

1 **Modeling of Dynamic Recrystallization Kinetics in Ce containing Mg alloys**

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8 **Abstract**

9 ZK60 alloys are known to have high mechanical strength relative to other Mg alloys. Composition variations in  
10 precipitate and solute content of ZK60 Mg alloys, with Zn variations and Ce substitutions, allows for the formation of  
11 higher melting point precipitates, impact dynamic recrystallization (DRX) behavior, microstructure, and mechanical  
12 properties. Creating constitutive models of the DRX process in various Mg alloys can help guide processing to  
13 efficiently create products with desirable microstructures. In this work, hot compression testing at various strain rates  
14 and temperatures was carried out. It has been shown that greater peak true stresses are required for DRX in alloys  
15 processed at lower temperatures and higher strain rates. Moreover, increases in Zn and Ce content increase the stress  
16 that the microstructure can absorb before DRX starts. Finally, Electron Backscattered Diffraction mapping shows how  
17 texture is decreased by DRX, as compared to the as-received conditions, and how DRX was more advanced for low  
18 Zr, low strain rate conditions, consistently with the developed model. Based on these experimental results, a  
19 constitutive model to quantify the relationship between the Zener-Hollomon parameter and peak stress was developed.  
20 The model showed to reflect the experimentally obtained results accurately.

21 **1. Introduction**

22 ZK60 alloys are known to have high mechanical strength relative to other Mg alloys [1]. Low melting point  
23 precipitates, such as Mg<sub>2</sub>Zn, can cause incipient melting during thermomechanical processing if temperatures are too  
24 high [2]. The substitution of rare earth elements, such as Ce, for Zn allows for the formation of higher melting point  
25 precipitates [3], improving elevated temperature properties and higher temperature processing windows [4]. Ce  
26 additions are also known to enhance corrosion resistance, improve creep resistance, and ultimately accelerate dynamic  
27 recrystallization (DRX) [5]. Conventional Mg alloys exhibit improved formability at higher temperatures when non-  
28 basal slip systems are activated, further facilitating dislocation slip [6,7]. In metal deformation processing, DRX is an  
29 important process which significantly impacts the resultant microstructure and mechanical properties, through grain  
30 refinement and texture weakening. [2,8] There is limited knowledge about the formation of preferred crystallographic  
31 orientations in Mg alloys due to the possible deformation mechanisms, such as continuous DRX (CDRX),  
32 discontinuous DRX (DDRX) and twin DRX [9]. The CDRX mechanism is known to occur via cross-slip during  
33 compressive deformation [10], DDRX occurs by prior grain boundary protrusion toward surrounding, high dislocation  
34 density grains, while twin DRX occurs at twin intersections or fragments. In alloys with rare earth elements, particle-

35 stimulated nucleation (PSN) in the vicinity of second phase particles has also been shown to occur [11]. Texture  
36 weakening in Mg alloys has been associated with PSN, as well as other phenomena including particle pinning, solute  
37 drag, and heterogeneous deformation promoting shear band formation [11]. Fu et al. [12] studied DRX mechanisms  
38 in Mg-Zn-Mn alloys micro-alloyed with Sm, La, and/or Ca and determined that DDRX and PSN mechanisms  
39 weakened the basal texture. The occurrence of CDRX was observed by Xu et al. [13] in a Mg-13Gd-4Y-2Zn-0.5Zr  
40 (wt%) alloy during compression-torsion deformation at 450°C.

41 Creating constitutive models for DRX as a function of processing parameters (e.g.  $\varepsilon$ ,  $\dot{\varepsilon}$ ,  $T$ ) in various Mg alloys can  
42 help guide efforts to design thermo-mechanical processing routes to create desired microstructures. For Mg alloys,  
43 flow stresses increase with strain due to work hardening until they reach a peak stress,  $\sigma_p$ , as DRX occurs after a  
44 critical strain is reached,  $\varepsilon_c$  [14]. In this work, the peak stress, determined from measured flow curves, was correlated  
45 to the deformation strain rate and temperature through the use of the Zener-Hollomon parameter ( $Z$ ), which has been  
46 used in previous studies of DRX kinetics in other alloy systems, including Mg alloys. [15–19] Given the current  
47 interest in Mg alloys with rare earth elements, it is especially interesting to define constitutive models showing the  
48 relationship between the peak stress and  $Z$  for these alloys. Five different modified ZK60 compositions, containing  
49 different levels of Zn and Ce were subjected to high temperature deformation at different temperatures and strain rates.  
50 The effect of temperature and strain rate on flow stress behavior and texture was also evaluated.

