Electric fields drive bond homolysis

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Electric fields have been used to control and direct chemical reactions in biochemistry and enzymatic catalysis, yet directly applying external electric fields to activate reactions in bulk solution and to characterize them *ex situ* remains a challenge. Here we utilize the scanning tunneling microscope-based break-junction technique to investigate the electric field driven homolytic cleavage of the radical initiator 4-(methylthio)benzoic peroxyanhydride at ambient temperatures in bulk solution, without the use of co-initiators or photochemical activators. Through *ex-situ* quantification by high performance liquid chromatography and UV-vis analysis as a function of time, we find that the electric field catalyzes the reaction. Importantly, we demonstrate that the reaction rate in a field increases linearly with solvent dielectric constant. Using density functional theory calculations, we show that the applied electric field decreases the dissociation energy of the O-O bond and stabilizes the product relative to the reactant due to their different dipole moments.

Introduction

Electromagnetic forces form the basis of chemical bonds, while electrostatic effects direct most chemical reactivity.[1] However, using applied external electric fields (EEFs) to catalyze reactions represents an emerging area of biomimetic control for organic reactions that has been primarily confined to biochemistry and bioenzymatic reactions.[2-9] Theoretical investigations have suggested that EEFs can be used to catalyze chemical reaction.[10-17] Researchers have only recently begun applying EEFs to chemical reactions.[18-25] For example, EEFs that are generated with a scanning tunneling microscope-based break-junction technique (STM-BJ) have been shown to successfully catalyze chemical reactions.[26-28] However, despite this progress, it is not understood how to develop and optimize reactions that are EEF-catalyzed. Here, we study the homolytic O-O bond cleavage reaction of a benzoyl peroxide derivative, substrates that are used to initiate radical polymerization reaction,[29] through the catalytic effect of an EEF.

We monitor the homolysis of the O-O bond in 4-(methylthio)benzoic peroxyanhydride (**1**) at room temperature, in the absence of light, with an electric field applied using the STM-BJ technique (**Figure 1a**). We show that the homolysis in an electric field generated between the tip and substrate of an STM can be initiated at applied voltages as low as 10 mV. *Ex-situ* characterization by high performance liquid chromatography (HPLC) and UV-vis analysis as a function of time reveals that the electric field not only catalyzes the reaction but also controls the chemoselectivity. In polar solvents, the reaction yields 4-(methylthio)benzoic acid **2** (**Figure 1b**) at a rate that depends on the applied bias and the solvent dielectric constant. Density functional theory (DFT) is used to calculate the peroxide bond dissociation energy and the reactant and product dipole moments in different solvents, the results of which are used to estimate the impact of the EEF. This study is the first systematic quantification of chemical rate enhancement by an electric field and establishes EEFs as a methodology for catalysis without chemical reagents.

Results

Synthesis

We synthesized compound **1** along with its potential homolysis products. Synthetic procedures and characterization can be found in the Supporting Information (SI). The thiomethyl groups in **1** allow binding to the gold tip and substrate. We employed the STM-BJ technique to expose molecular solutions of **1** to a strong electric field produced by the bias voltage applied between a gold tip and a gold substrate.[26, 30]

STM-BJ conductance measurements

We first present results from STM-BJ measurements of a 1 mM solution of **1** measured in propylene carbonate (PC) while applying a 100 mV bias in the dark and at room temperature. The solution was deposited onto an Au-coated steel puck (Ted Pella) and conductance versus displacement traces were collected over a period of 12 hrs. We compiled these traces into one-dimensional (1D) conductance histograms, revealing a clear peak, which grows with time, at a conductance of ~7×10^{-4} G_0 (**Figure 1b**). This peak can be attributed to the conductance of

