## Complete minors and average degree – a short proof

Noga Alon \* Michael Krivelevich † Benny Sudakov ‡

## Abstract

We provide a short and self-contained proof of the classical result of Kostochka and of Thomason, ensuring that every graph of average degree d has a complete minor of order  $\Omega(d/\sqrt{\log d})$ .

Let G = (V, E) be a graph with  $|E|/|V| \ge d$ . How large a complete minor are we guaranteed to find in G? This classical question, closely related to the famed Hadwiger's conjecture, has been thoroughly studied over the last half a century. It is quite easy to see the answer is at least logarithmic in d. Mader [3] proved it is of order at least  $d/\log d$ . The right order of magnitude was established independently by Kostochka [1, 2] and by Thomason [4] to be  $d/\sqrt{\log d}$ , its tightness follows by considering random graphs. Finally, Thomason found in [5] the asymptotic value of this extremal function.

Here we provide a short and self-contained proof of the celebrated Kostochka–Thomason bound.

**Theorem 1.** Let G = (V, E) be a graph with  $|E|/|V| \ge d$ , where d is a sufficiently large integer. Then G contains a minor of the complete graph on at least  $\frac{d}{10\sqrt{\ln d}}$  vertices.

The constant 1/10 in the above statement is inferior to the best constant 3.13... found by Thomason [5] (yet is better than the constants in [1, 2]); we did not make any serious attempt to optimize it in our arguments. The main point here is to give a short proof of the tight  $\Omega(d/\sqrt{\log d})$  bound for this classical extremal problem.

Throughout the proof we assume, whenever this is needed, that the parameters n and d are sufficiently large. To simplify the presentation we omit all floor and ceiling signs in several places. For a graph G = (V, E), its minimum degree is denoted by  $\delta(G)$ , and for  $v \in V$  we use  $N_G(v)$  for the external neighborhood of v in G.

We need the following lemma proven by simple probabilistic arguments.

**Lemma 2.** Let H = (V, E) be a graph on at most n vertices with  $\delta(H) \ge n/6$ . Let  $t \le n/\sqrt{\ln n}$ , and let  $A_1, \ldots, A_t \subset V$  with  $|A_j| \le ne^{-\sqrt{\ln n}/3}$  for all  $1 \le j \le t$ . Then there is  $B \subset V$  of size  $|B| \le 3.1\sqrt{\ln n}$ 

<sup>\*</sup>Department of Mathematics, Princeton University, Princeton, NJ 08544, USA and Schools of Mathematics and Computer Science, Tel Aviv University, Tel Aviv 6997801, Israel. Email: nalon@math.princeton.edu. Research supported in part by NSF grant DMS-1855464 and USA-Israel BSF grant 2018267.

<sup>&</sup>lt;sup>†</sup>School of Mathematical Sciences, Tel Aviv University, Tel Aviv 6997801, Israel. Email: krivelev@tauex.tau.ac.il. Research supported in part by USA-Israel BSF grant 2018267.

<sup>&</sup>lt;sup>‡</sup>Department of Mathematics, ETH, Zürich, Switzerland. Email: benjamin.sudakov@math.ethz.ch. Research supported in part by SNSF grant 200021\_196965.

such that B dominates all but at most  $ne^{-\sqrt{\ln n}/3}$  vertices of V,  $B \setminus A_j \neq \emptyset$  for all j = 1, ..., t, and the induced subgraph G[B] has at most six connected components.

*Proof.* Set  $s = 3.1\sqrt{\ln n}$  and choose s vertices of V independently at random with repetitions. Let B be the set of chosen vertices. Observe that for every vertex  $v \in V$ ,

$$Pr[N(v) \cap B = \emptyset] \le \left(1 - \frac{d(v)}{n}\right)^s \le e^{-\frac{sd(v)}{n}} \le e^{-s/6}.$$

Hence the expected number of vertices not dominated by B is at most  $ne^{-s/6} < ne^{-3.1\sqrt{\ln n}/6} < ne^{-\sqrt{\ln n}/2}$ , and by Markov's inequality, it is at most  $ne^{-\sqrt{\ln n}/3}$  with probability exceeding 1/2 (with room to spare). Also, since  $|V| > \delta(H) \ge n/6$ , for every subset  $A_j$ ,

$$Pr[B \subseteq A_j] = \left(\frac{|A_j|}{|V|}\right)^s < \left(\frac{6|A_j|}{n}\right)^s \le 6^s e^{-s\sqrt{\ln n}/3} = 6^{\Theta(\sqrt{\log n})} e^{-3.1 \ln n/3} < \frac{1}{n}.$$

Therefore the probability that  $B \setminus A_i \neq \emptyset$  for all j is at least  $1 - t/n \ge 1 - 1/\sqrt{\ln n}$ .

We now argue about the number of connected components in G[B]. Writing  $B=(v_1\dots,v_s)$ , for  $1\leq i\leq s$  let  $x_i$  be the random variable counting the number of indices  $1\leq j\neq i\leq s$  for which  $v_j$  is a neighbor of  $v_i$ . Conditioning on  $v_i$ , we see that  $x_i$  is distributed as a binomial random variable with parameters s-1 and  $d(v_i)/|V|>1/6$ . Hence invoking the standard Chernoff-type bound on the lower tail of the binomial distribution, we derive that  $Pr[x_i < s/7] \leq e^{-\Theta(s)}$ . Applying the union bound over all  $1\leq i\leq s$ , we conclude that with probability 1-o(1), we have  $x_i\geq s/7$  for all i. Finally, observe that since  $s\ll \sqrt{|V|}$ , with probability 1-o(1) there are no repetitions in B, and hence  $d(v_i,B)=x_i\geq s/7$  for all  $1\leq i\leq s$ . But then all connected components of G[B] are of size exceeding s/7, and therefore G[B] has at most six connected components.