## 51 **2. Experimental**

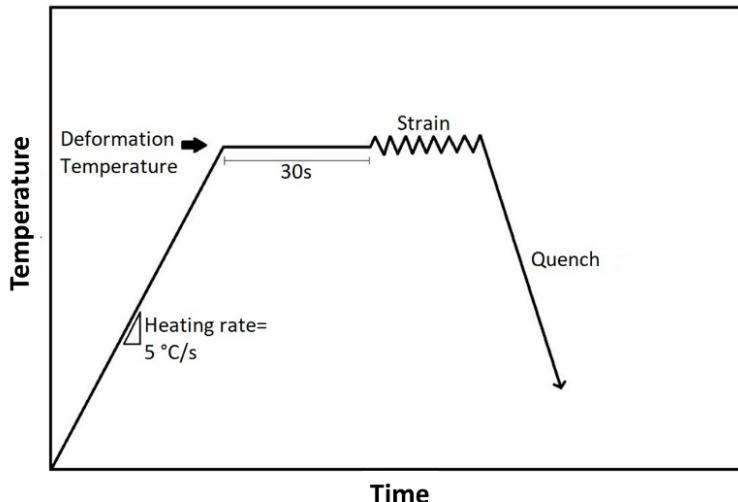
52 The alloys used for this study are modified ZK60 (Mg-Zn-Zr) compositions, with deliberate variations in Zn levels  
53 and a replacement of Ce for Zr in various amounts. ZK60 is an extrusion alloy that experiences precipitation hardening  
54 and exhibits finer microstructures after solidification, hot working, or annealing processes. The composition matrix is  
55 separated into three levels of Zn, varying the hypothesized solute content, and three levels of Ce, varying the  
56 hypothesized precipitate volume, as can be observed in Table 1. The variations in Zn and Ce within these alloys  
57 theoretically result in changes to second phase insoluble particle type, volume fraction, and distribution. The pinning  
58 levels, calculated by Pandat's CompuTherm using the 2023 Mg database and included in the mentioned table, were  
59 provided by Mag Specialties Inc. ZK60 is ideally suited for this study, because it is a commercial alloy with insoluble  
60 Mg-Zr precipitates that influence DRX kinetics and texture. The samples for this study were machined into small  
61 cylindrical compression specimens with a diameter of 10 mm and a height of 15 mm, with the cylinder height along  
62 the extrusion direction.

63 Table 1. Composition matrix for five alloys studied, varied by pinning phases and solute level

|                    | <b>Complete Solid Solution</b>   | <b>~ 1% pinning phases</b>                | <b>~ 3% pinning phases</b>                |
|--------------------|----------------------------------|---|---|
| <b>Low solute</b>  | -                                | <b>Alloy LZ-0.4Ce</b><br>Mg-1.40Zn-0.38Ce | -   |
| <b>Med solute</b>  | -                                | <b>Alloy MZ-0.4Ce</b><br>Mg-3.52Zn-0.38Ce | -   |
| <b>High solute</b> | <b>Alloy HZ-0Ce</b><br>Mg-4.21Zn | <b>Alloy HZ-0.1Ce</b><br>Mg-5.26Zn-0.12Ce | <b>Alloy HZ-0.3Ce</b><br>Mg-6.78Zn-0.31Ce |

64 Electron backscatter diffraction (EBSD) was completed on all alloys and processing conditions. After compression  
65 testing, each sample was mounted, ground, and polished to  $0.05\text{ }\mu\text{m}$  colloidal silica and etched after each polishing  
66 step in a solution of 4.2g picric acid, 70 mL ethanol, 10 mL glacier acetic acid, and 10 mL deionized water, for 10 s  
67 [20]. The polished surface for these samples was parallel to the extrusion direction, for the as-received material, and  
68 the compression direction, for the deformation samples. Additionally, all as-received conditions were also EBSD-  
69 scanned to use as a reference. EBSD mapping analysis was performed with a 20 kV electron beam, 18 mm working  
70 distance, and  $2\text{ }\mu\text{m}$  step size. Each inverse pole figure (IPF) map was processed with Neighbor Pattern Averaging &  
71 Indexing (NPAR) in the EDAX® Orientation Imaging Microscopy (OIM™) software.

72 Uniaxial compression tests on small cylindrical samples of each of the five alloys was conducted on a Gleeble 3500  
73 thermal-mechanical simulator. All samples were compressed to a final true strain of 0.8 and were deformed at  
74 approximate engineering strain rates of 0.001, 0.01 and  $0.1\text{ s}^{-1}$  at either 350 or 400 °C. One set of thermocouples was  
75 welded on the surface at half height of each sample and used to monitor temperature throughout the test. The samples  
76 were lubricated at the surface of each anvil with layers of Ni paste and flexible graphite. Each sample was heated at 5  
77 °C/s under force control to the deformation temperature (350 or 400 °C), held in displacement control for 30 s to  
78 ensure the temperature throughout the sample was homogenous, deformed to approximately 0.8 true strain and  
79 quenched with compressed air (Figure 1). Load-displacement data was obtained from the compression tests and  
80 converted to true stress-true strain using standard conversion equations. This data gives way to analysis of flow  
81 behavior and microstructural characterization.



82  
83 Figure 1. Gleeble testing schematic, performed at deformation temperatures of 350 °C or 400 °C and strain rates of  
84  $0.001\text{ s}^{-1}$ ,  $0.01\text{ s}^{-1}$  and  $0.1\text{ s}^{-1}$ .

