

# **X-ray Absorption Spectroscopy Illustrates the Participation of Oxygen in the Electrochemical Cycling of $\text{Li}_4\text{Mn}_2\text{O}_5$**

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## **Abstract**

A combination of oxygen redox and Mn-based oxides would be the best option for high-energy density Li-ion batteries crucial for a sustainable society. The disordered rock-salt  $\text{Li}_4\text{Mn}_2\text{O}_5$  was recently reported to display very large capacity of 460 mAh/g with relative reversibility. Previous studies proposed the involvement of lattice oxygen redox in such intriguing electrochemical performance, whereas no direct evidence was presented. To clarify the charge compensation mechanism, we systematically investigated the evolution of the electronic structure of both Mn and O upon cycling via Mn/O K-edge XAS spectroscopy. Mn K-edge XAS unequivocally demonstrates the participation of Mn redox upon the initial stages of charging, yet changes are arrested at the high potentials, while O continues to evolve according to O K-edge XAS. Upon discharging, both Mn and O partially recover to their pristine states. The results highlight the significance of a disordered structure in maintaining the reversible redox chemistry of both transition metals and oxygen to design cathode materials with high energy density.

## 1. Introduction

The call for a sustainable society powered by renewable energy from wind and solar imposes a significant demand for energy storage, particularly the wide deployment of Li-ion batteries with high energy densities.<sup>1-3</sup> Among the constituents in the battery, the cathode limits its storage capacity. Compared with conventional cathodes, Li-rich transition metal oxide cathodes ( $\text{Li}_{1+x}\text{TM}_{1-x}\text{O}_2$ ,  $0 < x < 1$ , TM = transition metals) have gained attention in the battery field due to the transformational increase in the capacity arising from redox behavior that transcends classical cationic redox.<sup>4-7</sup>

So far, both Li-rich layered oxides with several 3d, 4d and 5d elements have been shown good electrochemical performance, such as  $\text{Li}_{1.17}\text{Ni}_{0.21}\text{Co}_{0.08}\text{Mn}_{0.54}\text{O}_2$ <sup>8</sup>,  $\text{Li}_3\text{RuO}_4$ <sup>9, 10</sup>,  $\text{Li}_2\text{IrO}_3$ <sup>11, 12</sup>,  $\text{Li}_3\text{IrO}_4$ <sup>10, 13</sup>, and  $\text{Li}_7\text{RuO}_6$ <sup>14</sup>. In terms of cost and sustainability, however, Mn-based Li-rich oxides are highly desirable, such as  $\text{Li}_2\text{MnO}_3$ <sup>15-17</sup> and  $x\text{Li}_2\text{MnO}_3 \cdot (1-x)\text{LiTMO}_2$ <sup>5</sup>. However, Layered  $\text{Li}_2\text{MnO}_3$ -based Li-rich oxides suffer from a deteriorative electrochemical cycling with voltage hysteresis and capacity decay due to the irreversible structural evolution,<sup>8, 18-20</sup> hampering their practical utilization. In order to bypass the detrimental structural degradation in Li-rich layered oxides, alternative materials with a disordered rock-salt (DRX) have been proposed.<sup>21-25</sup> These Mn-based DRX cathodes have shown promise in terms of energy density, impressive capacity retention and rate capability, the last of which is enabled by Li diffusion rate through percolating networks of TM-free clusters with low energy barriers.<sup>26</sup> Theoretically, there is no structural restriction in DRX materials compared with layered oxides which need to maintain their layered integrity upon electrochemical cycling. Thus, the horizon of chemical space in cathode design notably expanded.

Recently, a nanostructured disordered rock-salt-type  $\text{Li}_4\text{Mn}_2\text{O}_5$  was developed, which displays an unusually high capacity of 460 mAh/g with relative reversibility.<sup>27</sup> Despite such intriguing electrochemical performance, the detailed charge compensation mechanism associated with (de)lithiation is still unclear. Based on measurements of magnetic properties, a previous study predicted that the electrochemistry of  $\text{Li}_4\text{Mn}_2\text{O}_5$  was driven by cationic and anionic oxygen redox.<sup>27, 28</sup> Further studies in Mn K-edge X-ray absorption spectroscopy and  $\text{K}\beta$  X-ray emission spectroscopy uncover the partial oxidation of  $\text{Mn}^{3+}$  to  $\text{Mn}^{4+}$  and no existence of  $\text{Mn}^{4+}/\text{Mn}^{5+}$  redox couple, indicating that Mn redox activity can only partially account for the capacity observed,<sup>29, 30</sup> further hinting a significant involvement of oxygen upon the 1<sup>st</sup> cycling. While the same work suggested a very minor release of oxygen gas in the first cycle,<sup>30</sup> the evolution of electronic structure of oxygen after the same electrochemical processes has not directly been studied yet.

