Previous work has shown that females exhibit
74 reproductive state- and hormone-dependent changes in the auditory sensitivity of the saccule, such that
75 reproductive females are better suited than non-reproductive females to detect conspecific vocalizations
76 (13, 19, 20). This steroid-, reproductive state-dependent modulation of auditory saccular sensitivity is
77 thought to enhance the coupling of sender and receiver in the midshipman acoustic communication
78 system.

79 In most fishes, the inner ear saccule is often the largest otolithic end organ and most associated
80 with hearing (21, 22), while the smaller utricle has been posited to serve primarily a vestibular function as

81 a gravistatic organ (23–27). However, recent evidence in toadfish and midshipman (Family
82 Batrachoididae) suggests that the utricle is capable of detecting and encoding behaviorally-relevant
83 acoustic stimuli including conspecific vocalizations (28–30). Yet, the extent to which the utricle may
84 exhibit reproductive-related changes in auditory sensitivity to social acoustic signals remains unknown.

85 Here, we test the hypothesis that seasonal variation in reproductive state modulates the auditory
86 sensitivity of the utricle in female plainfin midshipman. We compare the auditory evoked utricular
87 potentials of reproductive and non-reproductive females to determine whether there are differences
88 related to reproductive state in the frequency response and auditory threshold of utricular hair cells to
89 behaviorally-relevant auditory stimuli. We show that the utricle serves an auditory function that is
90 seasonally plastic and highly adapted in reproductive females to detect the dominant frequencies of
91 conspecific vocalizations.

92 **Materials and Methods**

93 ***Animal collection and husbandry***

94 Non-reproductive adult female plainfin midshipman fish, *Porichthys notatus* Girard 1854, were collected
95 via otter trawls (*R/V Kittiwake*, Friday Harbor Laboratories) in January 2021 from the Puget Sound near
96 Edmonds, WA, at depths ranging from 85 to 100 m. Reproductive adult female plainfin midshipman were
97 collected during their breeding season (May – June 2021) by hand at low tide from exposed nest sites in
98 the rocky intertidal area at Seal Rock near Brinnon, WA. Following collection, fish were transported to the
99 University of Washington and housed in a 350 L recirculating artificial saltwater tank maintained at 15 ± 2
100 °C and kept on either a winter (9/15-h) or summer (12/12-h) light/dark photoperiod, which corresponds
101 with the non-reproductive and reproductive ambient photoperiods, respectively. Before each physiology
102 experiment, standard length (SL; cm) and body mass (BM; g) were recorded and sex was determined by
103 visual inspection of the gonads. The gonadosomatic index [GSI; defined here as $100 \times (\text{gonad mass} / (\text{BM} - \text{gonad mass}))$] for each fish was recorded following each experiment. Utricular hair cell potential
104 recordings were performed within 17 days after trawl collection in the winter and 14 days after hand-
105 collection during the summer to minimize any effects of prolonged captivity on midshipman auditory
106 sensitivity while still allowing the animals to recover from capture-related stress.

108 **Acoustic stimulus and calibration**

109 The methodology used for acoustic stimulus presentation and calibration was similar to that of previously
110 published work (13, 29, 31–35). Acoustic stimuli were generated by a lock-in amplifier (SR830, Stanford
111 Research Systems, Sunnyvale, CA, USA), which sent pure tone signals to an audio amplifier (BG-1120,
112 TOA Corporation, Hyogo, Japan) and then to an underwater speaker (UW-30, Telex Communications,
113 Burnsville, MN, USA). The midshipman utricle is likely highly sensitive to particle motion along the
114 horizontal plane as both the otolith (i.e., lapillus) and hair cells are oriented in the horizontal plane [Fig.
115 1b, supplementary fig. 1 <https://figshare.com/s/e17c71d36f441dce06e4>; but also see fig. 6 in (36)].
116 Therefore, the underwater speaker was positioned such that the speaker's face resided along the
117 horizontal plane and was fully submerged 2 cm below the water's surface (Figure 1a – 1). Acoustic stimuli
118 consisted of single 500 ms pure tones repeated 8 times at a rate of one every 1.5 s. Acoustic stimuli were
119 randomly presented at the following frequencies 105, 125, 145, 165, 185, 205, 245, 285, 305, 405, 505,
120 605, 705, 805, 905, and 1005 Hz, which encompasses the dominant bandwidth frequencies contained
121 within the male midshipman advertisement call and avoids frequencies that could potentially cause
122 interference associated with resonance frequencies of the experimental tank [see Rogers and Sisneros,
123 2020 for tank acoustic properties].

124 All acoustic stimuli were calibrated relative to the stimuli's sound pressure (dB re: 1 μ Pa) via a
125 mini-hydrophone (model 8103, Brüel and Kjaer, Naerum, Denmark) connected to a conditioning amplifier
126 (gain = 100 mV/Pa, NEXIS 2692-0S1, Brüel and Kjaer, Naerum, Denmark). However, only certain groups
127 of fishes can detect sound pressure via secondary structures that are close in proximity or connect to the
128 inner ear, and function to convert the received sound pressure wave into local particle motion that
129 stimulates the inner ear. Previous midshipman studies showed that both the saccule and lagena are
130 sound pressure sensitive based on their proximity to the swim bladder (35, 37). However, it remains to be
131 determined if the utricle is sensitive to sound pressure; therefore, we also report the equivalent particle
132 acceleration levels (dB re: 1 ms^{-2}) that corresponded to the sound pressure levels (dB re: 1 μ Pa) used in
133 this study, based on our calibration procedures (detailed below).

134 Particle acceleration levels (dB re: 1 ms^{-2}) were determined by suspending a neutrally buoyant
135 waterproofed triaxial accelerometer (Model VW3567A12; Sensitivity at 100 Hz: 10.42 mV/ ms^{-2} (x-axis),

136 10.03 mV/ms⁻² (y-axis), 10.37 mV/ms⁻² (z-axis); PCB Piezotronics, Depew, NY, USA) that connected to a
137 signal conditioner (gain = $\times 100$ /axis; Model: 482A16; PCB Piezotronics, Depew, NY, USA). For both
138 sound pressure (dB re: 1 μ Pa) and particle acceleration (dB re: 1 ms⁻²) measurements, the mini-
139 hydrophone and particle accelerometer, respectively, were suspended 10 cm perpendicular to the face of
140 the underwater speaker and 4 cm below the water's surface to coincide with the position of the
141 midshipman inner ear during auditory evoked hair cell potential measurements. Sound pressure level (dB
142 re: 1 μ Pa) measurements were calibrated by measuring the peak-to-peak (pk-pk) voltage (V_{pk-pk})
143 amplitude on an oscilloscope (Tektronix, Beaverton, OR, USA) and then equalized in sound pressure
144 level (dB re: 1 μ Pa) using a custom MATLAB (MathWorks Inc., Natick, MA, USA) script, which measured
145 the power spectral density for all tested frequencies. The signal (V_{pk-pk}) sent to the speaker was scaled
146 until a reference peak-to-peak sound pressure level (SPL_{pk-pk}) output from the speaker of 130 ± 0.5 dB re:
147 1 μ Pa was achieved. Particle acceleration level (dB re: 1 ms⁻²) measurements were acquired by
148 measuring the particle motion amplitude (V_{pk-pk}) of each tested frequency across the entire range of sound
149 levels using a National Instruments data acquisition system (Model: NI USB-6009, National Instruments,
150 Austin, TX, USA) and visualized using LabVIEW software (National Instruments, Austin, TX, USA). Using
151 a custom LabVIEW (National Instruments, Austin, TX, USA) script, particle motion amplitude
152 measurements (V_{pk-pk}) for each axis (x-, y-, and z-axis) were corrected for the gain (sensitivity) of the
153 accelerometer. Particle motion values (dB re: 1 ms⁻²) for each test frequency at three representative
154 sound levels (130, 142, and 154 dB re: 1 μ Pa) are displayed in supplementary figure 2
155 (<https://figshare.com/s/e17c71d36f441dce06e4>).

