

1 THE LIMITS OF LOW TEMPERATURE SUPERPLASTICITY IN AA 5083 PRODUCED BY  
2 ACCUMULATIVE ROLL BONDING (ARB)

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9

10 **ABSTRACT**

11 Accumulative roll bonding (ARB) is a severe plastic deformation technique used to produce  
12 microstructures conducive for low temperature superplasticity. This processing technique not only produces sub-  
13 micron grains but also non-equilibrium grain boundaries with increased grain boundary diffusivity. Low  
14 temperature superplasticity ( $225 < T < 250^{\circ}\text{C}$ ) was achieved with activation energies between 40 and 80 kJ/mol;  
15 significantly lower than what is commonly reported for grain boundary sliding limited by grain boundary diffusion  
16 (84 kJ/mol). This reduction in activation energy is a direct result of non-equilibrium grain boundary development  
17 which allows for enhanced diffusion rates at substantially lower temperatures. Activation energies increased above  
18 100 kJ/mol after 15 minutes of thermal exposure between 225 and 250°C, providing further evidence that low  
19 temperature superplasticity relies heavily on the metastable grain boundary structure produced by ARB. The  
20 cavitation void area fraction for optimal low temperature superplastic conditions was well below 1% for thinning  
21 ratios ( $t_o/t_f$ ) around 2.0 ( $\varepsilon=0.75$ ,  $e=1.12$ ), which is far superior compared to the  $\approx 5\%$  achieved in conventionally  
22 processed material ( $T=500^{\circ}\text{C}$ ) strained to similar levels. This work not only provides a framework for the  
23 temperature and strain rate limits of superplasticity of submicron grained material, but also investigates critical  
24 parameters pertinent to the forming industry, including damage accumulation, strain localization and thermal  
25 stability of microstructure.

26  
27 **INTRODUCTION**

28 Developments in superplastic sheet forming have led to the production of complex geometries from  
29 monolithic sheets that would be otherwise impossible using traditional forming methods such as stamping, bending  
30 or drawing [1,2]. Superplastic forming has the additional benefit of reducing the overall numbers of parts and  
31 fasteners involved in an assembly, thus reducing the total weight [1,2]. A prime example of this is seen in the 2018  
32 Bentley GT Continental, the first automobile to have all of its outer paneling produced with superplastic forming,  
33 contributing to an overall 85 kg weight reduction in the body structure [3]. Similar adaptations are being made in  
34 the aerospace industry for non-structural enclosures [1,4]. Despite these benefits, superplastic forming possesses its

35 own limitations. Superplasticity typically requires high forming temperatures and low strain rates which result in  
36 high operating costs and long cycle times; this limits the profitability of superplastic forming to low volume, high  
37 value-added part manufacturing [1,4-6]. Novel thermo-mechanical processing techniques have demonstrated low  
38 temperature superplasticity [7,8], which has the potential to reduce costs associated with forming conventional  
39 superplastic alloys such as AA 5083.

40 AA 5083 (Al-4.4Mg-0.7Mn) is a work-hardenable alloy which makes use of Mg solute additions and fine  
41 dispersoids to reduce the propensity for recovery and to retain strain energy [6,9]. Recrystallization heat treatments  
42 after deformation produce a refined microstructure with grains on the order of  $10 \mu\text{m}$ , which exhibits  
43 superplasticity at temperatures around  $500^\circ\text{C}$  and strain rates on the order of  $1 \times 10^{-4} \text{ s}^{-1}$  [5,6,9,10]. Superplastic  
44 strain rates can be modeled by the empirical relationship

$$45 \quad \dot{\varepsilon} = A \frac{D G b}{k T} \left[ \frac{b}{d} \right]^p \left[ \frac{\sigma}{G} \right]^{1/m} \quad (1)$$

46 where  $A$  is a material constant,  $D$  the diffusivity of the rate-limiting deformation mechanism,  $G$  the elastic modulus,  
47  $b$  the burgers vector,  $k$  the Boltzman constant, and  $d$  the grain size [11]. The exponents  $p$  and  $m$  are the grain size  
48 exponent and strain rate sensitivity, respectively. Superplasticity generally occurs due to grain boundary sliding  
49 with a strain rate sensitivity around 0.5 [5]. The direct relationship between grain size and temperature suggests  
50 grain refinement can lead to grain boundary sliding at lower temperatures. Alternatively, it may be possible to  
51 achieve higher strain rates at the same temperature. Either of these outcomes has the potential to reduce costs  
52 associated with superplastic sheet forming.

53 Methods of grain refinement in aluminum alloys, particularly AA 5083, have gained notable interest over  
54 the past few decades. Severe plastic deformation (SPD) techniques such as high pressure torsion (HPT) [12], equal  
55 channel angular pressing (ECAP) [13] and ball-milling [14] are a few examples used to produce grain sizes on the  
56 order of a few hundred nanometers. These processing methods are limited by their inability to produce bulk  
57 material relevant to industrial-scale forming processes. Continuous deformation processes, such as severe rolling,  
58 can produce bulk monolithic material using conventional processing equipment. Severe warm rolling and  
59 accumulative roll bonding (ARB) are two methods that have been used frequently to produce ultra-fine grained  
60 material [7,8,15].

61 Severe warm rolling of AA 5083 has been used to produce material with strains as high as 4, resulting in  
62 subgrains on the order of 500 nm [7]. Warm rolling is conducted without intermediate static annealing to suppress  
63 recovery and recrystallization [7]. The resultant material has been shown to exhibit superplastic tensile elongations  
64 on the order of 400% with temperatures and strain rates of  $230^\circ\text{C}$  and  $1 \times 10^{-3} \text{ s}^{-1}$ , respectively [16]. Despite the  
65 potential for low temperature superplasticity, this process has its own limitations. To achieve a final strain of 4 in a  
66 sheet geometry, the starting material must be on the order of a few centimeters thick. Moreover, employing a series

67 of rolling passes with different reductions and temperatures increases the susceptibility to undesirable process  
68 variability that would be difficult to minimize.

69 Accumulative roll bonding (ARB) is an alternative to severe warm rolling capable of producing ultra-fine  
70 grained sheet material [8,17]. This process involves repeated stacking and roll bonding of two sheets with 50%  
71 reduction passes. After each pass the roll-bonded sheet is nominally twice as long and half as thick as the starting  
72 geometry; the sheet is then sectioned in half and the process repeated. This process is advantageous over severe  
73 warm rolling in that the same reduction is used for each pass, aiding in modeling efforts and process consistency.  
74 AA 5083 processed with 5 cycles of ARB ( $\varepsilon=4$ ) leads to grains on the order of 250 nm and has been shown to  
75 exhibit superplastic elongations as high as 200% for temperatures of 200°C and strain rates of  $1\times10^{-3}$  s<sup>-1</sup> [18].

76 Despite early success in demonstrating low temperature superplasticity, additional work is needed to  
77 understand the relationship between severely deformed microstructures and mechanical properties. Stress-strain  
78 behavior during superplastic deformation is markedly different for samples produced by severe warm rolling as  
79 opposed to ARB [16,18]. One possible explanation for this discrepancy is effect of strain path on thermal stability  
80 of microstructure due to differences in high angle grain boundary (HAGB) fraction [19]. Furthermore, while  
81 previous studies have suggested optimal parameters for superplasticity [13,16], these parameters have not been  
82 finalized or rationalized in terms of acting deformation mechanisms. Previous reports on superplasticity of sub-  
83 micron grained microstructures are further confounded by a lack of standardized testing parameters, such as heating  
84 rate and soak duration of samples prior to elevated temperature testing [18,20]. Little, if any, discussion has taken  
85 place concerning the effects of prolonged thermal exposure on microstructural stability during superplastic  
86 forming. Lastly, microstructural characterization after forming, including final grain size, cavitation damage and  
87 strain uniformity, has yet to be fully explored in the context of optimizing a cost-saving low temperature  
88 superplastic response.

89 Previous work by the authors lead to the development of a reliable and consistent ARB process to produce  
90 bulk samples without deleterious edge cracking [17]. This allowed for thorough investigation of the thermal  
91 stability of AA 5083 microstructures produced with ARB to suggest possible temperature limits for low  
92 temperature superplasticity [15]. The present work continues to investigate the industrial applicability and  
93 limitations of low-temperature superplasticity through a holistic examination of the acting deformation  
94 mechanisms, optimal temperature and strain rate combinations and microstructural damage that occurs during  
95 uniaxial tensile testing.