In pursuit of understanding the detailed charge compensation mechanism, in this work, X-ray absorption spectroscopy was performed at both Mn and O K-edges at various states of charge in the voltage window between 4.8 and 1.2 V. In combination with a quantitative analysis linking the oxidation state and absorption energy via integral method, Mn K-edge XAS clearly uncovered oxidation state changes of Mn in the initial stages of reaction. As a complementary probe to Mn K-edge XAS, O K-edge XAS unambiguously demonstrated changes of oxygen electronic structure upon cycling and uncovered that they dominate the charge compensation process at high voltage. The relatively reversible electrochemistry further verifies the significance of the disordered structure in sustaining the anionic oxygen redox.

## **2. Experimental section**

### **2.1 Material Synthesis**

$\text{Li}_4\text{Mn}_2\text{O}_5$  was synthesized via a two-step route, including a precursor preparation and mechanochemical activation, based on the previous reports. First, high-temperature  $\text{LiMnO}_2$

precursor was produced via a traditional solid-state reaction by using a homogeneous reagent mixture of  $\text{Li}_2\text{O}$  (Sigma-Aldrich, 99.9%) and  $\text{Mn}_2\text{O}_3$  (Sigma-Aldrich, 99.9%) under the stoichiometric ratio of 2:1. A small excess of ( $\sim 5$  wt%) of excess of  $\text{Li}_2\text{O}$  was added to compensate for the  $\text{Li}_2\text{O}$  loss at high temperature. Pellets weighing up to 1 g were pressed and calcined in a tube furnace at  $1000^\circ\text{C}$  under argon atmosphere for 12 h before cooling to room temperature (RT). The mechanical synthesis was carried out inside a stainless-steel jar with 8 stainless steel balls (10 mm in diameter) by using a Retsch PM200 Planetary Ball Mill at 600 rpm. The synthesized  $\text{LiMnO}_2$  precursor was ballmilled with  $\text{Li}_2\text{O}$  with a stoichiometric molar ratio of 2:1 and carbon black (5 wt%) for 24 h.

## **2.2 Characterization**

### **2.2.1 Electrochemical Testing**

All electrochemical characterizations of galvanostatic charge–discharge experiments were performed in two-electrode 2032 coin-type cells. The positive electrode materials were homogeneously mixed in a planetary ball mill in argon-filled glovebox with 10 wt% carbon black (Denka) under Argon atmosphere before further experiments. The mixture powder was then used as cathode materials for the coin cell assembling. The cells were fabricated in an argon-filled glovebox with moisture and oxygen levels of lower than 0.1 ppm. Within the cells, a high-purity lithium foil (Alfa Aesar) was employed as the counter/reference electrode, and a Whatman GF/D borosilicate glass fiber was employed as separator, and a solution of 1 M  $\text{LiPF}_6$  dissolving in a mixture of ethylene carbonate (EC)/dimethyl carbonate (DMC) (1:1, V/V, Novolyte Technologies) was employed as the electrolyte. During the experiment, the typical loading of powdered active material was 10 mg in a typical cell. The galvanostatic charge–discharge cycling with the voltage window of 4.8 - 1.2 V was performed at RT using a BT-Lab tester with a current rate of C/10

(indicating 1 Li is extracted in 10 h) with variant voltage-cutoff windows. All potentials quoted in this paper were referenced to  $\text{Li}^+/\text{Li}^0$  unless otherwise mentioned. When the state of the charge reaches the setup point, the cell would be immediately disassembled in the argon-filled glovebox to avoid self-discharging under open-circuit state and the inside cycled electrode powder was washed thoroughly with anhydrous DMC and then dried under vacuum via the glovebox antechamber for 5 mins. Cleanly dried electrodes would be stored in the argon-filled glovebox for the future *ex situ* characterization.

### 2.2.2 Structural Characterization

The lab XRD was collected by using Bruker D8 Advance under  $\text{Cu K}\alpha$  radiation with the wavelength of 1.5406 Å. *Ex situ* high resolution synchrotron X-ray diffraction (SXR) measurements were conducted at the 11-BM-B beamline at the Advanced Photon Source (APS) at Argonne National Laboratory (ANL) via a 12-channel analyzer detector array, with an average wavelength of 0.412795 Å produced by two platinum-stripped collimating mirrors and a double-crystal Si(111) monochromator. The data was collected with a step size of  $0.001^\circ$  ( $2\theta$ ) and a scan speed of  $0.01^\circ/\text{s}$ . The powder samples were mixed with an appropriate amount of amorphous silicon dioxide to reduce X-ray absorption. Air-sensitive samples for *ex situ* measurements were sealed in Kapton capillaries with a diameter of 0.80 mm in an Ar-filled glovebox, and subsequently packed into heat-sealed Al-coated plastic bags for transport to the instrument. The capillaries were transferred out of the bag right before their measurement to minimize their exposure to air to the time required for the measurement. Time of flight neutron powder diffraction (TOF-NPD) data were collected at room temperature at the beamline 11A (POWGEN) of the Spallation Neutron Source (SNS) at Oak Ridge National Laboratory (ORNL) with the center wavelength of neutrons of 1.5 Å. An appropriate amount of sample was sealed in airtight vanadium sample cans with an

inner diameter of 6 mm under argon and transferred to the beamline station. Rietveld Refinements were performed through the GSASII program.<sup>31</sup>

