



Domestic plant food loss and waste in the United States: Environmental footprints and mitigation strategies

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ABSTRACT

The United States (U.S.) aims to reduce half of food loss and waste (FLW) by 2030. To achieve this goal, the public, academic, and political attentions on FLW have been increasing, and a series of actions have been implemented. However, the actions lack consideration on the categorical priority of FLW mitigation in relation to environmental footprints. In this article, we compare the FLW of three main plant food categories (i.e., grains, vegetables, and fruits) and their water and carbon footprints during 1970–2017. The vegetable FLW doubled during the period, reaching 3.39×10^{10} kg in 2017, which was 5- and 2-fold higher than the FLW of grains and fruits, respectively. The FLW of vegetables, grains, and fruits contributed 29%, 47%, and 24% to the total blue water wasted through FLW. The total carbon dioxide emissions generated by plant FLW were contributed by vegetables with 50%, grains with 31%, and fruits with 19%. Canonical correspondence analysis indicates that vegetable FLW had a higher positive correlation with urbanization, household incomes, gross domestic product, and high-income population than grain FLW, whereas fruit FLW was not influenced by these socioeconomic factors. Therefore, we suggest that the FLW mitigation should be prioritized on vegetables. Specific strategies include local food sourcing, shortening food miles, building food belts, and developing controlled-environment agriculture. Our data-based comparisons provide valuable insights into food policy improvement for achieving the 2030 reduction goal of the U.S., but the insights could be improved by considering the influences of foods imported from other nations.

1. Predicament of food loss and waste

Food loss and waste (FLW) cause environmental, socio-economic, and ethical concerns about food crisis and security (Pirbright et al., 2019; Skaf et al., 2021). The concerns have been exacerbated in recent years due to the COVID-19 pandemic (Luo et al., 2021). The United Nations Food and Agriculture Organization (FAO) reported that 33–50% of food is lost and wasted in the food supply chain, amounting to an annual loss of 1.4 billion tons of food globally (FAO, 2020). FLW accounts for ~40% of the total food in the United States (U.S.), which is at one of the highest levels in the world (Ho, 2020). In 2021, it is estimated that one person wastes ~100 kg of food per year, and one household spends \$1,600 on food wastage per year (EPA, 2021). Since 2015, the U.S. Department of Agriculture (USDA) and Environmental Protection

Agency (EPA) have implemented a plan for half-reduction of FLW by 2030 to facilitate the realization of the United Nations' Sustainable Development Goals (EPA, 2021).

A consequence of FLW is the wasting of water and energy resources and increase in greenhouse gas emissions (Gfrotto et al., 2015; Luo et al., 2021; Read et al., 2020; Willett et al., 2019). Food systems consume ~70% of global freshwater withdrawals and ~30% of global energy consumption while producing ~25% of global carbon dioxide emissions (FAO, 2013; Garcia and You, 2016). Thus, 33%–50% of FLW is equivalent to wasting 23%–35% of freshwater withdrawals, wasting 10%–15% of energy consumption, and contributing to 8%–13% of global carbon dioxide emissions.

Approaches to mitigating FLW at different stages of food supply chains have been addressed, such as enhancing technologies at storage

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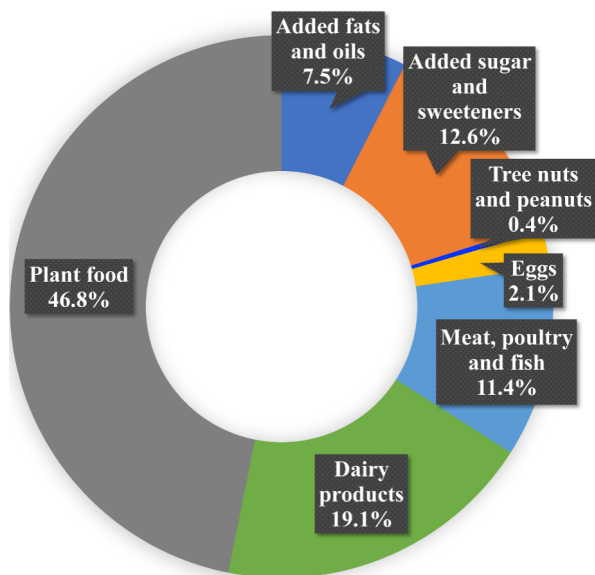


