First Measurement of Λ Electroproduction off Nuclei in the Current and Target Fragmentation Regions

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We report results of Λ hyperon production in semi-inclusive deep-inelastic scattering off deuterium, carbon, iron, and lead targets obtained with the CLAS detector and the Continuous Electron Beam Accelerator Facility 5.014 GeV electron beam. These results represent the first measurements of the Λ multiplicity ratio and transverse momentum broadening as a function of the energy fraction (z) in the current and target fragmentation regions. The multiplicity ratio exhibits a strong suppression at high z and an enhancement at low z. The measured transverse momentum broadening is an order of magnitude greater than that seen for light mesons. This indicates that the propagating entity interacts very strongly with the nuclear medium, which suggests that propagation of diquark configurations in the nuclear medium takes place at least part of the time, even at high z. The trends of these results are qualitatively described by the Giessen Boltzmann-Uehling-Uhlenbeck transport model, particularly for the multiplicity ratios. These observations will potentially open a new era of studies of the structure of the nucleon as well as of strange baryons.

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The study of the underlying structure of hadrons suggests a dynamical origin of the strong interactions between the confined color objects, quarks and gluons (partons), the building blocks of nuclei. Given that the description of the nonperturbative transition from partonic degrees of freedom to ordinary hadrons cannot be performed within the perturbative quantum chromodynamics (QCD) or lattice QCD frameworks, pure phenomenological methods are explored to study low-energy phenomena such as the hadronization process [1,2]. To this end, deep-inelastic electron-nucleon scattering (DIS) has been utilized as a pioneering process on atomic nuclei to access the modified parton distributions, test the hadronization mechanisms, and study color confinement dynamics in the cold nuclear medium [3–5]. In this regime, when the electron emits an energetic virtual photon (γ^*) that removes the struck quark from the rest of the residual system, it takes a finite time until the reaction products hadronize. These products would, in lepton-nucleus scattering, interact with the surrounding nuclear medium during the formation time, which is approximated at intermediate energies to be of a similar order as nuclear radii [6]. The target nucleus acts then as a femtoscope with unique analyzing power that allows for the extraction of the hadronization time-distance scales. Therefore, the study of scattering off nuclei with different sizes and at various γ^* kinematics probes the

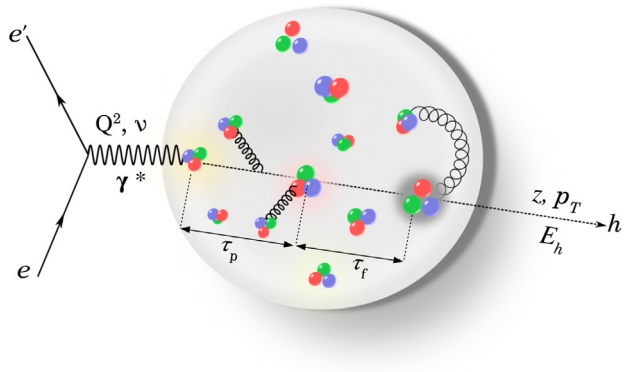

FIG. 1. An illustration of the hadronization process, as well as its production τ_p and formation τ_f timescales. $\nu = E_e - E_{e'}$ is the γ^* energy transferred to the struck quark, Q^2 is the four-momentum transfer squared, $z = E_h/\nu$ is the fractional energy of the observed hadron h, where E_h is the hadron's energy in the lab frame, and p_T is the hadron's transverse momentum with respect to the virtual photon direction (see Fig. 2, top right).

space-time evolution of the hadronization mechanism related to the quark propagation and the color field restoration to form regular hadrons [7,8].

As depicted in Fig. 1, the hadronization process is characterized by two timescales describing its two phases. After the virtual photon hard scattering, during the production time (τ_p), the struck quark propagates in the medium as a colored object and thus emits gluons (even in vacuum). This quark then transforms into a colorless object, referred to as a prehadron, which eventually evolves into a fully dressed hadron within the formation time (τ_f). The hadronization studies are thus performed to provide information on the dynamics scales of the process and to constrain the existing models that provide different predictions of its time characteristics either in vacuum or in nuclei [9–13]. In principle, the production and formation mechanisms are the same for both cases with the exception that, in the former, the $q\bar{q}$ pairs or qqq systems are considered emerging from the vacuum before expanding into color singlet hadrons, while in the latter, the struck quark is propagating and can pick up its partner(s) from the medium. In this case, the presence of the medium will lead to several modifications and in-medium stimulated effects related either to the struck quark, formed prehadron, and/or hadron interactions with their surroundings.