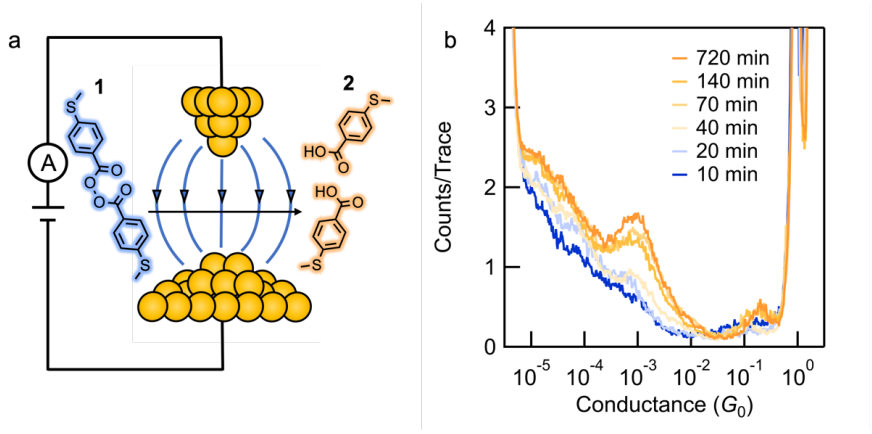

Figure 1. (a) Schematic representation of the electric field catalyzed homolysis of 4-(methylthio)benzoic peroxyanhydride **1** to yield 4-(methylthio)benzoic acid **2**. Blue arrows represent the electric field. (b) Logarithmically binned 1D histograms of consecutive conductance traces measured at different reaction times.

molecular junctions formed with 4-(methylthio) *ex-situ* synthesized reference compound (Figure S1). Note that there is no peak for **1** as its conductance is below the STM-BJ instrument noise floor as also confirmed through DFT calculations (Figure S2). We periodically removed an aliquot of the solution from the STM-BJ substrate and determined the concentrations of starting material **1** and the only product formed, **2**, through HPLC analysis (**Figure 2a**). Formation of **2** was also confirmed by High Resolution Mass Spectroscopy (HR-MS, Figure S3). Although we cannot confirm the source of the electron and proton needed to yield **2**, it is likely that the Au electrodes supply the electron and the proton comes the non-anhydrous environment, in accordance with other literature reports[31]. To test this hypothesis, we repeat the STM-BJ measurements in a non-polar solvent tetradecane (TD). We observe a clear conductance peak for 4,4'-bis(methylthio)biphenyl *in situ* (Figure S5) but no peak for **2** which can be expected since there are no protons in this solvent. Upon adding acetic acid to the solution of **1** in tetradecane (TD), we observed a clear conductance peak for **2** (SI Figure S5) confirming that in PC, the acid is formed with protons in solution. We note here that we did not detect formation of **2** or 4,4'-bis(methylthio)biphenyl in TD from *ex-situ* HPLC analysis even upon prolonged EEF exposure (up to 24 hrs) which indicates that the conversion is likely very low in TD.

Reaction investigation using HPLC

We integrate the area under the peaks corresponding to **1** and **2** in the HPLC data obtained from PC-based measurements shown in **Figure 2a** to determine concentrations as a function of time which we plot in **Figure 2b**. We apply a first-order kinetic rate law to calculate the rate of consumption of **1** and the rate of formation of **2**. At a 100 mV applied bias, we find a half-life $t_{1/2}$ ~1 hour for the consumption of **1** (**Figure 2b**). This matches the rate of formation of **2**, demonstrating the chemoselective conversion of **1** to **2** over time with no other detectable side products. In **Figure 2b**, we additionally include the rate of consumption of **1** when STM-BJ measurements are repeated at a smaller 10 mV