156 ***Utricular potential measurements***

157 The methodology for recording utricular hair cell potentials follows the techniques used in our previous
158 study, which measured the auditory evoked potentials from the utricular hair cells of adult male plainfin
159 midshipman (29). Midshipman were anesthetized by immersion in a 0.025% ethyl *p*-aminobenzoate
160 (benzocaine) buffered saltwater bath and then given an intramuscular injection of bupivacaine HCL (~1
161 mg/kg of BM) and cisatracurium besylate (~3 mg/kg of BM) for analgesia and immobilization, respectively.
162 A craniotomy was then performed lateral the sagittal crest of the skull to expose the inner ear saccule and

163 utricle and the brain (Figure 1b) and a hydrophobic barrier (approximately 2.5 cm dia. x 5 cm height)
164 made of denture adhesive cream (Fixodent, Proctor and Gamble Company, Cincinnati, OH, USA) was
165 constructed around the craniotomy to prevent saltwater contamination during experimental testing (Figure
166 1a – 2). Fish were then transferred to the experimental tank (40 cm diameter, 20 cm water depth), which
167 was maintained on a vibration-isolation table (TMC Vibration Control, Peabody, MA, USA) inside a sound
168 attenuation chamber (Industrial Acoustics, New York, NY, USA), suspended in the center of the
169 experimental tank using acoustically transparent film (Figure 1a – 3), head-fixed 4 cm below the water's
170 surface via a custom-built acrylic head holder (Figure 1a – 4) and perfused with chilled saltwater (13 –
171 15°C) throughout experimental testing (Figure 1a – 5).

172 Auditory evoked utricular hair cell potentials were recorded using borosilicate glass
173 microelectrodes (2 mm outer diameter; 1.16 mm inner diameter; A-M Systems, Sequim, WA) that were
174 pulled using a Narishige puller (Model: PE-21) and filled with 3 M KCl (impedance: 4.0 – 8.0 MΩ).
175 Electrodes were positioned in close proximity (≤ 2 mm) to the medial region of the utricle near the sensory
176 epithelia (Figure 1a – 6). The analog evoked potential signals were pre-amplified (10 \times ; Model 5A, Getting
177 Instruments, San Diego, CA, USA), bandpass filtered (0.07 to 3 kHz), and then amplified (10 \times) again via a
178 digital filter (model SR650, Stanford Research Systems, Sunnyvale, CA, USA). Using a lock-in amplifier
179 (SR830, Stanford Research Systems, Sunnyvale, CA, USA), the output signal, which was proportional to
180 the utricular hair cell evoked response to the stimulus fundamental frequency, was locked to a reference
181 frequency set to the second harmonic of the pure tone stimulus frequency (i.e., 2 * fundamental
182 frequency), which due to populations of oppositely oriented hair cells in the teleost inner ear corresponds
183 to the greatest evoked potential amplitudes (31, 38–40) (Figure 1c). At the start of each experimental
184 recording session, control trials (i.e., no sound stimulus) were conducted to measure background utricular
185 potential levels ($n = 8$ measurements) under ambient sound levels (-71 ± 1 dB re: 1 ms^{-2} ; 76 ± 1 dB re: 1
186 μPa). After determining background levels, stimulus trials across the experimental frequency bandwidth
187 were carried out to construct iso-intensity level responses at various sound levels (Figure 1d). All
188 experimental trials were carried out using a custom MATLAB script, which controlled stimulus timing and
189 acquired data, and all data were stored on a desktop computer.

190 **Analyses**
191 Utricular hair cell auditory threshold tuning curves relative to particle acceleration (dB re: 1 ms⁻²) and
192 sound pressure (dB re: 1 µPa) were determined via input-output measurements of the evoked receptor
193 potentials over the range of tested frequencies (105–1005 Hz) and sound levels (-46.1 – 1.8 dB re: 1 ms⁻²;
194 103 – 154 dB re: 1 µPa). The auditory threshold level was defined as the lowest stimulus level that
195 yielded the lowest mean utricular evoked potential that was at least two standard deviations above the
196 background electrical noise measurement. The frequency that evoked the lowest utricular threshold was
197 defined as the characteristic frequency (CF), while the frequency that elicited the highest evoked utricular
198 hair cell potential response was defined as the best frequency (BF). Particle acceleration level (dB re: 1
199 ms⁻²) thresholds were calculated as the combined magnitude vector of particle acceleration in dB scale
200 (Eq. 1) (33, 35, 41–44) as follows:

$$201 \text{ dB re: } 1 \text{ ms}^{-2} = 20 \text{ Log}_{10}(\sqrt{x^2 + y^2 + z^2}) \quad (\text{Eq. 1})$$

202 For all statistical tests, the significance level was defined at 0.05. To determine if reproductive
203 state plays a role in modulating utricular hair cell auditory thresholds, the effects of reproductive state and
204 stimulus frequency were analyzed via a repeated-measures analysis of variance (ANOVA, between-
205 subject factor: reproductive state, within-subject factor: frequency * reproductive state). Since we were
206 only interested in how reproductive state modulates frequency sensitivity, *a priori* pairwise t-tests
207 compared the frequency-dependent auditory sensitivity of females from different reproductive states
208 across the stimulus frequency bandwidth (105 – 1005 Hz). Additionally, separate two-sample t-tests were
209 performed to determine significant differences between the SL, BM, and GSI of reproductive and non-
210 reproductive fish. All statistical analyses were performed using MATLAB software (MathWorks Inc.,
211 Natick, MA, USA).

212 **Results**
213 Auditory evoked potentials were recorded from the utricle of 33 adult female plainfin midshipman fish: 16
214 non-reproductive females with standard lengths (SL) that ranged from 12.4 – 19.2 cm (15.0 ± 2.2 cm;
215 mean ± SD), body masses (BM) that ranged from 27.3 – 55.9 g (36.1 ± 9.0 g) and gonadosomatic indices

216 (GSI) that ranged from 0.4 – 4.0 (1.8 ± 1.1), and 17 reproductive females with SL that ranged from 11.6 –
217 20.2 cm (16.2 ± 2.4 cm), BM that ranged from 35.5 – 111.0 g (78.3 ± 16.4 g) and GSI that ranged from
218 15.2 – 40.6 (31.8 ± 5.9). When comparing the morphometrics of non-reproductive and reproductive
219 female plainfin midshipman, there was no difference in SL (two-sample t-test, $t_{1,31} = -1.499$, $p = 0.144$);
220 however, both BM (two-sample t-test, $t_{1,31} = -9.069$, $p < 0.001$) and GSI (two-sample t-test, $t_{1,31} = -19.916$,
221 $p < 0.001$) were larger in the reproductive females, which is reflective of their reproductive status [i.e.,
222 gravid (full of eggs) vs. non-gravid females].

