

Target normal single-spin asymmetry in inclusive electron-nucleon scattering with two-photon exchange: Analysis using $1=N_c$ expansion

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Abstract

We calculate the target normal single-spin asymmetry caused by two-photon exchange in inclusive electron-nucleon scattering in the resonance region. Our analysis uses the $1=N_c$ expansion of low-energy QCD and combines N and intermediate and final states using the emerging spin-flavor symmetry. The normal spin asymmetry is found to be of the order $\sim 10^{-2}$ and has different sign in ep and en scattering. It can be measured in electron scattering at lab energies $1-3$ GeV and provides a clean probe of two-photon exchange dynamics.

1. Introduction

Electron scattering represents a principal tool for exploring hadron structure and strong interaction dynamics. The process is traditionally described in leading order of the electromagnetic coupling (one-photon exchange approximation), where the amplitude is proportional to the transition matrix element of the electromagnetic current operator between the hadronic states. Recent developments in experiment and theory point to need to include higher-order interactions between the electron and the hadronic system (two-photon exchange) in certain observables [1]. Measurements of the proton form factor ratio G_E^p/G_M^p at Jefferson Lab using the Rosenbluth separation and polarization transfer methods show discrepancies that have been associated with two-photon exchange [2, 3, 4]. A direct demonstration of two-photon exchange becomes possible through comparison of electron and positron scattering in experiments at DESY [5, 6] and Jefferson Lab [7]. Two-photon exchange is also discussed in connection with muon scattering at MUSE [8]. It also plays an important role in radiative corrections to observables of parity-violating electron scattering [9]. Two-photon exchange has thus become a field of research in its own right.

A particularly interesting observable is the target spin dependence in inclusive electron-nucleon scattering,

$$e(k_1) + N(p_1) \rightarrow e(k_2) + X(p_2); \quad (1)$$

where X denotes the hadronic final states accessible at the incident energy, which are summed over. If the electron is unpolarized, and the nucleon is polarized with a spin 4-vector a_1 , with $a_1^2 = -1$ for complete polarization, the dependence of the differential cross section on the nucleon spin is of the form [10]

$$\frac{d}{d\Omega} = \frac{d_U}{d\Omega} (e_N a_1)^2 \frac{d_N}{d\Omega} (2)^2 \quad (2)$$

($d\Omega$ denotes the invariant phase space element of the final electron and will be specified below). Here e_N is the normalized pseudovector formed from the initial and final electron and the initial nucleon momenta,

$$e_N = \frac{p_1 \times k_1}{\sqrt{p_1^2 k_1^2}}; \quad e_N^2 = -1; \quad (3)$$

In the nucleon rest frame, $p_1 = 0$, the polarization vector is $a_1 = (0; 2S_1)$, with $jS_{1j} = 1/2$ for complete polarization. The vector e_N is the normal vector to the scattering plane

$$e_N = (0; e_N); \quad e_N = \frac{k_2 \times k_1}{|k_2 \times k_1|}, \quad (4)$$

and the cross section Eq. (2) depends on the normal component of the nucleon spin, $(e_N a_1) = 2e_N S_1$. [The same form applies in any frame in which the 3-momenta k_1 , k_2 and p_1 lie in a plane, e.g. the electron-nucleon center-of-mass (CM) frame, where $p_1 + k_1 = 0$.] The spin-dependent cross section N is zero in one-photon exchange approximation, as a consequence of the hermiticity of the electromagnetic current operator [11], and represents a pure two-photon exchange observable. It is proportional to the imaginary (absorptive) part of the $e_N \cdot e_X$ two-photon exchange amplitude, which is given by the product of on-shell matrix elements between the initial, intermediate, and final electron-hadron states. Unlike the real (dispersive) part, the imaginary part of the two-photon exchange amplitude is infrared-finite and can be considered separately from real photon emission into the final state [10].

Measurements of the normal spin asymmetry (the ratio of the N and U cross sections) have been performed in deep-inelastic electron scattering on proton [12] and ^3He targets [13]. Theoretical calculations in this kinematics have employed the parton picture and QCD interactions and produced a wide range of estimates [10, 14, 15, 16]. Further measurements at few-GeV energies are planned at Jefferson Lab [17]. Calculations

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in the resonance region need to account for the contributions of individual hadronic channels to the inclusive cross section, including elastic scattering and resonance excitation, and require appropriate methods.

