Multiwavelength scrutiny of X-ray sources in dwarf galaxies: ULXs versus AGNs

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ABSTRACT

Owing to their quiet evolutionary histories, nearby dwarf galaxies (stellar masses $M_\star \lesssim 3 \times 10^9 \, \mathrm{M_\odot}$) have the potential to teach us about the mechanism(s) that 'seeded' the growth of supermassive black holes, and also how the first stellar mass black holes formed and interacted with their environments. Here, we present high spatial resolution observations of three dwarf galaxies in the X-ray (*Chandra*), the optical/near-infrared (*Hubble Space Telescope*), and the radio (Karl G. Jansky Very Large Array). These three galaxies were previously identified as hosting candidate active galactic nuclei on the basis of lower resolution X-ray imaging. With our new observations, we find that X-ray sources in two galaxies (SDSS J121326.01+543631.6 and SDSS J122111.29+173819.1) are off-nuclear and lack corresponding radio emission, implying they are likely luminous X-ray binaries. The third galaxy (Mrk 1434) contains two X-ray sources (each with $L_X \approx 10^{40} \, \mathrm{erg \, s^{-1}}$) separated by 2.8 arcsec, has a low metallicity [$12 + \log(\mathrm{O/H}) = 7.8$], and emits nebular He II $\lambda4686$ line emission. The northern source has spatially coincident point-like radio emission at 9.0 GHz and extended radio emission at 5.5 GHz. We discuss X-ray binary interpretations (where an ultraluminous X-ray source blows a 'radio bubble') and active galactic nucleus interpretations (where an $\approx 4 \times 10^5 \, \mathrm{M_\odot}$ black hole launches a jet). In either case, we find that the He II emission cannot be photoionized by the X-ray source, unless the source was $\approx$30–90 times more luminous several hundred years ago.

Key words: stars: black holes – galaxies: dwarf – radio continuum: galaxies – X-rays: galaxies.

1 INTRODUCTION

There is abundant evidence that supermassive black holes (SMBHs; $10^6 \lesssim M_{\mathrm{BH}} \lesssim 10^9 \, \mathrm{M_\odot}$) ubiquitously exist at the centres of large galaxies (e.g. Kormendy & Ho 2013), some of which accrete and shine as active galactic nuclei (AGNs). Some lower mass dwarf galaxies (which we define by stellar masses $M_\star \lesssim 3 \times 10^9 \, \mathrm{M_\odot}$) are known to host nuclear black holes (e.g. Filippenko & Ho 2003; Barth et al. 2004; Reines et al. 2011; Reines, Greene & Geha 2013; Schramm et al. 2013; Moran et al. 2014; Sartori et al. 2015; Ho & Kim 2016; Mezcua et al. 2016, 2018; Pardo et al. 2016; Chen et al. 2017; Chilingarian et al. 2018; Nguyen et al. 2019; Baldassare, Geha & Greene 2020; Martínez-Palomera et al. 2020; Cann et al.

2021; Schutte & Reines 2022), with some mass estimates as low as $M_{\mathrm{BH}} \approx 10^4 \, \mathrm{M_\odot}$ (e.g. Baldassare et al. 2015; Woo et al. 2019). These black holes represent the lower mass end of the SMBH population, and we refer to them here as 'massive black holes' (mBHs; $10^4 \lesssim M_{\mathrm{BH}} \lesssim 10^6 \, \mathrm{M_\odot}$). An actively accreting mBH can affect how dwarf galaxies provide feedback to their larger scale environments (e.g. Dashyan et al. 2018; Trebitsch et al. 2018; Mezcua, Suh & Civano 2019), and more generally, mBHs represent a phase that nuclear black holes must pass through as they grow to SMBH sizes over cosmological time-scales (e.g. Volonteri 2010). Given that dwarf galaxies have had relatively quiet evolutionary histories, constraining the fraction of dwarf galaxies hosting mBHs in the local Universe, along with the mBH mass distribution, may provide constraints on the mechanism(s) that formed the first black holes in the Universe (e.g. Ricarte & Natarajan 2018; Inayoshi, Visbal & Haiman 2020; Volonteri, Habouzit & Colpi 2021). The fraction of dwarf galaxies

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hosting an mBH is still relatively unknown, with current empirical constraints implying $\gtrsim 30-50$ per cent occupation (Miller et al. 2015; Gallo & Sesana 2019; Greene, Strader & Ho 2020).

Stellar mass black holes ($M_{BH} \approx 10\,M_\odot$) and neutron stars are also observed within some dwarf galaxies in the form of X-ray binaries (XRBs). XRBs serve as probes of stellar populations within galaxies, with the number and/or luminosity of XRBs expected to scale with the star formation rate, stellar mass, and metallicity of the host galaxy (e.g. Grimm, Gilfanov & Sunyaev 2003; Gilfanov 2004; Linden et al. 2010; Mineo et al. 2014; Lehmer et al. 2021). Intriguingly, lower metallicity galaxies appear to contain an excess of luminous XRBs compared to solar-metallicity galaxies (Prestwich et al. 2013; Brorby, Kaaret & Prestwich 2014; Douna et al. 2015; Ponnada, Brorby & Kaaret 2020; Lehmer et al. 2021), which may be a consequence of lower metallicity progenitor stars having weaker stellar winds, and therefore producing black hole remnants that are more numerous and/or more massive (e.g. Heger et al. 2003; Mapelli et al. 2010). Besides tracing stellar populations, the energy output from XRBs can also provide feedback to their host galaxies. For example, line emission from the high-ionization He II $\lambda 4686$ line ($\chi_{ion} = 54.4$ eV) has been observed from some ultraluminous X-ray sources (ULXs),[1] which is often interpreted as an X-ray photoionized nebula (Pakull & Angebault 1986; Moon et al. 2011). Extrapolating such ULX phenomenology in the local Universe to higher redshifts, XRBs could have contributed to the X-ray heating of the intergalactic medium during the Epoch of Reionization and Cosmic Dawn (e.g. Mirabel et al. 2011; Ponnada et al. 2020). Thus, characterizing both the XRB and mBH populations in nearby dwarf galaxies, particularly as a function of host galaxy metallicity, is important for understanding the formation of the first black holes and galaxies in the Universe.

X-ray observations are commonly used to identify accreting compact objects, since hard X-ray emission ($\gtrsim 1-2$ keV) is a universal signature of accretion. However, in several cases, it is very challenging to determine the mass of an accreting object via X-ray observations alone. In particular, both a rapidly accreting XRB and a weakly accreting mBH/SMBH can have comparable X-ray luminosities in the $10^{39}-10^{41}$ erg s^{-1} range, and they can also display similar X-ray spectral shapes (below ≈ 50 keV). Folding in multiwavelength information is therefore essential for differentiating between rapidly accreting XRBs and weakly accreting mBHs/SMBHs. It is well established that weakly accreting SMBHs (i.e. low-luminosity AGNs with $L_{bol} \lesssim 0.01 L_{Edd}$, where L_{bol} is the bolometric luminosity and $L_{Edd} = 1.3 \times 10^{38} [M_{BH}/M_\odot]$ erg s^{-1} is the Eddington luminosity) emit compact, usually unresolved, radio emission with a flat spectrum ($f_\nu \propto \nu^{-\alpha}$, where f_ν is the radio flux density at frequency ν, and the radio spectral index $\alpha = 0$ for a flat spectrum; Ho 2008). Such unresolved, flat spectrum radio emission is usually interpreted as a partially self-absorbed synchrotron jet (Blandford & Königl 1979). Meanwhile, rapidly accreting XRBs do not launch jets that would be detectable beyond distances of a few Mpc (Fender, Belloni & Gallo 2004). Thus, the presence of unresolved radio emission has the potential to exclude hard X-ray sources as rapidly accreting XRBs.

In this paper, we present high spatial resolution X-ray (*Chandra*), optical/near-infrared (*Hubble Space Telescope*, *HST*), and radio observations (Karl G. Jansky Very Large Array, VLA) of three nearby dwarf galaxies that each host at least one hard X-ray point source.

These three targets were initially identified as AGN candidates by Lemons et al. (2015), but with the caveat that the positions of their X-ray sources were poorly determined. From the multiwavelength data presented here, we better locate the positions of the X-ray sources within these three galaxies, and we attempt to constrain the nature of each source (i.e. XRB or mBH). In Section 2, we detail our sample selection and data reduction. We outline our results in Section 3, followed by a discussion in Section 4. Our conclusions are presented in Section 5. Unless stated otherwise, uncertainties are reported at the 68 per cent confidence level.