Combining the above three estimates, the desired result follows.

**Proof of Theorem 1.** Let G' = (V', E') be a minor of G such that  $|E'| \ge d|V'|$  and |V'| + |E'| is minimal. If an edge e of G' is contained in t triangles then contracting e gives a minor of G with one vertex and t+1 edges less. By the minimality of G' we have t+1>d, implying  $t \ge d$ . Hence for every edge  $e = (u, v) \in E(G')$ , the vertex u is connected by an edge of G' to at least d neighbors of v. The minimality of G' also implies |E'| = d|V'|, hence G' has a vertex v of degree at most 2d. Let H be the subgraph of G' induced by  $N_{G'}(v)$ . Then H has at most 2d vertices and minimum degree at least d. Obviously a minor of H is a minor of G as well.

We now argue that H contains a d/3-connected subgraph  $H_1$  with  $\delta(H_1) \geq 2d/3$ . If H itself is d/3-connected this holds for  $H_1 = H$ . Otherwise there is a partition  $V(H) = A \cup B \cup S$ , where  $A, B \neq \emptyset$ , |S| < d/3, and H has no edges between A and B. Assume without loss of generality  $|A| \leq |B|$ . Then  $|A| \leq d$ , and since  $\delta(H) \geq d$ , every vertex  $v \in A$  has at least 2d/3 neighbors in A, implying that every pair of vertices of A has at least d/3 common neighbors in A. Hence the induced subgraph  $H_1 := H[A]$  is d/3-connected, has at most 2d vertices and satisfies  $\delta(H_1) \geq 2d/3$ .

Set i=1 and repeat the following iteration  $d/10\sqrt{\ln d}$  times. Let  $H_i=(V_i,E_i)\subseteq H_1$  be the current graph, and suppose  $A_1,\ldots,A_{i-1}$  are subsets of  $V_i$  of cardinalities  $|A_j|\leq 2de^{-\sqrt{\ln(2d)}/3}$  (representing

the non-neighbors of the previously found branch sets  $B_i$  in  $V_i$ ). We assume (and justify it later) that  $H_i$  is connected and has  $\delta(H_i) > d/3$ . Then the diameter of  $H_i$  is at most 14, as on any shortest path  $P = (v_0, v_1, \ldots)$  in  $H_i$  the vertices at positions divisible by three have pairwise disjoint neighborhoods. Since  $|V(H_i)|/\delta(H_i) < 6$ , the number of such neighborhoods is at most 5, and therefore any shortest path has at most 15 vertices. Applying Lemma 2 with  $H := H_i$ , n := 2d, t := i - 1, and  $A_1, \ldots, A_{i-1}$ (for the initial step i = 1 there are no  $A_i$ 's to plug into Lemma 2 — which of course does not hinder its application) we get a subset  $B_i$  of cardinality  $|B_i| \leq 3.1 \sqrt{\ln(2d)}$  as promised by the lemma. We now turn  $B_i$  into a connected set by adding few vertices of  $H_i$  if necessary. Recall that  $H_i[B_i]$  has at most six connected components. Connecting one of them by shortest paths in  $H_i$  to all others and recalling that  $H_i$  has diameter at most 14, we conclude that by appending to  $B_i$  all the vertices of these paths we make it connected by adding to it at most  $13 \cdot 5 = 65$  vertices. Altogether we obtain a connected subset  $B_i$  of cardinality  $|B_i| \leq (3.1 + o(1))\sqrt{\ln(2d)}$ , dominating all but at most  $2de^{-\sqrt{\ln(2d)}/3}$  vertices of  $V_i$  and having a vertex outside every  $A_i$  (these properties are preserved under vertex addition when making  $B_i$  into a connected subset) — meaning connected to every previous  $B_i$ . We now update  $V_{i+1} := V_i - B_i$ ,  $A_i := V_{i+1} - N_{H_i}(B_i)$ , and  $A_j := A_j \cap V_{i+1}$ ,  $j = 1, \ldots, i-1$ , and finally increment i:=i+1, set  $H_i:=H[V_i]$ , and proceed to the next iteration. The total number of vertices deleted in all iterations satisfies:

$$\left| \bigcup_{i} B_{i} \right| \leq \frac{d}{10\sqrt{\ln d}} \cdot (3.1 + o(1))\sqrt{\ln(2d)} < \frac{d}{3},$$

and since we started with the d/3-connected graph  $H_1$  with  $\delta(H_1) \geq 2d/3$ , we indeed have that at each iteration the graph  $H_i$  is connected and has  $\delta(H_i) > d/3$ .

After having completed all  $d/10\sqrt{\ln d}$  iterations, we get a family of  $d/10\sqrt{\ln d}$  branch sets  $B_i$ , all connected, and with an edge of  $H_1$  between every pair of branch sets. Hence they form a complete minor of order  $d/10\sqrt{\ln d}$  as promised.

## References

- [1] A. V. Kostochka, The minimum Hadwiger number for graphs with a given mean degree of vertices, Metody Diskret. Analiz. 38 (1982), 37–58 (in Russian).
- [2] A. V. Kostochka, A lower bound for the Hadwiger number of graphs by their average degree, Combinatorica 4 (1984), 307–316.
- [3] W. Mader, Homomorphiesätze für Graphen, Math. Ann. 178 (1968), 154–168.
- [4] A. Thomason, An extremal function for contractions of graphs, Math. Proc. Cambridge Philos. Soc. 95 (1984), 261–265.
- [5] A. Thomason, The extremal function for complete minors, J. Combin. Theory Ser. B 81 (2001), 318–338.