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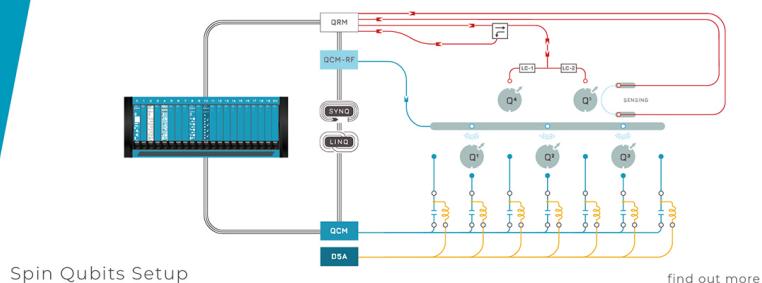
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## AFFILIATIONS

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## ABSTRACT

Color centers in diamond are widely explored for applications in quantum sensing, computing, and networking. Their optical, spin, and charge properties have extensively been studied, while their interactions with itinerant carriers are relatively unexplored. Here, we show that NV centers situated  $10 \pm 5$  nm of the diamond surface can be converted to the neutral charge state via hole capture. By measuring the hole capture rate, we extract the capture cross section, which is suppressed by proximity to the diamond surface. The distance dependence is consistent with a carrier diffusion model, indicating that the itinerant carrier lifetime can be long, even at the diamond surface. Measuring dynamics of near-surface NV centers offers a tool for characterizing the diamond surface and investigating charge transport in diamond devices.

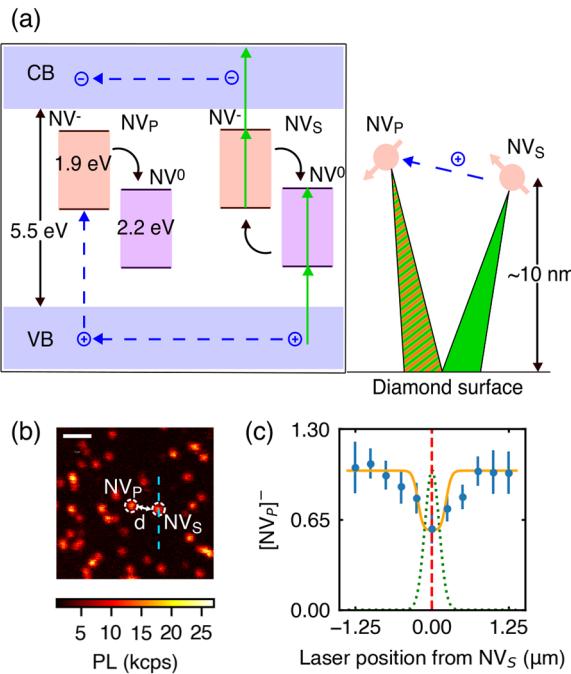
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Color centers have widely been studied for their applications in quantum sensing, quantum networks, and quantum information processing.<sup>1–3</sup> Nitrogen vacancy (NV) centers in diamond, in particular, are an attractive platform because they exhibit long spin coherence times at room temperature and they allow for off-resonant optical detection and initialization of spin states.<sup>1,4</sup> Charge state stability and control of NV centers are of particular interest for applications in nanoscale sensing,<sup>5,6</sup> superresolution microscopy,<sup>7</sup> and long-term data storage.<sup>8</sup> Recent experiments have focused on selectively preparing<sup>9–12</sup> and reading out<sup>13–15</sup> particular charge states as well as studying the impact of charge dynamics on optically detected magnetic resonance.<sup>16,17</sup> However, the interactions between itinerant carriers and color centers are less well explored and can strongly impact the color center charge state, ionization dynamics, and spin readout. Such interactions could also be harnessed for recently developed functionality, such as electrically detected magnetic resonance,<sup>18,19</sup> and stabilizing non-equilibrium charge distributions.<sup>20,21</sup>

Recent work has focused on using itinerant carriers to manipulate color centers in the diamond bulk. For example, it was shown that the optically dark state of a silicon vacancy (SiV) center is SiV<sup>2+</sup> through charge state readout of NV centers and SiV centers combined with remote optical pumping.<sup>22</sup> In another example, holes generated by one NV center were captured by another NV center, converting the latter NV center to the neutral charge state.<sup>23</sup> Both studies examined NV centers far ( $>10 \mu\text{m}$ ) from the surface, at which distances surface effects are negligible.

