

# Structure-informed microbial population genetics elucidate selective pressures that shape protein evolution

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## Running Title

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## Abstract

1 Comprehensive sampling of natural genetic diversity with metagenomics enables highly resolved  
2 insights into the interplay between ecology and evolution. However, intra-population genomic  
3 variation represents the outcome of both stochastic and selective forces, making it difficult to  
4 identify whether variants are maintained by adaptive, neutral, or purifying processes. This is partly  
5 due to the reliance on gene sequences to interpret variants, which disregards the physical  
6 properties of three-dimensional gene products that define the functional landscape on which  
7 selection acts. Here we describe an approach to analyze genetic variation in the context of  
8 predicted protein structures, and apply it to study a marine microbial population within the SAR11  
9 subclade 1a.3.V, which dominates low-latitude surface oceans. Our analyses reveal a tight  
10 association between the patterns of nonsynonymous polymorphism, selective pressures, and  
11 structural properties of proteins such as per-site relative solvent accessibility and distance to  
12 ligands, which explain up to 59% of genetic variance in some genes. In glutamine synthetase, a  
13 central gene in nitrogen metabolism, we observe decreased occurrence of nonsynonymous  
14 variants from ligand binding sites as a function of nitrate concentrations in the environment,  
15 revealing genetic targets of distinct evolutionary pressures maintained by nutrient availability. Our  
16 data also reveals that rare codons are purified from ligand binding sites when genes are under  
17 high selection, demonstrating the utility of structure-aware analyses to study the variants that  
18 likely impact translational processes. Overall, our work yields insights into the governing principles  
19 of evolution that shape the genetic diversity landscape within a globally abundant population, and  
20 makes available a software framework for structure-aware investigations of microbial population  
21 genetics.

## Significance

22 Increasing availability of metagenomes offers new opportunities to study evolution, but the equal  
23 treatment of all variants limits insights into drivers of sequence diversity. By capitalizing on recent  
24 advances in protein structure prediction capabilities, our study examines subtle evolutionary  
25 dynamics of a microbial population that dominates surface oceans through the lens of structural  
26 biology. We demonstrate the utility of structure-informed metrics to understand the distribution of  
27 nonsynonymous polymorphism, establish insights into the impact of changing nutrient availability  
28 on protein evolution, and show that even synonymous variants are scrutinized strictly to maximize  
29 translational efficiency when selection is high. Overall, our work illustrates new opportunities for  
30 discovery at the intersection between metagenomics and structural bioinformatics, and offers an  
31 interactive and scalable software platform to visualize and analyze genetic variants in the context  
32 of predicted protein structures and ligand-binding sites.

## Introduction

33 Genetic diversity within populations emerges from and is shaped by a combination of stochastic  
34 and selective pressures, which often lead to phenotypic differences between closely related  
35 individuals, sometimes within a few generations (Burke et al. 2010; Lenski et al. 1991). Surveys  
36 of microbial communities within natural habitats through phylogenetic marker genes (Olsen et al.  
37 1986; Acinas et al. 2004; Sogin et al. 2006) and metagenomics (Simmons and DiBartolo et al.  
38 2008; Allen et al. 2007) have revealed a tremendous amount of genetic variation within  
39 environmental populations (T. P. Curtis and Sloan 2005; Thomas P. Curtis et al. 2006), and an  
40 ever-increasing number of available genomes and metagenomes have provided insight into the  
41 selective pressures that shape such variation. However, the overwhelming complexity and  
42 dynamicity of these selective pressures, which occur even in the simplest environments (Good et  
43 al. 2017), has hindered our ability to determine which variants are under the influence of which  
44 pressures (Ochman 2003; Mes 2008).

45 Inferring selective pressures through the isolation of microbial strains and comparative genomics  
46 has been widely successful. More recently, metagenome-assembled genomes (L.-X. Chen et al.  
47 2020) and single-amplified genomes (Woyke, Doud, and Schulz 2017) have dramatically  
48 increased the number (Almeida et al. 2021; Pachiadaki et al. 2019; Paoli et al. 2021) and diversity  
49 (Hug et al. 2016) of microbial clades represented in genomic databases, offering an even larger  
50 sampling of environmental microbes to study the emergence and maintenance of genetic variation  
51 (Garud and Pollard 2020). Nevertheless, genomes represent static snapshots of individual  
52 members of often complex environmental populations, and thus, working with genomic  
53 sequences alone substantially undersamples genetic variability in natural habitats and its  
54 associations with environmental and ecological forces (Van Rossum et al. 2020). This  
55 shortcoming is partially addressed by shotgun metagenomics (Quince et al. 2017) and

56 metagenomic read recruitment, where environmental sequences that are aligned to a reference  
57 can be studied to identify genetic variants at the resolution of single nucleotides (Whitaker and  
58 Banfield 2006; Denef 2019). In particular, using genomes to recruit reads from metagenomes  
59 enables a comprehensive sampling of all genetic variants within environmental populations  
60 (Simmons and DiBartolo et al. 2008). Due to the immensity of sequencing data generated by  
61 metagenomic studies, even subtle genetic variation in natural populations is now resolvable,  
62 making it possible to explicitly correlate patterns of genomic variation with temporal or spatial  
63 environmental variables to elucidate the interplay between ecology and evolution (Schloissnig et  
64 al. 2013; Bendall et al. 2016; Anderson et al. 2017; Delmont et al. 2019; Garud et al. 2019; Zhao  
65 et al. 2019; Shenhav and Zeevi 2020; Olm et al. 2021; Conwill et al. 2022). Although quantification  
66 and analysis of sequence variants derived from metagenomic data has improved dramatically,  
67 inferring the functional impact of individual nucleotides remains a fundamental challenge in part  
68 due to the sole reliance on DNA sequences, which do not represent physical properties of proteins  
69 they encode, and thus disguise the functional impact of individual mutations.

70 Given the intermediary role that structure plays within the ‘sequence-structure-function paradigm’  
71 (Anfinsen 1973), including protein structures as a dimension of analysis is commonplace in  
72 studies of protein evolution (Siltberg-Liberles, Grahn, and Liberles 2011; Harms and Thornton  
73 2013; Sikosek and Chan 2014), and it is appreciated that the accuracy of evolutionary models  
74 improves with combined analyses of protein structures and the evolution of underlying sequences  
75 (Wilke 2012). In contrast, the state-of-the-art approaches that quantify genetic variants in  
76 environmental microbial populations typically treat genes as strings of nucleotides (Schloissnig et  
77 al. 2013; Eren et al. 2015; Nayfach et al. 2016; Costea et al. 2017; Olm et al. 2021). While this  
78 strategy enables rapid surveys of population dynamics through single-nucleotide variants, it  
79 disregards the physical properties of three-dimensional gene products that selection acts upon,  
80 and thus misses a critical intermediate to understand the relationship between selection and

81 fitness (Golding and Dean 1998; K. Chen and Arnold 1993). The importance of mapping  
82 sequence variants on predicted protein structures to identify genetic determinants of phenotypic  
83 variation has been noted more than two decades ago (Sunyaev, Lathe, and Bork 2001), yet the  
84 limited availability of protein structures has historically rendered protein structure-informed  
85 microbial population genetics impractical. Given dramatic advances in both solving and predicting  
86 protein structures in recent years (Kuhlman and Bradley 2019), most notably deep learning  
87 approaches such as AlphaFold (Jumper et al. 2021) that offer highly accurate protein structure  
88 predictions, this constraint is likely a problem of the past. Altogether, open questions in microbial  
89 ecology and evolution, advances in computation, and increased availability of data are  
90 culminating in a research landscape that is ripe for new software solutions that integrate protein  
91 structures with 'omics data in order to observe and interpret evolutionary processes that shape  
92 sequence variation in natural populations.

93 Here we develop an interactive and scalable software solution for the analysis and interactive  
94 visualization of metagenomic sequence variants in the context of predicted protein structures and  
95 ligand binding sites as a new module in *anvi'o*, an open-source, community-led multi-omics  
96 platform (<https://anvio.org>). By importing AlphaFold-predicted protein structures into *anvi'o*  
97 *structure*, we (1) demonstrate the shortcomings of purely sequence-based approaches to interpret  
98 patterns of polymorphism observed within complex microbial populations, (2) propose two  
99 structural features to interpret genetic variation, RSA and DTL, (3) illustrate that nonsynonymous  
100 polymorphism is more likely to encroach upon active sites when selection is low, but is purged  
101 from active sites when selection is high, and (4) provide evidence that common codons are more  
102 translationally robust than their rare synonymous counterparts, which appear within  
103 structurally/functionally noncritical sites when selection is low.

## Results and Discussion

104 To investigate selective pressures that drive protein evolution within microorganisms inhabiting  
105 complex naturally occurring environments, we chose a single microbial taxon and a set of  
106 metagenomes that match to its niche boundaries: SAR11 (*Candidatus Pelagibacter ubique*), a  
107 microbial clade of free-living heterotrophic alphaproteobacteria that dominates surface ocean  
108 waters (Morris et al. 2002), and Tara Oceans Project metagenomes (Sunagawa et al. 2015), a  
109 massive collection of deeply sequenced marine samples from oceans and seas across the globe.  
110 SAR11 is divided into multiple subclades with distinct ecology (Giovannoni 2017). Thus, we  
111 further narrowed our focus to HIMB83, a single SAR11 strain genome that is 1.4 Mbp in length.  
112 HIMB83 is a member of the environmental SAR11 lineage 1a.3.V, one of the most abundant  
113 (Nayfach et al. 2016) and most diverse (Delmont & Kiefl et al. 2019) microbial lineages in marine  
114 systems, which recruits as much as 1.5% of all metagenomic short reads in surface ocean  
115 metagenomes (Delmont & Kiefl et al. 2019).  
  
116 To quantify the genetic variability of 1a.3.V, we used HIMB83 as a reference genome of the  
117 subclade, and competitively recruited short reads (see Methods) from 93 low-latitude surface  
118 ocean metagenomes (Table S1), resulting in 390 million reads that were 94.5% identical to  
119 HIMB83 on average (Figure S1). As an individual member of a diverse subclade, HIMB83  
120 possesses a genomic context that is insufficient for resolving the extent of genetic diversity within  
121 1a.3.V. Regardless, HIMB83 possesses the 'core' gene set of 1a.3.V, and so reads recruited by  
122 these genes represent the diversity of the 1a.3.V core genome. Of the 1,470 genes in HIMB83,  
123 we restricted our analysis to 799 genes that we determined to form the 1a.3.V core genes, and  
124 74 metagenomes in which the average coverage of HIMB83 exceeded 50X (see Methods). The  
125 reads recruited to the 1a.3.V core represent a dense sampling of the diversity within this  
126 environmental lineage that far exceeds the evolutionary resolution and volume of sequence data

127 achievable through comparisons of cultured SAR11 genomes alone (Figure S1). As a result,  
128 these data provide a unique opportunity to zoom in and track how genomic variation in one of the  
129 most abundant microbial populations on Earth shifts in response to ecological parameters  
130 throughout the global ocean (Figure S2).

## Polymorphism rates reveal intense purification of nonsynonymous mutants

131 To quantify genomic variation in 1a.3.V, in each sample we identified codon positions of HIMB83  
132 where aligned metagenomic reads did not match the reference codon. We considered each such  
133 position to be a *single codon variant* (SCV). Analogous to single nucleotide variants (SNVs), which  
134 quantify the frequency that each nucleotide allele (A, C, G, T) is observed in the reads aligning to  
135 a nucleotide position, SCVs quantify the frequency that each codon allele (AAA, ..., TTT) is  
136 observed in the reads aligning to a codon position (see Methods for a more complete description).  
137 Since SCVs are defined to be 'in-frame', they provide inherent convenience when relating  
138 nucleotide variation in the genomic coordinates to amino acid variation in the corresponding  
139 protein coordinates, as well as for determining whether or not nucleotide variation leads to  
140 synonymous or nonsynonymous change. Within the 1a.3.V core genes, we found a total of  
141 9,537,022 SCVs, or 128,879 per metagenome on average. These SCVs distributed throughout  
142 the genome such that 78% of codons (32% of nucleotides) exhibited minor allele frequencies  
143 >10% in at least one metagenome. Despite this extraordinary level of diversity, our read  
144 recruitment strategy is stringent and yields reads that on average differ from HIMB83 in only 6  
145 nucleotides out of 100 (Table S2), precluding the possibility that this diversity is generated from  
146 excessive nonspecific mapping. While puzzling, this level of diversity is not surprising as it agrees  
147 with numerous studies that have pointed out the astonishing complexity of the SAR11 subclade

148 1a.3.V (Nayfach et al. 2016; Delmont and Kiefl et al. 2019; Haro-Moreno et al. 2020) that could  
149 not be further divided into sequence-discrete populations (Delmont and Kiefl et al. 2019).

150 We found this diversity to be overwhelmingly synonymous. By splitting each SCV into its  
151 synonymous (s) and nonsynonymous (ns) proportions, we calculated per-site rates of s-  
152 polymorphism and ns-polymorphism as  $pS^{(site)}$  and  $pN^{(site)}$ , not to be confused with the related  
153 concepts dS and dN. While dS and dN quantify rates of synonymous and nonsynonymous  
154 *substitution* between diverged species,  $pN^{(site)}$  and  $pS^{(site)}$  can (1) resolve shorter evolutionary  
155 timescales than the characteristic fixation rate, (2) be calculated from metagenomic read  
156 recruitment data without complete haplotypes, and (3) define rates on a per-sample basis, thus  
157 enabling inter-sample comparisons. Overall, we found that the average  $pS^{(site)}$  outweighed  $pN^{(site)}$   
158 by 19:1 (Table S3), revealing an overwhelming fraction of the 1a.3.V diversity to be synonymous  
159 and illustrating how nonsynonymous mutants are purified at a much higher rate than synonymous  
160 mutants in the population at large.

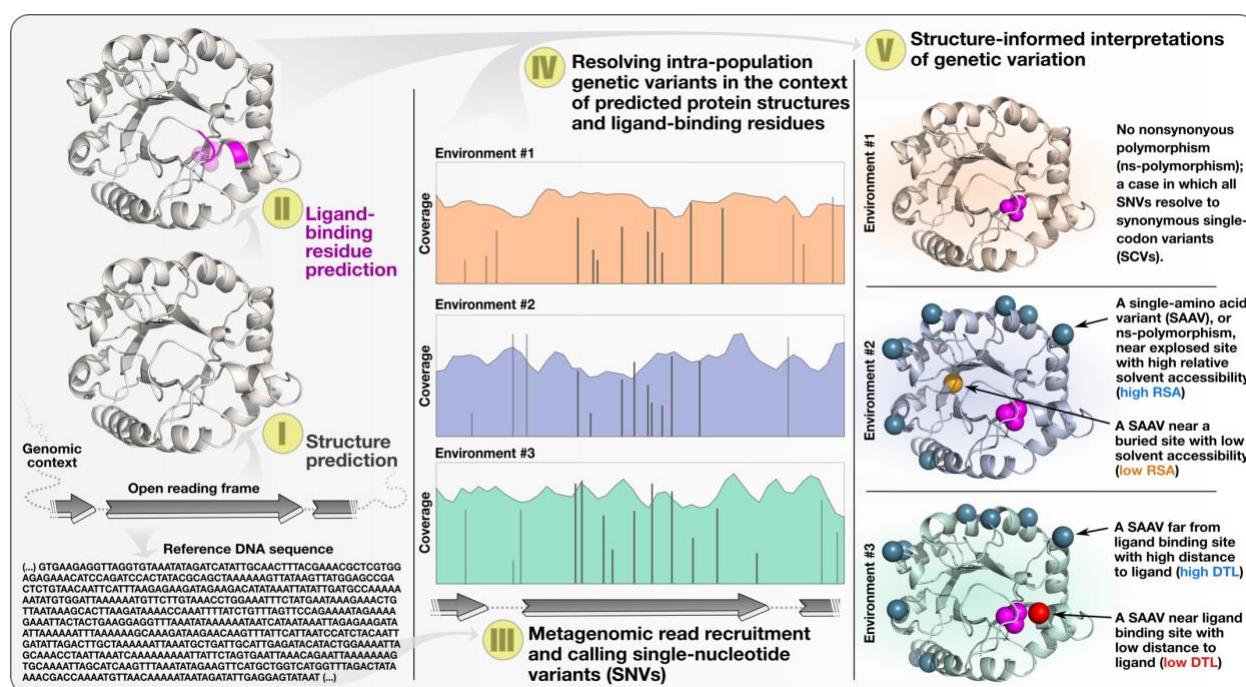


Figure 1. Anvi'o workflow for structure-informed population genetics.

