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The C-FEWS framework: Supporting studies of climate-induced extremes on food, energy, and water systems at the regional scale

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Climate change continues to challenge food, energy, and water systems (FEWS) across the globe and will figure prominently in shaping future decisions on how best to manage this nexus. In turn, traditionally engineered and natural infrastructures jointly support and hence determine FEWS performance, their vulnerabilities, and their resilience in light of extreme climate events. We present here a research framework to advance the modeling, data integration, and assessment capabilities that support hypothesis-driven research on FEWS dynamics cast at the macro-regional scale. The framework was developed to support studies on climate-induced extremes on food, energy, and water systems (C-FEWS) and designed to identify and evaluate response options to extreme climate events in the context of managing traditionally engineered (TEI) and nature-based infrastructures (NBI). This paper presents our strategy for a first stage of research using the framework to analyze contemporary FEWS and their sensitivity to climate drivers shaped by historical conditions (1980–2019). We offer a description of the computational framework, working definitions of the climate extremes analyzed, and example configurations of numerical experiments aimed at evaluating the importance of individual and combined driving variables. Single and multiple factor experiments involving the historical time series enable two categories of outputs to be analyzed: the first involving biogeophysical entities (e.g., crop production, carbon sequestered, nutrient and thermal pollution loads) and the second reflecting a portfolio of services provided by the region's TEI and NBI, evaluated in economic terms. The framework is exercised in a series of companion papers in this special issue that focus on the Northeast and Midwest regions of the United States. Use of the C-FEWS framework to simulate historical conditions facilitates research to better identify

existing FEWS linkages and how they function. The framework also enables a next stage of analysis to be pursued using future scenario pathways that will vary land use, technology deployments, regulatory objectives, and climate trends and extremes. It also supports a stakeholder engagement effort to co-design scenarios of interest beyond the research domain.

KEYWORDS

fews, climate extremes, nature-based infrastructure, engineered infrastructure, regional assessment, C-FEWS analysis framework, interdisciplinary climate studies

1 Introduction

Sufficient and secure supplies of food, energy, and water are fundamental to human wellbeing and a sustainable society across the globe (UN General Assembly, 2015). In the United States, the agriculture and energy sectors together account for 78% of all freshwater withdrawals and 65% of all consumption, making these sectors collectively the largest user of water in the nation (Dieter et al., 2018). At the same time, growing evidence suggests that human-induced climate change is increasing the frequency and severity of extreme weather events such as heatwaves, droughts, intense precipitation, and heavy flooding (IPCC, 2021), precisely those climate stressors shown to compromise these important provisioning resource systems (Brown et al., 2015; USGCRP, 2018; Weiskopf et al., 2020). Understanding how climate-related shocks move through the FEWS will greatly impact the management of supporting infrastructures—traditionally engineered (e.g., dams, irrigation, water treatment plants) (McKinsey & Company, 2006; ASCE, 2016), nature-based (e.g., landscapes, aquatic systems) (EPA, 2015; Green et al., 2015; European Commission, 2016), and their combination (Young, 2000; McDonald et al., 2016; Vörösmarty et al., 2021).

We report here on a framework to study Climate-induced Extremes on Food, Energy, Water Systems (C-FEWS), a system designed to identify and evaluate policy response options to extreme climate events that engage traditionally engineered (TEI) and nature-based infrastructures (NBI). We describe technical elements of the framework and how it can be used to explore FEWS behaviors in the context of historical (1980–2019) system dynamics, where we consider the individual and conjunctive roles of climate, land management, technology and regulation.

We begin with a presentation of the key facets of FEWS dynamics that were considered as design criteria for the framework. We then present a methods section, detailing the overall framework and describing the component models and key data sets, starting with the nature of the climate extremes and the set of quantitative metrics used to identify them. We continue with summary descriptions of the models, their input data requirements, and key output variables, followed by our approach to single and multi-factor scenario experiments. We also provide a comment on framework-supported stakeholder engagement. A section on potential applications follows and demonstrates how outputs from the C-FEWS framework can be used identify which elements of the FEWS could be most/least resilient over the coming decades. More detailed descriptions of the models and data sets and the interpretation of results are given in an accompanying series of papers in this Frontiers Special Topic (Bokhari et al., 2022; Chang et al., 2022; Fekete et al., 2022;

Kicklighter et al., 2022; Lin et al., 2022; Maxfield et al., 2022; Tuler et al., 2022; Zhang et al., 2022). An early synthesis of five emblematic studies using the framework is given in Vörösmarty et al. (this issue).

2 Key design considerations for the C-FEWS framework

2.1 Capturing FEWS climate sensitivities

An important motivation for this study is a key finding of the 4th National Climate Assessment (NCA), namely, that climate change and its extremes are increasing (USGCRP, 2017) and simultaneously reducing the capacity of the environment to withstand additional stresses. This, in turn, produces collateral losses of ecosystem goods and services that otherwise yield valuable benefits to society (USGCRP, 2018).

The capacity to anticipate the impacts of climate change and its variability on the nation's FEWS is a national and global imperative (Newmark et al., 2012; Miara et al., 2013; Warner et al., 2013; Arent et al., 2014; Wuebbles et al., 2014; Challinor et al., 2015; Kotamarthi et al., 2016; Martinich and Crimmins, 2019). For example, shifting patterns of drought and other severe weather in the U.S. are anticipated to lower crop yields and raise food prices (USDA, 2012; USGCRP, 2017), with economic impacts extending well beyond the U.S. to countries importing our goods (FAO, 2012). In the electric power sector, changes in seasonal water shortage and elevated river temperatures are tandem concerns, reducing generation efficiencies and constraining power production during periods of peak demand (van Vliet et al., 2012; Miara et al., 2013). The management of agricultural impacts on water pollution extends from local up to regional to even continental scales, with land-to-ocean fluxes extending fully to the coastal zone (National Academies of Sciences, Engineering, and Medicine, 2022). The climate-dependent security of water supplies is also of concern as headline-dominating droughts persistently plague a large part of the nation (USDA, 2012; Wilhite et al., 2014; NY Times, 2022). Extremes in precipitation and concomitant flooding lead to damage in the built environment totaling tens of billions of dollars each year in direct and commercial trade-related impacts (Willner et al., 2018). Depending on its timing and severity, extreme rainfall during planting season can eliminate an entire year's crop harvest (Li et al., 2019). Complicating such tradeoffs are environmental regulations, like the *Clean Water Act*, with its thermal effluent limitations crafted well before climate concerns entered the domain of citizen awareness or public policy (Kraft and Vig, 2006), but take on renewed importance as we develop strategies aimed at climate adaptation and mitigation.

2.2 Engineered and nature-based infrastructures that support FEWS

Both *TEI* and *NBI* infrastructures underpin the nation's FEWS. There are countless *TEI* components defining a full food-energy-water system, which itself interacts within a broader context of climate and other environmental conditions as well as specific investments in *TEI* made in the context of local to national-level economies, environmental and social safeguards, commitments to system maintenance, and the traditions of hydraulic engineering deployments (Vörösmarty et al., 2021). For the water sector, *TEI* supports water supply and sanitation, irrigation, hydropower, navigation, and flood/drought protection (ASCE, 2021) and there is growing interest in designing sustainable infrastructure in light of climate change and their related hazards (Röttgers et al., 2018; ISI Institute for Sustainable Infrastructure, 2022). Nature-based solutions for climate resilience have gained currency (UNEP-IUCN United Nations Environment Programme and International Union for Conservation of Nature, 2021). These recognize the value of ecosystem services (IPBES, 2018) and are central to achieving water security arising from climate change and other, more direct human-induced threats to water systems (e.g., pollution, poor land management) (WWAP/UN-Water, 2018; USACE-EWN, 2020). For this study, we define *NBI* broadly as landscapes (i.e., terrestrial ecosystems) connected to their aquatic counterparts (i.e., rivers, natural lakes, reservoirs, wetlands), with their functionality assessed collectively at the regional scale (Vörösmarty et al., 2021). Well-managed *NBI* assets support the production of food and biomass energy crops; provide clean water supply and pollution abatement through intact uplands and wetlands; and produce the water necessary to operate *TEI* (e.g., cooling water for thermoelectric power production), with clear, positive contributions that are regionally significant in economic terms (Costanza et al., 2014; Vörösmarty et al., 2021). *NBI* also plays a critical role in climate mitigation through the substantial carbon (C) sequestration potential of vegetation and soils but, if landscapes are mismanaged, as an additional source of emissions for CO₂ and other radiatively-important gases (Lu et al., 2015; Sha et al., 2022).