85       3. **Results and discussion**

86       3.1. **Effect of DRX on flow behavior and DRX kinetics modeling**

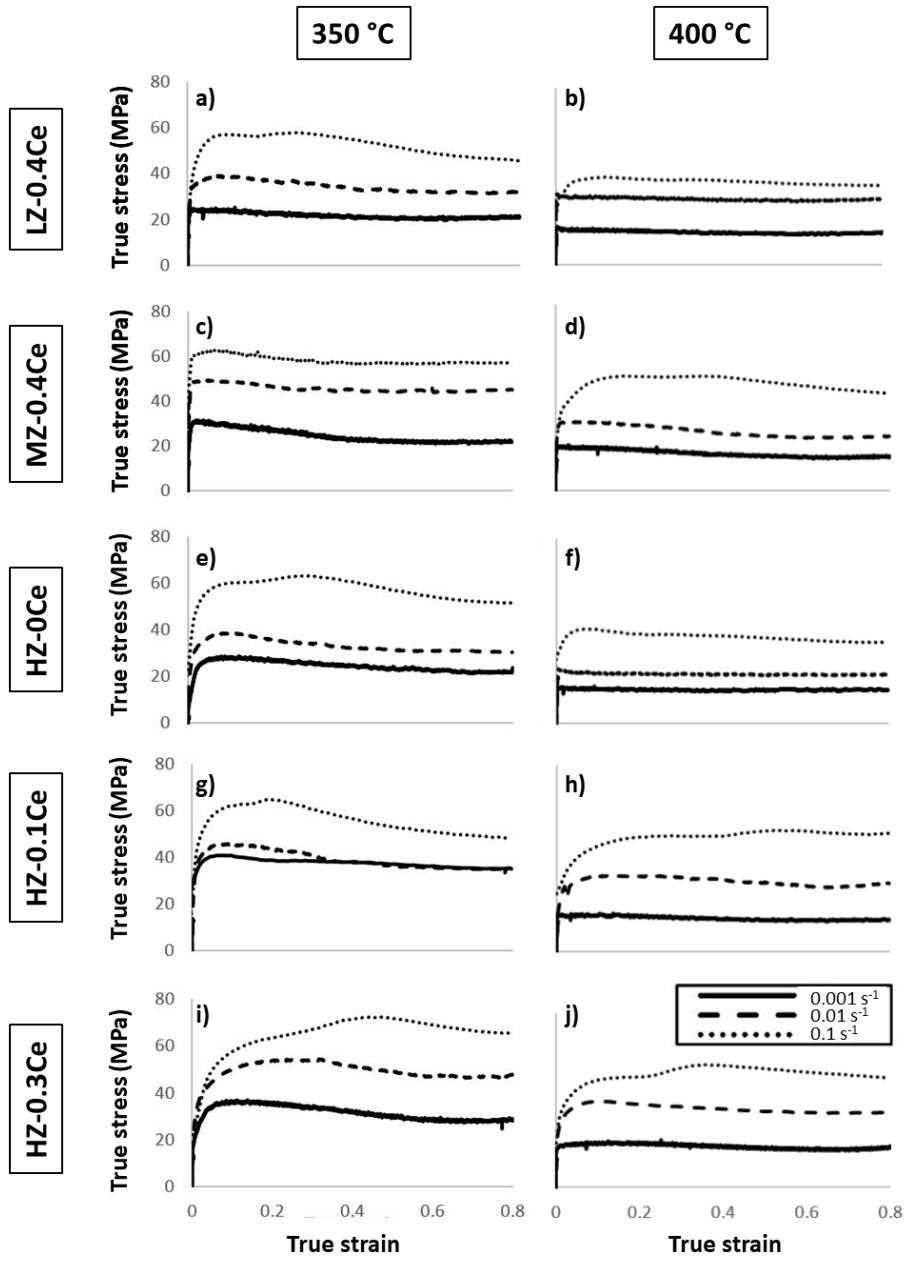
87 True stress-strain curves for all five alloys tested at all processing conditions are presented in Figure 2. A flow stress  
88 curve is normally separated by a work-hardening stage, transition stage, softening stage and steady stage. In general,  
89 curves in Figure 2 increase to a maximum flow stress, called peak stress ( $\sigma_p$ ) and then decrease to a steady state. The  
90 onset of DRX is known to happen at a critical stress ( $\sigma_c$ ), lower than  $\sigma_p$ , which is achieved at earlier strain stages.  
91 Curves represent how work softening is more noticeable at higher strain rates and at lower temperatures.

