A climate risk analysis of Earth's forests in the 21st century

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One Sentence Summary: A multi-method synthesis of climate risks to forests globally.

Abstract

Earth's forests harbor extensive biodiversity and are currently a major carbon sink. Forest conservation and restoration can help to mitigate climate change. Yet climate change could fundamentally imperil forests in many regions and undermine their ability to provide such mitigation. The extent of climate risks facing forests has not been synthesized globally, nor have different approaches to quantifying forest climate risks been systematically compared. Here we combine outputs from multiple mechanistic and empirical approaches to modeling carbon, biodiversity, and disturbance risks to conduct a synthetic climate risk analysis for Earth's forests in the 21st century. Despite large uncertainty in most regions, we find some forests consistently at higher risk, including southern boreal forests, western North America, and parts of the Amazon.

Main text

Earth's forests store carbon, support enormous terrestrial biodiversity, and provide trillions of dollars each year in ecosystem goods and services to society (*1*, *2*). Due to forests' potential carbon sequestration capacity and co-benefits, there is widespread and growing interest in leveraging forests for climate mitigation through nature-based climate solutions (*3*, *4*). Yet the future of forests globally is uncertain due to both land-use decisions and climate change (*5*–*7*). Forests face substantial climate risks that could trigger carbon-cycle feedbacks, accelerating climate change and fundamentally undermining their role in climate mitigation (*7*–*9*). Critical climate-sensitive risks to forest stability, biodiversity, and long-term carbon storage include disturbance triggered by extreme weather (e.g. fire, drought, hurricanes), biotic agents and invasive species, and large-scale demographic shifts (e.g. elevated mortality rates, species turnover, physiological limits to growth or regeneration) (*7*, *10*–*12*).

The large-scale and cross-biome patterns of climate risks to forests are not well-understood. With respect to ecosystems, the Intergovernmental Panel on Climate Change (IPCC) defines risk as the potential for adverse consequences for ecological systems and highlights that risk results from the dynamic interaction of climate-related hazards, exposure, susceptibility and (lack of) adaptive capacity of a system (*5*, *13*). Three major approaches have been used to examine key determinants of forest risk, each considering different processes, with distinct uncertainties and limitations. First, global mechanistic vegetation models, such as those included in Earth system models, simulate forest carbon fluxes and pools, climate impacts on those processes, some key climate-sensitive disturbances such as fire, and dynamic growth and recovery after disturbances (*14*, *15*). Second, 'climate envelope' approaches use empirical models based on relationships between observed climate patterns and forest attributes, such as biomass, species presence/abundance, or ecoregion/life-zone presence (*16–18*). Third, empirical assessments of climatic controls on stand-replacing disturbances, typically based on satellite data of forest loss or meta-analyses of field studies, are other common approaches (*11*, *19*). These major approaches roughly capture different 'axes' of forest climate risk to: (i) carbon stocks/storage (hereafter 'C risk'), (ii) species composition changes ('species risk'), and (iii) disturbance regime change ('disturbance risk'). These approaches have different inherent strengths and weaknesses, but a synthesis of approaches at a global scale is lacking. A multi-method analysis to quantify risks spatially and estimate which regions may be particularly vulnerable in future climates is urgently needed to inform land management, conservation, and climate mitigation efforts.

Here, we compare results from these three types of approaches to provide a global assessment of climate risks facing Earth's forests in the 21st century. We ask: i) what are the mean and uncertainty in projections of forest carbon storage and potential forest carbon losses in mechanistic vegetation models included in Earth system models (e.g. 'C risk'), ii) what do empirical 'climate envelope' and 'climate-sensitive disturbance' approaches estimate for spatial and temporal climate risks to forests (e.g. 'species risk' and 'disturbance risk'), and iii) what broader risk patterns emerge from the synthesis and comparisons of these three different axes of risks?

