

1 **Sociodemographic factors associated with heatwave risk perception**
2 **in the United States**

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23 Sociodemographic factors associated with heatwave risk perception 24 in the United States

25 26 **Abstract**

27 Extreme heat events are one of the deadliest weather-related hazards in the United States and are
28 increasing in frequency and severity due to anthropogenic greenhouse gas emissions. Further,
29 some subpopulations may be more vulnerable than others due to social, economic, and political
30 factors that create disparities in hazard impacts and responses. Vulnerability is also affected by
31 risk perceptions, which can influence protective behaviors. In this study, we use national survey
32 data to investigate the association of key sociodemographic factors with public risk perceptions
33 of heat waves. We find that risk perceptions are most associated with income, race/ethnicity,
34 gender, and disability status. Age, an important predictor of heat mortality, had smaller
35 associations with heat risk perceptions. Low-income, non-white, and disabled individuals tend to
36 perceive themselves to be at greater risks from heat waves than other subpopulations,
37 corresponding with their elevated risk. Men have lower risk perceptions than women despite
38 their higher mortality and morbidity from heat. This study helps to identify subpopulations in the
39 U.S. who see themselves as at risk from extreme heat and can inform heat risk communication
40 and other risk reduction practices.

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45 **1. INTRODUCTION**

46 Extreme heat events are one of the deadliest natural hazards in the United States (Berko
47 et al. 2014; Gasparrini et al. 2015; U.S. EPA and CDC 2016) and pose deadly threats to people
48 worldwide (Mora et al. 2017; Franzke and Torelló i Sentelles 2020). Extreme heat is projected to
49 increase in frequency and severity in response to increasing atmospheric concentrations of
50 greenhouse gases driven by human activity (Jeon et al. 2016; U.S. EPA and CDC 2016; Angéllil
51 et al. 2017; Vose et al. 2017; IPCC 2021). Urbanization is also increasing the number of people
52 exposed to deadly heat waves (Tuholske et al. 2021). Furthermore, there is demonstrated
53 influence of human activity on the severity of heat-health impacts (Vicedo-Cabrera et al. 2021),
54 and individual behavior and risk judgements can lead to different impacts across similarly
55 exposed populations (Semenza et al. 2008; White-Newsome et al. 2011; Lefevre et al. 2015;

56 Wilhelmi and Hayden 2010). Increasing physical exposure to extreme heat and its complex
57 interaction with social sensitivity factors associated with social inequities in hazard impacts and
58 responses (such as gender, age, and race/ethnicity) create varying risk environments for different
59 subpopulations across the country. This underscores the need for decision-makers and risk
60 managers to develop strategies and define priorities to mitigate the negative impacts of extreme
61 heat, since heat mortality and morbidity are often preventable if appropriate individual and
62 collective actions are taken.

63 In this study, we examine how sociodemographic indicators associated with health
64 disparities in the impacts of extreme heat also influence risk perceptions across the contiguous
65 United States. Using georeferenced survey data and multilevel regression modeling, we report
66 the associations of individual-level factors (*e.g.* gender, age, race/ethnicity, work status) with risk
67 perceptions, while also estimating risk perceptions among different subpopulations. These results
68 provide decision makers with valuable information about which vulnerable subpopulation tends
69 to perceive (or not) the threat of extreme heat which informs targeted risk communication and
70 hazard preparedness campaigns.

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72 **2. LITERATURE REVIEW**

73 **2.1 Extreme heat risk**

74 While there is no universal definition of an extreme heat event or heat wave, these events
75 are commonly understood as periods characterized by excessively high levels of temperature
76 and/or humidity that jeopardize human health due to severity of exposure or duration (Robinson
77 2001; Smith et al. 2013; White-Newsome et al. 2014; U.S. EPA and CDC 2016; Hawkins et al.
78 2017; Liss et al. 2017). Mora and colleagues (Mora et al. 2017) found that about 30% of the

79 global population is exposed to deadly heat conditions for at least 20 days each year, and this
80 number is expected to increase to between 48–74% by 2100 under different global warming
81 scenarios. As temperatures continue to rise, a greater proportion of U.S. citizens will be exposed
82 to extreme heat conditions in the future (Jones et al. 2015).

83 Extreme heat is a commonly experienced hazard with both immediate and delayed
84 negative health impacts that can result in illness and fatalities during pronounced heat waves. For
85 example, in July 1995, during a five-day extreme heat event in Chicago, Illinois, over 700 deaths
86 were recorded in excess of historical norms, representing an increase of 85% from the previous
87 year (Semenza et al. 1996; Klinenberg 2003). In May 2015, record temperatures throughout
88 southern India led to at least 2,320 confirmed fatalities (Ratnam et al. 2016; Mazdiyasi et al.
89 2017). And in August 2003, a particularly severe heat wave affected much of western Europe
90 claiming more than 70,000 lives (Robine et al. 2008). Despite these high numbers, heat deaths
91 are likely underreported due to heat’s tendency to exacerbate existing medical conditions
92 (Åström et al. 2011; Liss et al. 2017; Mora et al. 2017). Some negative heat-health impacts such
93 as dizziness and fatigue are experienced by a broader segment of the population (Khare et al.
94 2015; Hayden et al. 2017). For example, a study in England found that more than half of the
95 younger adults reported experiencing headache and sunburn during summer 2013 (Khare et al.
96 2015). The intensity and scope of these impacts are influenced by geographic factors, population
97 dynamics, time, scale, and the efficacy of communities’ adaptive policies (Semenza et al. 1996;
98 Klinenberg 2003; U.S. EPA 2006; Anderson and Bell 2011; Reid et al. 2012; IPCC 2014;
99 Tierney 2014).

