

1 Decoupling of functional traits from intraspecific patterns of growth and drought stress resistance

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13

## 14 **Summary**

15 • Intraspecific variation in functional traits may mediate tree species' drought resistance,  
16 yet it remains unknown if trait variation is due to genotype (G), environment (E), or GxE  
17 interactions. Understanding the drivers of intraspecific trait variation and whether  
18 variation mediates drought response can improve predictions of species' response to  
19 future drought.

20 • Using populations of quaking aspen spanning a climate gradient, we investigated  
21 intraspecific variation in functional traits in the field as well as the influence of G and E  
22 among propagules in a common garden. We also tested for trait-mediated trade-offs in  
23 growth and drought stress tolerance.

24 • We observed intraspecific trait variation among the populations, yet this variation did not  
25 necessarily translate to higher drought stress tolerance in hotter/drier populations.  
26 Additionally, plasticity in the common garden was low, especially in propagules derived  
27 from the hottest/driest population. We found no growth-drought stress tolerance trade-28  
offs and few traits exhibited significant relationships with mortality in the natural  
29 populations, suggesting that intraspecific trait variation among the traits measured did not  
30 strongly mediate responses to drought stress.  
31 • Our results highlight the limits of trait-mediated responses to drought stress and the  
32 complex GxE interactions that may underly drought stress tolerance variation in forests in  
33 dry environments.

34  
35 **Keywords:** local adaptation, phenotypic plasticity, genotype, environment, climate change,  
36 drought tolerance, aspen, *Populus tremuloides*

37  
38 **Introduction**

39 Current research suggests there will likely be a mismatch between the rate of climate  
40 change and the ability of certain forest tree species to acclimate, either in-place or by migration  
41 (Aitken et al. 2008). Acclimation via phenotypic plasticity, the altering of phenotypes in  
42 response to environmental change, will be critical for many species (Bradshaw 1965, Franks et  
43 al. 2014), particularly in the absence of somatic mutations that can result in phenotypic change in  
44 long-lived organisms (Whitham and Slobodchikoff 1981) and when migration is not a viable  
45 option (Jump and Peñuelas 2005). Major environmental changes currently threatening tree  
46 species worldwide include more severe and frequent drought events (Dai 2013), which may  
47 result in increased widespread mortality of critical forest ecosystems (Allen et al. 2015). It is thus  
48 crucial to understand which species and/or populations in drought-prone regions will be able to  
49 acclimate to drought stress to improve predictions of species' response to future drought as well  
50 as management and conservation efforts (Sperry et al. 2019, Trugman et al. 2021).

51 Several plant phenological responses as well as morphological and physiological  
52 functional traits are expressed through genetic control, environmental cues, or an interaction of  
53 genetics and environment that underlie species' drought stress response (Nicotra et al. 2010).  
54 Determining the extent to which drought stress response is the result of genotype (G, local

adaptation), environment (E, phenotypic plasticity), or genotype by environment (GxE) interactions is necessary to improve understanding of species' acclimation potential. If populations from cooler/wetter climates are locally adapted to current environments they may suffer under future drought due to maladaptation to low water availability, while populations with phenotypic plasticity will have a greater capacity to acclimate to drought (Aitken *et al.* 2008). Provenance trials and common garden studies that compare distinct populations under controlled environmental conditions provide valuable insight into genotype and environmental influence on phenology and functional traits. While most common garden studies focus on plant phenology and growth, some have investigated functional traits related to drought stress resistance, such as leaf area-to-sapwood area ratios and xylem vulnerability to cavitation. These studies have shown both low (Kavanagh *et al.* 1999, Lamy *et al.* 2014, Kerr *et al.* 2015, Varone *et al.* 2016) and high phenotypic plasticity (Maherali *et al.* 2002, St. Clair *et al.* 2010, Blackman *et al.* 2017, Pritzkow *et al.* 2020), as well as GxE interactions (López *et al.* 2013) in functional traits.