We first examined simulations of the live carbon in vegetation in forested areas ('C risk') from mechanistic vegetation models from the Coupled Model Intercomparison Project – Phase 6 (CMIP6: 23 models total, 13 with prognostic fire and 6 with dynamic vegetation, Table S1), removing the direct influences of human land use change, to contextualize overall forest carbon changes (*20*). Comparing 2081-2100 with 1995-2014, these models on average show carbon gains in currently forested areas in both high and low emissions scenarios (Fig. 1, Fig. S1). The multi-model mean was positive across most of the world, but with very high variation and uncertainty across models, particularly in the tropics and swaths of the boreal forests (Fig. 1A, 1B, Fig. S1). We examined relative agreement in spatial patterns of carbon gains and losses across models and found that spatial correlations across models for carbon changes were modest, with an average of r=0.30 across the 23 models considered here (Fig. S2).

We calculated two complementary metrics of potential climate C risk from these models as: 1) the number of models with carbon losses by 2081-2100 compared to 1995-2014 and 2) the percent change from tree functional types to other vegetation in a grid cell between those two periods for the subset of models (N=14) that reported data on vegetation change (*20*). The first metric uses the inherent variability in the model ensemble and assumes that the higher the number of models with C loss, the greater the risk, whereas the second metric directly calculates forest loss in models where it is represented. With the first metric, large areas of the Neotropics, the Mediterranean region and eastern Europe, as well as southwestern North America show notable risk (Fig. 1C). With the second metric, subtropical and southern boreal regions were more likely to lose tree functional types (Fig. 1D). We further found that these two metrics showed similar patterns of higher projected risk in southern boreal and drier regions in the Amazon and African tropics. Spatial patterns of carbon changes and climate risks were broadly similar between emissions scenarios (Fig. 1, Fig. S1) and between models with versus without prognostic fire simulated (Fig. S3).

We then examined forest 'species risk', estimated via empirical climate envelope models in three recently published papers. Using observed climate relationships at global scales, two papers estimated ecoregion/life-zone transitions (i.e. shifts from one ecoregion/life-zone to another) and the third modeled changes in forest species richness within a biome (*17*, *21*, *22*). Ecoregion transitions were projected to be most likely at current biome boundaries (sub-tropic – temperate, temperate – boreal, and tropical – subtropical biomes; Fig. 2A, 2B). We note that there could be similarly large transitions in terms of species composition within individual biomes, but that by their inherent ecoregion-focused structure the underlying analyses in Fig 2A-B would not capture community-level changes. Considering the third paper's analyses, risk of species loss estimates were highest in boreal regions and western North America and generally lower in tropical regions (Fig. 2C).

To quantify climate-sensitive 'disturbance risk', we used two complementary methods: 1) an empirical random-forest model linking observed climate to stand-replacing disturbance estimates based on satellite data from 2002-2014 with human land-use conversion removed (but harvest included, (*20*)), and 2) upscaled climate-dependent rates of disturbance in 103 protected areas from temperate and boreal biomes (*19*). For both methods, the models were built with observed relationships in the historical period. We estimated the change in stand-replacing disturbance rates using climate model output from the same 23 climate models we used for C risk for 2081-2100, with a moderate climate scenario (SSP2-4.5). The model of stand-replacing disturbances indicated that if current forests were exposed to projected future temperatures and precipitation, the largest increases of disturbance would be expected to occur in the tropics and southern boreal forests (Fig. 3A, 3B), whereas upscaled relationships from protected areas indicated high disturbance vulnerability broadly across boreal forests, although this dataset did not include tropical forests (Fig. 3B).