In this work we analyze the normal spin dependence of inclusive eN scattering in the resonance region using the $1=N_c$ expansion of QCD. The method organizes low-energy dynamics (hadron masses, couplings, form factors) based on the scaling properties in the limit of a large number of colors in QCD and has been successfully applied in many areas of hadronic physics [18, 19, 20, 21, 22, 23, 24]. Low-lying baryon states are organized in multiplets of the emerging contracted spin-flavor symmetry, with the baryon masses $O(N_c)$ and the splitting inside multiplets $O(N_c^{-1})$. The ground-state multiplet contains the N and Δ , and transitions between them are governed by the symmetry and can be computed by expanding the transition operators in the group generators. In this way the parameters for the $N \rightarrow \Delta$ and $\Delta \rightarrow N$ transitions are fixed in terms of measurable $N \rightarrow N$ transitions.

The $1=N_c$ expansion offers specific advantages for studying two-photon exchange and the normal spin dependence of inclusive scattering. The method treats N and Δ states on the same basis and enables a consistent description of inelastic channels and inclusive scattering in resonance region. The group-theoretical techniques permit efficient calculation of the sums over channels in intermediate and final states. The parametric ordering of the kinematic variables gives rise to a physical picture that enables an intuitive understanding of the two-photon exchange process. Finally, the study of the N_c -scaling of the two-photon exchange observables can help to connect the resonance region with the DIS region and explain the transition between them.

In this letter we present the leading-order $1=N_c$ expansion and describe the calculational techniques and physical picture specific to this situation. A full analysis, including $1=N_c$ corrections and suppressed structures, will be presented elsewhere.

2. Method

2.1. Kinematics and final states

Inclusive electron scattering Eq. (1) is characterized by three independent kinematic variables, corresponding to the incident energy, the momentum transfer, and the energy transfer of the process. They can be chosen as the invariant variables

$$s = (k_1 + p_1)^2 = (k_2 + p_2)^2; \quad (5) \quad t$$

$$(k_1 - k_2)^2 = (p_2 - p_1)^2 = q^2; \quad (6)$$

$$m_X^2 = (q + p_1)^2 = p_2^2; \quad (7)$$

In the following we use the CM frame, where the 3-momenta in the initial and final state are $p_1 = k_1 = P_1 n_1$; $p_2 = k_2 = P_2 n_2$, with $n_{1,2}$ unit vectors indicating the direction, and (m is the nucleon mass)

$$P_1 = \frac{s}{2} \frac{p_1^2}{s}; \quad P_2 = \frac{s}{2} \frac{p_2^2}{s}; \quad (8)$$

$$t = -2P_2 P_1 (1 - n_2 n_1); \quad (9)$$

When analyzing the process Eq. (1) in the $1=N_c$ -expansion, we have to specify the scaling behavior of the kinematic variables in the parameter $1=N_c$. Different choices are possible, leading to different types of expansions. Here we consider the domain where the CM momenta in the initial and final state are

$$P_1, P_2 = O(N_c^0); \quad (10)$$

and final-state masses are such that

$$m_X - m = O(N_c^{-1}); \quad m, m_X = O(N_c); \quad (11)$$

In this domain the only accessible final states are ground-state baryon multiplet containing the N and Δ states,

$$X = N, \Delta; \quad (12)$$

Other baryon multiplets, as well as N states, have masses $m_X - m = O(N_c^0)$ and are not accessible as final states. Furthermore, Eqs. (10) and (11), together with Eq. (8), imply that

$$P_2 - P_1 = \frac{m^2 - m_X^2}{2s} = O(N_c^{-1}) \quad P_{1,2}; \quad (13)$$

In leading order of $1=N_c$ we can therefore neglect the difference between the initial and final CM momenta and write $P_1 = P_2 = P$. For reference we note that, in this domain,

$$P/s = O(N_c); \quad P/s - m = O(N_c^0); \quad t = O(N_c^0); \quad (14)$$

The parametric ordering in $1=N_c$ gives rise to an interesting physical picture of the scattering process. The electron with energy $O(N_c^0)$ scatters from the heavy nucleon with mass $O(N_c)$, losing a small fraction $O(N_c^{-1})$ of its energy. The nucleon remains in ground state or gets excited to a Δ by absorbing a small energy $O(N_c^{-1})$. The Δ can be regarded as stable at this order (its width is negligible), and inelastic scattering consists simply in the transition from N to Δ . The velocity of the initial/final baryons is small $O(N_c^{-1})$, and their kinetic energy is negligible compared to the electron energy. However, the momentum transfer is $O(N_c^0)$, so that the process probes the internal structure of the baryons. This picture will be further substantiated in the following calculations.