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99 **METHODOLOGIES**

100 Plates of 3.2 mm thick AA5083-H116 were used as starting material with composition listed in Table 1.  
101 Plates were solutionized at 500°C for 30 minutes, cold rolled with a 68% reduction to 1 mm and then statically  
102 recrystallized at 500°C for an additional 30 minutes before being water quenched. ARB was conducted using single  
103 50% reduction passes with unlubricated rolls for a total of 5 cycles. Samples were preheated in an air furnace at  
104 250°C prior to each roll bonding cycle to reduce flow stress and encourage bonding; preheating time was limited to  
105 5 min to avoid strain recovery. Sacrificial aluminum frames as discussed in [17] were used to mitigate edge  
106 cracking associated with lateral spreading. All rolling was conducted on a two-high laboratory scale Fenn rolling  
107 mill with 133 mm rolls operating at 37 RPM. The microstructure after ARB processing had grain sizes between  
108 250 and 500 nm and a HAGB fraction around 0.8, as previously reported [15].

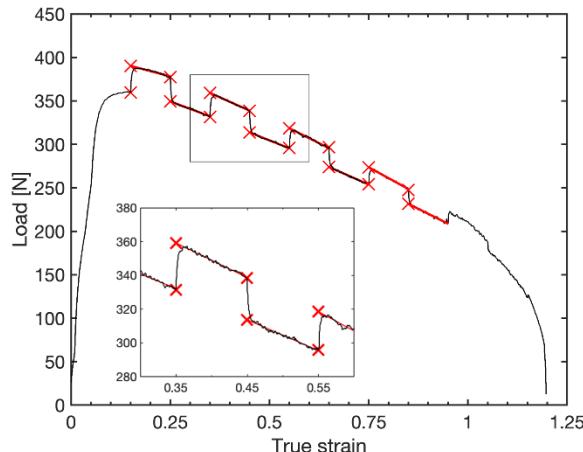
109 Table 1 Composition of as-received AA 5083 (wt. %).

Mg	Mn	Cr	Cu	Fe	Si	Ti	Zn	Al
4.32	0.44	0.06	0.04	0.30	0.11	0.01	0.07	bal

110  
111 Tensile samples were machined with a 12.7 by 6.4 mm gauge section. The gauge length was parallel to  
112 the rolling direction and had a 1.6 mm transition radius between the grip and gauge section. A gauge length smaller  
113 than that recommended by ASTM E2448 (25.4 mm) was used to minimize thermal gradients along the sample at  
114 high strains. Specialized grips were used to transfer load to the specimen shoulders and minimize errors associated  
115 with material flow [21]. Tensile testing was conducted on a screw-driven load frame equipped with a 4.4 kN load  
116 cell and pull-rods extending into a 3-zone clamshell air furnace. Samples were given 15 minutes prior to the start of  
117 each test to reach thermal equilibrium with the load train and furnace, which were held at the testing temperature.  
118 Temperature along the gauge length was found to be consistent within  $\pm 3^\circ\text{C}$  prior to the start of each test. All tests  
119 were conducted using true strain rate control by adjusting the crosshead velocity as a linear function of  
120 displacement. Strain rates ranging from  $2 \times 10^{-4}$  and  $5 \times 10^{-3} \text{ s}^{-1}$  were investigated. True strain calculations assumed  
121 constant uniform thinning and volume constancy. Frame deflection under maximum load was measured to be less  
122 than 1% of the original gauge length, and crosshead displacement was taken as a direct measure of tensile  
123 elongation.

124 Strain rate jump tests were used to determine strain rate sensitivities as shown in Figure 1. Samples were  
125 first deformed to a true strain of 0.15 before the strain rate was increased by 20%. Deformation proceeded at this  
126 increased rate for an additional 0.1 strain, at which point the strain rate was decreased back to the original rate. This  
127 cycle was repeated for every 0.1 strain increment until failure. This method was chosen to observe the evolution of  
128 strain rate sensitivity as a function of strain while minimizing the amount of microstructural evolution associated

129 with large strain rate changes [22]. The steady-state stress values corresponding to each strain rate jump were  
130 determined by extrapolating local flow data to the start of the jump.



131  
132 Figure 1 Example flow curve from a strain rate jump test (*ARBed* condition, 250°C,  $5 \times 10^{-4} \text{ s}^{-1}$ ) showing the method used to determine strain rate  
133 sensitivity,  $m$ . True strain rate is incrementally increased and decreased by 20% every 0.1 true strain until failure. Crosses mark extrapolated  
134 load values used in calculation.  
135

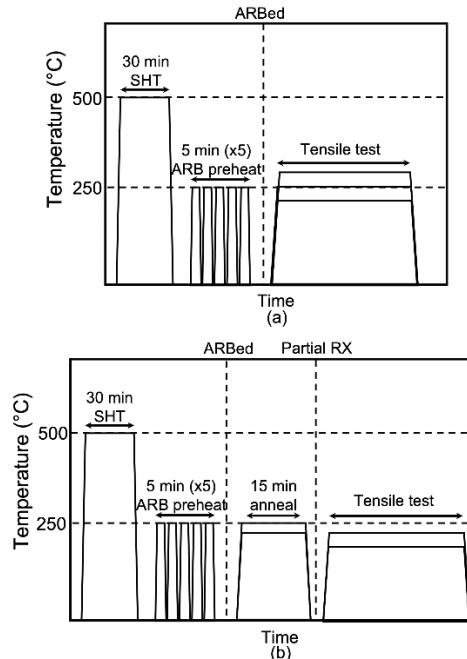
136 Electron backscatter diffraction (EBSD) analysis was performed on a JEOL 7000 JSM-7000F field  
137 emission scanning electron microscope (SEM) operating at 15 kV with an EDAX Hikari Pro detector. EBSD data  
138 was processed with EDAX's Neighbor Pattern Average and Reindexing (NPAR) software to increase Kikuchki  
139 pattern fidelity in highly strained regions and then further refined using a neighbor orientation correlation (NOC)  
140 algorithm with a cleanup level of '5', minimum confidence index of 0.1 and 2° orientation threshold. Samples for  
141 EBSD analysis were mounted in a low-temperature epoxy resin, ground and polished in incremental steps and then  
142 electropolished using Struers A2 electrolyte at 39 V for 5 seconds at room temperature.

143 Microstructural damage during uniaxial tensile testing was characterized using an image analysis routine  
144 on backscatter electron (BSE) micrographs of the longitudinal plane of samples. A contrast threshold was applied  
145 to BSE micrographs to delineate voids from the surrounding microstructure. ImageJ's Analyze Particles routine  
146 was used to measure the size and shape of voids. A resolution of 0.1  $\mu\text{m}/\text{pixel}$  was used and only voids consisting  
147 of four neighboring pixels were included in calculations. Spatial summation (e.g. along the rolling/tensile direction)  
148 of void pixels was employed to create void intensity profiles through-thickness.

149 A 15 minute static annealing heat treatment at either 240 or 250°C was given to a subset of samples to  
150 investigate the effects of thermal microstructural stability on superplastic performance. This was prior and in  
151 addition to the 15 minutes used to preheat samples to the furnace temperature. Static annealing temperatures were  
152 specially chosen to promote continuous recrystallization while avoiding deleterious grain growth that occurs above  
153 250°C [15]; subsequent tensile testing on these partially recrystallized samples was conducted at or below 250°C.  
154 The thermal processing history of these different starting microstructures is shown schematically in Figure 2. The

155 different microstructural conditions tested will hereafter be referred to as the *ARBed* (Figure 2a), *240RX* (Figure 2b)  
156 and *250RX* (Figure 2b) conditions.

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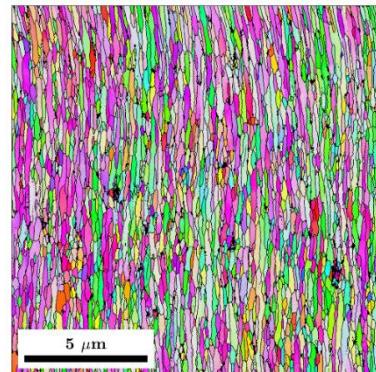
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159 Figure 2 Schematic showing thermal processing history for the (a) *AsARBed* and (b) partially recrystallized microstructures. The partially  
160 recrystallized samples (*240RX* and *250RX*) received a 15 minute static annealing heat treatment at 240 and 250°C, respectively, prior to tensile  
161 testing. Note 15 minutes of preheating time was applied to each sample immediately preceding tensile testing, regardless of previous static  
162 annealing treatments.