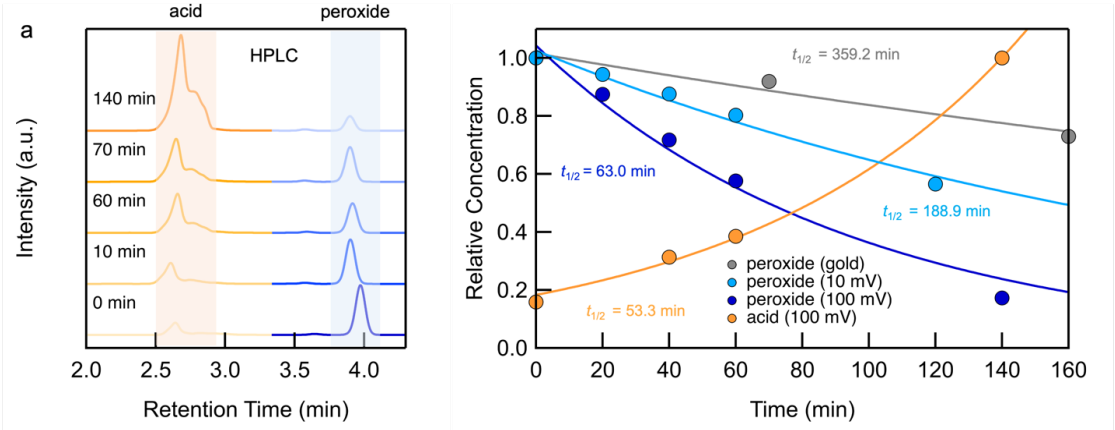

Figure 2. (a) HPLC chromatograms at 280 nm detection wavelength extracted from the STM-BJ solution at the times indicated. The peak for **2** shows some concentration dependent tailing, which likely arises from the formation of hydrogen bonded aggregates determined based on injections of pure **2**. (b) Relative concentration versus time of **2** at 100 mV (orange, reaction rate = 0.012 ± 0.001 min^{-1}), **1** at 100 mV (dark blue, reaction rate = 0.011 ± 0.001 min^{-1}), **1** at 10 mV (light blue, reaction rate = 0.0046 ± 0.0004 min^{-1}) **1** without field (grey, reaction rate = 0.0019 ± 0.0004 min^{-1}). The slow formation of **2** at 10 mV and without field was not measurable due to the changes in concentration being below detection limit.

bias and when a solution is simply placed on the Au substrate and no field is applied. At a 10 mV bias, the half-life increase by a factor of 3 compared to that at 100 mV indicating a clear bias dependence and thus confirming that the reaction is field-driven. Without a field, the half-life increases by almost a factor of 6 compared to that with a 100 mV bias suggesting that gold also catalyzes the reaction, but only to a minor extent. We did not find quantifiable rates even after 3 days in the dark and in the absence of gold in PC.

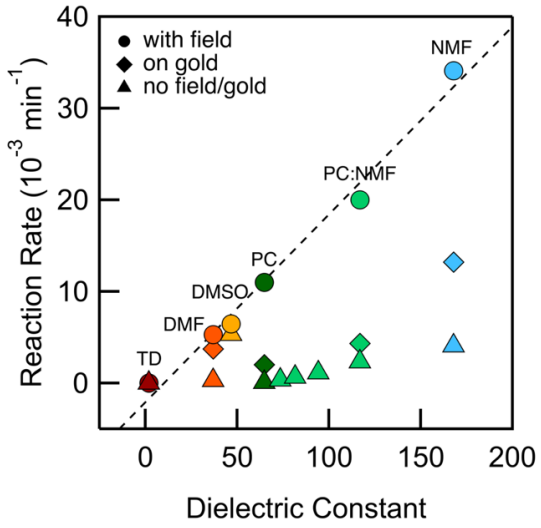

Figure 3. Rate of consumption of **1** in TD (dark red), DMF (orange), DMSO (yellow), PC (dark green), NMF (blue), and mixtures of PC and NMF (light green) in ratios of 1:10, 2:10, 4:10, 1:1 (from left to right) plotted against solvent dielectric. Data for rates are measured from STM-BJ measurements using an applied bias of 100 mV (circles), exposure to gold (diamonds) and without an applied field or exposure to gold (triangles). The dashed line is a linear fit to the data.