Fig. 1. Food category breakdown of total U.S.-based plant FLW in the retail and consumer stages. Plant food includes vegetables, fruits, and grain products. Food loss refers to the decrease of edible food mass, which occurs at former stages of food supply chain (i.e., production, post-harvest, and processing stages). Food waste takes place at retail and consumption stages and generally refers to behavior issues (FAO, 2011; Liu et al., 2013; Parfitt et al., 2010). Data sources are the 2017 report of Natural Resources Defense Council.

stage, standardizing packaging and labeling at processing and retail stages, and intervening consumer behaviors (Cattaneo et al., 2021; Muth et al., 2019; Read et al., 2020). These stage-specific efforts could effectively reduce the total FLW. However, prioritizing these efforts relies on the understanding of environmental footprints of FLW of each food category (FAO, 2011). For example, wasting one metric ton of soybeans would waste 2,000 m³ of water, whereas this number increases by 6.5-fold for beef wasting (Mekonnen and Hoekstra, 2011, 2012). Thus far, few studies have been performed on categorizing FLW in relation to environmental footprints. Since the plant FLW makes up the largest portion of total U.S. wasted food (Fig. 1), this perspective aims to quantify the characteristics of domestic plant FLW trajectory in the U.S. from 1970 to 2017 and evaluate the environmental footprints of each plant food category. The analysis is expected to provide valuable insights into strategies for prioritization of FLW mitigation and carbon neutralization of food, energy, and water systems.

2. Driving forces of plant FLW

The total domestic plant FLW in the U.S. increased from 3.34×10^{10} kg/year in 1970 to 6.18×10^{10} kg/year in 2017 (Fig. 2A). This 2-fold increase was positively correlated to the increases in gross domestic product (GDP) and urbanization rate, which were coupled with growing high-income population and household incomes. Specifically, these socioeconomic factors had higher correlation with the FLW of vegetables than grains but did not significantly influence the FLW of fruits (Fig. 2B). Vegetables had the largest share of FLW, accounting for half of the total FLW with an increase from 1.73×10^{10} kg/year in 1970 to 3.39×10^{10} kg/year in 2017. The annual amount of vegetable FLW was approximately 5- and 2-fold higher than the FLW of grains and fruits, respectively (Fig. 2A). This difference among food categories is attributed to the higher amount of vegetable consumption relative to grains and fruits in the U.S. Previous studies showed that the community that consumed the most vegetables wasted vegetables 4.7 times more than the community that consumed the least vegetables (Conrad, 2020). In the U.S., the urbanization rate increased from 73.6% in 1970 to 82.7% in 2017 (O'Neill, 2021), meaning that 114 million more people have access to