223 Auditory evoked potentials were recorded from utricular hair cells in response to particle
224 acceleration and sound pressure levels that ranged from -46.1 to 1.8 dB re: 1 ms⁻² and 103 to 154 dB re:
225 1 µPa, respectively. Iso-level response profiles of the utricular evoked potentials were generated from the
226 presentation of single tone stimuli that ranged from 105 Hz to 1005 Hz for three sound levels: 154 dB re:
227 1 µPa (-0.8 – 10.8 dB re: 1 ms⁻²), 142 dB re: 1 µPa (-12.9 – -0.9 dB re: 1 ms⁻²), and 130 dB re: 1 µPa (-
228 25.9 – -12.5 dB re: 1 ms⁻²), which corresponded to a broad range of sound levels that have been
229 recorded in the nest of calling type I males (i.e., 154 – 161 dB re: 1 µPa) (29, 34) and recorded at or
230 within 1 m of a calling type I males nest (i.e., 130 – 142 dB re: 1 µPa) (34, 45, 46) [see supplementary
231 figure 2 for details regarding the frequency-specific particle acceleration levels (dB re: 1 ms⁻²) for the three
232 sound pressure levels: 154, 142, and 130 dB re: 1 µPa; <https://figshare.com/s/e17c71d36f441dce06e4>].
233 Figure 2 displays representative utricular evoked iso-level response curves of non-reproductive and
234 reproductive females in response to the bandwidth of tested frequencies (105 – 1005 Hz) at 154, 142,
235 and 130 dB re: 1 µPa. Iso-level response curves consisted of profiles that had best frequencies (BFs,
236 defined as the frequency that evoked the greatest utricular evoked potential magnitude at a given iso-
237 level) ranging from 105 – 205 Hz in non-reproductive and reproductive females. Both non-reproductive
238 and reproductive females had median BFs of 145 Hz at each of the sound levels tested, with no
239 difference in the median BFs observed between non-reproductive and reproductive females at 154 dB re:
240 1 µPa (0.4 dB re: 1 ms⁻²) (Friedman test, $\chi^2 = 0$, $df = 1$, $p = 1$), 142 dB re: 1 µPa (11.6 dB re: 1 ms⁻²)
241 (Friedman test, $\chi^2 = 0.2$, $df = 1$, $p = 0.6547$), and 130 dB re: 1 µPa (23.6 dB re: 1 ms⁻²) (Friedman test, χ^2
242 = 0, $df = 1$, $p = 1$).

243 The magnitude of the auditory evoked potentials recorded from utricular hair cells in response to
244 pure tone stimuli was greater in reproductive females compared to non-reproductive females. Figure 3
245 illustrates the mean iso-level response profiles of the evoked utricular potentials from non-reproductive
246 and reproductive females in response to pure tones (105–1005 Hz) at 154, 142, and 130 dB re: 1 μ Pa.
247 Reproductive females had significantly higher evoked utricular potentials than non-reproductive females
248 within sound levels encompassing a range of biologically-relevant sound levels [One-way repeated
249 measures ANOVA, between-subject factor: reproductive state) at 154 dB re: 1 μ Pa ($F_{1, 912} = 235.4, p <$
250 0.001), 142 dB re: 1 μ Pa ($F_{1, 912} = 247.0, p < 0.001$), and 130 dB re: 1 μ Pa ($F_{1, 912} = 166.5, p < 0.001$)], and
251 exhibited a significant interaction of frequency and reproductive state at 154 dB re: 1 μ Pa ($F_{1, 15} = 12.0, p$
252 < 0.001), 142 dB re: 1 μ Pa ($F_{1, 15} = 16.7, p < 0.001$), and 130 dB re: 1 μ Pa ($F_{1, 15} = 19.5, p < 0.001$).
253 Additionally, frequency-specific differences in the evoked magnitude response of the utricular hair cells
254 were also observed between non-reproductive and reproductive females within each sound level tested
255 (a prior t-tests for pair comparisons were used to determine frequency-specific differences in utricular
256 potentials). The magnitudes of evoked utricular potentials were greater in reproductive females compare
257 to non-reproductive females at frequencies ≤ 505 Hz at 154 dB re: 1 μ Pa ($p < 0.05$; see supplementary
258 table 1; <https://figshare.com/s/e17c71d36f441dce06e4>), ≤ 805 Hz at 142 dB re: 1 μ Pa ($p < 0.05$; see
259 supplementary table 2; <https://figshare.com/s/e17c71d36f441dce06e4>), and ≤ 305 Hz at 130 dB re: 1 μ Pa
260 ($p < 0.05$; see supplementary table 3; <https://figshare.com/s/e17c71d36f441dce06e4>). The greatest
261 evoked utricular potential magnitude change with respect to differences in reproductive state occurred at
262 105 Hz and 125 Hz at a sound pressure level of 130 dB re: 1 μ Pa (particle acceleration level at 105 Hz = -
263 20.9 dB re: 1 ms^{-2} and 125 HZ = -23.2 dB re: 1 ms^{-2}); at this sound pressure level reproductive females
264 had evoked potentials that were 6.3 and 6.2 times greater than in non-reproductive females, respectively
265 (see supplementary table 3; <https://figshare.com/s/e17c71d36f441dce06e4>). In sum, reproductive
266 females exhibited greater evoked utricular potentials than non-reproductive females across the frequency
267 bandwidth tested here, with mean magnitudes that were 2.2, 2.7, and 4.1 times greater at sound pressure
268 levels of 154 dB re: 1 μ Pa (for frequencies ≤ 505 Hz), 142 dB re: 1 μ Pa (frequencies ≤ 805 Hz) and 130
269 dB re: 1 μ Pa (frequencies ≤ 305 Hz), respectively (see supplementary tables 1-3;
270 <https://figshare.com/s/e17c71d36f441dce06e4>).

271 Auditory threshold curves based on particle acceleration (dB re: 1 ms⁻²) and sound pressure (dB
272 re: 1 µPa) were constructed from the evoked utricular potential recordings. Figure 4 illustrates
273 representative non-reproductive and reproductive female auditory threshold curves based on particle
274 acceleration (dB re: 1 ms⁻²) and sound pressure (dB re: 1 µPa). In general, the utricular auditory threshold
275 tuning curves of both non-reproductive and reproductive females exhibited the lowest thresholds at
276 frequencies \leq 205 Hz and steadily increased to the highest thresholds at frequencies \geq 705 Hz.
277 Characteristic frequencies (CFs, defined as the frequency that evoked the lowest utricular threshold) for
278 non-reproductive females ranged from 105 to 205 Hz (median CF = 105 Hz and 145 Hz based on particle
279 acceleration and sound pressure level tuning profiles, respectively), while for reproductive females CFs
280 ranged from 105 to 185 Hz (median CF = 105 Hz based on both particle acceleration and sound pressure
281 level tuning profiles). The CFs based on particle acceleration did not differ with respect to reproductive
282 state (Friedman test, $\chi^2 = 0.2$, df = 1, $p = 0.6547$); however, the CFs based on sound pressure were lower
283 in reproductive females when compared to non-reproductive females (Friedman test, $\chi^2 = 6$, df = 1, $p =$
284 0.01431).