We emphasize that these three distinct axes of risk are capturing different aspects and dimensions of climate risks to forests, all of which are generally considered important responses of forests to climate change (*20*). The spatial and cross-biome relative risk patterns within each approach are likely what is most insightful and important in these comparisons, rather than the absolute values. Thus, we compared the spatial correlations in relative projected risk patterns with a correlation matrix and computed spatial covariation of risk percentiles across all metrics. Strikingly, none of the different metrics were significantly spatially correlated with each other ($p>0.05$), leading to high variability across risk metrics in many regions (Fig. S4), and the mechanistic vegetation model projections tended to be slightly negatively correlated with the other approaches (Fig. 4B). Despite this broad-scale disagreement, identification of regions that are at relatively higher or lower risk in a majority of approaches can still provide useful information for risk management. Aggregating risk metrics by the average percentile across all metrics with data in a given grid cell, southern boreal regions (e.g. central Canada) and drier regions of the tropics (e.g. southeast Amazonia) emerged as regions with higher than average risk across metrics, consistent with multiple observational studies (e.g. *23, 24*). By contrast, eastern North America, western Amazonia, and southeast Asia exhibited lower than average risk (Fig. 4A, Fig. S5); a recent pan-tropical study also observed lower vulnerability in southeast Asian tropics (*25*). These regional patterns were generally robust in a sensitivity analysis that sequentially excluded individual risk maps (Fig. S6). Considering biome-wide patterns, tropical forests had slightly higher average median risk percentiles (51%ile and 62%ile for tropical moist broadleaf and tropical/subtropical dry broadleaf forests, respectively) than boreal (44%ile) or temperate (35%ile and 42%ile for broadleaf and coniferous, respectively) forests (Fig. S7).

All of the different approaches to estimating forest climate risk have limitations and different uncertainties that are worth bearing in mind. Mechanistic model projections (C risk axis) include the benefits of rising atmospheric CO_2 concentrations on forest productivity (i.e.

CO_2 fertilization), as well as coarse estimates of climate sensitivities of plant functional types and fire disturbance. However, these models are generally thought to be lacking a substantial range of key impacts of climate on tree mortality and other disturbances, making it likely that risk estimates from this approach are overly conservative and carbon gains may be overestimated (*26*). Furthermore, these models do not realistically capture current tropical forest carbon dynamics (*27*) and the potential for biome shifts remains very uncertain in these models (*14*, *28*), in part because they frequently neglect processes of tree regeneration (*29*).

The empirical species distribution and ecoregion biome transition models (species risk axis) are correlative in nature and do not directly include mechanistic processes of growth, mortality, CO_2-related effects, or disturbance. They are, nevertheless, widely used across the globe for conservation planning efforts (*16*, *30*), as they provide a powerful approach to estimate the species pool under given climatic conditions. Empirical disturbance models (disturbance risk axis) capture only one key component of forest carbon cycling and do not account for regrowth, species turnover, and other dynamics. Nonetheless, a broad body of literature has demonstrated that changes in disturbance regimes have strong leverage on forest carbon cycling in many ecosystems globally (*9*, *12*, *28*). Finally, all of these approaches treat direct human impacts of land-use change and management distinctly. Forest management, as a key disturbance and arbiter of forest risk, is included implicitly or explicitly in all methods here. Whilst we have made extensive efforts to screen out changes due to land conversion (*20*), land management remains an important uncertainty and caveat in these analyses. A previous global risk analysis for forest loss using a single, older mechanistic vegetation model (*31*) projected highest forest loss in the eastern Amazon, eastern North American boreal, and broad areas of the European and Asian boreal forests, which is partially consistent with the species turnover and biome transition estimates presented here (e.g. Fig 2A) and the multi-method aggregate map.