For long-lived organisms like trees, classic ecological theory suggests that growth-stress tolerance trade-offs are expected to underlie intraspecific variation in functional traits (Grime 1977, Adler *et al.* 2014, Reich 2014). A growth-stress tolerance trade-off suggests that under harsh environment conditions, tree species/populations will exhibit a trade-off by constraining growth for improved stress tolerance. There are a variety of functional traits (drought resistance traits) that allow individuals to tolerate periods of low water availability. Trees with improved drought resistance may have xylem more resistant to drought-induced cavitation (Tyree and Sperry 1989, Maherali *et al.* 2004), denser leaves with less water demand and more tolerance of increased xylem pressure during drought (Wright *et al.* 2004), lower leaf area-to-sapwood area ratios which reduces evaporative demand and the xylem pressure required to move water to the foliage (Martínez-Vilalta *et al.* 2009), increased production of root tissue and deeper roots for more efficient uptake of water from soil (Jackson *et al.* 2000), and leaves with lower turgor loss points (Bartlett *et al.* 2014) and higher heat tolerance (Knight and Ackerly 2002). Given the carbon costs associated with these traits, trees with tolerance to drought might be expected to grow slower under wetter conditions to survive drier climate conditions. A more thorough understanding of which functional traits mediate growth-stress tolerance trade-offs will improve our predictions of species' drought response.

86 Here, we examined within-species variation in growth, drought resistance traits, and  
87 growth-stress tolerance trade-offs among populations of quaking aspen (aspen, *Populus*  
88 *tremuloides*), a widespread, foundational tree species in North America and the Intermountain  
89 West of the United States (McAvoy et al. 2012). While clonality is a common phenomenon in  
90 this species, aspen genetic diversity in the Intermountain West can be high with landscapes  
91 composed of numerous, spatially clustered, unrelated genets (Mock et al. 2008). In recent  
92 decades, aspen in the region have experienced extensive drought-induced mortality events  
93 (Worrall et al. 2008, Anderegg et al. 2012). Given the threat of future droughts of increased  
94 frequency and severity, it is vital to improve our understanding of the acclimation potential of  
95 aspen to better manage these forests. Using both natural populations of aspen along an aridity  
96 gradient and an associated common garden experiment, we aimed to answer the following  
97 questions: Q1) Among natural populations, do genetically distinct aspen exhibit drought  
98 resistance trait variation reflective of local climate?; Q2) In a common garden, do aspen  
99 propagules exhibit drought resistance trait variation whereby: a) propagules that originate from  
100 hotter/drier climates exhibit higher drought resistance (G effects); b) all propagules, regardless of  
101 climate of origin, respond similarly to differing drought conditions (E effects); or c) response to  
102 drought conditions is dependent on the genotype of the propagule (GxE interactions)?; Q3) In a  
103 common garden, is there a trait-mediated trade-off between growth (as measured with relative  
104 growth rates) under wet conditions and stress tolerance under drought conditions?; and Q4) Does  
105 a growth-stress tolerance trade-off occur in natural populations (with growth measured via basal  
106 area index)?

107

## 108 **Methods**

### 109 *Natural populations*

110 The United States Forest Service Forest Inventory and Analysis (FIA) database was used  
111 to generate a list of natural aspen populations spanning a gradient from cool, wet to hot, dry  
112 climates in Colorado and Utah (to minimize latitudinal effects across populations). From this list,  
113 five populations were selected from the Dixie, San Juan, Uncompaghre, White River, and Uinta  
114 National Forests (Fig. 1a), where mean annual temperature ranges from 2.4 °C to 5 °C and mean  
115 annual precipitation ranges from 338 mm to 662 mm (Table S1). To sample the genetic diversity  
116 within each population, plots were established in 2019 in 6 genetically distinct clones (see

117 *Population genetics* section below). Plots were selected to have similar slopes, aspects, and  
118 elevation to limit microclimate differences among the populations, and were established within  
119 healthy, mature stands well within the boundaries of each clone (e.g., not at leading/trailing  
120 edges). Plot centers were marked with a GPS unit and circular, 18-m radius boundaries were  
121 demarcated based on plot center. Within each plot, the diameter at breast height (DBH) was  
122 measured on trees with a DBH >3 cm (Table S1). Trees were also visually scored for canopy  
123 dieback (i.e., mortality) by estimating the percentage (0-100%) of canopy that was recently dying  
124 or dead (i.e., foliage present but brown/black and/or dried in upper, sunlit parts of the canopy).  
125 Focal trees were selected for measurements (5 for traits and 15 for tree cores) from  
126 representative healthy and mature trees. Fully developed leaves were collected and dried on  
127 silica gel for DNA extraction from the 5 trait trees to ensure trees within a clone were genetically  
128 identical. Plots were visited from late June to early July of 2020 for most of the data collection to  
129 ensure measurements were made during peak water stress prior to summer monsoon rainfall that  
130 occurs across most of the populations. We were unable to measure all traits in 2020 due to  
131 restrictions from the COVID-19 pandemic and samples for pressure volume curve parameters  
132 were collected in July 2021. During 2021, we also visually re-scored all trees for canopy  
133 dieback. Because 2020 was a severe drought year (Williams et al. 2022), evaluating canopy  
134 dieback in 2021 provided a useful estimate of drought stress tolerance among the natural  
135 populations.