285 The threshold tuning curves of non-reproductive and reproductive females relative to particle
286 acceleration (dB re: 1 ms⁻²) and sound pressure (dB re: 1 µPa) levels are summarized in Fig. 5. In
287 general, for females of both reproductive states, the lowest utricular thresholds occurred at the lowest
288 frequency tested (i.e., 105 Hz) (non-reproductive females: mean particle acceleration level threshold = -
289 28.9 ± 1.7 dB re: 1 ms⁻², mean sound pressure level threshold = 121.5 ± 1.7 dB re: 1 µPa; reproductive
290 females: mean particle acceleration level threshold = -36.5 ± 1.9 dB re: 1 ms⁻²; mean sound pressure
291 level threshold = 113.4 ± 1.9 dB re: 1 µPa), while the highest auditory threshold levels occurred between
292 705 Hz to 1005 Hz (non-reproductive females: mean particle acceleration level threshold range = -4 to -1
293 dB re: 1 ms⁻², mean sound pressure level threshold range = 150 to 153 dB re: 1 µPa; reproductive
294 females: mean particle acceleration level threshold range = -8 to -3 dB re: 1 ms⁻²; mean sound pressure
295 level threshold range = 148 to 151 dB re: 1 µPa). The auditory thresholds were lower (i.e., more sensitive)
296 in reproductive females than in non-reproductive females (One-way repeated measures ANOVA,
297 between-subject factor: reproductive state, particle acceleration level: $F_{1, 893} = 472.6$, $p < 0.001$, sound

298 pressure level: $F_{1, 893} = 473.6, p < 0.001$) and a significant interaction was observed between reproductive
299 state and frequency (One-way repeated measures ANOVA, within-subject factor: frequency *
300 reproductive state, particle acceleration level: $F_{1, 15} = 3.5, p < 0.001$, sound pressure level: $F_{1, 15} = 3.7, p <$
301 0.001). Furthermore, frequency-specific differences in auditory thresholds were observed between non-
302 reproductive and reproductive females with reproductive females being more sensitive than non-
303 reproductive females at frequencies from 105 to 805 Hz (*a priori* t-tests for pairwise comparisons of non-
304 reproductive and reproductive females across frequency, $p < 0.001$).

305 **Discussion**

306 The goal of this study was to determine whether seasonal changes in reproductive state modulate the
307 auditory sensitivity of the utricle in female plainfin midshipman. We show that the utricular hair cells of
308 reproductive females exhibit up to a 6-fold magnitude increase in their evoked response to auditory
309 stimuli and have particle acceleration thresholds that are 7-10 dB re: 1 ms^{-2} lower (i.e., more sensitive)
310 than non-reproductive females across a frequency bandwidth that includes the dominant frequencies
311 contained within type I male vocalizations. To our knowledge, this is the first study to demonstrate
312 reproductive state-dependent plasticity of the frequency sensitivity and auditory gain in the teleost utricle,
313 an inner ear end organ not often associated with auditory function. In this discussion, we consider how
314 changes in midshipman utricular auditory sensitivity may facilitate acoustic communication during social
315 and reproductive behaviors.

316 *Auditory sensitivity of the midshipman utricle*

317 In mammals, the utricle primarily serves a vestibular function as it detects linear acceleration, senses
318 horizontal translational movements, and plays an important role in static balance. However, in teleost
319 fishes, the utricle is one of three inner ear otolithic end organs (along with the saccule and lagena) that
320 acts as an inertial accelerometer and responds to direct displacement by acoustic particle motion and
321 linear accelerations primarily in the horizontal plane (21, 47, 48). While the saccule and lagena are most
322 often implicated in sound detection and directional hearing (49–51), the utricle is posited to serve
323 primarily a vestibular role functioning to detect head/body position relative to gravity (i.e., acts as a
324 gravistatic organ) (25–27).

325 In our current study, we show that the female midshipman utricle, especially in the reproductive
326 state, is sensitive to a broad range of acoustic frequencies with a relatively high gain in particle
327 acceleration sensitivity (dB re: 1 ms⁻²) from 105 to 1005 Hz (Fig. 5). Our results confirm previous studies,
328 which showed that the utricle in batrachoid fishes (toadfishes and midshipman) serves an auditory
329 function and is capable of detecting behaviorally-relevant acoustic stimuli (28, 29). Further support for the
330 utricle of batrachoids serving an auditory function is the neuroanatomical evidence provided by Highstein
331 et al. (1992) and Sisneros et al. (2002). Highstein et al. (1992) showed that utricular afferents in toadfish
332 project to the rostral “finger” and dorsolateral aspect of the hindbrain descending octaval nucleus (DON),
333 while Sisneros et al. (2002) showed that the midshipman utricle has extensive projections to the
334 intermediate and rostral intermediate auditory zones of the hindbrain DON; note that the rostral
335 “fingerlike” extension described by Highstein et al. (1992) is similar in position and extent to the rostral
336 intermediate zone of the midshipman DON, as described by Bass et al. (2000). Furthermore, Sisneros et
337 al. (2002) showed via transneuronal labeling that the principal cells in the midshipman DON that receive
338 input from utricular afferents subsequently project centrally to terminals in the auditory region of the
339 midbrain torus semicircularis similar to the saccule. Taken together, these physiological and
340 neuroanatomical studies in batrachoid fishes strongly suggest that the utricle serves an auditory function
341 and can detect biologically-relevant acoustic stimuli.

342 *Seasonal auditory plasticity of the utricle*

343 We show that female utricular hair cells exhibit seasonal, reproductive state-dependent changes in
344 evoked responses to auditory stimuli (Figure 3), such that reproductive females exhibit greater evoked
345 utricular potentials compared to non-reproductive females (supplementary tables 1-3;
346 <https://figshare.com/s/e17c71d36f441dce06e4>). The greatest difference in evoked potential magnitude
347 relative to reproductive state occurred at 105 Hz and a sound pressure level of 130 dB re: 1 µPa (-20.9
348 dB re: 1 ms⁻²) such that reproductive females displayed average utricular potentials that were
349 approximately 6.3 times greater than in non-reproductive females. Reproductive state-dependent
350 changes in saccular evoked potential magnitude have previously been examined in reproductive females,
351 which have average evoked potentials approximately 7.4 times greater than in non-reproductive females
352 at 105 Hz and a sound pressure level of 130 dB re: 1 µPa [Sisneros unpublished data; (13)]. One

353 hypothesis for these changes in the magnitude of the hair-cell evoked potentials may, in part, be related
354 to seasonal increases in hair cell density. Coffin et al. (2012) showed that reproductive female
355 midshipman exhibit a 13% increase in saccular hair cell density, which was paralleled by a dramatic
356 increase in the magnitude of evoked saccular potentials. However, reproductive females and type I males
357 do not exhibit reproductive state-dependent changes in the hair cell density of the utricle (36, 40), yet,
358 reproductive females exhibit seasonal changes in the magnitude of evoked utricular potentials. Indeed,
359 seasonal changes in saccular potential magnitude in reproductive females may still be related to the
360 saccular-specific hair cell addition and may explain, in part, some of the evoked potential differences
361 between the saccule and utricle (i.e., the utricle having ~ a 6.3-fold increase vs the saccule having a ~
362 7.4-fold increase). An alternative, but not mutually exclusive, hypothesis for the change in the magnitude
363 of hair cell potentials may be due to reproductive state-dependent changes in ion channel expression and
364 the current kinetics of hair cells in the utricle and saccule [see (59)]. Future studies that characterize the
365 ion channel current kinetics of hair cells in non-reproductive and reproductive females may provide insight
366 into the mechanism responsible for the reproductive state-dependent changes in the magnitude of
367 evoked potentials in the midshipman utricle and saccule.

