



Cite this: *Soft Matter*, 2023, 19, 4204

Physics of smart active matter: integrating active matter and control to gain insights into living systems

Herbert Levine ^a and Daniel I. Goldman ^{*b}

Received 10th February 2023,
Accepted 9th May 2023

DOI: 10.1039/d3sm00171g

rsc.li/soft-matter-journal

We offer our opinion on the benefits of integration of insights from active matter physics with principles of regulatory interactions and control to develop a field we term “smart active matter”. This field can provide insight into important principles in living systems as well as aid engineering of responsive, robust and functional collectives.

Recent years have seen the dawning of a new vibrant subfield of physics, the physics of living systems. An excellent discussion of the history and promise of this research area was presented in a recent National Academy report.¹ The research agenda of this field encompasses systems ranging from biomolecules to ecosystems, from building models to building robots,² all in service of a quantitative understanding of systems whose behavior transcends what we have come to expect from experience with inanimate matter. Here we offer our opinion as to what might be for a physical scientist some of the necessary ingredients to consider a system to be living. We will argue that a path toward addressing such questions and characterizing these systems will be in developing ideas of “smart active matter” (which we will define below) that combine ideas of the exploding field of active matter with concepts often less appreciated by physicists, namely regulatory interactions and, in many case, feedback control^{3–5}.

Our starting point is the idea of active matter,⁶ a field that many physicists have come to believe underlies the secrets of life. Active matter refers to physical organizations of interacting constituents that each have their own access to energy sources. These constituents can be living, as is the case in bacterial colonies,⁷ ant rafts⁸ or bird flocks,⁹ completely abiotic as in colloids propelled by catalyzed chemical reactions or motor driven robots,^{10,11} or “in-between” as in the beautiful dynamical structures created *in vitro* by biopolymers activated by molecular motors¹² or even biohybrid robots composed of soft materials and living cells.¹³ The study of active matter in the physics community took off with the seminal work of Ben-Jacob, Vicsek

and collaborators¹⁴ who showed that these systems can self-organize in ways that circumvent many of the restrictions exhibited by “normal matter”, operating close to equilibrium. Clearly, any living system is active, using stored energy and functioning far away from any thermal equilibrium state.

So, is every active system alive? Clearly not. But, what does it take to go from active matter to a living system? The first step involves the predominance of regulatory interactions.^{15,16} A comparison of laboratory preparations involving active biopolymers¹⁷ and the actual situation that prevails in the cytoskeleton of a living cell makes the point. In the latter, there are many dozens of proteins that regulate all aspects of the polymer chemistry and couple reactions to cellular conditions. Thereby, actin polymerization is restricted to the front of a moving cell,¹⁸ microtubules attach in a highly controlled manner to segregating chromosomes,¹⁹ and intermediate filaments such as Vimentin arrange themselves geometrically to cushion against nuclear deformation.²⁰ Active matter physics is slaved to needed functionality, being necessary but not sufficient. Coupling active matter to controlling regulators leads to a new and often qualitatively different class of objects that we will refer to as “smart active matter”.^{21,22}

The operating principles behind regulatory interactions concern information flow, by which we mean that details regarding the external world are used to modulate active behavior. As the relevant environmental inputs vary, the active system responds by realigning its dynamics accordingly; the more complex and often, the more energy-intensive, a regulatory system is, the better it can create useful correlations between the environment and active matter behavior. And often such correlation takes the form of achievement of some kind of task or goal; in the cell example above it could be motility to achieve wound healing or pathogen engulfment. The active matter can now behave functionally and perhaps even intelligently

^a Departments of Physics and Bioengineering, Northeastern University, Boston, MA, USA. E-mail: h.levine@northeastern.edu

^b School of Physics, Georgia Institute of Technology, Atlanta, Georgia 30332, USA. E-mail: daniel.goldman@physics.gatech.edu

(^{23,24} present recent discussion of “intelligent matter” from engineering, computer science and control theory perspectives).

And, living systems are clearly very smart in ways we are only beginning to understand. Biological research over the past half century has revealed an astounding complexity in the control of all important processes. One can assume with confidence that every step of a biological process will be regulated in multiple ways and over multiple timescales. One can also assume with confidence that individual components of the underlying active matter will evolve to become more flexible in their ability to respond to regulatory input—a case in point is the fascinating story of the evolution of the mammalian synapse,²⁵ where the sophistication of the molecular machinery has grown even as the neural systems it serves have become larger. Perhaps these facts will eventually validate the musings of Schrödinger in his famous “What is Life” text²⁶ on the need for coming up with new concepts of physics to accommodate the workings of smart active matter, workings that just do not occur naturally in the present abiotic world. Parenthetically, we do not restrict the use of the word “matter” to tangible physical “stuff”; matter to us just means a substrate upon which the actions of interactive components takes place. This generalization might become particularly important in the future, when we are forced to confront the question of living synthetic systems (presently crudely visible in the form of artificial neural networks like ChatGPT).