2.2. Currents and amplitudes

In the group-theoretical formulation of large- N_c QCD, the N and Δ are described as states in the multiplet of ground-state baryons, characterized by the spin/isospin $S = I = 1/2$ and $3/2$, the spin projection S^3 , and the isospin projection I^3 , denoted collectively by $B = fS = I; S^3; I^3$. The electron scattering process takes the form of a transition between baryon states $\langle B_2 j_2 | \dots | B_1 j_1 \rangle$. We denote the electron-baryon scattering amplitude in the CM frame (with relativistic normalization) by

$$M(P; n_2; n_1 j; B_2; B_1) = M_{21}; \quad (15)$$

is the electron helicity (i.e., the spin projection on n_1 and n_2), which is conserved in the scattering process. Note that

the baryon spins are quantized along a fixed direction (the 3-direction in the CM frame); in this way the initial and final states have the same quantization axis, and the spin transitions can be computed using algebraic identities [23, 24].

The amplitude Eq. (15) can be computed as an expansion in the electromagnetic coupling,

$$M_{21} = M_{21}^{(e2)} + M_{21}^{(e4)} + \dots \quad (16)$$

The e^2 term (one-photon exchange) is given by the product of the electron and baryon currents,

$$M_{21}^{(e2)} = -e^2 (j)_{21}(J)_{21}; \quad (17)$$

$$(j)_{21} = h \ n_2; j j \ n_1; i; \quad (18)$$

$$(J)_{21} = h n_2; B_2 j J n_1; B_1 i; \quad (19)$$

where all particles have the common CM momentum P in leading order of $1=N_c$. The minus sign in Eq. (17) comes from the negative electric charge of the electron. The electron current Eq. (18) is the standard current of the spin-1/2 particle; its explicit form can be derived from the spinors in the CM frame. The baryon current Eq. (19) can be constructed using the large- N_c spin-flavor symmetry and expanded in the generators $f_1; I^a; S^i; G^{ia}g$ [23, 24]. Their matrix elements are

$$hB_2 j f_1; I^a; S^i g j B_1 i = O(N_c^0); \quad hB_2 j G^{ia} g j B_1 i = O(N_c); \quad (20)$$

The full $1=N_c$ expansion of the current is given in Ref. [25]. In the present calculation we focus on the leading-order contribution to the cross sections, which is produced by the isovector magnetic current proportional to G^{i3} . This current is given by

$$(J^0)_{21} = 0; \quad (21)$$

$$(J^i)_{21} = 2m p G_M^V(t_{21}) \quad (i)_{ijk} (n_2 \ n_1)^j hB_2 j G^{k3} j B_1 i; \quad (22)$$

The factor $2m$ results from the relativistic normalization of the baryon states and drops out in final results. Note that Eq. (22) satisfy the transversality condition $q(J)_{21} = 0$ for all transitions between multiplet states, without corrections in $1=N_c$.

The function $G_M^V(t)$ in Eq. (22) (dimension mass⁻¹) is the large- N_c form factor, which describes the dynamical response of the large- N_c baryon to the momentum transfer $t_{12} = O(N_c^0)$. It can be determined by matching the $N \rightarrow N$ matrix element of the large- N_c current Eq. (22) with the empirical nucleon current at $N_c = 3$. At leading order in $1=N_c$ one obtains

$$2m G_M^V(t) \frac{N_c}{6} \Big|_{N_c=3} = \mathfrak{G}_M^V(t); \quad (23)$$

where $\mathfrak{G}_M^V(t)$ is the empirical isovector magnetic form factor, with $\mathfrak{G}_M^V(0) = \frac{1}{2} (\mu_p - \mu_n)$ (μ_p, μ_n are the magnetic moments of the proton and neutron). In this way the spin-flavor symmetry fixes the $N \rightarrow N$ and $N \rightarrow \Delta$ form factors in terms of the empirical $N \rightarrow N$ form factor, showing the predictive power of the $1=N_c$ expansion.