### 2.2.3 X-ray Absorption Spectroscopy

*Ex situ* Mn L-edge and O K-edge X-ray absorption spectroscopy (XAS) was collected at the 4-ID-C beamline at the APS. Data were obtained at a spectral resolution of  $\sim 0.2$  eV, under both total fluorescence yield (TFY) and total electron yield (TEY) modes. Harvested samples were stored in an Ar-filled glovebox, transferred into a portable transport container and then into the instrument antechamber under an Ar environment to minimize the potential exposure to air. During the measurement, three scans were performed on each sample, at each absorption edge, and scans were averaged to maximize the signal-to-noise ratio. The O K-edge XAS was calibrated to a  $\text{Sr}_2\text{RuO}_4$  reference measured simultaneously with the sample, while the Mn L-edge was calibrated to a Mn reference measured simultaneously with the sample.

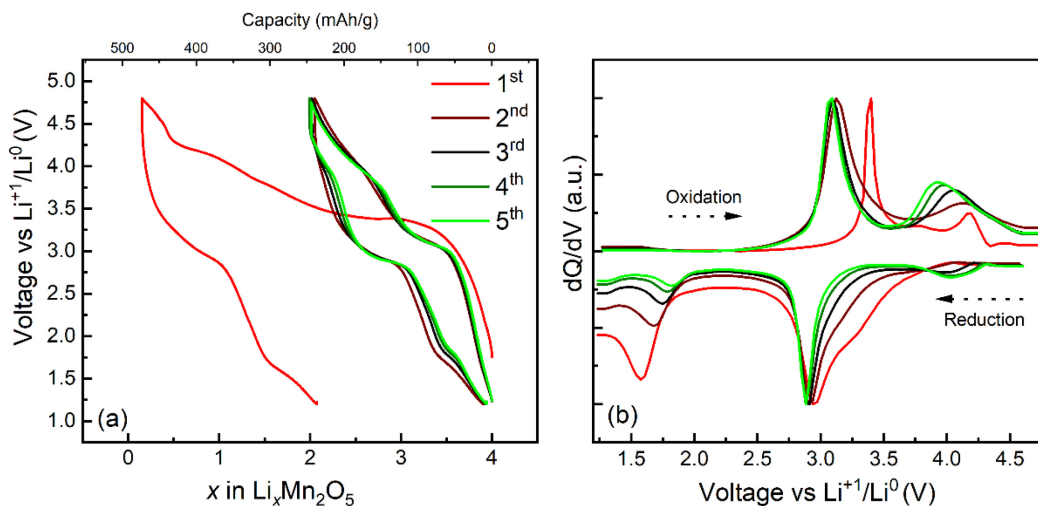
*Ex situ* Mn K-edge XAS was collected at the 20-BM-B beamline at the APS in transmission mode using a Si (111) double crystal monochromator. A standard foil of Ru metal located in front of a reference ion-chamber for Mn K-edge was measured simultaneously with each spectral sample for energy calibration. The energy threshold  $E_0$  of the reference Mn foil was determined from the first derivative peak of the spectrum, and all XAS reference spectra were calibrated and aligned to the standard Mn energy for further comparison study. The background subtraction, X-ray absorption near edge spectroscopy (XANES) normalization and (extended X-ray absorption fine structure (EXAFS) fitting were carried out using IFEFFIT-based Demeter package.<sup>32</sup>

## 3. Results and discussion

### 3.1 Electrochemical properties

The X-ray diffraction patterns of the as-synthesized sample agrees well with the previous report<sup>27</sup> (Figure S1), verifying the consistency in the crystal structure of the sample. Upon initial oxidation, the electrochemical profile showed a pseudo plateau, with the onset from 3.3 V and end at 3.5 V, followed by a sloping profile to 4.8 V, amounting to a specific capacity around 473 mAh/g, equivalent to the removal of 4 mol of Li per mol of compound, assuming 100% faradaic efficiency (Figure 1a). The corresponding derivative,  $dQ/dV$ , depicted a sharp peak centered at 3.4 V and a small one at 4.18 V (Figure 1b). Upon the subsequent reduction, the profile displayed no observable plateaus. There was a broad peak at 2.95 V, with a shoulder around 3.3 V, and a comparably smaller one at 1.58 V in  $dQ/dV$ . It is noted that not all the Li extracted in the first oxidation was re-inserted into the compound, in agreement with previous reports<sup>27</sup> and also reflects the irreversibility in the electrochemical response. The profiles starting from the 2<sup>nd</sup> cycle are significantly different compared with that of the 1<sup>st</sup> (de)lithiation. The anodic steps started at 3.1 and 4.12 V, respectively, while the cathodic processes shifted to 2.9 V, with a dramatic decrease in peak width, and to 1.68 V, with a prominent decrease in the intensity, reflecting a noticeable decrease in the voltage hysteresis compared with that of the 1<sup>st</sup> cycle. In addition, a new process emerged at 4.0 V. Upon subsequent cycling, the profiles largely remained steady, with a minor evolution in the shape and a decrease in hysteresis, reflecting highly reversible (de)intercalation processes. Generally, the electrochemical behavior of the as-synthesized material is analogous to the previous reported one.<sup>27, 29</sup> In addition, the comparison between the 1<sup>st</sup> cycling and subsequent cycling indicates the material underwent an activation during the 1<sup>st</sup> charge-discharge process, which will be the focus of the following discussion. It has previously been proposed that the as-made material contains a small amount of unreacted  $\text{Li}_2\text{O}$ , which then participates in the 1<sup>st</sup> charge,<sup>29</sup> in an irreversible process. Since  $\text{Li}_4\text{Mn}_2\text{O}_5$  was prepared via the same method as