The study of hadronization mechanisms is done in the framework of semi-inclusive DIS (SIDIS), and its characteristics are probed via the measurement of two experimental observables. The first is the hadron multiplicity ratio R_h^A, which is defined as

$$R_h^A(\nu, Q^2, z, p_T^2) = \frac{N_h^A(\nu, Q^2, z, p_T^2)/N_e^A(\nu, Q^2)}{N_h^D(\nu, Q^2, z, p_T^2)/N_e^D(\nu, Q^2)}, \quad (1)$$

where N_e^A and N_h^A are, respectively, the scattered electron and SIDIS hadron yields produced on a target A and corrected for detector acceptance and reconstruction efficiency. The variables ν, Q^2, z, and p_T are defined in Fig. 1. The multiplicity ratio is normalized by DIS electrons originating from corresponding targets to cancel, to some extent, the initial-state nuclear effects and thus correct for the European Muon Collaboration (EMC) effect [7]. R_h^A quantifies to which extent hadrons are attenuated at a given kinematics, as was reported in earlier studies by SLAC [3], HERMES [14–18], and EMC [4] due to the (pre)hadron elastic or inelastic scattering and/or the energy loss of the hadron-fragmented struck quark during the color-neutralization stage preceding hadron formation.

The second observable is the transverse momentum broadening Δp_T^2, defined as

$$\Delta p_T^2 = \langle p_T^2 \rangle_A - \langle p_T^2 \rangle_D, \quad (2)$$

where $\langle p_T^2 \rangle_A$ is the mean p_T squared for a target A (see Fig. 2, bottom right). This observable carries crucial information about the interaction of the propagating parton with the surrounding color field in the nucleus. Several models correlate the p_T broadening with the parton energy loss triggered by the stimulated gluon bremsstrahlung while crossing the medium in the color-neutralization stage [19,20]. Based on the perturbative view of the Lund string model, the propagating quark's energy loss is predicted to be at a rate comparable to its string constant on the order of 1 GeV/fm [9,21]. This effect is believed to be the reason behind the observed jet quenching in heavy-ion collisions at the Relativistic Heavy Ion Collider and at the Large Hadron Collider, leading to the suppression of large p_T hadron production in nucleus-nucleus compared to proton-proton collisions [22,23].

In this Letter, results on SIDIS production of Λ hyperons off nuclei, i.e., $e + A \to e' + \Lambda + X$, are reported, where A is the heavy nuclear target or deuterium, X is the unobserved hadronic system, and Λ is identified in the final state through its decay products π^- and p. The results represent the first-ever measurement of Λ multiplicity ratios and p_T broadening as a function of z and the atomic mass number A, for the latter in the current (forward) fragmentation region, in which the struck (di)quark initiates the hadronization process, and the target (backward) fragmentation region, in which the target remnant moves reciprocally with regard to the γ^* direction undergoing a spectator or target fragmentation. Furthermore, the current and target fragmentation processes are assumed to have dominant contributions in distinct phase space regions, which are kinematically separated via the coverage of the Feynman scaling variable x_F [24,25].

Previous measurements of R_h^A for various hadrons, mainly mesons and (anti)protons by the HERMES [14–18] and the CLAS [26,27] Collaborations have reported a strong suppression of leading hadrons at high z and a slight enhancement of multiplicity ratios at low z while scanning

heavy to light nuclei. This inverted effect for slow (backward) and fast (forward) protons in HERMES results, the sole baryon study so far, demonstrates the importance of separating the two regions to properly interpret the data. Approximate separation is possible via the z dependence of the Feynman variable x_F [28] given that the current fragmentation (high z) is dominated by positive x_F, while the target remnant favors negative x_F [24,25,29].