2 OBSERVATIONS AND DATA REDUCTION

2.1 Sample

Our three targets were selected from the survey by Lemons et al. (2015) who cross-matched $\sim 44\,000$ nearby dwarf galaxies ($z < 0.055$) from the NASA–Sloan Atlas[2] to the *Chandra* Source Catalog (CSC Release 1.1; Evans et al. 2010). They identified 19 galaxies with hard X-ray point sources (2–7 keV), of which 10 contained an X-ray source positionally consistent with the galaxy optical centre (given positional uncertainties, we note that not every galaxy has a well-defined nucleus). They presented these 10 galaxies as AGN candidates.[3]

Chandra provides exquisite spatial resolution (≈ 0.4 arcsec) for targets located at the telescope's aimpoint, but the resolution degrades for sources located farther away. Of the 10 AGN candidates in Lemons et al. (2015), they found that four galaxies contain X-ray sources that are far enough from the aimpoint to have large positional uncertainties (>5 arcsec, which is comparable to the projected size of the entire dwarf galaxy). Of these four galaxies, three contained X-ray sources with hard X-ray luminosities $>3\sigma$ (>1.2 dex) larger than expected from the galaxy-wide contribution from XRBs, given the stellar mass and star formation rate of each galaxy (see sections 4.3 and 4.4 of Lemons et al. 2015). These three galaxies include Mrk 1434 ($z = 0.00747$), SDSS J121326.01+543631.6 ($z = 0.00797$, hereafter SDSS J1213), and SDSS J122111.29+173819.1 ($z = 0.00699$, hereafter SDSS J1221; see Table 1). Of particular interest, Mrk 1434 is a metal-poor galaxy [$12 + \log(O/H) = 7.8$; Shirazi & Brinchmann 2012] and its optical spectrum from the Sloan Digital Sky Survey (SDSS; York et al. 2000) shows nebular He II line emission (Shirazi & Brinchmann 2012).

To better constrain the locations of the X-ray sources relative to their host galaxies, we obtained new *Chandra* X-ray and *HST* optical/near-infrared observations for these three galaxies. We also obtained new VLA radio observations for one target, SDSS J1213, while archival VLA data were already available for the other two sources. We adopt distances for each galaxy based on their redshifts, using $H_0 = 73$ km s^{-1} Mpc^{-1}, except for SDSS J1221, which is a member of the Virgo cluster (VCC 459). For this galaxy, we use a distance of 16.1 Mpc based on the Tully–Fisher relation (Kashibadze et al. 2020). For all three galaxies, we adopt star formation rates from Lemons et al. (2015), which are based on (dust-corrected) far-ultraviolet and infrared luminosities and the relationships from Hao et al. (2011) and Kennicutt & Evans (2012). For SDSS J1221, we scale the star formation rate from Lemons et al. (2015) to 16.1 Mpc. For stellar mass estimates, following Reines & Volonteri (2015), we

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[1] We define ULXs as having X-ray luminosities $L_X > 10^{39}$ erg s^{-1}. ULXs are most commonly interpreted as super-Eddington neutron star or black hole XRBs (see e.g. Feng & Soria 2011; Kaaret, Feng & Roberts 2017).

[2] http://www.nsatlas.org/

[3] Since publication of Lemons et al. (2015), there is new theoretical evidence that mBHs do not need to reside in the nucleus (e.g. Bellovary et al. 2019).

Table 1. Properties of the three dwarf galaxies in our sample. Column 1: galaxy names. The full designations of the second and third galaxies are SDSS J121326.01+543631.6 and SDSS J122111.29+173819.1. Column 2: distances to each galaxy, assuming $H_0 = 73$ km s^{-1} Mpc^{-1} for Mrk 1434 and SDSS J1213, and using the Tully–Fisher relation for SDSS J1221 (Kashibadze, Karachentsev & Karachentseva 2020). Column 3: stellar masses, following the methodology of Reines & Volonteri (2015). Column 4: logarithm of star formation rates, based on far-ultraviolet and infrared luminosities (Hao et al. 2011; Kennicutt & Evans 2012). Column 5: metallicities when available in the literature [taken from Shirazi & Brinchmann (2012) for Mrk 1434 and Zhao, Gao & Gu (2013) for SDSS J1221].

Name	D (Mpc)	$\log M_\star$ (M$_\odot$)	$\log$ SFR (M$_\odot$ yr^{-1})	$12 + \log(\mathrm{O/H})$
(1)	(2)	(3)	(4)	(5)
Mrk 1434	30.7	6.6	−0.9	7.8
SDSS J1213	32.7	7.3	−2.2	–
SDSS J1221	16.1	8.0	−1.5	8.3

use the colour-dependent mass-to-light ratios from Zibetti, Charlot & Rix (2009).

2.2 *Chandra*

We obtained new *Chandra* observations (Cycle 17; PI Plotkin) with each galaxy centred at the aimpoint of the S3 chip of the Advanced CCD Imaging Spectrometer (Garmire et al. 2003). Data were telemetered in VFAINT mode. *Chandra* data reduction was carried out using the Chandra Interactive Analysis of Observations (CIAO) software version 4.13 (Fruscione et al. 2006) and CALDB v4.9.5. The *Chandra* data were reprocessed using chandra_repro to create new level 2 event files and bad pixel files, and to apply the latest calibration files. We then searched for background flares using the deflare script, and we did not find any periods with elevated background levels.

Next, we aligned the event file astrometry to the SDSS reference frame. We first excluded areas on each X-ray image occupied by the dwarf galaxy, so that our astrometric corrections would not be influenced by sources within each target galaxy. We then filtered each *Chandra* image to 0.5–7.0 keV and ran wavdetect to identify X-ray point sources, adopting wavelet scales of 1, 2, 4, 8, and 16, setting sigthresh to 10^{-6} (i.e. approximately one false positive per chip), and using a point spread function map (at 2.3 keV) with an enclosed count fraction (ecf) of 0.9. The relatively large ecf was chosen to help filter out weak X-ray sources, which would not have sufficient positional accuracy for astrometric alignment. We then cross-matched X-ray sources identified by wavdetect to the SDSS catalogue using wcs_match. We found only two common X-ray/optical sources for Mrk 1434, zero common sources for SDSS J1213, and one common source for SDSS J1221. Thus, we applied a translational astrometric correction for Mrk 1434 ($\Delta x = 0.97$, $\Delta y = 1.32$ pixels) and for SDSS J1221 ($\Delta x = 0.01$, $\Delta y = 0.96$ pixels) using wcs_update. No astrometric correction was applied to SDSS J1213.

We next re-ran wavdetect on the aligned event files (filtered from 0.5 to 7 keV, now including each target dwarf galaxy) to determine positions in the aligned reference frame of X-ray sources hosted by each dwarf galaxy. We used the same wavdetect parameters as above, except we used ecf = 0.3 when generating the point spread function map to allow the detection of fainter point sources. wavdetect identified two X-ray sources in Mrk 1434,

one source in SDSS J1213, and one source in SDSS J1221. The positions of each X-ray source are listed in Table 2. We estimated 95 per cent uncertainties of each X-ray position based on the distance from the telescope aimpoint and the number of counts detected by wavdetect, following equation (5) in Hong et al. (2005). Note that this 95 per cent positional uncertainty represents the statistical error on each source. For SDSS J1213 in particular, where we could not perform an astrometric alignment of the *Chandra* image, there is an additional systematic uncertainty that could be as large as 2 arcsec (although 0.8 arcsec is more typical).[4]

We then measured the number of counts from each X-ray source using srcflux. We adopted circular apertures centred at each wavdetect position with radii of 5 pixels, except for Mrk 1434, which contains two X-ray sources, where we adopted radii of 2.5 pixels to avoid the regions from each X-ray source from overlapping. The number of background counts per pixel was estimated from nearby source-free regions of each image. These measurements were performed in both broad (0.5–7.0 keV) and hard (2.0–7.0 keV) images, and we detected 19–73 counts from each source in the broad-band and 8–23 counts in the hard band. All X-ray detections (in all bands) are significant at the >99 per cent level according to the confidence tables in Kraft, Burrows & Nousek (1991).