Of course, the boundary between “normal” active matter *versus* its smart cousin can sometimes be rather fuzzy. Let us take for example the automobile traffic in a big city freeway system. Until quite recently, this could serve as an obvious example of an active matter system that had no overarching regulatory dynamics shaping the local interactions of the active motorists. Even though individual motorists clearly want to minimize their travel time and avoid collisions, there was no goal driving the dynamics of the system as a whole. But, an argument can be made that the advent of tools such as Waze and Google Maps has indeed provided the regulatory feedback missing in the active matter paradigm. Now, motorists do modulate their interactions and decisions based on freeway conditions on a variety of length scales, and the network as a whole does attempt to optimize transportation functionality. In fact, the city of Boston has an ongoing partnership with Waze in which data is fed to the city's traffic management center in order to adjust traffic signals, explicitly meant to optimize transportation efficiency. As surprising as that may seem to those of us who live there, Boston traffic may be becoming smart.

Should we consider any smart active matter system to be alive? The question of what it means to be alive is fraught with millennia of philosophical debate that is hard to place in a physical science context.²⁷ Nonetheless, living systems might require something beyond being simply smart active matter possessing efficient information flow governing active medium response. Let us turn to another example in which smart management of environmental interactions is critical for function and the performance of tasks. The engineering community

has devoted considerable effort to building multi-legged robots that can navigate effectively over difficult terrain.^{28–30} To do this, the robots are typically equipped with a complex suite of sensors that provide input for controlling sophisticated actuators and/or leveraging embodied (aka mechanical) “intelligence”³¹ in a by now familiar smart active matter pattern. However, despite the impressively life-like agility and performance increasingly being displayed by such devices, it is unlikely that many investigators would consider such a robot to be alive, in the same sense that an insect navigating the same terrain would be. Why? We can extend the question by imagining that we endow the robot with a battery sensor such that when it detects that its power level is getting low, it stops what it is doing and goes off in search of “electric food” from the nearest charging station. Is the robot now closer to being a living system? What if there is in addition a sensor detecting potentially hazardous weather conditions and the robot “knows” to seek shelter. What if the robot can decide, based on the rate of progress it is making on an assigned task, that it needs more copies of itself and can arrange to have that happen by ordering from a factory with which it is in contact. Thus, the question posed to physics of living systems researchers is whether the difference between living and smart is just one of degree of systems integration and semantics, or alternatively involves a true phase transition leading to new capabilities in a discontinuous manner. There is no real hint at present as to what might cause such a transition, or if the transition/bifurcation concept is even relevant. The same question arises of course in the microscopic realm, concerning the ancient origin of life and modern attempts to artificially synthesize living cells and to characterize what a minimum cell must consist of.³² And, this is becoming ever more critical, as advances in astronomy, such as the exoplanet revolution, have brought to the fore questions of how best to search for indications of life elsewhere in the cosmos.

We note that even without discussing if such systems are “alive” we can utilize smart active matter systems as models to discover principles by which living systems achieve robust function.³³ Indeed, physicists have a long history of being interested in deep questions but not letting these get in the way of making tangible progress; any history of quantum theory will clearly attest to this useful duality. So, there is much work to be done in figuring out how to best couple active matter to smart controllers to enable the accomplishment of various tasks. In this regard, we will need to work directly on all manner of living systems and with all manner of biologists. In some parts of this endeavor, there is a need for mutual re-education. While physicists are most comfortable with the active matter paradigm, modern biological research often stresses the regulatory aspects of living systems at the expense of working back down to physical processes that interact with the environment. Many papers focus almost exclusively on gene expression (aka transcriptomics) and protein abundance as the ultimate in defining cell states and cell physiology. This perspective is becoming even more entrenched as spatial transcriptomics³⁴ and technologies such as tissue CyTOF³⁵ begin to define tissues

and organs solely in terms of omics profiles. It imagines real-world action as something which can automatically flow from the information processing level—there's always “a gene for that”. An example of the problem arose quite a long time ago in a paper³⁶ claiming to have found the gene responsible for creating the chemical wave field responsible for guiding the motion of hundreds of thousands of *Dictyostelium* amoebae towards aggregation centers, as part of their survival strategy in the face of starvation. A gene and its single protein product cannot make a millimeter scale chemical pattern; instead a gene can help control a physiochemical system of interacting components that are capable of doing the necessary spade work.