reported<sup>27</sup>, the existence of  $\text{Li}_2\text{O}$  can also explain the difference of the electrochemical behavior between the 1<sup>st</sup> cycle and the subsequent cycles observed here. In terms of the potential oxygen loss upon cycling, Diaz-Lopez studied the gas evolution via *in situ* online electrochemical mass spectroscopy (OLMS) and revealed that there is only tiny oxygen gas release.<sup>30</sup> Therefore, this trace oxygen loss have little effect on the irreversible electrochemical performance.



**Figure 1.** (a) Voltage-composition profiles of  $\text{Li}_4\text{Mn}_2\text{O}_5$  during the first five charge-discharge processes in the voltage window of 1.5-4.8 V and (b) the corresponding differential capacity plots.

### 3.2 *Ex situ* Mn K-edge XAS

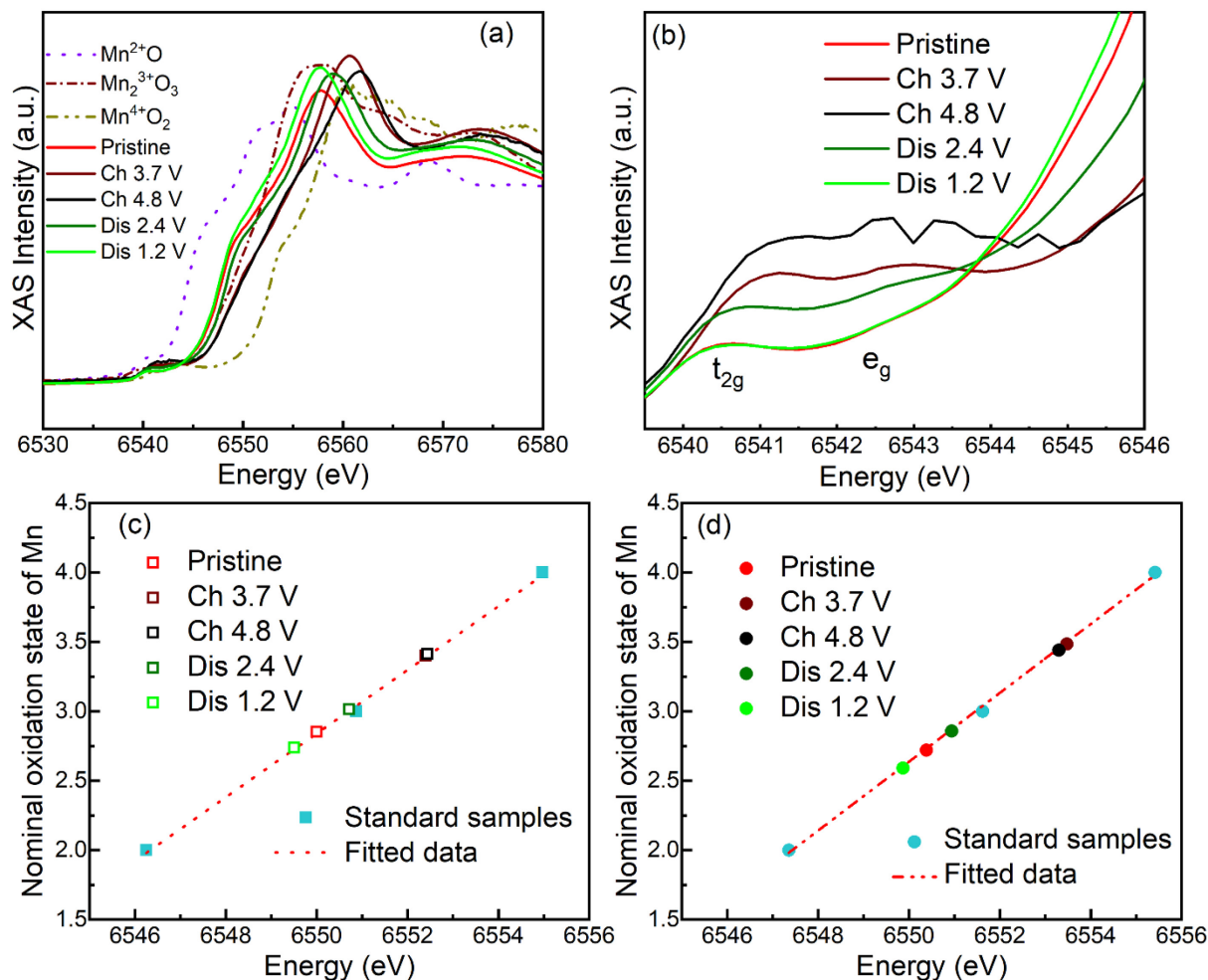
To gain a full understanding of the role of Mn in the charge compensation upon cycling, *ex situ* Mn K-edge XAS were performed at various states of charge (Figure 2a). The Mn K-edge XAS can be divided into two regions, a small pre-edge around 6542 eV and an absorption edge above 6545 eV. The main absorption edge arises from the electric dipole-allowed transition from 1s to 4p level, while the pre-edge originates from two primary transitions<sup>33</sup>. One is the electric quadrupole-allowed and dipole-forbidden  $1s \rightarrow 3d$  transition. The probability of the electric quadrupole-allowed transitions is much lower compared with the dipole transition, leading to a