## Nonsynonymous polymorphism avoids buried sites

161  $pN^{(site)}$  values varied significantly from site-to-site and from sample-to-sample, but overall, more  
162 variation existed between sites in a given sample than between samples of a given site (Figure  
163 S3). The extent that a given site can tolerate ns-polymorphism is largely determined by the local  
164 physicochemical environment of the encoded residue, which is defined by the 3D structure of the  
165 protein. Thus, we broadened our focus by developing a computational framework, *anvi'o structure*  
166 (Supplementary Information), that enabled the integration of environmental sequence variability  
167 with predicted protein structures (Figure 1).

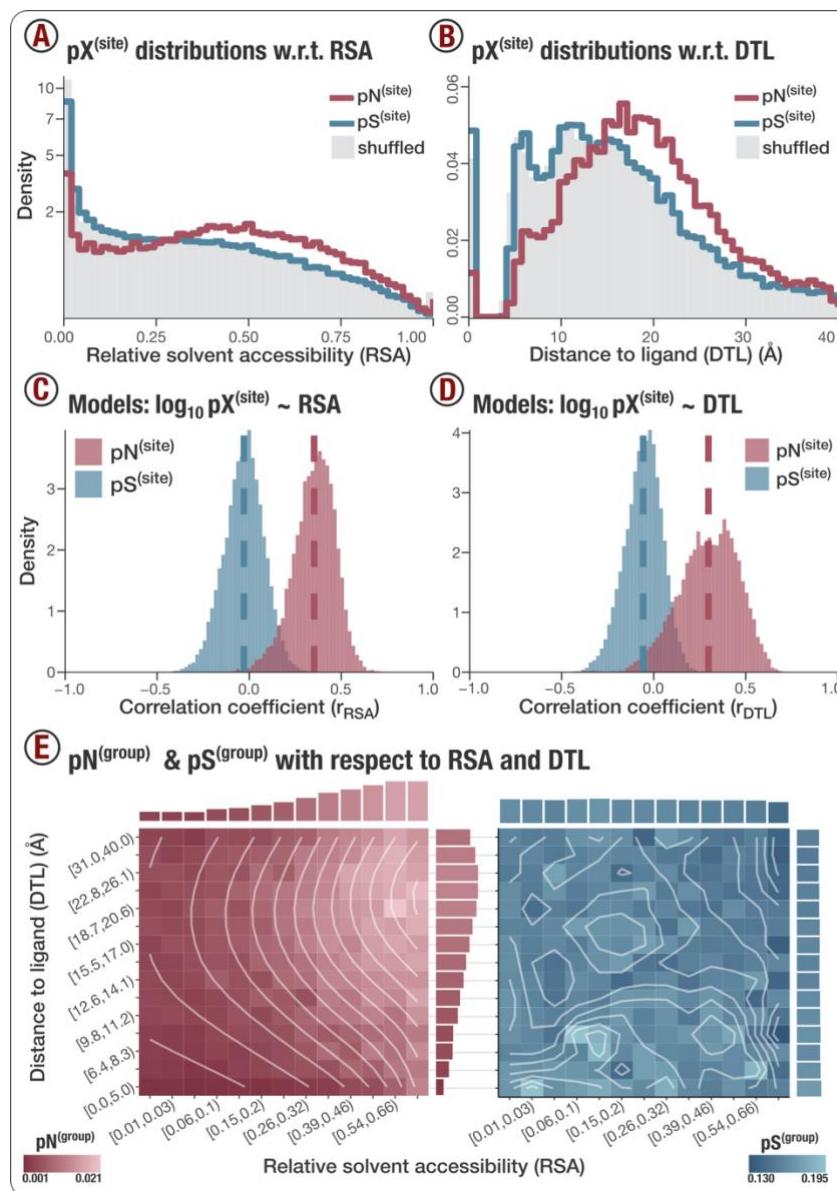
168 We used two independent methods to predict protein structures for the 799 core genes of 1a.3.V:  
169 (1) a template-based homology modeling approach with MODELLER (Webb and Sali 2016),  
170 which predicted 346 structures, and (2) a transformer-like deep learning approach with AlphaFold  
171 (Jumper et al. 2021), which predicted 754. Our evaluation of the 339 genes for which both  
172 methods predicted structures (Supplementary Information) revealed a comparable accuracy  
173 between AlphaFold and MODELLER (Figure S4, Table S4). Thus, we opted to use AlphaFold  
174 structures for all downstream analyses due to its higher structural coverage. Indeed, AlphaFold-  
175 predicted protein structures covered over 90% of the core genes, highlighting the emerging  
176 opportunities afforded by recent advances in de novo structure prediction.

177 Aligning single-codon variants to predicted structures enabled us to directly compare the  
178 distributions of s-polymorphism and ns-polymorphism rates relative to biophysical characteristics  
179 of the encoded proteins. We first investigated the association between polymorphism rates and  
180 relative solvent accessibility (RSA), a biophysical measure of how exposed (RSA = 1) or buried  
181 (RSA = 0) a site is. Since nonsynonymous mutations at buried sites are more likely to disrupt  
182 folding and stability, RSA serves as a powerful proxy to discuss the strength of structural  
183 constraints acting at a site (Echave, Spielman, and Wilke 2016). By calculating RSA for each site

184 in the predicted structures, and then weighting every site by the  $pN^{(site)}$  and  $pS^{(site)}$  across all  
185 samples, we established proteome-wide distributions for  $pN^{(site)}$  and  $pS^{(site)}$  relative to RSA (Figure  
186 2a). These data showed that  $pS^{(site)}$  closely resembled the null distribution, which illustrates the  
187 lack of influence of RSA on s-polymorphism, while  $pN^{(site)}$  deviated significantly and instead  
188 exhibited strong preference for sites with higher RSA. This finding aligns well with the expectation  
189 that buried sites are likely to purify nonsynonymous change due to disruption of protein stability  
190 while being relatively more tolerant to synonymous change, and validates our methodology.

## Nonsynonymous polymorphism avoids active sites

191 While structural constraints ensure a given protein folds properly and remains stable, they do not  
192 guarantee its function. Comprehensive analyses of diverse protein families show that residues  
193 that bind or interact with ligands are depleted of mutations (Kobren and Singh 2019) due to strong  
194 selective pressures that maintain active site conservancy. This constraint is not limited to the  
195 immediate vicinity of ligand-binding residues, and has been observed to radiate outwards from  
196 the active site with a strength inversely correlated with distance from active site (Dean et al. 2002).  
197 We considered this distance as the 'distance-to-ligand' (DTL), and hypothesized that DTL may be  
198 a suitable proxy for investigating functional constraints in a manner complementary to RSA, a  
199 proxy for investigating structural constraints. To test this, we investigated distributions of  $pN^{(site)}$   
200 and  $pS^{(site)}$  as a function of DTL for each predicted structure by first predicting sites implicated in  
201 ligand binding using InteracDome (Kobren and Singh 2019), and then calculating a DTL for each  
202 site, given the closest predicted ligand-binding site (Table S5).



**Figure 2. (A) Structural constraints shift the  $pN^{(site)}$  distribution towards high relative solvent accessibility (RSA).** The  $pN^{(site)}$  distribution (red line) and  $pS^{(site)}$  distribution (blue line) were created by weighting the RSA values of 239,528 sites (coming from the 754 genes with predicted structures) by the  $pN^{(site)}$  and  $pS^{(site)}$  values observed in each of the 74 samples, totaling 17,725,072  $pN^{(site)}$  and  $pS^{(site)}$  values. The average distribution of 10 independent, randomly shuffled datasets of  $pN^{(site)}$  is depicted by the grey-regions for  $pN^{(site)}$ , and represents the null distribution expected if no association between  $pN^{(site)}$  and RSA existed. Since the null distribution for  $pS^{(site)}$  so closely resembles the null distribution for  $pN^{(site)}$ , it has been excluded for visual clarity, but can be seen in Figure S5. **(B) Functional constraint shifts the  $pN^{(site)}$  distribution towards high distance-to-ligand (DTL) values.** The  $pN^{(site)}$  distribution (red line)

and  $pS^{(site)}$  distribution (blue line) were created by weighting the DTL values of 155,478 sites (coming from 415 genes that had predicted structures and at least one predicted ligand) by the  $pN^{(site)}$  and  $pS^{(site)}$  values observed in each of the 74 samples, totaling 11,505,372  $pN^{(site)}$  and  $pS^{(site)}$  values. The  $pN^{(site)}$  null distribution was calculated according to the procedure described in panel A, where again, the  $pS^{(site)}$  null distribution closely resembled the  $pN^{(site)}$  null distribution, and can be seen in Figure S5. **(C) Linear models reveal positive correlations between  $pN^{(site)}$  and RSA.** The two distributions show Pearson correlation coefficients produced by linear models of the form  $\log_{10}(pN^{(site)}) \sim \text{RSA}$  (red-filled region) and  $\log_{10}(pS^{(site)}) \sim \text{RSA}$  (blue-filled region). A model has been fit to each gene-sample pair that passed filtering criteria (see Supplementary Information), resulting in 16,285 nonsynonymous models and 24,553 synonymous models. Distribution means are visualized as dashed lines. **(D) Per-group polymorphism rates explain the major selective pressure trends with respect to RSA and DTL.** The left and right panels show heatmaps of  $pN^{(\text{group})}$  and  $pS^{(\text{group})}$ . Each cell represents a group defined by RSA and DTL ranges shown on the x- and y- axes, respectively. The color of each cell represents the respective value for the group, where dark refers to low values and light refers to high values. White lines show the contour lines of smoothed data.

203 The average per-site ns-polymorphism rate throughout the 1a.3.V core genome was 0.0088,  
204 however, we observed a nearly 4-fold reduction in this rate to just 0.0024 at predicted ligand  
205 binding sites (DTL = 0), indicating stronger purifying selection at ligand-binding sites (Figure 2b).  
206 Sites neighboring ligand-binding regions also harbored disproportionately low rates of ns-  
207 polymorphism, as indicated by the significant deviation towards larger DTL values. This illustrates  
208 that purifying selection that preserves proper ligand-binding functionality is not limited to residues  
209 at ligand-binding sites, but extends to proximal sites as well. When we defined DTL in sequence  
210 space rather than Euclidean space, this effect was no longer observable beyond sequence  
211 distances of ~5-10 amino acids (Figure S6). Comparatively,  $pS^{(site)}$  deviated minimally from the  
212 null distribution. Overall, integrating predicted protein structures and ligand-binding sites into the  
213 analysis of the genetic diversity of an environmental population has enabled us to demonstrate  
214 that (1) structural constraints bias  $pN^{(site)}$  distributions towards solvent exposed sites (*i.e.* high  
215 RSA) (Figure 2a), and (2) functional constraints bias  $pN^{(site)}$  distributions towards sites that are  
216 distant from ligand-binding sites (*i.e.* high DTL) (Figure 2b).

## Proteomic trends in purifying selection are explained by RSA and DTL

217 Given the clear shift in ns-polymorphism rates towards high RSA and DTL sites across genes, we  
218 next investigated the extent that RSA and DTL can predict per-site polymorphism rates. By fitting  
219 a series of linear models to log-transformed polymorphism data (Table S6), we conclude that RSA  
220 and DTL can explain 11.83% and 6.89% of  $pN^{(site)}$  variation, respectively. Based on these models  
221 we estimate that for any given gene in any given sample, (1) a 1% increase in RSA corresponds  
222 to a 0.98% increase in  $pN^{(site)}$ , and (2) a 1% increase in DTL (normalized by the maximum DTL in  
223 the gene) corresponds to a 0.90% increase in  $pN^{(site)}$ . In a combined model, RSA and DTL jointly  
224 explained 14.12% of  $pN^{(site)}$  variation, and after adjusting for gene-to-gene and sample-to-sample

225 variance, 17.07% of the remaining variation could be explained by RSA and DTL. In comparison,  
226 only 0.35% of pS<sup>(site)</sup> variation was explained by RSA and DTL. Using a complementary approach,  
227 we constructed models for each gene-sample pair (Supplementary Information), the correlations  
228 of which we used to visualize the extent that pN<sup>(site)</sup> can be modeled by RSA and DTL relative to  
229 pS<sup>(site)</sup> (Figures 2c, 2d). Analyzing gene-sample pairs revealed that the extent of ns-polymorphism  
230 rate that can be explained by RSA and DTL is not uniform across all genes (Table S7) and can  
231 reach up to 52.6% and 51.4%, respectively (Figures S7, S8). Finally, we averaged polymorphism  
232 rates within groups of sites that shared similar RSA and DTL values, which demonstrated the tight  
233 association between the rate of within population ns-polymorphism rate and protein structure  
234 (Table S8, Figure 2e). Linear regressions of these data show that 83.6% of per-group ns-  
235 polymorphism rates and 20.7% of per-group s-polymorphism rates are explained by RSA and  
236 DTL (Supplementary Information).

237 The true predictive power of RSA and DTL for polymorphism rates is most likely higher than we  
238 report, since our approaches suffer from methodological shortcomings. For instance, we calculate  
239 RSA from the steric configurations of residues in predicted structures. Thus, errors in structure  
240 prediction propagate to errors in RSA. Errors in structure also propagate to errors in DTL, since  
241 DTL is calculated using Euclidean distances between residues, which is exacerbated by the  
242 uncertainty associated with ligand-binding site predictions. Furthermore, RSA and DTL  
243 calculations assume that the protein is monomeric, even though oligomeric proteins are common,  
244 and they represent the majority of proteins in some organisms (Goodsell and Olson 2003).  
245 In these cases, exposed sites in the monomeric structure could be buried once assembled into  
246 the quaternary structure, and this is similarly true for estimates of DTL. Even if we assume  
247 structural predictions are 100% accurate, it is notable that binding site predictions exclude (1)  
248 ligands that are proteins, (2) ligand-protein complexes that have not co-crystallized with each  
249 other, (3) ligands of proteins with no shared homology in the InteractDome database, and (4)

250 unknown ligand-protein complexes. Each of these shortcomings leads to missed binding sites,  
251 which leads to erroneously high DTL values in the proximity of unidentified binding sites (Figure  
252 S9). Furthermore, our predictions assume that if a homologous protein in the InteracDome  
253 database binds to a ligand with a particular residue, then so too does the corresponding residue  
254 in the HIMB83 protein. This leads to uncertain predictions, since homology does not necessitate  
255 binding site conservancy. Yet, despite these methodological shortcomings, our analyses show  
256 that RSA and DTL are significant predictors of per-site and per-group variation.

257 Clear partitioning of environmental genetic variation by RSA and DTL (Figure 2) highlights the  
258 utility of these metrics for studies of evolution following the increasing availability of protein  
259 structures. Analyses of total genetic variation lacking the ability to delineate distinct processes of  
260 evolution limit opportunities to identify determinants of fitness in rich and complex data afforded  
261 by environmental metagenomes. Indeed, the application of RSA and DTL to SAR11 demonstrate  
262 that not all variants are created equal; a notion considered common knowledge by all life  
263 scientists, and yet such a treatment is lacking in studies of genomic heterogeneity that rely upon  
264 metagenomic read recruitment. RSA and DTL provide quantitative means to bring a level of  
265 scrutiny to distinguish variants based on their distributions in proteins. For instance, a collection  
266 of high-RSA and high-DTL sites will be more likely to be enriched in neutral variants. In contrast,  
267 residues under strong purifying selection will more likely be enriched in low-RSA and/or low-DTL  
268 sites of proteins. The ability to tease apart distinct evolutionary processes with absolute accuracy  
269 will indeed remain difficult due to a multitude of factors. But by providing structure-informed means  
270 to partition the total intra-population variation into distinct pools, RSA and DTL offer a quantitative  
271 framework that enables new opportunities to study distinct evolutionary processes.