In practice, *TEI* and *NBI* seldom operate in isolation to produce such services, and must be sensibly co-managed to sustain their societal benefits. The New York City water supply system is a quintessential example of a blended *TEI-NBI*, with the capital and maintenance costs of its massive engineered infrastructure determined in large measure by the integrity of its three provisioning water supply landscapes—two located across the Hudson River in the Catskill mountains and upper Delaware River and one in Westchester county north of the city. Suburbanization and the associated pollution runoff from the northern watershed necessitated a \$3.2 B investment in 2015 for an advanced water filtration system, while the western watersheds can still rely on investments in landscape protection that maintains a high level of existing water quality and avoids the need for similar costly treatment (Hu, 2018). Another good example of the conjunctive use of engineered and natural systems involves electric power production, clearly produced directly by *TEI*, but a service that could not otherwise be realized without collaborating elements of *NBI*—the water provided by nature to cool turbines at power stations, the potential energy represented by river water stored behind dams to generate hydropower, or landscapes dedicated to commercial solar energy and wind production.

The condition of *TEI* and *NBI* also determines how these assets contribute to regional FEWS. Engineered infrastructure in the United States has routinely earned poor grades, creating the impetus for massive government spending on new systems (ASCE, 2021). For the water sector specifically, these poor grades have been used to justify the infusion of approximately \$50B in new investments dedicated to clean drinking water and wastewater treatment (DeFazio, 2021). Similarly, poor management of *NBI* in upland watersheds diminishes the quantity, quality, and economic value of water provisioning services, placing downstream populations, the built environment, and ecosystems at risk (Vörösmarty et al., 2018). By some estimates (Costanza et al., 2014; Vörösmarty et al., 2021) current global losses of water security-related ecosystem services are disappearing at a rate of 2%–3% annually. Furthermore, the functional *NBI* contribution to global water security, if lost to poor environmental management, would require by 2030 \$2.3 Tr annually to replace it with engineering, a figure more than twice the yearly expenditures for *TEI* (Vörösmarty et al., 2021).

The condition of one type of infrastructure yields reciprocal impacts on the other. The operation of water storage reservoirs can be severely compromised if erosion from uplands is left unchecked, resulting in major capacity losses (~1% year⁻¹ globally) (Zarfl and Lucía, 2018) and rendering much of the original *TEI* investment lost (George et al., 2017; Randle et al., 2021). Indoor urban water systems coupled to sewer networks yield well-recognized benefits to human health, but if accompanied by incomplete levels of wastewater treatment—the norm throughout much of the world—overtax the self-purification potential of receiving waters, substantially elevating downstream drinking water treatment costs (McDonald et al., 2016) and degrades aquatic habitat and biota (UN-Habitat and WHO, 2021). *NBI*-based instream self-purification can attenuate the problem to some degree, but may require a significant length of functional river course (Wollheim et al., 2008), which may or may not exist before downstream ecosystems or human populations are negatively impacted.

2.3 Regional, multi-decadal, and management contexts

The kinds of interactions discussed above imply that the overall efficiency of FEWS will reflect the settings in which particular *TEI* and *NBI* investments exist. These infrastructures are distributed locally over space and time and combined in ways that make them unique: i) when viewed over broader more heterogeneous spatial domains; ii) through their spatial hydrologic connectivity; iii) placed into a regulatory or management context; and, iv) over longer time horizons that yield legacy effects. A good example of how these contexts interact is the Mississippi River drainage basin, where policy objectives of the Clean Water Act incurred substantial investments to upgrade wastewater treatment facilities. While these helped to control point source pollution (U.S. EPA, 2016) they these were not matched by corresponding reductions in diffuse agricultural pollution distributed over many thousands of stream and river lengths, thus obscuring much the benefit of the costly advanced systems and creating a chronic oxygen dead zone offshore of the delta (Secchi and McDonald, 2019). Another example is when surface waters generated by *NBI* are used to cool multiple thermoelectric power plants distributed sequentially across downstream river reaches,

accumulating sufficient heat to then interfere with the performance of individual plants located downstream and their aggregate power production, while also exceeding Clean Water Act thermal tolerance limits for fish and other aquatic life forms (Miara et al., 2013). Tracking the carbon balance and sequestration potential of terrestrial ecosystems requires the careful spatial tracking of cohorts of landscapes, each with a unique carbon content and flux potential determined by history of human action. Here particular decisions regarding land clearance or abandonment as well as the incursion of urban and suburban landscapes produce long-term legacy impacts, detectable over multiple decades to centuries (Kicklighter et al., 2022). A macro-scale staging is also supported by recommendations made in the sequence of National Climate Assessments (NCA) [e.g., (USGCRP, 2018)], namely, that understanding climate impacts and crafting adaptation responses must be focused on the regional multi-decadal scale, owing to environmental and economic impacts that are uniquely sub-national and long-term in their evolution.

As we document in a companion paper applying the C-FEWS to the U.S. Northeast and Midwest (Vörösmarty et al., this issue), high quality inventories of many of the components of gray-green infrastructures are available as time series to support regional-scale studies, which in turn enable their derivative services to be quantified and linked to sensitivities produced by climate and non-climate forcings. Identifying these sensitivities helps to uncover cumulative impacts and tradeoffs involving key *TEI* and *NBI*-based policy “levers,” which can then be tested in scenario experiments aimed at optimizing FEWS performance over the long term.

2.4 The need for integrated FEWS frameworks

Following early generalized conceptualizations (Hoff, 2011; World Economic Forum, 2011) several more articulated FEWS approaches and framings have emerged, with recent reviews (Albrecht et al., 2018; McGrane et al., 2018; Simpson and Jewitt, 2019) documenting a wide spectrum of themes (from the physical to the socioeconomic), scale (from local to basin to national, if not global), and degree of quantification of individual FEWS elements and their interactions. Lawford (2019) advocated the use of essential FEWS variables, based on monitoring data from ground-based networks as well as satellite remote sensing. Ingesting such information into data-rich accounting systems can then convert such inputs into indicators of FEWS performance (e.g., Giampetro et al., 2013; Daher and Mohtar, 2015; Sadegh et al., 2020), without necessarily formulating complex fully interacting models. McGrane et al. (2018) also discuss the role of input-output approaches as well as life cycle analysis as nexus-relevant quantitative tools. A theory-based framing of FEWS extending to social welfare considerations through a lumped water-energy-food consumption index has also been demonstrated (Teitelbaum et al., 2020). Decision-support systems can be used to frame FEWS research (Wolfe et al., 2016) and generate scenarios and affiliated tradeoffs (Daher and Mohtar, 2015; Daher et al., 2017).

Dargin et al. (2018) review a spectrum of FEWS approaches and report on a disarray in existing techniques, which are moving toward more complex simulation systems but narrowing the sectoral linkages and failing to capture some critical interactions. Nevertheless, dynamical configurations have been constructed, specifically for FEWS applied over national, sub-national and basin scales (Howells et al., 2013; Kling et al., 2017). CLEWS (Howells et al.,

2013) represents a series of “soft-linked” models that maintain coordinated assumptions, input data sets, and treatments of essential interactions. The presence of strong FEWS linkages nonetheless argues, at least implicitly, for fully coupled or as completely coupled models as practicable. Integrated assessment models have been configured and used broadly to analyze policy and financial tradeoffs in the climate mitigation space (van Beek et al., 2020). Several include interactive Earth system components that link water cycle, land dynamics, and energy sector dynamics, so in principle these could be used productively to analyze FEWS interactions *per se* (Kling et al., 2017). However, integrated assessment models typically have prodigious computational overhead, require a large team to execute the algorithms, and extend well beyond FEWS itself and well beyond the spatial domain of regionally focused efforts like ours.

2.5 Specific framework requirements

It is clear that the choice of existing frameworks is expansive, with tools and approaches often matched to a particular research question or geographic area of interest, but not ideally suited conceptually or practically to the study at hand. Nonetheless, the linked nature of these issues summarized in Sections 2.1–2.4 convinces us of the need for a systematic framing with a sufficient level of integration (Weaver et al., 2012; Leck et al., 2015) and this requirement guides our approach. In particular, societal needs revolve around detecting climate trends and extremes, diagnosing impacts on biogeophysical and human systems, and identifying regional management tradeoffs, all in the context of evolving environmental regulations and economic incentives. The framework we use must also enable a sufficient degree of contextualized (i.e., region-specific) modeling (Daher et al., 2017) but without seeking to capture idiosyncratic dynamics at the local scale. FEWS climate resilience is essentially a geographical question and the framework needs to accommodate models that are organized over space (i.e., regions depicted in pixel space, administrative units, river networks, drainage basins). In the context of a loosely coupled confederation of models, use of carefully monitored workflows, sufficiently mature and peer-reviewed algorithms, and shared performance metrics will be essential, particularly to systematically test hypotheses and answer fundamental questions. Further, we have the specific objective of exploring the roles of *TEI* and *NBI* on FEWS performance. Working with stakeholders adds an additional design requirement, that is, the capacity to distill otherwise complex modeling results into formats that facilitate dialogue between data providers and users. While the basic FEWS research needs to be executed using fully articulated, dynamic spatial models, we can also take advantage of reduced complexity approaches that convey to the stakeholders simplified depictions of otherwise complex dynamics (Bokhari et al., 2022).