92 In this work, the relationship between the Zener-Hollomon parameter  $Z$  and the peak stress in flow stress curves  $\sigma_p$   
93 was calculated for the five alloys of study. To obtain such relationship for each alloy of study, the true stress-true  
94 strain curves shown in Figure 2 are used to first calculate both  $\sigma_c$  and  $\sigma_p$  values [21]. To do so, a third order polynomial  
95 is fit to each true stress-strain curve up to the peak stress. The used polynomial function, which effectively fits data  
96 with prolonged and multiple peaks, is shown in Equation 1 [17],

$$\theta = A\sigma^3 + B\sigma^2 + C\sigma + D \quad (1)$$

97 where  $\theta = \frac{d\sigma}{d\varepsilon}$  and constants A, B, C and D allow for calculations of certain DRX conditions. When this equation is  
98 differentiated, as shown in Equation 2 [17],

$$\frac{d\theta}{d\sigma} = 3A\sigma^2 + 2B\sigma + C \quad (2)$$



99

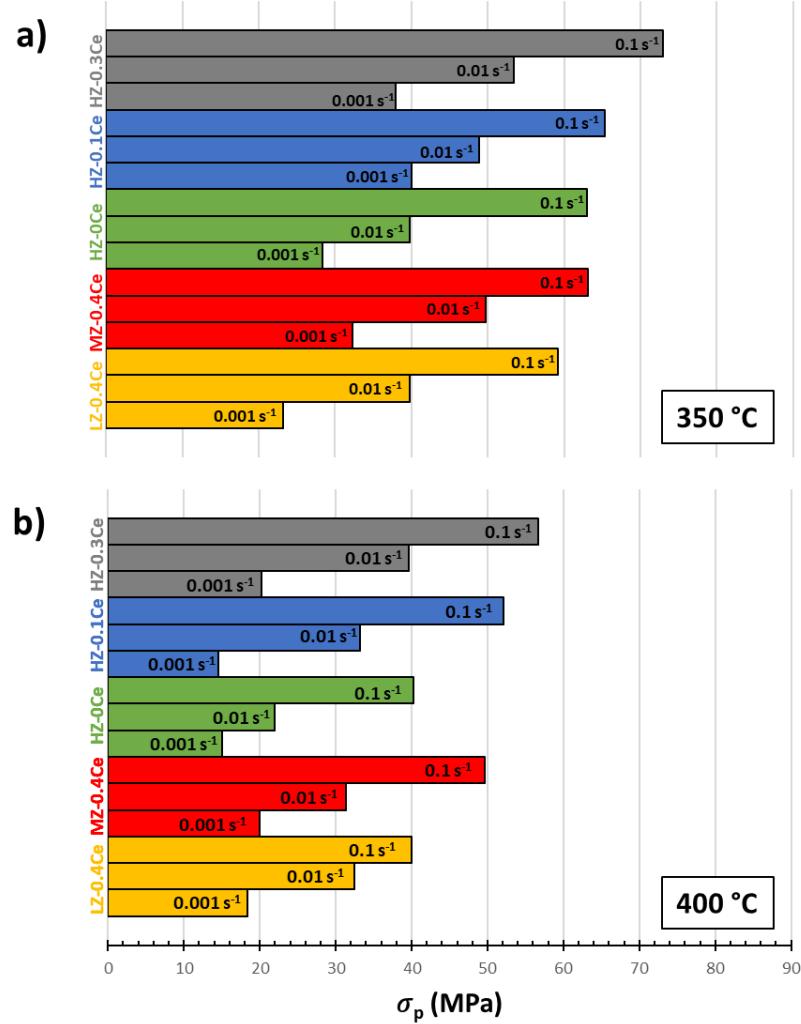
100 Figure 2. Flow curves of the five modified ZK60 alloys varying by both temperature and strain rate.

101 The peak stress can be calculated as the stress value for which the derivative is zero. The calculation of critical stress  
 102  $\sigma_c$  according to the derivative can be done as shown in Equation 3 [17]:

$$\frac{d^2\theta}{d\sigma^2} = 0 \rightarrow 6A\sigma_c + 2B = 0 \rightarrow \sigma_c = \frac{-B}{3A} \quad (3)$$

103 The fitted functions for each alloy, temperature and strain rate can be found in the Table S1 of Supplementary Material,  
 104 as well as the values for  $\sigma_c$  and  $\sigma_p$ . Note that  $\sigma_c$  increases linearly with  $\sigma_p$ , which has been previously reported in the  
 105 literature for steels [17], proving that both values can be effective at showing trends with respect to DRX kinetics.

106 Figure 3 includes the effect of alloy content, temperature and strain rate on  $\sigma_p$ , displaying that increases in Zn and Ce  
 107 content increase the stress that the microstructure can absorb before DRX starts. With respect to the effect of  
 108 temperature, it can be seen that a greater true stress is required for DRX in alloys processed at lower temperatures and  
 109 higher strain rates.



110

111 Figure 3. Influence of alloy composition and strain rate on  $\sigma_p$  at 350°C (a) and 400°C (b) of modified Mg-Zn-Ce  
 112 alloys.