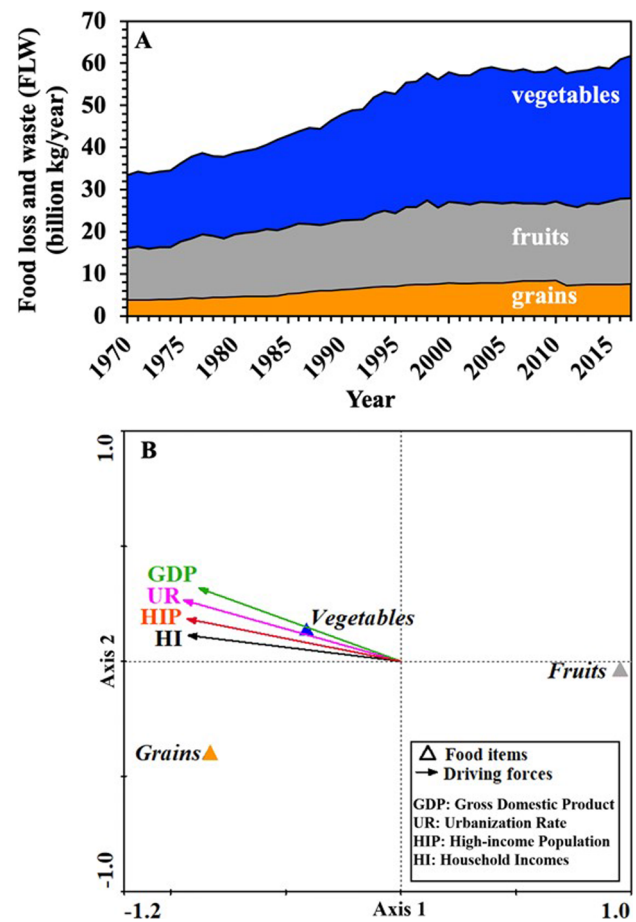


Fig. 2. (A) Annual food loss and waste (FLW) of each plant-based food category (i.e., grains, vegetables, and fruits) in the U.S. from 1970 to 2017. The amount of FLW of each food item was calculated by multiplying primary production weight by FLW rate. Data sources are the Loss-Adjusted Food Availability (LAFA) data series from the U.S. Department of Agriculture's Economic Research Service (ERS). (B) Canonical correspondence analysis (CCA) between FLW of each food commodity (triangle) and influential factors (arrow) in the U.S. The angle between the arrow and line from the origin to each triangle symbol proposes a positive or negative correlation. The acute angle indicates a positive relationship, and a smaller acute angle indicates a higher correlation. In contrast, an obtuse angle reflects a negative relationship, and a bigger angle indicates a higher correlation. A right angle demonstrates the lowest correlation. The filtered FLW data of grains, vegetables, and fruits for the years from 1970 to 2017 were used for CCA. Data sources include the World Bank, Our World in Data, and Statista.

vegetable-dominated healthier diets. In addition, social marketing campaigns advocate consumption of vegetables for improved family health (Jaeger and MacFie, 2001; Pofflard et al., 2008). Another potential reason for higher vegetable FLW is inappropriate food storage, which causes failure of food consumption (Neff et al., 2015; Wafar and Phillips, 2016). Fig. 1B shows that vegetable FLW increases among high-income populations because consumers tend to reject or ignore imperfect products (e.g., misshapen, unattractive, or surface-damaged products). As a result, businesses and farmers have to dispose of imperfect food to cater to the aesthetic standard (Hingston and Noseworthy, 2020). In addition to the aesthetics, the sensory characteristics of vegetables is another important factor that leads to FLW. For example, bitterness and piquancy of vegetables have an influence on preferences of consumers. Since it is difficult to predict consumer behaviors due to the variation in sensory aversion, the uncertainty in retail marketing leads to the wastes of vegetables (Poffman et al., 2017).

The FLW of vegetables increased by ~50% from 1970 to 2000 and

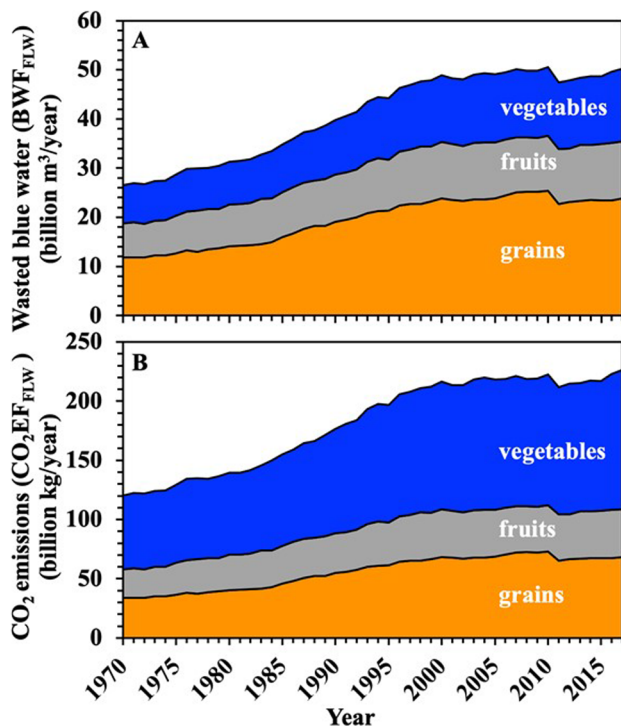


Fig. 3. Environmental impacts related to food loss and waste (FLW) of each domestic plant-based food category (e., grains, vegetables, and fruits) in the U. S. from 1970 to 2017. (A) The amount of wasted blue water associated with plant FLW (BWF_{FLW}) was estimated by multiplying direct water footprint by the FLW of each food category (Liu et al., 2013). Direct water footprint (m^3/kg) indicates the amount of blue water consumed in the production process of one kilogram of each food category (Marston et al., 2018). (B) The amount of carbon dioxide emissions was quantified by multiplying the virtual carbon footprint by the FLW of each food category. Virtual carbon footprint (kg/kg) indicates the amount of carbon dioxide that is emitted to supply one kilogram of each food category, including all the emissions produced on the farm, in the factory, on the road, in the shop, and at home (GreenEatz, 2021). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