3 Methods

The C-FEWS framework comprises a semi-coupled confederation of models, similar to the approach in CLEWS (Howells et al., 2013). This decision enables the use of existing, peer-reviewed algorithms and data sets, as well as efficient model set-up, execution, and post-processing. As explained below, this coupling arises from the standardization of time horizons, reporting units, and shared

protocols for model execution and synthesis. We present models at two levels of organization. The first comprises six models operating in full (pixelated) and partially aggregated (e.g., country, state-level) geospatial mode, with a broad set of temporal dynamics (from minutes to days). The second level represents reduced complexity models (RCM) using lumped spatial parameters and a variety of timesteps (from daily to annual) and spatial aggregations to harmonize with other components of the project. We also develop a regional FEWS services portfolio and valuation model using state-level accounting units over an annual timestep. This section describes the overall framing, the component models and their chief data requirements.

3.1 Structure of the C-FEWS framework

The overall architecture for our research approach is given in (Figure 1), showing how we exercise the *C-FEWS* core models through digital data exchanges in a soft-linked configuration. An overview is given immediately below with additional elaboration and acronyms defined in Sections 3.3. Complete descriptions can be found in (Bokhari et al., 2022; Chang et al., 2022; Fekete et al., 2022; Kicklighter et al., 2022; Lin et al., 2022; Maxfield et al., 2022; Tuler et al., 2022; Zhang et al., 2022). Section 3.4 describes more specific computational exchanges invoked for the set of single and multi-factor experiments used in hypothesis testing and scenario analysis.

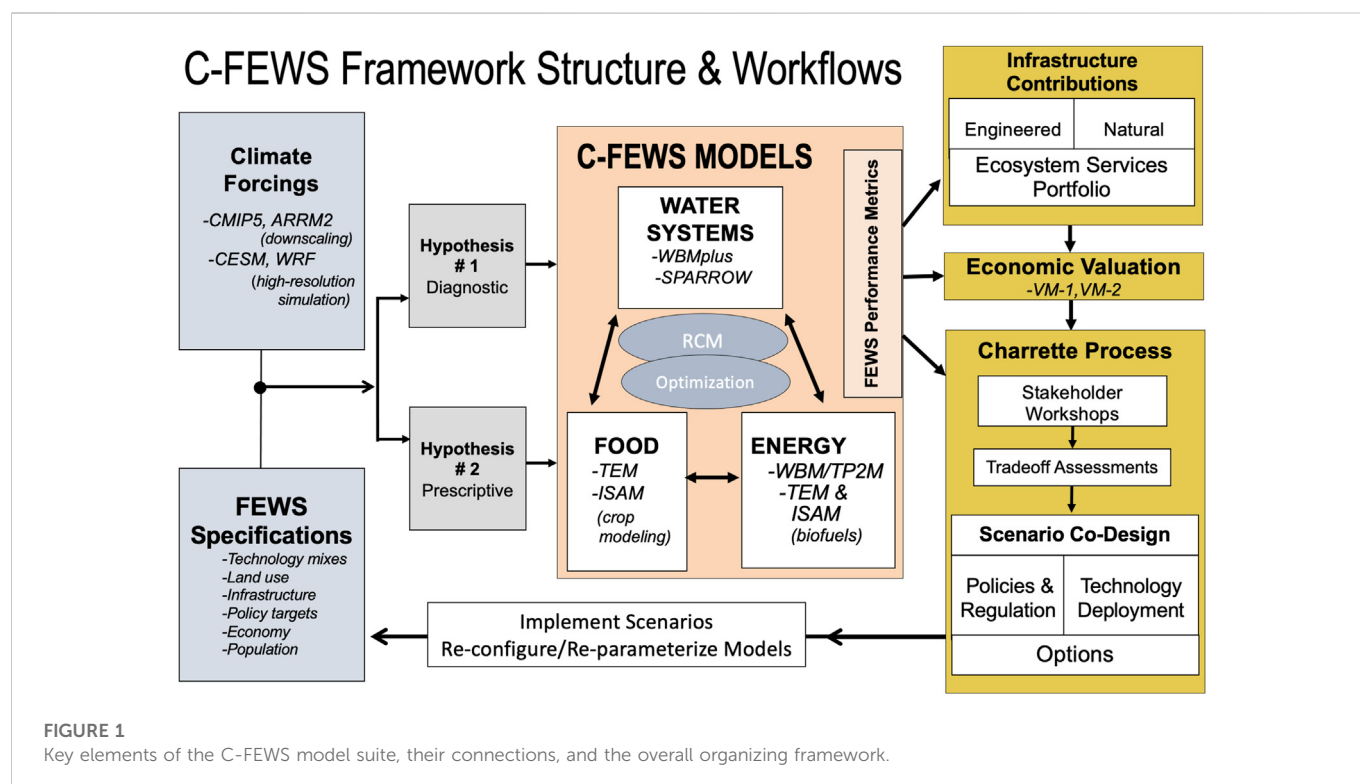
Climate Forcings driving the *C-FEWS* Models are prescribed from the North American Land Data Assimilation Phase 2 (NLDAS-2) (Xia et al., 2012a; Xia et al., 2012b). These are combined with FEWS Specifications for exogenous water/land resource demand, technologies, operations, and management to test Hypotheses. WATER SYSTEMS are simulated for water supply, multi-sectoral use and pollution impacts. FOOD is modeled with *ISAM* (food crop biomass, resource demands, adaptation, agricultural emissions, nutrient leaching). ENERGY components in *ISAM* and *TEM* (for terrestrial C, resource demands greenhouse gas emissions, nutrient cycling) compute biofuel feedstock potential. ENERGY models also simulate thermo/hydroelectricity production. For the latter, power plant performance and thermal pollution are computed by *TP2M*, limited by climate and modified through technology/innovation/policy targets and deployments. *C-FEWS* MODELS also estimate levels of competition between the electric energy sector and food and biofuels production, when water becomes limiting. We also developed RCMs, simplifying the complex geospatial models to enable rapid conceptual testing of key FEWS dynamics. *C-FEWS* Performance Metrics summarize core model biogeophysical outputs and are used to evaluate the state of engineered and nature-based capital, which together generate a Regional Services Portfolio. This Portfolio guides *Stakeholders* in a workshop Charrette Process, including Scenario Co-Design with researchers and stakeholders jointly developing policy or technology targets. The Services Portfolio provides inputs to an Economic Valuation model to estimate, in dollar terms, the FEWS scenario outcomes. We then exercise an Optimization scheme to maximize positive outcomes while minimizing externalities. As model outputs reveal tradeoffs across the nexus, new targets can evolve and FEWS

Specifications can be appropriately revised. This information feedback is activated through interaction with stakeholders. The *C-FEWS* MODELS in Figure 1 are referred to in this paper as *assessment models*.

3.2 Defining the climate extremes

Changing climate affects the frequency and intensity of many types of extreme weather events (Wuebbles et al., 2014; Wuebbles, 2018). The ongoing and unprecedented change in intensity and frequency of these events historically generate large and often negative socio-economic impacts expressed through the FEWS nexus. In this study, we analyze changes in the spatiotemporal patterns of four categories of extreme events: droughts, heat waves, extreme precipitation, and cold waves. These are analyzed in the first phase of our study over four recent decades (1980–2019) but are also relevant in the future (to 2100). The initial *C-FEWS* focus is on the 20 states constituting the U.S. Northeast (NE) and Midwest (MW) and uses the NLDAS-2 dataset. We developed a ranking method for each of the event types, integrating their duration, spatial extent and intensity across different timescales.