much lower intensity in the pre-edge peak unless the coordination environment is non-centrosymmetric, due to the mixing between 3d and 4p orbitals. The shape of the Mn K-edge XAS spectra underwent a notable evolution with cycling, which is confirmed by the spreading out of the 1<sup>st</sup> derivative plots (Figure S2). This phenomenon introduced uncertainty in the determination of the oxidation state of Mn by simple methods such as comparing the absorption edge or 1<sup>st</sup> derivative plots. In view of this uncertainty, the integral method reported by Dau et al. was employed (Figures 2b and 2c),<sup>34</sup> rather than analyses based on single points, to maximize accuracy and probe uncertainty in establishing the relationship between the position of the absorption edge and the nominal oxidation state.

The absorption edge of the pristine  $\text{Li}_4\text{Mn}_2\text{O}_5$  is located at slightly lower energy than  $\text{Mn}_2^{3+}\text{O}_3$  (Figure 2a), suggesting that it may contain small amounts of  $\text{Mn}^{2+}$  in addition to  $\text{Mn}^{3+}$ . The spectrum also has a weak doublet pre-edge feature at 6540.5 and 6542.7 eV (Figure 2b), corresponding to quadrupole electric transition to  $t_{2g}$  and  $e_g$  orbitals. Upon oxidation to 3.7 V, coulometry indicates that approximately 1 mol electrons were extracted from  $\text{Li}_4\text{Mn}_2\text{O}_5$  (Figure 1a), assuming 100% faradaic efficiency. In turn, the absorption edge largely shifted to higher energy than  $\text{Mn}_2(\text{III})\text{O}_3$ , reflecting the oxidation of Mn. The exact change in oxidation state was sensitive to the choice of endpoints in the integration (Figures 2c and 2d and Figure S3 and associated discussion), going from 2.7-2.8 in the pristine state to 3.4-3.5 at 3.7 V. The extent of change at the Mn K-edge, equivalent to 0.7-0.8 electrons per Mn, was comparable to previous reports<sup>30</sup> and in reasonable agreement with coulometry, especially considering that our analysis highlights the sensitivity of the quantification to analytical choices. The pre-edge experienced an increased intensity with a well-resolved doublet due to a further distortion of the Mn coordination environment to more non-centrosymmetric one driven by delithiation, consistent with Mn

oxidation process based on that the intensity of the pre-edge feature is inversely related to the number of 3d electrons.<sup>33</sup> Upon further oxidation, the peak of the white line, at ~6561.4 eV, shifted to higher energy and the intensity of the pre-edge feature increased further, yet the absorption edge largely stayed static (Figures 2a and 2b), indicative of a preservation of Mn oxidation state which is reflected in the analysis via integration (Figures 2c and 2d). The change in Mn oxidation state cannot solely account for the large, delivered capacity, equivalent to ~0.9 mol electrons per mol Mn. Upon the reversal of the current to reduce to 2.4 V, the lithiation process gave rise to a low energy shift, to an average oxidation state of 3.0, and a reduction in the pre-edge peak intensity. Further reduction to 1.2 V recovered the spectrum to the pristine state in both the absorption edge and pre-edge region (Figures 2a and 2b), with a final Mn oxidation state of 2.7, indicating a relative reversibility in Mn redox state during the 1<sup>st</sup> cycle.