decelerated afterward (Fig. 2A). The slowing growth rate of FLW after 2000 resulted from shortening food supply chains (SFSC), which was implemented in the 1990s to shorten the time between the purchase of food products and their consumption (i.e., the food-to-table initiative). For instance, the number of farmers' markets participating in the SFSC action in the U.S. increased by 53% from 1994 to 2004. These SFSC farmers' markets provided direct farmer-to-consumer food distribution channels that greatly reduced vegetable FLW at storage, transportation, and processing stages of the food supply chain (Baker et al., 2009; Mbow et al., 2019).

3. Environmental footprints of plant FLW

3.1. Wasted blue water

Blue water is the water from rivers, lakes, wetlands, ponds, and shallow aquifers. Since green water is soil water which comes from blue water, our analysis was only focused on blue water that is directly used in the field. The total amount of wasted blue water associated with domestic plant FLW (BWF_{FLW}) in the U.S. increased by 89% from $2.66 \times 10^{10} m^3$ /year in 1970 to $5.02 \times 10^{10} m^3$ /year in 2017 (Fig. 3A). Among the three food categories, grains contributed 47% of BWF_{FLW} with the average annual quantity of $1.93 \pm 0.47 \times 10^{10} m^3$. This large contribution was due to the large water consumption for grain food production. It was reported that ~75% of national groundwater and 47% of

national surface water were consumed by several dominant grains, such as corn, rice, wheat, and soybeans (Marston et al., 2018). The variation of grain BWF_{FLW} during the period from 1970 to 2017 is attributed to the changes in population, climate, field management practices (e.g., planting and harvesting dates, conventional and conservative agriculture, and irrigation efficiencies), and crop types (Marston et al., 2018). Vegetable FLW was the second contributor to the total BWF_{FLW} , accounting for ~29% of the total BWF_{FLW} with an average annual value of $1.15 \pm 0.24 \times 10^{10} m^3$. The FLW of fruits consumed about $9.89 \pm 1.55 \times 10^9 m^3$ of blue water per year from 1970 to 2017, accounting for ~24% of the total BWF_{FLW} .

3.2. Carbon dioxide emissions

The total amount of carbon dioxide emissions footprint associated with the FLW of domestic plant food supply chain (CO_2EF_{FLW}) ranged from $1.20 \times 10^{11} kg$ /year in 1970 to $2.27 \times 10^{11} kg$ /year in 2017 in the U.S. (Fig. 3B), equivalent to 2.7% and 4.4% of annual national carbon dioxide emissions in 1970 and 2017, respectively (Tiseo, 2021). The total CO_2EF_{FLW} from 1970 to 2000 increased with an average annual rate of 2% in quantity and then slowed down. The supply chain extension was partly responsible for the increase in carbon dioxide emissions in the food system before the year of 2000 because more fossil energy was consumed in longer transportation distance and storage time of the food products (Hoang, 2021; Wafflgren, 2006). In contrast, SFSC through local food sourcing decreased the energy consumption and thereby carbon dioxide emissions after 2000 (Baker et al., 2009). Annual average CO_2EF_{FLW} by each food category from 1970 to 2017 was $9.13 \pm 1.87 \times 10^{10} kg$ for vegetables, $5.56 \pm 1.36 \times 10^{10} kg$ for grains, and $3.46 \pm 0.54 \times 10^{10} kg$ for fruits. These numbers are larger than those reported by Venkat (2011), which were $1.44 \times 10^{10} kg$, $1.09 \times 10^{10} kg$, and $1.01 \times 10^{10} kg$ for wasted vegetables, grains, and fruits, respectively. The differences result from different calculation methods. Our calculations included both loss during production and unavoidable waste at consumption stage of the supply chain, whereas Venkat (2011) only covered the loss or waste at post-harvest, processing, and retail stages.