Recent work on morphogenesis^{37,38} provides an exciting example of what is necessary and possible. The hind portion of the *Drosophila* gut folds into a functional unit from a precursor epithelial sheet. From the active media perspective, this occurs via the active elasticity of this sheet and its response to external forces, a sort of living non-equilibrium origami. From the biological standpoint, these structures are controlled by Hox genes, as evidenced by the phenotypes created by gene knockout experiments. What is the connection between these aspects of this developmental process? It turns out that the Hox genes encode for calcium signals in a muscle layer adjacent to the epithelial tissue and provide the information which codes for the applied forces. There are many details still to be worked out, not the least of which is the degree to which feedback from the mechanics to the expression pattern allows for more robust behavior. But the interplay of active media physics with genetic regulation is, we feel, indicative of many processes present in living systems.

We therefore posit that the physics of living systems can benefit from a synergistic merging of these two insufficient worlds views; creation of the field of smart active matter can provide researchers a way to frame such an integration and develop new models of living systems. In our opinion, this merger is essential. Ignoring the constraints placed on living systems by the need to get the molecules, cells, tissues and organs to actually accomplish the needed tasks will miss essential constraints on behavior. Assuming that active matter systems are all we need to focus on as we move forward dismisses out of hand many of the performance aspects of systems that allow us to consider them living. Molecular and cellular biologists should realize genes are not magic wands that can wish physical effects into existence. Active and soft matter physicists need to take to heart a quote from Alan Turing regarding patterning of the zebra, that “...the stripes are easy, but what about the horse part?”.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

H. L. thanks the NSF Physics Frontier Center program for support. D. I. G. thanks numerous colleagues for helpful

discussion over the years and the NSF Physics of Living Systems program, the Army Research Office MURI on algorithmic matter, and the Dunn Family Professorship.

References

- 1 National Academies of Sciences, Engineering, and Medicine. *Physics of Life*, National Academies Press, Washington, DC, 2022, DOI: [10.17226/26403](https://doi.org/10.17226/26403).
- 2 J. Aguilar, T. Zhang, F. Qian, M. Kingsbury, B. McInroe and N. Mazouchova, *et al.*, A review on locomotion robophysics: the study of movement at the intersection of robotics, soft matter and dynamical systems, *Rep. Prog. Phys.*, 2016, **79**(11), 110001.
- 3 K. J. Åström and R. M. Murray, *Feedback systems: an introduction for scientists and engineers*, Princeton University Press, 2021.
- 4 N. J. Cowan, M. M. Ankarali, J. P. Dyhr, M. S. Madhav, E. Roth and S. Sefati, *et al.*, Feedback control as a framework for understanding tradeoffs in biology, *Am. Zool.*, 2014, **54**(2), 223–237.
- 5 H. El-Samad, Biological feedback control—Respect the loops, *Cell Syst.*, 2021, **12**(6), 477–487.
- 6 M. C. Marchetti, J. F. Joanny, S. Ramaswamy, T. B. Liverpool, J. Prost and M. Rao, *et al.*, Hydrodynamics of soft active matter, *Rev. Mod. Phys.*, 2013, **85**(3), 1143.
- 7 E. Ben-Jacob, I. Cohen and H. Levine, Cooperative self-organization of microorganisms, *Adv. Phys.*, 2000, **49**(4), 395–554.
- 8 N. J. Mlot, C. A. Tovey and D. L. Hu, Fire ants self-assemble into waterproof rafts to survive floods, *Proc. Natl. Acad. Sci. U. S. A.*, 2011, **108**(19), 7669–7673.
- 9 A. Cavagna and I. Giardina, Bird flocks as condensed matter, *Annu. Rev. Condens. Matter Phys.*, 2014, **5**(1), 183–207.
- 10 S. Li, B. Dutta, S. Cannon, J. J. Daymude, R. Avinery and E. Aydin, *et al.*, Programming active cohesive granular matter with mechanically induced phase changes, *Sci. Adv.*, 2021, **7**(17), eabe8494.
- 11 J. Aguilar, D. Monaenkova, V. Linevich, W. Savoie, B. Dutta and H. S. Kuan, *et al.*, Collective clog control: Optimizing traffic flow in confined biological and robophysical excavation, *Science*, 2018, **361**(6403), 672–677.
- 12 F. Ndlec, T. Surrey, A. C. Maggs and S. Leibler, Selforganization of microtubules and motors, *Nature*, 1997, **389**(6648), 305–308.
- 13 M. Filippi, O. Yasa, R. D. Kamm, R. Raman and R. K. Katzschnmann, Will microfluidics enable functionally integrated bio4 hybrid robots?, *Proc. Natl. Acad. Sci.*, 2022, **119**(35), e2200741119.
- 14 T. Vicsek, A. Czirók, E. Ben-Jacob, I. Cohen and O. Shochet, Novel type of phase transition in a system of self-driven particles, *Phys. Rev. Lett.*, 1995, **75**(6), 1226.
- 15 A. G. Moat, J. W. Foster and M. P. Spector, *Microbial physiology*, John Wiley & Sons, 2002.
- 16 K. Schmidt-Nielsen, *Animal physiology: adaptation and environment*, Cambridge University Press, 1997.