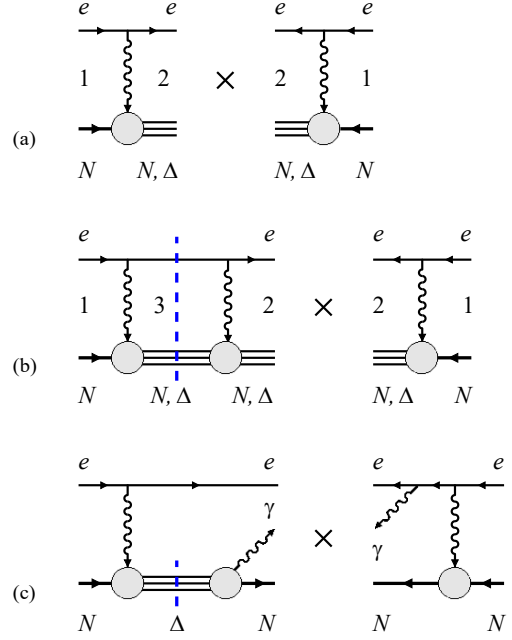


Figure 1: Inclusive eN scattering in the $1=N_c$ expansion in the domain Eqs. (10) and (11). (a) Spin-independent cross section from square of e^2 amplitudes. (b) Spin-dependent cross section from interference of e^4 and e^2 amplitudes. (c) Interference of real photon emission from electron and baryon.

The e^4 term in the electron-baryon scattering amplitude Eq. (16) results from two-photon exchange interactions. The absorptive part arises from on-shell rescattering and can be computed as the product of two e^2 amplitudes, integrated over the phase space of the intermediate state (see Fig. 1b),

$$M_{21}^{(e4)} = \frac{(i)P}{8m} \int \frac{d^3 p_3}{4} \sum_{B_3} M_{23}^{(e2)} M_{31}^{(e2)}; \quad (24)$$

We use the shorthand notation Eq. (15) for the amplitudes of the $1 \rightarrow 3$ and $3 \rightarrow 2$ transitions. The integral is over the momentum direction n_3 in the intermediate state 3, and the summation over the full set of baryon quantum numbers B_3 , including N and Δ and their spin/isospin projections. The form of Eq. (24) has been simplified according to the large- N_c limit.

The energies reached in the intermediate states in integral Eq. (24) extend up to $\sqrt{s} - m = O(N_c^0)$, which is parametrically larger than the mass of the final states considered in our domain, $m_X - m = O(N_c^{-1})$, Eq. (11). In principle therefore excited baryon states with mass difference $m_B - m = O(N_c^0)$ (N states) can contribute to the two-photon exchange amplitude in our domain. However, the electromagnetic couplings of these states to the ground state multiplet are suppressed by $1=N_c$ relative to those between ground state baryons [1]. In leading order of the $1=N_c$ expansion it is thus justified to retain only ground state baryons N and Δ as intermediate states.

The two-photon exchange amplitude Eq. (24) is free of collinear divergences, because the large- N_c baryon currents in the $1 \rightarrow 3$ and $3 \rightarrow 2$ amplitudes satisfy the transversality conditions without corrections in $1=N_c$ [10]

symmetric in leading order. As such it can be projected on overall $J = 1$ using

$$T^{kji} = \frac{1}{5} (k^j T^i + k^i T^j + j^i T^k); \quad (39) \quad T^k$$

$$T^{kll} = \frac{N_c^2}{16} h B_1^0 j G^{k3} j B_{1i}; \quad (40)$$

The two cases thus lead to similar contractions of the tensor Eq. (35). The remaining matrix element of G^{k3} in Eqs. (37) and (40) is proportional to the initial nucleon spin and and isospin and evaluates to (in leading order of $1=N_c$)

$$h B_1^0 j G^{k3} j B_{1i} = \frac{N_c}{6} h S_1^{30} j S_1^{k3} j (2I_1^3); \quad (41)$$

which can be averaged with the spin density matrix in Eq. (33). Altogether, we obtain the spin-dependent cross section in leading order of $1=N_c$

$$\frac{d_N}{d} = \frac{(2I_1^3)^3 N^3 \mathcal{E} G_{M21}^V}{2 \frac{96(1 - \frac{V}{n_2 n_1})^{3-2} (1 + \frac{1-2}{n_2 n_1})^2}{d}}; \quad (42)$$

$$\frac{3}{4} \frac{G_{M23} G_{M31}}{(1 - \frac{V}{n_2 n_3})(1 - \frac{V}{n_3 n_1})}$$

$$= [::] \frac{1}{2} \sum_X e_N (a_{21}^k a_{23}^k a_{31}^l + \text{C.C.}) \quad [\text{final } N]; \quad (43)$$

$$= [::] \frac{1}{2} \sum_X e^k (a_{23}^k a_{31}^l + a_{21}^l a_{23}^k a_{31}^l + a_{21}^l a_{23}^k a_{31}^l + \text{C.C.}) \quad [\text{final } N +]; \quad (44)$$