## Measuring purifying selection between genes and environments with pN/pS<sup>(gene)</sup>

272 So far, our structure-informed investigation has focused on trends of sequence variation within  
273 the gene pool of an environmental population. Next, we shifted our attention to individual proteins.  
274 pN/pS<sup>(gene)</sup> is a metric that quantifies the overall direction and magnitude of selection acting on a  
275 single gene (Schloissnig et al. 2013; Shenhav and Zeevi 2020), where pN/pS<sup>(gene)</sup> < 1 indicates  
276 the presence of purifying selection, the intensity of which increases as the ratio decreases. Since  
277 pN/pS<sup>(gene)</sup> is defined for a given gene in a given sample, pN/pS<sup>(gene)</sup> values for a single gene can  
278 be compiled from multiple samples, enabling the tracking of selective pressures across  
279 environments (Shenhav and Zeevi 2020). Taking advantage of the large number of metagenomes  
280 in which 1a.3.V was present, we calculated pN/pS<sup>(gene)</sup> for all 799 protein-coding core genes  
281 across 74 samples (see Methods), resulting in 59,126 gene/sample pairs (Table S9). We  
282 validated our calculations by comparing sample-averaged pN/pS<sup>(gene)</sup> to dN/dS<sup>(gene)</sup> calculated  
283 from homologous gene pairs between HIMB83 and HIMB122, another SAR11 isolate genome  
284 that is closely related to HIMB83 (gANI: 82.6%), which we found to yield commensurable results  
285 (Figure S10, Table S12, Supplementary Information).

286 We found significantly more pN/pS<sup>(gene)</sup> variation between genes of a given sample ('gene-to-  
287 gene' variation) than between samples of a given gene ('sample-to-sample' variation) (ANOVA,  
288 Figure S11). All but one gene (gene #2031, unknown function) maintained pN/pS<sup>(gene)</sup> << 1 in  
289 every sample, whereby 95% of values were less than 0.15 (Figure S12, Table S9), indicating an  
290 intense purifying selection for the vast majority of 1a.3.V genes across environments. This was  
291 foreshadowed by our earlier analysis in which pS<sup>(site)</sup> outweighed pN<sup>(site)</sup> by 19:1 within the  
292 aggregated data across genes and samples. However, the magnitude of purifying selection was  
293 not uniform across all genes. In fact, gene-to-gene variance, as opposed to sample-to-sample  
294 variance, explained 93% of pN/pS<sup>(gene)</sup> variation (ANOVA, Figure S11). By analyzing the

295 companion metatranscriptomic data (Salazar et al. 2019) that were available for 50 of the 74  
296 metagenomes, we were able to explain 29% of gene-to-gene variance with gene transcript  
297 abundance (Table S13, Supplementary Information), a known predictor of evolutionary rate (Pál,  
298 Papp, and Hurst 2001). Overall, these data demonstrate the utility of  $pN/pS^{(gene)}$  as a metric to  
299 understand the overall extent of selection acting on genes.

300 The amount of  $pN/pS^{(gene)}$  variation attributable to sample-to-sample variance was only 0.7%  
301 (Figure S11). While it represents a small proportion of the total variance, the sample-to-sample  
302 variance in  $pN/pS^{(gene)}$  encapsulates the extent that polymorphism varies in response to the range  
303 of environmental parameters observed across samples. These data therefore provide the  
304 opportunity to relate how differences in genetic diversity of individual genes manifests from  
305 differences in environmental parameters (Table S10), which we focused on next.

## Nitrogen availability governs rates of non-ideal polymorphism at critical sites of glutamine synthetase

306 To gain a more highly resolved picture of how selection shapes protein evolution, we searched  
307 for a biologically relevant gene within 1a.3.V that exhibited evolutionary patterns that could be  
308 understood by leveraging structural information. Glutamine synthetase (GS) is a critical enzyme  
309 for the recycling of cellular nitrogen (Bernard and Habash 2009), a limiting nutrient for microbial  
310 productivity in surface oceans (Bristow et al. 2017). GS yields glutamine and ADP from glutamate,  
311 ammonia, and ATP, an essential step in the biosynthesis of nitrogenous compounds.

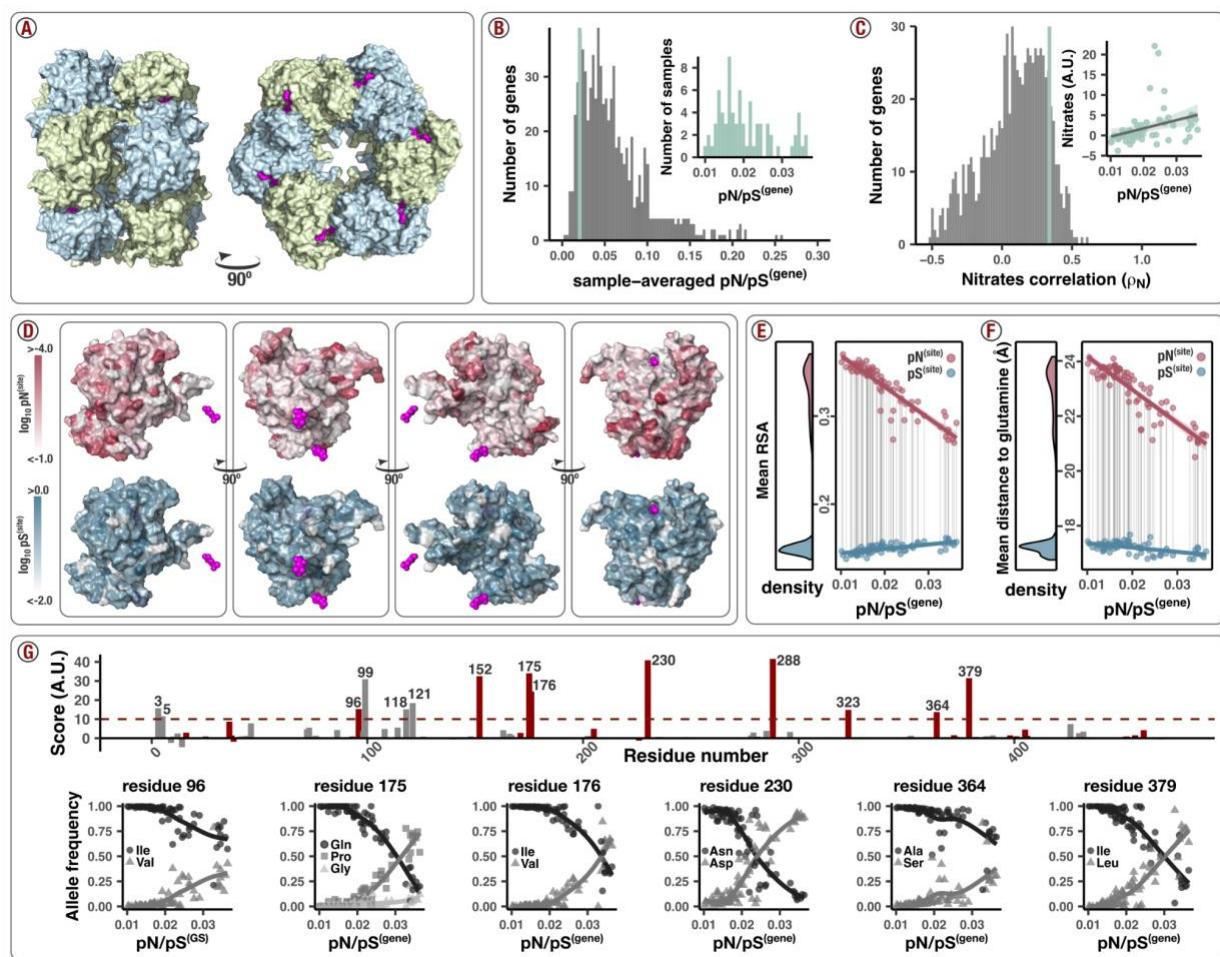
312 Given the central role that GS plays in nitrogen metabolism, we expected GS to be under high  
313 selection. Indeed, the sample-averaged  $pN/pS^{(GS)}$  was 0.02, ranking GS amongst the top 11%  
314 most purified genes (Figure 3b, Table S9). Although highly purified, we observed significant  
315 sample-to-sample variation in  $pN/pS^{(GS)}$  (min = 0.010, max = 0.036) suggesting that the strength  
316 of purifying selection on GS varies from sample to sample (Figure 3b inset), perhaps due to unique

317 environmental conditions (e.g., nutrient compositions) that differentially impact the need for  
318 glutamine synthesis. Since previous work has shown that SAR11 upregulates its transcriptional  
319 and translational production of GS in response to nitrogen limitation (Smith Daniel P. et al., n.d.),  
320 we hypothesized that purifying selection should be highest in nitrogen-limited environments, and  
321 lowest in nitrogen-replete environments. We utilized measured concentrations of nitrate as an  
322 indication of the level of nitrogen limitation in each sample, and found a positive correlation  
323 between measured nitrate concentrations and  $pN/pS^{(GS)}$  values across samples (Pearson  
324 correlation p-value = 0.009,  $R^2 = 0.11$ ) (Figure 3c), which ranked amongst the top 12% of positive  
325 correlations between  $pN/pS^{(gene)}$  and nitrate concentration (Figure 3c inset, Table S10). In  
326 summary, we find that although GS is under high selection, subtle differences in selection strength  
327 are observed between samples and are most likely driven by nitrogen availability.

328 Next, we focused on the GS protein structure to further investigate the associations between GS  
329 polymorphism and processes of selection. Since the native quaternary structure of GS is a  
330 dodecameric complex (12 monomers), our monomeric estimates of RSA and DTL are  
331 unrepresentative of the active state of GS. We addressed this by aligning 12 copies of the  
332 predicted structure to a solved dodecameric complex of GS in *Salmonella typhimurium* (PDB ID  
333 1FPY), which HIMB83 GS shares 61% amino acid similarity with (Figure 3a). From this stitched  
334 quaternary structure we recalculated RSA and DTL, and as expected, this yielded lower average  
335 RSA and DTL estimates due to the presence of adjacent monomers (0.17 versus 0.24 for RSA  
336 and 17.8 Å versus 21.2 Å for DTL). With these quaternary estimates of RSA and DTL, we found  
337 that ns-polymorphism was 30x less common than s-polymorphism, and it strongly avoided sites  
338 with low RSA and the three glutamate active sites to which any given monomer was proximal  
339 (Figure 3d). In comparison, s-polymorphism distributed relatively homogeneously throughout the  
340 protein, whereby 17% of s-polymorphism occurred within 10 Å of active sites (compared to 3% for  
341 ns-polymorphism) and 19% occurred in sites with 0 RSA (compared to 9% for ns-polymorphism).

342 Averaged across samples, the mean RSA was 0.15 for s-polymorphism and 0.33 for ns-  
343 polymorphism (Figure 3e left panel). Similarly, the mean DTL was 17.2Å for s-polymorphism and  
344 22.9Å for ns-polymorphism (Figure 3f left panel). These observations highlight in a single gene  
345 what we previously observed across the 1a.3.V core: selection purifies the majority of ns-  
346 polymorphism and does so with increased strength at structurally/functionally critical sites.

347 We next investigated whether variance in selection strength (Figure 3b inset) affects the spatial  
348 distribution patterns of polymorphism. For each sample, we calculated how polymorphism rates  
349 in GS distributed with respect to RSA and DTL and associated these distributions with  $pN/pS^{(GS)}$ .  
350 While the mean RSA of s-polymorphism remained relatively invariant (standard deviation 0.005)  
351 (Figure 3e right panel), the mean RSA of ns-polymorphism varied dramatically from 0.27 to 0.37  
352 and was profoundly influenced by sample  $pN/pS^{(GS)}$ ; samples exhibiting low selection of GS  
353 harbored lower mean RSA and samples exhibiting high selection of GS harbored higher mean  
354 RSA (Figure 3e right panel). In fact, 82.9% of mean RSA ns-polymorphism variance could be  
355 explained by  $pN/pS^{(GS)}$  alone (Pearson correlation, p-value <  $1 \times 10^{-16}$ ,  $R^2 = 0.829$ ). ns-  
356 polymorphism distributions with respect to DTL were equally governed by selection strength,  
357 where 80.4% of variance could be explained by  $pN/pS^{(GS)}$  (Pearson correlation, p-value <  $1 \times 10^{-16}$ ,  
358  $R^2 = 0.804$ , Figure 3f).



**Figure 3. Polymorphism distribution patterns in glutamine synthetase (GS).** (A) **GS forms a dodecameric complex.** The structure (PDB ID 1FPY) comes from *Salmonella typhimurium* (61% sequence similarity to HIMB83) and is shown from two different views. Pink molecules are ADP and phosphinothricin (steric inhibitor of glutamate), and are situated within the active site of GS. (B) **GS is one of the most highly conserved genes in 1a.3.V.** The main plot shows the distribution of sample-averaged  $pN/pS^{(gene)}$  for all 799 genes in the 1a.3.V core (truncated at 0.30). The vertical green line depicts the sample-averaged  $pN/pS^{(gene)}$  for GS (0.020). The inset plot shows the distribution of  $pN/pS^{(gene)}$  value for GS as seen across the 74 samples, which vary from 0.010 to 0.036. (C) **Selection strength on GS correlates with environmental concentration of nitrates.** The main plot shows a histogram of Pearson correlation coefficients (one per gene) between  $pN/pS^{(gene)}$  and measured concentration of nitrates in each sample. The vertical green line depicts the correlation coefficient for GS (0.34). The inset shows a scatter plot of  $pN/pS^{(gene)}$  vs nitrate concentrations from which the GS correlation coefficient was calculated. (D) **ns-polymorphism rates are reduced in the vicinity of the active sites.** Each image is a view of the predicted structure of monomeric GS. Phosphinothricin substrates were situated by aligning the predicted GS structure to the complex in panel A. Red surfaces are colored according to the sample-averaged  $\log_{10}pN^{(site)}$  value of each residue, and blue surfaces are colored according to the sample-averaged  $\log_{10}pS^{(site)}$  value of each residue. In each case, darker colors refer to higher rates. Left-to-right, each view is a 90° clockwise rotation of the previous view about the vertical axis. Each image was rendered programmatically using a PyMOL script that was generated from the anvi'o structure interactive interface. (E) As

**selection decreases, ns-polymorphism creeps into low-RSA sites.** The left panel shows the distribution of samples' average RSA of nonsynonymous (red) and synonymous (blue) polymorphisms. The right panel shows how these average RSA values (y-axis) correlate with the samples'  $pN/pS^{(gene)}$  values (x-axis). Each data point is calculated by weighting the RSA of each residue by the  $pN^{(site)}$  (red) or  $pS^{(site)}$  (blue) values observed in that sample. The red and blue lines show the nonsynonymous and synonymous linear fits, respectively, and the corresponding shaded regions show the 95% confidence intervals for the fit. **(F) As selection decreases, ns-polymorphism creeps closer to the binding site.** The scheme is identical to panel E, where RSA is replaced with the distance-to-glutamate substrate (DTL). **(G) Some sites exhibit amino acid minor allele frequencies that co-vary with  $pN/pS^{(GS)}$ .** The top panel shows the extent that sites co-vary with  $pN/pS^{(GS)}$ . The x-axis shows the residue number and the y-axis the slope estimate of a linear regression between the sum of minor allele frequencies and  $pN/pS^{(GS)}$ . Sites with DTL values less than the average are indicated in red and are gray otherwise. All sites above an arbitrary cutoff (dashed horizontal line) are annotated with their residue number. Scatter plots below show the allele frequency trajectories for a select number of these sites.