Finally, spectra were extracted for each X-ray source using specextract and fit using an absorbed power-law model (tbabs*powerlaw) in the Interactive Spectral Interpretation System v1.6.2 (ISIS; Houck & Denicola 2000), adopting Cash statistics (Cash 1979) given the relatively low number of counts per source. We initially left the column density as a free parameter. However, for three X-ray sources N_H converged to zero, in which case we froze the value to the Galactic column density and refit the spectrum. Model fluxes were calculated using the cflux convolution model. Spectral parameters and model fluxes are reported in Table 3.

2.3 *Hubble Space Telescope*

We observed each galaxy with the Wide Field Camera 3 aboard *HST* for one orbit per galaxy (PI Plotkin; programme 14356). For Mrk 1434 and SDSS J1221 we observed in both the *F*110*W* and *F*606*W* filters (with the IR and UVIS channels, respectively), and for SDSS J1213, which is a fainter galaxy, we took observations only in the *F*110*W* filter. Observations in each filter were taken over four dither positions, and we used the IRSUB512 subarray for Mrk 1434 and SDSS J1221. Total exposure times in each filter are listed in Table 4. Data were downloaded from the *Mikulski Archive for Space Telescopes*, and individual exposures were aligned and combined using AstroDrizzle in the DRIZZLEPAC software (Hack, Dencheva & Fruchter 2013).[5] The *F*110*W* drizzled images were created with plate scales 0.06 arcsec pix^{-1} for Mrk 1434 and SDSS J1221, and 0.09 arcsec pix^{-1} for SDSS J1213. All *F*606*W* images have plate scales 0.03 arcsec pix^{-1}.

We aligned the *HST* astrometry to the *Gaia* Data Release 2 (Gaia Collaboration 2018) reference frame using the tweakreg task within AstroDrizzle (after excluding sources falling within each galaxy).[6] For Mrk 1434, the corrections resulted in astrometric shifts

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[4] https://cxc.harvard.edu/cal/ASPECT/celmon/

[5] https://hst-docs.stsci.edu/drizzpac

[6] Note that we aligned *HST* images to the *Gaia* frame and the *Chandra* X-ray images to the SDSS frame because we generally found a larger number of common *HST*/*Gaia* sources versus common *HST*/SDSS sources (and vice versa for *Chandra*). Compared to the statistical uncertainty on each *Chandra*

Table 2. Details of *Chandra* observations. Column 1: name of X-ray source. Column 2: *Chandra* obsID. Column 3: date of observation. Column 4: exposure time. Columns 5 and 6: right ascension and declination of each X-ray source. Column 7: radius of the 95 per cent positional uncertainty of each *Chandra* source, based on equation (5) of Hong et al. (2005). Column 8: aperture corrected net count rate (in counts ks^{-1}) in the broad X-ray band (0.5–7.0 keV). Aperture corrections of 0.90, 0.95, and 0.96 were used for Mrk 1434, SDSS J1213, and SDSS J1221, respectively. Column 9: aperture corrected net count rate (in counts ks^{-1}) in the hard band (2.0–7.0 keV). Aperture corrections of 0.87, 0.93, and 0.93 were used for Mrk 1434, SDSS J1213, and SDSS J1221, respectively.

Source	obsID	Date	Exp time	Right ascension	Declination	p_{err}	Net rate (0.5–7.0 keV)	Net rate (2.0–7.0 keV)
			(ks)	(J2000)	(J2000)	(arcsec)	(ks^{-1})	(ks^{-1})
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
Mrk 1434 X-N	18059	2016 Jan 26	5.0	10:34:10.19	+ 58:03:49.0	0.35	8.00 ± 2.22	2.98 ± 1.40
Mrk 1434 X-S	18059	2016 Jan 26	5.0	10:34:10.11	+ 58:03:46.3	0.36	6.83 ± 2.04	$2.06^{+1.36}_{-0.94}$
SDSS J1213	18060	2016 Aug 04	7.0	12:13:26.12	+ 54:36:34.1	0.38	2.78 ± 1.10	$1.15^{+0.89}_{-0.60}$
SDSS J1221	18061	2016 Feb 13	7.0	12:21:11.00	+ 17:38:18.0	0.33	10.82 ± 2.11	3.46 ± 1.24

Table 3. *Chandra* spectral parameters, fluxes, and luminosities. Column 1: name of X-ray source. Column 2: column density. Column 3: best-fitting photon index. Column 4: best-fitting Cash statistic/degrees of freedom. Columns 5 and 6: logarithms of the unabsorbed model X-ray flux and luminosity from 0.5 to 10 keV, estimated using the `cflux` convolution model. Columns 7 and 8: logarithms of the unabsorbed model X-ray flux and luminosity from 2 to 10 keV, estimated using the `cflux` convolution model.

Source	N_H	Γ	C-stat/d.o.f	Broad (0.5–10.0 keV)		Hard (2.0–10.0 keV)	
				log flux	log luminosity	log flux	log luminosity
	(10^{20} cm^{-2})			(erg s^{-1} cm^{-2})	(erg s^{-1})	(erg s^{-1} cm^{-2})	(erg s^{-1})
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
Mrk 1434 X-N	<56.9[a]	1.3 ± 0.4	16.0/13	−12.8 ± 0.1	40.2 ± 0.1	−13.0 ± 0.2	40.1 ± 0.2
Mrk 1434 X-S	0.6[b]	1.7 ± 0.4	10.3/13	−13.1 ± 0.1	40.0 ± 0.1	−13.3 ± 0.2	39.8 ± 0.2
SDSS J1213	1.4[b]	1.3 ± 0.5	5.8/12	−13.3 ± 0.2	39.8 ± 0.2	−13.5 ± 0.2	39.6 ± 0.2
SDSS J1221	2.7[b]	1.6 ± 0.3	27.1/32	−12.8 ± 0.1	39.6 ± 0.1	−13.0 ± 0.1	39.5 ± 0.1

[a]Best-fitting column density $N_H = 8.0 \times 10^{20}$ cm^{-2}, reported as an upper limit (95 per cent confidence level) because the uncertainty on the best-fitting value extends down to the Galactic value of 0.6×10^{20} cm^{-2}.
[b]Column density frozen to the Galactic value during fitting, taken from Dickey & Lockman (1990).

Table 4. Summary of *HST* observations. Column 1: galaxy name. Column 2: date of observations. Column 3: filters used for observations. Column 4: exposure times in the *F110W/F606W* filters, respectively, when both filters were used. All observations were taken through *HST* Proposal ID 14356.

Source	Date	Filter	Exp. time
			(min)
(1)	(2)	(3)	(4)
Mrk 1434	2016 Apr 16	*F110W/F606W*	8.6/30.9
SDSS J1213	2016 Apr 16	*F110W*	43.7
SDSS J1221	2016 Apr 9	*F110W/F606W*	8.6/26.9

by $\Delta x = 1.8$, $\Delta y = 0.0$ pixels (from two common sources) and $\Delta x = 1.9$, $\Delta y = 2.2$ pixels (from nine common sources) in the *F110W* and *F606W* filters, respectively. For SDSS J1213, we shifted the *F110W* filter by $\Delta x = 0.6$, $\Delta y = 2.8$ pixels (five common sources). Finally, for SDSS J1221 we could not identify enough common sources between the *HST* image and the *Gaia* catalogue in the *F110W* filter (which has a smaller field of view). So, we only aligned the *F606W* filter to the *Gaia* frame, shifting by $\Delta x = 0.2$, $\Delta y = 5.3$ pixels (four common sources), and we then aligned the *F110W* filter to the *F606W* filter (via three common sources between the two *HST* filters).

position (0.3–0.4 arcsec), we do not expect a meaningful offset between the absolute astrometry of SDSS versus *Gaia*, such that systematic uncertainties in our astrometric alignments are dominated by the small number of sources used to apply the corrections.

2.4 Very Large Array

Mrk 1434 and SDSS J1221 both had archival data sets (PI Satyapal, 14A-358) from the VLA, while new data were obtained for SDSS J1213 for this study (PI Plotkin, SH0563). All three galaxies were observed in the most extended A configuration. Both Mrk 1434 and SDSS J1221 observations were from 4.5 to 6.5 GHz (*C* band) and 8 to 10 GHz (*X* band), while SDSS J1213 was observed only from 8 to 12 GHz.