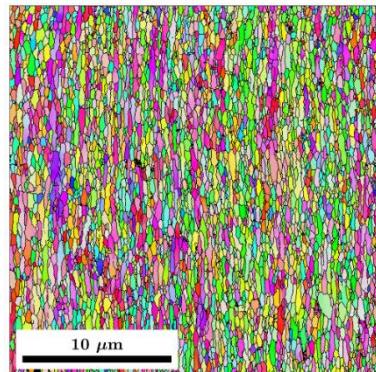
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164 Microstructures of the three different microstructural conditions preceding tensile testing are shown in  
165 inverse pole figure (IPF) maps in Figure 3. The location of these microstructures in the thermal processing history  
166 schematic (Figure 2) is designated as either *ARBed* or *Partial RX*. Short duration static annealing at low  
167 temperatures has a marked effect on the morphology of grains with a change in the elongated deformation structure  
168 after exposure at 240°C. The microstructure after 250°C exposure is similar albeit with near-equiaxed grains and  
169 evidence of grain growth. TEM micrographs shown in Figure 4 provide additional information about the  
170 dislocation density and grain boundary structure of the different microstructures. The *ARBed* condition appears to  
171 consist of grains with higher dislocation densities and only partially resolved grain boundaries. In the *240RX* and  
172 *250RX* conditions the dislocation density appears lower and grain boundaries are more distinct. This  
173 microstructural transition has been attributed to continuous dynamic recrystallization and has been previously  
174 reported by the authors in extensive detail [15].

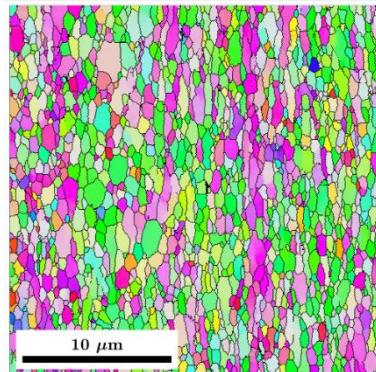
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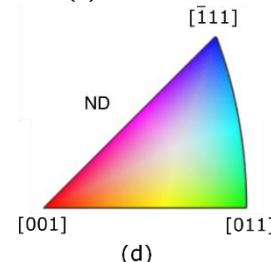
(a) ARBed



(b) 240RX



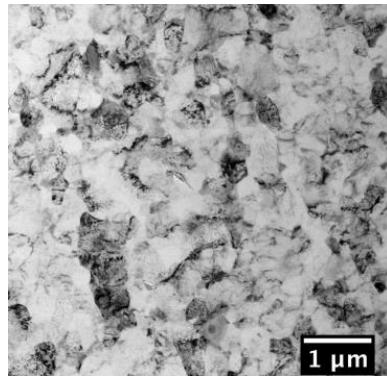
(c) 250RX



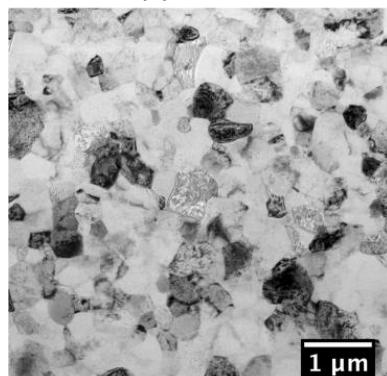
(d)

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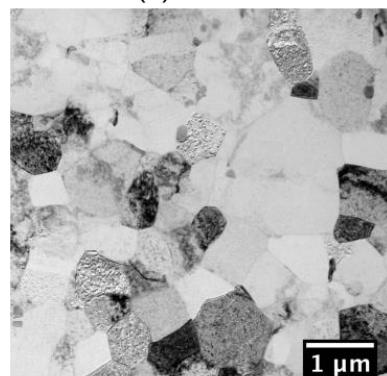
177 Figure 3 Inverse pole figure (IPF) maps of the longitudinal plane near the sample mid-thickness showing grain morphology for the (a) ARBed,  
 178 (b) 240RX and (c) 250RX conditions preceding tensile testing. Note the change in scale bar between maps. The rolling direction is vertical and  
 179 the normal direction is horizontal. Grain orientations are colored with respect to the normal direction in (d).



(a) ARBed



(b) 240RX



(c) 250RX

180

181 Figure 4 TEM brightfield micrographs from the rolling plane taken near the mid-thickness of samples showing dislocation density and grain  
 182 boundary character for the (a) *ARBed*, (b) 240RX and (c) 250RX conditions. The rolling direction is vertical and the transverse direction is  
 183 horizontal.

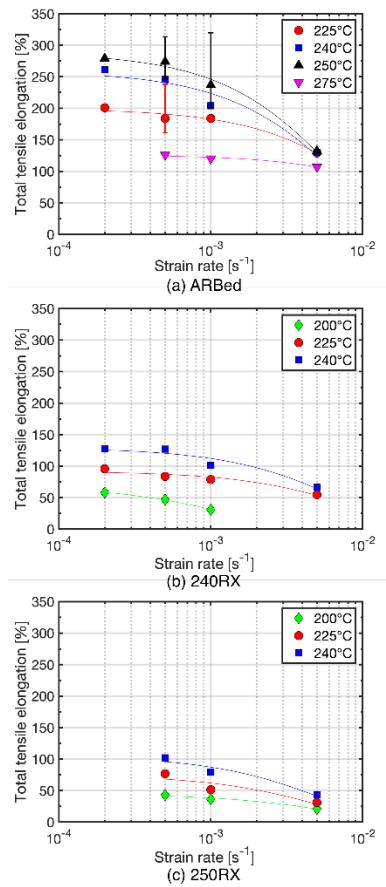
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#### 185 **EFFECTS OF PARTIAL RECRYSTALLIZATION ON SUPERPLASTICITY**

186 Total tensile elongations for the three different starting microstructures (Figures 3 & 4) tested under  
 187 various temperature and strain rate combinations are shown in Figure 5. There is a clear dependence of  
 188 microstructure on elongation to failure despite all microstructures having initial sub-micron grain sizes. The *ARBed*  
 189 microstructure achieved tensile elongations as high as 275%, whereas the 240RX and 250RX conditions only  
 190 achieved as much as 125%. In all cases, total tensile elongation increases with decreasing strain rate, with the

191 *ARBed* condition being substantially more sensitive to strain rate. Attention should be drawn to the fact that tensile  
 192 elongation does not scale linearly with strain rate; instead, the marginal increases in ductility become less with each  
 193 reduction in strain rate. This suggests some sort of trade-off between maximizing formability (total tensile  
 194 elongation) and minimizing forming cycle time (strain rate), which will be discussed in more detail in the following  
 195 sections. The depreciation of total tensile elongation with decreased strain rate is also indicative of a transition to  
 196 regime I creep [5].

197



198

199 Figure 5 Total tensile elongations for the (a) *ARBed*, (b) 240RX and (c) 250RX microstructural conditions. Error bars are used in cases where  
 200 duplicate samples were tested. Large discrepancies in duplicate samples are due to the formation of multiple diffuse necks preceding failure.  
 201 Tests were duplicated until a sample that failed with one diffuse neck was achieved for a direct comparison.

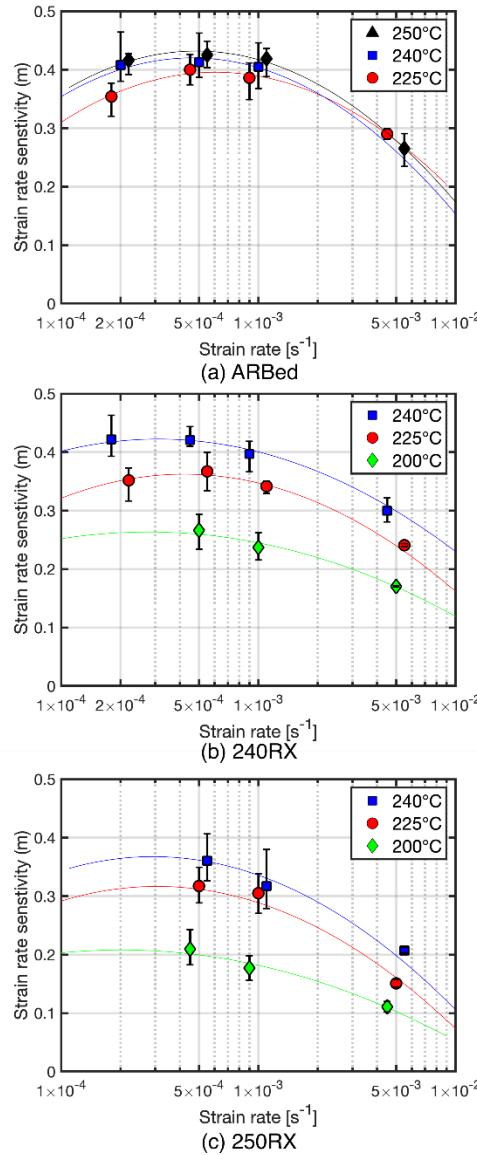
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203 The effect of temperature on superplastic ductility is not as straightforward. At first glance total tensile  
 204 elongation increases with temperature. In the *ARBed* condition, an increase in temperature from 225 to 250°C  
 205 ( $\Delta T=25^\circ\text{C}$ ) is responsible for an additional 50 to 75% elongation. This effect of temperature is not exhibited to the  
 206 same extent in the 240RX and 250RX conditions, where an increase in temperature from 200 to 240°C ( $\Delta T=40^\circ\text{C}$ )  
 207 results in only a 50% increase in elongation. On the other hand, the effect of raising temperature above 250°C is

208 highly consequential; a temperature increase from 250 to 275°C ( $\Delta T=25^\circ\text{C}$ ) results in a roughly 100% decrease in  
209 elongation for the *ARBed* condition. The *240RX* and *250RX* conditions were not tested at higher temperatures, but it  
210 is interesting to note that testing at 275°C for the *ARBed* condition fared worse than testing at 240°C for the  
211 partially recrystallized conditions. Previous work by the authors [15] has shown significant grain growth to occur  
212 above 250°C, and it has been suggested that grain growth is not conducive for grain boundary sliding [16]. Thus,  
213 deformation at 250°C may be an upper limit for low temperature superplasticity.