100

101 **2.2. Risk assessment and extreme heat**

102 Assessment of vulnerability and risk is critical to identify priorities and develop
103 management strategies (IPCC 2012). Decision makers need locally relevant information about
104 the distribution of potential negative impacts to inform mitigation and risk reduction strategies.
105 The risks associated with climate change and natural hazards can be assessed by supplementing
106 physical models of hazard exposure (Gill and Malamud 2014; Hawkins et al. 2017; Mora et al.
107 2017) with analyses that seek to incorporate dynamic human vulnerability factors that affect
108 sensitivity and adaptive capacity (Reid et al. 2009; Tomlinson et al. 2011; Buscail et al. 2012;
109 Wolf and McGregor 2013; Weber et al. 2015). Vulnerability is a key determinant of potential
110 impacts of hazardous events, and sensitivity and lack of adaptive capacities are in turn causes of
111 vulnerability (IPCC 2012). Sensitivity refers to the potential of being negatively affected by
112 hazards due to personal, household, and contextual factors (such as social, economic, political, or
113 cultural factors) that magnify the impact of a hazard event (Grothmann and Reusswig 2006;
114 Johnson et al. 2012; Reid et al. 2012; IPCC 2014; Tierney 2014; Jones et al. 2015). Adaptive
115 capacity is the ability of individuals or a group to take actions that mitigate hazard risks such as
116 social capital (Kalkstein and Sheridan 2007; Bobb et al. 2014; IPCC 2014; Tierney 2014; Jones
117 et al. 2015). While the ability to predict climatic changes and the occurrence of heat events on a
118 global scale by better understanding the dynamic properties and interactions of the earth's
119 natural systems has improved (Schellnhuber 1999; Famiglietti et al. 2015), the dynamic
120 properties of human systems remain difficult to capture in comprehensive risk assessments.

121 In the context of extreme heat, some sociodemographic factors (see Table I) have been
122 associated with disparities in morbidity and mortality from extreme heat and included in risk
123 assessments as indicators of heat vulnerability (Harlan et al. 2006, 2013; Medina-Ramón et al.
124 2006; Anderson and Bell 2009, 2011; Reid et al. 2009, 2012; Buscail et al. 2012; Johnson et al.

125 2012; Wolf and McGregor 2013; Gronlund et al. 2014; Weber et al. 2015). Age is a demographic
126 factor of heat vulnerability because older individuals are statistically more likely to be negatively
127 impacted by extreme heat exposure as they tend to be more physiologically susceptible to heat,
128 more limited in their ability to access health services due to mobility constraints, and more prone
129 to social isolation (Semenza et al. 1996; Stafoggia et al. 2006; Reid et al. 2009; Uejio et al. 2011;
130 Wolf and McGregor 2013; Gronlund et al. 2014; Liss et al. 2017). In the United States,
131 epidemiological studies have found that men have higher rates of heat-related mortality and
132 morbidity than women during extreme heat events (Semenza et al. 1996; Whitman et al. 1997;
133 Choudhary and Vaidyanathan 2014; Hess et al. 2014; Schmeltz et al. 2015). Being active in the
134 heat and lower social contact may contribute to higher heat vulnerability among men, although
135 women face socioeconomic inequities in the United States that may also increase risk (Kovats
136 and Hajat 2008). People with lower educational attainment tend to face greater natural hazard
137 risks in general due to difficulties they face in accessing health services and hazard information
138 (Cutter et al. 2003; Reid et al. 2009; Anderson and Bell 2011; Weber et al. 2015). Low-income
139 and socioeconomically disadvantaged people, particularly disabled individuals, are significantly
140 more likely to be negatively affected by natural hazards, including extreme heat, due to a lack of
141 resources required to cope with the hazard (Harlan et al. 2006; Anderson and Bell 2009; Reid et
142 al. 2009). Previous studies have indicated that larger households (with a greater number of
143 residents) tend to have greater access to the social and material resources required to cope with
144 heat hazards (but are more likely to have children more susceptible to negative heat impacts)
145 whereas smaller households are more prone to social isolation, a significant source of
146 vulnerability (Semenza et al. 1996; Cutter et al. 2003; Klinenberg 2003, 80–81; Reid et al. 2009;
147 Weber et al. 2015). Due to social, political, and economic inequities, minoritized racial and

148 ethnic populations often experience greater health impacts from extreme heat (Cutter et al. 2003;
149 Reid et al. 2009; Anderson and Bell 2011; Weber et al. 2015), and can also be more exposed to
150 extreme heat at the neighborhood level due to historic patterns of discrimination such as
151 redlining (Benz and Burney 2021). These social, economic, and demographic factors can be
152 categorized as “sensitivity” factors, but they may also influence adaptive capacity in shaping
153 overall vulnerability.

154

155 **2.3. Risk perception**

156 In addition to these sensitivity factors, risk perception has also been acknowledged as an
157 important factor of heat vulnerability (Wilhelmi and Hayden 2010). Risk perception is a
158 determinant of individual risk decision-making and influences the likelihood of an individual
159 engaging in personal protective behaviors (Slovic 1987; van der Pligt 1996; Brewer et al.
160 2004). Personal behavior and preparedness can either attenuate or exacerbate vulnerability. The
161 relationship between risk perception and behavior has been studied with respect to certain
162 environmental and health hazards (Wachinger et al. 2013). Previous studies have found that heat
163 risk perceptions positively influence heat-protective behaviors (Lane et al. 2014; Hayden et al.
164 2017; Madrigano et al. 2018; Ban et al. 2019; Hass and Ellis 2019; Zander et al. 2019; Hass et al.
165 2021). For example, a recent U.S. national survey found that risk perceptions and subjective
166 experience with health effects of extreme heat predicted heat-protective behaviors (Esplin et al.
167 2019). Data on risk perceptions provide information on how individuals perceive their own
168 vulnerability and their likelihood of taking protective action (Tierney 2014), which are
169 increasingly sought by government officials and risk managers (Wolf et al. 2010; Reid et al.
170 2012; White-Newsome et al. 2014).

171 While sociodemographic sensitivity factors such as age and housing characteristics can
172 be included in risk assessment due to the availability of census data at sub-national levels, risk
173 assessment typically lacks data on risk perception (Wilhelmi and Hayden 2010). Furthermore,
174 little is known about what data may be good proxies for heat risk perception due to a lack of
175 knowledge about how key sensitivity factors are associated with risk perception. Existing
176 knowledge is limited to surveys in a small number of cities (Kalkstein and Sheridan 2007;
177 Madrigano et al. 2018; Chakalian et al. 2019). For example, a study conducted in New York City
178 found that low-income individuals were more likely to be concerned about heat, but men—who
179 also have elevated vulnerability to heat—tended to have lower heat risk perceptions (Madrigano
180 et al. 2018).

181 Risk reduction strategies may be more effective if they account for individual-level social
182 factors related to hazard awareness, risk judgements, and subsequent decision-making behaviors
183 that likely vary at sub-national levels (Slovic 1987; Renn 1998; Howe et al. 2019). Failure to
184 account for risk perception in risk assessment can lead to inadequate hazard communication and
185 misguided management priorities. For example, a lack of knowledge about the association of
186 sensitivity factors and risk perception may result in difficulties in identifying communication
187 priorities since little is known whether vulnerable populations perceive their elevated
188 vulnerability. If a certain vulnerable subgroup does not perceive a higher risk of extreme heat
189 events for themselves, their family, and their community, the subgroup should be a priority for
190 practitioners to target risk communication efforts.