A study of Δp_T^2 for mesons was also performed by the HERMES experiment [17], but its finding could not distinguish between models predicting an $A^{1/3}$ or $A^{2/3}$ mass dependence [19,20]. The Δp_T^2 is expected to increase linearly as $A^{1/3}$ if it is proportional to the nuclear radius and thus the crossed path length L in the nuclear medium, while an increase as $A^{2/3}$ would indicate a dependence on partonic energy loss via the prediction that $(\Delta E/dx) \propto \Delta p_T^2$ and thus $\Delta E \propto L^2$ [19].

The data presented in this Letter were collected during early 2004. An electron beam of 5.014 GeV energy was incident simultaneously on a 2-cm-long liquid-deuterium target (LD2) and a 3-mm-diameter solid target (carbon, iron, or lead). A remotely controlled dual-target system [30] was used to reduce systematic uncertainties and allow high-precision measurements of various experimental observables [27,31]. The cryogenic and solid targets were located 4 cm apart to minimize the difference in CLAS acceptance, while maintaining the ability to identify event-by-event the target

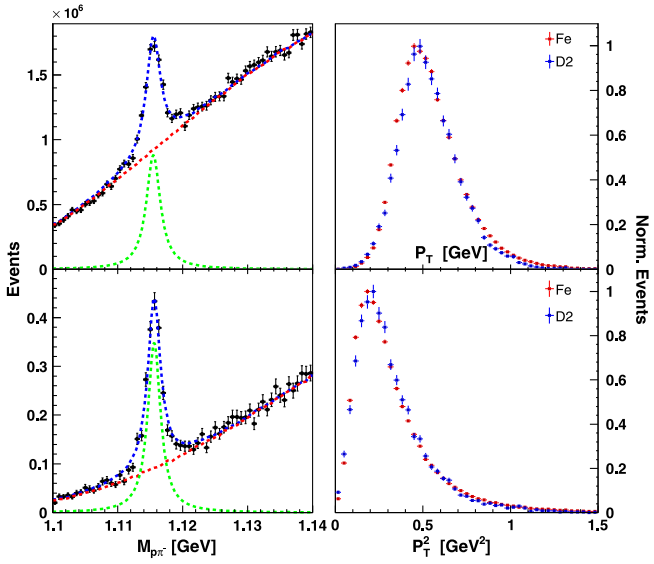

FIG. 2. Left: acceptance-weighted (p, π^-) invariant mass distributions for the Fe/LD2 (top/bottom) targets. Blue curves represent the ROOFIT χ^2 minimization using a simple Breit-Wigner (BW) function for the Λ signal and event mixing for the combinatorial background (red dotted curves). The green distributions are the fit results that are integrated to obtain the Λ yields. Right: comparison of Fe (red) and LD2 (blue) acceptance-weighted p_T/p_T^2 (top/bottom) normalized distributions to their peak height.

where the interaction occurred via vertex reconstruction [32]. The thickness of each solid target (1.72 mm for C, 0.4 mm for Fe, and 0.14 mm for Pb) was chosen so that all targets including deuterium would have comparable per-nucleon luminosities ($\sim 10^{34}$ cm^{-2} s^{-1}). The scattered electrons, negative pions, and protons were detected in coincidence using the CLAS spectrometer [33]. The scattered electrons were identified requiring a coincidence between the Cherenkov counter and the electromagnetic calorimeter signals [31], while pions and protons were identified through time-of-flight measurements [31,32,34].