214 Strain rate sensitivities calculated via strain rate jump tests for the three microstructures provide further  
215 information about the mechanisms responsible for superplasticity. Figure 6 shows a parabolic relationship between  
216 strain rate and strain rate sensitivity which is typical of materials deforming under creep conditions [5]. A  
217 maximum strain rate sensitivity ( $m \approx 0.5$ ) due to grain boundary sliding typically occurs at intermediate strain rates  
218 in superplastic materials; this is referred to as regime II creep [5]. The location of this maximum is consistently  
219 between strain rates of  $5 \times 10^{-4}$  to  $1 \times 10^{-3} \text{ s}^{-1}$  independent of starting microstructure. This is in agreement with other  
220 studies on both coarse-grained [10,23] and sub-micron grained [18,24] AA 5083 tested at temperatures around 500  
221 and 250°C, respectively. It should be noted, however, that the strain rate sensitivity for sub-micron grained material  
222 reportedly tends toward 0.3 for strain rates below  $1 \times 10^{-4} \text{ s}^{-1}$  [18,24], whereas coarse-grained material typically  
223 retains high strain rate sensitivities ( $m \approx 0.4$ ) for strain rates as low as  $1 \times 10^{-5} \text{ s}^{-1}$  [10,23]. Overall, the strain rate  
224 sensitivities of the *ARBed* microstructure showed the least dependence on temperature. Error bars in Figure 6 show  
225 the range of strain rate sensitivities observed during deformation for each condition tested. Strain rate sensitivities  
226 generally start high and decay with increased strain, thus providing an indirect measure of microstructural evolution  
227 during testing.

228



229

230 Figure 6 Strain-rate sensitivity ( $m$ ) values for the (a) ARBed, (b) 240RX and (c) 250RX microstructural conditions calculated using a repeated  
231 strain rate jump test. Error bars represent the range of strain rate sensitivities observed during deformation.

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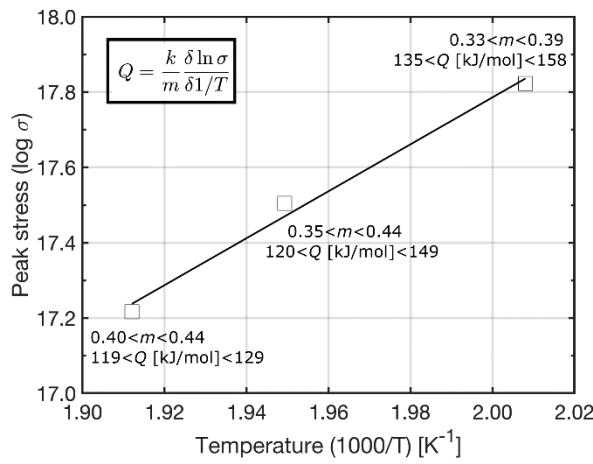
233 Additional information concerning deformation mechanisms can be ascertained by calculating activation  
234 energies. The activation energy for deformation represents the change in stress resulting from a change in  
235 temperature through the relationship

236

$$Q = \frac{k}{m} \frac{\delta \ln \sigma}{\delta 1/T} \Big|_{\varepsilon, \dot{\varepsilon}, d} \quad (2)$$

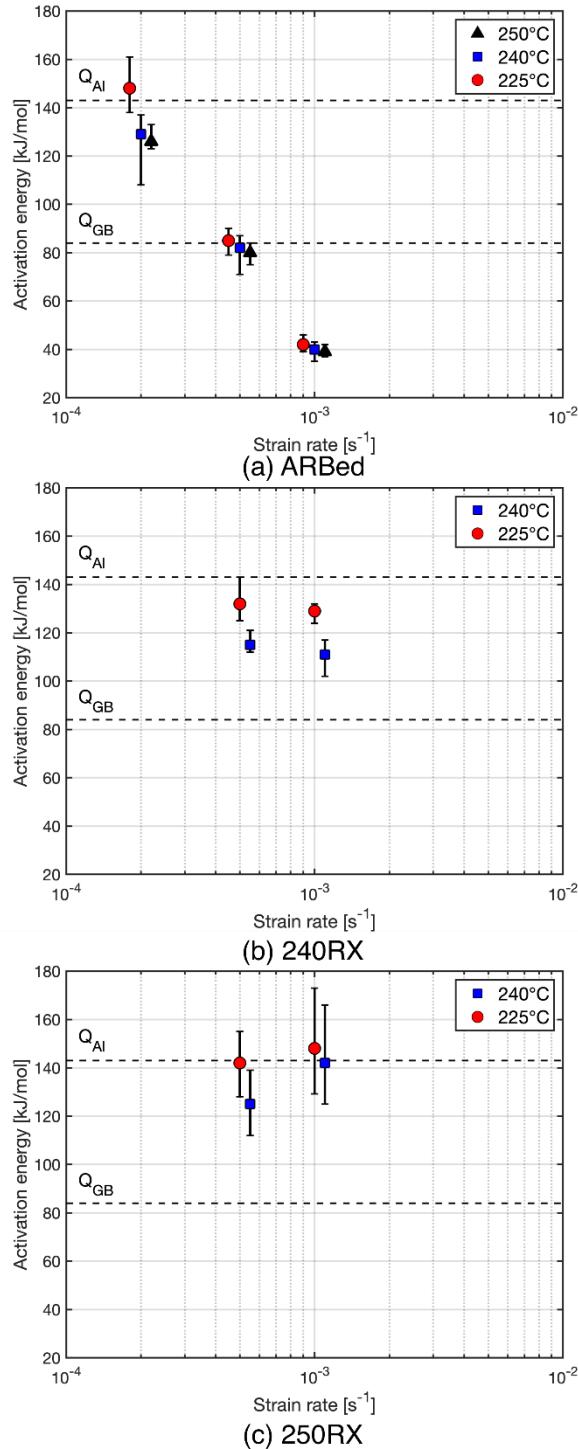
237 which is a rearrangement of Equation 1. A graphical example of how activation energies are calculated is shown in  
238 Figure 7 and activation energies for different testing parameters are shown in Figure 8. Note Equation 2 assumes a  
239 constant grain size and microstructure, limiting this analysis to temperature and strain rate combinations

240 demonstrating similar ( $m \pm 0.05$ ) strain rate sensitivities. For the 250RX condition, activation energies are near self  
 241 diffusion in aluminum ( $Q_{Al} = 142$  kJ/mol [9]) with some variation to lower energies around 120 kJ/mol. The 240RX  
 242 condition also exhibits mean activation energies around  $Q_{Al}$ , albeit with a greater tendency toward values between  
 243 100 and 120 kJ/mol. While these values are still much higher than that of grain boundary diffusion in aluminum  
 244 typically associated with superplasticity ( $Q_{GB} = 84$  kJ/mol [9]), their respective  $m$  values, between 0.3 and 0.4, are  
 245 also considerably higher than that of diffusional creep ( $m=0.2$ ) [5,9]. This suggests deformation consisting of both  
 246 grain boundary sliding and diffusional creep, with the accommodation by diffusional creep being greater in the  
 247 250RX condition.



248

249 Figure 7 Example showing the calculation of activation energy from peak stress and temperature for samples deformed in the ARBed condition  
 250 at  $2 \times 10^{-4}$  s<sup>-1</sup>. A linear regression is conducted between peak stress and temperature; ranges of strain rate sensitivities (Figure 6) are used to  
 251 calculate activation energies at each temperature.  
 252



253

254 Figure 8 Activation energies for the (a) ARBed, (b) 240RX and (c) 250RX microstructural conditions. Error bars represent the range of activation  
 255 energies calculated from the evolution of strain rate sensitivity observed during deformation.