4. Hierarchical strategies for mitigation and management

4.1. Shortening vegetable supply chain

Comparable and reliable data on FLW quantity and associated environmental footprints can help track mitigation progress and prioritize actions (Barrera and Hertel, 2021; Chaudhary et al., 2018; Xue et al., 2021). Our results show that efforts should be concentrated on vegetable FLW reduction in order to minimize the environmental impacts of total domestic plant FLW. Previous studies demonstrated that shorter "food mile" (i.e., distance from farm to table) and faster transportation modes (e.g., plane, train, truck) could reduce the FLW more efficiently, especially vegetable FLW owing to their perishable nature (Ghoshali, 2014; Morawicki and Gonzales, 2018). If plant-based foods were directly delivered from farm to table with retail/whole-sale stage omitted in food supply chain, $2.05 \times 10^9 kg$ of vegetables, $1.28 \times 10^9 kg$ of fruits, and $6.14 \times 10^8 kg$ of grains could be saved annually (Buzby et al., 2014). Air shipping is the most effective transportation mode to preserve vegetable freshness and keep a low FLW compared to other shipping modes (Weber and Matthews, 2008). However, the energy consumption of a long-cargo air transport is approximately 30-fold and 4-fold more than that by a train and by a truck, respectively (Song et al., 2021; Weber and Matthews, 2008). Therefore, down-sourcing of vegetable supply systems becomes an effective approach to mitigating the total plant FLW (Pradhan et al., 2020). For example, vegetables can be produced in the outer sphere of city by creating a highly productive "food belt" (Toflysbayeva et al., 2019). Based on this concept, we suggest developing a multi-belt plant food production system with a gradient from vegetables in suburbs to fruits in urban-rural transitional zones and

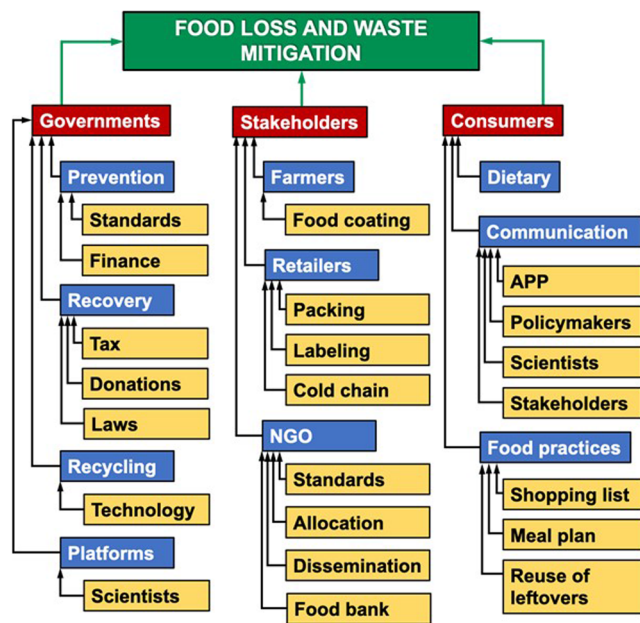


Fig. 4. Solution hierarchy for food loss and waste mitigation. NGO: Non-governmental organization.

to grafix in rural areas. This kind of multi-belt system is favorable to minimize vegetable food miles, increase the flexibility in setting up producing area of grafix, and create landscape engineering solutions for economic and environmental sustainability at regional scale (e.g., ecotourism and pollution control). Other mitigation approaches include controlled-environment agriculture in abandoned lands or available space in cities (Gaffin et al., 2012) and biotechnologies (e.g., plant gene editing) for extending shelf life and quality of plant food products (Shipman et al., 2021).