368 Concurrent with the dramatic increase in utricular potential magnitude, we also observed a
369 remarkable increase in the utricular auditory sensitivity of reproductive females when compared to non-
370 reproductive females. The greatest change in utricular auditory sensitivity occurred from 105–505 Hz (Fig.
371 5), with reproductive females exhibiting particle acceleration thresholds that were 7-10 dB (re: 1 ms⁻²)
372 lower than non-reproductive females (an increase in sensitivity equal to approximately 2-3 times) (Fig.
373 6a). This reproductive state-dependent increase in female auditory sensitivity corresponds with the
374 dominant frequencies contained within type I male vocalizations, which include grunts, growls, and
375 advertisement calls or “hums” (Fig. 6b). Grunts are short duration (50-200 ms), broadband acoustic
376 signals that are produced either individually or in a series of “trains” (Fig. 6b, *bottom*), whereas growls are
377 longer duration (> 1s) broadband signals (Fig. 6b, *middle*). In general, these vocalizations are produced in
378 an agonistic context to fend off potential rivals/intruders and during nest defense (52). In contrast, hums
379 are long duration (up 2 hrs in captive conditions) multiharmonic acoustic courtship signals that have
380 fundamental frequencies ranging from 80 to 102 Hz (15, 45, 46). In comparison to broadband grunts and

381 growls, which have much of their spectral energy at frequencies <600 Hz, hums have prominent
382 harmonics ranging up to ~500 Hz, with additional lower amplitude harmonics ranging up to 1000 Hz (see
383 Fig. 6b, *top*). Together, our results suggest the utricle of reproductive females is better adapted than in
384 non-reproductive females to detect the dominant spectral energy contained within midshipman social
385 acoustic signals (hums, growls, and grunts), which correspond to frequencies < 600 Hz (Fig. 6b). Thus,
386 reproductive state-dependent changes in utricular auditory sensitivity may represent an adaptive auditory
387 plasticity that complements the saccular auditory sensitivity of reproductive female midshipman
388 (supplementary fig. 3; <https://figshare.com/s/e17c71d36f441dce06e4>) and helps facilitate midshipman
389 social and reproductive acoustic communication.

390 *Potential mechanisms for utricular auditory plasticity*

391 The observed changes in utricular auditory sensitivity are likely due to seasonal changes in circulating
392 gonadal steroids (androgens and estrogens), which are related to seasonal changes in midshipman
393 reproductive state (53). Saccular afferents in non-reproductive females treated with either testosterone or
394 17 β -estradiol exhibit enhanced frequency sensitivity and phase-locking accuracy to higher frequencies
395 within the midshipman hearing range, which effectively enhances acoustic communication (20).
396 Concurrent with reproductive state-dependent changes in gonadal steroid levels are parallel changes in
397 the large-conductance, calcium-activated potassium (BK) channels, which are responsible for the rapid
398 outward currents that contribute to the electrical resonance and low-frequency (<1 kHz) tuning of hair
399 cells in non-mammalian vertebrates (54–56). Rohmann et al. (2013) demonstrated that saccular hair cells
400 of reproductive midshipman exhibit increased expression of calcium-activated BK channels, which is
401 correlated with enhanced higher frequency sensitivity (>145 Hz) and that pharmacological inhibition of BK
402 channels results in decreased saccular sensitivity similar to non-reproductive fish. Together, these studies
403 suggest that gonadal steroids may modulate seasonal changes in frequency sensitivity via the regulation
404 of hair cell BK channel expression to effectively enhance auditory sensitivity for social acoustic
405 communication.

406 In addition, reproductive state-dependent changes in dopaminergic efferent projections to the
407 inner ear may also be responsible for the observed seasonal, reproductive state-dependent changes in
408 utricular sensitivity. Previous work by Forlano et al. (2015) showed that dopaminergic innervation of the

409 saccule varied with reproductive state such that reproductive females have a seasonal reduction in
410 dopaminergic input. Furthermore, Perelmuter et al. (2019) showed that dopamine decreases saccular
411 auditory sensitivity via a D2-like receptor and that D2a receptor expression is reduced in the saccule
412 during the midshipman breeding season. Perelmuter et al. (2019) also found that saccular auditory
413 sensitivity is modulated by the dopaminergic efferent system, whereby a release in inhibition effectively
414 mimics the reproductive auditory phenotype and enhances peripheral encoding of social acoustic signals.
415 Furthermore, Perelmuter et al. (2021) recently showed that testosterone treatment mimics the seasonal
416 downregulation of dopamine in the midshipman saccule, which provides evidence that steroid regulation
417 of peripheral auditory sensitivity is mediated, at least in part, by dopamine. Future studies that examine
418 similar reproductive state-dependent, gonadal steroid regulatory mechanisms of hair cell ion channel
419 expression and dopaminergic innervation to the utricle will be instrumental in understanding the
420 neuroendocrine basis of peripheral auditory sensitivity modulation in midshipman fish and other
421 vertebrates, including mammals.

422 *Conclusion*

423 The utricle in mammals primarily serves as a vestibular organ for detecting linear acceleration and
424 sensing translational movements in the horizontal plane. However, in fishes, the utricle is one of three
425 inner ear otolithic end organs (saccule, utricle, and lagena) that act as biological accelerometers and
426 respond to acoustic particle motion and horizontal linear accelerations. While, to some degree, all three
427 otolithic end organs in teleost fishes are posited to serve both an auditory and vestibular function, the
428 teleost utricle is often thought to primarily serve a vestibular function. Here, we show that the utricle in the
429 vocal plainfin midshipman serves an auditory function that is seasonally plastic and modulated by the
430 animal's reproductive state, effectively enhancing the utricle's auditory sensitivity to conspecific acoustic
431 signals. Whether these seasonal-dependent changes extend beyond the auditory system to the vestibular
432 system has yet to be assessed and should be considered in future vestibular research, given the
433 multimodal function of the inner ear end organs.