We next repeated the same measurements in different solvents of varying polarity and quantify the reaction rate with and without an applied electric field using HPLC characterizations by monitoring the consumption of **1** over time. We consider solvents with dielectric constant, ε from 2 (TD) to 168 (*N*-methylformamide, NMF) as well as mixtures of PC and NMF of varying ratios to achieve a range of ε. We show in **Figure 3** the observed rates determined as a function of the solvent dielectric. Conductance histograms from STM-BJ measurements are provided in Figure S4. We see that the rate of the reaction in an electric field with a bias of 100 mV correlates linearly with the dielectric of the solvent (**Figure 3**) with a coefficient of determination, R^2, of 0.99. The rates in solution (i.e. without a field or exposure to Au) do not show such a strong correlation with the solvent dielectric (R^2 = 0.82 excluding DMSO data), although overall an increased background reactivity in more polar solvents is observed, in line with published reports.[32, 33] The background rate is highest in dimethylsulfoxide (DMSO), and NMR analysis shows **1** converts rapidly to **2** via an intermediate, possibly a peracid, sulfone, or both. We observe some background reactivity in dimethylformamide (DMF) and NMF, while none is observed in TD or PC.

These data show that the EEF clearly drives the reaction even in highly polar solvents where one would expect the fields to be substantially screened based on simple dielectric continuum theories. In an external field, the solvent molecules can polarize and reorient to screen the field, however, this does not preclude having large local fields at the reaction site (O-O bond), which likely catalyze this reaction. For example, in a 50:50 mixture of PC:NMF with an ε of 117, we get a reaction rate of 0.02 min^{-1} at a 100 mV bias compared with a rate of 0.002 min^{-1} in solvent alone. This constitutes a substantial increase in reactivity. This is further confirmed by measurements in the same solvent mixture but with an added electrolyte (0.1 M Tetrabutylammonium hexafluorophosphate, $TBAPF_6$) which reduces the solution resistance and thus the field that the reactants are exposed to in the solution. We find that the reaction rate is reduced by a factor of 6 compared to the measurement without $TBAPF_6$ (Figure S6), yielding rates similar to the background reactivity on gold. Repeating this measurement while keeping the tip-surface separation over 1 µm, and thus decreasing the field also results in a reaction rate comparable to the background reactivity on gold. Both these results confirm that the electric field strength is the major factor in the observed catalysis of the bond cleavage.

Theoretical analysis

To help understand our findings, we performed DFT calculations using the PBE0 functional with geometry optimization (see Supplementary Information for computational details). We first consider the homolysis of the O-O bond of **1** to yield two aroyloxy radicals in the gas phase. The O-O bond dissociation energy (BDE) is calculated to be 25 kcal/mol (1.1 eV), which could be an underestimate as DFT has been shown to predict peroxide BDEs that are ~10 kcal/mol lower than those obtained using higher levels of theory.[34] Nonetheless, this range of values is consistent with the fact that we do not observe any decomposition of **1** at room temperature over a period of a few days. We next compute the molecular dipole moments for **1** to be 8.0 D and of the single aroyloxy radical to be 5.4 D (**Figure 4a**) in the gas phase. The change in the

dipole moment following dissociation, $\Delta\mu_{rxn} = 2 \times \mu_{aroyloxy\ radical} - \mu_{peroxide}$, is positive (+2.8 D), indicating that in an EEF, the BDE will decrease, as the molecules rotate and align with the field.

We repeated the calculations in DMF, DMSO and NMF using the continuum universal solvation model (SMD)[35] to determine BDE and the change in the dipole moment $\Delta\mu_{rxn}$. The BDE decreases as the solvent dielectric increases due to the increased polarizability of the aroyloxy radical and concomitant larger solvation energy (**Figure 4a**). This trend is in qualitative agreement with the experimental results shown in **Figure 3**, although quantitatively correlating the reaction rate to the BDE is nontrivial. We also find that $\Delta\mu_{rxn}$ increases with solvent dielectric (**Figure 4b**) for the same reason as the decreased BDE. This suggests that when an EEF is applied, the energy difference will increase more dramatically in solvents with a higher dielectric constant in agreement with experiment. To further support our findings, we approximate the application of an external field of 1 V/nm and compute the BDE in the three solvents. The applied field stabilizes the aroyloxy radicals while also decreasing the dissociation energy (**Figure 4a**).