136

### 137 *Common garden*

138 During 2019, root samples were collected from clones for DNA extraction and for  
139 propagation (Luna 2003). One to three root segments of ~25 cm length and ~4 cm diameter were  
140 cut from one small/medium tree within each clone. Root segments were wrapped in a wet paper  
141 towel, placed in a plastic bag, and stored in a cooler. Fully developed leaves were also collected  
142 and dried on silica gel. In the laboratory, root segments were rinsed to remove dirt, washed in a  
143 5% bleach solution to kill bacterial or fungal pathogens, and rinsed again in clean water. A small  
144 piece of root cambium was removed from each segment and dried in an oven at 50°C. Cleaned  
145 root segments were planted in sand:perlite (1:1) and placed on 23°C warming mats in a mist  
146 propagation room. New shoots ~3 cm were removed with a sterilized razor blade, dipped in 0.3%  
147 IBA (indole-3-butyric acid) hormone powder (Hormex Rooting Powder #3, CA, USA), planted

148 in perlite:drielite (1:1), and placed back on 23°C warming mats in the mist propagation room.  
149 Once propagules developed root systems they were transplanted into soil (Metro Mix 900,  
150 Sungro Horticulture Agawam, MA, USA) and placed in a greenhouse. In May 2020, propagules  
151 were transplanted into a common garden on the University of Utah campus. Propagules were  
152 randomly planted across 10 beds, resulting in a total of 360 plants at a spacing of 50 cm. All beds  
153 received mulch and were weeded regularly. Beds were irrigated to field capacity via sprinkler  
154 irrigation throughout the 2020 growing season.

155 During the 2021 growing season, beds in the common garden were irrigated to field  
156 capacity until June 25. Then a drought treatment was implemented by first reducing irrigation to  
157 ½ full capacity (targeting a predawn leaf water potential of -1 MPa) on half (5) of the beds which  
158 had been randomly selected. Irrigation in these drought beds was further reduced to ¼ full  
159 capacity when our target predawn leaf water potential value was not being met (Fig S3). The  
160 remaining 5 beds received full irrigation (control) throughout the experiment. The common  
161 garden site does not have groundwater excess and typically receives limited summer rainfall,  
162 therefore rainout exclosures were not constructed.

163

#### 164 *Population genetics*

165 From each dried root sample, 10-20 mg of tissue was ground in a Minilys tissue  
166 homogenizer (Bertin, France) with ceramic beads, and genomic DNA was extracted using a spin-  
167 column protocol (Amici et al. 2019). When the root samples were not successful, the  
168 corresponding leaf sample was used instead. Individuals were genotyped, to ensure that clones  
169 were genetically distinct, at 8 microsatellite loci (Table S2) using primers developed for aspen  
170 (Mock et al. 2012). Dye labeled PCR products were resolved by capillary electrophoresis and  
171 alleles were determined using PeakScanner Software (version 2.0, Thermo Fisher Scientific).  
172 Both diploid and triploid clones were present in the populations although the majority (83%) of  
173 clones were triploid (Mock et al. 2008). Frequencies and identities of alleles present per locus in  
174 each clone/population were estimated using the “adegenet” (version 2.1.5) R package (Jombart  
175 2008). Loci were highly polymorphic and there was ~5% missing allele data from unsuccessful  
176 PCR experiments. Genotypic variability of allele frequencies in the natural populations was  
177 determined with multivariate analyses using Principal Components Analysis (PCA) with the  
178 “ade4” (version 1.7.18) package (Thioulouse and Dray 2007). Allele frequencies were

179 standardized and constructed on the two main axes (PC1 and PC2) along with the individual  
180 trees measured. Genetic variation ( $F_{ST}$ ) was determined using the “polysat” (1.7.6) R package  
181 (Clark et al. 2011) which specializes in mixed ploidy population genetic analyses.  $F_{ST}$  varied  
182 from 0.04 to 0.12 (Table S3) with geographic distance a likely driver in  $F_{ST}$  determination (Fig.  
183 1). A subset of 22 propagules in the common garden were randomly selected to verify the  
184 genetic identity of the propagule matched the clone of origin (Table S4).