The Λ hyperons were identified through the reconstructed invariant mass of detected pions and protons (see the first section of the Supplemental Material (SP.1) for more details about the Λ identification method [35]). For each event, several kinematic variables were evaluated including Q^2, the virtual photon-nucleon invariant mass squared W^2, and the γ^* energy fraction $y = \nu/E_e$, where E_e is the incident beam energy. The SIDIS Λ events were selected with $Q^2 > 1$ GeV^2 to probe the nucleon structure, $W > 2$ GeV to suppress contamination from the resonance region, and $y < 0.85$ to reduce the size of radiative effects on the extracted multiplicity ratios based on the HERMES studies [14–18]. The (p, π^-) invariant mass distributions are shown in Fig. 2 left for iron (top) and LD2 (bottom) with all cuts applied. The distributions exhibit a clean Λ peak positioned around 1115.7 MeV sitting on a substantial combinatorial background (CB). An advanced data modeling and fitting toolkit RooFit [36] was used along with the event mixing technique to subtract the CB (red dotted curves in Fig. 2, left), which is reconstructed by combining uncorrelated p and π^- tracks from different events [37]. The extraction of the background-subtracted Λ yields, as well as the p_T^2 means, was performed after weighting their distributions event-by-event with the inverse of the acceptance correction (AC) factors. The latter were evaluated using events generated with the PYTHIA event generator [38] and processed by the CLAS GEANT3 package [39] to simulate the detector geometrical acceptance, as well as the associated detection and reconstruction efficiencies. PYTHIA was modified to include nuclear parton distribution functions [40] and Fermi motion based on the Paris potential distribution and realistic many-body calculations [41]. Radiative effects were also included in the simulation using the RadGen code [42] developed to correct lepton-nucleon scattering observables from quantum electrodynamics radiative processes. Small corrections were also applied for other effects related to proton energy loss, scattering angle and momentum distortions, vertex misalignment [32,34], and LD2 end-cap contamination.

Because of the limited statistics of the Λ production channel, the extractions of both multiplicity ratios and p_T-broadening results were performed by integrating over all kinematic variables except z, which is divided into the six bins shown in Table S2 of the Supplemental Material [35].

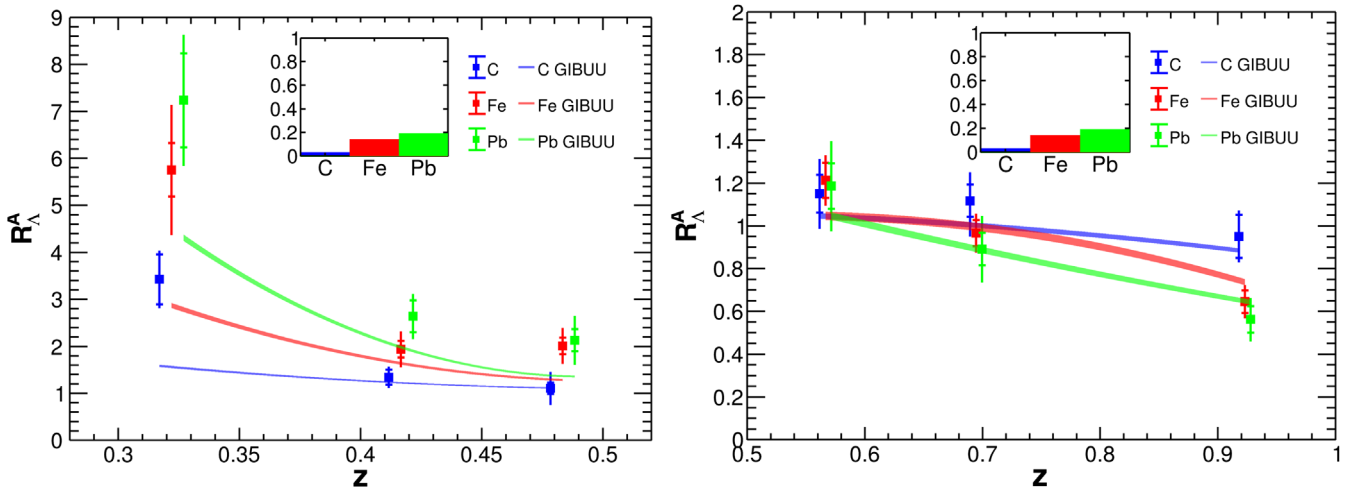

FIG. 3. Λ z-binned multiplicity ratios for carbon, iron, and lead (the results are horizontally shifted for clarity). The outer error bars are the $p2p$ systematic uncertainties added in quadrature with the statistical uncertainties. The inset contains the total normalization uncertainties for each nucleus. The plots illustrate the results of the low (left) and high (right) z ranges corresponding, respectively, to the target and current fragmentation regions. The curves correspond to GiBUU model calculations [43].