Ultimately, our analysis reveals a strikingly divergent set of projections when comparing across a wide range of methods and approaches to examine the vulnerability of Earth's forests to climate risks. If forests are tapped to play an important role in climate mitigation, an enormous scientific effort is needed to better shed light on when and where forests will be resilient to climate change in the 21st century. These results highlight an urgent need for more detailed treatment of climate-sensitive disturbances in mechanistic vegetation models, more extensive benchmarking of those models against disturbance and mortality datasets, and better identification of agents of change in observational datasets to underlie more nuanced empirical approaches. Continuing the long-term monitoring efforts that enable such work will be fundamental to improving such models. Our results also underscore key needs to focus on climate-driven biome transitions. Currently, enormous uncertainty remains about the spatial and temporal patterns of forest vulnerability to climate change. They further emphasize that the effectiveness of nature-based climate solutions currently under discussion (*3*, *4*) are faced with great uncertainties, given the profound climate impacts on forests expected in the 21st century.

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Data and materials availability: Analysis code and processed data underlying the paper analyses can be found at https://figshare.com/s/b97c7071e2af904955e7. Google Earth Engine code for disturbance analysis can be found at:
https://code.earthengine.google.com/?accept_repo=users/NXA807/ForestGlobalClimateRisks
All CMIP6 data and datasets underlying the empirical model analysis are publicly available from the CMIP6 data portal or published article reference.

Supplementary Content

Materials and Methods

Figs. S1 to S10

Table S1

References (32-88)

Figures

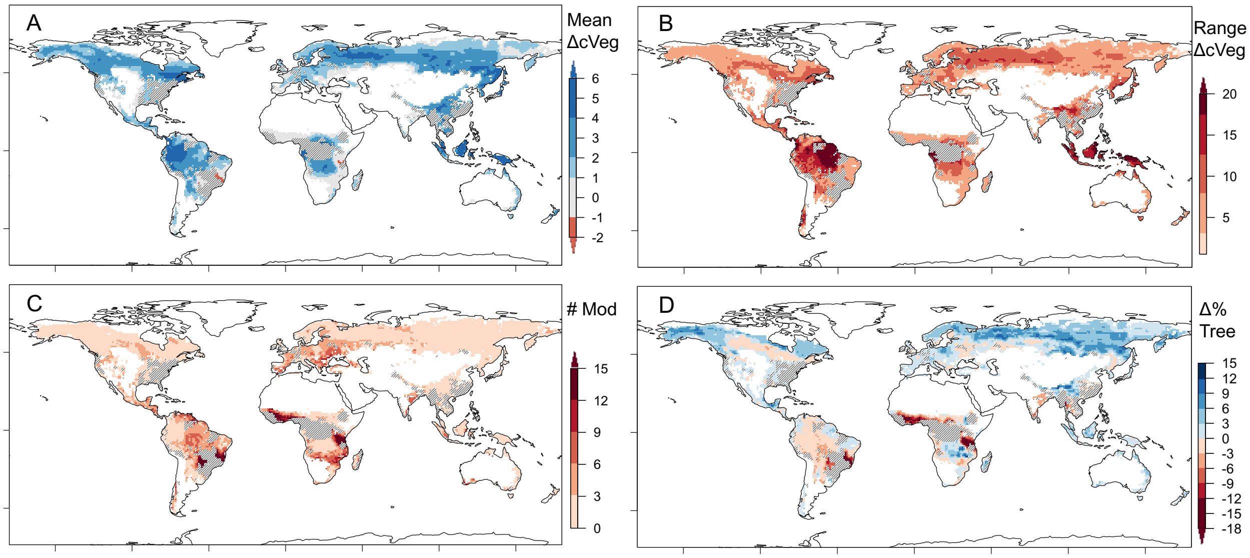

Figure 1: Future forest carbon and climate risk projections from mechanistic vegetation models. All panels analyze the change between 2081-2100 in Shared Socioeconomic Pathway 5-8.5 (SSP585) compared to 1995-2014 historical simulations and are masked by present forested areas. Multi-model mean (A) and range (B) of the change in live carbon mass in vegetation ($kg*m^{-2}$) across 23 models. (C) Number of models projecting vegetation carbon losses in a grid cell over the same time period. (D) Multi-model mean spatial patterns of the percent change in fraction of tree plant functional types in a grid cell. Gray hatched areas indicate grid cells removed from analysis due to land use-driven forest loss.