The Common Astronomy Software Applications (CASA; CASA Team et al. 2022) software package version 5.1 was used to carry out standard data reduction. We used 3C 286 to perform delay and bandpass calibrations, and to set the flux density scale. Nearby phase calibrators (see Table 5) were observed to solve for the time-dependent complex gain solutions. Imaging was performed using the task `tclean`, using two Taylor terms (nterms = 2) to account for the wide fractional bandwidth and natural weighting to maximize sensitivity. We achieved root-mean-square (rms) sensitivities ranging from 3.7 to 8.7 μJy bm^{-1} in each observing band (see Table 5).

The only X-ray source for which we found coincident radio emission is Mrk 1434 X-N, where we found radio detections at both 5.5 and 9.0 GHz within the X-ray error circle. We used `imfit` to fit two-dimensional Gaussians in the image plane (at each frequency) to calculate the size of the radio structure, and to measure peak and integrated flux densities. As discussed further in Section 3.1, the 5.5 GHz emission is slightly extended (with integrated flux density $f_{int} = 0.191 \pm 0.036$ mJy) while the 9.0 GHz is point like ($f_{peak} = 0.036 \pm 0.009$ mJy). The centroids of the radio emission at each frequency are offset by 0.20 arcsec ± 0.07 arcsec. For the other two

Table 5. Summary of VLA observations. Column 1: galaxy name. Column 2: VLA programme ID. Column 3: date of observation. Column 4: name of phase calibrator. Column 5: central frequency of each observation. Column 6: bandwidth of each observation. Column 7: the time spent integrating on each galaxy. Column 8: the size of the (elliptical) synthesized beam along the major and minor axes. Column 9: rms noise of each image.

Source	Programme	Date	Phase calibrator (J2000)	ν (GHz)	$\Delta\nu$ (GHz)	τ (min)	θ_{bm} (arcsec × arcsec)	σ_{rms} (μJy bm^{-1})
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
Mrk 1434[a]	14A-358	2014 Feb 24	1035 + 564	5.5	2.0	8.5	0.45 × 0.38	8.7
Mrk 1434[b]	14A-358	2014 Feb 24	1035 + 564	9.0	2.0	8.5	0.27 × 0.24	8.6
SDSS J1213	SH0563	2016 Sep 30	1219 + 482	10.0	4.0	39.5	0.28 × 0.23	3.7
SDSS J1221	14A-358	2014 Feb 26	1158 + 248	5.5	2.0	25.8	0.42 × 0.38	5.9
SDSS J1221	14A-358	2014 Feb 26	1158 + 248	9.0	2.0	26.0	0.25 × 0.23	5.8

[a]Extended radio emission detected near Mrk 1434 X-N at 5.5 GHz, with $f_{int} = 0.191 \pm 0.036$ mJy and $f_{peak} = 0.054 \pm 0.009$ mJy bm^{-1}. The centroid of emission is located at RA $= 10^h34^m10\overset{s}{.}1867 \pm 0\overset{s}{.}0042$ and Dec. $= 58°03'49\overset{''}{.}1481 \pm 0\overset{''}{.}0763$.

[b]Point-like radio emission detected near Mrk 1434 X-N at 9.0 GHz, with $f_{peak} = 0.036 \pm 0.009$ mJy bm^{-1}. The emission is located at RA $= 10^h34^m10\overset{s}{.}2045 \pm 0\overset{s}{.}0039$ and Dec. $= 58°03'49\overset{''}{.}2883 \pm 0\overset{''}{.}0460$.

galaxies, we place $3\sigma_{rms}$ limits on their radio flux densities. We note that SDSS J1221 displays radio emission aligned with a likely H II region towards the eastern outskirts of the galaxy that is not associated with X-ray emission, so we do not discuss that radio emission in this paper.

3 RESULTS

In the following subsections, we present the multiwavelength results for each galaxy, deferring discussions regarding the possible nature of each X-ray source to Section 4. Composite *HST* images are shown for each galaxy in Fig. 1, including the locations of X-ray sources.

3.1 Mrk 1434

Mrk 1434 hosts two X-ray sources separated by 2.8 arcsec (see Fig. 1a), both of which are classified as ULXs: the northern source (Mrk 1434 X-N), which is located towards the galactic nucleus, has an unabsorbed hard X-ray luminosity $L_{2-10\,keV} = (1.2 \pm 0.6) \times 10^{40}$ erg s^{-1}, and the southern source (Mrk 1434 X-S) has $L_{2-10\,keV} = (5.8 \pm 0.2) \times 10^{39}$ erg s^{-1}. The X-ray spectra of each source are fit by power-law models with photon indices of $\Gamma = 1.3 \pm 0.4$ for Mrk 1434 X-N and $\Gamma = 1.7 \pm 0.4$ for Mrk 1434 X-S. Neither source shows evidence for significant intrinsic absorption.

It is unlikely that either hard X-ray source is a superposed foreground/background object. Given the density and flux distribution of hard X-ray sources in the cosmic X-ray background (see e.g. equation 2 of Moretti et al. 2003), we expect to only find 0.001 and 0.003 hard X-ray sources with 2–10 keV fluxes similar (or brighter) than Mrk 1434 X-N and Mrk 1434 X-S, respectively, within the projected size of the galaxy (which we conservatively approximate as a circle with a 20 arcsec radius).

Radio emission is detected only from the northern source, Mrk 1434 X-N. At 5.5 GHz, the emission is extended with major and minor axis full width at half-maxima of 1.1 arcsec × 0.6 arcsec (160 pc × 90 pc), respectively, covering ≈3.5 synthesized beams. The centroid of the 5.5 GHz emission is 0.16 arcsec from the X-ray position (for reference, the 95 per cent *Chandra* error circle is 0.35 arcsec), and the integrated luminosity is $L_{5.5\,GHz,int} = (1.2 \pm 0.2) \times 10^{36}$ erg s^{-1}. At 9.0 GHz, we detect a point source located 0.32 arcsec from the X-ray position, with a peak luminosity $L_{9.0\,GHz,peak} = (3.7 \pm 0.8) \times 10^{35}$ erg s^{-1}. We do not detect any extended radio structures at 9.0 GHz, thereby indicating that the

emission seen at 5.5 GHz has a steep radio spectrum (our 5.5 and 9.0 GHz radio maps have similar sensitivities; see Table 5). Note that extended emission is not simply resolved out at the higher radio frequency, since the smallest baselines of the VLA in A configuration are sensitive to structures up to ≈5 arcsec at 9.0 GHz, which is larger than the ≈1 arcsec angular size of the 5.5 GHz emission.

At 9.0 GHz, the chance of a random alignment of a background radio point source falling within the *Chandra* error circle is very small. Integrating the differential source counts tabulated by de Zotti et al. (2010) at 8.4 GHz, and assuming a flat radio spectrum (as expected if the 9.0 GHz emission is from a compact jet; see Section 4.1.2), we expect only ≈3 × 10^{-5} sources with $f_{peak} >$ 0.036 mJy within the X-ray error circle. The chance of a statistical fluctuation as large as 0.036 mJy (i.e. $4\sigma_{rms}$) within the X-ray error circle (which contains ≈240 pixels in the radio map) is also very small ($p = 3 \times 10^{-5}$). Thus, we believe the 9.0 GHz emission is indeed physically associated with the galaxy. However, we note that the radio source lies towards the edge of the X-ray error circle. Thus, even though the radio source formally falls within the *Chandra* positional uncertainty, its association specifically with Mrk 1434 X-N is less clear, particularly after considering that the *Chandra* X-ray astrometry of Mrk 1434 was aligned to the optical frame using only two common X-ray/SDSS sources.

Finally, we note that towards the south-west of the 0.35 arcsec *Chandra* X-ray error circle of Mrk 1434 X-N, there is an optical/near-infrared source that appears red in the *HST* composite image (see the zoom-in of Fig. 1a). If that source is a background quasar it may also be responsible for the X-ray and/or radio emission. However, the random alignment of such a background quasar is very unlikely, as described below. The AB magnitude of the *HST* source in the *F*606*W* filter is 18.8, which we convert to SDSS i ≈ 18.7 assuming a typical quasar spectrum (Vanden Berk et al. 2001). We then consider SDSS Type 1 quasar counts from $0.3 < z < 3.5$ (Richards et al. 2006; Ross et al. 2013), and we find only a negligible number of background quasars (≈6 × 10^{-7}) are likely to fall within the *Chandra* X-ray circle by random chance (note that the random alignment of a radio-loud or a Type 2 quasar would be even rarer). That source is likely intrinsic to the galaxy.