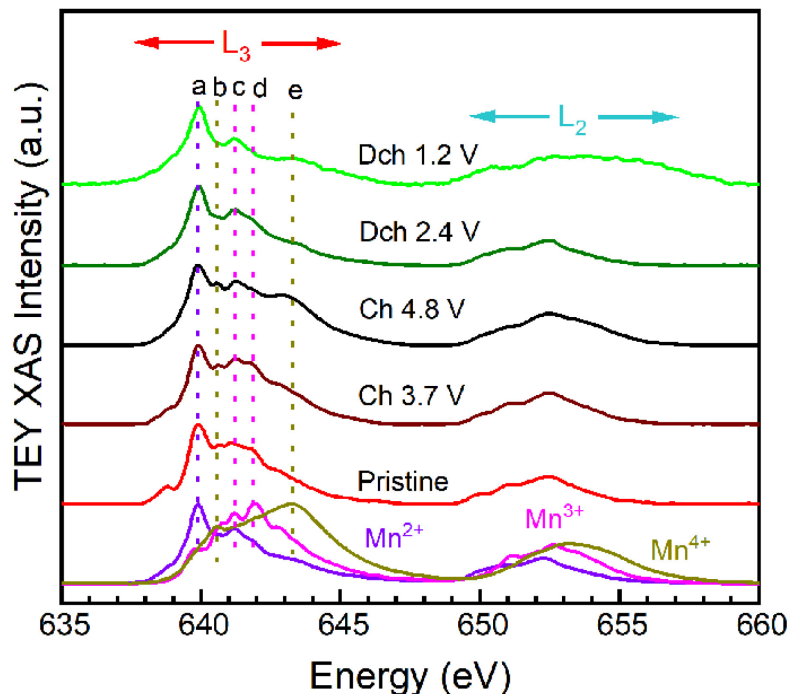
**Figure 2.** (a) Mn K-edge XANES of  $\text{Li}_4\text{Mn}_2\text{O}_5$  at different states of charge, compared with the reference samples:  $\text{Mn}^{2+}\text{O}$ ,  $\text{Mn}_2^{3+}\text{O}_3$ , and  $\text{Mn}^{4+}\text{O}_2$ . (b) Mn pre-edge features at different states of charge of  $\text{Li}_4\text{Mn}_2\text{O}_5$  during the first cycle. (c) and (d) Relationship between the absorption edge energy and nominal oxidation state of Mn in the spectra (a). The integrated regions for integrating are between 0.2 and 0.8 in the values of intensity for (c) and between 0.3 and 0.7 for (d). The detailed oxidation states of Mn and parameters of the fitted data are shown in Table S1.

### 3.3 Ex situ Mn L-edge XAS

Although Mn K-edge XAS spectra provide the evolution of the average oxidation state of Mn in the bulk, the variation of the electronic structure of Mn on surface is missing. Hence, Mn L-

edge XAS, arising from transitions from Mn 2p states to unfilled Mn 3d orbitals, were collected under TEY mode with a penetration of 10 nm to probe chemical states of Mn on the surface (Figure 3). Due to the broad feature in L<sub>2</sub> region, the comparably resolved peaks in the L<sub>3</sub> region are the focus. In comparison with reference samples,<sup>35</sup> the pristine spectrum demonstrated the existence of peak a and peaks c and d corresponding to Mn<sup>2+</sup> and Mn<sup>3+</sup>, respectively, revealing that Mn in the pristine Li<sub>4</sub>Mn<sub>2</sub>O<sub>5</sub> on the surface is the mixture of Mn<sup>2+</sup> and Mn<sup>3+</sup>, consistent with the average oxidation state of Mn lower than 3+ in the bulk deduced from Mn K-edge XAS. Upon oxidation to 3.7 V, the intensity of peaks c and d, and peaks b and e, corresponding to Mn<sup>3+</sup> and Mn<sup>4+</sup>, respectively, increased and the intensity ratio between peak a and other peaks decreased, indicating the oxidation of Mn associated with delithiation and the mixed state of Mn<sup>2+</sup>, Mn<sup>3+</sup> and Mn<sup>4+</sup>. Further oxidation to 4.8 V reduced the intensities of peaks c and d and largely increased the intensity of peak e, suggesting the increase in Mn<sup>4+</sup> at the expense of Mn<sup>3+</sup>. However, it is important to note that the average oxidation state at the surface was decidedly lower than in the bulk, probed by Mn K-edge XAS. This discrepancy points at a possible involvement of interfacial reactions to faradaic inefficiencies of the electrode during charge.

Reduction to 2.4 V noticeably decreased the intensities of peak b and e and increased peak d, uncovering the reduced amount of Mn<sup>4+</sup> and increased Mn<sup>3+</sup>. Subsequent reduction to 1.2 V resulted in a vanished peak b, reflecting the absence of Mn<sup>4+</sup>, and increased the intensity ratio of peak a to other peaks, indicating the increased amount of Mn<sup>2+</sup> and, thus, a final mixed state of Mn<sup>2+</sup> and Mn<sup>3+</sup>. In comparison with the pristine state, the spectrum after reduction to 1.2 V was not fully recovered, indicating the partial reversibility of the redox process.



**Figure 3.** *Ex situ* Mn L-edge XAS spectra of  $\text{Li}_4\text{Mn}_2\text{O}_5$  at different states of charge measured under TEY mode. Mn L-edge XAS spectra of reference samples  $\text{Mn}^{2+}\text{O}$ ,  $\text{Mn}_2^{3+}\text{O}_3$  and  $\text{Mn}^{4+}\text{O}_2$  collected at the same mode are provided for comparison.