359 When selection is low, we observe high nitrate concentrations (Figure 3c inset) and ns-  
360 polymorphism distributions towards lower RSA/DTL (Figures 3e, 3f). When selection is high, we  
361 observe low environmental nitrate concentrations (Figure 3c inset) and ns-polymorphism  
362 distributions towards higher RSA/DTL (Figures 3e, 3f). Given that proper functionality of GS is  
363 most critical in nitrogen-limited environments and that mutations with low RSA/DTL are more likely  
364 to be deleterious, the most likely explanation for the body of evidence presented is that GS  
365 accumulates non-ideal polymorphism in samples exhibiting low selection of GS that cannot be  
366 effectively purified at the given selection strength. As selection increases, so too does the  
367 purifying efficiency, which we indirectly measure as increases in mean RSA and DTL of ns-  
368 polymorphism. Our approach illustrates this 'use it or lose it' evolutionary principle over a  
369 spectrum of selection strengths which have been sampled from natural *in situ* environmental  
370 conditions.

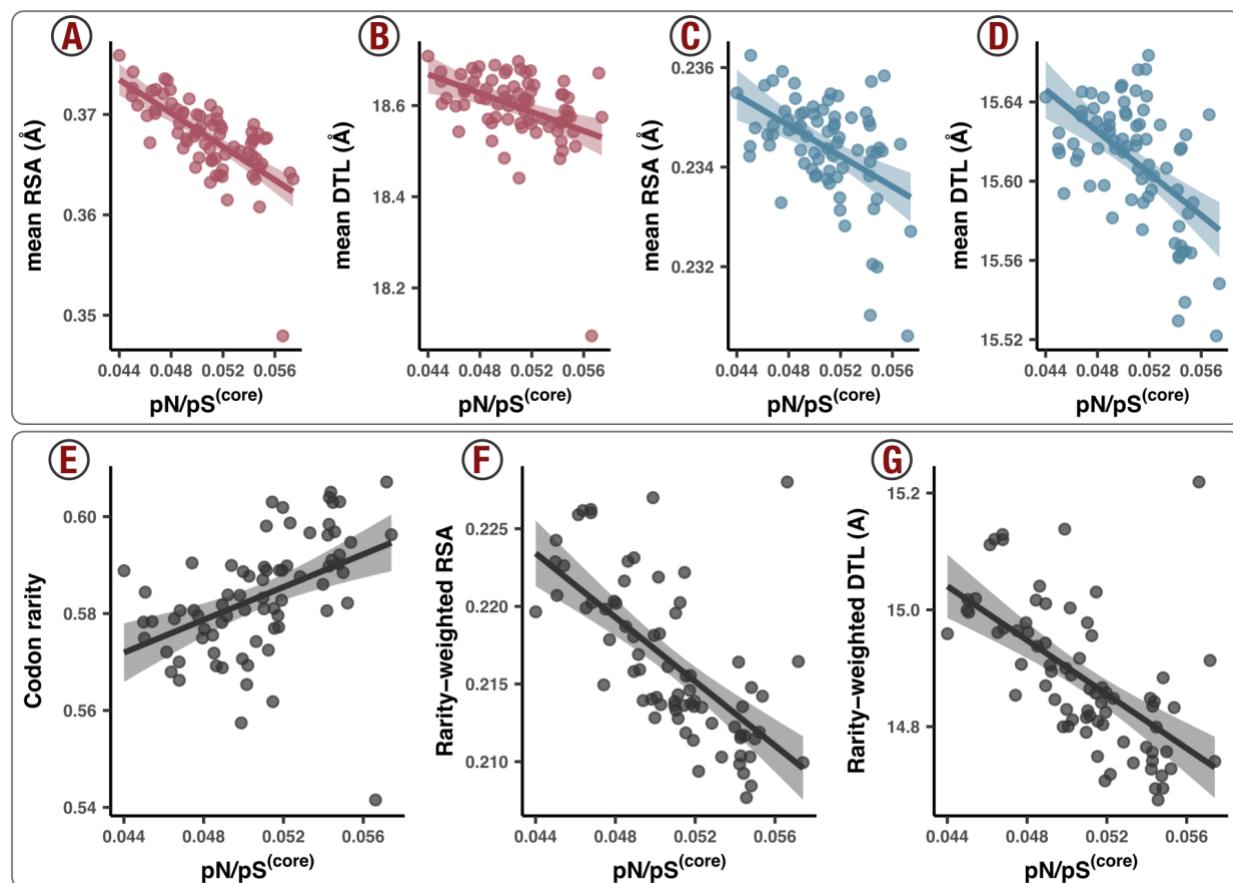
371 Under this hypothesis, there should exist low DTL amino acid alleles that create a negative, yet  
372 tolerable impact on fitness when selection is low, yet incur an increasingly detrimental fitness cost  
373 as selection increases. One would expect such alleles to be at low frequency in low  $pN/pS^{(GS)}$   
374 samples, and to reach increasingly higher frequencies in higher  $pN/pS^{(GS)}$  samples. We identified  
375 putative sites fitting this description by scoring sites based on the extent that their amino acid

376 minor allele frequencies co-varied with pN/pS<sup>(GS)</sup>, including only sites with DTL less than the mean  
377 DTL of ns-polymorphisms (22.9Å). Using an arbitrary cutoff, we identified 9 top-scoring  
378 polymorphisms that co-varied with pN/pS<sup>(GS)</sup> (Figure 3g): I96V, L152I, Q175P/G, I176V, N230D,  
379 S288A/D, I323V, A364S, I379L. Though each of these sites exhibited DTL lower than the average  
380 ns-polymorphism, the closest site (residue number 323) was still 9Å away from the glutamate  
381 substrate. This suggests there are no ‘smoking gun’ polymorphisms occurring in the binding site  
382 that abrasively disrupt functionality. After all, in absolute terms GS is highly purified regardless of  
383 sample – the largest pN/pS<sup>(GS)</sup> is 0.036, which is just over half the genome-wide average  
384 pN/pS<sup>(gene)</sup> of 0.063. Our data therefore represents a subtle, yet resolvable signal of minute  
385 decreases in selection strength manifesting as minute shifts in the distribution of ns-polymorphism  
386 towards the active site.

387 While identifying signatures of positive selection is typically the primary pursuit in evolutionary  
388 analysis, our data instead illustrates a highly resolved interplay between purifying selection  
389 strength and polymorphism distribution. The geography and unique environmental parameters  
390 associated with each sample yielded a spectrum of selection strengths which enabled us to  
391 quantify how polymorphism distributions of a gene under high selection shift in response to small  
392 perturbations in selection strength. In the case of GS, we were able to attribute these shifts to the  
393 availability of nitrogen, thereby linking together environment, selection, and polymorphism.

394 Throughout the 1a.3.V core genes, we observed that samples exhibiting low overall selection of  
395 1a.3.V were strongly associated with increased accumulation of ns-polymorphism at low  
396 RSA/DTL sites (Figures 4a, 4b, Supplementary Information), suggesting this signal is not specific  
397 to GS, but rather a general feature of the 1a.3.V core genes. Though highly significant (one sided  
398 Pearson p-values  $9 \times 10^{-12}$  for RSA and  $2 \times 10^{-4}$  for DTL), the magnitude that ns-polymorphism  
399 distributions shift with respect to DTL and RSA were subtle: across samples, the mean DTL of  
400 ns-polymorphism varied by less than 1Å, and the mean RSA varied between 0.230 and 0.236.

401 Resolving such a minute signal with such robust statistical power is owed to the immense  
 402 quantities of sequence data afforded by metagenomics.



**Figure 4. Polymorphism distribution patterns with respect to genome-wide selection strength.** Each data point is a sample (metagenome). Lines represent lines of best fit and corresponding translucent areas represent 95% confidence intervals. The x-axis is  $pN/pS^{(core)}$ , which is calculated across the whole core genome and is an inverse proxy of genome-wide purifying selection strength (see Methods). **(A)** The ns-polymorphism distribution mean with respect to RSA is negatively associated with  $pN/pS^{(core)}$  (one-sided Pearson p-value =  $9 \times 10^{-12}$ ). **(B)** The ns-polymorphism distribution mean with respect to DTL is negatively associated with  $pN/pS^{(core)}$  (one-sided Pearson p-value =  $2 \times 10^{-4}$ ). **(C)** The s-polymorphism distribution mean with respect to RSA is negatively associated with  $pN/pS^{(core)}$  (one-sided Pearson p-value =  $1 \times 10^{-5}$ ). **(D)** The s-polymorphism distribution mean with respect to RSA is negatively associated with  $pN/pS^{(core)}$  (one-sided Pearson p-value =  $3 \times 10^{-7}$ ). **(E)** Rare synonymous codons are more abundant in samples with high  $pN/pS^{(core)}$  (one-sided Pearson p-value =  $4 \times 10^{-5}$ ). **(F)** Rare synonymous codons avoid low RSA sites when  $pN/pS^{(core)}$  is low (one-sided Pearson p-value =  $1 \times 10^{-10}$ ). **(G)** Rare synonymous codons avoid low DTL sites when  $pN/pS^{(core)}$  is low (one-sided Pearson p-value =  $7 \times 10^{-9}$ ).

## Synonymous but not silent: selection against rare codons at critical sites

403 Thus far we have observed that purifying efficiency observably decreases in response to lowered  
404 selection strength, as evidenced by ns-polymorphism occurring nearer to binding sites and in  
405 more buried sites. Given the influence of synonymous substitutions in translational processes  
406 (Plotkin and Kudla 2011), as a final analysis we focused on within-population trends of s-  
407 polymorphism.

408 Compared to ns-polymorphism, s-polymorphism distributes more uniformly throughout protein  
409 structures (Figures 2a, 2b). Yet our data also revealed an association between selection strength  
410 and the distribution of s-polymorphism. In samples under higher selection, s-polymorphism  
411 systematically tended to occur (1) in more solvent-exposed sites (Figure 4c, one-sided Pearson  
412 p-value =  $1 \times 10^{-5}$ ) and (2) farther from binding sites (Figure 4d, one-sided Pearson p-value =  $3 \times 10^{-7}$ ). These trends indeed mimic the nonsynonymous trends in glutamine synthetase (Figures 3d,  
413 3e, 3f) as well as the core genes in general (Figures 4a, 4b), and cannot be reasonably explained  
414 by neutral processes. The surprising association suggests a relationship between selection and  
415 synonymous change that is at least partly determined by structural features of proteins.

417 With a GC-content lower than 30%, SAR11 genomes maintain a non-uniform yet conserved  
418 codon composition (Figure S13). Previous work has shown that rare codons can significantly  
419 reduce translation rates (Sørensen, Kurland, and Pedersen 1989), cause delays in the production  
420 of the polypeptide chain at the ribosome (Komar 2009), which can lead to protein misfolding  
421 (Drummond and Wilke 2008; Agashe et al. 2013), and impair fitness (Walsh et al. 2020). Thus,  
422 we hypothesized that rare codons in 1a.3.V may incur fitness costs relative to their more common,  
423 synonymous counterparts. To test this hypothesis, we investigated the relationship between  
424 selection strength and the occurrence of rare codons, which required us to define a 'codon rarity'

425 metric based on the frequency that codons are found in the HIMB83 genome relative to their  
426 synonymous counterparts (Table S11). We then attributed an overall rarity score to each sample  
427 by weighting the rarity of all synonymous codon alleles by the frequencies with which they were  
428 observed (see Methods). Our analysis of these data revealed a positive correlation between  
429 codon rarity in a sample and its  $pN/pS^{(core)}$  (Figure 4d, one-sided Pearson p-value =  $1 \times 10^{-5}$ ),  
430 illustrating that rare codons are more likely to be found in samples where genome-wide selection  
431 is low. We found this to be the case for s-polymorphism within all 18 amino acids that possess  
432 two or more codons (Figure S14), illustrating that this evolutionary process acts ubiquitously  
433 throughout the genetic code of 1a.3.V. Rare codons did not distribute throughout protein  
434 structures uniformly, either. In samples with low genome-wide selection, where rarity was highest,  
435 rare codons occurred farther away from binding sites (one-sided Pearson p-value =  $1 \times 10^{-10}$ ) and  
436 occurred more frequently in more solvent-exposed sites (one-sided Pearson p-value =  $7 \times 10^{-9}$ ), as  
437 compared to low selection samples (Figures 4e, 4f).

438 Overall, these data show that when genome-wide selection strength is low, rare codons both (1)  
439 incorporate into the genome with increased propensity, and (2) manifest in sites that are  
440 statistically more likely to be structurally/functionally important. As previous research suggests,  
441 the most likely explanation for these observations is that rare codons are less fit due to decreased  
442 translational accuracy compared to their more common, synonymous counterparts. Yet the  
443 environmental and structural dimensions of our data reveal the dynamic nature of the evolutionary  
444 processes that maintain synonymous polymorphism as a function of changing conditions in  
445 naturally occurring habitats and elucidates the intensity of such processes as a function of their  
446 physical locations in the structure. Indeed, 1a.3.V maintains the lowest proportion of rare codons  
447 in samples where genome-wide selection is highest, and rare codons in these samples are  
448 statistically more likely to be incorporated in noncritical sites of proteins, most likely due to the  
449 increased efficiency with which purifying selection operates in an environment- and site-

450 dependent manner. These rare codon data provide a lens into the potential fitness costs  
451 associated with suboptimal translational accuracy in complex populations, and by including  
452 structural data, we demonstrate where optimal translational accuracy matters most.

## Conclusions

453 With recent breakthroughs in predicting protein structures and ligand binding sites, microbial  
454 ecology need not be limited to just sequences. By offering an interactive, scalable, and open-  
455 source software solution that integrates environmental genetic variants with structural  
456 bioinformatics, our study takes advantage of recent advances to connect environmental ‘omics  
457 and structural biology. Indeed, by leveraging structure and ligand-binding predictions we were  
458 able to describe striking patterns of nucleotide polymorphism in an environmental microbial  
459 population that we could ascribe to evolutionary constraints that preserve protein structure (folding  
460 & stability) and protein function (ligand-binding activity). By tracking a SAR11 population across  
461 metagenomes we were able to demonstrate the presence of dynamic processes that purge both  
462 synonymous and nonsynonymous polymorphism from the vicinity of ligand binding sites of  
463 proteins as a function of selection strength. Overall, our study proposes a structure-informed  
464 computational framework for microbial population genetics and offers a glimpse into the emerging  
465 interdisciplinary opportunities made available at the intersection of ecology, evolution, and  
466 structural biology.

## Methods

467 **Overview.** The URL <https://merenlab.org/data/anvio-structure/> provides a complete reproducible  
468 workflow for all analysis steps detailed below, including (1) downloading the publicly available  
469 metagenomes and genomes, (2) recruiting reads from metagenomes, (3) calculating single  
470 amino-acid and single codon variants, (4) predicting protein structures and ligand binding sites,  
471 and (5) visualizing metagenomic sequence variants and binding sites onto protein structures.

472 **Metagenomic and metatranscriptomic read recruitment and processing.** To study the  
473 population structure of the environmental SAR11 population 1a.3.V defined previously (Delmont  
474 and Kiefl et al. 2019), we used anvi'o v7.1 (Eren et al. 2021), and its metagenomics workflow  
475 (Shaiber et al. 2020) which uses snakemake v5.10 (Köster and Rahmann 2012) to automate gene  
476 calling, gene function annotation, metagenomic and metatranscriptomic read recruitment steps.  
477 The compendium of anvi'o programs the metagenomics workflow called upon employed Prodigal  
478 v2.6.3 (Hyatt et al. 2010) for gene calling, NCBI's Clusters of Orthologous Groups (COGs)  
479 database (Tatusov et al. 2003) and Pfams (El-Gebali et al. 2019) for gene function annotation,  
480 HMMER v3.3 (Eddy 2011) for profile HMM searches, DIAMOND v2.0.6 (Buchfink, Xie, and Huson  
481 2015) for sequence searches, Bowtie2 v2.4 (Langmead and Salzberg 2012) for read recruitment,  
482 and samtools v1.9 (Li et al. 2009) to generate BAM files. The metagenomic workflow resulted in  
483 a 'contigs database' and a 'merged profile database' (two anvi'o artifacts detailed at  
484 <https://anvio.org/help/>), which gives access to gene and genome coverages (with metagenomic  
485 or metatranscriptomic short reads), as well as the sequence variability data to study population  
486 genetics as detailed below. We adopted a competitive read recruitment strategy by using all  
487 SAR11 genomes, rather than only HIMB83, as reference to recruit reads from Tara Oceans  
488 Project metagenomes and metatranscriptomes to maximize the exclusion of reads that matched  
489 better to other known SAR11 genomes, thereby narrowing our scope of probed diversity and

490 minimizing the impacts of non-specific read recruitment. In all subsequent analyses we focused  
491 on the core genes of the 1a.3.V subclade by only considering (a) reads that mapped to HIMB83  
492 (b) the 74 metagenomes in which HIMB83 was found above 50X, and (c) the 799 HIMB83 genes  
493 that were previously found to maintain consistent coverage patterns (Delmont and Kiefl et al.  
494 2019).