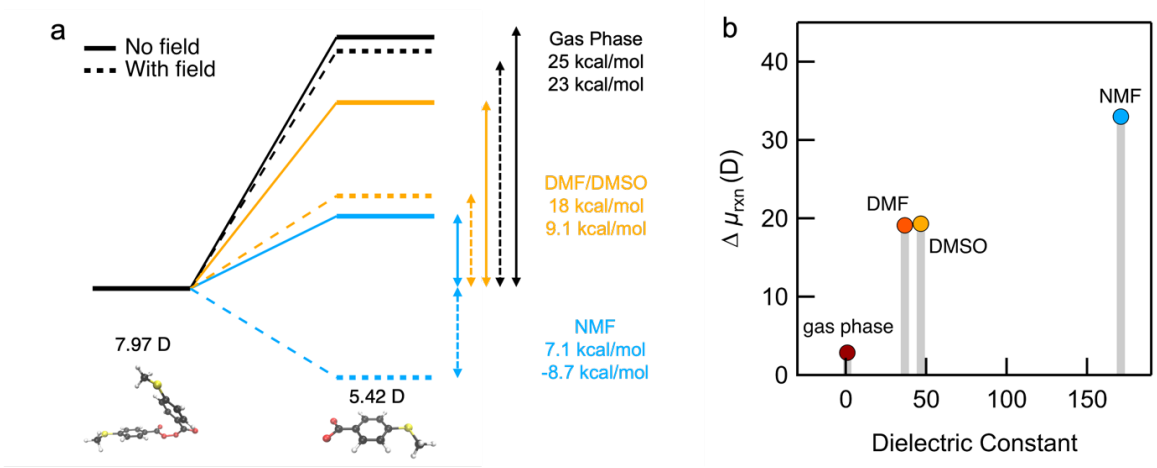

Figure 4. (a) Optimized geometries of reactant **1** and aroyloxy radical product indicating the gas phase dipole moments. Also shown are BDE in the gas phase and in different solvents, without a field (solid) and with a 1 V/nm field (dashed). (b) Computed dipole moment difference of **1** and aroyloxy radicals ($\Delta\mu_{rxn} = 2 \times \mu_{aroyloxy\ radical} - \mu_{peroxide}$) simulated in the gas phase, and in DMF, DMSO, and NMF using an implicit solvation model.

The BDE calculations only indirectly address the mechanism of homolytic cleavage. To provide more direct insight, we studied the effect of an EEF on the electronic structure of **1** without reoptimization of the geometry. We express the DFT density matrix calculated in the presence of a field in the basis of the molecular orbitals calculated in the absence of a field. We find that the EEF causes an increased occupation of the O-O antibonding orbital, the lowest unoccupied molecular orbital (LUMO) and a decreased occupation of the O-O bonding orbital, the second highest occupied orbital (HOMO-1). The EEF induces a population transfer that weakens the O-O bond and facilitates cleavage (Figure S7 and SI). Our calculations indicate that the EEF weakens the O-O bond and thermodynamically favors the aroyloxy radical. Taken together, these theoretical predictions are in agreement with our experimental observations.

Conclusions

In summary, we have demonstrated that the STM-BJ technique can induce the electric field-driven homolytic cleavage of a benzoyl peroxide in a bulk solution. The electric field catalyzes the reaction, and the environment dictates chemoselectivity. Importantly, we determine reaction kinetics as a function of time *ex-situ* by HPLC. The reaction is accelerated by the field and its rate is linearly correlated with solvent dielectric constants. We rationalize the findings by DFT calculations and reveal the important role of the peroxide and radical dipole moments in decreasing the dissociation energy in different solvents and under an applied field.