3.2 SDSS J1213 and SDSS J1221

SDSS J1213 and SDSS J1221 each contain a single hard X-ray point source near the outskirts of each galaxy (Fig. 1b and c). The
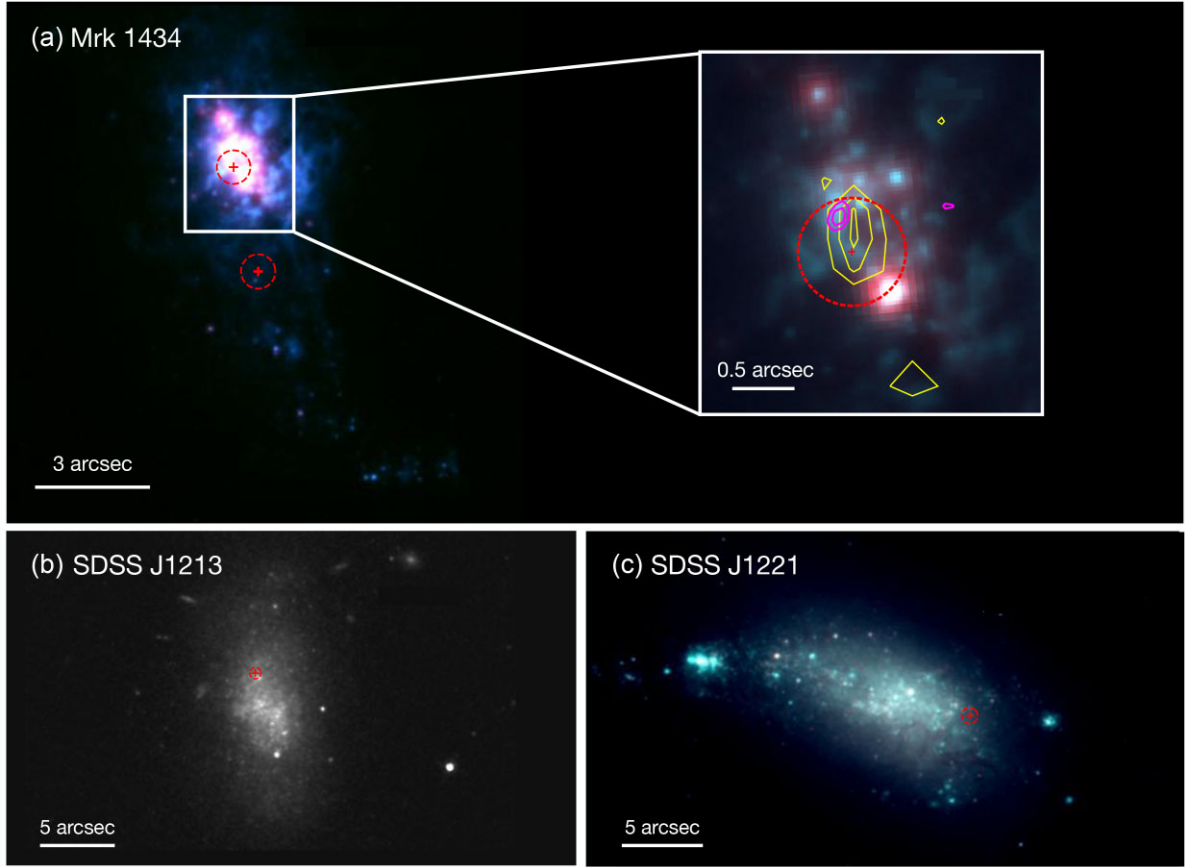
Figure 1. (a) Composite *HST* image of Mrk 1434 in the *F606W* (blue/green) and the *F110W* (red) filters. The locations of the two X-ray point sources are shown as red cross hairs, with the dashed red circles illustrating the sizes of the 95 per cent positional errors from *Chandra*. The zoom-in of the centre of the galaxy shows the location of Mrk 1434 X-N relative to the radio emission, where yellow contours show the extended 5.5 GHz radio emission (1.1 arcsec × 0.6 arcsec; contours drawn at 3, 4, 5 × σ_{rms}) and the magenta contours show the unresolved emission at 9.0 GHz (contours drawn at 3, 4 × σ_{rms}). The sizes of the VLA synthesized beams are 0.45 arcsec × 0.38 arcsec (5.5 GHz) and 0.27 arcsec × 0.24 arcsec (9.0 GHz), respectively. Note that the SDSS spectroscopic fibre, from which the nebular He II emission is detected, has a diameter of 3 arcsec and is placed at the centre of the galaxy. (b) *HST* image of SDSS J1213 in the *F110W* filter, with the location of the X-ray source marked by the red cross hair and dashed circle. (c) *HST* composite image of SDSS J1221 in the *F606W* (blue/green) and the *F110W* (red) filters, with the location of the X-ray source marked by the red cross hair and dashed circle. In all images, north is up and east is to the left.

hard (2–10 keV) X-ray luminosities of the sources are $L_{2-10\,keV} = (4.3 \pm 2.4) \times 10^{39}$ and $(2.9 \pm 0.8) \times 10^{39}$ erg s^{-1}, respectively (Table 2), such that both sources are classified as ULXs. The chance of a superposed foreground/background object is negligible (we expect only 0.005 hard X-ray background sources for SDSS J1213 and 0.001 sources for SDSS J1221; Moretti et al. 2003). Neither galaxy contains radio emission within the *Chandra* X-ray circles to $3\sigma_{rms}$ upper limits of $<1.4 \times 10^{35}$ erg s^{-1} at 10.0 GHz for SDSS J1213, and to limits of $<3.0 \times 10^{34}$ and $<4.9 \times 10^{34}$ erg s^{-1} at 5.5 and 9.0 GHz, respectively, for SDSS J1221.

4 DISCUSSION

In the following subsections, we discuss possible interpretations for the X-ray sources in our sample of three dwarf galaxies. We focus primarily on Mrk 1434 since it exhibits the most complex phenomenology (i.e. two X-ray sources, one of which is coincident with radio emission). We provide arguments for/against XRB interpretations in Section 4.1.1 and for/against AGN interpretations in Section 4.1.2. In Section 4.1.3, we discuss whether the observed X-ray flux is sufficient to explain He II line emission observed in the

SDSS spectrum of Mrk 1434. A discussion on the nature of the X-ray sources in the other two galaxies is presented in Section 4.2.

4.1 Mrk 1434

4.1.1 XRB interpretations

As shown in Section 3.1, both X-ray sources in Mrk 1434 are physically associated with the galaxy and luminous enough to be classified as ULXs. The observed X-ray luminosity, however, is higher than expected from the luminous tail of the galaxy's XRB population. The luminosities of both X-ray sources are above the cutoff of the low-mass XRB luminosity function (e.g. Gilfanov 2004), so in the following we only consider high-mass XRBs using the metallicity-dependent luminosity function from Lehmer et al. (2021). For Mrk 1434, with $12 + \log(O/H) = 7.8$ and SFR = 0.12 M$_\odot$ yr^{-1}, Lehmer et al. (2021) predict a total 0.5–8.0 keV X-ray luminosity (i.e. from all X-ray point sources) of $L_{0.5-8.0\,keV} = (1.7 \pm 0.15) \times 10^{39}$ erg s^{-1} (where the error bar represents the 68 per cent confidence interval provided by Lehmer et al. 2021). They also predict only $0.03^{+0.04}_{-0.02}$ ULXs with $L_{0.5-8.0\,keV} > 10^{40}$ erg s^{-1}. For reference, the unabsorbed 0.5–8.0 keV model luminosities of Mrk 1434 X-N and

Mrk 1434 X-S are $(1.3 \pm 0.4) \times 10^{40}$ and $(0.8 \pm 0.2) \times 10^{40}$ erg s^{-1}, respectively. Thus, the combined X-ray luminosity of both ULXs is ≈ 10 times higher than expected relative to the Lehmer et al. (2021) luminosity function, which is significant even after considering uncertainties and intrinsic scatter.