495 **Quantifying SCVs and SAAVs in metagenomes.** To characterize the variants in metagenomic  
496 read recruitment results we used and extended the microbial population genetics framework  
497 implemented in anvi'o. The program `anvi-profile` with the flag `--profile-SCVs` characterizes  
498 single codon variants (SCVs), from which single amino acid variants (SAAVs) can also be  
499 calculated. Anvi'o determines allele frequency vectors for SCVs by tallying the frequencies of  
500 codons observed in the 3-nt segments of reads that fully map to a given codon position. The  
501 frequencies of amino acids encoded by each 3-nt segment yield SAAVs observed in a given  
502 position, which represent allele frequency vectors of positions after collapsing synonymous  
503 redundancy. For a given codon position, anvi'o excludes any reads that do not map to all 3  
504 nucleotides, which can happen either if the read terminates within the codon position, or there  
505 exists a deletion in the read relative to the reference genome. Reads that contain insertions within  
506 the codon relative to the reference genome are also excluded during this step. We exported  
507 variant profiles as tabular data using the program `anvi-gen-variability-profile`, where each row is  
508 a SCV (or SAAV) and the columns specify (1) identifying information such as the corresponding  
509 gene, codon position, and sample id, (2) the number of mapped reads corresponding to each of  
510 the 64 codons (or 20 amino acids), and (3) numerous miscellaneous statistics, all of which can  
511 be explored at <https://merenlab.org/analyzing-genetic-variability/>.

512 **Calculations of polymorphism rates of individual codon sites,  $pN^{(site)}$  and  $pS^{(site)}$ .** We  
513 calculated the polymorphism rates of individual codon sites from allele frequencies defined from  
514 each SCV based on a recent study by Shenhav and Zeevi (2020), where a given codon allele

515 contributes (to either  $pN^{(site)}$  or  $pS^{(site)}$ ) an amount that is equal to its observed relative abundance  
516 (frequency). To which rate the allele contributes is determined by its synonymity relative to the  
517 popular consensus, i.e. the allele most common across all samples. After summing the  
518 contributions for each of the 63 codons (excluding the popular consensus), we normalized the  
519 resulting values of  $pN^{(site)}$  and  $pS^{(site)}$  by the number of nonsynonymous and synonymous sites of  
520 the popular consensus, respectively. For example, if the popular consensus is 'ACC' (Thr), there  
521 are 9 possible single point mutations, 3 synonymous and 6 nonsynonymous, therefore  $pS^{(site)}$  will  
522 be divided by  $3/3 = 1$  and  $pN^{(site)}$  will be divided by  $6/3 = 2$ . This procedure can be mathematically  
523 expressed as

524 
$$p_N^{(site)} = \frac{1}{n_n} \sum_{c \in C \setminus r} f_c N(c, r), \quad p_S^{(site)} = \frac{1}{n_s} \sum_{c \in C \setminus r} f_c S(c, r)$$

525 Where  $C \setminus r$  is the set of all codons excluding the popular consensus  $r$ ;  $n_n$  and  $n_s$  are the number  
526 of nonsynonymous and synonymous sites of  $r$ , respectively;  $f_c$  is the frequency of the  $c$ th allele;  
527  $N(c, r)$  is the indicator function where,

528 
$$N(c, r) = 1 \text{ if } \text{not synonymous}(c, r) \text{ else } 0$$

529 and  $S(c, r)$  is the indicator function where,

530 
$$S(c, r) = 1 \text{ if } \text{synonymous}(c, r) \text{ else } 0.$$

531 We implemented this strategy into the program `anvi-gen-variability-profile` as a new flag `--  
532 include-site-pnps`, which when declared, adds  $pN^{(site)}$  and  $pS^{(site)}$  values as additional columns to  
533 the tabular output after calculating them for 3 different choices of the reference codon  $r$ : (1) the  
534 popular consensus (as used in this paper), (2) the consensus (the allele with the highest  
535 frequency), and (3) the codon found in the reference sequence (the sequence used for read  
536 recruitment). For efficient computation, this calculation uses the Python package numba (Lam,

537 Pitrou, and Seibert 2015) for just-in-time compilation. For a dataset with 12,583,626 SCVs, the  
538 current implementation computes  $pN^{(site)}$  and  $pS^{(site)}$  terms in less than a minute on a laptop  
539 computer.

540 **Calculations of polymorphism rates within a group of sites,  $pN^{(group)}$ ,  $pS^{(group)}$ , and**  
541  **$pN/pS^{(group)}$ .** We defined groups such that all sites in a group share similar RSA and DTL values.  
542 Formally, we defined  $pN^{(group)}$  and  $pS^{(group)}$  as

$$543 \quad p_N^{(group)} = \frac{\sum_{g=1}^G \sum_{c \in C \setminus r} f_c^{(g)} N(c, r^{(g)})}{\sum_{g=1}^G n_n^{(g)}}, \quad p_S^{(group)} = \frac{\sum_{g=1}^G \sum_{c \in C \setminus r} f_c^{(g)} S(c, r^{(g)})}{\sum_{g=1}^G n_s^{(g)}}.$$

544  $G$  is the number of sites in the group;  $r^{(g)}$  is the popular consensus of the  $g$ th site;  $f_c^{(g)}$  is the  
545 frequency of the  $c$ th allele at the  $g$ th site;  $n_n^{(g)}$  and  $n_s^{(g)}$  are the number of nonsynonymous and  
546 synonymous sites of  $r^{(g)}$ , respectively. All other definitions are the same as for  $pN^{(site)}$  and  $pS^{(site)}$ .  
547  $pN^{(group)}$  and  $pS^{(group)}$  can be expressed in terms of weighted sums of  $pN^{(site)}$  and  $pS^{(site)}$ ,  
548 respectively:

$$549 \quad p_N^{(group)} = \frac{\sum_{g=1}^G n_n^{(g)} pN^{(g, site)}}{\sum_{g=1}^G n_n^{(g)}}, \quad p_S^{(group)} = \frac{\sum_{g=1}^G n_s^{(g)} pS^{(g, site)}}{\sum_{g=1}^G n_s^{(g)}}.$$

550 Finally,  $pN/pS^{(group)}$  is defined as

$$551 \quad pN/pS^{(group)} = pN^{(group)}/pS^{(group)}.$$

552 **Calculations of polymorphism rates for individual and core genes,  $pN^{(gene)}$ ,  $pS^{(gene)}$ ,**  
553  **$pN/pS^{(gene)}$ , and  $pN/pS^{(core)}$ .** We calculated rates of polymorphism for genes and the 1a.3.V core  
554 genome identically to the calculations of  $pN^{(group)}$ ,  $pS^{(group)}$ , and  $pN/pS^{(group)}$ . For example,  $pN^{(gene)}$   
555 refers to the ns-polymorphism rate of all sites in a given gene, and  $pS^{(core)}$  refers to the s-  
556 polymorphism rate of all sites in the 1a.3.V core genome.

557 **Predicting and processing protein structures.** We attempted to predict protein structures for  
558 each gene in the HIMB83 genome that belonged to the 1a.3.V core using both AlphaFold (Jumper  
559 et al. 2021) and MODELLER (Webb and Sali 2016). To process, store, and access the resulting  
560 protein structures we developed a novel program, `anvi-gen-structure-database`, which gives  
561 access to all atomic coordinates as well as per-residue statistics such as relative solvent  
562 accessibility, secondary structure, and phi & psi angles calculated using DSSP (Touw et al., 2015;  
563 Kabsch and Sander, 1983). For AlphaFold predictions we used a version of the codebase that  
564 closely resembles v2.0.1 ([this URL](#) gives access to its exact state) and ran predictions using 6  
565 GPUs, which took a week on a high-performance computing system. AlphaFold predicted  
566 structures for 795 of 799 proteins, and after removing structures with gene-averaged pLDDT  
567 scores <80, we were left with 754 structures we deemed ‘trustworthy’ for downstream analyses.  
568 To predict protein structures with MODELLER, we developed a pipeline that, for each gene, (1)  
569 searches the Research Collaboratory for Structural Bioinformatics Protein Data Bank (Berman et  
570 al. 2000) (RSCB PDB) for homologs using DIAMOND (Buchfink, Xie, and Huson 2015), then  
571 downloads tertiary structures for matching entries, and (2) uses these homologs as templates to  
572 predict the gene’s structure with MODELLER (Webb and Sali 2016). We discarded any proteins  
573 if the best template had a percent similarity of <30%. Unlike more sophisticated homology  
574 approaches that make use of multi-domain templates (Källberg et al. 2012), we used single-  
575 domain templates which are convenient and are accurate up to several angstroms, yet can lead  
576 to physically inaccurate models when the templates’ domains match to some, but not all of the  
577 sequences’ domains. To avoid this, we discarded any templates if the alignment coverage of the  
578 protein sequence to the template was <80%. Applying these filters resulted in 408 structures from  
579 the 1a.3.V core, which was further refined by requiring that the root mean squared distance  
580 (RMSD) between the predicted structure and the most similar template did not exceed 7.5 Å, and  
581 that the GA341 model score exceeded 0.95. After applying these constraints, we were left with  
582 348 structures in the 1a.3.V that we assumed to be ‘trustworthy’ structures as predicted by

583 MODELLER. These structures were on average 44.8% identical to their templates, which is within  
584 the sequence similarity regime where template-based homology modeling generally produces the  
585 correct overall fold (Rost 1999).

586 **Predicting ligand-binding sites.** For the 1a.3.V core genes we estimated per-residue binding  
587 frequencies for a diverse collection of ligands by using InteracDome, a database that annotates  
588 the sites (match states) of Pfam profile hidden Markov models (HMMs) with ligand binding  
589 frequencies predicted from experimentally-determined structural data (Kobren and Singh 2019).  
590 To associate match state binding frequencies of the profile HMMs to the sites of HIMB83 genes,  
591 we applied a protocol similar to that described in Kobren & Singh.

592 First, we downloaded the Representable-NR Interactions (RNRI) from the InteracDome web  
593 server (<https://interacdome.princeton.edu/>) that “correspond to domain-ligand interactions that  
594 had nonredundant instances across three or more distinct PDB structures” (Table S5). Next, we  
595 downloaded the profile HMMs for Pfam v31.0 and kept only those 2,375 profiles that belonged to  
596 the RNRI dataset. Then, we searched each HIMB83 gene against this set using HMMER’s  
597 hmmsearch. After the removal of HMM hits that were below the gathering threshold (GA) noise  
598 cutoffs defined in Pfam models, 940 of the 1,470 HIMB83 coding genes had at least one domain  
599 hit, with a total of 1,770 domain hits from 832 unique profile HMMs. Of these, we removed 177  
600 for being too partial (length of the hit divided by the profile HMM length was less than 0.5), and 1  
601 hit because the query sequence did not match all the consensus residues for match states in  
602 which the information content exceeded 4 (Table S5). We then associated binding frequencies  
603 for a collection of ligand types to the HIMB83 genes by parsing alignments of the profile HMMs to  
604 the HIMB83 gene amino acid sequences, which are provided in the standard output of  
605 hmmsearch. If a given HIMB83 residue aligned to multiple match states, each which had the same  
606 ligand type, we attributed the average binding frequency to the HIMB83 residue. We then filtered  
607 out binding frequency scores less than 0.5, yielding 40,219 predicted ligand-residue interactions

608 across 11,480 unique sites (Table S5). We considered each of these sites to be 'ligand-binding  
609 sites'.

610 Our study includes two novel programs to automate this procedure and make it accessible to the  
611 community. The first, `anvi-setup-interacdome`, downloads the RNRI and Pfam datasets, and  
612 only needs to be run once. The second, `anvi-run-interacdome`, is a multi-threaded program that  
613 takes an anvi'o contigs database as input, and runs the remainder of the workflow described for  
614 each gene in the database. Predicted binding frequencies are stored internally in the database,  
615 which enables a seamless integration with other anvi'o programs to accomplish various tasks,  
616 such as the interactive visualization of the binding sites of predicted structures for any given gene  
617 with `anvi-display-structure` (see Supplementary Information), or exporting the underlying data as  
618 TAB-delimited files with `anvi-export-misc-data`. In the present study, `anvi-run-interacdome`  
619 processed the HIMB83 genome in 53 seconds on a laptop computer using a single thread.

620 **Calculating relative solvent accessibility (RSA).** We calculated RSA for each residue of each  
621 predicted structure, where RSA was defined as the accessible surface area (ASA) probed by a  
622 1.4Å radius sphere, divided by the maximum ASA, *i.e.* the ASA of a Gly-X-Gly tripeptide. RSA  
623 values were calculated in the program `anvi-gen-structure-database` using Biopython's DSSP  
624 module (Cock et al. 2009).

625 **Calculating distance-to-ligand (DTL).** DTL was calculated for all sites that belonged to genes  
626 with (a) a predicted structure and (b) at least one predicted ligand-binding residue. Ideally, one  
627 would calculate DTL as the Euclidean distance of a residue to the predicted ligand, however our  
628 predictions did not yield the 3D coordinates of ligands. Instead, we approximated DTL as the  
629 Euclidean distance of a residue to the closest ligand-binding residue (see Methods), which lies  
630 within a few angstroms of the predicted ligand. Specifically, we defined this distance according to

631 the sites' side chain center of masses. A consequence of approximating DTL with respect to the  
632 closest ligand-binding sites is that by definition, any ligand-binding residue has a DTL of 0.

633 As discussed in *Proteomic trends in purifying selection are explained by RSA and DTL*, missed  
634 binding sites lead to erroneously high DTL values. We assessed the magnitude of this error  
635 source by comparing our distribution of predicted DTL values in the 1a.3.V core to that found in  
636 BioLiP, an extensive database of semi-manually curated ligand-protein complexes (Yang, Roy,  
637 and Zhang 2013). We found the 1a.3.V DTL distribution had a much higher proportion of values  
638 >40 Å, suggesting these likely result from incomplete characterization of binding sites (Figure S9).  
639 To mitigate the influence of this inevitable error source, we conservatively excluded DTL values  
640 >40 Å (8.0% of sites) in all analyses after Figure 2b.

641 **Calculating polymorphism null distributions for RSA and DTL.** The null distributions for  
642 polymorphism rates with respect to RSA and DTL were calculated by randomly shuffling the RSA  
643 and DTL values calculated for each site, yielding distributions one would expect if there was no  
644 association between polymorphism rate and RSA. To avoid biases, each null distribution is the  
645 average of 10 shuffled datasets.