### 3.4 *Ex situ* O K-edge XAS

Although Freire et al.<sup>27</sup> and Diaz-Lopez et al.<sup>30</sup> proposed that lattice O redox plays an important role in the extra capacity of  $\text{Li}_4\text{Mn}_2\text{O}_5$ , no experimental evidence was provided to support the participation of O in the charge compensation. Therefore, we performed the O K-edge XAS analysis of  $\text{Li}_4\text{Mn}_2\text{O}_5$  at various charged and discharged states. The O K-edge XAS probes dipole allowed transition from core O 1s to empty O 2p states.<sup>36</sup> In general, the spectrum of O K-edge XAS can be divided into two regions (Figure 4). The pre-edge ( $< 535$  eV) represents the unoccupied states resulting from O 2p orbitals hybridized with TM 3d orbitals, and the broad band above 533 eV corresponds to a collection of excitations from O 1s to orbital to empty states of O

2p orbitals mixed with the TM 4s and 4p orbitals, and ultimately, the continuum. The position of the pre-edge peak is affected by the change in the net electron density of the ligand via donating charge to the surrounding metal ion, the degree of d orbital splitting induced by the ligand field effect, and the overall TM d-manifold orbital energy determined by the strength of the covalent TM-O bonds.<sup>37</sup> The intensity of these peaks reflects both the density of unoccupied hybridized states and the contribution of O to their wave function. Therefore, the measurements offer insight into the role of O states and any changes in covalency.<sup>38</sup> Note that the XAS spectra were measured simultaneously under both TEY and TFY modes. The TEY mode with a probing depth around 10 nm provides surface information, whereas the TFY mode probes 100 nm into the electrode, offering insight into the interior of the material. It is worthy of notice that the spectral intensities in this mode are distorted due to the self-absorption phenomenon of fluorescent photons by the material, so only qualitative trends between samples will be discussed.

In terms of O K-edge XAS collected at TFY mode, the O K-edge XAS of the pristine state displayed two broad features located at ~531.0, ~532.4 eV, respectively (Figures 4a and S4a), which is due to the transition to unoccupied O 2p states hybridized with Mn 3d states based on the previous studies.<sup>39,40</sup> Apart from that, a broad peak centered ~533.9 eV was above the background. Freire et al. confirmed the existence of the residue Li<sub>2</sub>O in the bulk during the synthesis of Li<sub>4</sub>Mn<sub>2</sub>O<sub>5</sub> by employing neutron and synchrotron diffraction.<sup>29</sup> Previous O K-edge measurements of Li<sub>2</sub>O<sup>41</sup> revealed a broad pre-edge feature at ~533.9 eV, suggesting the existence of Li<sub>2</sub>O in the bulk of our samples, as well, even if it was undetected by laboratory XRD. It is worth noting that Li<sub>2</sub>CO<sub>3</sub> has a sharp feature at similar energies,<sup>41</sup> so, given the high background at these energies, the possibility of the carbonate contributing to the signals cannot be excluded with high confidence.

The oxidation to 3.7 V largely reconstructed the spectral shape and led to well-resolved peaks at 529.6 and 532.0 eV with a large increase in peak intensities, assigned to the transition to  $t_{2g}$  and  $e_g$  states in  $Mn^{4+}$ ,<sup>18 42</sup> consistent with the Mn K-edge data. Further oxidation continued to increase peak intensities without altering peak positions, reflecting the continual increase of unoccupied O 2p states. Since there was no Mn oxidation during this process and little oxygen gas loss was observed<sup>30</sup>, the displayed large capacity is ascribed to involvement of O in the oxide lattice in the charge compensation. The notable decrease in relative intensity at 533.9 eV would also be consistent with the oxidation of  $Li_2O$  to  $O_2$  during charging, as proposed in the literature.<sup>43</sup> Reduction to 2.4 V resulted in a significant change in the spectral shape with a broad peak centered at 531.8 eV and two shoulders at 531.0 and 529.9 eV and a pronounced decrease in the intensity due to the decreased O-Mn covalency mainly derived from the Mn reduction, consistent with Mn K-edge XAS. Further reduction to 1.2 V largely preserved the overall spectral shape of the pre-edge, but led to a decrease in its intensity, further supporting the Mn reduction involving the electrons filling O 2p-Mn 3d covalent states. It is noticeable that the TFY spectra did not fully recover to the pristine state during discharge, indicating that the changes in the O electronic structure in the bulk are not completely reversible. Some of the irreversibility is ascribed to the contribution of  $Li_2O$  oxidation to the capacity on charge,<sup>29</sup> since there was no recovery of the signals at 534 eV. The fact that the pre-edge at 1.2 V had notably lower total intensity than the pristine sample would suggest that the compound was reduced beyond its initial state at these potentials.