191 To bridge the knowledge gap, this study investigates how sociodemographic factors are
192 associated with heat risk perception, using nationally representative survey data from the
193 contiguous U.S. This study asks: how do key social, economic, and demographic factors known

194 to be important indicators of mortality and morbidity from extreme heat (summarized in Table 1)
195 relate to extreme heat risk perceptions? We hypothesize that individual-level factors that have
196 been found to be associated with greater personal risk of heat-related impacts in previous studies
197 will be positively associated with heat wave risk perceptions. This study complements Howe et
198 al. (2019), which describes place-based geographic patterns in heat risk perceptions at multiple
199 scales (census tract, county, and state) across the U.S. using small-area estimation models.
200 Building on the same dataset, in this paper we focus on understanding how individual
201 sociodemographic factors predict heat risk perceptions and how such factors interact with each
202 other. By focusing on the predictors of heat risk perceptions, this research helps to identify
203 particular subpopulations who face well-documented vulnerability but are less likely to perceive
204 themselves to be at amplified risk from extreme heat. Such information can help decision makers
205 to define communication priorities and assess hazard vulnerability and risk in a more
206 comprehensive way.

207 208 **3. METHODS**

209 210 **3.1. Study area and data**

211
212 This study examines heat wave risk perceptions across the contiguous U.S. during the warm
213 months of 2015 using nationally representative survey data (Supplementary Information, Fig. 1).
214 The survey was administered online biweekly over the course of 20 weeks, beginning in May. The
215 survey was conducted on the GfK KnowledgePanel Omnibus, a shared-cost weekly online survey
216 whose respondents are sampled from a probability-based panel. GfK recruited panel members
217 using address-based sampling of all U.S. addresses from the U.S. Postal Service Delivery
218 Sequence File and provided households without internet access with a computer and internet
219 service (in our sample, 20% of respondents lacked home broadband internet access). The overall

220 sample size was $n = 10,532$. However, due to the panel design of this survey, responses were
221 collected more than once for some individuals. These subsequent responses were filtered from the
222 dataset before analysis and the final sample size was $n = 8,789$ unique respondents. Individual
223 identifiers were removed from the data and the precise geographic coordinates of respondents were
224 jittered within a radius of 150m for respondent confidentiality.

225 The survey was composed of three questions measuring heat wave risk perceptions on
226 three sub-scales, measuring perceived risk to the individual respondent, their family, and their
227 community:

228 “A heat wave is a period of unusually and uncomfortably hot
229 weather. If a heat wave were to occur in your local area, how
230 much, if at all, do you think it would harm the following: Your
231 health? Your family’s health? The health of others in your
232 community?”

233 The responses to each of the survey questions, which were collected using a slider bar on
234 a 0-100 scale, were combined to create an overall heat wave risk perception index used as the
235 dependent variable in this study. This index had high internal consistency (Cronbach’s alpha =
236 0.95). The index represents heat wave risk perception values on a scale of 0–100 with 100
237 representing the highest degree of perceived risk to heat. The high internal consistency of the
238 heat wave risk perception index suggests that it captures a single construct.

239 The survey also collected data on the sociodemographic characteristics of each
240 respondent. Seven sociodemographic variables (gender, age, race/ethnicity, income, education,
241 work status, household size) were used in this study’s regression analyses along with geographic

242 data recorded for each response. The structure of these variables is detailed in Supplementary
243 Info, Table 1.

244

245 **3.2. Analysis**

246

247 The scope of this analysis is focused on evaluating the sociodemographic factors associated with
248 risk perceptions, rather than developing an exhaustive model capturing all possible factors. We
249 fit a random intercept (multilevel) regression model to the heat wave risk perception index,
250 parameterized according to statistical best practices for confirmatory hypothesis testing
251 (Hofmann 1997; Gelman and Hill 2007, chap. 11–12; Zuur et al. 2009; Barr et al. 2013). The
252 purpose of the models in this paper is explanatory rather than predictive, and designed to test
253 hypotheses about associations between known vulnerability factors and risk perceptions. The
254 same methods and statistical techniques described below for the initial model build were applied
255 to each subsequent model. All analyses were performed using the R programming language and
256 environment using the lme4 package (Bates et al. 2015).

257 The initial model (Supplementary Table 2) was composed solely of categorical random
258 effects (Winter; Hofmann 1997; Barr et al. 2013). The model coefficients (effects) associated
259 with these predictors and their sublevels are random effects estimated with partial pooling—also
260 known as linear unbiased prediction (Winter; Goldberger 1962; Gelman and Hill 2007, chap.
261 12). By treating the extreme heat risk factors addressed in the study hypotheses as random
262 effects, the effect of the levels of each predictor can be assessed in relation to their difference
263 from the overall mean (i.e., the average risk perception score across the U.S. population)
264 (Robinson 1991; Hofmann 1997; Barr et al. 2013).

265 Multilevel regression models use best linear unbiased predictors (BLUPs) to predict
 266 random effect values rather than estimate fixed parameters and establish a hierarchical
 267 framework through which meaningful differences between levels can be discerned. The BLUPs
 268 are analogous to prediction in the Empirical Bayes methodological framework, in which
 269 parameters associated with a pre-specified prior distribution are estimated from the data, thereby
 270 approximating the full hierarchical Bayes model (Hofmann 1997; Gelman and Hill 2007, chap.
 271 11; Barr et al. 2013). By utilizing prediction instead of estimation, the strengths of Bayesian
 272 inference can be integrated within a classical statistical framework to support hierarchical linear
 273 modeling. Consequently, we employ BLUPs because the primary interest of this study is in
 274 making inferences about the distribution of risk perception values, their degree of variance at
 275 different levels, and the underlying population more so than in the effects themselves (e.g. fixed
 276 effects) or explicitly testing for measurable differences between specific levels (Gelman and Hill
 277 2007, chap. 11).