256

257 The activation energies for the ARBed condition are considerably different than those for the partially  
 258 recrystallized conditions. Activation energies as low as 40 kJ/mol are observed at  $1 \times 10^{-3} \text{ s}^{-1}$ . More confounding still  
 259 is that activation energies are near that of grain boundary diffusion for  $5 \times 10^{-4} \text{ s}^{-1}$  but then continue to increase with

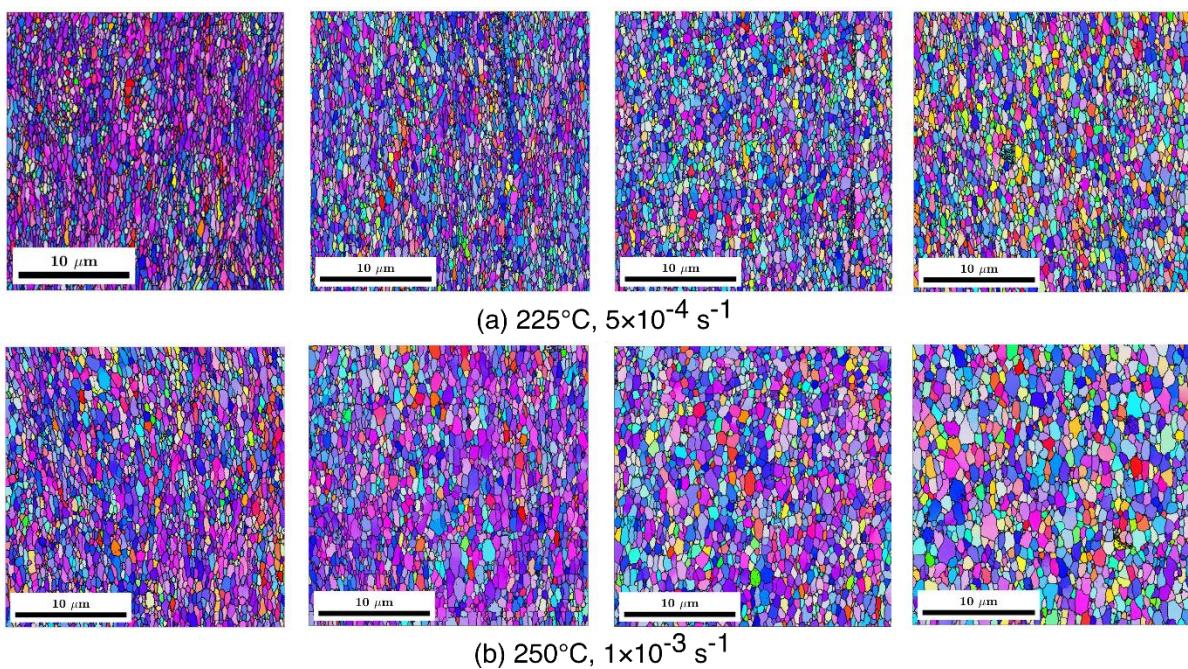
260 decreasing strain rates toward  $2 \times 10^{-4} \text{ s}^{-1}$ ; all of these instances occur with strain rate sensitivities indicative of grain  
261 boundary sliding ( $0.4 < m < 0.5$ ). This will be the subject of future discussion in a following section.

262

### 263 **CHARACTERIZING OPTIMAL SUPERPLASTIC RESPONSE**

264 Two testing conditions ( $5 \times 10^{-4} \text{ s}^{-1}$  at  $225^\circ\text{C}$  and  $1 \times 10^{-3} \text{ s}^{-1}$  at  $250^\circ\text{C}$ ) with the *ARBed* microstructure were  
265 investigated in more detail to determine the cause of low activation energies associated with grain boundary sliding  
266 ( $0.4 < m < 0.5$ ). Although both conditions exhibited tensile elongations in excess of 200% and strain rate sensitivities  
267 above 0.4, they possessed significantly different mean activation energies — around 82 and 40 kJ/mol, for the low  
268 and high strain rate conditions, respectively.

269 Inverse pole figure (IPF) maps showing microstructural evolution with strain are shown in Figure 9. Note  
270 these are colorized with respect to the RD as opposed to the conventional ND shown in Figure 2, to provide greater  
271 distinction between texture components with similar plane normals, such as Brass  $\{011\} <211>$  and Goss  
272  $\{110\} <001>$ . A microstructural transition occurs at low strains ( $\varepsilon < 0.1$ ) which results in a finer microstructure  
273 compared to immediately after static annealing (Figure 3). This is akin to continuous dynamic recrystallization,  
274 which may be energetically favorable at low strain levels if the initial microstructure consists of a significant  
275 proportion of remnant subgrains. Subgrains rely on cooperative grain boundary sliding (CGBS) rather than  
276 independent grain boundary sliding, which may increase the driving force for subgrain boundary mobility [25, 26].  
277 Continuous geometric dynamic recrystallization (GDRX) may also be active, where HAGBs “pinch off” elongated  
278 grains under the influence of an applied tensile stress in the rolling direction [27].

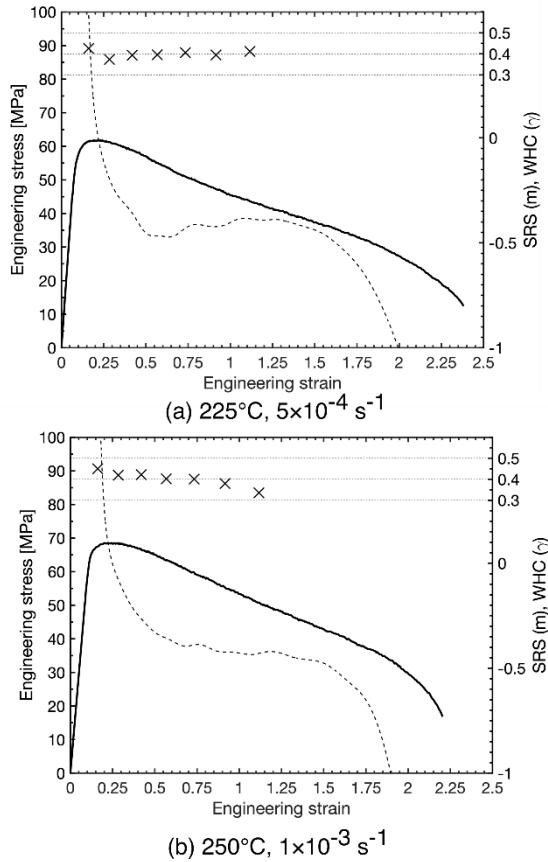


279  
280 Figure 9 Inverse pole figure (IPF) maps of the longitudinal plane taken near the mid-thickness after interrupted tests at 0.1, 0.25, 0.5 and 0.75

281 true strain for the *ARB*ed microstructure tested at (a) 225°C,  $5 \times 10^{-4}$  s<sup>-1</sup> and (b) 250°C,  $1 \times 10^{-3}$  s<sup>-1</sup>. The rolling and tensile directions are vertical  
282 while the normal direction is horizontal. IPF orientations are with respect to the rolling direction.  
283

284 This initial dynamic recrystallization may explain the difference in work-hardening behavior between  
285 material produced with severe warming rolling and ARB [16,17]. Severely warm rolled material exhibits extensive  
286 work hardening up to approximately 0.5 true strain ( $e=0.65$ ) [7,28] after which there is a sharp decrease in the  
287 cluster size of regions participating in CGBS [16]. On the other hand, *ARB*ed material only strain hardens until  
288 approximately 0.1 true strain ( $e=0.11$ ) [18]. While the nature of CGBS in material produced with ARB has yet to be  
289 discussed in literature, the greater fraction of HAGBs in *ARB*ed material ( $\approx 0.8$ ) [15] compared to severely warm  
290 rolled material ( $\approx 0.4$ ) [16] suggests *ARB*ed material exhibits a faster transition from CGBS to independent GBS  
291 during early stages of deformation. Thus, processing pathways may have a marked effect the degree of dynamic  
292 recrystallization at low strains, which have the potential to culminate in significance differences in subsequent  
293 superplastic deformation behavior. While this topic is of notable interest for optimizing a superplastic response, it is  
294 outside the scope of this work and will not be discussed in more detail.

295 The stress-strain curves of the two testing conditions are presented in Figure 10 with strain rate sensitivity  
296 ( $m$ ) and work hardening coefficient ( $\gamma = de(d\sigma/de)$ ) values overlaid. Work hardening coefficients were calculated  
297 from engineering stress and do not account for cross-sectional area reduction. Both conditions exhibit stable grain  
298 boundary sliding as evidenced by the high strain rate sensitivities ( $0.4 < m < 0.5$ ) sustained for the majority of  
299 deformation. The operation of grain boundary sliding is further corroborated by the interrupted strain IPF maps  
300 shown in Figure 9. Grain size and morphology remain constant up to 0.75 true strain ( $e=1.12$ ) with a general  
301 weakening of texture, neither of which would occur during dislocation-creep deformation.