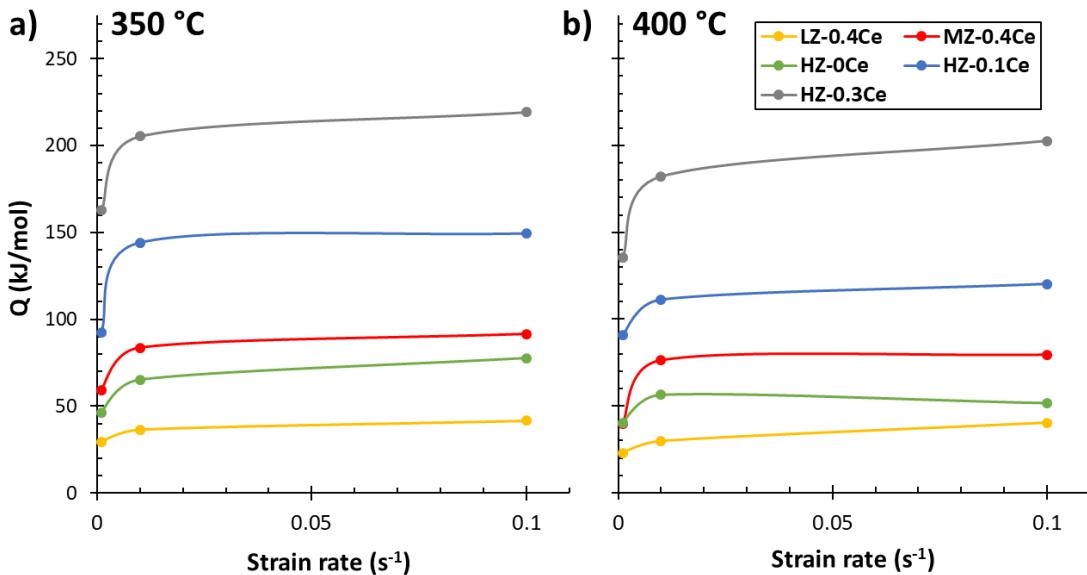
113 Subsequently, each  $\theta/\sigma$  polynomial relation and associated  $\sigma_c$  are tabulated and utilized to determine Z and  
 114 deformation activation energy (Q) by Equations 4-6 [17],

$$\dot{\varepsilon} = A_1 \sigma^{n_1} = A_2 \exp(\beta\sigma) = A(\sinh(\alpha\sigma))^n \exp\left(-\frac{Q}{RT}\right) \quad (4)$$

$$Z = \dot{\varepsilon} \exp\left[\frac{Q}{RT}\right] = A(\sinh(\alpha\sigma))^n \quad (5)$$

$$Q = R \left[ \frac{\partial \ln \epsilon}{\partial \ln(\sinh(\alpha\sigma))} \right]_T \cdot \left[ \frac{\partial \ln \sinh(\alpha\sigma)}{\partial \left( \frac{1}{T} \right)} \right]_{\epsilon} \quad (6)$$

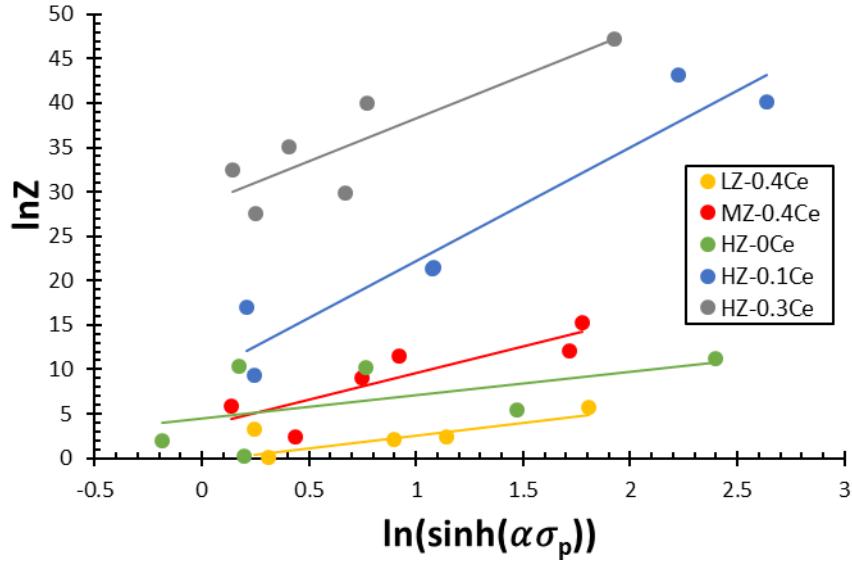
115 where R is the gas constant (8.314 J/mol K),  $\sigma$  is flow stress, T is the deformation temperature and n,  $A_1$ ,  $\beta$ ,  $A_2$ , and  
 116  $\alpha$  are material constants. The value of  $n_1$  is obtained from the linear regression of  $\ln \dot{\epsilon} - \sigma_p$  using Equation 4 [17], while  
 117 the values of  $\beta$  are determined by the slope of the linear regression of  $\ln \dot{\epsilon} - \ln \sigma_p$ . The values of  $n_1$ ,  $\beta$ , and  $\alpha$  values  
 118 ( $\alpha = \beta/n_1$ ) are included in Table S2 of Supplementary Material. From these values, one can calculate the linear slopes  
 119  $\left[ \frac{\partial \ln \epsilon}{\partial \ln(\sinh(\alpha\sigma))} \right]_T$  and  $\left[ \frac{\partial \ln \sinh(\alpha\sigma)}{\partial \left( \frac{1}{T} \right)} \right]_{\epsilon}$ , enabling to calculate Q as defined in Equation 6. The Q value for each  $\dot{\epsilon}$  and T is  
 120 presented in Supplementary Material, Table S1, and shown graphically in Figure 4. The obtained values lie in the  
 121 range 25-225 kJ/mol, showing a significant variability with respect to the activation energy obtained for pure Mg (135  
 122 kJ/mol) [22] and for the commercial ZK60 alloy (115-153 kJ/mol) [22-24]. Compositional changes of the alloys of  
 123 study, with respect to these two references, are most likely the reason of such a Q variability. Q increases with Zn and  
 124 Ce contents, denoting that DRX is impeded by the addition of these elements. Samples with higher Zn content, as well  
 125 as higher Ce content, have slower DRX and require more energy for the process to begin. Moreover, greater  
 126 dependence on Ce additions is observed than for Zn additions. With respect to the effect of pinning phases, it seems  
 127 that, on average, a higher fraction of pinning phases (as classified in Table 1) leads to an increase of the activation  
 128 energy Q.