4.2. Government stimulation

FLW reduction requires multi-level solutions across a “solution hierarchy”, which involves governance, stakeholder cooperation, and public awareness (Fig. 4). Governments can launch and implement various initiatives through regulation and informational campaigns (Xue et al., 2021). Government efforts to reduce FLW can be broadly characterized as prevention, recovery, and recycling (Bernstein, 2017; Muth et al., 2019; ReFED, 2016). Prevention is to reduce source, including allowing more variability by broadening cosmetic standards for agricultural products, implementing standardized date labeling systems, and imposing financial penalties for disposal of food wastes (Gunders, 2012; Lipinski, 2016). For example, consumers are often confused between ‘sell-by’, ‘best-by’, ‘use-by’, and ‘best before’ dates, causing ~7% of FLW at consumption stage (ReFED, 2017). Standardizing date labels has a potential of food waste reduction by 5.82×10^8 kg per year (ReFED, 2017). Recovery is to feed hungry people by providing or expanding tax incentives to increase farm-level food recovery and business food donations, standardizing health department regulations on donation safety flaws, and encouraging and developing potential food donors (Muth et al., 2019). Business donations by manufacturers, retailers, or restaurants have a total annual potential of 1.10×10^9 kg in food waste diversion (ReFED, 2017). To collect food available for donations timely and efficiently, logistics and transportation are needed. For example, ~6.43 $\times 10^8$ kg of food waste can be avoided and 1.07×10^6 meals can be recovered by increasing small-scale transportation infrastructure, long-haul transport capabilities, or other methods that allow donations from more businesses (ReFED, 2017). Recycling refers to animal feeding, industrial uses, and composting. Feeding animals could decrease 6.04×10^7 kg of food waste per year (ReFED, 2017). In

addition, governments can impose bans or fines for landfilling food wastes, provide incentives for redirecting food waste to other purposes, and offer curbside collection of compostable food scraps for the convenience of consumers (Gunders, 2012). A represented program, the Nashville Food Waste Initiative (NFWI), founded by the Natural Resources Defense Council (NRDC) of the U.S. in 2015 drives strategies to address FLW from prevention, recovery, and recycling (Hoover, 2017). The key successes include (i) development of citywide food waste policy recommendations for Metro Government, (ii) integration of food waste lessons in Public Schools, and (iii) leading the community in collecting and analyzing food waste metrics for the city. Moreover, governments can establish communication platforms to ensure reporting and sharing FLW-related data (Xue et al., 2021; Zhuang et al., 2022). These data could be used to develop FLW-relevant food policies and optimize food consumption schemes. To validate the schemes, governments should establish policy-disseminating platforms to improve the public’s understanding of the impacts of edible FLW generated across various types of foods and stages of production before effective interventions are implemented (Muth et al., 2019). For example, the Chinese government launched the “Clean Plate Campaign” in 2020 and issued the Anti-Food Waste Law in April 2021 for FLW mitigation (Xue et al., 2021). The regulations and policies provided a significant simplification for other nations in FLW reduction.

4.3. Stakeholder participation

The FLW mitigation requires cooperation of multi-sectoral stakeholders (e.g., farmers, companies, retailers, and scientists) throughout the supply chain (Xue et al., 2021; Zhuang et al., 2021b). Unfortunately, not all the stakeholders have a comprehensive understanding of the FLW problem (Cattaneo et al., 2021; Omofayo et al., 2021). Stakeholders at each stage of the food supply chain respond to FLW in different ways corresponding to their specific roles or contributions to the FLW along the food supply chain. For example, food coating by producers (e.g., farmers) could prevent putrefaction (Gunders, 2012; ReFED, 2016). Novel packing technologies, cold supply chains, and short distribution modes are also effective approaches to reducing FLW in the food supply chain (Muth et al., 2019). Re-designed packages for products ensure complete consumption and minimize container waste. For instance, Costco changed egg packaging from paper to plastic to reduce the number of eggs that are damaged and reduce warehouse handling (ReFED, 2017). Moreover, packaging technologies (e.g., ethylene absorption, modified atmospheres, moisture absorption) slow the natural degradation of fresh product. Total potential of food waste diversion can reach to 1.22×10^9 kg per year by renew packaging (ReFED, 2017). Retailers could track and record the remaining shelf life of food and adjust food prices based on the shelf-life, thereby reducing FLW (IME, 2013; Liu et al., 2013; Weber et al., 2011). In addition, many non-governmental organizations (e.g., the World Resources Institute, Food Marketing Institute, Grocery Manufacturers Association, and Food Bank) are dedicated to FLW reduction by making standards (e.g., expiration date labels), allocating and distributing food, and disseminating food saving knowledge (Lefebvre et al., 2016; Muth et al., 2019).