Here $e^2=4$ is the fine structure constant. The angular functions can be evaluated using the specific form Eq. (32) of the axial vectors a^i ; a_{21}^i and a_{23}^i ; explicit formulas will be pre-sented elsewhere. The spin-dependent cross section Eq. (42) is proportional to initial nucleon isospin $(2I_1^3) = 1$ and has different sign for ep and en scattering

$$d_N = d_N[\text{ep}] = -d_N[\text{en}]; \quad (45)$$

We can also compute spin asymmetry

$$A_N = \frac{d_N - d_U}{d_N + d_U}; \quad (46)$$

by dividing by the unpolarized cross section computed in the same approximation. In leading order of $1=N_c$, the unpolarized cross section Eq. (29) arises from the isovector magnetic current in the e^2 amplitude. In the case of summation over N and final states, $B_2 = N$; , the result is

$$\frac{d_U}{2} = \frac{N^2 \{G_{M2}^V\}^2}{192 P^2 (1 - \frac{V}{n_2 n_1})^2} \frac{1}{2} \sum_i a_{21} a_{21} \quad (47)$$

$$= \frac{2 N^2 \{3 - \frac{V}{n_2 n_1}\} (G_{M21}^V)^2}{48 (1 - \frac{V}{n_2 n_1})}; \quad (48)$$

The spin-independent cross section Eq. (48) is independent of the initial nucleon isospin; the asymmetry Eq. (46) therefore

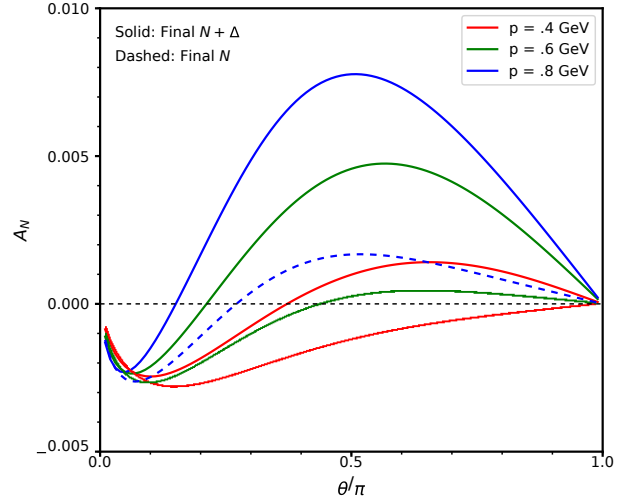


Figure 2: Transverse normal single-spin asymmetry in inclusive eN scattering, Eq. (46), in leading order of the $1=N_c$ expansion, for several CM energies P , as a function of θ . Dashed lines: A_N with N final state in N in the numerator. Solid lines: A_N with $N + \Delta$ final states in N . In both cases, U in the denominator is the sum $N + \Delta$.

has the same isospin dependence as the spin-dependent cross section in the numerator,

$$A_N = A_N[\text{ep}] = -A_N[\text{en}]; \quad (49)$$

Some comments on these result from the perspective of the $1=N_c$ expansion. First, the spin-dependent cross section is parametrically large in N_c , as it appears from the maximal product of isovector magnetic currents with matrix elements $O(N_c)$. Second, our calculation provides an example of the “ $I = J$ rule” of large- N_c QCD, according to which leading structures appear with t -channel quantum numbers $I = J$. The spin-dependent cross section (as a matrix element between the nucleon states 1) is a structure with overall $J = 1$, and its leading large- N_c result has $I = 1$. It arises as the product of an e^2 amplitude with $I = J = 1$ (for both final N and Δ) with an e^4 amplitude that is either projected on total $I = J = 0$ (for final N) or on $I = J = 2$ (for final Δ), as can be observed in the algebraic calculation above.