129  
 130 Figure 4. Calculated Q values vs. strain rate at 350°C (a) and 400°C (b).  
 131 After Q-values are known, Z values are calculated based on Equation 5. These calculated Zener-Hollomon parameter  
 132 values are shown in Table S2 of Supplementary Material. Z-values describe the effect of both strain rate and  
 133 temperature on flow stress and DRX. Lower Z values lead to higher DRX rates and fractions with less deformation

134 degree [15]. Finally, Equation 7 was fitted by using the linear relationship shown in Figure 5, where the slope  
 135 represents stress exponent,  $n$ , and the intercept is the constant  $A$ . The fitted parameters can be found in the  
 136 Supplementary Material, in Table S2, while the fitted expressions of  $Z$  as a function of peak stress can be found in  
 137 Table 2.

$$\sigma_p = \frac{1}{\alpha} \left( \left( \frac{Z}{A} \right)^{\frac{1}{n}} + \left( \frac{Z}{A} \right)^{\frac{2}{n}} + 1 \right)^{\frac{1}{2}} \quad (7)$$



138  
 139 Figure 5. Relationship of flow stress and the Zener-Hollomon parameter at each processing condition. The linear  
 140 regression of each alloy dataset provides the stress exponent,  $n$ , and constant  $A$ .

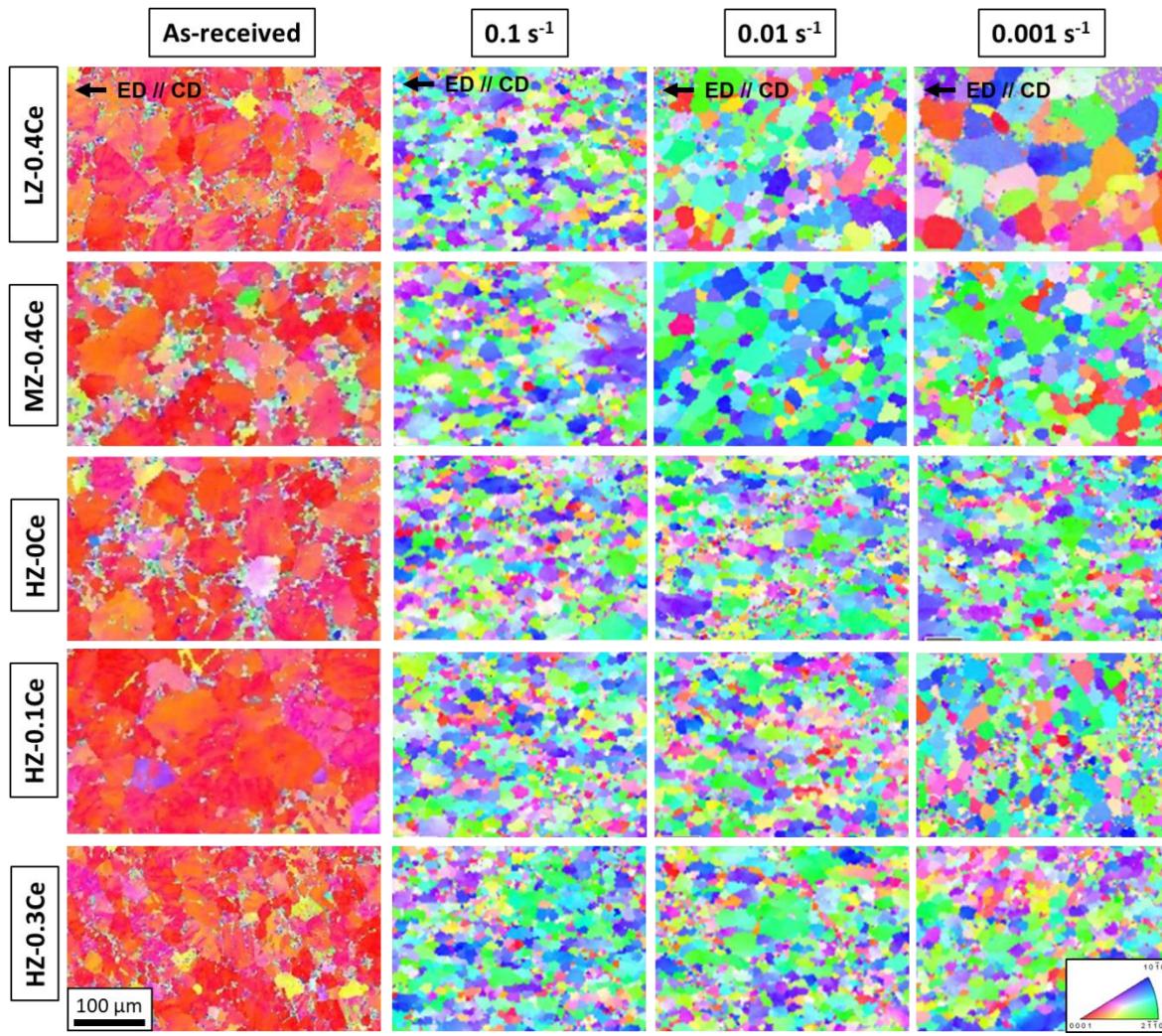
141 Table 2. Function of Zener-Hollomon parameter ( $Z$ ) and flow stress ( $\sigma_p$ ) as linear regression equation for each alloy.  
 142 The slope represents stress exponent,  $n$ , and the intercept is constant  $A$ .