Here, we study charge dynamics of shallow NV centers ( $10 \pm 5$  nm from the surface) and their interaction with itinerant carriers. Shallow defects are essential for high sensitivity quantum sensing, and understanding the NV charge state and itinerant carrier dynamics near the surface is critical for developing shallow NV centers as a quantum platform. We demonstrate that the charge state of a shallow probe NV center (NV<sub>P</sub>) can be controlled by free carriers generated by excitation of another remote shallow source NV center (NV<sub>S</sub>) up to  $\sim 7 \mu\text{m}$  away [Figs. 1(a) and 1(b)]. Specifically, the charge state of NV<sub>P</sub> converts from negative to neutral as a second 532 nm excitation laser is scanned over NV<sub>S</sub> [Fig. 1(c)]. Continuous optical ionization and recombination of NV<sub>S</sub> generate a constant flow of holes and electrons in the valence and conduction bands, respectively. These itinerant carriers can diffuse and subsequently be captured by NV<sub>P</sub>. The net conversion to the neutral charge state implies that hole capture is much more efficient than electron capture, leading to a net change in the steady-state charge. This phenomenon has been observed in some previous works.<sup>22,23</sup>

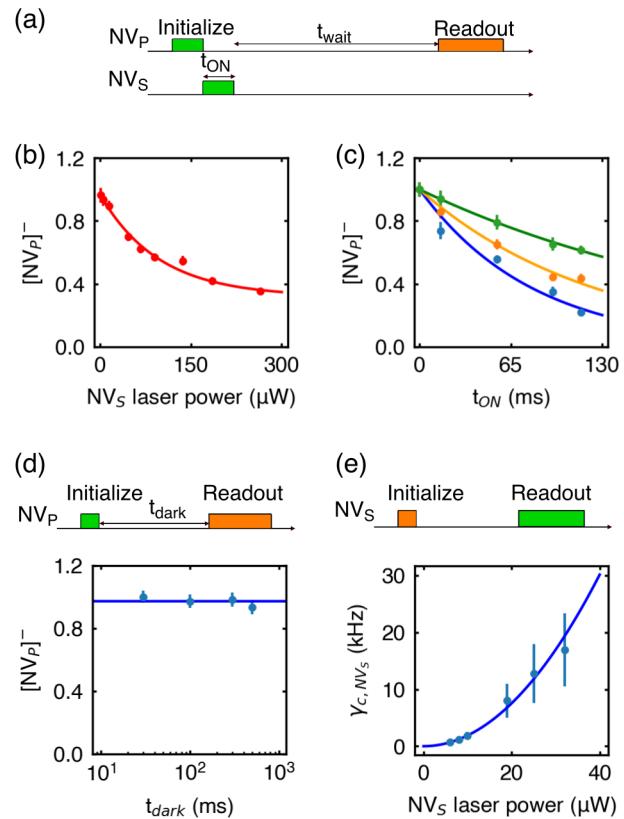
NV centers are individually interrogated using a dual channel, multicolor confocal microscope (see the [supplementary material](#) for details). In the experiment, two optically resolvable NV centers are chosen within the scanning field of view, NV<sub>P</sub> and NV<sub>S</sub>. One optical channel monitors the charge state of NV<sub>P</sub> after initialization into NV<sup>–</sup> with roughly 70% probability using a high power green pulse (94  $\mu\text{W}$ , 5 ms), followed by charge state readout with a low power orange pulse