- **Drought**—We use the newly developed Drought Intensity Score (DIS) to define drought conditions across the CFEWS region (Sanyal and Wuebbles, 2022a). The metric is defined using the Standard Precipitation Index (SPI) (Svoboda et al., 2012), which can be defined as the number of standard deviations by which the observed anomaly deviates from the long-term mean over 1–36 months duration (Guttman, 1999; World Meteorological Organization, 2012). In this study, SPI-3 (3 months SPI), and the area affected by this condition, through a rank-based identification is used to define DIS. SPI-3 gives medium term moisture conditions and is very effective in agricultural regions to determine drought conditions. SPI-3 also has a better response rate compared to Palmer Drought Index (Svoboda et al., 2012). While SPI by itself informs the general wet/dry condition of a region, it is not as effective in identifying the degree of severity of drought. A DIS score greater than 4 indicates a severe drought condition over the region and less than 2 indicates very mild to no drought condition. Intermediate values intuitively represent more moderate drought conditions.
- **Heat Wave**—Heat waves can be defined as an extended period of extremely hot weather, most often accompanied by high humidity that has adverse effects on human health, agriculture, food services and the energy sector. The definition of a heat wave varies according to regions across the country and is measured compared to the local weather, where their impacts are most often assessed over smaller geographical domains, like city or county scale. If any pixel used in our analysis (1/8th degree long/lat) shows the following criteria for 3 consecutive days (Kew et al., 2019; Fisher et al., 2022), between April to September, we define it as a heat wave event (Sanyal and Wuebbles, 2022a): i) maximum temperature >95th percentile value; and, ii) Heat Index greater than 35 C. Heat Index is the



apparent temperature felt by the human body when relative humidity is combined with temperature and is calculated using the Rothfus equation described in a 1990 National Weather Service (NWS) Technical Attachment (SR 90-23) (Rothfus and NWS Southern Region Headquarters, 1990). The time duration spans late spring to early fall. Since this study analyzes the impact of climate extremes over the broad C-FEWS region, area-weighted counts of 3-day events are calculated for the NE, MW and their combination. Total number of events in each region are then reported at an annual scale. These data are then used to identify and rank the years with the most impactful heat waves.

- **Extreme Precipitation**—Each grid box is identified to have an extreme precipitation event when its value exceeds 5 cm per day. Extreme precipitation events have become more frequent and intense in both the NE and MW (Sanyal and Wuebbles, 2022b), resulting in more instances of saturated soils and flash floods (Erlingis et al., 2019; Khajehei et al., 2020). Similar to the procedure for heat waves and cold waves, we calculate area-weighted threshold event values across the states, sub-regions, and the entire C-FEWS region at an annual scale.
- **Cold Wave**—We define a cold wave as a rapid decrease of temperature within a span of 24-h and low temperature spanning over a 3-day period. In this study, we define a cold wave spell in each pixel when three consecutive days experience a temperature less than or equal to -6.7°C (Sanyal and Wuebbles, 2022b). Like heat waves, cold waves are localized events but here identified over the larger NE, MW or combined macro-region. We further evaluate the number of 3-day area-weighted cold wave events at an annual scale and rank the aggregate results.

3.3 Configuring the component models

Existing C-FEWS models and their refinements are used to evaluate the effects of climate change and extremes, non-climate environmental drivers, and management actions on regional FEWS. The components are outlined immediately below. We begin by describing the individual models, detailing their characteristics and functions within the overall analysis scheme (Figure 1), as well as their data requirements. We also present our strategy for integrating the modeling results within the overall study and our approach to stakeholder engagement.

3.3.1 Climate forcings

Owing to their complex regional-scale dynamics, we see that the best characterization of climate trends and extremes is achieved through multi-model techniques with project-specific, systematic analysis of results (Wuebbles et al., 2014; Zobel et al., 2017; Zobel et al., 2018). We used prescribed forcings from the NLDAS-2 (Xia et al., 2012a; Xia et al., 2012b), part of a multi-institutional project, aimed at producing spatially and temporally consistent, quality-controlled land surface model datasets, drawn from observed and reanalysis time series. NLDAS-2 was specifically created to reduce errors in soil moisture and energy, sometimes observed in numerical models. The model is run on a $1/8^{\text{th}}$ degree grid with its geographical domain extending from 124.93°W to 67.06°W and 25.06°N to 52.93°N . The forcings are mostly derived from North American Regional Reanalysis data (NARR) (Mesinger et al., 2006), along with monthly Parameter-elevation Regressions on Independent Slopes Model (PRISM) data (Daly et al., 1994). The NLDAS-2 dataset has been extensively analyzed with respect to the quality of its output variables (Xia et al., 2012a; Xia et al., 2012b), which for our baseline climate scenario uses 3-hourly surface temperature, precipitation, specific humidity, wind speed and long and shortwave radiation for

TABLE 1 Target years and associated 5-year analysis periods (2 years pre/post) in the historical record for the US Midwest and Northeast during the early, middle, and late stages of the historical time period. Individual years representing specific extreme events* are identified using the methods summarized in the narrative. Individual years can be associated with multiple categories of events recorded (e.g., extreme precipitation and cold-waves across the MW in 2015; cold-wave and heat-wave in the NE in 2016). Adapted from (Sanyal and Wuebbles, 2022a; Sanyal and Wuebbles, 2022b).

Event	<-----EARLY----->		<-----MIDDLE----->		<-----LATE----->	
	MW	NE	MW	NE	MW	NE
	Individual event years					
Drought	1988	1989	2000	1999	2011	2017
Heat-wave	1988	1988	2003	2002	2012	2016
Extreme precipitation	1982	1983	2002	1996	2015	2009
Cold-wave	1983	1990	1995	1997	2015	2016
Five-Year Analysis Periods						
Drought	1986–1990	1987–1991	1998–2002	1997–2001	2009–2013	2015–2019
Heat-wave	1986–1990	1986–1990	2001–2005	2000–2004	2010–2014	2014–2018
Extreme precipitation	1980–1984	1981–1985	2000–2004	1994–1998	2013–2017	2007–2011
Cold-wave	1981–1985	1988–1992	1993–1997	1995–1999	2013–2017	2014–2018

*This table depicts the extreme event chronologies. Experiments were also executed that aimed at removing the major influences of these extremes (Supplementary Appendix S1, Supplementary Approach C). For the NE, and MW, respectively, we identified the following years for those experiments treating the reduction of: heat waves, 2014, 2019; drought 2010, 2010; extreme precipitation 2016, 2012, and cold waves (2014, 2019).

the period 1980–2019. Table 1 shows the timing of extreme events recorded for the two macro-regions of the Northeast and Midwest. The table gives a synoptic picture that reflects the variability of each type of climate extreme, which is superimposed over longer-term trends spanning the 40 years of our historical baseline. Each of the entries are used to temporally bound the analysis of impacts that a particular climate extreme yields on different C-FEWS assessment models, as explained in Supplementary Appendices S1–S3 and explored in the last section of this paper.

3.3.2 Land evolution scenarios

Present-day carbon, water, and biogeochemical dynamics in terrestrial ecosystems reflect a century-scale legacy of NBI management, most importantly, land-use change across the NE-MW (Lu et al., 2015) but modified by nitrogen and water availability on landscapes, climate forcings, and atmospheric constituents that include CO₂ and pollutants. These must be modeled insofar as land surface conditions in 2022 are ultimately a product of prior land cover and management decisions, some dating back to 1700. We use TEM's dynamic cohort approach to represent land-cover change, abandonment, and regrowth (Reilly et al., 2012). In this approach, a grid cell is initially assumed to be entirely covered by a mosaic of undisturbed natural vegetation. When a disturbance occurs, such as timber harvest or conversion to agricultural or urbanized land, over a part of the grid cell, a new cohort is created and the area associated with the disturbance is subtracted from the affected natural cohort and is assigned to the new disturbed cohort. A new cohort may be created when part of an existing disturbed cohort changes land use (e.g., cropland to pasture, suburban to urban), experiences a new disturbance (e.g., timber harvest), or may be abandoned back to natural vegetation. For each of these cases, the new cohort is created with the area associated with the change subtracted from the existing disturbed cohort and assigned to the new cohort. As time progresses and more disturbances occur, more cohorts

are added to the grid cell to track the entire land-use history of the grid cell in a time-series data set. A historical cohort time-series data set (1700–2019) has been developed for C-FEWS at 0.1° pixel (L/L) resolution by combining extant land-cover time-series data developed for the NE (Lu et al., 2013) and MW (Meiyappan and Jain, 2012) as described in (Kicklighter et al., 2022). We also consider future scenarios of regional population growth (U.S. EPA, 2017), land-use/cover consistent with the most recent IPCC Shared Socioeconomic Pathways (SSPs) (Riahi et al., 2017), plus land development pathways created with our Stakeholders.