| Alloy    | lnZ- $\ln(\sinh(\alpha\sigma_p))$                    |
|----------|--|
| LZ-0.4Ce | $\ln Z = 2.8711 \ln(\sinh(\alpha\sigma_p)) - 0.2696$ |
| MZ-0.4Ce | $\ln Z = 6.0024 \ln(\sinh(\alpha\sigma_p)) + 3.6255$ |
| HZ-0Ce   | $\ln Z = 9.6003 \ln(\sinh(\alpha\sigma_p)) + 28.687$ |
| HZ-0.1Ce | $\ln Z = 12.766 \ln(\sinh(\alpha\sigma_p)) + 9.4806$ |
| HZ-0.3Ce | $\ln Z = 2.6505 \ln(\sinh(\alpha\sigma_p)) + 4.4968$ |

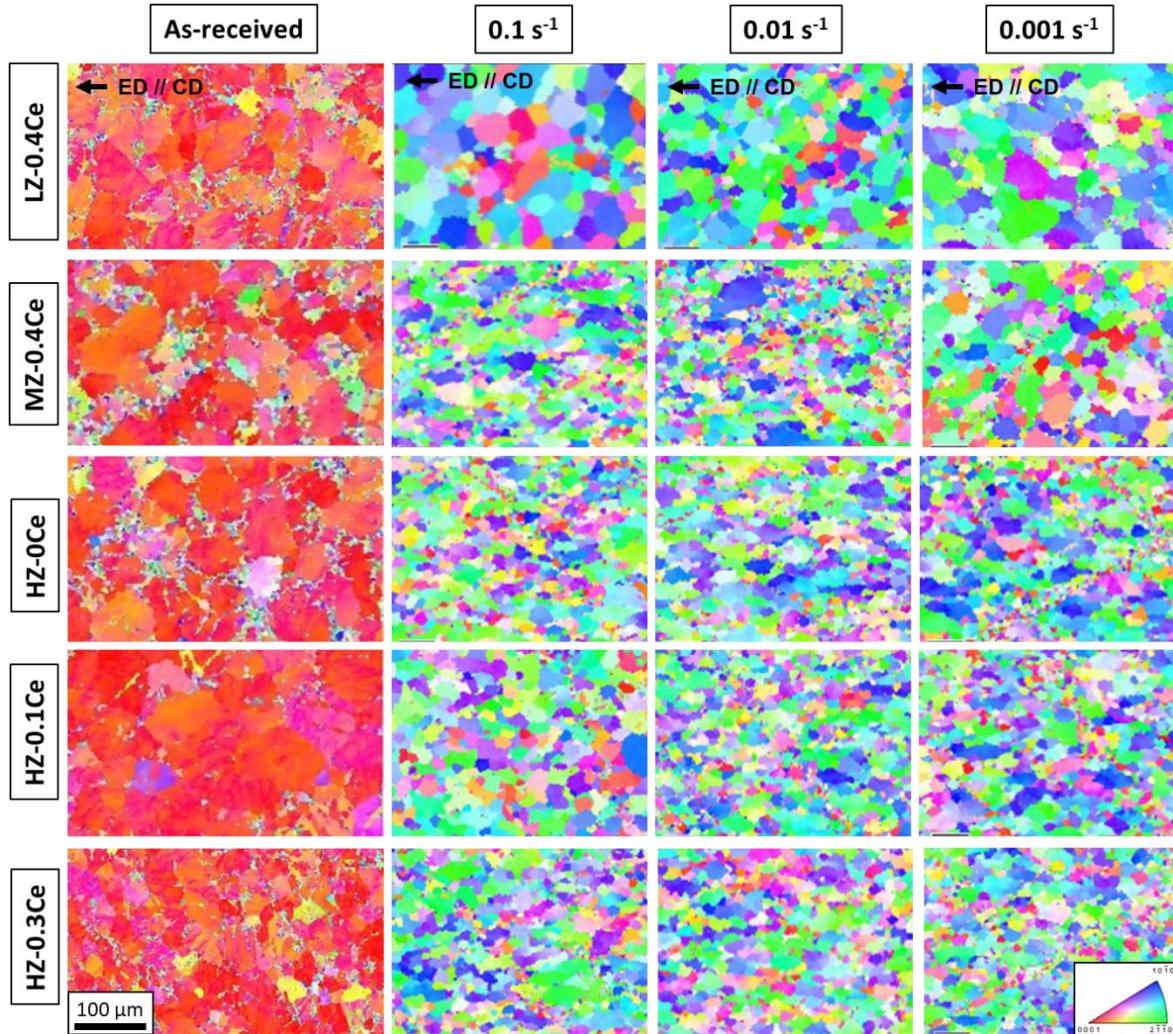
143 **3.2. Effect of DRX on microstructure**