**FIG. 1.** (a) Energy level diagram showing charge state conversion processes between  $\text{NV}_S$  and  $\text{NV}_P$ . The solid vertical arrows indicate photoionization processes, the dashed arrows indicate itinerant carrier transport and capture, and the curved arrows indicate charge state conversion. (b) Scanning confocal microscope image of two NV centers. The scale bar is  $1 \mu\text{m}$ . (c) The  $\text{NV}^-$  charge state population of  $\text{NV}_P$  as a second laser is scanned along the line cut shown in (b) with the  $\text{NV}_S$  position indicated with the red dashed line. The orange solid line is a fit considering the dependence of  $[\text{NV}_P^-]$  on the  $\text{NV}_S$  excitation laser power. The laser spot size is indicated for reference (green dotted line).

( $2 \mu\text{W}$ ,  $150 \text{ ms}$ ). In between these pulses, the second optical channel is used to pump  $\text{NV}_S$  for a time  $t_{\text{ON}}$  with a green laser pulse of variable power, cycling its charge state between  $\text{NV}^-$  and  $\text{NV}^0$  [Fig. 2(a)]. This cycling generates free carriers that diffuse away and can be subsequently captured by nearby NV centers. The charge state population is measured by obtaining fluorescence with orange excitation since  $591 \text{ nm}$  is situated in between the zero phonon lines (ZPL) of  $\text{NV}^-$  ( $637 \text{ nm}$ ) and  $\text{NV}^0$  ( $575 \text{ nm}$ ). A time window shorter than the decay time obtained from the fluorescence trace is defined, over which a histogram of photon counts is drawn. The area under the double Poisson shaped histogram is used to determine the charge state population of  $\text{NV}_P$  (see the [supplementary material](#) for details).

We interrogate the charge capture kinetics by measuring the charge state of  $\text{NV}_P$  while varying the excitation power at  $\text{NV}_S$ . As the excitation power increases, the negative charge state population ( $[\text{NV}_P^-]$ ) (normalized with respect to the  $\text{NV}^-$  population just after initialization with the green laser) decreases [Fig. 2(b)]. Moreover,  $[\text{NV}_P^-]$  decays exponentially with the duration of the  $\text{NV}_S$  excitation pulse, and the decay time constant decreases with increasing excitation power [Fig. 2(c)]. Without any excitation at  $\text{NV}_S$ , there is no change in  $[\text{NV}_P^-]$  over time [Fig. 2(d)]. The net decay of  $[\text{NV}_P^-]$  during  $\text{NV}_S$  illumination indicates that hole capture in the negative charge state dominates over electron capture in both the negative and neutral charge states.



We model the hole capture rate,  $\gamma_h$  as

$$\begin{aligned} \gamma_h &= \rho_h c_h + \gamma_d, \\ &= \frac{2\gamma_c e^{-d/L_h}}{4\pi d^2 \sigma_h} \sigma_h \nu_h + \gamma_d, \\ &= \frac{\sigma_h}{2\pi d^2} \gamma_c e^{-d/L_h} + \gamma_d, \end{aligned} \quad (1)$$

where  $\rho_h$  is the hole density,  $c_h$  is the capture coefficient,  $\gamma_c$  is the hole generation rate at  $\text{NV}_S$ ,  $L_h$  is an effective hole diffusion length that arises from the free carrier lifetime,  $d$  is the distance between  $\text{NV}_S$  and  $\text{NV}_P$ ,  $\sigma_h$  is the hole capture cross section at  $\text{NV}_P$ ,  $\nu_h$  is the hole velocity, and  $\gamma_d$  is the dark ionization rate, which is constant. The factor of two in the numerator of Eq. (1) arises from a geometrical factor—we assume that carriers can reflect from the surface, and the NV centers