434

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Figures

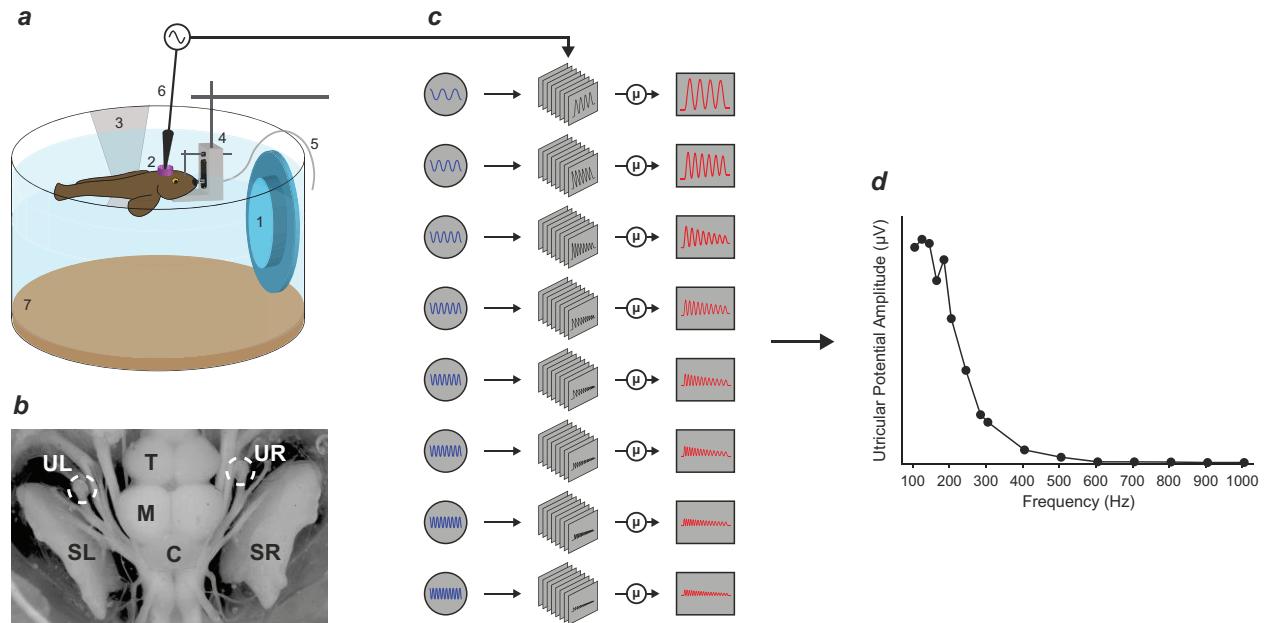


Figure 1: Experimental design. (a) Schematic of experimental physiology tank. For all physiology experiments, fish were suspended 4 cm below the water's surface and positioned such that the face of the underwater speaker was 10 cm rostral of the otic capsule. Labels are as follows: 1 underwater speaker, 2 hydrophobic water dam, 3 parafilm suspension, 4 head holder, 5 respiration tube, 6 glass microelectrode, and 7 rocky sediment. Physiology tank dimensions: 40 cm diameter and 20 cm water depth. (b) Dorsal view of midshipman cranial cavity. The dashed circles indicate the position of the left (UL) and right (UR) inner ear utricle. Abbreviations are as follows: SL and SR left, and right saccule, respectively, T telencephalon, M midbrain, C cerebellum. (c) For all experiments, pure tone acoustic stimuli (right; 500 ms duration; 8 repetitions) were delivered via an underwater speaker and evoked hair cell responses were recorded to each acoustic stimulus presentation. Consecutive utricular hair cell evoked responses (middle) were averaged across stimulus frequency, and frequency-dependent averaged output signals (right) were used to construct (d) iso-intensity level response curves.

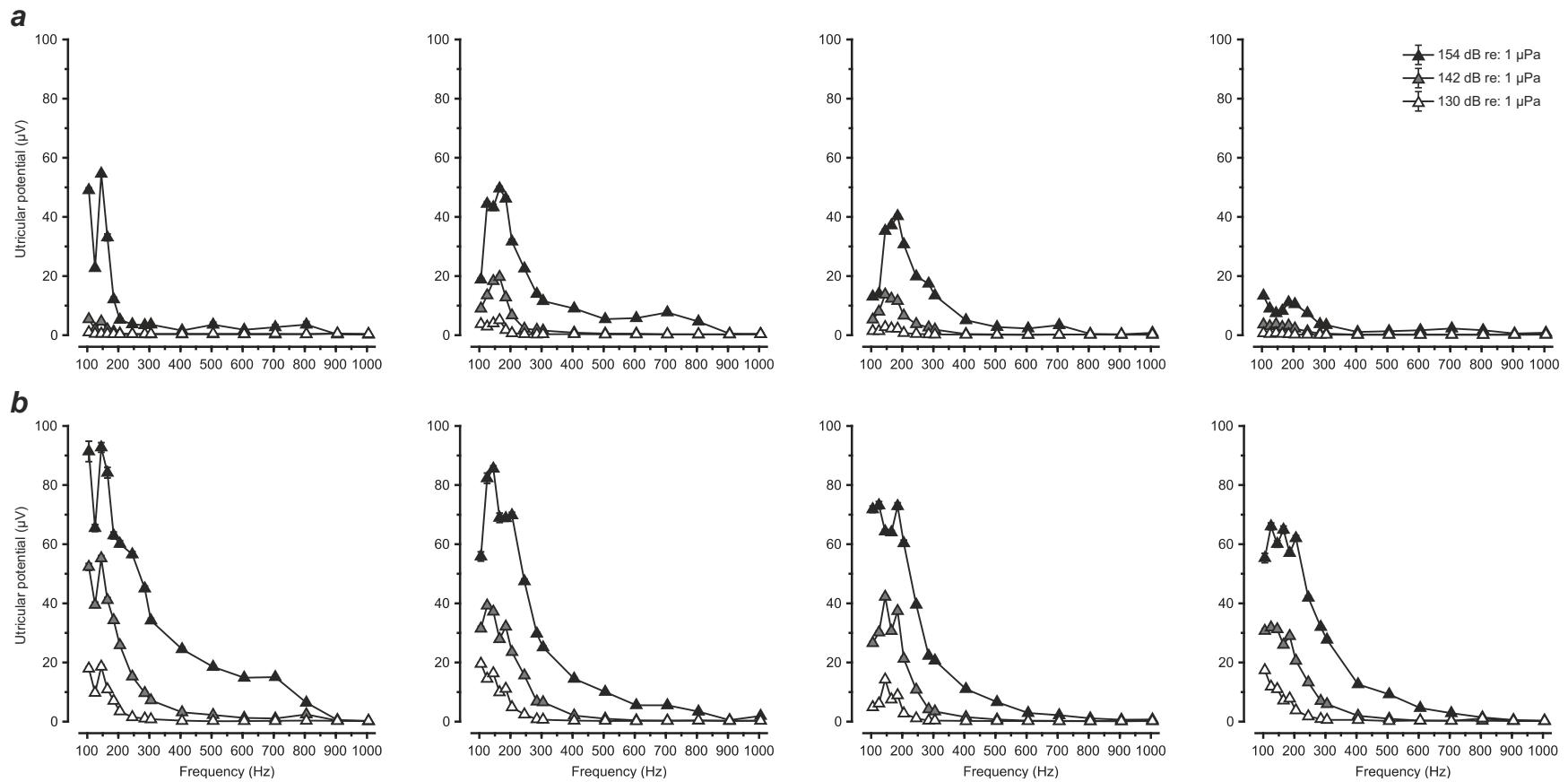


Figure 2: Representative examples of iso-intensity level curves recorded from utricular hair cells of (a) non-reproductive and (b) reproductive female plainfin midshipman. Iso-intensity responses were recorded in response to single tone playbacks at sound pressure levels of 154 (black), 142 (gray), and 130 (white) dB re: 1 μ Pa. Data are represented as mean \pm 1 SD; note that some error bars are minimal, and the symbols may obscure the bars.