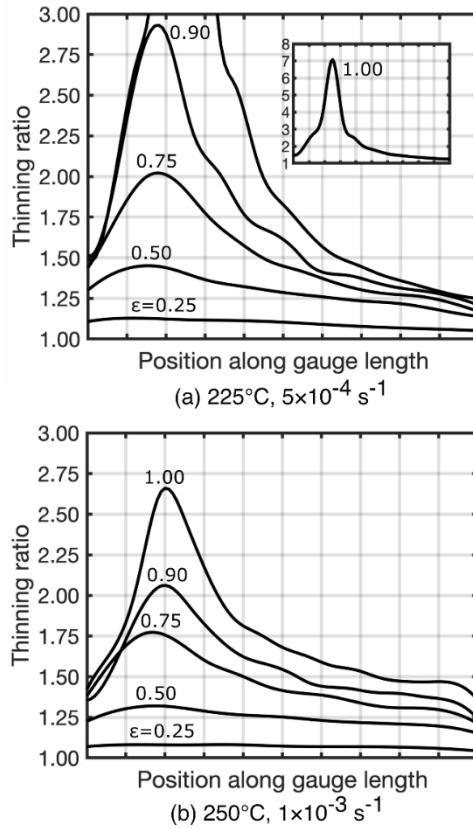
302 Figure 10 Engineering stress-strain curves for *ARBed* material tested at (a)  $225^{\circ}\text{C}$ ,  $5 \times 10^{-4} \text{ s}^{-1}$  and (b)  $250^{\circ}\text{C}$ ,  $1 \times 10^{-3} \text{ s}^{-1}$ . The dashed line  
 303 represents the instantaneous work hardening coefficient (WHC),  $\gamma$ , while the crosses represent strain rate sensitivity (SRS),  $m$ . Stable grain  
 304 boundary sliding is apparent in both conditions until the point of final strain localization, which occurs around roughly 1.0 true strain ( $e=1.72$ ) as  
 305 evidenced by the local plateau in work hardening coefficient.  
 306

307

308 Deformation at strain levels greater than 0.75 ( $e=1.12$ ) progresses with accelerated strain localization. This  
 309 is shown in Figure 11, which summarizes the thinning ratio ( $t_o/t_f$ ) along the gauge length for different bulk strain  
 310 levels. Perturbations in the thinning ratio for strains less than 0.75 ( $e=1.12$ ) are indicative of necks that localize and  
 311 strain-rate-harden during deformation; these are responsible for fluctuations in the  $\gamma$  curves in Figure 10. A  
 312 transition from quasi-uniform to localized strain occurs between 0.75 and 1.0 strain ( $e=1.12$  and 1.72) for both  
 313 conditions which manifests as a drop in strain rate sensitivity and local plateau in the work hardening coefficient.  
 314 Strain localization in the final neck contributes an additional 75% elongation when tested at  $250^{\circ}\text{C}$ ,  $1 \times 10^{-3} \text{ s}^{-1}$   
 315 ( $m \approx 0.42$ ) compared to an additional 100% for  $225^{\circ}\text{C}$ ,  $5 \times 10^{-4} \text{ s}^{-1}$  ( $m \approx 0.40$ ). Evidently, deformation is more uniform  
 316 at  $250^{\circ}\text{C}$ ,  $1 \times 10^{-3} \text{ s}^{-1}$  due to the higher strain rate sensitivity.

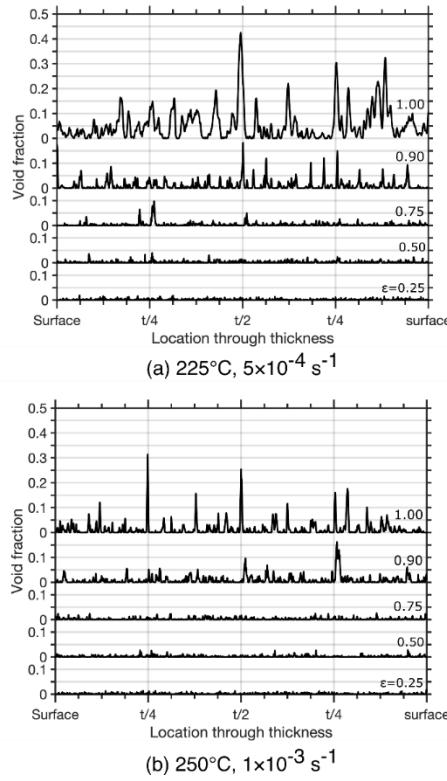
317 Damage accumulation in the microstructure in the form of cavitation voids was characterized through-  
 318 thickness using image analysis on backscatter scanning electron micrographs. Spatially-resolved void intensity  
 319 profiles through thickness, where void intensities are summed along the rolling (tensile) direction, are shown in  
 320 Figure 12 for different strain levels. Void intensities appear at regular intervals through thickness which correspond

321 to the individual bonding interfaces. Void presence is minimal for both testing conditions up to 0.75 strain ( $\epsilon=1.12$ )  
 322 with mean void sizes below 1  $\mu\text{m}$  in diameter. The void size and area fraction increase at higher strains with void  
 323 growth significantly faster at 225°C. The void profiles in Figure 12 correlate well to the strain localization in  
 324 Figure 11; the two conditions exhibit extensive strain localization and void formation above 0.75 strain ( $\epsilon=1.12$ ),  
 325 although both characteristics are more extreme at 225 °C.



326  
 327  
 328  
 329

Figure 11 Thinning ratios ( $t_o/t_f$ ) measured along the gauge length at different strain levels for the *ARBed* condition tested at (a) 225°C,  $5 \times 10^{-4} \text{ s}^{-1}$  and (b) 250°C,  $1 \times 10^{-3} \text{ s}^{-1}$ . True strain values reported are of the bulk sample assuming uniform elongation.



330

331 Figure 12 Through-thickness void intensity profiles summed along the rolling/tensile direction for the *ARBed* condition tested at (a) 225°C,  
 332 5×10<sup>-4</sup> s<sup>-1</sup> and (b) 250°C, 1×10<sup>-3</sup> s<sup>-1</sup>. Void intensities become prominent after 0.75 strain ( $\epsilon=1.12$ ) and are more severe for testing at 225°C.  
 333

334

335

### 336 DISCUSSION

337 Total tensile elongation has proven to be highly dependent on microstructure, temperature and strain rate  
 338 for sub-micron grained material produced with ARB. As with most SPD techniques, the microstructure after  
 339 processing consists of non-equilibrium grain boundaries characterized by high free volume [29]. These boundaries  
 340 arise from the vast amount of intrinsic grain boundary dislocations that are introduced during processing, which  
 341 increase the boundary energy without contributing to misorientation [29]. Such non-equilibrium grain boundaries  
 342 are two to four times wider than a traditional HAGB, which results in enhanced grain boundary diffusivities and  
 343 lower activation energies for grain boundary diffusion [30–32].

344 The presence of non-equilibrium grain boundaries partially explains the deformation behavior observed  
 345 with different starting microstructures. Grain boundary sliding ( $0.4 < m > 0.5$ ) in the *ARBed* condition at strain rates  
 346 above  $5 \times 10^{-4}$  s<sup>-1</sup> occurs with activation energies much lower than those reported for diffusion in conventional grain  
 347 boundaries – between 40 to 80 kJ/mol compared to 84 kJ/mol. This reduction in activation energy is attributed to  
 348 enhanced diffusion along non-equilibrium grain boundaries. Grain boundary sliding is also presumed to occur for  
 349 the 240RX and 250RX microstructures for temperatures and strain rates between 225 to 240°C and  $5 \times 10^{-4}$  to  $1 \times 10^{-3}$ ,

350 respectively; the activation energies (<143 kJ/mol) and  $m$  values (0.35 to 0.45) are in the appropriate range for this  
351 type of deformation. The increase in activation energy for the partially recrystallized microstructures is associated  
352 with grain boundary recovery, where the non-equilibrium nature of boundaries is lost and grain boundary  
353 diffusivities tend toward conventional values. It should be noted that grain boundary sliding is not an independent  
354 deformation mechanism, but rather a combination of creep mechanisms relying on bulk and grain boundary  
355 diffusion. Thus, instances where activation energies are closer to  $Q_{Al}$  than  $Q_{GB}$  suggest a greater proportion of  
356 lattice diffusion accommodation mechanisms. A similar argument can be made for strain rate sensitivities; while  $m$   
357 values of 0.5, 0.3 and 0.2 are generally associated with pure grain boundary sliding, solute drag creep and  
358 dislocation creep [5], respectively, intermediate values suggest a combination of deformation mechanisms.