278 The following equation shows our initial model specification using variables identified in
 279 previous literature related to heat sensitivity:

$$280 \quad Y_{m_i, \dots, v_i} = \mu + \alpha_m^{age} + \alpha_n^{gender} + \alpha_o^{race/ethnicity} + \alpha_p^{race/ethnicity:gender} + \alpha_q^{income}$$

$$281 \quad + \alpha_r^{education} + \alpha_s^{work} + \alpha_t^{hsize} + \alpha_u^{state} + \alpha_v^{region} + \varepsilon_i, \text{ for } i = 1, \dots, 8789$$

282 where...

$$283 \quad \alpha_m^{age} \sim N(0, \sigma_{age}^2), \text{ for } m = 1, \dots, 5$$

$$284 \quad \alpha_n^{gender} \sim N(0, \sigma_{gender}^2), \text{ for } n = 1, 2$$

$$285 \quad \alpha_o^{race/ethnicity} \sim N(0, \sigma_{race/ethnicity}^2), \text{ for } o = 1, \dots, 5$$

$$286 \quad \alpha_p^{race/ethnicity:gender} \sim N(0, \sigma_{race/ethnicity:gender}^2), \text{ for } p = 1, \dots, 10$$

288 $\alpha_q^{income} \sim N(0, \sigma_{income}^2)$, for $q = 1, \dots, 7$

289 $\alpha_r^{education} \sim N(0, \sigma_{education}^2)$, for $r = 1, \dots, 4$

290 $\alpha_s^{work} \sim N(0, \sigma_{work}^2)$, for $s = 1, \dots, 5$

291 $\alpha_t^{hhszize} \sim N(0, \sigma_{hhszize}^2)$, for $t = 1, \dots, 4$

292 $\alpha_u^{state} \sim N(0, \sigma_{state}^2)$, for $u = 1, \dots, 51$

293 $\alpha_v^{region} \sim N(0, \sigma_{region}^2)$, for $v = 1, \dots, 4$

287

294 Predictors were included or dropped from the model based on tests of model fit. Model fit
295 was assessed using chi-square tests on the log-likelihood values through iterative ANOVA
296 testing to compare models reduced by one variable (subject to the ANOVA testing) and
297 determine that variable's contribution to the overall model fit via reduction in the residual sum of
298 squares (Barr et al. 2013; Bates et al. 2015). The contribution of each predictor to variance in risk
299 perceptions was tested by comparing the null (full Sensitivity Model) to a series of models each
300 missing one random effect term (Supplementary Table 2).

301 In a mixed effect model, inter-correlations between fixed effects can quickly be assessed
302 en masse via a correlation matrix; however, random effect models require systematic evaluation
303 of each predictor's individual contribution to the model. Multilevel modeling best practices
304 (Hofmann 1997; Gelman and Hill 2007) involve starting with a maximal model and using log-
305 likelihood tests to iteratively pare down the number of predictors. Best practices also indicate
306 that in many circumstances, it is more appropriate to retain predictors that would otherwise be
307 eliminated after the log-likelihood test because they are important to the conceptual or theoretical
308 framework adopted across the study -- for example, including or excluding the theoretically
309 important random effect "Education" had no quantifiable impact on model output (Table II).

310 Our model specification includes the following sociodemographic predictors: gender,
311 age, race/ethnicity, income, education, work status, and household size. Descriptive statistics are
312 available in Supplementary Table 1. In addition to these sociodemographic variables, we also
313 include an interaction term for gender by race and ethnicity, since this interaction is supported by
314 previous research on hazard risk perceptions: the “white male effect” found in many risk
315 perception studies (that white males tend to exhibit lower risk perceptions than other
316 demographic groups) indicates that the interaction of gender and race/ethnicity is important to
317 include in models of risk perceptions, since the effects of gender and race/ethnicity alone do not
318 fully capture the effect (Finucane et al. 2000). In addition, by using random effects associated
319 with geographic factors (Census region, state), the model was able to account for some degree of
320 spatial autocorrelation and overcome assumptions of independence that would normally be
321 violated if geographically clustered data were to be analyzed using traditional linear regression
322 modeling (Hofmann 1997; Gelman and Hill 2007, chap. 11).

323 Model results describe inter-group variation across sociodemographic factors hypothesized
324 to influence heat wave risk perceptions. The outcome variable is a risk perception index on a scale
325 of 0–100 with 100 representing the highest degree of perceived risk. Random effects included in
326 this model provide a direct measure of how much of the reported risk perception scores’ variance
327 around this mean is explained by group-level differences.

328

329 **4. RESULTS**

330 Nationwide, the mean heat wave risk perception index was 39 ($n = 8789$, $sd =$
331 24) on a 0-100 scale (Supplementary Fig. 2). Heat wave risk perception was associated

332 with the following statistically significant predictors: race/ethnicity, income, gender,
333 work status, age, state and region (Table 2).

334 Income was a statistically significant predictor of individual heat wave risk
335 perceptions with a large effect size ($\sigma = 3.72$, $X^2(1) = 89.52$, $p < 0.001$). Higher-income
336 individuals tend to have lower risk perceptions than lower-income individuals (Fig. 1)
337 and the national average. Holding other predictors constant at their means, respondents
338 earning less than \$15,000 per year scored 1.26 times higher on the heat wave risk
339 perception index (47) than respondents earning over \$150,000 per year (37).

340 Race and ethnicity was also a strong and significant predictor of heat wave risk
341 perceptions ($\sigma = 3.51$, $X^2(2) = 103.98$, $p < 0.001$). Holding other variables constant,
342 white, non-Hispanic or Latino respondents had the lowest estimated heat wave risk
343 perception index at 37, while Hispanic or Latino (44) and Other, non-Hispanic or Latino
344 respondents (47) had the highest estimated heat wave risk perception index (this category
345 includes non-Hispanic or Latino Asian, American Indian or Alaska Native, and Native
346 Hawaiian or other Pacific Islander U.S. residents). Gender was a statistically significant
347 predictor of heat wave risk perceptions ($\sigma = 2.32$, $X^2(2) = 80.27$, $p < 0.001$). Although
348 the effect was not large, the heat wave risk perception index was higher among women
349 (44) than men (41). While the race/ethnicity by gender interaction did not significantly
350 improve model fit overall ($\sigma = 0.95$, $X^2(2) = 1.84$, $p = 0.17$), white non-Hispanic or
351 Latino male respondents tended to have much lower heat wave risk perceptions scores
352 (35) than the mean for all other race by gender groupings (43).

353 Work status was also a strong and statistically significant predictor of heat wave
354 risk perceptions ($\sigma = 2.99$, $X^2(1) = 29.77$, $p < 0.001$). Across five work status categories,

355 disabled non-working respondents reported much higher heat wave risk perceptions (48)
356 than those in the remaining four work status categories (not working – seeking a job, 42;
357 working, 41; not working – retired, 41; not working – other, 40).