are much closer to the surface than they are to each other. To calculate  $\gamma_c$  [Fig. 2(e)], we first fit the fluorescence of NV<sub>S</sub> under 532 nm illumination (after initialization with 591 nm laser) to an exponential fit to extract the total charge conversion rate,  $\gamma_{total} = \gamma_i + \gamma_r$  of NV<sub>S</sub>, where  $\gamma_i$  and  $\gamma_r$  are ionization and recombination rates, respectively<sup>16</sup> (see the [supplementary material](#) for details). We measure the NV<sup>-</sup> population of several NV centers in our sample at the steady state for several powers of 532 nm initialization. All of the measured NV centers show NV<sup>-</sup> population in the range of [55%, 70%], which along with  $\gamma_{total}$  is used to calculate  $\gamma_r$ , and subsequently  $\gamma_i$ . In the steady state, since the time between subsequent holes is the total time it takes for a hole and an electron to be generated, we have  $\gamma_c = (1/\gamma_i + 1/\gamma_r)^{-1}$ .

We measured 17 pairs of NV centers in total and observed hole capture in 14 of the pairs [Fig. 3(a)]. For the three pairs of NV centers in which we did not observe hole capture, we were unable to determine any particular characteristics that account for their behavior. More detailed characterization of the variation in microscopic local environment could reveal other confounding factors, such as the presence of other carrier traps. For the remaining 14 pairs, the hole capture rate varies among pairs of NV centers and is generally slower for NV pairs with larger spacing  $d$  [Fig. 3(b)]. This distance dependence could

arise from the area scaling of carrier diffusion or from a finite carrier lifetime. By rearranging Eq. (1), we can define a parameter  $\alpha$  to investigate if the hole carrier lifetime is an important factor where

$$\alpha = \frac{\gamma_h - \gamma_d}{\gamma_c} = \frac{\sigma_h e^{-d/L_h}}{2\pi d^2}. \quad (2)$$

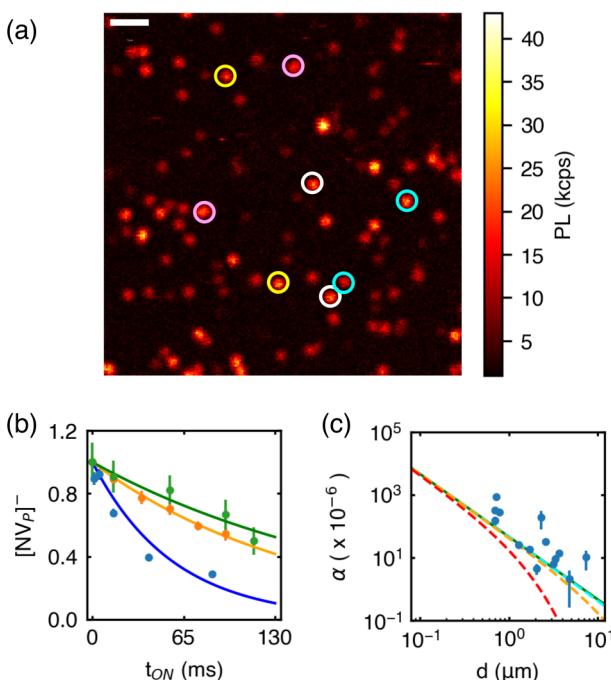
We assume  $\gamma_d = 0$  because the run time of the experiment (<600 ms) is shorter than the dark lifetime of NV<sub>P</sub> [Fig. 2(c), see the [supplementary material](#) for details]. The calculated  $\alpha$  for each NV pair is plotted vs inter-NV distance in Fig. 3(c). The distance dependence is consistent with a  $1/d^2$  scaling (see the [supplementary material](#) for details). We, therefore, conclude that the effective ionization of NV<sub>P</sub> due to NV<sub>S</sub> is not limited by the diffusion length of holes.