3.3.3 Integrated science assessment model (ISAM)

The ISAM (Song et al., 2013; Niyogi et al., 2015) is used in C-FEWS to simulate food and bioenergy crops. ISAM calculates crop productivity, carbon, nitrogen, energy, and water fluxes at spatial resolutions ranging from 0.1° to 0.5° (L/L) and at multiple temporal resolutions ranging from one-half hour to yearly time scales. Thus, ISAM can capture diurnal and seasonal dynamics associated with individual crops at site, regional, and national scales. Some of the important features include: i) crop-specific phenology and dynamic carbon allocation schemes (Song et al., 2013; Song et al., 2014), accounting for the sensitivity of different crops to extreme cold, hot dry, and wet environmental conditions (e.g., frost, drought, waterlogging, etc.) and nutrient stress while allocating assimilated C to leaf, root, stem, and grain pools (Song et al., 2013); ii) dynamic vegetation structure that captures seasonal variability in LAI, canopy height, and root depth (Song et al., 2013); iii) dynamic root distribution processes at depth, to better simulate root-mediated soil water uptake and transpiration (Song et al., 2013); iv) heat stress impact during the reproductive periods simulated using canopy temperature (Gahlot et al., 2020; Lin et al., 2021); and, v) vertically-resolved C-N dynamics associated with soil organic carbon (SOC) profiles (across 10 layers) and their spatial heterogeneity (Shu et al., 2020). Recent model

TABLE 2 The four main categories of FEWS elements manipulated using the Single Factor Experiments, expressed for climate (cSFEs) and for non-climate entities (ncSFEs), and dedicated to uncovering FEWS sensitivities. For the ncSFEs, each subordinate entry defines a particular variable that is fixed at 1980 levels while the baseline otherwise progresses as in the historical time series (i.e., with all other elements varying as observed). The resulting time series of assessment model output variables under the scenario can then be compared to baseline. The sensitivity of any assessment model variable or collection of variables to a single or multiple perturbation can be computed using Δ statistics as described, using specific examples, in [Supplementary Appendices S1–S3](#). Relevancy to engineered or nature-based infrastructure is indicated.

	ISAM	TEM	WBM/TP2M	WBMplus	SPARROW
Climate experiments (cSFes) ^a					
Climate(Approaches A-C)	<				

^aEach of the four cSFE, categories can use the three Approaches described in [Supplementary Appendix S1](#). Thus, there are 12 possible cSFEs.

extensions include: vertical transport of SOC, discretized soil decomposition and abiotic modifiers for topsoil/subsoil, and a gas diffusion module for estimating oxygen availability and microbial control on SOC decomposition (Shu et al., 2020). Pastures and manure cycles are also simulated (Xu et al., 2021). These features are unique to ISAM and generally not included in other such models. We apply ISAM at 0.1° spatial resolution when simulating NE-MW's major crops—maize, soy, spring/winter wheat, sorghum, and bioenergy crops including corn for ethanol, *Miscanthus*, and switchgrass.

3.3.4 Terrestrial ecosystem model (TEM)

The TEM is used to evaluate land-based natural infrastructure services, consistent with process-level insights from ISAM. TEM uses spatially-referenced information on climate, atmospheric chemistry, elevation, soils, land cover, and land use to estimate fluxes and pool sizes of C, N, and water in vegetation and soils. It is well-documented and has been used to examine patterns of land C dynamics over regional up to global scales, assessing impacts from multiple factors such as CO₂ fertilization, climate change and variability, vegetation shifts, land-use change, and ozone pollution (McGuire et al., 2001; Tian et al., 2003; Felzer et al., 2005; Melillo et al., 2009; Reilly et al.,

2012; Kicklighter et al., 2014; Melillo et al., 2016). TEM computes land C dynamics that strongly depend on the interactions between nutrients and water including: i) mineralization of soil organic N associated with litter and soil organic decomposition; ii) N inputs from fertilizer applications; and, iii) soil moisture which can limit decomposition, N mineralization and the capacity of plants to acquire inorganic N under drier conditions. TEM also simulates changes in C, N and water associated with ecosystem recovery after human and natural disturbance (McGuire et al., 2001; Balshi et al., 2007; Melillo et al., 2009; Reilly et al., 2012; Kicklighter et al., 2014). TEM (and ISAM) can determine bioenergy potential, focusing on 1st–3rd generation biofuels, emerging bioenergy technologies, and impacts of biofuels on land, energy, water, and climate (Heath et al., 2009; Warner et al., 2013; NREL, 2014).

3.3.5 Nutrient pollution modeling

We use the USGS SPARROW model to simulate land-to-waterway nitrogen (N) fluxes (Alexander et al., 2008; Shih et al., 2022). The original model has been used in a wide variety of contaminant sources and transport studies, including process investigations of stream denitrification (e.g., Alexander et al., 2000; Alexander et al., 2007),

TABLE 3 Core variables computed by the suite of C-FEWS models, organized by sector and by assignment as representative of the performance of different infrastructures. These variables are used to evaluate system impacts from the climate and non-climate factors manipulated to produce the contrasting scenarios given in Table 2.

	Indicative infrastructure	Units	Model used
Food			
• Crop Production ; --Corn; --Soybean	NBI	tons year ⁻¹	ISAM
Energy and carbon			
• Carbon Sequestration --Natural landscapes (forests, grasslands, shrublands, wetlands); --Managed land (cropland, pasture); --Built environments (urban, suburban); --Total sequestration	NBI	Tg C month ⁻¹ ; kg C month ⁻¹ ha ⁻¹	TEM
• Biofuel Production --Forests for biomass energy; --Biofuels production (corn, grasses)	NBI	tons year ⁻¹ ; board-feet year ⁻¹ ; liters year ⁻¹	TEM, ISAM
• Other Uses of Forests --Pulp and paper; --Lumber	NBI	tons year ⁻¹ ; boardfeet year ⁻¹	TEM
• Electric Power Production --Thermoelectric; --Hydroelectric; --Renewables (solar, wind)	TEI	MWh month ⁻¹	WBM/TP2M EIA statistics
Water			
• Volumetric Water Supply ; --Runoff; --Discharge	NBI	mm month ⁻¹ ; m ³ sec ⁻¹ (reported monthly mean)	WBMplus
• Land-based (non-point) N Loads ; --Total N (TN)	NBI	kg N/ha/year; Tg N/year	SPARROW with WBMplus
• Land-based (point) Loads ; --Total N (TN)	TEI	Tg N/year	SPARROW
• Water Quality/Fluxes/Pollution (terrestrial and riverine) ; --Total N Yield; --Total N concentration; --Total N loadings to coast (lower Mississippi for the MW); --Pollution-impacted rivers (above threshold conc.); --Thermally impacted rivers (above threshold)	TEI-NBI	kg TN ha ⁻¹ year ⁻¹ ; mg liter ⁻¹ (annual mean); Tg TN year ⁻¹ ; km of streams exceeding limit	SPARROW (constituents) WBM/TP2M (thermal impacts)

management-related studies of nutrient sources and their delivery to sensitive receiving waters (Alexander et al., 2008; Robertson et al., 2009; Preston et al., 2011), and forecasts of the effects of future climate and land-use change on nutrient and sediment fluxes (Bergamashci et al., 2014). Earlier studies include watershed assessments of nutrients (Alexander et al., 2008; Ator et al., 2011; Preston et al., 2011), total organic C (Shih et al., 2010), sediment (Brakebill et al., 2010), and streamflow (Alexander, 2015). The model is spatially explicit with separate source generation, landscape, instream and reservoir non-linear, and mechanistic process components that simulate engineered and natural (terrestrial and aquatic) nutrient processing infrastructures. More recent versions have been applied regionally in the NE-MW and Mid-Atlantic (Moore et al., 2004; Ator et al., 2011; Hoos et al., 2013). SPARROW has commonly been used to predict long-term means but can also handle seasonal nutrient flux over decadal periods, based on a dynamic formulation with transient storage components including historical nutrient source input legacies (Smith et al., 2014). SPARROW has been modified to account for the frequency of extreme climate conditions, and data inputs were altered to specifically accommodate C-FEWS single and multi-factor experiments. The SPARROW N model was statistically calibrated to account for dry/wet/hot/cold month frequency (Maxfield et al., 2021). Historical temperature and precipitation records (NLDAS, 2022) were used to generate mean frequency of occurrence per month of each of the four climate conditions over a 4-decade period to be used as predictor variables in the SPARROW calibration. This was done so that short-duration extreme climatic conditions could be reflected in the steady-

state SPARROW model. We analyze both non-point source nitrogen pollution from cropland and atmospheric deposition plus point sources from wastewater treatment facilities (several 1,000s of plants), the latter using an EPA database digitized by our team (Rychtecka et al., 2010) and USGS analysis (Skinner and Maupin, 2019) plus livestock-based loading (Zering et al., 2012).