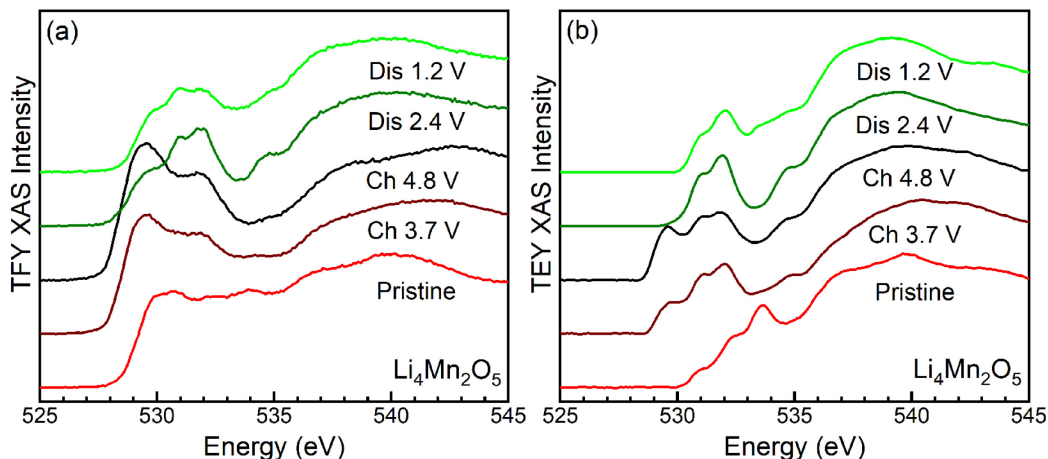
The TEY O K-edge XAS spectrum of pristine  $Li_4Mn_2O_5$  exhibited a broad pre-edge feature below 535 eV (Figures 4b and S4b). There was a sharp peak located at ~533.6 eV. This peak appeared to be meaningfully redshifted compared to the broad peak centered at 533.9 eV observed

in TFY of the pristine state. The energy and lineshape differences are reminiscent of the reported differences between the O K-edge XAS of  $\text{Li}_2\text{CO}_3$  and  $\text{Li}_2\text{O}$ .<sup>40</sup> Therefore, while again a contribution of  $\text{Li}_2\text{O}$  cannot be completely discarded, the sharp peak appears to be dominated by the transition to  $\pi^*$  ( $\text{C} = \text{O}$ ) orbital of  $\text{Li}_2\text{CO}_3$  existing mainly on the surface<sup>41, 44</sup> There were two shoulder features located at the same positions as those in the bulk, that is 531.0 and 532.4 eV, respectively, but with a much lower intensity mainly due to the small amount of the active material on the surface. Oxidation to 3.7 V maintained the 531.0-eV peak and shifted 532.4-eV peak to left by 0.4 eV and brought about a large intensity increase, indicating an increased empty O 2p-Mn 3d hybridized states, and generated a new peak at ~529.6 eV, which are due to the oxidation of partial Mn on the surface from Mn L-edge XAS spectrum. Upon further oxidation, the peak at 531.0 eV was preserved with a small intensity increase, and the previous peak at 532.0 eV experienced a right shift by 0.2 eV and a slight decrease in the intensity. This oxidation process led to a significant enhancement in the intensity of 529.6 eV peak without variation in position, indicative of large increase in unoccupied O 2p-Mn 3d hybridized states due to Mn oxidation on the surface. As in the Mn L-edge data, there was a clear discrepancy in the degree of oxidation of the surface and the bulk at the same charging potential, pointing at detrimental interfacial processes contributing unproductive capacity during charge.

The reverse reduction decreased 531.0-eV peak intensity and shifted the previous 531.8-eV peak to 532.0 eV with a slight increase in intensity and resulted in the vanishment of the 529.6-eV peak due to the electron filling of  $\text{O}^{2-}$  from Mn reduction. Further reduction to 1.2 V continuously gave rise to a significant decline in 531.0-eV peak and almost remained the position of 532.0-eV peak yet with a decrease in the intensity. Analogous with TFY spectrum, the spectrum did not completely recover to the pristine state, again reflecting the partial reversibility of O electronic



structure on the surface. The complicated evolution of O K-edge XAS is also consistent with the mixed chemical states of Mn on the surface and the irreversible involvement of  $\text{Li}_2\text{O}$ .



**Figure 4.** Stacked *ex situ* O K-edge XAS spectra of  $\text{Li}_4\text{Mn}_2\text{O}_5$  at different states of charge measured under TFY (a) and TEY (b) mode.

#### 4. Conclusion

In summary, we performed a combination analysis of Mn K-edge XAS and O K-edge XAS at different states of charge of  $\text{Li}_4\text{Mn}_2\text{O}_5$  with a disordered rock-salt structure. The combination of a qualitative study and quantitative analysis of Mn K-edge XAS spectra clearly unraveled the involvement of Mn redox with formal changes in oxidation state that only matched the measured capacities up to 3.7 V, at best, remaining steady at higher potential. Complementary O K-edge XAS unambiguously demonstrated changes of oxygen electronic structure at all stages upon cycling, even when Mn did not change at high potential. Thus, the results uncovered the dominant contribution of oxygen to the charge compensation required to achieve the highest levels of delithiation. Further studied are needed to elucidate reversible and irreversible aspects of this reactivity, such as lattice stability and interfacial release of O species, especially in view of the irreversible changes undergone by the electronic structure of the material. The relatively reversible

evolution of O/Mn electronic structure further verifies the significance of the disordered structure in sustaining reversible redox.

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge.

XRD data of the pristine  $\text{Li}_4\text{Mn}_2\text{O}_5$ , derivative analysis of Mn K-edge XAS, integral analysis, overlaid O K-edge XAS, Table S1 describing linear fitting parameters of Mn K-edge XAS analysis.

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## TOC Graphic