646 **Proportion of polymorphism rate variance explained by RSA and DTL.** To calculate the  
647 extent that RSA and DTL can explain polymorphism rates, we constructed 3 synonymous models  
648 (s-models) and 3 nonsynonymous models (ns-models) (Table S6). s-models fit linear regressions  
649 of  $\log_{10}(pS^{(site)})$  to RSA (s #1), DTL (s #2), and both RSA & DTL (s #3). Similarly, ns-models fit  
650 linear regressions of  $\log_{10}(pN^{(site)})$  to RSA (ns #1), DTL (ns #2), and both RSA & DTL (ns #3).  
651 Additionally, each model included the gene and sample of the corresponding polymorphism as  
652 independent variables, in order to account for gene-to-gene and sample-to-sample differences.  
653 Polymorphism rates were log-transformed because it helped linearize the data, yielding better  
654 models. The data used to fit each model included all codon positions across all samples in each

655 gene that had a predicted protein structure and at least 1 predicted ligand-binding residue. After  
656 excluding monomorphic sites ( $pN^{(site)} = 0$  for ns-models,  $pS^{(site)} = 0$  for s-models), this yielded  
657 5,838,445 data points for s-models and 3,850,182 for ns-models. While every protein has RSA  
658 values that span the domain [0,1], protein size creates dramatic gene-to-gene differences in  
659 observed DTL values. We accounted for this by standardizing DTL values on a per-gene basis,  
660 which improved variance explained by DTL. The variance explained by RSA, DTL, sample, and  
661 gene was determined by performing an ANOVA on each model and partitioning the sum of  
662 squares (Table S6).

663 **Calculating transcript abundance (TA).** Since proper transcription level metrics such as  
664 molecules per cell are incalculable from metatranscriptomic data, we estimated the transcript  
665 abundance (TA) to be

666 
$$TA = \frac{C^{(MT)}}{D^{(MT)}} / \frac{C^{(MG)}}{D^{(MG)}},$$

667 Where  $C^{(MT)}$  is the coverage of the gene in the metatranscriptome,  $D^{(MT)}$  is the sequencing depth  
668 (total number of reads) of the metatranscriptome,  $C^{(MG)}$  is the coverage of the gene in the  
669 metagenome, and  $D^{(MT)}$  is the sequencing depth (total number of reads) of the metagenome.  
670 This means, for example, that a gene with a metatranscriptomic relative abundance 10% of its  
671 metagenomic relative abundance would have a TA of 0.10.

672 **Definition of codon rarity.** We defined the rarity of a codon in the following way. First, we  
673 calculated the unnormalized codon rarity for each codon  $c$ , which we defined as

674 
$$R'_c = (1 - f_c),$$

675 where  $f_c$  is the frequency that a codon was observed in the HIMB83 genome sequence. Then,  
676 we normalized the values such that the codons with the lowest and highest values get rarity scores  
677 of 0 and 1, respectively:

678 
$$R_c = ((R'_c - \min(R')) / (\max(R') - \min(R'))),$$

679 where  $\min(R')$  and  $\max(R')$  correspond to the smallest and largest unnormalized rarity scores.

680 We utilized this definition to calculate codon rarity at polymorphic sites by weighting each codon's  
681 rarity by the frequency that the codon was observed in the short reads mapping to that position.

682 For example, a polymorphic site with a coverage of 200, where 50 reads resolve to GCC ( $R_{GCC} =$   
683 0.97) and 150 resolve to GCT ( $R_{GCT} = 0.75$ ) would get a rarity score of  $50/200 \times 0.97 +$   
684  $150/200 \times 0.75 = 0.81$ . Extending this to multiple sites, we take the codon rarity of an entire  
685 sample to be the average rarity across all codon sites (polymorphic or not).

686 **Statistical data analysis and visualization.** We used R v3.5.1 (R Development Core Team  
687 2011) for the analysis of numerical data reported from anvi'o. For data visualization we used  
688 ggplot2 (Ginestet 2011) library in R and anvi'o, and finalized images for publication using Inkscape  
689 v1.1 (<https://inkscape.org/>).

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## Author contributions

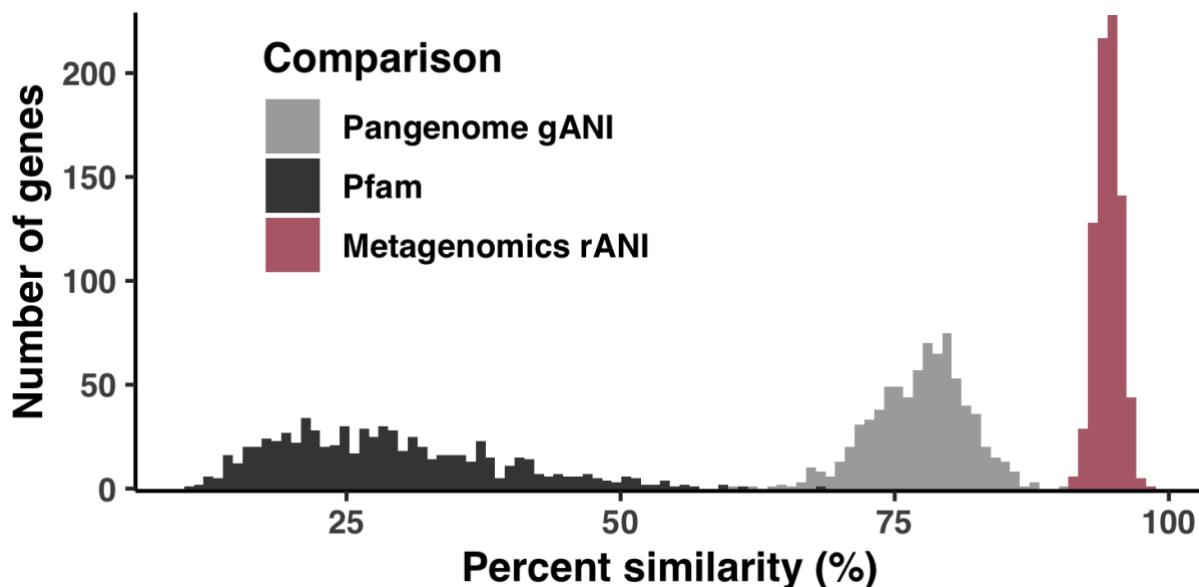
EK and AME conceptualized the study and interpreted findings. EK curated data, developed software tools, and performed primary analyses. OCE, and AME contributed software. EK and AME wrote the paper. SEM, KK, and ADW helped with data analyses and interpretation. MSP and TP helped with project management and funding acquisition. AME supervised the project. All authors commented on the drafts of the study. All authors read and approved the final manuscript.

## Ethics declarations

### Competing interests

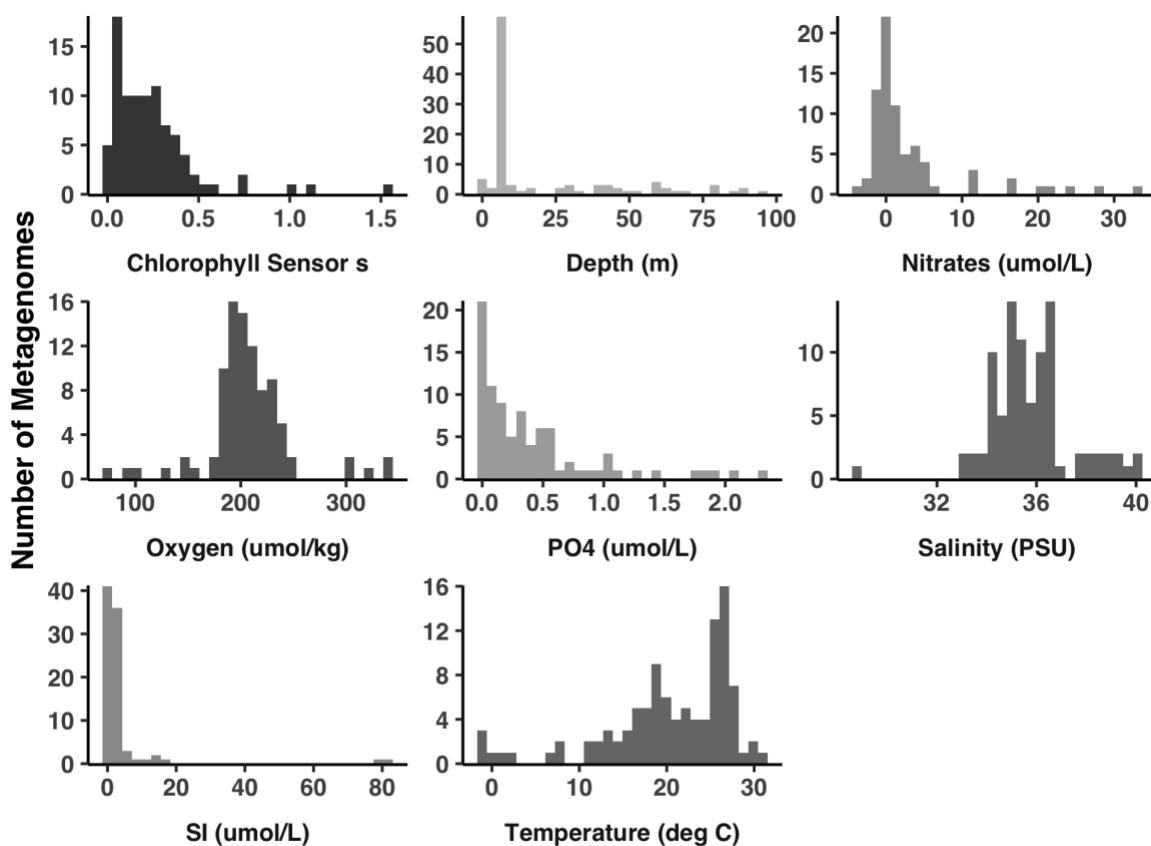
Authors have no competing interests to declare.

## Supplementary Figures



690

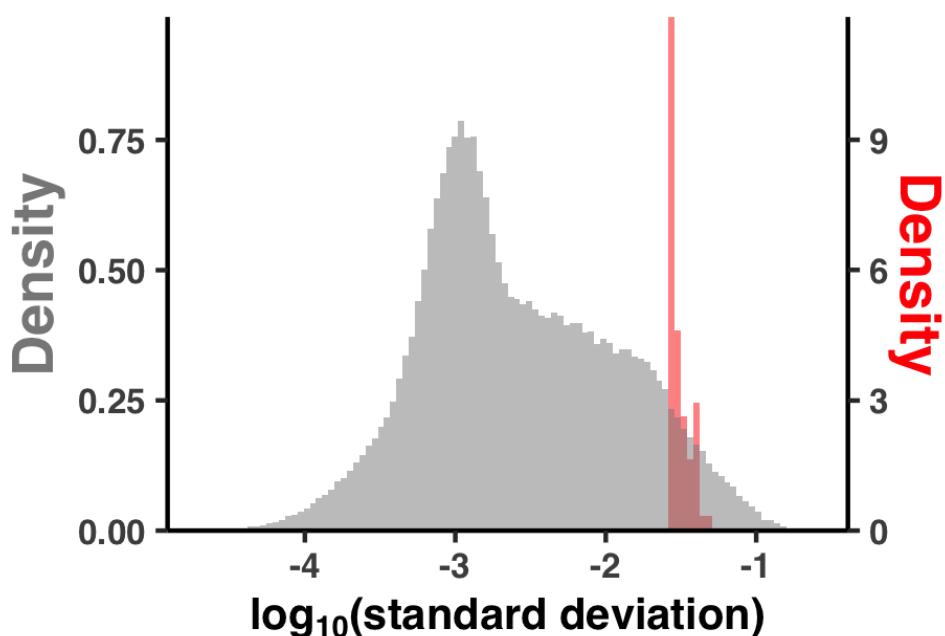
691 **Figure S1. Regimes of sequence similarity probed by metagenomics, SAR11 cultured genomes, and protein**  
692 **families.** Empirical distributions of gene-level percent similarity for HIMB83 compared with recruited metagenomic  
693 reads (pink), homologous SAR11 genomes (blue), and homologous Pfams (orange). For calculation details, see  
694 Supplementary Information.



695

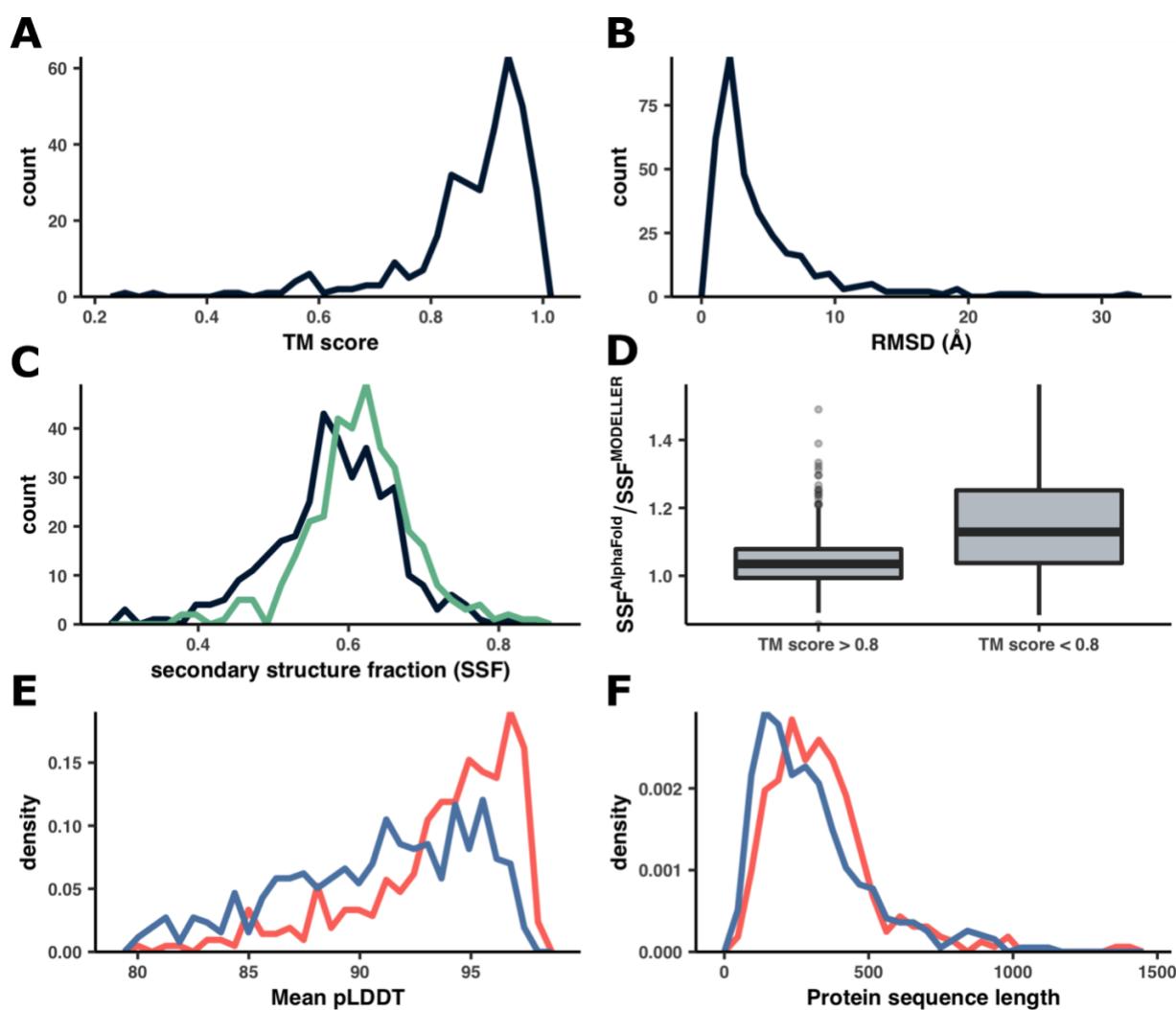
696 **Figure S2. Different environments exhibit substantial variation in their environmental parameters.** Each subplot  
697 shows how the 74 selected metagenomes distribute according to various environmental variables measured by the  
698 TARA ocean metagenome project.