358 Age was a small but significant predictor of heat wave risk perceptions ($\sigma = 1.08$,
359 $X^2(1) = 6.17, p = 0.0129$). Respondents in the older age categories (65 years and older
360 and 45-54 years) had slightly higher heat wave risk perceptions (44) than those in the 35-
361 44 year old category (41).

362 The remaining sociodemographic variables did not significantly improve model
363 fit. Heat wave risk perceptions did not show significant variation by educational
364 attainment ($\sigma = 0.37, X^2(1) = 0.09, p = 0.76$) or household size ($\sigma = 0.58, X^2(1) = 1.35, p$
365 $= 0.25$).

366 We estimated variation in the heat wave risk perception index was across geographic
367 units (state and region) using the same techniques, by specifying geographic units as random
368 effects. Respondents' state of residence was a statistically significant predictor of heat wave risk
369 perceptions ($\sigma = 2.25, X^2(1) = 24.94, p < 0.001$). At a broader scale, the US Census region in
370 which each state was grouped was also a statistically significant predictor of risk perceptions and
371 explained variation beyond that at the state level ($\sigma = 2.23, (1) = 10.62, p = 0.001$). The Midwest
372 tended to have the lowest heat wave risk perceptions (39.9) while the South had the highest risk
373 perceptions (44.9). Geographic effects are summarized in Supplementary Fig. 3. Howe et
374 al.(Howe et al. 2019) provides additional detail on geographic variation in heat risk perceptions
375 at multiple scales.

376
377 **5. DISCUSSION**
378

379 The principal objective of this study was to determine how key sociodemographic factors
380 known to be important contributors to overall heat vulnerability (summarized in Table I) also
381 influence heat wave risk perceptions across the contiguous U.S. Several individual-level
382 sociodemographic factors were associated with differences in heat wave risk perceptions—either
383 positively or negatively, as hypothesized—and accounted for a statistically significant proportion
384 of total variance around the national average. Overall, sociodemographic predictors explain a
385 similar amount of individual variation in heat wave risk perceptions as they do risk perceptions
386 to other hazards (Peacock et al. 2005; Lindell and Hwang 2008; Kellens et al. 2011; Knuth et al.
387 2013).

388 This study also has several limitations. While our findings are based on a nationally
389 representative survey sample and generalizable to the U.S. population, low-population
390 sociodemographic groups are less represented in our sample, which limits the ability to draw
391 conclusions about their heat risk perceptions. Our survey data were collected during one season
392 (Summer 2015), which may limit our ability to generalize to other seasons where heat is a
393 potential hazard (such as late spring or early fall) or other years in which the U.S. population
394 may experience different patterns of weather conditions. A third limitation is that we focus here
395 only on several survey questions risk perceptions of heat. Resource constraints limited our ability
396 to collect additional survey questions which may provide a fuller picture of impacts, decision-
397 making, and responses to heat among the American public (e.g. Esplin et al. 2019). For example,
398 future surveys should examine how experiences with direct and indirect heat-health impacts may
399 influence risk perceptions.

400 Heat wave risk perception indices for subpopulations known to be at increased risk
401 tended to deviate from the national average in line with the directionality of their effect on heat

402 vulnerability, as found by previous research, with the notable exception of gender. Gender, a
403 factor which previous studies have identified as an important determinant of extreme heat
404 sensitivity, is an important determinant of risk perception. However, men—who experience more
405 impacts from heat to their health (Semenza et al. 1996; Whitman et al. 1997; Kovats and Hajat
406 2008; Choudhary and Vaidyanathan 2014; Hess et al. 2014; Schmeltz et al. 2015)—perceive
407 themselves to be at lower risk than women. This finding suggests particular importance for risk
408 communicators to conduct targeted communication efforts to men in the United States.

409 Minoritized racial groups are known to be at increased risk of being negatively impacted by
410 extreme heat (Cutter et al. 2003; Klinenberg 2003, 80–81; Anderson and Bell 2009, 2011; Reid
411 et al. 2009, 2012; Wolf and McGregor 2013; Weber et al. 2015) and also tend to have higher
412 heat risk perceptions. Previous studies have found that working, non-disabled individuals are less
413 sensitive to negative hazard impacts, while disabled persons are more susceptible to negative
414 impacts (Semenza et al. 1996; Cutter et al. 2003; Klinenberg 2003, 80–81; U.S. EPA 2006; IPCC
415 2014; Ebi et al. 2018; U.S. EPA and CDC 2016). In this study, disabled non-working
416 respondents reported much higher heat wave risk perceptions. As hypothesized, respondents with
417 higher incomes tended to have much lower heat risk perceptions than the national average,
418 individuals with lower incomes tended to have higher risk perceptions.

419 The relatively low variance across some subpopulations may be partially a consequence
420 of the conservative nature of mixed effect models, which rely upon partial pooling and
421 combinations of individual-level and contextual-level characteristics that tend to pull
422 subpopulation estimates toward their respective national averages. Despite this, a few at-risk
423 subpopulations tended to have lower risk perceptions than expected (Fig. 1). Some factors
424 known to increase vulnerability, such as age and education, were not associated with substantial

425 differences in risk perception. Although age—a factor which previous studies have identified as
426 an important determinant of extreme heat health impacts (Klinenberg 2003; Anderson and Bell
427 2009, 2011; White-Newsome et al. 2014; Gronlund et al. 2014)—was found to be a statistically
428 significant predictor of heat risk perceptions, practically it did not have a pronounced effect on
429 extreme heat risk perception. The most senior subpopulation (≥ 65 years of age) reported only
430 slightly higher risk perceptions than younger subpopulations despite their elevated risk. While
431 we cannot identify whether this pattern is due to younger subpopulations overestimating their
432 risk or older subpopulations underestimating their risk, we would still expect to find larger
433 differences between the two groups if risk perceptions aligned with health risks. Since they do
434 not, the possible underestimation of extreme heat risk by a particularly vulnerable subpopulation
435 indicates that older populations may be less likely to take protective behaviors than would be
436 appropriate given their risk profile. This is particularly significant given that an aging,
437 increasingly urban U.S. population—with an increasing number of individuals considered to be
438 vulnerable to heat (Basu 2009; Ortman et al. 2014; Jones et al. 2015; Lehner and Stocker 2015;
439 Mora et al. 2017) —will likely be exposed to more frequent and intense extreme heat events –
440 particularly in urban heat islands (Tomlinson et al. 2011; Li and Bou-Zeid 2013; U.S. EPA and
441 CDC 2016). This increasing exposure, combined with a tendency to underestimate age-related
442 risk, suggests that risk-reduction programs should also be focused on older individuals, including
443 risk communication efforts.