3.3.6 Water systems: Supply and use

A suite of sub-models implemented within an earlier framework [FrAMES; *Framework for Aquatic Modeling of the Earth System* (Wollheim et al., 2008)] has been modified and used to simulate water supply and use. A water balance/transport model (WBM/WTM) (Vörösmarty et al., 1989; Vörösmarty et al., 1998) has been upgraded with several new capabilities relevant to FEWS: sectoral water use and management infrastructure with irrigation water use (including small reservoir effects) (Wisser et al., 2008; Wisser et al., 2010b) and reservoir operations for hydroelectricity (Wisser et al., 2010a; Fekete et al., 2010; Ehsani et al., 2015). We simulate these reservoir operations (WBMplus) with recent improvements based on neural network optimization for dam operation (Ehsani and Afshar, 2011) and spatial distributions from the *National Inventory of Dams*, combining this with extension to the MW of a NE interbasin transfer database (Buckley, 2013; Shikhmacheva, 2017), to compute regional reallocations of water. Data sets to drive FrAMES include climate (from ARR2M2/CESM), water demands (including livestock, Zering et al., 2012), geolocated infrastructure, reservoir/lake location and dimensions, land cover and soils. Runoff is routed downstream

using Muskingum-Cunge, accounting for both flowing streams and reservoir storage to predict spatially distributed discharge at daily time steps (Wisser et al., 2010a; Wisser et al., 2010b; Ehsani et al., 2015). We find that for regional applications using WBM/WTM a daily time step using 3' (L/L) spatial resolution river networks (USGS, 2016) provides an adequate balance between accuracy and computational tractability (Fekete et al., 2002; Lehner et al., 2008).

3.3.7 Electricity production/thermal pollution modules

Electric energy technology mixes are from the U.S. *Energy Information Administration* (EIA, 2022). Technology deployments (fossil, nuclear, renewables) reflect the suitability of facilities in the context of climate trends, their inherent space and time variability, and uncertainties in technology, economy, and policy drivers. The *Thermoelectric Power & Thermal Pollution Model (TP2M)* (Miara and Vörösmarty, 2013a; Miara and Vörösmarty, 2013b) couples power plant engineering, hydrology, and riverine thermal transport submodels. Its regional application to the NE (Miara et al., 2013; Stewart et al., 2013) with 384 power stations quantified the importance of the region's hydrologic systems in providing an essential NBI-based ecosystem service, that is, the transport and dissipation of power plant heat. As in Miara et al. (2013) for current climate, we simulate the impacts of greenhouse warming on regional power plant operating capacity and temperature-dependent efficiencies, and assess these with/without adherence to *Clean Water Act (CWA)* regulations mandating shutdowns during times of excessive heat. We have geo-located and characterized 87% of thermoelectric stations nationwide ($n = 1080$) (Miara et al., 2017), from which we draw our C-FEWS NE and MW subsets, $n = 266$ and 228 power plants, respectively.

3.3.8 Valuation model

The societal impact of *TEI* and *NBI* investments and policies are estimated using an economic valuation model, *VM*. This model provides value estimates using a social surplus valuation methodology (Letourneau et al., 2015; Sanders and Barreca, 2022) for outcomes such as food and energy that are sold in monetary transactions. Such values are the difference between the benefit of such goods to consumers and the costs of producing them. Social surplus is the area between the supply curve (capturing unit costs of production) and the demand curve (capturing the benefit of each unit to society) integrated over the units sold in the market. We calculate the change in social surplus for a good between a baseline and alternative scenario by using data on baseline price and quantity sold in the two scenarios, information about the slopes of supply and demand curves from previous research, and information from the outputs of the C-FEWS models on how much weather conditions shift the supply curve up or down. *VM* also uses a benefit transfer methodology (Richardson et al., 2015; Hungate et al., 2017) to estimate values of non-market goods in our scenarios (e.g., for C sequestration and water pollution abatement) based on previous research. Methodological details are in Chang et al. (2021 and this issue).

3.3.9 Reduced complexity models (RCMs)

A suite of stand-alone and coupled Reduced Complexity Models has been developed as a diagnostic tool to more understand linkages across the FEWS and diagnose its systemic behaviors that otherwise would be limited by the higher computational burdens of the original C-FEWS high resolution models. The RCMs are also cast to explore scenario and parameter sensitivities and to engage with stakeholders.

Three mass and energy balanced RCMs at the basin scale (for hydrology, thermal pollution and energy, and N mobilization and transport) were adapted in part from three complex, fully spatially distributed counterpart models from the C-FEWS framework: WBM/WTM (Vörösmarty et al., 1989; Vörösmarty et al., 1998), TP2M (Miara et al., 2013; Stewart et al., 2013), and SPARROW (Moore et al., 2004; Ator et al., 2011; Hoos et al., 2013; Saleh and Domagalski, 2015). The RCMs aggregate climate, infrastructural, and hydrological input data of varied spatial resolution (12 km grid cells to county-level reports) to the basin scale in order to capture: i) major fluxes and stocks of the terrestrial water cycle, including snowmelt and rainfall runoff, evapotranspiration, and river discharge at the daily time scale; ii) the impacts of power plant operation on downstream river temperature, water consumption, and power generation at the daily time step; and, iii) nitrogen mobilization and transport from atmospheric and landmass sources (e.g., deposition, industrial fertilizer, livestock, and human waste) to riverine receiving waters at an annual time scale. The RCMs are calibrated and validated using observed stream gauge data and explored through single factor climate and infrastructure experiments as for the fully resolved models (Supplementary Appendices S1–S3) as part of our historical simulations. An initial test case is on the Delaware River Basin (Bokhari et al., this issue). With the icon-based programming language STELLA Architect (isee systems, inc., Lebanon NH, United States), the RCM framework allows for rapid reconfiguration of a simulation (e.g., creating new state variables, changing links across variables; assigning different parameter values) and multi-objective optimization to study tradeoffs among FEWS linkages, all with computation times of under a minute, and representing a capability of enormous value in engaging with stakeholders.

3.3.10 Optimization module

A preliminary version of the optimization module is being linked to the reduced complexity models (RCMs) and will be exercised in analysis of management scenarios operating under future climate and other environmental change. The focus of these optimization studies is to explore simulated tradeoffs between thermal pollution and thermoelectric power generation in single river basins. As demonstrated for the NE (Miara et al., 2013; Stewart et al., 2013), riverine power plant efficiencies rely on the withdrawal and consumption of water for cooling, which can result in both power generation losses for downstream plants and the impairment of ecosystem services during periods of excessive heat discharge. Two basin scale RCMs – corresponding to hydrology, electricity production, and thermal pollution—which operate at the daily time scale are coupled *via* hydrologic linkages (i.e., river discharge, velocity, and depth) as modules of a single aggregate model (Bokhari et al., this issue). The coupled model simulates: thermal pollution in the form of river temperature increase from power plants; impacts of river temperature on downstream power generation efficiency; and, the interdependent feedbacks that these outputs create for downstream power plants. The 'Multiobjective Optimization' tool in Stella Architect (isee systems, inc.; Lebanon NH, United States) is used to facilitate and design scenario experiments for freshwater utilization, infrastructures, technologies, and policies. These experiments seek to evaluate tradeoffs for multi-factor impacts on the thermal regime of a river basin by computing a set of optimal solutions (i.e., optimized Pareto front using a differential evolution algorithm) that minimizes thermal pollution while maximizing power generation for a given

constraint. The coupled model can be used to study, for example, the multi-objective optimizations of daily power plant operation and capacity, plant cooling technologies, upstream reservoir operation and capacity, in the context of the Clean Water Act's regulation of water temperature limits (Copeland, 2016). Economic valuations are also incorporated in this analysis (see Economic Valuation, above), thus enabling a comparison of the damages to downstream aquatic habitats and commercial fisheries versus losses in electricity generation when CWA regulations are otherwise enforced. The same overall approach can be applied to synthesized distillations of the more complete C-FEWS geospatial assessment models.