699



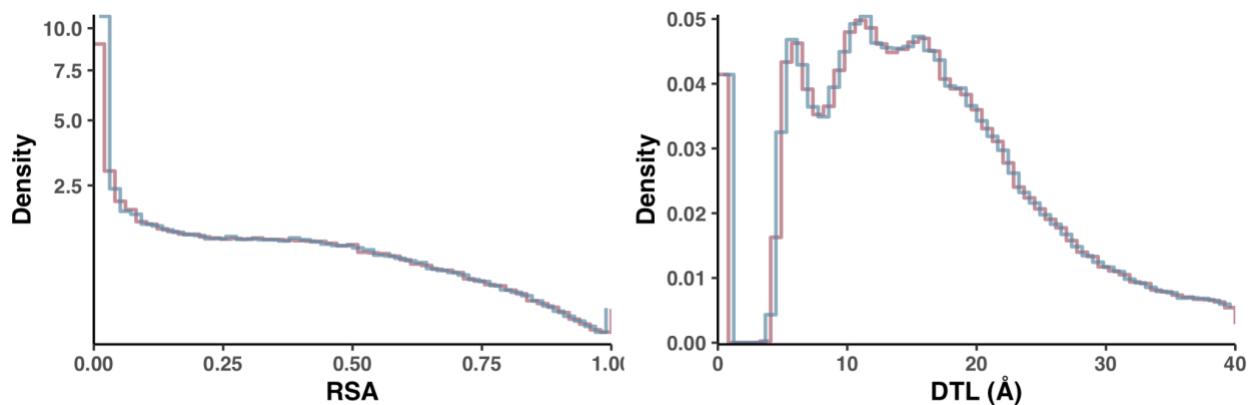
700

701 **Figure S3.**  $pN^{(\text{site})}$  varies more significantly between sites in a given sample than between samples for a given  
702 site. The x-axis is the log-transformed standard deviation of either a sample's  $pN^{(\text{site})}$  values observed over many sites  
703 (orange), or a site's  $pN^{(\text{site})}$  values observed over the 74 samples (gray).

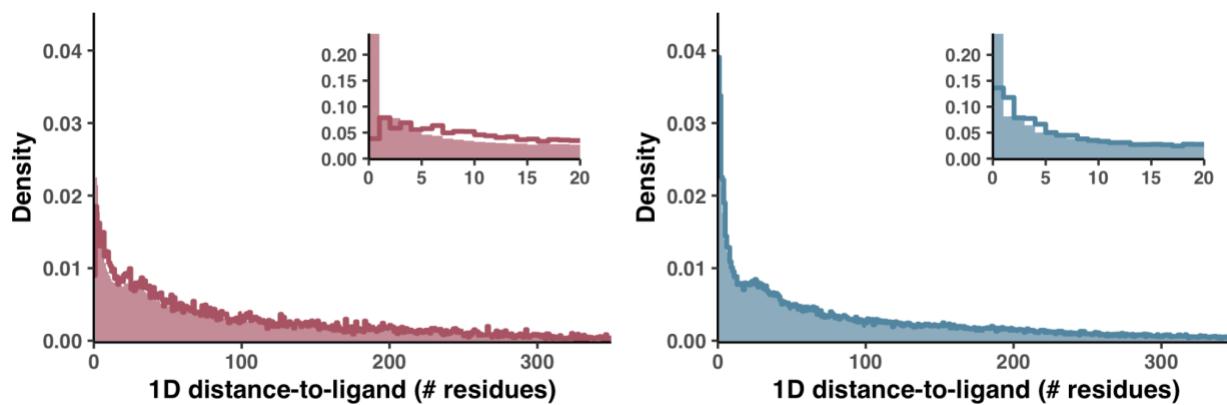


704

705 **Figure S4. Comparisons between structures predicted by AlphaFold and MODELLER. (A-B)** Distributions of TM  
706 scores and RMSD between structures predicted by both MODELLER and AlphaFold. **(C)** Distribution of secondary  
707 structure fractions, between MODELLER (black) and AlphaFold (green). Secondary structure fraction was defined for  
708 each gene as the fraction of sites that DSSP predicted as part of an alpha helix or beta strand. **(D)** Comparison of  
709 secondary structure fractions between MODELLER and AlphaFold for two TM score groups. The y-axis is the  
710 secondary structure fraction of AlphaFold divided by the secondary structure fraction of MODELLER. The two groups  
711 were defined as having TM scores above or below 0.8, where the >0.8 group corresponded to the 291 best alignments  
712 (left) and the <0.8 group corresponded to the 48 worst alignments. **(E-F)** Distributions describing the mean pLDDT and  
713 protein sequence length of AlphaFold structures that either (1) had analog MODELLER structures (red) or (2) did not  
714 (blue).

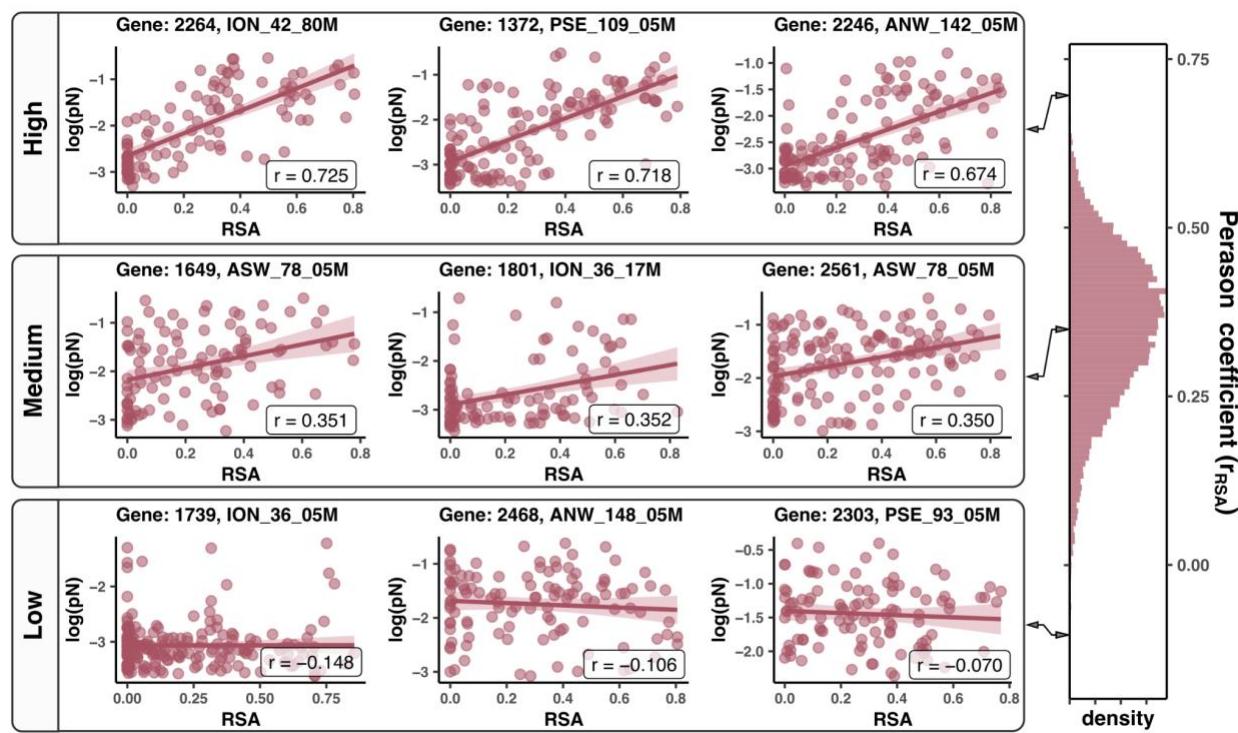


716 **Figure S5. Comparison of null distributions for  $pN^{(site)}$  and  $pS^{(site)}$  for RSA and DTL.** Each distribution was  
717 calculated by averaging 10 independent, randomly shuffled datasets of either  $pN^{(site)}$  (red line) or  $pS^{(site)}$  (blue line). To  
718 better visualize differences between the null distributions, the blue lines depicting the  $pS^{(site)}$  distributions were shifted  
719 right by half of a bin's width.

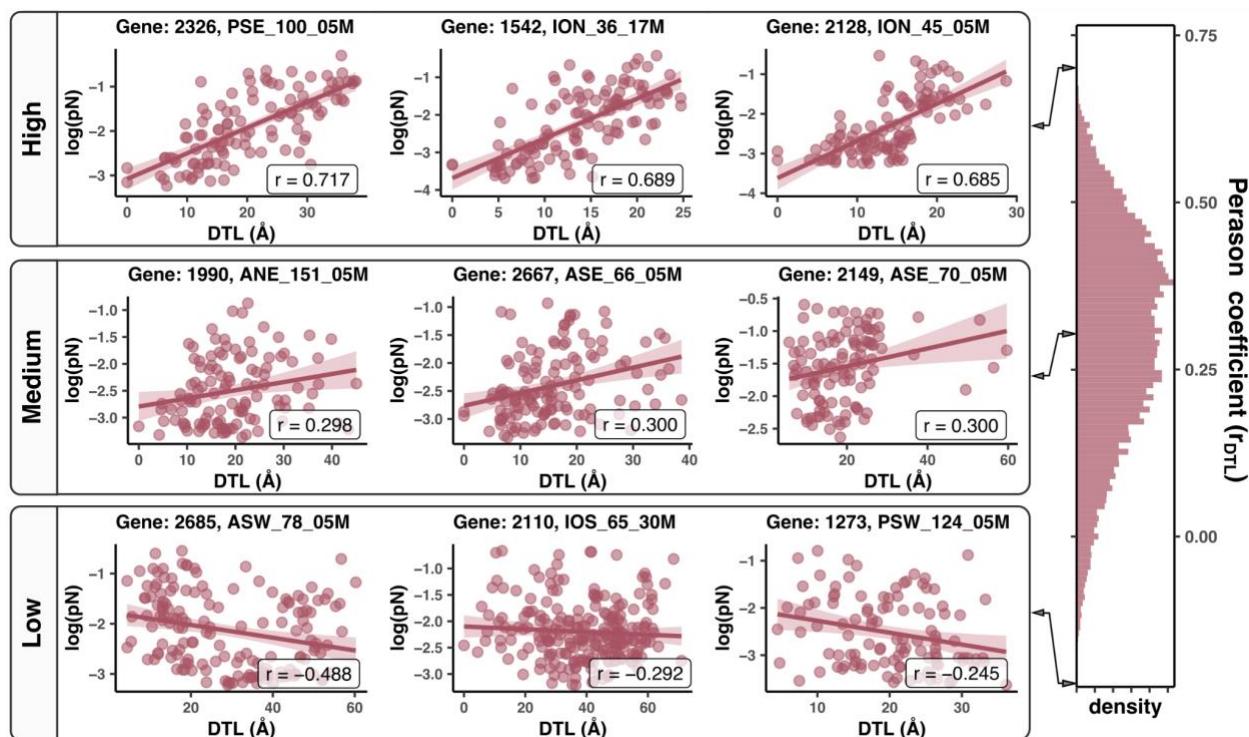


720

721 **Figure S6. Functional constraint is less resolved when using a sequence-distance metric of DTL.**  $pN^{(site)}$  (left  
722 panel) and  $pS^{(site)}$  (right panel) distributions with respect to 1D DTL, which we defined as the number of sites in a  
723 protein's sequence that separate a given site from a predicted ligand-binding site. Lines represent the observed  
724 distributions, and filled regions represent the null distributions, calculated via the shuffling procedure described in Figure  
725 2. Insets show the same data zoomed into the 1D DTL range [0, 20].



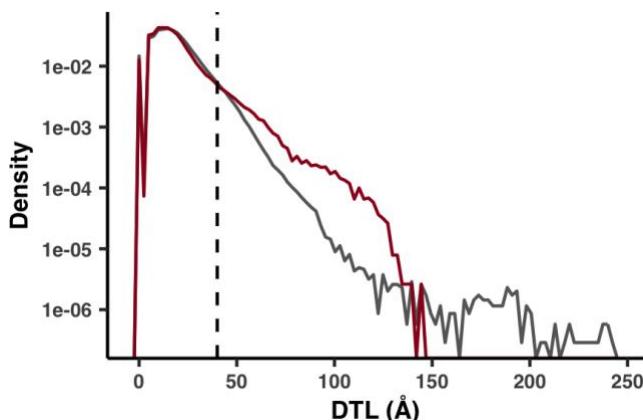
727 **Figure S7. Select gene-sample pairs illustrate the diversity with which  $pN^{(site)}$  associates with RSA.** Scatterplots  
728 for handpicked gene-sample pairs are shown from three regimes of model quality: high (top), mid (middle), and low  
729 (bottom). The right panel shows the distribution of Pearson coefficients, and the bin that each example was taken from  
730 is highlighted in pink. Each scatter plot is a gene-sample pair, each datapoint is a residue, the x-axis is the RSA of the  
731 residue, and the y-axis is the observed  $\log_{10}(pN^{(site)})$ . Lines of best fit are shown in red, with 95% confidence intervals  
732 visualized translucently. The Pearson coefficients of each fit are labeled on the scatterplot.



733

734 **Figure S8. Select gene-sample pairs illustrate the diversity with which  $pN^{(site)}$  associates with DTL.**

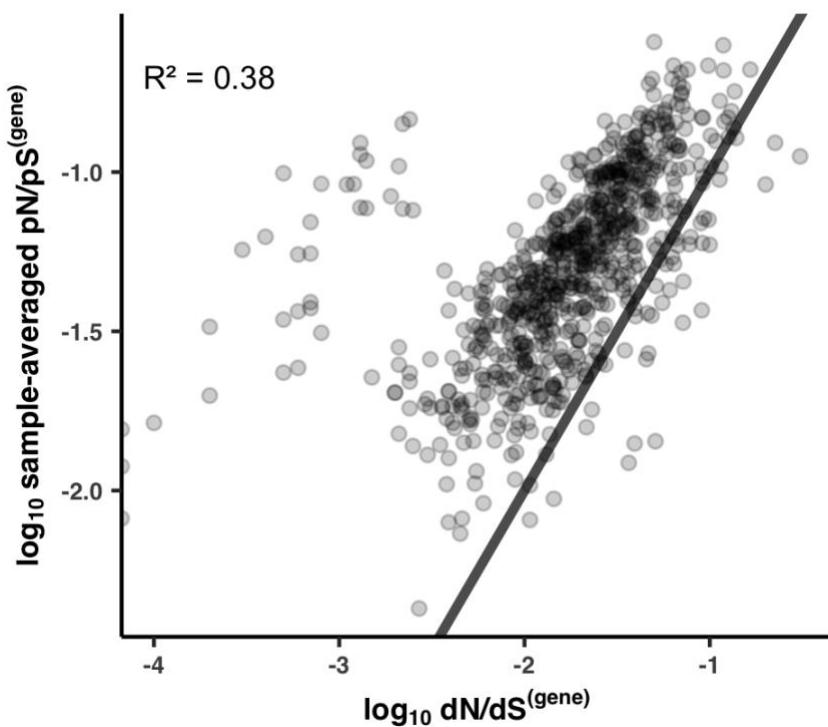
735 Scatterplots for handpicked gene-sample pairs are shown from three regimes of model quality: high (top), mid  
 736 (middle), and low (bottom). The right panel shows the distribution of Pearson coefficients, and the bin that each  
 737 example was taken from is highlighted in pink. Each scatter plot is a gene-sample pair, each datapoint is a residue,  
 738 the x-axis is the DTL of the residue, and the y-axis is the observed  $\log_{10}(pN^{(site)})$ . Lines of best fit are shown in red,  
 739 with 95% confidence intervals visualized translucently. The Pearson coefficients of each fit are labeled on the  
 740 scatterplot.