From the fit in Fig. 3(c), we extract the capture cross section,  $\sigma_h = 2.89 \times 10^{-4} \pm 0.54 \times 10^{-4} \mu\text{m}^2$ . The large value of  $\sigma_h$  likely arises from the Coulomb attraction between the negatively charged NV center and the hole, resulting in Rydberg-like states.<sup>24</sup> We note that although the cross section is large, this value is an order of magnitude smaller than previously reported for deep NV centers.<sup>23</sup> The surface-related suppression of hole capture could arise from finite hole lifetime due to surface traps or reduction in the effective cross section because of geometric overlap with the surface. We rule out the former reason based on the distance dependence shown in Fig. 3(c). We note that while our model accounts for the overall distance trend in the data, there remains substantial scatter within the dataset that could arise from differences in the microscopic environment of each NV center. An interesting area of future research would be to perform detailed spectroscopy to uncover the sources of this variation.

In summary, we have demonstrated generation and capture of free carriers between two shallow NV centers that are  $<10 \mu\text{m}$  apart from one another. We have shown that the hole capture cross section is smaller than prior measurements of bulk NV centers but that the observed carrier capture rate is not limited by the carrier lifetime. The hole diffusion length and hole capture cross section can be utilized as sensitive probes of charge transport in diamond devices. The technique demonstrated here can be easily extended to stabilize particular charge states of defects through photo-doping with distant donors, rather than bulk doping, as we have recently demonstrated for SiV<sup>0</sup> centers.<sup>21</sup> A natural next step would be to deploy photodoping to stabilize new color centers, such as GeV<sup>0</sup>, SnV<sup>0</sup>, and PbV<sup>0</sup>.

See the [supplementary material](#) for details about methods, determination of NV<sup>-</sup> population, calculation of carrier generation rate, measurement of dark ionization rate, verification of long diffusion length, and hole capture rates for concerned NV centers.

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**FIG. 3.** (a) Confocal scan showing a subset of the NV pairs investigated for calculating  $\sigma_h$  and  $L_h$ . Pairs are indicated by different colors. The scale bar is  $1 \mu\text{m}$ . (b) Time-dependent decay of  $[\text{NV}_P^-]$  varies with  $d$  (green:  $1.71 \mu\text{m}$ , orange:  $1.29 \mu\text{m}$ , and blue:  $0.70 \mu\text{m}$ ). NV<sub>S</sub> is excited with a  $90 \mu\text{W}$  532 nm laser. Solid lines indicate exponential fits, whereas  $t_{0N}$  reaches  $\infty$ , the probability of hole capture approaches unity. (c) The ratio of the hole capture rate to the hole generation rate,  $\alpha$ , for the 14 different NV pairs under study. The distance dependence is consistent with a diffusion model with an infinite diffusion length (green solid line). For comparison, three dashed lines with finite  $L_h$  (cyan:  $100 \mu\text{m}$ , orange:  $10 \mu\text{m}$ , and red:  $1 \mu\text{m}$ ) are also included.

## AUTHOR DECLARATIONS

## Conflict of Interest

The authors have no conflicts to disclose.

## Author Contributions

**Marjana Mahdia:** Conceptualization (equal); Data curation (equal); Formal analysis (equal); Investigation (equal); Software (equal); Visualization (equal); Writing – original draft (equal); Writing – review & editing (equal). **James Allred:** Conceptualization (equal); Data curation (equal); Formal analysis (equal); Investigation (equal); Methodology (equal); Visualization (equal); Writing – original draft (equal); Writing – review & editing (equal). **Zhiyang Yuan:** Data curation (equal); Formal analysis (supporting); Investigation (supporting); Software (supporting); Validation (equal); Writing – original draft (supporting); Writing – review & editing (supporting). **Jared Rovny:** Conceptualization (supporting); Data curation (equal); Formal analysis (equal); Supervision (supporting); Validation (equal); Writing – original draft (supporting); Writing – review & editing (equal). **Nathalie de Leon:** Conceptualization (equal); Data curation (equal); Formal analysis (equal); Funding acquisition (equal); Investigation (equal); Methodology (equal); Project administration (equal); Resources (equal); Supervision (equal); Validation (equal); Writing – original draft (equal); Writing – review & editing (equal).

## DATA AVAILABILITY

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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