3.2. Numerical results

We now evaluate the asymmetry numerically and study its kinematic dependence using the leading-order $1=N_c$ expansion results, Eqs. (42)–(44) and Eq. (48). The large- N_c form factors $G^V(t)$ appearing in the expressions are fixed by the matching condition Eq. (23), and we use the standard dipole $1/(1 - t/0.71 \text{ GeV}^2)^2$ to model the empirical t -dependence.

Figure 2 shows A_N for several values of $P \leq 1 \text{ GeV}$ as a function of $\theta = \text{angle}(n_2 n_1)$. Results are shown for the cases of N and $N + \Delta$ final states in N in the numerator; U in the denominator is always for $N + \Delta$ final states; in this way one can add/subtract the results for A_N in the graph and see the contributions of the various channels to A_N . (The intermediate states in the two-photon exchange amplitude in N are always $N + \Delta$.)

One observes: (i) A_N vanishes at $\theta = 0$ and $\theta = \pi$, which is natural, as at these angles the normal vector $\mathbf{n}_2 = \mathbf{n}_1$ vanishes. (ii) The contribution of final states (the difference of the results for N^+ and N final states) is small at small θ but becomes significant at $\theta = 2$, causing the A_N for final N^+ to be several times larger than that for final N . (iii) A_N reaches values of the order 10^{-2} at $\theta = 2$ and $P = 1$ GeV and has definite sign.

Some comments on the region of applicability of the large- N_c expressions. First, the present $1=N_c$ expansion refers to the parametric domain Eq. (11) and assumes that the channel is open; the expressions should therefore be applied at CM energies above the empirical threshold, $\sqrt{s} = m_P + (m - m)[\text{empirical}] = 0.3$ GeV. Second, the leading-order results for N and A_N arise entirely from magnetic transitions, which are proportional to the momentum transfer at the vertices. They are not expected to be accurate at small $\theta = 2$ and $P = 1$ GeV, where the momentum transfer is kinematically suppressed, and corrections from electric currents are large (those can be computed as part of the $1=N_c$ corrections). Altogether, we expect the leading $1=N_c$ expansion to be a fair approximation at large angles $\theta = 2$ and momenta $P = 0.5$ – 1 GeV. The accuracy in this domain can be expected to be of the typical accuracy of the $1=N_c$ expansion in hadronic observables [1].

4. Extensions

We have computed the target normal single-spin asymmetry in inclusive eN scattering in leading order of the $1=N_c$ expansion, in the parametric domain where the energy transfer is $O(N_c^{-1})$ and allows for excitation of N and final states, and the momentum transfer is $O(N_c^0)$ and probes the internal structure of the baryons. The results of the present study can be extended and applied in several ways.

The method developed here, particularly algebraic approach in Sec. 3, can be used to compute $1=N_c$ corrections to leading-order result in the same parametric domain. These corrections will quantify the numerical accuracy of the leading-order result for the isovector N , and provide estimates of the isoscalar N , which appears only at subleading order.

The cross section for inclusive eN scattering includes also real photon emission into the final state (Fig. 1c). The process can be analyzed in $1=N_c$ expansion in the same manner as two-photon exchange (Fig. 1b). Preliminary analysis suggests that the emission cross section is suppressed in $1=N_c$, because the emitted photon is soft, $p = O(N_c^{-1})$, and the emission through the leading magnetic vertex is suppressed. A full analysis of the real emission process will be presented elsewhere.

The $1=N_c$ expansion can also be performed in different parametric domains than Eqs. (10) and (11). For example, the choice $P = O(N_c^{-1})$ leads to a “low-energy expansion” in which the electric currents enter in the same order as the magnetic ones, giving rise to different physical picture.

The results of the present study can be used to study the transition between the resonance and DIS regions and the realization of quark-hadron duality in the target normal single-spin asymmetry. Theoretical estimates of A_N differ by up to 1-2 or-

ders of magnitude between the resonance and DIS regions, because of large effects of anomalous magnetic moment that are present in the resonance region but disappear in the DIS region. The N_c scaling behavior and the “mean field picture” emerging in the large- N_c limit may help to explain the transition. (For applications of the $1=N_c$ expansion to DIS and partonic structure, see Refs. [26, 27].)

The methods developed here could also be applied to the beam spin asymmetry in electron-nucleon scattering, an effect proportional to the electron mass, which is being studied in its own right and as a background to parity-violating electron scattering [28, 29, 30]; and to other observables in electron-nucleon scattering.

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