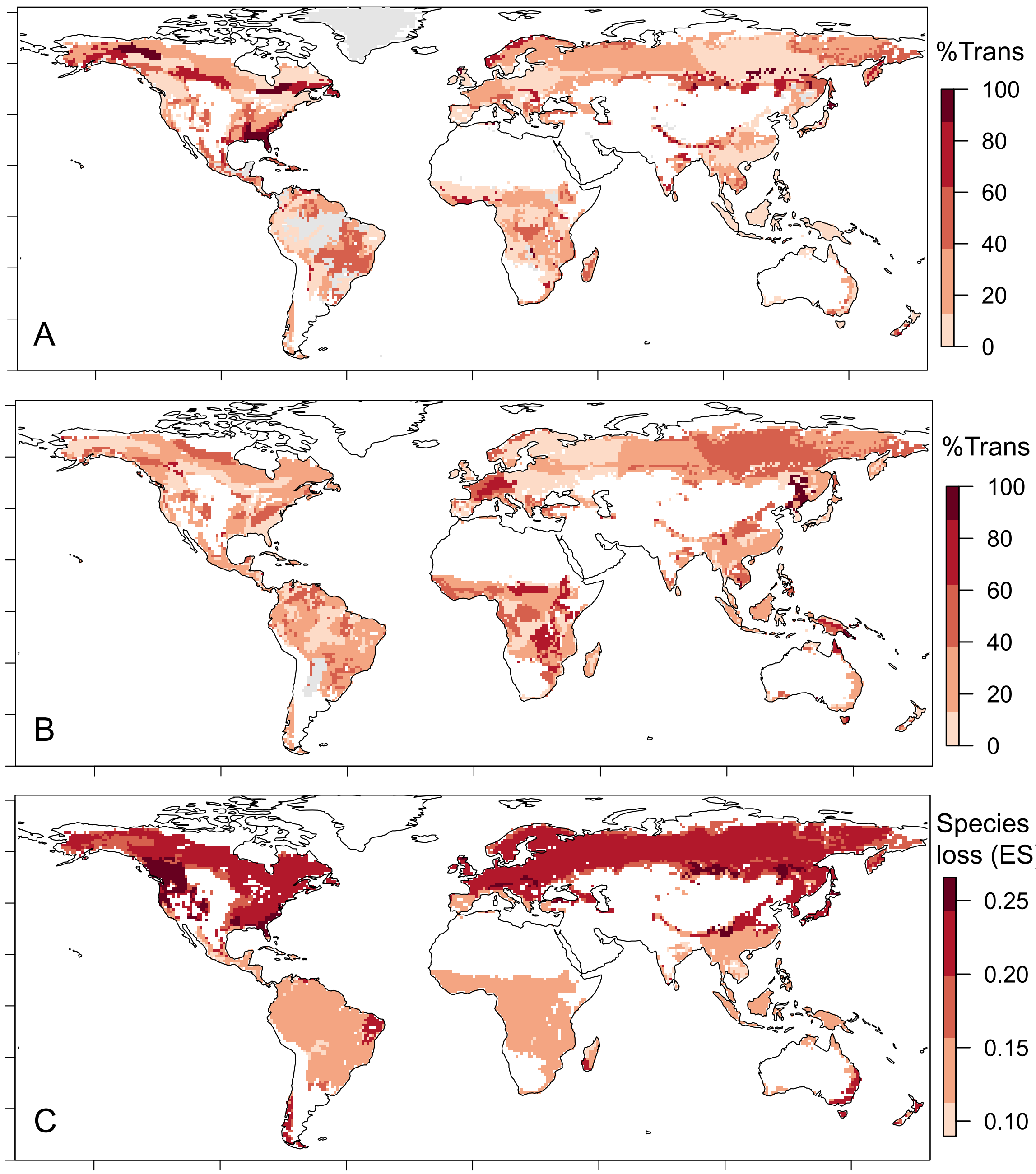

Figure 2: Global forest risk estimates from 'climate envelope' approaches. (A) Projected percent transition (%Trans) of ecoregions to another ecoregion with a warming of +2 C above pre-industrial from Dobrowski et al. 2021[17]. (B) Projected percent transition of climate 'life-zones' between 1979-2013 and 2061-2080 in a moderate (RCP 4.5) climate scenario from Elsen et al. 2021[21]. (C) Risk of loss in species richness (quantified as an 'effect size' (ES) of $-1 \times \log(\Delta \mathrm{SpeciesRichness}_{\mathrm{highcc\text{-}mitigation}}/\Delta \mathrm{SR}_{\mathrm{baseline}})$ where higher numbers indicate more risk of species loss) in the 2070s in a high climate change (RCP 8.5) scenario from Mori et al. 2021[20].