359 Superplastic behavior, using the definition of  $m>0.3$  and elongation of at least 200% [5], is not observed  
360 for any of the microstructures tested below 225°C. Deformation of the partially recrystallized microstructures at  
361 200°C occurs with strain rate sensitivities ( $m<0.25$ ) indicative of dislocation creep. Although the *ARBed*  
362 microstructure was not tested below 225°C, extrapolation to lower temperatures suggests deformation would likely  
363 occur with strain rate sensitivities around 0.3 and tensile elongations not exceeding 150%. Thus, it is concluded that  
364 grain boundary sliding is not a dominant deformation mechanism below 225°C, likely due to reduced thermal  
365 mobility. This raises the question of using lower strain rates to compensate for reduced thermal mobility at lower  
366 temperatures.

367 The effect of lower strain rate on tensile properties is particularly interesting, as rates below  $5\times10^{-4}$  s $^{-1}$   
368 correlate with both higher tensile elongations and activation energies. In the *ARBed* condition, strain rates of  $2\times10^{-4}$   
369 s $^{-1}$  produce  $m$  values indicative of grain boundary sliding ( $0.35< m<0.45$ ) but also activation energies suggestive of  
370 dislocation creep (108 to 160 kJ/mol). This can be explained in terms of solute-dislocation interactions in solid  
371 solution strengthened alloys. As strain rate decreases, so does the resolved shear stress on the crystal lattice and  
372 therefore the velocity of mobile lattice dislocations [33, 34]. At elevated temperatures solute atoms, which are  
373 attracted to the dislocation strain fields, have enhanced thermal mobility. The combination of these factors means  
374 the dislocation velocity is comparable to the velocity of diffusing Mg atoms, which results in a drag force on  
375 dislocation motion [32, 33]. This phenomenon is commonly referred to as solute drag creep ( $m=0.3$ ) [5], where  
376 deformation is rate-limited by the diffusion of solute and demonstrates an activation energy near that of Mg  
377 diffusion in Al ( $Q_{Mg}=136$  kJ/mol) [33-35]. This hypothesis is supported by other studies in literature; solute drag  
378 has been postulated as a primary deformation mechanism in other sub-micron AA 5083 studies [16], and a  
379 transition from dislocation creep to solute drag creep, independent of grain size, has been observed for strain rates  
380 below  $1\times10^{-3}$  s $^{-1}$  in Al-Mg alloys [35]. Thus, a transition from grain boundary sliding ( $0.4< m<0.5$ ) to solute drag  
381 creep ( $m\approx0.3$ ) occurs at lower strain rates below  $5\times10^{-4}$  s $^{-1}$ .

382 To further discuss the effects of temperature on active deformation mechanisms, bulk and grain boundary  
383 diffusivities for pure aluminum are reported in Table 2 with respect to the length scale of the Burgers vector ( $b^2/t$ )

384 and grain size ( $d^2/t$ ) [9]. For the temperature range of interest — 200 to 250°C — bulk diffusivity is sufficient for  
 385 diffusion on the scale of the Burger's vector but not high enough to traverse the grain interior (i.e.  $b^2/t < D_{bulk} < d^2/t$ ).  
 386 Appreciable diffusion is therefore limited to short circuits along grain boundaries and in the narrow mantle region  
 387 that extends from grain boundaries toward the grain interior [36]. Some studies have suggested bulk diffusion  
 388 in the narrow region of the mantle to be considerably faster than bulk diffusion through the lattice, with levels  
 389 similar to that of grain boundary diffusion [29,37]. Additionally, appreciable segregation of Mg to the mantle  
 390 region has been reported in severely deformed Al-Mg alloys [38], which may be an exacerbating factor to the  
 391 aforementioned solute-drag interactions at low strain rates. Based on the diffusivity values at low temperatures, it  
 392 becomes clear that deformation accommodation is highly dependent on the structure and composition mantle  
 393 region.

394 Table 2 Grain boundary and bulk lattice diffusivities in coarse-grained aluminum as a function of temperature compared to the scale of the Burgers  
 395 vector,  $b^2/t$  and the scale of the grain diameter,  $d^2/t$ . A grain size of 500 nm was used to represent the grain scale.

	Temperature [°C]		
	200	225	250
$D_{GB}$ [m <sup>2</sup> /s]	$3 \times 10^{-13}$	$6 \times 10^{-13}$	$1 \times 10^{-12}$
$D_{bulk}$ [m <sup>2</sup> /s]	$4 \times 10^{-19}$	$2 \times 10^{-18}$	$8 \times 10^{-18}$
$b^2/t$ [m <sup>2</sup> /s]		$8.2 \times 10^{-20}$	
$d^2/t$ [m <sup>2</sup> /s]		$2.5 \times 10^{-13}$	

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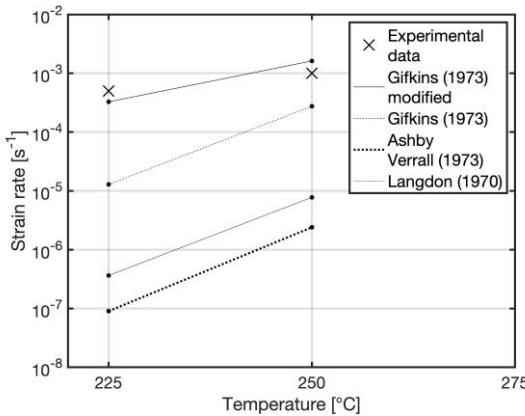
397 Experimental data from the tests conducted at 225°C,  $5 \times 10^{-4}$  s<sup>-1</sup> and 250°C,  $1 \times 10^{-3}$  s<sup>-1</sup> were compared to  
 398 several proposed models for grain boundary sliding, shown in Figure 13. These models were populated with  
 399 experimentally determined stresses, grain sizes, and strain rate sensitivities along with tabulated values of elastic  
 400 modulus, Burger's vector and relevant diffusivities. All models predict strain rates orders of magnitude lower than  
 401 what was experimentally observed. Models based on lattice diffusion, such as those by Ashby-Verrall [39] and  
 402 Langdon [40], fared significantly worse than models based on grain boundary diffusion such as that by Gifkins  
 403 [36]. It should be noted, however, that strong agreement with the Gifkins model is achieved when the grain  
 404 boundaries are assumed to be non-equilibrium and modeled as

405 
$$D_{GB} = 5 \times 10^{-12} e^{\left(\frac{-Q}{RT}\right)} \quad (3)$$

406 with the value of Q taken as 60 kJ/mol (an average of the two conditions based on data in Figure 5) and a grain  
 407 boundary width,  $\delta$ , taken as four-times the typical value of 0.5 nm [29,41,42]. This enhanced diffusivity is roughly  
 408 two orders of magnitude greater than the tabulated values reported in Table 2. Agreement between experimental  
 409 data and the modified Gifkins model demonstrates the necessity of non-equilibrium grain boundaries in achieving  
 410 low temperature superplasticity.

411 The preceding discussion has focused on effects of microstructure, temperature and strain rate on total  
 412 tensile elongation; the remainder of this section will explore the formability potential of the *ARBed* microstructure

413 at 225°C,  $5 \times 10^{-4} \text{ s}^{-1}$  and 250°C,  $1 \times 10^{-3} \text{ s}^{-1}$ . In particular, forming characteristics of sub-micron grained material will  
 414 be compared with conventional coarse-grained (10  $\mu\text{m}$ ) material.



415

416 Figure 13 Comparison of experimental strain rates and calculated strain rates from different grain boundary sliding models. All models resemble  
 417 some form of Equation 1 with appropriate strain rate sensitivities and activation energies.  
 418

419 The *ARBed* microstructure demonstrated stable grain boundary sliding for a significant portion of deformation  
 420 during both testing conditions, as seen from the microstructural changes in the IPF maps of Figure 9, the stable  
 421 strain rate sensitivities in Figure 10 and the inhibition of localized necking in Figure 11. To better understand  
 422 superplastic forming potential, it is worth discussing the mechanisms by which materials fail. Materials tested at  
 423 low homologous temperatures and quasi-static strain rates fail due to strain localization soon after the Considère  
 424 criterion is met; for superplastic samples this condition is modified to account for strain rate hardening [43] as