3.3.11 Air quality estimates

We use off-the-shelf estimates of past and future trends in air quality based on our own and other studies (e.g., Lin et al., 2008; Weaver et al., 2009; Lei et al., 2013; He et al., 2016; He et al., 2018). Air quality in the C-FEWS framework is represented by atmospheric CO₂ concentrations, atmospheric deposition of reduced (NH_x) and oxidized (NO_y) nitrogen forms, and ozone pollution as represented by accumulated ozone over a 40 ppbv threshold (AOT40) (Felzer et al., 2004). In the current study, annual mean global atmospheric CO₂ concentrations are prescribed from 1700 to 2019 based on two studies: 1765 to 2015 (Meinshausen et al., 2011) and 2016 to 2019 (Dlugoclenky and Tans, 2021). Mean atmospheric CO₂ concentrations before 1765 are assumed to equal those in 1765 (278 ppmv). Both spatial and temporal variability in atmospheric nitrogen deposition and AOT40 across the region are represented with gridded time-series data. Gridded time series data for monthly atmospheric nitrogen deposition are based on NADP (National Atmospheric Deposition Program, 2022) for 2000 to 2018 and extend backward and forward for the rest of the years following the trend from the CMIP6 Chemistry-Climate Model Initiative (CCMI) (Hegglin et al., 2022). Gridded time series data for monthly AOT40 are based on ozone estimates from simulations by the MIT Integrated Global System Model linked to the NCAR Community Atmospheric Model (IGSM-CAM) (Monier et al., 2013).

3.3.12 Model integration

An appropriate staging of the C-FEWS models (Figure 1) and their harmonization (i.e., *via* driving variables, time and spatial resolutions) become essential parts of the framework exercise, especially given the sizable number and range of climate and sectoral scenarios and the large volumes of outputs generated. Some of the C-FEWS models are formally coupled (e.g., WBM-TEM, WBM-TP2M) while others lack such integration. From our prior experience using multi-model approaches analyzing energy-water interactions, we find that rigidly seeking a formal coupling of models: i) can consume inordinate computing and personnel resources; ii) impedes rapid turnaround for model calibration/validation and scenario testing; and, iii) may ultimately prove unnecessary (e.g., inconsequential feedbacks between SPARROW-based N fluxes and WBM/TP2M energy production). Following a partial coupling approach (Howells et al., 2013), we therefore developed a computational framework that uses fast backbone transfer protocols coupled with specific data staging/conversion routines to ensure that data flows from one model to the next are as simple and efficient as possible.

There are several reliable protocols available for the necessary data transfers, and we developed a multi-tier approach. Larger data sets that

required distribution to all of the C-FEWS research teams were managed using a Globus endpoint (Poster, 2011; Allen et al., 2012), while for more selective distribution and atomized access we used a GeoServer backend (GeoServer.org, 2022), which allows for data streaming and direct integration into analytical platforms such as GIS software or any programming framework. Simpler file exchange protocols, such as a NextCloud (NextCloud.com, 2022), are used for a documents repository and project administration. These platforms, integrated with our existing FrAMES, organize the overall C-FEWS data handling and workflows (model execution in space and time, I/O management for forcing data, state variables and diagnostics, final data outputs). A component of the model integration involves the creation of C-FEWS Performance Metrics (Supplementary Appendix S4), which are used to summarize the biogeophysical and economic modeling outputs for model calibration and validation, constructing a portfolio of regional C-FEWS services and further distilled into quantitative information used to support the stakeholder workshops.

3.4 Configuring single and multi-factor experiments

We describe here the experimental set-up of our diagnostic and prognostic tests, which we use to explore how climate extremes produce vulnerabilities and/or resilience across the regional FEWS. We assess these emergent properties by first creating a historical benchmark, comprising the observed climate from 1980–2019 plus the recorded exogenous, non-climate determinants that drive each of the assessment models. The climate and non-climate forcings are then reconfigured to create single and multi-factor experiments.

Single Factor Experiments (SFEs) are divided into two sub-groups (Table 2). First, we construct single factor climate experiments (cSFEs), representing each of the four categories of climate extremes (drought, heat-wave, extreme precipitation, cold-wave). We use three approaches to simulate the climate impacts: i) the verbatim observational record (Supplementary Approach A); ii) a case with exacerbated climate extremes (B); and, iii) a de-extremed scenario (C) (Supplementary Appendix S1). By comparing these results to Baseline, we can evaluate whether repeated climate events yield a cumulative impact when superimposed onto longer-term climate trends. Table 1 gives the most prominent extreme event years adopted for Supplementary Approach A.

Second, we stage non-climate single factor experiments (ncSFEs) to explore the impact of evolving technology, land use, management and regulations (Supplementary Appendix S2). The ncSFEs are generated by fixing key variables in the assessment models at their initial 1980 values, running the models with these variables inactivated, and then comparing results to Baseline in the last decade of the time series (2010–19). Using simple normalized differences relative to Baseline (specified below) or more complex signal-to-noise approaches and optimal fingerprinting we can detect signatures of single and multiple factor effects (Stein and Alpert, 1993; Hegerl et al., 2006; Hegerl et al., 2007; Santer et al., 2009) in these counterfactual experiments. By selecting key variables from our assessment models and isolating them individually or in tandem, we can discover the degree of control each exercises on sector-specific as well as overall FEWS performance. Multi-factor experiments ("MFEs") (Supplementary Appendix S3) combine individual climate and non-climate factors to assess their interactions within

FEWS and to identify and evaluate potential feedbacks. These outputs are then quantified with respect to the support or refutation of hypotheses, measures of system sensitivity, and full system impact. Information on calibration and validation of the C-FEWS assessment models is given in [Supplementary Appendix S4](#).

The manipulations that form the experiments in [Table 2](#) are also designed to elucidate the contributions of *TEI* or *NBI* (and their combination) to regional FEWS performance. There are two components of the analysis. The first involves manipulating the forcing factors. This action, at least in principle, can uncover some of the key targets for regional planning and FEWS management under each of the non-climate SFEs or MFEs to identify the importance of specific *TEI* or *NBI*-based factors. For each of the forcing factors listed within the three non-climate themes in [Table 2](#), we indicate the predominant category of associated infrastructure to which manipulation of that variable can provide insight. For example, the impacts of changing technology on FEWS energy production are reflected by different fuel mixes or power plant cooling systems, *TEI* components. Inactivating elements of land cover change (e.g., suburbanization, reforestation) is an obvious *NBI* manipulation. Technology can span both *TEI* and *NBI*, for example, using cultivars from biotechnology in crop production. Environmental regulations, like regional net carbon emission targets, also arguably combine *TEI* (through emission technologies in fossil fuel facilities) and *NBI*, through land use C sequestration or biofuels.

The second component explores not the causes of but the impacts on *TEI* and *NBI* generated by the manipulations given in [Table 2](#) as exercised through the cSFEs, ncSFEs, or MFEs ([Table 3](#)). These outputs are expressed as *TEI* and *NBI* performance metrics, emerging as essential indicators of the state and functionality of regional FEWS. These outputs therefore can be compared within and across each of the experiments. Several of the comparisons we report use a relative measure of impact sensitivity, computed as a normalized difference calculation, as given in [Supplementary Appendix S1](#) for the cSFEs, [Supplementary Appendix S2](#) for the ncSFEs, and [Supplementary Appendix S3](#) for the MFEs.

C-FEWS model biogeophysical outputs are also summarized into quantitative metrics that comprise a regional *FEWS Services Portfolio* ([Figure 1](#)) together with its economic valuation. Interactions with stakeholders center around this portfolio and, as a consequence of our consultations, may require a reconstitution of the chosen forcings, SFE and/or MFE design, and reported model outputs. In our companion paper ([Vörösmarty et al., 2022](#)), we present representative findings from our initial study on SFEs and MFEs over the historical period across the NE and MW, which lays the groundwork for analysis of future conditions.

3.5 Stakeholder engagement

Regional planners increasingly recognize the importance of a ‘whole-landscape’ approach to decision-making ([DeFries and Rosenzweig, 2010](#)) that includes land-use planning, environmental legislation, and global change impacts. This transformation benefits from high quality regional-scale climate and weather projections embedded within land, water, and energy management scenarios ([Allen et al., 2013](#); [Rosenzweig et al., 2014](#)). Our stakeholder dialogue—organized as *Charrettes*—has been designed to acknowledge those planning variables deemed meaningful by users,

but it also attempts to understand their logic in constructing options, for example, particular landscape and water use scenarios or choice of power sector technologies. Unforeseen byproducts emerge from such outreach, as in our dialogue with lawyers challenging *EPA* decisions on *Clean Water Act* Section 316(b) thermal loading requirements and threatened aquatic biota under the *Endangered Species Act* ([Super Law Group, 2013](#)), who recognized the capacity of *WBM/TP2M* ([Miara and Vörösmarty, 2013a](#); [Miara et al., 2013](#); [Stewart et al., 2013](#)) to map the collective thermal impact of power sector emissions by *TEI* but also attenuation by aquatic *NBI* over entire regions. Further, the stakeholder dialogue facilitates an articulation of otherwise extreme, but potentially plausible scenarios, as with possible future migration north to escape extreme heat outbreaks and drought in other parts of the country ([Black et al., 2011](#); [USGCRP, 2017](#)).