- 17 G. H. Koenderink, Z. Dogic, F. Nakamura, P. M. Bendix, F. C. MacKintosh and J. H. Hartwig, *et al.*, An active biopolymer network controlled by molecular motors, *Proc. Natl. Acad. Sci. U. S. A.*, 2009, **106**(36), 15192–15197.
- 18 O. D. Weiner, Regulation of cell polarity during eukaryotic chemotaxis: the chemotactic compass, *Curr. Opin. Cell Biol.*, 2002, **14**(2), 196–202.
- 19 T. Hawkins, M. Mirigian, M. S. Yasar and J. L. Ross, Mechanics of microtubules, *J. Biomech.*, 2010, **43**(1), 23–30.
- 20 K. Pogoda, F. Byfield, P. Deptu la, M. Cieśluk, L. Suprewicz and K. Skłodowski, *et al.*, Unique Role of Vimentin Networks in Compression Stiffening of Cells and Protection of Nuclei from Compressive Stress, *Nano Lett.*, 2022, **22**(12), 4725–4732.
- 21 W. Savoie, T. A. Berrueta, Z. Jackson, A. Pervan, R. Warkentin and S. Li, *et al.*, A robot made of robots: Emergent transport and control of a smarticle ensemble, *Sci. Robotics*, 2019, **4**(34), eaax4316.
- 22 Y. Ozkan-Aydin, D. I. Goldman and M. S. Bhamla, Collective dynamics in entangled worm and robot blobs, *Proc. Natl. Acad. Sci.*, 2021, **118**(6), e2010542118.
- 23 C. Kaspar, B. Ravoo, W. G. van der Wiel, S. Wegner and W. Pernice, The rise of intelligent matter, *Nature*, 2021, **594**(7863), 345–355.
- 24 A. Pervan and T. Murphey, Algorithmic materials: Embedding computation within material properties for autonomy, *Robotic Systems and Autonomous Platforms*, Woodhead Publishing, 2019, pp. 197–221.
- 25 T. J. Ryan and S. G. Grant, The origin and evolution of synapses, *Nat. Rev. Neurosci.*, 2009, **10**(10), 701–712.
- 26 E. Schrödinger, *et al.*, *What is life? With mind and matter and autobiographical sketches*. Cambridge University Press, 1992.
- 27 S. A. Tsokolov, Why is the definition of life so elusive? Epistemological considerations, *Astrobiology*, 2009, **9**(4), 401–412.
- 28 E. Guizzo, By leaps and bounds: An exclusive look at how boston dynamics is redefining robot agility, *IEEE Spectrum*, 2019, **56**(12), 34–39.
- 29 U. Saranli, M. Buehler and D. E. Koditschek, RHex: A simple and highly mobile hexapod robot, *Int. J. Robot. Res.*, 2001, **20**(7), 616–631.
- 30 M. H. Raibert, *Legged robots that balance*, MIT press, 1986.
- 31 R. Pfeifer and J. Bongard, *How the body shapes the way we think: a new view of intelligence*, MIT press, 2006.
- 32 J. I. Glass, C. Merryman, K. S. Wise, C. A. Hutchison and H. O. Smith, Minimal cells—real and imagined, *Cold Spring Harbor Perspect. Biol.*, 2017, **9**(12), a023861.
- 33 K. O. Aina, R. Avinery, H. S. Kuan, M. D. Betterton, M. A. Goodisman and D. I. Goldman, Toward Task Capable Active Matter: Learning to Avoid Clogging in Confined Collectives via Collisions, *Front. Phys.*, 2022, 467.
- 34 D. J. Burgess, Spatial transcriptomics coming of age, *Nat. Rev. Genet.*, 2019, **20**(6), 317.
- 35 B. Bodenmiller, Multiplexed epitope-based tissue imaging for discovery and healthcare applications, *Cell Syst.*, 2016, **2**(4), 225–238.
- 36 E. Pálsson, K. J. Lee, R. E. Goldstein, J. Franke, R. H. Kessin and E. C. Cox, Selection for spiral waves in the social amoebae *Dictyostelium*, *Proc. Natl. Acad. Sci. U. S. A.*, 1997, **94**(25), 13719–13723.
- 37 N. P. Mitchell, D. J. Cislo, S. Shankar, Y. Lin, B. I. Shraiman and S. J. Streichan, Visceral organ morphogenesis via calciumpatterned muscle constrictions, *eLife*, 2022, **11**, e77355.
- 38 H. K. Gill, S. Yin, N. L. Nerurkar, J. C. Lawlor, T. R. Huycke and L. Mahadevan, *et al.*, Hox genes modulate physical forces to differentially shape small and large intestinal epithelia, *bioRxiv.*, 2023, 2023–03.