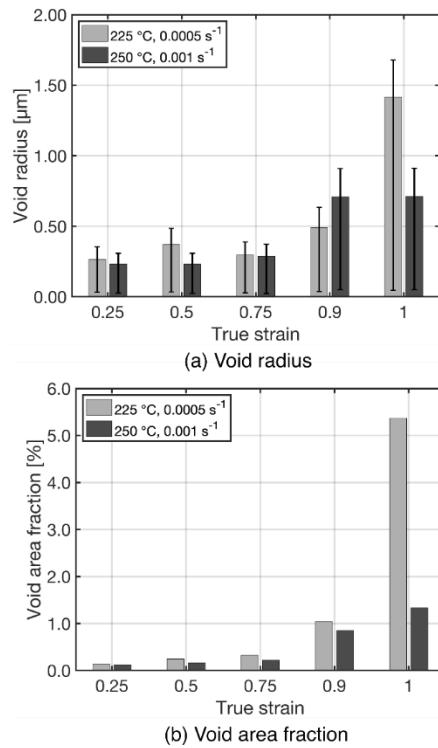
$$425 \quad \gamma + m < 1 \quad (4)$$

426 where  $\gamma$  is the work hardening coefficient and  $m$  is the strain rate sensitivity. Figure 10 shows that this condition is  
 427 met shortly after 0.1 true strain ( $e=0.11$ ) and the majority of deformation thereafter manifests as non-uniform  
 428 elongation due to the high strain rate sensitivity. Deformation proceeds with initiation and retardation of strain  
 429 localization resulting in fluctuations in the work hardening coefficient. Cavitation voids, which form when the  
 430 imposed strain rate is too high for deformation accommodation mechanisms, can also be viewed as a tensile  
 431 instability; this explains why the increased void presence in Figure 12 closely matches strain localization in Figure  
 432 11. The onset of final strain localization, Equation 4, depends on the magnitude of the strain rate sensitivity and  
 433 therefore occurs earlier at 225°C,  $5 \times 10^{-4} \text{ s}^{-1}$  ( $m \approx 0.40$ ) compared to 250°C,  $1 \times 10^{-3} \text{ s}^{-1}$  ( $m \approx 0.42$ ).

434 Changes in void size and volume fraction provide additional information regarding tensile instability. Figure 14  
 435 shows that voids remain under 1  $\mu\text{m}$  for strains below 0.75 ( $e=1.25$ ), agreeing with models for diffusion-controlled  
 436 void growth during grain boundary sliding [5]. Further deformation causes strain-controlled void growth [5] for  
 437 testing at 225°C,  $5 \times 10^{-4} \text{ s}^{-1}$  but not for 250°C,  $1 \times 10^{-3} \text{ s}^{-1}$ . These observations are consistent with the strain  
 438 localization response in Figure 11, where strain localization is retarded more at 250°C,  $1 \times 10^{-3} \text{ s}^{-1}$  owing to the

439 higher strain rate sensitivity. It is worth mentioning that strain localization leads to increased local strain rates and  
440 reduced local strain rate sensitivities, which further exacerbates the rate of subsequent strain localization.

441



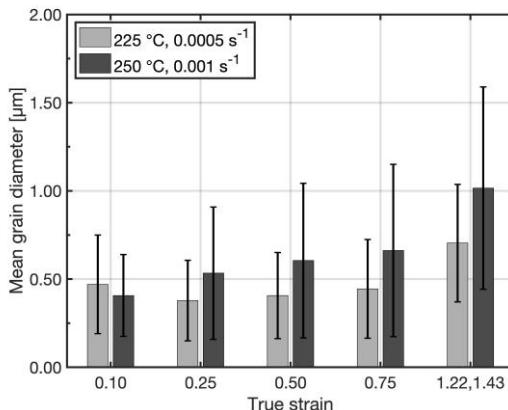
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443 Figure 14 Individual void radius (a) and total void area fraction (b) for the 225°C,  $5 \times 10^{-4} \text{ s}^{-1}$  and 250°C,  $1 \times 10^{-3} \text{ s}^{-1}$  conditions as a function of  
444 strain. Void size and fraction are stable for both testing conditions below 0.75 true strain ( $e=1.46$ ).  
445

446 For current industrial applications, such as the manufacture of automotive body panel discussed initially,  
447 superplastic forming is usually limited to thinning ratios ( $t_f/t_o$ ) < 2 to ensure the cavitation volume fraction does not  
448 exceed 2 to 3% [1,4]. The results presented herein suggest thinning ratios as high as 2.5 can be sustained without  
449 exceeding 1% void fraction. This is far superior to conventional material ( $d \approx 10 \text{ } \mu\text{m}$ ,  $T > 500^\circ\text{C}$ ) strained to similar  
450 levels which exhibit void area fractions between 2.5 and 10% [10,23,45]. Attention should be drawn to the fact that  
451 void size during grain boundary sliding is generally on the order of the material's grain size [5,10,23,45], which is  
452 corroborated by Figure 14. Lastly, void intensities for the *ARBred* material are highest at bonding interfaces,  
453 suggesting the bonds are limited in ability to accommodate superplastic deformation, particularly at higher strains.  
454 Thus, the *ARBred* material exhibits higher damage tolerance compared to conventional material as the sub-micron  
455 grain size and presence of regular interfaces controls the size and distribution of voids.

456 Grain growth is a final consideration when discussing forming potential, as the grain size after forming  
457 correlates with final part strength. Figure 15 shows the change in mean grain size as a function of strain for both  
458 testing conditions. The grain growth behavior confirms previous work by the authors [15] where grain size was

459 found to be stable for extended durations below 225°C. Both forming conditions show apparent grain growth past  
 460 0.75 ( $e=1.12$ ), suggesting a change in grain boundary mobility as a result of strain localization; this may be due to  
 461 different deformation mechanisms that occur in the localized region prior to failure. Nevertheless, testing at both  
 462 225 and 250°C maintains a sub-micron grain size up to 0.75 true strain ( $e=1.12$ ).



463

464 Figure 15 Mean grain diameter at interrupted strains for the ARBed condition tested at 225°C,  $5 \times 10^{-4} \text{ s}^{-1}$  and 250°C,  $1 \times 10^{-3} \text{ s}^{-1}$ . Testing at 225°C  
 465 retains a near-constant grain size, although grain growth occurs immediately preceding failure. Stable grain growth occurs at 250°C as predicted  
 466 by [11].

467

## 468 CONCLUSIONS

469 The work presented here outlines the significance of non-equilibrium grain boundaries produced by severe  
 470 plastic deformation in achieving low temperature superplasticity. Although ARB processing produces sub-micron  
 471 grains delineated by HAGBs [8,15], it is the excess free volume and high-energy state of these boundaries  
 472 immediately following severe plastic deformation that reduces the activation energy for grain boundary diffusion.  
 473 This decreases the energy barrier for grain boundary sliding and allows superplasticity to occur at temperatures as  
 474 low as 225°C.

475 The lowest temperature for which grain boundary sliding is operable in severely deformed materials is difficult  
 476 to identify due to the convoluted interaction between microstructure, temperature and strain rate. While a lower  
 477 limit of 200°C has been postulated [7,24], this would require even lower strain rates to compensate for the  
 478 reduction in thermal mobility. This work has shown that strain rates below  $5 \times 10^{-4} \text{ s}^{-1}$  result in solute drag due to the  
 479 reduction in dislocation velocity during deformation. Thus, the limiting factor in minimizing the temperature for  
 480 superplasticity is not the thermal activation for grain boundary sliding, but instead the transition to low strain rate  
 481 solute drag creep regimes.

482 The implications of low temperature superplasticity to the sheet forming industry are pronounced. Sub-micron  
 483 grained material produced by ARB can be deformed to meet current industrial forming limits at a significantly  
 484 reduced temperature — 225°C ( $0.60T_m$ ) as opposed to 500°C ( $0.92T_m$ ). Moreover, sub-micron grained material has  
 485 a lower tendency to develop large cavitation voids at appreciable strain levels owing to the reduced grain size; it

486 may be possible to deform material past current strain limitations without negatively affecting final part strength. A  
487 final benefit of material produced with ARB is Hall-Petch strengthening. Grain size remains sub-micron up to 0.75  
488 true strain ( $e=1.12$ ), meaning final sheet components after forming have the potential to exhibit higher strengths.  
489 This may be sufficient to reduce the overall part thickness needed in sheet assemblies.

490 The benefits that arise from ARB processing all stem from the creation of non-equilibrium grain boundaries  
491 during processing. While this work has provides a holistic view of the effects of microstructure, temperature and  
492 strain rate on superplasticity, it is evident this behavior is highly dependent on atomic-scale structures of  
493 boundaries which are thermally unstable; additional preheating by as much as 15 minutes reduces tensile  
494 elongation by a much a 100%. The effect of starting microstructure on superplastic performance was also discussed  
495 with comparison to studies claiming 400% elongation after severe warm rolling [16]. Although the sample  
496 geometries used in these studies have been highly criticized for providing erroneously high tensile elongations [46],  
497 differences in grain boundary character produced by each processing pathway may also be a significant factor  
498 contributing to total tensile elongation. A thorough understanding of interplay between grain boundary structure  
499 and deformation mechanics is needed to explicitly determine the limits of low temperature superplasticity in sub-  
500 micron grained material. This work not only validates some of the early studies on non-equilibrium grain  
501 boundaries in severely deformed materials, but also provides rationale for future work in this area to further  
502 investigate the mechanistic limitations of low temperature superplasticity.

503

#### 504 **CONFLICTS**

505 The authors declare that they have no conflict of interest.

506

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