Given that the interest in this work is in the z and A dependencies of the observables, the systematic uncertainties were separated into point-to-point ($p2p$), which exhibit some z and A dependencies, and normalization uncertainties, which are kinematics independent. An in-depth study was carried out and the main systematic sources are related to (1) particle identification cuts to identify the three final-state particles, scattered electron, p, and π^-, (2) dual-target vertex corrections, (3) AC multidimensional (6D) map variables and the binning that was chosen based on the comparison of experimental data and simulation, (4) AC weight cuts to suppress artificial spikes due to poor statistics in some AC 6D bins, (5) CB subtraction methods by varying the event mixing uncorrelated track combinations and BW shapes utilized in RooFit for R_Λ^A, while considering CB sideband subtraction for Δp_T^2, (6) Λ mass range for R_Λ^A, and (7) LD2 end caps and radiative correction procedures. As a result, the total $p2p$ (normalization) uncertainties vary between 6% and 30% (less than 3%) for the multiplicity ratios of all nuclei with the dominant contributions from the AC and CB subtraction methods (see Table S3 [35]). Similarly, the total $p2p$ uncertainties vary between 10% (1.4%) and 81% (8.5%) for the nuclear z (A) dependence of p_T broadening [see Table S4 (S5) [35]], while the total normalization uncertainty for both dependencies is less than 1%. The largest $p2p$ z-dependent uncertainty, which is associated with the lead target, is still less than the 50% statistical uncertainty as shown in Fig. 4.

The Λ multiplicity ratio results are depicted in Fig. 3 along with theoretical calculations from the Giessen Boltzmann-Uehling-Uhlenbeck (GiBUU) model [43]. As expected, R_Λ^A manifests an inverted behavior in the two z regions; at high z (see Fig. 3, right), the region in which the current fragmentation dominates, Λ baryons exhibit less attenuation in lighter nuclei and greater suppression with z, up to 40% in lead and 35% in iron at the highest z bin. However, at low z (see Fig. 3, left), R_Λ^A is more enhanced on heavy nuclei as a signature of the significant contribution from the target fragmentation that predominates in this kinematic region. This observation is consistent with the fact that the Λ baryons show a significant leading particle effect; i.e., they carry a substantial fraction of the incoming proton momentum [44] and thus large negative x_F (see Fig. S1 [35]) and small p_T relative to the γ^* direction [24,25]. The data are qualitatively described by the GiBUU model for most of the z range and most of the targets except for the lowest z bin, where approximately a factor of two difference is observed.

Figure 4 contains the Λ p_T-broadening results as a function of z (left) and A (right) along with theoretical calculations from the GiBUU model [43]. The monotonic increase of broadening with z and the mass-number reflects the interaction of the propagating object with the surrounding color field in the nucleus during the neutralization stage and/or the elastic scattering of the prehadron and the fully formed Λ [19,20]. Such a (pre)hadron interaction, as well as broadening, seems to diminish at the highest z bin. This is an indication of the partonic stage dominance of the hadronization process preceding the (pre)hadron formation, as their elastic scattering in the medium should have led to more broadening as z approaches unity [17,45]. This trend is in favor of the $A^{1/3}$ dependence of Δp_T^2 and implies that the production time is within the nuclear medium. Yet, the measured Λ hyperon broadening is an order of magnitude greater than that seen in the HERMES meson results [17]. This could be due to the quark-diquark nucleon structure so that the virtual photon, instead of being absorbed by a