Even though the above suggests that it is statistically unlikely for both sources to be XRBs, small number statistics could influence the above arguments, and it is worth exploring XRB interpretations. In particular, the extended 5.5 GHz radio emission from Mrk 1434 X-N could represent a 'ULX bubble', as similar types of extended radio structures have been observed from other ULXs, making the radio emission a signature of a ULX outflow shocking the nearby interstellar environment (e.g. Pakull, Soria & Motch 2010; Soria et al. 2010, 2021; Cseh et al. 2012; Urquhart et al. 2019). If the 5.5 GHz radio emission is indeed a ULX bubble, then with $L_{5.5\,\mathrm{GHz,int}} = (1.2 \pm 0.2) \times 10^{36}$ erg s^{-1} it would represent the most luminous ULX bubble yet observed by a factor of ≈ 6 (Pakull et al. 2010; Soria et al. 2010, 2021). Meanwhile, the projected size of ≈ 160 pc $\times$ 90 pc (1.1 arcsec $\times$ 0.6 arcsec) in diameter is fairly typical compared to other ULX bubbles, where diameters range from ≈ 25 to 350 pc (Soria et al. 2021; also see table 1 of Berghea et al. 2020, and references therein). Taking the peak flux density of the 5.5 GHz structure, and extrapolating to 1 GHz assuming a spectral index $\alpha = 0.7$, the intensity of the radio bubble in Mrk 1434 X-N would be $I_{1\,\mathrm{GHz}} \approx 6 \times 10^{-16}$ erg s^{-1} cm^{-2} Hz^{-1} sr^{-1}, which is relatively large but reasonable compared to other ULX radio bubbles with similar physical sizes (see fig. 5 of Berghea et al. 2020).

Although a ULX bubble is one interpretation of the 5.5 GHz emission, we stress that it is not a unique (or necessary) explanation. Adopting SFR = 0.12 M$_\odot$ yr^{-1} for Mrk 1434 and the relation between star formation rate and the 1.4 GHz specific luminosity from Kennicutt & Evans (2012), we expect $L_{5.5\,\mathrm{GHz,SF}} \approx 3.9 \times 10^{36}$ erg s^{-1} (we convert from 1.4 to 5.5 GHz assuming a spectral index $\alpha = 0.7$). Considering that the intrinsic scatter on the conversion between SFR and radio luminosity is of the order of ± 0.3 dex (Murphy et al. 2011), the observed extended structure at 5.5 GHz could be produced entirely by star formation processes. Since the extended radio structure at 5.5 GHz is not detected at 9.0 GHz, the dominant radio emission mechanism in such a scenario would most likely be synchrotron radiation with a steep spectrum from supernova remnants. Note that our data exclude free–free radio emission from an H II region, which would produce a flat spectrum that would be detectable at 9.0 GHz.

4.1.2 AGN interpretations

AGN can also produce extended radio emission, which is another viable explanation for the 5.5 GHz radio structure. However, in light of the discussion in the previous subsection that a super-Eddington XRB is also capable of producing the observed extended emission at 5.5 GHz, the resolved radio complex does not provide useful diagnostics for attempting to discriminate between XRB versus AGN. Since the X-ray spectra of Mrk 1434 X-N and Mrk 1434 X-S ($\Gamma = 1.3 \pm 0.4$ and $\Gamma = 1.7 \pm 0.4$, respectively) are consistent with low-luminosity AGNs (Younes et al. 2011; Yang et al. 2015), we focus the following discussion on AGN scenarios with Eddington ratios $L_{\mathrm{bol}}/L_{\mathrm{Edd}} \lesssim 0.01$. For such weakly accreting AGNs, we expect to observe unresolved radio emission from a partially self-absorbed compact jet (Ho 2008). By combining X-ray and radio luminosities, we can then make crude estimates on black hole masses by appealing to the Fundamental Plane of black hole activity (Merloni, Heinz & di

Table 6. mBH mass estimates and limits. Column 1: galaxy name. Column 2: logarithm of the hard X-ray luminosity. Column 3: logarithm of the radio luminosity at 5 GHz, assuming a flat radio spectrum. For Mrk 1434 X-N, this luminosity is based on the unresolved emission detected at 9 GHz. For all other X-ray sources, limits are placed as $3\sigma_{\mathrm{rms}}$. Column 4: logarithm of the black hole mass (or limit) if X-ray sources are weakly accreting mBHs, based on the Fundamental Plane of black hole activity (Gültekin et al. 2019). Uncertainties on log M_{BH} are ≈ 1 dex.

Source	$\log L_{2-10\,\mathrm{keV}}$ (erg s^{-1})	$\log L_{5\,\mathrm{GHz}}$ (erg s^{-1})	$\log M_{\mathrm{BH}}$ (M$_\odot$)
(1)	(2)	(3)	(4)
Mrk 1434 X-N	40.1 ± 0.4	35.3 ± 0.1	5.6
Mrk 1434 X-S	39.8 ± 0.3	<35.2	<5.6
SDSS J1213	39.6 ± 0.4	<34.9	<5.3
SDSS J1221	39.5 ± 0.2	<34.4	<5.0

Matteo 2003; Falcke, Körding & Markoff 2004). For Mrk 1434 X-N, we then interpret the unresolved 9.0 GHz radio emission as arising from a compact jet, and we utilize the Fundamental Plane regression by Gültekin et al. (2019),

$$\begin{aligned}
\log(M_{\mathrm{BH}}/10^8\,\mathrm{M}_\odot) &= (0.55 \pm 0.22) \\
&+ (1.09 \pm 0.10)\log(L_{5\,\mathrm{GHz}}/10^{38}\,\mathrm{erg\,s^{-1}}) \\
&- (0.59 \pm 0.16)\log(L_{2-10\,\mathrm{keV}}/10^{40}\,\mathrm{erg\,s^{-1}}),
\end{aligned} \quad (1)$$

which has an intrinsic scatter ≈ 1 dex. We estimate that Mrk 1434 X-N would have $M_{\mathrm{BH}} \approx 4 \times 10^5$ M$_\odot$ if powered by an mBH (see Table 6). Note that we assume a flat radio spectrum to convert the observed radio luminosity at 9.0 to 5.0 GHz for use in the Fundamental Plane (we cannot use our 5.5 GHz radio map to estimate the 5 GHz luminosity because we do not have enough signal to noise to attempt to decompose a point source embedded within the extended radio emission observed at 5.5 GHz). Similarly, the lack of radio emission from Mrk 1434 X-S implies $M_{\mathrm{BH}} \lesssim 4 \times 10^5$ M$_\odot$ (where we adopt a $3\sigma_{\mathrm{rms}}$ upper limit, based on the observed σ_{rms} near Mrk 1434 X-S in our 5.5 GHz image). These mass estimates imply Eddington ratios ($L_{2-10\,\mathrm{keV}}/L_{\mathrm{Edd}}$) of $\approx 2 \times 10^{-4}$ and $\gtrsim 1 \times 10^{-4}$ for Mrk 1434 X-N and Mrk 1434 X-S, respectively, which, assuming bolometric corrections of ≈ 10, are consistent with Eddington ratios for which the Fundamental Plane can be applied (see e.g. Plotkin et al. 2012).

4.1.3 On the origin of nebular He II emission

In the following, we determine whether the X-ray emission from Mrk 1434 is a strong enough source of photoionization to explain the strength of the He II emission in the SDSS spectrum of Mrk 1434. The observed He II line flux is $F_{\lambda 4686,\mathrm{obs}} = (7.5 \pm 0.1) \times 10^{-16}$ erg s^{-1} cm^{-2}, which translates to a photon flux of $N_{\lambda 4686,\mathrm{obs}} = (1.8 \pm 0.1) \times 10^{-4}$ photons s^{-1} cm^{-2}. Every photon emitted in the He II line requires 5.2 ionizing photons incident on singly ionized helium (Pakull & Angebault 1986). Given the ionization potential of singly ionized helium ($\chi_{\mathrm{ion}} = 54.4$ eV), and considering that the photoionization cross-section has a steep E_{ph}^{-3} dependence on photon energy, E_{ph}, then producing the observed SDSS He II line flux requires a photon flux in the extreme ultraviolet (54–300 eV) of $N_{54-300\,\mathrm{eV}} = 5.2 N_{\lambda 4686,\mathrm{obs}} = (9.1 \pm 0.1) \times 10^{-4}$ photons s^{-1} cm^{-2}. Note that this photon flux is an underestimate because we have not corrected the observed SDSS line flux for extinction.