To ensure relevancy beyond pure research, we created a *C-FEWS Stakeholder Working Group* comprising participants actively involved in land-use and energy sector planning, climate mitigation and adaptation, and civil sector investment strategies for infrastructure at both local and regional scales. In partnership with the *Group*, we i) co-design regionally-focused socioeconomic scenarios to reflect stakeholders’ information needs; ii) work stepwise through storyline development; iii) convert conceptual inputs into numerical data assignments; iv) iteratively present and interpret results; and, v) re-cast data for model parameterization and driving variables in response this iterative process ([Rosenzweig et al., 2014](#)). To achieve such engagement, we execute short 1-day hybrid virtual workshops and interim meetings to gather information on the design of additional single-factor and multi-factor experiments and share in the interpretation of results. We have sought stakeholders who are active in regional planning across the spectrum of climate and FEWS, and who can discuss the engineering and nature-based “policy levers” necessary for improved climate resiliency.

4 Targeted applications and discussion

The single and multi-factor experiments produces a large matrix of possible interactions among climate, technology deployments, land use strategies, and management/regulation. Here we provide a sampling of how some of the potential, major categories of FEWS-climate issues, posed as questions, can be addressed using the C-FEWS framework. Results from a first suite of such experiments are summarized in ([Vörösmarty et al., 2022](#)), based on a more in-depth collection of C-FEWS experiments carried out over the same historical time frame of 1980–2019 ([Bokhari et al., 2022](#); [Chang et al., 2022](#); [Fekete et al., 2022](#); [Kicklighter et al., 2022](#); [Lin et al., 2022](#); [Maxfield et al., 2022](#); [Tuler et al., 2022](#); [Zhang et al., 2022](#)).

4.1 Isolating climate impacts

What is the capacity of a regional FEWS to endure or benefit from the four types of climate extremes (drought, heatwaves, extreme precipitation, cold waves) during the early, middle, and late periods of our historical record?

This question can be addressed by straightforward application of Approach A among the single factor climate experiments (cSFEs). It explores the immediate impact of a climate extreme identified from within the recorded historical period. The designated event-year is

analyzed with respect to any pre-conditioning (2 years prior) as well as its immediate legacy effects (2 years post event). Given the evolution of the non-climate themes (technology, land use, management/regulations), which could substantially influence event response, three time periods are studied—the early, middle, and late phases of the 40-year time series. This enables examination of the impacts of the extreme event within the broader context of history, that is, with all variables (climate and non-climate) evolving within a multi-decade time horizon. We thus can explore how critical either precursor or post-event legacy effects are to FEWS performance. For example, we can pose and answer a subsidiary question: *To what degree is crop production across the three recurring, 5-year extreme climate event sequences made more or less resilient by planting new cultivars?* For the food sector, the question could be addressed by the counterfactual experiment removing historical biotechnology improvements from the ISAM model, examining how the crop production would have evolved during each 5-year sequence over the early, middle and late periods of the 40-year record, and comparing these results to Baseline.

[Supplementary Approach B](#) climate attempts to address a similar question, through a hypothetical scenario, which attempts to capture the anticipated potential for more frequent and/or sustained extremes associated with climate change ([Sanyal and Wuebbles, 2022a](#); [Sanyal and Wuebbles, 2022b](#)). It focuses on the last decade and creates a synthetic time series with an increased frequency of extreme years. We identify the year of maximum (or minimum) extreme in the Baseline for 2010–19 and identify the two subsequent years (e.g., heatwave year 2012, with 2013 and 2014). Using this 3-year period, we then triple its frequency of occurrence commencing in 2010 (i.e., three 3-year duration events versus one in the baseline time series over the last decade of the analysis). [Supplementary Approach B](#) assesses responses over the last decade of the historical time period, from which the potential readiness of near-contemporary land use, technology and management/regulations to meet imminent climate challenges can be evaluated (i.e., prior to formally analyzing forecasts of the future). [Supplementary Approach B](#) is analogous to [Supplementary Approach C](#), but focuses on the opposite effect, i.e., the removal of extremes.

4.2 Assessing the impact of non-climate factors

What were the roles of each major category of non-climate factors across a region that enabled FEWS to remain productive (or not) over the 40-year period of 1980–2019, with its mixture of recorded climate extremes?

The C-FEWS framework can be used to explore the long-term system-wide performance associated with individual variables drawn from the three themes that represent non-climate factors (land use, technology, management/regulations). Single factor non-climate scenarios (ncSFEs) can be constructed by inactivating change to the inputs representing a single, specific non-climate factor within each of the assessment models ([Table 2](#)). For the retrospective time period, this inactivation of a particular non-climate variable fixes its value at the 1980 level, whereas for future forecasts they are benchmarked to 2020. Our standard approach is to impose the historical time series of the unmodified climate (through [Supplementary Approach A](#)) and non-climate drivers and to then record differences between this Baseline and that of the scenario with

the inactivated variable. This yields a measure of FEWS sensitivity to the particular non-climate input varied in the scenario, with summary statistics enabling comparisons, rankings, and statements regarding its overall importance ([Supplementary Appendices S1–S3](#)). These experiments can also be used to explore the presence of progressive system stress, from which we can draw inferences on how the changing state of land, technology, and management/regulations, decade by decade, amplify or attenuate the impact of the observed climate stresses. A similar analysis can be formulated using the assumed climates associated with [Supplementary Approaches B,C](#) over the last decade of the historical period (2010–2019). By running a full suite of such ncSFEs, we can assemble a picture of the individual importance that green and gray infrastructures play in determining FEWS outcomes, decade by decade. We also can assess *TEI* and *NBI* sensitivities on variables that are not manipulated ([Table 3](#)).

4.3 The combined effect of climate and non-climate factors

What were the roles of climate and different combinations of the main non-climate factor variables (technology, land use, management/regulations) across a region that enable the FEWS to remain productive (or not) in response to climate over the historical period?

Here the C-FEWS frame can be used to explore the short and longer-term impact of specific combinations of non-climate driving variables acting in concert with different climatic conditions to jointly determine FEWS performance. These different combinations of cSFE and ncSFEs comprise multi-factor experiments (MFEs). Under [Supplementary Approach A](#) for the historical time series, we inactivate two or more non-climate factor inputs controlling the assessment models. For the retrospective time period, this inactivation of particular non-climate variables fixes their values at 1980 levels, while for the future the values are fixed at 2020 and a climate time series produced by an atmospheric forecast model commences. Our standard approach is to record differences between the baseline performance metrics (both historical and future) and that of the scenario with the inactivated combination of non-climate variables. Under [Supplementary Approaches SA, SB](#), multiple input factors can be modified, but a climate event itself (e.g., intensified drought) can become one of the multi-factors to be tested. To do so we combine the climate scenario with inactivated non-climate variables, evaluate differences from the baseline and thus create a climate/non-climate multi-factor experiment. MFEs enable inferences to be made about how the changing state of land, technology, and management/regulations, decade by decade, amplify or attenuate the impact of climate stresses. In this way we can help determine how the conjunctive manipulation of particular themes and collections of variables from [Table 2](#) can build resilience into FEWS in light of different climate stresses. As for the first two questions, an appropriate choice of input variable enables an identification of the roles of engineered and nature-based infrastructure in determining overall system sensitivity and resilience patterns.

In a companion paper ([Vörösmarty et al., 2022](#)), we exercise the analytical strategies discussed above over the historical time frame,

highlighting the use of several of the C-FEWS component models to gain essential insight into the behavior of regional food-energy-water systems in the U.S. Northeast and Midwest. In that work, we demonstrate the clear impacts that climate stresses have already had on FEWS, but also show how the other strategic forces have been at work—technology, land use, management/regulation. These factors have combined to create additional vulnerabilities as well as opportunities for ongoing adaptation to climate change, whether purposeful or inadvertent. We see important roles for both engineered and nature-based infrastructures, separate and in combination, in defining the contemporary state of FEWS and positioning these important resource systems to encounter future challenges.

Data availability statement

The raw data supporting the conclusion of this article will be made available by the authors, without undue reservation.

Author contributions

Conceptualization: CV, JM, DW, AJ, AA, and MC; method development: CV, MC, and SS; formal analysis: MC, DK, BF, HB, JC, T-S L, NM, SS, JZ, and ST; writing/review/editing: CV, JM, DW, AJ, AA, ST, RS, DK, and AM; framework data and IT support: FC and DV; project administration: CV and MC; student/post-doctoral mentoring: CV, DW, AJ, AA, ST, RS, and FC. All authors have read and agreed to the published version of the manuscript.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fenvs.2023.1069613/full#supplementary-material>

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