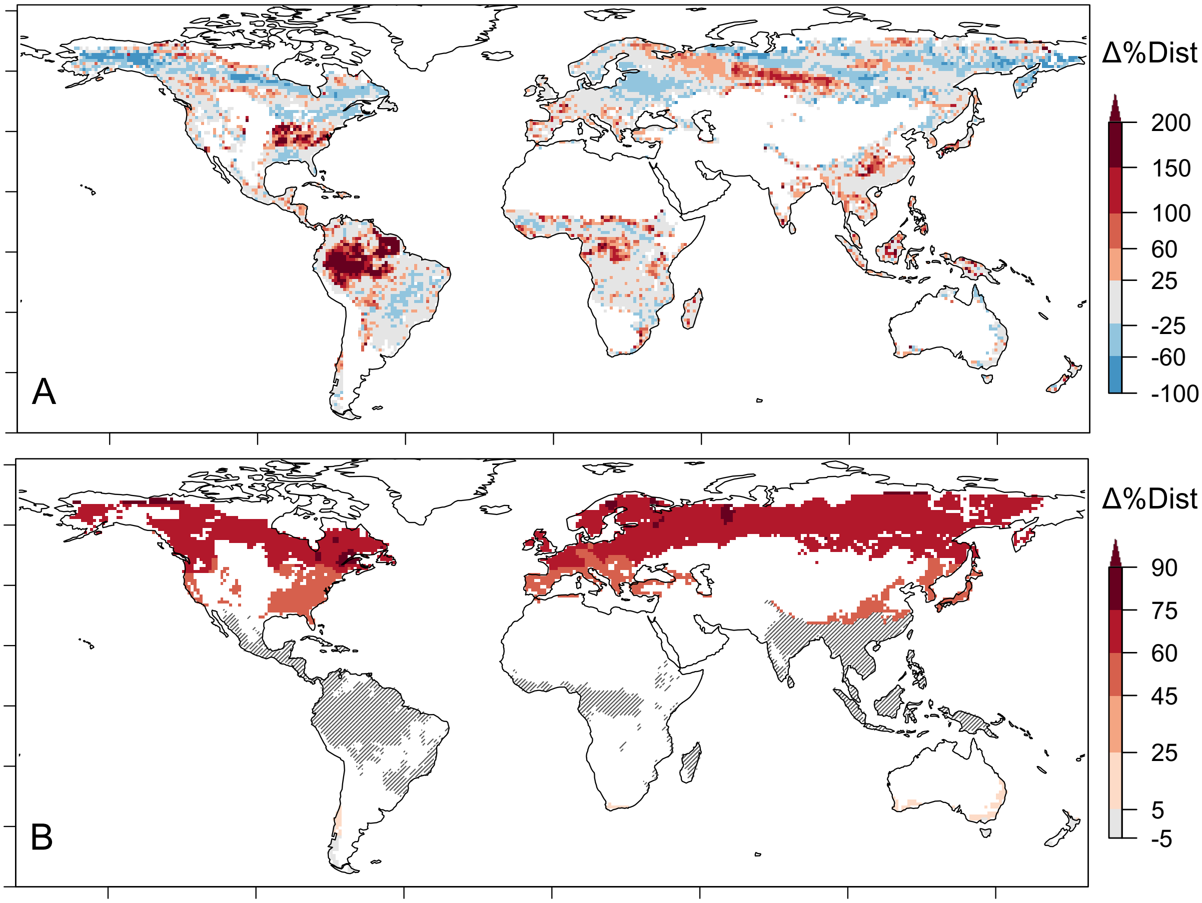

Figure 3: Projected change in climate-sensitive disturbance risks. (A) Average change in percent disturbed in a grid cell from random-forest model projections of Landsat-based stand-replacing disturbances for 2081-2100 in a moderate climate change scenario (Shared Socioeconomic Pathway 2-4.5 (SSP245)) compared to 1995-2014. (B) Average change in percent disturbed in a grid cell from protected area disturbance models for only temperate and boreal ecosystems in 2081-2100 in a moderate climate change scenario (SSP245) compared to 1995-2014. Gray hatching in grid cells indicates no data from this data source.

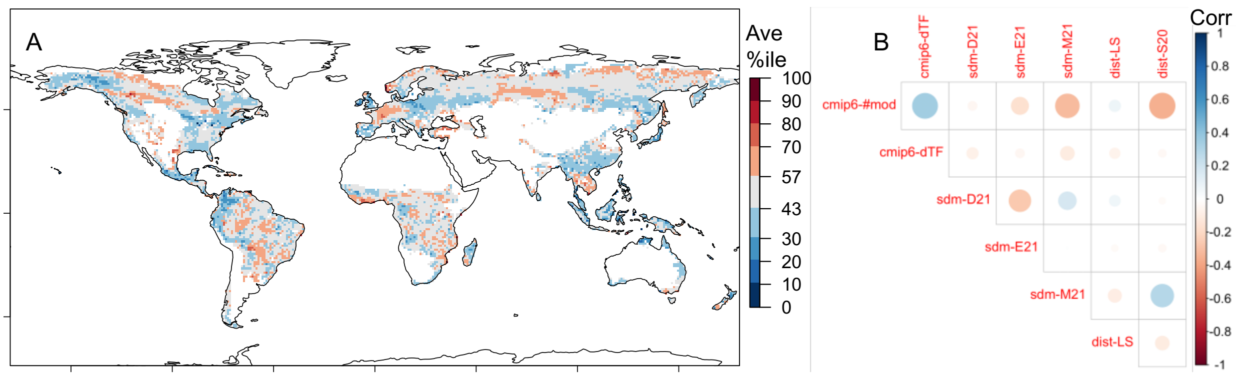

Figure 4: Comparisons and syntheses across different climate risk axes. (A) Average percentile of risk combined across all metrics where 0%ile is lowest climate risk and 100%ile is highest climate risk, averaged across all datasets that covered a given grid cell. (B) Correlation matrix between different climate risk axes and metrics where the size and color are proportionate to correlation strength and magnitude (all correlations n.s.). Risk axes and metrics: number of models showing carbon losses in forested regions in Coupled Model Intercomparison Project Phase 6 data (cmip6-#mod), change in tree fraction in the subset of CMIP6 models (cmip6-dTF), species distribution/climate niche models of ecoregion percent changes from Dobrowski et al. (2021)[17] (sdm-D21), species distribution/climate niche models of life-zone percent changes from Elsen et al. (2021)[20] (sdm-E21), species distribution models of loss of species richness from Mori et al. (2021)[21] (sdm-M21), random-forest based projections of Landsat-detected stand-replacing disturbances (dist-LS), and change in percent disturbed in a grid cell from protected area disturbance models from Seidl et al. (2020)[19] (dist-S20).