The 3 arcsec SDSS spectroscopic fibre is centred near Mrk 1434 X-N, such that if the He II emission arises from photoionization by the X-ray source, we expect the emission to be dominated by Mrk

1434 X-N. We do not have direct measurements on the extreme ultraviolet flux from 54 to 300 eV, so we extrapolate the *Chandra* X-ray spectrum into the extreme ultraviolet. Our best-fitting power-law model predicts a photon flux of $0.3^{+2.5}_{-0.2} \times 10^{-4}$ photons s^{-1} cm^{-2} (note the large range in uncertainty because we are extrapolating the model to energies lower than the *Chandra* X-ray band). Thus, while high-energy radiation from Mrk 1434 X-N may contribute to some of the He II photoionization, the observed X-ray source is too faint, by a factor of ≈ 30, to supply all of the photoionizing photons. If we assume a thermal X-ray emission model (`tbabs*diskbb`), it becomes even more difficult for the X-ray source to explain the He II photoionization, as the extrapolated 54–300 eV extreme ultraviolet flux becomes ≈ 90 times too faint. Adding a contribution of photons from Mrk 1434 X-S would only increase the above photon flux by a factor of ≈ 2, for either spectral model.

There is currently no evidence for significant X-ray variability from Mrk 1434 over the past 1–2 decades. Coincidentally, the SDSS spectrum and the archival *Chandra* observation from Lemons et al. (2015, *Chandra* obsID 3347) were both taken in May 2002 (separated by ≈ 2 weeks). The archival data from 2002 show nearly identical X-ray luminosities ($\log L_{2-10\,keV} = 40.1$ and 39.9 erg s^{-1} for Mrk 1434 X-N and Mrk 1434 X-S, respectively; see table 2 of Lemons et al. 2015) compared to the *Chandra* observations presented here, which were taken nearly 14 yr later (see Table 2 of this paper). There are also two X-ray detections of Mrk 1434 in the third *XMM–Newton* serendipitous source catalogue (3*XMM*; Rosen et al. 2016) in 2007 and 2008. Both X-ray sources are blended together due to *XMM–Newton*'s poorer spatial resolution. Comparing the *XMM–Newton* fluxes to the combined fluxes of both sources in the *Chandra* observations, X-ray variability is smaller than a factor of ≈ 2 over the four observations. However, considering the light traveltime between the X-ray source and the ionized medium, it is feasible that Mrk 1434 X-N was more active in the past. The projected radius of the SDSS spectroscopic fibre is 730 light-years, and we cannot exclude the possibility that Mrk 1434 X-N was ≈ 30–90 times more luminous several hundred years ago, which appears to be on the only viable way for the He II emission to be powered by X-ray photoionization.

If the extended radio emission is produced by an outflow shocking the interstellar medium, then one must also consider the possibility of the He II emission being produced by ionization from a radiative shock (e.g. Dopita & Sutherland 1995). According to the `MAPPINGS III` libraries of line ratios for radiative shocks (Allen et al. 2008), assuming a shock velocity of 300 km s^{-1}, we expect the luminosity of the He II $\lambda 4686$ emission line $L_{\lambda 4686} \approx 4 \times 10^{-4} L_{rad}$, where L_{rad} is the total radiative luminosity of the shock.[7] Assuming that the kinetic power required to inflate a bubble $P_{kin} \approx 77/27 L_{rad}$ (Weaver et al. 1977), then explaining the observed He II line via shock ionization requires an outflow with $P_{kin} \approx 6 \times 10^{41}$ erg s^{-1}.

We do not have a reliable method to independently estimate P_{kin} (especially considering that other emission lines in the SDSS spectrum are dominated by star formation). However, for an order of magnitude estimate, we calculate the minimum synchrotron energy

of the 5.5 GHz radio emission, which is $W_{min} \approx 2 \times 10^{52}$ erg (Longair 1994).[8]

A 300 km s^{-1} shock would take $\approx 3 \times 10^5$ yr to inflate a bubble with a 160 pc diameter, such that the average power stored in internal energies of the synchrotron emitting structure is $\bar{P}_{min} \approx 2 \times 10^{39}$ erg s^{-1} (i.e. the average power in particles and in the magnetic field). Thus, an outflow would need to carry $\gtrsim 10^2$ times more power in order for a shock to be the sole ionization source of the observed He II emission line. Of course, $\bar{P}_{min}$ is a minimum energy estimate, and the power in bubbles/cavities carved out by kinetic outflows has sometimes been observed to be larger, sometimes by factors of several hundreds (e.g. Ito et al. 2008), such that the above does not exclude the possibility of shock ionization.

For comparison, the ULX NGC 6946 MF16 (Roberts & Colbert 2003) has a luminous and compact radio bubble (Berghea et al. 2020), which suggests a relatively powerful outflow. Adopting the NGC 6946 MF16 bubble line flux in the [Fe II] $\lambda 16440$ emission line (4.2×10^{-15} erg s^{-1} cm^{-2}) and a distance of 7.8 Mpc (Long et al. 2020), the `MAPPINGS III` libraries for a 300 km s^{-1} shock (with solar abundances) suggest a kinetic power of $P_{kin} \approx 7 \times 10^{40}$ erg s^{-1}. Thus, the kinetic power of NGC 6946 MF16 (i.e. one of the most powerful known ULX radio bubbles) is an order of magnitude lower than the power required for shock ionization to be responsible for the observed strength of the He II emission line near Mrk 1434 X-N. Thus, if the He II line is powered by shock ionization, then it would represent one of the most powerful bubbles carved by a ULX outflow yet observed.

Intriguingly, Mrk 1434 is one member of a population of 182 star-forming galaxies with nebular He II emission that were identified by Shirazi & Brinchmann (2012). The ratios of He II/Hβ relative to [N II] $\lambda 6584$/Hα are inconsistent with AGN for these galaxies. Typically, when an AGN is absent, Wolf–Rayet stars are considered the primary stellar population capable of producing enough extreme ultraviolet flux above the 54 eV He II ionization edge. However, Shirazi & Brinchmann (2012) inspected the SDSS spectra for broad emission features indicative of Wolf–Rayet stars, and they found no Wolf–Rayet signatures in the spectrum of Mrk 1434. Thus, without concrete evidence that Mrk 1434 X-N was indeed brighter several hundred years ago to power the He II emission via photoionization, and/or lacking a reliable estimate of the kinetic power of an outflow for shock ionization, the source of extreme ultraviolet photons in Mrk 1434 remains a mystery. Another plausible explanation could be photoionization from extreme ultraviolet photons emitted by exotic stellar populations (like rapidly rotating stars) in metal-poor environments (see the discussion in section 6 of Shirazi & Brinchmann 2012). It is very plausible that several of the above scenarios contribute towards producing the He II line, and Shirazi & Brinchmann (2012) recovered a heterogeneous population (multiple mechanisms may even contribute to producing the He II emission within a single galaxy). For example, Senchyna et al. (2020) conclude that X-ray photoionization cannot explain nebular He II emission across a sample of nearly a dozen metal-poor galaxies. Meanwhile, there are several well-established examples of X-ray sources that are indeed sufficient to power nebular He II emission (e.g. Pakull & Angebault 1986; Moon et al. 2011; Schaerer, Fragos & Izotov 2019; Simmonds, Schaerer & Verhamme 2021). Further observational constraints, ideally via systematic X-ray surveys of metal-poor dwarf

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[7] Given the low metallicity of Mrk 1434, we adopt the `MAPPINGS III` model grid with Small Magellanic Cloud abundances. We also assume an interstellar medium density of 1 cm^{-3} and equipartition of magnetic and thermal pressures.

[8] We adopt $L_{5.5} \approx 10^{36}$ erg s^{-1}, a bubble diameter of ≈ 160 pc, and an ion to electron energy ratio of $\eta = 40$. We note that $W_{min} \propto \eta^{4/7}$, and the proper value of η is not well constrained.

galaxies under high spatial resolution, are required to understand the level to which ULXs contribute extreme ultraviolet radiation in metal-poor galaxies, which has implications for understanding sources of ionization and heating of the intergalactic medium in the early Universe.