4.4. Consumer behavior

Consumers have a large potential for FLW mitigation by changing dietary habits (Poore and Nemecek, 2018). Change of consumer’s diets to a diet that excludes animal products could annually reduce land use area for food production by 76%, greenhouse gas emissions by 49%, eutrophication by 49%, and scarcity-weighted freshwater withdrawals by 19% (Poore and Nemecek, 2018; Springmann et al., 2017). Dietary change might be realistic for individuals but will be a challenge for widespread community acceptance. Communicating to consumers the characteristics and environmental impact of each food category is favorable to prevent FLW. Policymakers can develop platforms to

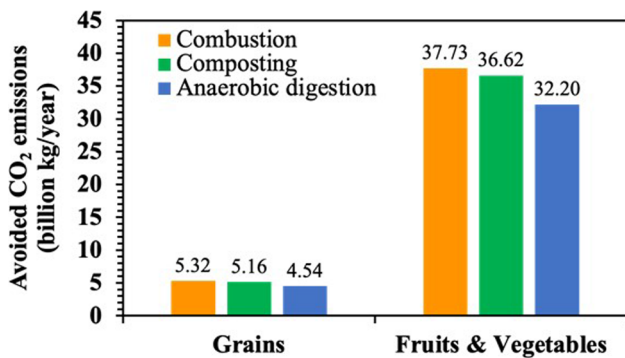


Fig. 5. Avoided carbon dioxide emissions from three wasted food management practices (i.e., combustion, composting, and anaerobic digestion) compared with landfill as baseline scenario. The calculation was made with the U.S. EPA's Waste Reduction Model (WARM) and the data in 2017 as shown in Fig. 2.

consolidate vast amounts of multidisciplinary research and share the best practices in FLW reduction with consumers (Aschemann-Witzel et al., 2015; Cui et al., 2018; Poore and Nemecek, 2018; Zhuang et al., 2021a). For example, an app of FLW reduction could be created as a platform for disseminating smart FLW mitigation strategies among consumers, like the 'FoodKeeper' app developed by USDA (Bernstein, 2017). Consumers could use the app to determine the storing, processing, and cooking characteristics of both the edible and inedible parts of food. The app could further create a "Community" session, which allows users to communicate with each other about different daily tips for FLW reduction. Once the shared tips receive a certain amount of support, the users could become a publicity ambassador of FLW reduction to gain tax incentives and gift cards. The COVID-19 pandemic has drastically changed consumption habits and household food management due to the lockdown restrictions, such as the frequency of food shopping, pick-up services, local or online shopping (Jififi et al., 2020; Princiato et al., 2020). Consumers could effectively reduce the plant FLW at home by compiling a shopping list, planning food purchases and meals, and reusing leftovers for other recipes (Princiato et al., 2020).

4.5. FLW management

The reuse of plant FLW has the potential to offset energy and water by reincorporation into various stages of food supply chain (e.g., farming, food bank) or for other uses (e.g., energy capture) (Ma and Liu, 2019). Many management practices, such as, landfill, combustion, composting, anaerobic digestion have been explored for energy or resource recovery from FLW (Sarker et al., 2016; Wang et al., 2021a; Wang et al., 2022; Wang et al., 2021b). Our calculations on carbon dioxide emissions under different FLW treatments using the U.S. Environmental Protection Agency's (EPA) Waste Reduction Model (WARM) indicate that composting and combustion of vegetable and fruit wastes with energy capture can avoid most emissions of carbon dioxide compared to landfilling treatment (Fig. 5). Considering that combustion is not convenient for household and community, composting could be the most scalable and efficient treatment. It is reported that small-scale home-based composting can gain 4.87 million dollars of net financial benefit and dispose at least 9.36×10^7 kg of food waste per year (ReFED, 2017). Further, the FLW management program can be improved by computer software interacting with customers, such as The Nashville Waste and Recycling App. The App was designed to provide residents with detailed FLW recycling information, including relevant policies, FLW classification, and FLW drop-off locations.

Declaration of Competing Interest

The authors declare that they have no known competing financial

interests or personal relationships that could have appeared to influence the work reported in this paper.

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