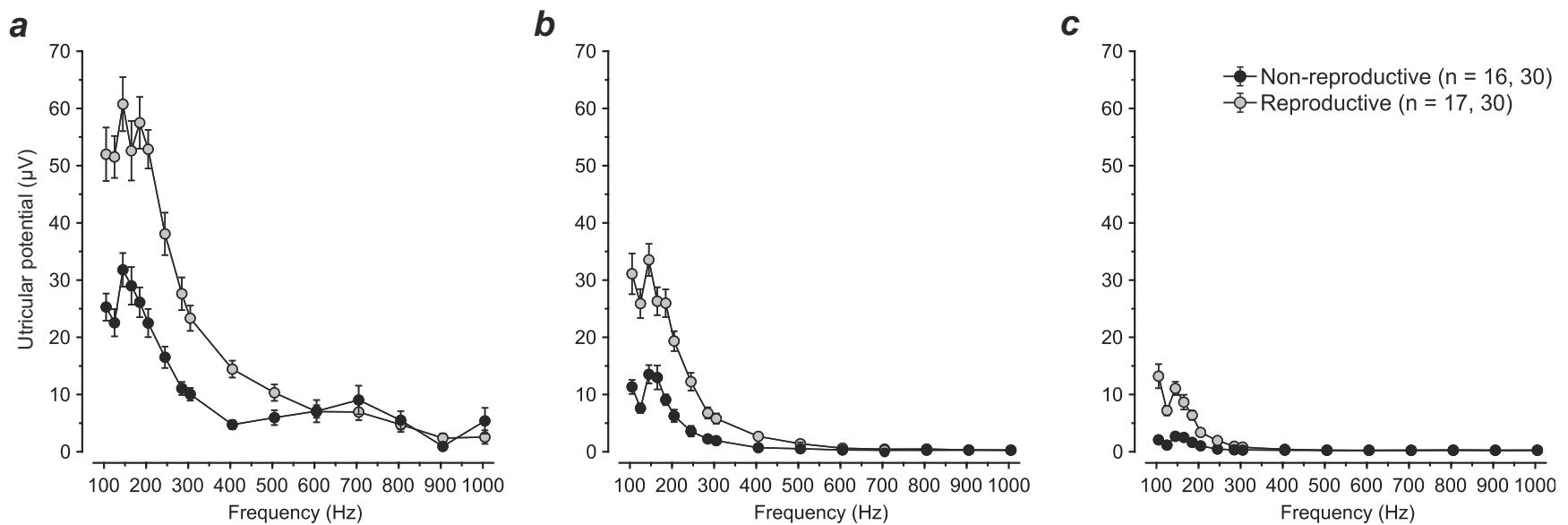


Figure 3: Iso-level response curves recorded from utricular hair cells of non-reproductive (black) and reproductive (gray) female plainfin midshipman in response to single tone playbacks at sound pressure levels of (a) 154, (b) 142, and (c) 130 dB re: 1 μ Pa. Data are represented as mean \pm 1 SE; note that some error bars are minimal, and the symbols may obscure the bars. The number of animals and records for each group is indicated in parentheses.

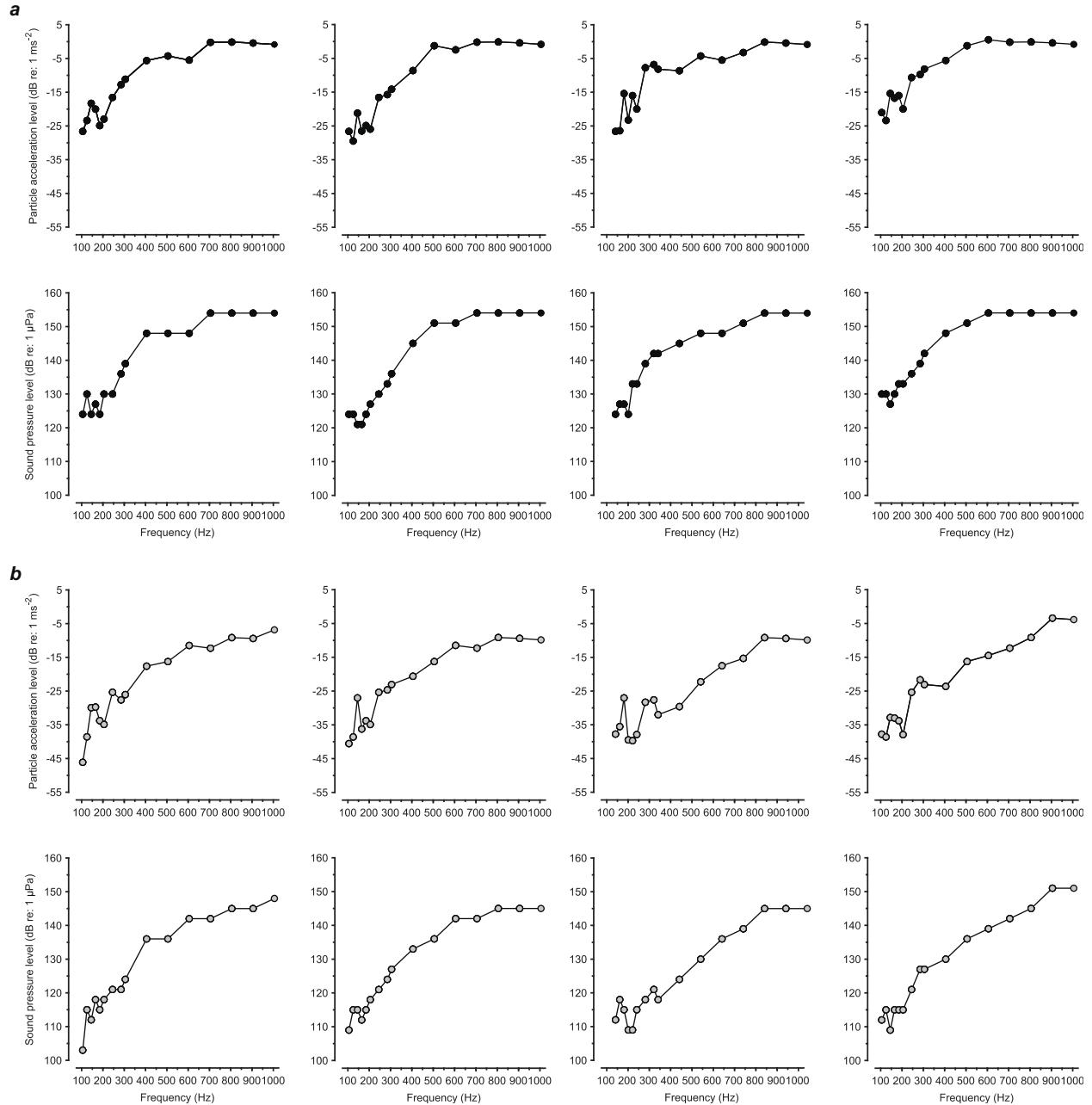


Figure 4: Representative (top) particle acceleration (dB re: 1 ms^{-2}) and (bottom) sound pressure (dB re: $1 \mu\text{Pa}$) level auditory threshold tuning curves recorded from (a) non-reproductive (black) and (b) reproductive (gray) female plainfin midshipman. Tuning curves were constructed using utricular hair cell evoked responses, with thresholds defined as the lowest sound pressure level (dB re: $1 \mu\text{Pa}$) needed to evoke a utricular potential at least 2 SD above the background electrical noise level.