444 No relationship was observed between education and heat risk perception despite the fact
445 that individuals with lower educational attainment often face greater difficulty in accessing
446 health services and information regarding the nature of natural hazards (Cutter et al. 2003;

447 Medina-Ramón et al. 2006; U.S. EPA 2006; Anderson and Bell 2009, 2011; Reid et al. 2009,
448 2012; Smith 2013, 85–86; Weber et al. 2015; U.S. EPA and CDC 2016).

449 Additionally, previous research has identified household size as an important predictor of
450 hazard risk, as larger households with more people living together are more likely to have the
451 financial and social resources required to cope with environmental hazards and avoid social
452 isolation (Semenza et al. 1996; Cutter et al. 2003; Klinenberg 2003, 80–81; Reid et al. 2009,
453 2012; Weber et al. 2015). In our model household size had no effect on heat wave risk
454 perception when also controlling for income.

455 Overall, we find evidence that the socioeconomic factors associated with health impacts
456 from extreme heat correspond in many ways to the factors associated with heat risk perceptions
457 among the U.S. population. Income tends to be a strong predictor of heat risk perceptions, along
458 with work status, gender, and race/ethnicity. Conceptually, income is directly associated with the
459 ability to protect oneself from the heat through, for example, household adaptations such as
460 installing and using air conditioning. Income is also associated with employment type and
461 location. While our survey did not include detailed questions on employment type, higher-paying
462 occupations tend to be located in indoor climate-controlled environments, while many outdoor
463 occupations are lower paying (such as agricultural and construction labor) and employees in such
464 outdoor occupations are exposed to greater heat risks.

465 The results of this study and Howe et al. (2019) show that heat wave perceptions do vary
466 spatially and demonstrate statistically significant, non-random geographic patterns. People living
467 in regions with histories of greater exposure to extreme heat events tended to have higher risk
468 perceptions (Howe et al. 2019). However, this study indicates that the association of key
469 sociodemographic variables with heat wave risk perceptions persists even after controlling for

470 geography. In addition, our individual-level analysis identifies patterns less clearly visible at the
471 state, community, or neighborhood level. For example, Howe et al. (2019) show that counties
472 with older populations do not, on average, have higher heat risk perceptions than counties with
473 younger populations. Our results, however, show a small but statistically significant positive
474 relationship between age and heat risk perceptions across the population. Furthermore, we
475 demonstrate the meaningful effects of certain key individual predictors (such as gender and work
476 status) that may themselves vary less across communities but more between and within
477 households, and remain important factors for understanding how people perceive risks.

478 Taken together, heat wave risk perceptions demonstrate substantial variation across the
479 U.S. population. For example, the combination of race and ethnicity with income illustrates a wide
480 range of predicted heat wave risk perceptions (Fig. 2). Selected sociodemographic factors
481 including income, race/ethnicity, work status, and gender exhibit similar or greater variance to the
482 broad-scale geographic factors of state and region. When combined, demographic and geographic
483 factors are associated with large variation in risk perceptions across the population. Across all
484 possible combinations, we estimate that the group with the highest heat wave risk perceptions
485 (65.1) are Louisiana women 45-54 years old in the “other, non-Hispanic or Latino” race/ethnicity
486 category (which includes Asian, American Indian or Alaska Native, Native Hawaiian or other
487 Pacific Islander U.S. residents) who are disabled and not working with incomes of less than
488 \$15,000 per year. By contrast, the group estimated to have the lowest heat wave risk perceptions
489 (22.0) are Minnesota men 35-44 years old in the “white, non-Hispanic or Latino” race/ethnicity
490 category who are not disabled with incomes of greater than \$150,000 per year.

491 Findings of this study inform risk communication strategies and risk reduction
492 management in two ways. First, for men and older adults, our study suggests that these groups

493 tend to underestimate their elevated vulnerability from extreme heat (although we cannot rule out
494 the possibility that comparison groups are relatively overestimating their vulnerability). The
495 underestimation of risks is likely to contribute to maladaptation during extreme heat events (Esplin
496 et al. 2019; Hass and Ellis 2019). This finding highlights the importance to conduct targeted risk
497 communication and help people in the United States to fully understand their risks. Compared to
498 efficacy statements (e.g., information about the location of cooling centers), communication
499 strategies that emphasize vulnerability (e.g., explanations about why all people are vulnerable to
500 extreme heat) should be prioritized to test in future studies to better communicate heat-health risks
501 (e.g. Li et al. 2021). Second, for low-income, non-white, and disabled subpopulations, this study
502 found that these subpopulations have much higher heat risk perceptions than the national average,
503 which is in line with their elevated risk of health impacts from heat. For risk management and
504 communication with these subpopulations, this finding suggests that it is important to allocate
505 resources (such as utility bill relief) to help at-risk populations cope with extreme heat. When
506 communicating with such populations, efficacy statements about how to reduce their risks—
507 compared to strategies emphasizing vulnerability—might be more effective to help them overcome
508 barriers to taking protective actions.

509 510 **6. CONCLUSIONS**

511
512 Using national survey data, we used hierarchical linear models to examine how
513 sociodemographic and geographic variables relate to heat wave risk perception in the U.S. The
514 direction of heat wave risk perception predictors across the contiguous U.S. generally reflects
515 trends identified in health impacts for many sociodemographic factors, with the notable
516 exceptions of gender and, to some extent, age. Highlighting the distribution of perceived risk can
517 help set priorities for subpopulation-specific risk communication strategies. Our results allow

518 estimates of risk perceptions for specific subpopulations in relation to overall national trends.
519 Variation in specific subpopulations, especially at the extremes, may be of particular interest for
520 risk reduction efforts, including targeted risk communication.