144 Finally, the effect of DRX on texture was evaluated. Figure 6 and Figure 7 show the IPF maps corresponding to the  
 145 as-received conditions, as well as to the post-deformation structures at 350 °C (Figure 6) and 400 °C (Figure 7).  
 146 Coloring corresponds to the out of plane directions; perpendicular to the extrusion direction (ED) and to the  
 147 compression direction (CD) –both of them defined on the top subfigures. It can be observed how the as-received  
 148 samples showed a predominant basal  $\approx <0001> \perp$  ED fiber, in good agreement with previous works on cold extrusion

149 texture in Mg alloys [25,26]. Post-deformation samples are fully recrystallized, based on their grain morphology and  
 150 texture, regardless of the deformation temperature and strain rate. Note that Mg samples with a similar initial texture  
 151 and compressed along the same direction that the one used in this work have shown a texture characterized by a  
 152  $\approx<0001> // CD$  fiber [27], in good agreement with the observed IPF colors. Moreover, results show how reduced  
 153 amounts of Zr or slower strain rates cause larger post-DRX grain size. These results indicate that all microstructures  
 154 were fully recrystallized after the applied deformations. Moreover, results also show that DRX was completed for  
 155 shorter times and grain growth was more advanced for low Zr, low strain rate conditions. These trends are consistent  
 156 with the previously obtained activation energy results, which showed that samples with higher Zn content, as well as  
 157 those deformed at a higher strain rate, have slower DRX and require more energy for the process to begin. Consistently,  
 158 previous works have shown that recrystallized grain size increases with decreasing Z values [27].



159  
 160 Figure 6. Representative IPF maps at strain rates  $0.1 \text{ s}^{-1}$ - $0.001 \text{ s}^{-1}$  and  $350 \text{ }^{\circ}\text{C}$  for each alloy, where coloring  
 161 corresponds to the direction out of plane. The extrusion direction (ED), parallel to the compression direction (CD),  
 162 is represented by arrows on the top subfigures.



163

164 Figure 7. Representative IPF maps at strain rates  $0.1 \text{ s}^{-1}$ - $0.001 \text{ s}^{-1}$  and  $400^\circ\text{C}$  for each alloy, where coloring  
 165 corresponds to the direction out of plane. The extrusion direction (ED), parallel to the compression direction (CD),  
 166 is represented by arrows on the top subfigures.

167 **4. Conclusions**

168 The DRX kinetics during compression testing at elevated temperatures and resultant microstructural properties in a  
 169 set of designed Mg-Zn-Ce alloys were investigated in this study to give further insight into the effect of varying solute  
 170 and precipitate content. The following conclusions were determined.

171 1. Samples with higher Zn content, as well as higher Ce content, have slower DRX and require more energy for  
 172 the process to begin. This trend is directly associated to Q-values, which increase with Zn and Ce contents,  
 173 denoting that DRX is impeded by the addition of these elements.

174 2. Greater dependence of Q on Ce additions is observed than for Zn additions. Both  $\sigma_p$ , determined via flow  
 175 curves, and  $\sigma_c$ , determined through calculations, are an accurate representation of DRX initiation.

176 3. The determined constitutive equations for the DRX process, where Z-values are calculated as a function of  
177 peak flow stress for the alloys of study, can be used to determine industrially relevant processing parameters,  
178 given different processing conditions.

179 4. Lower Zr, low strain rate conditions showed a more advanced DRX state, as its kinetics were faster.

180 **Acknowledgements**

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183 Colorado School of Mines. Mag Specialties, Inc. supplied and designed all alloys evaluated during the project  
184 duration.

185 **Conflicts of interest**

186 On behalf of all authors, the corresponding author states that there is no conflict of interest.

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