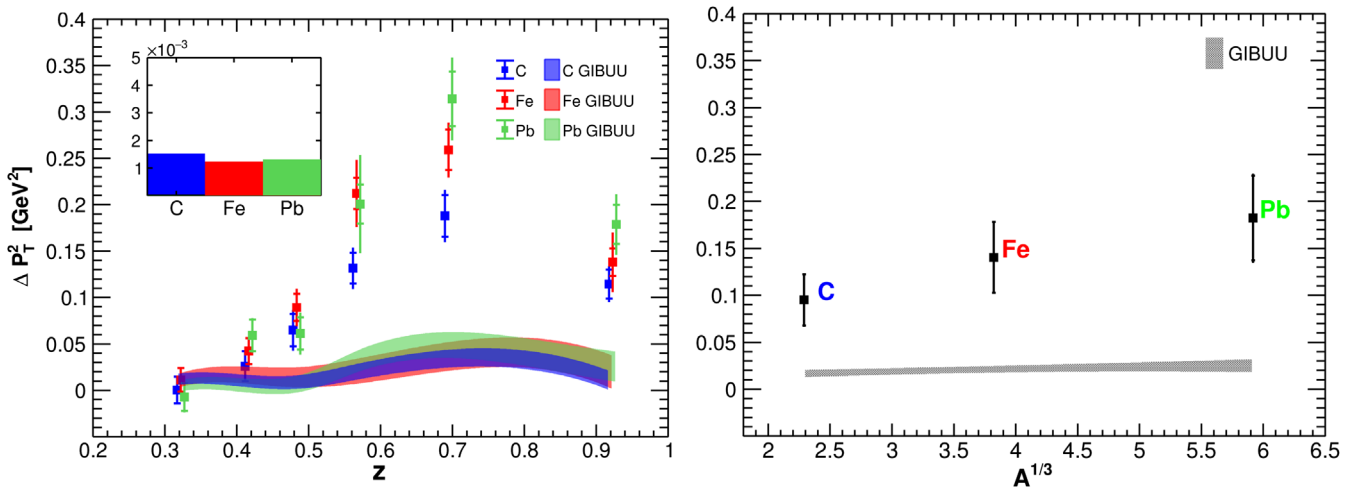

FIG. 4. Left (right): the z (nuclear radius)-dependent Δp_T^2 results for the three nuclei (results are horizontally shifted for clarity). The outer error bars are the $p2p$ systematic uncertainties added in quadrature with the statistical uncertainties, while the normalization uncertainties are presented in the inset for the z dependence and found to be less than 1% for the A dependence. The GiBUU model calculations are represented by the colored (left) and shaded (right) bands obtained by interpolating the model points and their statistical uncertainties.

quark, is absorbed by a diquark. That is to say, the propagating colored diquark has a sizable mass and an extended QCD color field compared to a single quark, leading to more in-medium interactions and thus an increase of the Δp_T^2 magnitude [46]. This diquark scattering speculation offers a good explanation of the R_Λ^A attenuation with increasing z in the current fragmentation region. While the GiBUU model has reasonably described HERMES, EMC [6,47,48], and CLAS [26,27] multiplicity ratio measurements, it underestimates our Λ p_T-broadening results, which could indicate that the angular distribution is inaccurate in the initial elementary production process of Λ or that the final state interactions in the current model's string fragmentation functions are not realistic [49].

In summary, the first-ever measurement of Λ multiplicity ratios and p_T-broadening as a function of z and A in the current and target fragmentation regions are reported. Both observables depend strongly on z, with an enhancement of R_Λ^A at low z and a suppression at high z up to 0.951 ± 0.125 for carbon, 0.645 ± 0.164 for iron, and 0.562 ± 0.219 [50] for lead, and an increase of p_T broadening with A and z except for the last z bin, where the broadening starts decreasing due to the partonic stage dominance of the hadronization process. The one order of magnitude larger broadening for this hyperon channel compared to HERMES meson results, as well as the strong suppression of R_Λ^A at high z, suggests the possibility of a direct scattering off diquark configurations of the nucleon. The multiplicity ratio results are qualitatively described by the GiBUU transport model, however, the model strongly underestimates our p_T-broadening results. This finding has the potential to stimulate further experimental and theoretical investigations, constrain existing models such as GiBUU, and open a new era of studies of nucleon and light hyperon structure.

Future higher-luminosity measurements with CLAS12 and an 11 GeV beam energy [51] will study SIDIS production of a variety of mesons and baryons over a wide kinematic range. This is crucial to constrain competing models and boost our understanding of the fragmentation mechanisms that lead to the formation of various hadrons. It would also provide an opportunity to study for the Λ SIDIS final states the correlation between kaons and Λ's that will presumably be sensitive to the diquark structure in the struck nucleon. The forthcoming experiments with CLAS12, in addition to measurements at the planned Electron Ion Collider [52], have the potential to investigate in great detail the speculated diquark scattering in the current results, which would have a significant impact on our understanding of nucleon and baryon structure.

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