4.2 SDSS J1213 and SDSS J1221

Our new *Chandra* observations confirm the conclusion of Lemons et al. (2015) that both X-ray sources are more luminous than expected from the XRB populations in each galaxy, as described below. Unlike for Mrk 1434, the luminosities of both X-ray sources in SDSS J1213 and SDSS J1221 are low enough that we should consider both high-mass and low-mass XRBs. Following Lemons et al. (2015), we therefore adopt the relation from Lehmer et al. (2010), which predicts the hard X-ray luminosity from low-mass and high-mass XRBs as a function of stellar mass and star formation rate: $(L_{2-10\,\mathrm{keV}}^{\mathrm{XRB}}/\mathrm{erg\,s^{-1}}) = (9.05 \pm 0.37) \times 10^{28}\,(M_\star/\mathrm{M_\odot}) + (1.62 \pm 0.22) \times 10^{39}\,(\mathrm{SFR/M_\odot\,yr^{-1}})$, with an intrinsic scatter of ± 0.34 dex. The Lehmer et al. (2010) relation predicts $L_{2-10\,\mathrm{keV}}^{\mathrm{XRB}} = 1.2 \times 10^{37}$ and 5.6×10^{37} erg s^{-1} for SDSS J1213 and SDSS J1221, respectively. The predicted luminosities are ≈3 times higher if we instead adopt the calibrations in Lehmer et al. (2019). Thus, the observed X-ray luminosities are ≈120–360 and ≈17–50 times higher than expected, for SDSS J1213 and SDSS J1221, respectively.[9]

In light of recent theoretical motivation for 'wandering' mBHs (Bellovary et al. 2019, 2021; also see e.g. Mezcua & Domínguez Sánchez 2020; Reines et al. 2020; Greene et al. 2021; Sargent et al. 2022, for observational searches), an X-ray source being 'off-nucleus' does not on its own preclude the possibility of an accreting mBH. It is possible that these sources are mBHs launching jets that are either (a) beneath our radio detection limit or (b) very extended and 'resolved out' by the VLA when it is in its most extended A configuration. The largest angular scale to which the VLA is sensitive to radio emission at our observing frequencies (*X*-band) and configuration (A) is 5.3 arcsec, such that our VLA observations would not detect flux from extended jets larger than ≈850 and ≈410 pc for SDSS J1213 and SDSS J1221, respectively. On the other hand, the radio cores of weakly accreting AGNs (bolometric luminosities $L_{\mathrm{bol}} < 0.01 L_{\mathrm{Edd}}$) have flat radio spectra and are compact enough that their radio emission should not be 'resolved out' at VLA resolutions (see e.g. Orienti & Prieto 2010). Thus, if only considering mBHs in the weak accretion regime, we can use our radio upper limits in conjunction with the Fundamental Plane to place mass limits of $M_{\mathrm{BH}} < 2 \times 10^5$ and $< 1 \times 10^5$ M$_\odot$ for SDSS J1213 and SDSS J1221, respectively. Requiring $L_{\mathrm{bol}} < 0.01 L_{\mathrm{Edd}}$, and assuming X-ray bolometric corrections of 10, then places lower limits on black hole masses of $\gtrsim 3 \times 10^4$ (SDSS J1213) and $\gtrsim 2 \times 10^4$ M$_\odot$ (SDSS J1221). Thus, there is a relatively narrow range of mass where our VLA observations could 'miss' the compact radio jet from a weakly accreting mBH. Note that our radio limits do not place useful constraints on the possibility of a more rapidly accreting mBH with

$L_{\mathrm{bol}} > 0.01 L_{\mathrm{Edd}}$, which would correspond to a mass $M_{\mathrm{BH}} \lesssim 10^4$ M$_\odot$ for both sources. Nevertheless, even though our data do not exclude the possibility of mBHs, Occam's razor probably suggests that the simplest and most likely scenario is that these are luminous XRBs.

4.3 An update to Lemons et al. (2015)

After considering the above multiwavelength observations, all 10 of the dwarf galaxy AGN candidates identified by Lemons et al. (2015) (via hard X-ray emission) now have sufficient spatial resolution to determine whether the X-ray sources indeed reside in galactic nuclei. Our study reduces their number of AGN candidates to 7–8 (adopting an AGN definition that requires nuclear sources). It is very unlikely that any of these seven to eight nuclear sources are chance alignments with foreground/background X-ray emitting objects. Adopting the hard (2–10 keV) X-ray fluxes and X-ray position error circles of the nuclear candidates from table 2 of Lemons et al. (2015), and replacing the X-ray flux and positional uncertainty of Mrk 1434 X-N with the values presented here, the Moretti et al. (2003) cosmic X-ray background predicts only 0.003 sources to fall within the nuclei of the eight possible nuclear mBH candidates. Obtaining seven to eight viable AGN candidates is a significant result, considering that (a) the Lemons et al. (2015) dwarf galaxy survey was archival and therefore serendipitous in nature, and (b) the three dwarf galaxies with follow-up presented here represent three of their most unlikely AGN candidates (given the poor spatial resolution of their archival *Chandra* data). Lemons et al. (2015) found X-ray sources in 19 galaxies in total (i.e. the remaining 11–12 galaxies host off-nuclear X-ray sources, most likely XRBs). Thus, if a luminous X-ray source is detected in a dwarf galaxy, our study (very roughly) implies a 30–40 per cent chance[10] that it could be a nuclear mBH, which supports the viability of using X-ray surveys to identify mBHs in low-mass galaxies, as long as the survey is performed with sufficient sensitivity and spatial resolution. We stress the importance of high spatial resolution X-ray observations. For example, Mrk 1434 was previously identified as an AGN from an *XMM–Newton* survey (Birchall, Watson & Aird 2020), while our higher spatial resolution *Chandra* observation clearly resolves the 'nuclear' X-ray source into two distinct sources (and even then, it remains unclear whether either source is indeed an accreting mBH).

5 SUMMARY AND CONCLUSIONS

We have presented a multiwavelength study of three nearby dwarf galaxies that host ULXs. Two galaxies in our sample, SDSS J1213 and SDSS J1221, each contain single off-nuclear X-ray sources that we suspect are luminous XRBs. The third galaxy, Mrk 1434, hosts two X-ray sources separated by 2.8 arcsec. The northern source (Mrk 1434 X-N) also displays extended radio emission at 5.5 GHz and point-like radio emission at 9.0 GHz. It remains unclear whether the X-ray sources in Mrk 1434 are XRBs or AGNs (especially Mrk 1434 X-N), although either scenario is intriguing. If they are XRBs, then the combined X-ray luminosity of both sources is larger than expected for a galaxy with Mrk 1434's star formation rate and (low) metallicity. Furthermore, the extended radio emission at 5.5 GHz could then represent the most luminous 'ULX bubble' ever observed in the radio, although we stress that the 5.5 GHz radio emission

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[9] The Lehmer et al. (2010) relation is calibrated to galaxies with approximately solar metallicities. The metallicity of SDSS J1213 is unknown, and the metallicity of SDSS J1221 is log(O/H) + 12 = 8.3 (Zhao et al. 2013). If we adopt the metallicity-dependent Lehmer et al. (2021) relation for high-mass XRBs, the X-ray luminosity of the X-ray source in SDSS J1221 is still ≈20 times higher than expected for a galaxy with its star formation rate and metallicity.

[10] This number is an upper limit, and it neglects biases inherent to an archival/serendipitous survey, which is out of the scope of this paper to quantify.

can also be attributed entirely to star formation within the galaxy, or to an AGN jet. Regardless of the correct scenario, we find that the line emission from He II in Mrk 1434 is inconsistent with a nebula being powered by the central X-ray source, unless the central source underwent a period of higher activity several hundred years ago, or if the nebula is shock ionized by an outflow that is an order of magnitude more powerful than yet observed from a ULX. If Mrk 1434 X-N is an AGN, then the 9.0 GHz radio emission may represent a compact synchrotron jet from a low-luminosity AGN power by an mBH with $M_{BH} \approx 4 \times 10^5 \, M_\odot$. We conclude by stressing the importance of high spatial resolution observations when performing multiwavelength searches for mBHs in dwarf galaxies.

DATA AVAILABILITY

The data underlying this article are available in the Chandra Data Archive under ObsIDs 18059, 18060, and 18061 (https://cda.harvard.edu/chaser/), in the Barbara A. Mikulski Archive for Space Telescopes under programme ID 14356 (dx.doi.org/10.17909/3bxp-zt07), and in the National Radio Astronomy Observatory Data Archive under programmes 14-358 and SH0563 (data.nrao.edu).

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