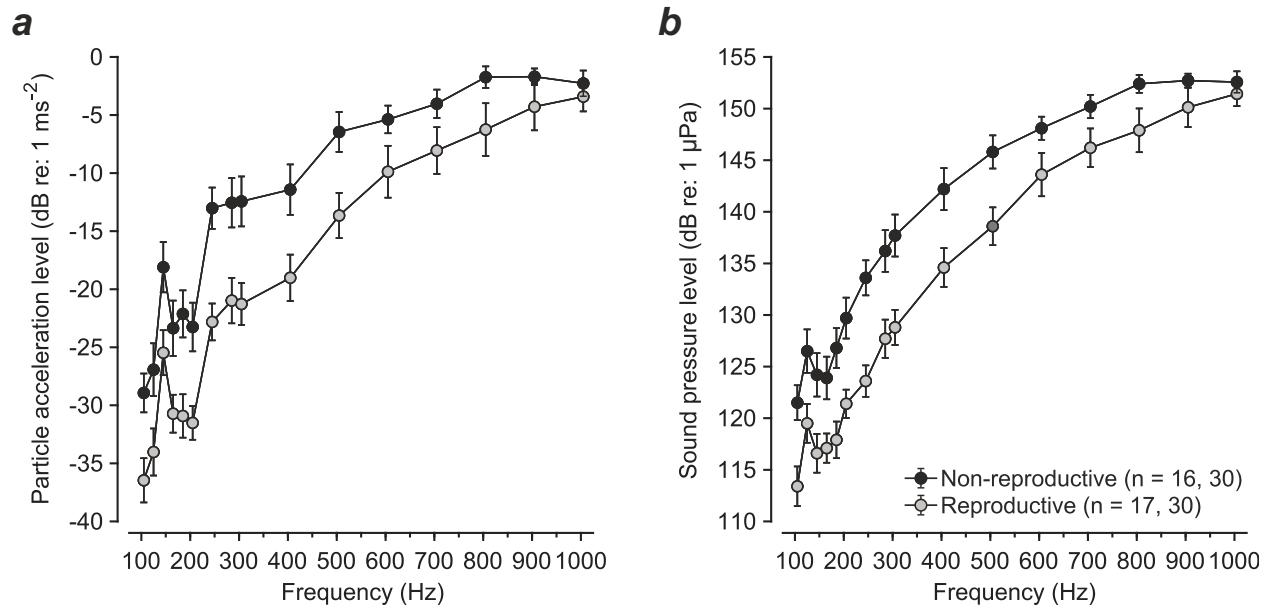


Figure 5: (a) Particle acceleration (dB re: 1 ms^{-2}) and (b) sound pressure (dB re: $1 \mu\text{Pa}$) level auditory threshold tuning curves recorded from non-reproductive (black) and reproductive (gray) female midshipman utricular hair cells. The auditory thresholds were defined as the lowest auditory stimulus level needed to evoke utricular potentials at least 2 SD above the background electrical noise level. All data are plotted as mean \pm 95% confidence interval. The number of animals and records for each group is indicated in parentheses.

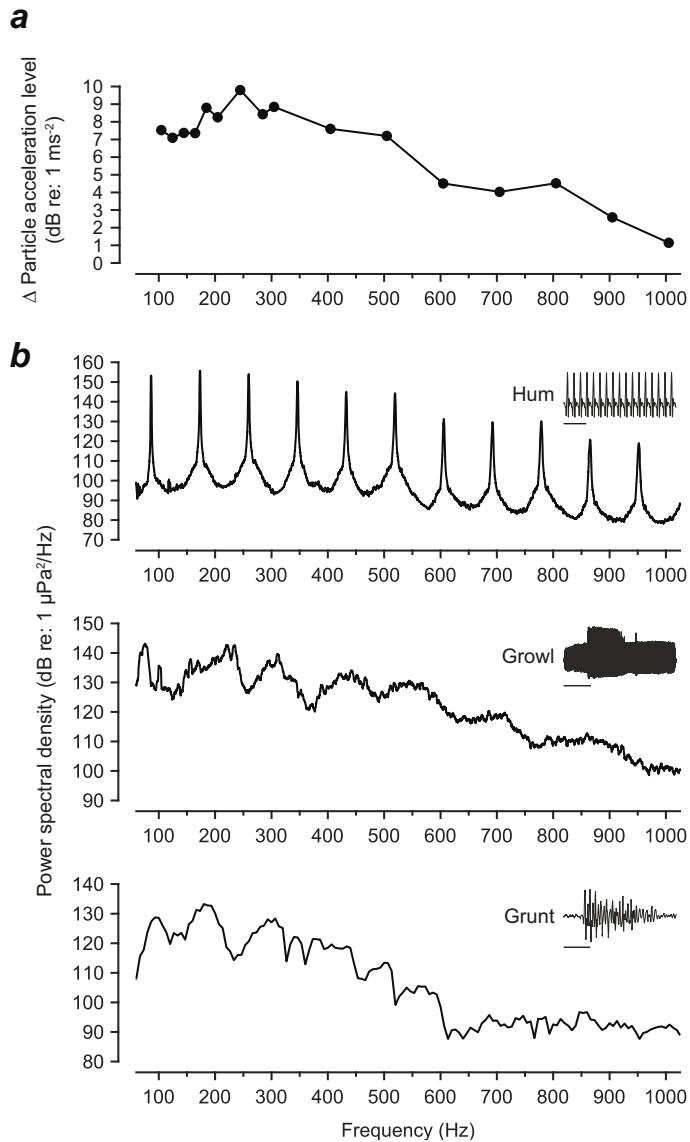


Figure 6: Comparison of female midshipman particle motion (dB re: 1 ms^{-2}) sensitivity and male midshipman vocalizations. a) Utricular hair cell particle acceleration threshold difference ($\Delta \text{dB re: } 1 \text{ ms}^{-2}$) between reproductive and non-reproductive female midshipman. (b) Power spectral density (dB re: $1 \mu\text{Pa}^2/\text{Hz}$) curves of a male midshipman hum (top), growl (middle), and grunt (bottom). *Inset:* Waveform of male midshipman hum, growl, and grunt, respectively. Scale bars represent 5, 1000, and 50 ms, respectively. All vocalizations were recorded from a reproductive type I male midshipman housed in a large, indoor concrete tank (3 m diameter; 14.1°C) at the University of Washington Friday Harbor Laboratories. Source level recordings were made using a mini-hydrophone placed directly in front of the entrance of an artificial nest.