Methods

General methods

All reactions were performed in oven-dried round bottom flasks, with a Teflon magnetic stir bar, and rubber septa, and reactions were conducted under a positive pressure of nitrogen. Anhydrous and anaerobic solvents were obtained from Sigma-Aldrich, and all commercially available reagents were used without further purification. Compound **1** was prepared according to a known procedure.[31]

^{1}H NMR and ^{13}C NMR spectra in deuterated solvents were recorded on a Bruker DMX500 (500 MHz) spectrometer. High-resolution mass spectrometry (HRMS) were recorded on a Waters XEVO G2-XS QTOF spectrometer as dichloromethane solutions. HPLC separation was performed on an Agilent LC1220 HPLC instrument, using an Agilent Zobrax Eclipse Plus C18 column (5 μm x 21.2 mm x 250 mm) stationary phase and a 95:5 acetonitrile:water mobile phase. UV−vis absorption spectra were recorded *in situ* on the HPLC instrument.

STM-BJ measurement

STM-BJ measurements were made using a custom-built scanning tunnelling microscope.[30] The electric field is applied between a 0.25 mm gold wire (99.998%, Alfa Aesar) as a gold STM-tip and a gold-coated (99.999%, Alfa Aesar) steel puck as a gold substrate, while the strength of the field was modulated either by changing the bias applied or the distance between tip and substrate.[36] Conductance measurements were performed in dilute solutions (1 mM) of reaction mixtures in different solvents as indicated in the main text. In polar solvents, the insulated tips were created by driving a mechanically cut gold tip through Apiezon wax. A commercially available z-axis piezoelectric positioner (P-840.10, PI) was used to drive the tip in and out of contact with the substrate at a speed of 20 nm/s in a dilute solution of the target molecule in ambient environment at room temperature. The junction current (I) was recorded as a function of tip-substrate displacement at a fixed applied bias voltage (with a 100 kΩ resistor in series). The current, voltage across the junction and electrode position data are all collected at a 40 kHz acquisition rate using custom Igor Pro (Wavemetric, Inc.). Conductance (G=I/V) was determined as a function of displacement and analyzed further using one-dimensional (1D) conductance and two-dimensional (2D) conductance-displacement histograms. A gold point-contact is first formed with a conductance close to 1 G_0 (= 2e^2/h, conductance quantum) and then followed by a molecular conductance plateau below 1 G_0. The measured conductance traces were then collected and compiled into logarithmically binned 1D histograms (100/decade), and 2D histograms along the conductance axis (100/decade) and linear bins (1000/nm) along the displacement axis without data selection. All the measurements are performed using fresh solutions, without any exposure to light, and in a dark acoustic box.

DFT calculations

DFT calculations for transmission functions (including geometry optimization) were carried out using closed-shell Kohn-Sham formulation of density functional theory with FHI-aims software[37]. A non-empirical generalized gradient-corrected approximation (PBE) for the exchange-correlation functional[38] was used. Scalar relativistic corrections to the kinetic energy were incorporated in the first-principles calculations at the atomic zeroth-order regular approximation (ZORA) level.[39] The Kohn-Sham states were represented in an optimized all-electron numeric atom-centered basis set with "light" computational settings. The energy-dependent transmission functions were calculated using the non-equilibrium Green's function formalism with the transport package AITRANSS.[40-42] The junction electrodes were modelled by tetrahedral clusters of 22 atoms each with interatomic distance of 2.88 Å.

DFT calculations of the O-O bond dissociation energy (BDE) were performed with the PBE0 hybrid functional[43] and the def2-TZVP[44] basis set using the ORCA quantum chemistry package[45]. Atom-pairwise dispersion corrections with Becke-Johnson damping[46] were included in the O-O BDE calculations. Solvent influences on the BDE and dipole moment were estimated using the continuum universal solvation model (SMD) and the default parameters of DMF, DMSO and NMF included in the ORCA package. Orbital occupations were determined in the PySCF quantum chemistry package[47] by calculating the 1-particle reduced density matrix with and without an EEF. The change in orbital occupations were calculated by expressing the density matrix in the presence of an EEF in the basis of the molecular orbitals without an EEF.

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Conflicts of interest

There are no conflicts to declare.

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