521 Low risk perception increases vulnerability because people are less likely to respond to
522 the hazards they do not perceive. In other words, what we believe to be real shapes our
523 behavior—reactively or proactively. When vulnerable subpopulations, such as men and the
524 elderly, do not perceive themselves to be at greater risk from heat, this presents barriers to risk
525 reduction. This study found that age did not substantively influence heat risk perception,
526 suggesting that older people may underestimate their elevated risk. In addition, men may also
527 underestimate their increased risk from extreme heat events. These findings can inform risk
528 communication programs to target these populations who may not currently fully understand
529 their vulnerability. Effective risk communication strategies can reduce sensitivity to heat and
530 enhance adaptive capacity by promoting protective behavior at the individual and community-
531 level. For example, the protective behaviors promoted by risk communication campaigns might
532 include risk awareness, avoiding unnecessary exposure, developing personal heat-safety plans.
533 The first steps in designing effective risk communication programs are identifying vulnerable
534 subpopulations, studying their distribution, and evaluating their unique circumstances; data on
535 risk perception and its association with sociodemographic factors help accomplish these goals.

536 Heat risk is increasing around the world due to global warming caused by anthropogenic
537 greenhouse gas emissions and urbanization, but total hazard risk can be reduced by targeted
538 interventions aimed at strengthening adaptive capacity and addressing human vulnerability
539 factors (Adger 2006; Smit and Wandel 2006; Noble et al. 2014, 847–849). To do this,
540 researchers, risk managers, and community members will need to work together to identify

541 vulnerability factors (Mimura et al. 2014, 871–877; Ebi et al. 2018). This study details a new
542 systematic approach for understanding risk perceptions across subpopulations using nationally
543 representative survey data that is generalizable to the U.S. population. Leveraging advances in
544 both the natural and social sciences to understand the drivers and distribution of heat
545 vulnerability is vital to minimizing future loss in the face of rising exposure. Studying the
546 landscapes of beliefs, risk perceptions, and behaviors can inform policy as well as our
547 understanding of vulnerability at a range of temporal and spatial scales.
548

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553

554 **DATA AVAILABILITY STATEMENT**

555 Data that support the findings of the paper will be deposited in the Digital Commons at
556 Utah State University when the paper is published.

557

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Table 1. Summary of Sensitivity Factors Known to Influence Extreme Heat Risk

Predictor	Direction (+/-)	Details	References
Age (65+)	+	The elderly face higher risk of negative physiological impacts from exposure to hazard and are more likely to be limited in their ability to access health services due to mobility constraints	Semenza et al. 1996; Cutter et al. 2003; Klinenberg 2003; Stafoggia et al. 2006; U.S. EPA 2006; Harlan et al. 2006; Medina-Ramón et al. 2006; Kovats and Hajat 2008; Anderson and Bell 2009, 2011; Reid et al. 2009, 2012; Johnson et al. 2009; Tomlinson et al. 2011; Uejio et al. 2011; Buscail et al. 2012; Wolf and McGregor 2013; White-Newsome et al. 2014; Ebi et al. 2018; Weber et al. 2015; U.S. EPA and CDC 2016
Gender (male)	+	Men have higher rates of heat-related mortality and morbidity than women in the United States. The higher heat vulnerability among men is likely to be attributed to being active in the heat and a higher level of social isolation.	Semenza et al. 1996; Whitman et al. 1997; Kovats and Hajat 2008; Choudhary and Vaidyanathan 2014; Hess et al. 2014; Schmeltz et al. 2015
Educational attainment	-	Less educated individuals often face greater difficulty in accessing health services and information regarding the nature of the hazard	Cutter et al. 2003; Medina-Ramón et al. 2006; U.S. EPA 2006; Anderson and Bell 2009, 2011; Reid et al. 2009, 2012; Smith 2013, 85–86; IPCC 2014; Ebi et al. 2018; Weber et al. 2015; U.S. EPA and CDC 2016
Race/ethnicity (non-white)	+	Racial and ethnic minority groups often reside in more hazard-prone areas, are predisposed to having less power to cope with negative impacts of hazards due to socioeconomic inequalities, difficulties accessing health services, and limited mobility	Curriero et al. 2002; Cutter et al. 2003; Klinenberg 2003; Anderson and Bell 2009, 2011; Reid et al. 2009, 2012; Wolf and McGregor 2013; Tierney 2014, p. 21; IPCC 2014; Ebi et al. 2018; Weber et al. 2015
Income	-	Individuals with higher incomes have more resources to cope negative hazard impacts	Semenza et al. 1996; Cutter et al. 2003; Klinenberg 2003; U.S. EPA 2006; Kovats and Hajat 2008; Ebi et al. 2018; U.S. EPA and CDC 2016
Work status (disabled)	+	Disabled persons are more susceptible to negative hazard impacts	Semenza et al. 1996; Cutter et al. 2003; Klinenberg 2003, 80–81; U.S. EPA 2006; Kovats and Hajat 2008; Ebi et al. 2018; U.S. EPA and CDC 2016
Household size	±	Smaller households (fewer residents) are more susceptible to social isolation as a source of vulnerability; greater numbers may indicate greater access to resources (reduced vulnerability) or presence of	Semenza et al. 1996; Cutter et al. 2003; Klinenberg 2003; Reid et al. 2009, 2012; Weber et al. 2015

children (increased
vulnerability)

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Table 2. Model results predicting heat wave risk perception index