741

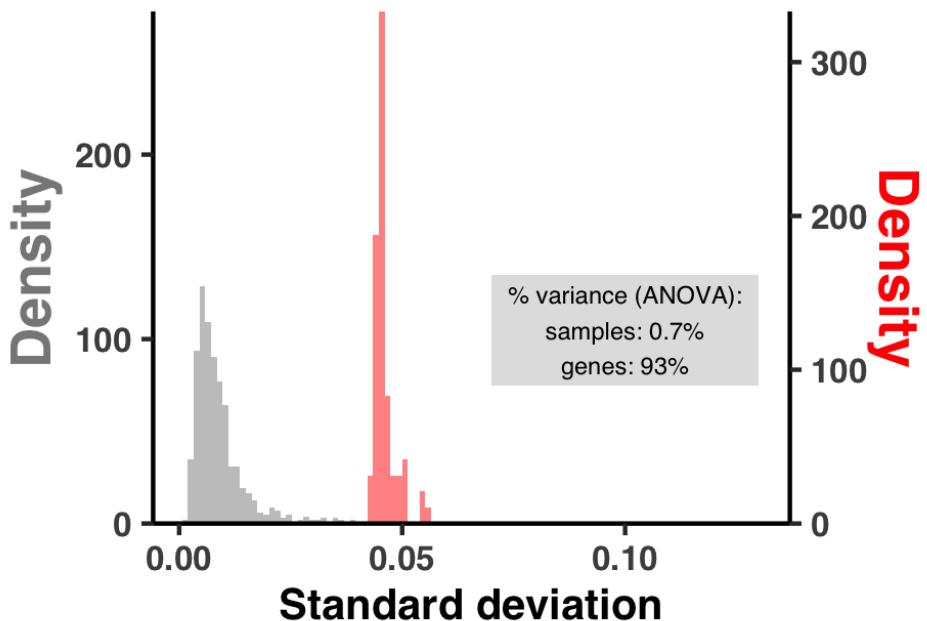
742 **Figure S9. Incomplete ligand characterization leads to erroneously high DTL values.** A comparison of DTL  
743 distributions (semi-log axis) for the 1a.3.V and the BioLiP database. The 1a.3.V core distribution (red) was calculated  
744 from all sites in the subset of genes with both a predicted structure and at least one predicted ligand-binding residue.  
745 The BioLiP distribution (gray) was calculated from the sites of 5,000 structures in the BioLiP database. For the 1a.3.V  
746 core, DTL was calculated as the distance to the closest predicted ligand-binding residue. For BioLiP, it was calculated  
747 as the distance to the closest annotated ligand-binding residue. For both methods, distance was calculated between  
748 the sites' side chain center of masses. The dashed line marks the 40 Å cutoff we used for all analyses besides Figure  
749 2b, which excludes 8.0% of the total sites.

750



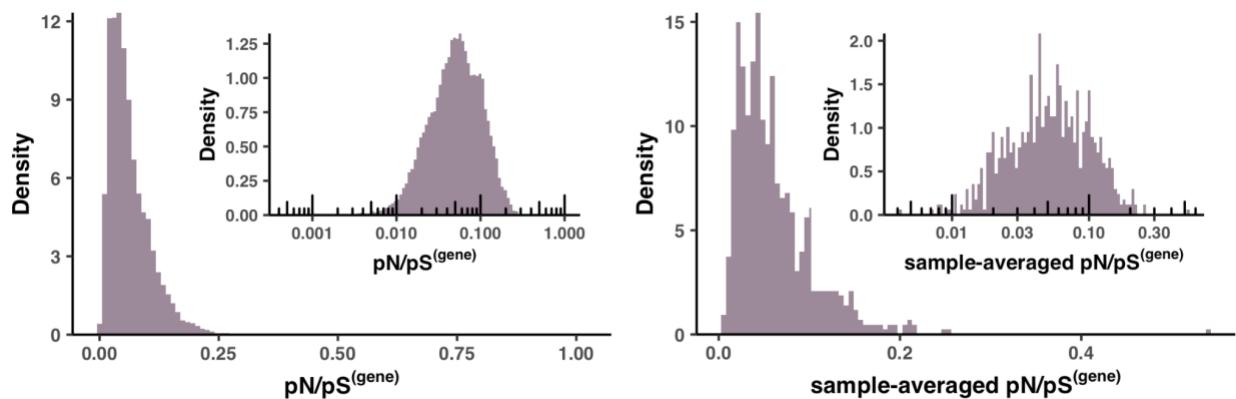
751

752 **Figure S10. Sample-averaged pN/pS<sup>(gene)</sup> values correlate with dN/dS<sup>(gene)</sup> values between HIMB83 and**  
753 **HIMB122.** The x- and y-axes are the log-transformed dN/dS<sup>(gene)</sup> and sample-averaged pN/pS<sup>(gene)</sup> values (respectively)  
754 for the 743 genes that (1) belonged to the 1a.3.V core and (2) had HIMB122 homologs. The black line is the equation  
755 y = x, meaning that genes above this line maintain sample-averaged pN/pS<sup>(gene)</sup> values that exceed dN/dS<sup>(gene)</sup>. The R<sup>2</sup>  
756 is for a linear regression of the log-transformed variables.



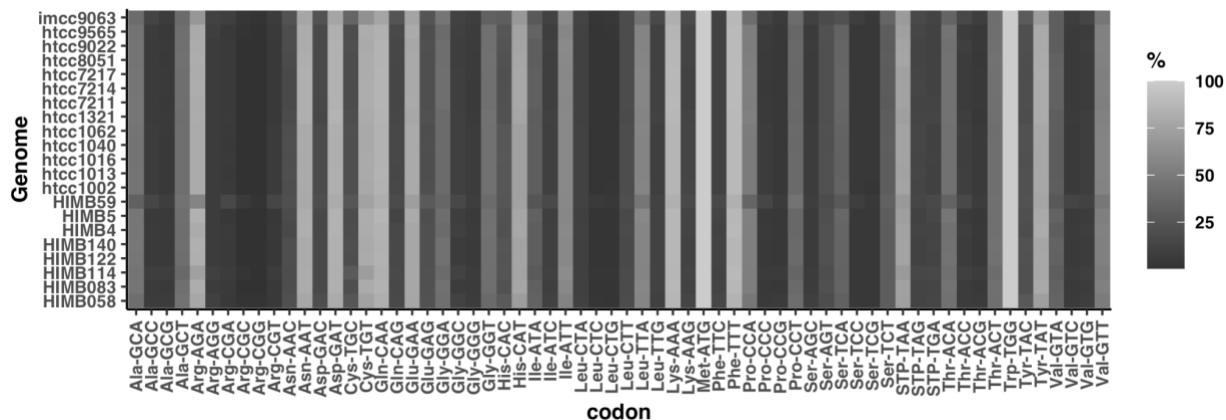
757

758 **Figure S11.  $pN/pS^{(gene)}$  varies more significantly between genes in a given sample than between samples for a**  
759 **given gene.** The x-axis is the standard deviation of either a sample's  $pN/pS^{(gene)}$  values observed over genes (orange),  
760 or a gene's  $pN/pS^{(gene)}$  values observed over the 74 samples (gray). The gray box denotes the amount of variance  
761 explained by genes and samples in an ANOVA from the linear model  $pN/pS^{(gene)} \sim \text{gene} + \text{sample}$ .



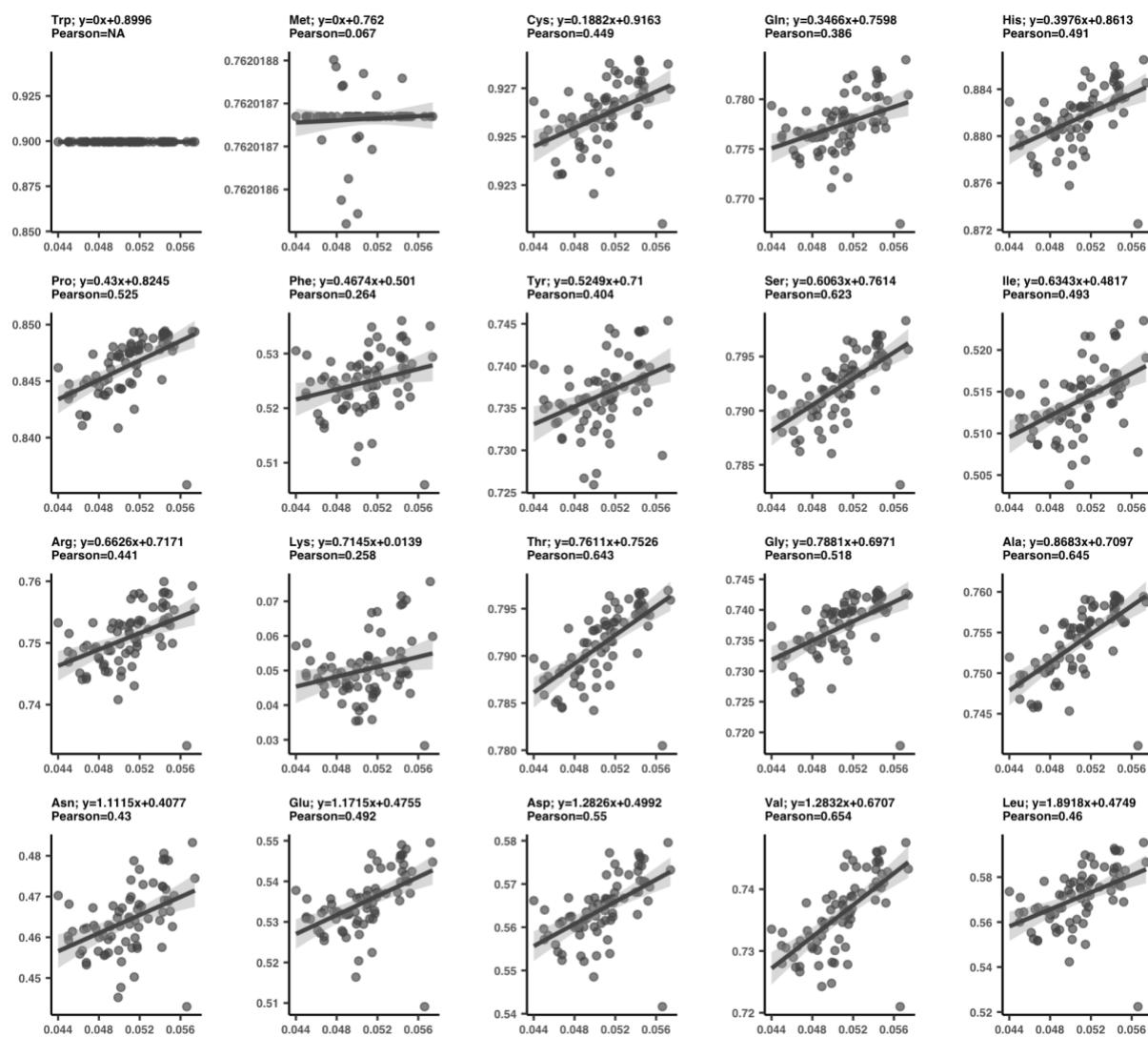
762

763 **Figure S12. Distributions of  $pN/pS^{(gene)}$ .** Left panel shows the distribution of  $pN/pS^{(gene)}$ , and the right panel shows  
764 the distribution of sample-averaged  $pN/pS^{(gene)}$ . Insets show the same distributions with a  $\log_{10}$ -transformed x-axis.



765

766 Figure S13. Codon usage of HIMB83 and 20 other genomes in the SAR11 clade.



767

768 **Figure S14. Codon rarity measured for each amino acid reveals varied response to selection strength, with**  
 769 **most amino acids preferring rare codons in high selection samples.** Each plot is a different amino acid, and each  
 770 datapoint is a sample. The x-axis is  $pN/pS^{(core)}$ , i.e. the ratio of nonsynonymous to s-polymorphism rates in the 1a.3.V  
 771 core genome, and is shared between all plots. For a given plot, the y-axis was determined by first subsetting the  
 772 polymorphism data to only include synonymous sites (in this instance we define synonymous as exhibiting  $pN^{(site)} <$   
 773 0.0005) that corresponded to the given amino acid. Using lysine as an example, this led to on average 21,127 sites per  
 774 sample. For each amino acid in each sample, we then calculated the overall codon rarity (y-axis) by averaging codon  
 775 rarities across all included positions. A line of best fit (gray line) with 95% confidence intervals (light gray) is shown for  
 776 each plot, with equation and Pearson correlation coefficient shown above.

## Supplementary Tables

777 **Table S1.** Read recruitment and coverage statistics of the 21 SAR11 genomes. **(A-D)** Genome-wide statistics for each  
778 genome in each metatranscriptomic and metagenomic sample. **(A)** is the mean coverage, **(B)** is the mean coverage,  
779 excluding nucleotide coverage values outside the interquartile range (IQR), **(C)** is the detection, and **(D)** is the  
780 percentage of reads mapping to a genome (sums to 100 for a given sample) **(E)** The mean coverage of each HIMB083  
781 gene in each metatranscriptomic and metagenomic sample.

782 **Table S2.** Average percent similarity of recruited reads by HIMB083 for each **(A)** gene-sample pair, **(B)** gene  
783 (marginalized over samples), and **(C)** sample (marginalized over genes).

784 **Table S3.** Mean per-site polymorphism rates ( $pN^{(site)}$  and  $pS^{(site)}$ ) of HIMB083 **(A)** over all sites, genes, and samples,  
785 as well as **(B)** for each gene-sample pair **(C)** each gene (marginalized over samples), and **(D)** each sample  
786 (marginalized over genes).

787 **Table S4.** Methodological comparisons between AlphaFold and MODELLER structures. **(A)** Key metrics for AlphaFold-  
788 and MODELLER-predicted structures and their alignments. **(B)** PDB structures used as templates for MODELLER  
789 predictions. **(C)** Per-residue pLDDT scores for AlphaFold-predicted structures. **(D)** Gene-averaged pLDDT scores for  
790 AlphaFold-predicted structures. **(E-F)** Genes with AlphaFold and MODELLER structures, respectively, that we  
791 determined to be of sufficiently high quality.

792 **Table S5.** Summary of ligand-binding residue predictions with InteracDome. **(A)** All predicted ligand-binding sites, the  
793 predicted ligand, and the predicted ligand binding score. **(B)** Characterization of each HMM domain hit. **(C)** Each match  
794 state from the Pfam profile HMMs that contributed to each predicted ligand-binding residue of HIMB083.

795

		Sum of squares (% of total)				
Name	Model	RSA	DTL	Gene	Sample	Residuals
s #1	$\log_{10}(pS^{(site)}) \sim RSA + gene + sample$	0.12	-	4.05	1.01	94.83
ns #1	$\log_{10}(pN^{(site)}) \sim RSA + gene + sample$	11.83	-	10.61	6.71	70.85
s #2	$\log_{10}(pS^{(site)}) \sim DTL + gene + sample$	-	0.30	4.07	1.00	94.63
ns #2	$\log_{10}(pS^{(site)}) \sim DTL + gene + sample$	-	6.89	12.08	7.10	74.39
s #3	$\log_{10}(pS^{(site)}) \sim RSA + DTL + gene + sample$	0.03	0.30	4.05	1.00	94.62
ns #3	$\log_{10}(pS^{(site)}) \sim RSA + DTL + gene + sample$	7.23	6.89	10.83	6.42	68.62

796

797 **Table S6.** Summary of models used for estimating the explanatory power of RSA and DTL on polymorphism rates (see  
798 Methods).

799 **Table S7.** Summary statistics for the polymorphism models of gene-sample pairs.

800 **Table S8.** Summary of per-group polymorphism data for **(A)**  $pN^{(group)}$ , **(B)**  $pS^{(group)}$ , **(C)**  $pN/pS^{(group)}$ , and **(D)** the size of  
801 each group.

802 **Table S9.** Summary of per-gene polymorphism data for **(A)**  $pN/pS^{(gene)}$ , **(B)** sample-averaged  $pN/pS^{(gene)}$ , **(C)**  $pN^{(gene)}$ ,  
803 **(D)**  $pS^{(gene)}$  and **(E)** the number of potential synonymous and nonsynonymous point mutations of each gene.

804 **Table S10.** Correlations of  $pN/pS^{(gene)}$  for each 1a.3.V core gene with respect to the measured environmental  
805 parameters: nitrates, chlorophyll, temperature, salinity, phosphate, silicon, depth, and oxygen.

806 **Table S11.** Codon metrics, including anti-codon, encoded amino acid, frequency and rarity in genome, and frequency  
807 and rarity compared to synonymous codons.

808 **Table S12.** Comparison between dN/dS between HIMB83 and HIMB122 homologs and sample-averaged  $pN/pS^{(gene)}$   
809 of 1a.3.V genes.

810 **Table S13.** Per sample and gene measures of transcript abundance (TA) and related quantities.

811 **Table S14.** Bootstrap estimates of Pearson correlation coefficients and p-values from Figure S16.

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