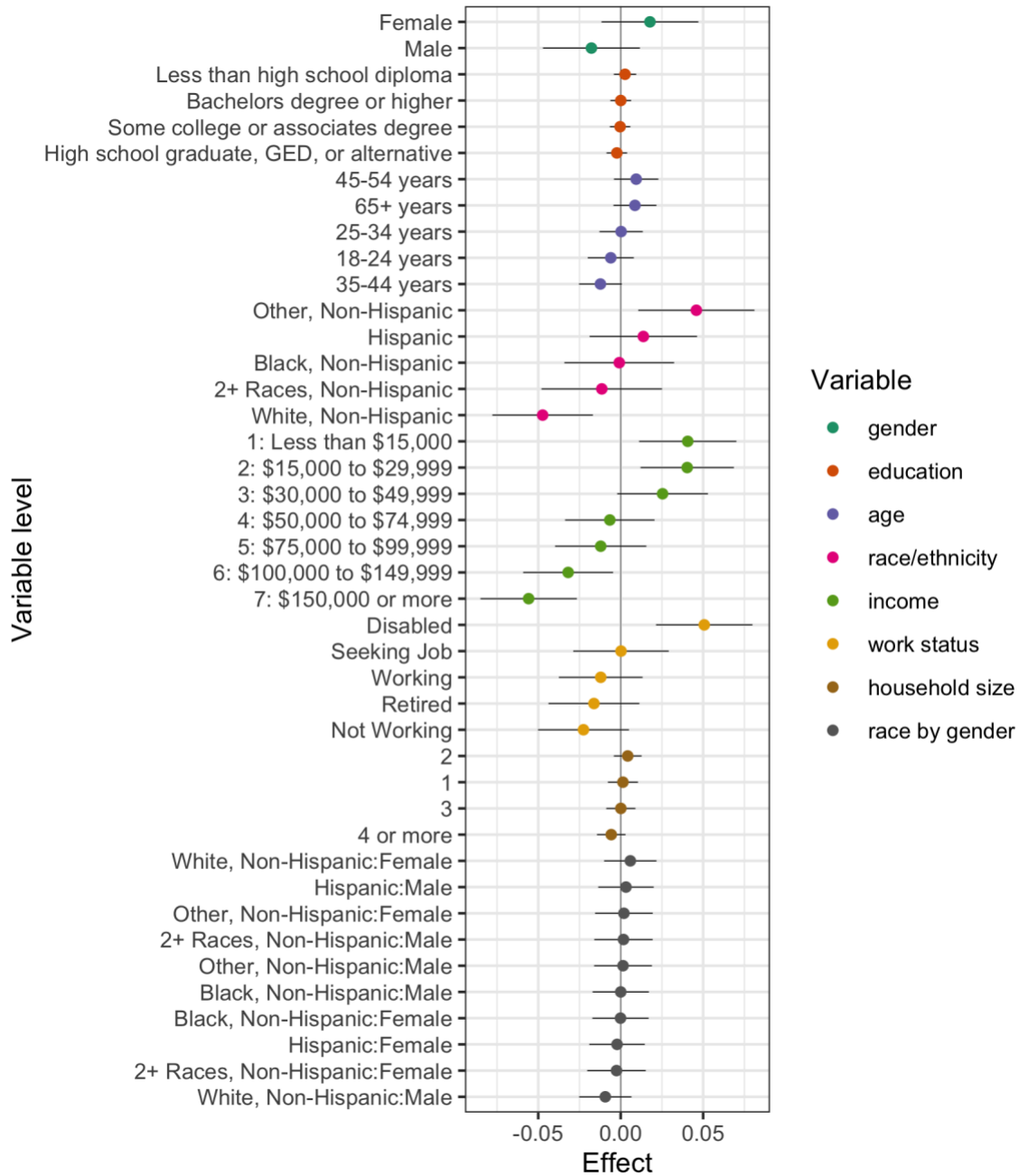
Fixed effects	β	Lower 95% CI	Upper 95% CI	Standard Error
Intercept	42.50	35.99	49.01	3.32
Random effects	Levels (#)	Variance (σ^2)	Std. dev. (σ)	p
Residual		548.46	23.42	
Age	5	1.16	1.08	0.0129*
Gender	2	5.38	2.32	0.0000***
Race/ethnicity	5	12.29	3.51	0.0000***
Race/ethnicity : gender	10	0.91	0.95	0.1739
Income	7	13.83	3.72	0.0000***
Education	4	0.14	0.37	0.7604
Work status	5	8.99	2.99	0.0000***
Household size	4	0.33	0.58	0.2436
State	49	5.06	2.25	0.0000***
Region	4	4.99	2.23	0.0011**

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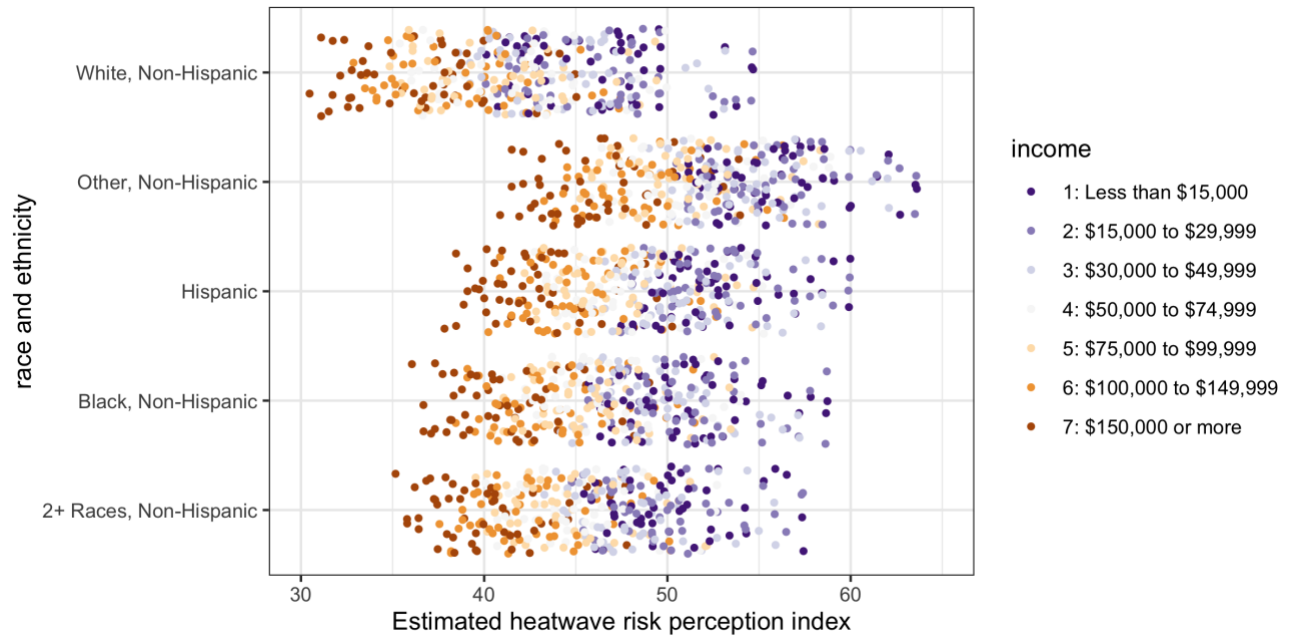
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Notes: Observations = 8789. *p<0.05, **p<0.01, ***, p<0.001



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Figure 1. Effects of model predictors with associated 95% confidence intervals, excluding state and region. Points represent best linear unbiased predictor estimates for random effects in multilevel model.



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878 **Figure 2.** Predicted heat wave risk perception index values for each combination of significant
 879 sociodemographic predictors. Each dot represents one type of individual based on each possible
 880 permutation of income, race/ethnicity, gender, age, and work status. Dots are ordered by estimated
 881 heat wave risk perception index and race/ethnicity.
 882