185

186 *Measurements*

187 Natural populations

188 In 2020, large sun-exposed, south-facing, mid-to-upper canopy branches (diameter 5 - 10  
189 cm) were collected midday from focal trees using a 20-gauge shotgun. Branches were placed  
190 into plastic bags with the branch break wrapped in a wet paper towel and placed into a cooler. In  
191 the laboratory, branches were cut under water using a razor blade to produce sample segments  
192 with sapwood diameters of ~5 mm and lengths of ~14 cm to accommodate vessel lengths (mean  
193 aspen vessel length is ~2 cm, Sperry et al., 1994). All foliage distal to the basal end of each  
194 segment was saved for leaf area determination.

195 One set of branches was used for determining native and maximum conductance using  
196 the pressure-flow method (Sperry et al. 1988) with a 2% potassium chloride 0.2  $\mu$ m filtered  
197 solution. After measuring native conductance ( $k_{nat}$ ), samples underwent overnight vacuum  
198 infiltration to remove emboli and maximum conductance ( $k_{max}$ ) was measured (Anderegg et al.,  
199 2013).  $k_{nat}$  and  $k_{max}$  values were standardized by the sapwood area and length of the segment to  
200 give native ( $K_{s-nat}$ ) and maximum ( $K_{s-max}$ ) sapwood area-specific conductivity, respectively.  
201 Percent loss of conductance (PLC) was quantified using equation 1:

202 
$$PLC (\%) = \left( \frac{k_{max} - k_{nat}}{k_{max}} \right) \times 100 \quad (1)$$

203 A second set of branches was used to examine xylem vulnerability. Five branches from  
204 each clone were spun in a centrifuge to generate a cavitation-inducing pressure of -2.5 MPa, a  
205 pressure that reflects a ~50% loss of conductivity ( $P_{50}$ ) in aspen (Anderegg et al. 2013, Love et  
206 al. 2019). Conductivity values after the spin are reported as  $K_{s-spin}$ . We also used  $P_{50}$  from full  
207 vulnerability curves to test the accuracy of the single spin method. An extra branch from each  
208 clone was used to construct vulnerability curves, and therefore 6 branches were used to

209 determine a population-level  $P_{50}$  value. Given multiple personnel, laboratory, and fieldwork  
210 restrictions from the COVID-19 pandemic we opted to use  $K_{s\text{-}spin}$  measurements as a proxy for  
211  $P_{50}$ , but we acknowledge that more branch samples and vulnerability curves would be preferable  
212 for obtaining better estimations of xylem cavitation resistance. Vulnerability curves were  
213 measured using the centrifuge method (Alder et al. 1997). Branch segments were first flushed of  
214 embolism via vacuum infiltration and maximum conductance was measured as described above.  
215 Then, cavitation-inducing pressures were introduced within the branch using a centrifuge with  
216 conductance being measured between pressure points until PLC  $\sim 90\%$ . The “fitplc” (version  
217 1.2.3) R package was used to fit vulnerability curves and determine  $P_{50}$  using a Weibull curve  
218 (Duursma and Choat 2017).

219 A third set of branches were used for pressure-volume (PV) curves. Small aspen twigs  
220 (diameter  $\sim 5\text{-}10$  mm) with healthy, non-necrotic foliage were excised from branches under  
221 water  $>10$  cm distance from the branch break and underwent overnight rehydration. Portions of  
222 stem that had been under water during rehydration were removed prior to measurement to  
223 minimize impacts of oversaturation on the shape of the PV curve (Parker and Pallardy 1987). As  
224 samples dried on the benchtop, water potential ( $\Psi$ ) and weights to the nearest 0.0001 g were  
225 measured periodically using a pressure chamber (PMS Instruments) and mass balance. PV curve  
226 parameters (Koide et al. 1989) were determined: leaf turgor loss point ( $\Psi_{TLP}$ ), leaf water  
227 potential at turgor loss; leaf osmotic potential at full turgor ( $\Psi_{\pi100}$ ), the solute concentration in  
228 leaf cells at full hydration; and modulus of elasticity ( $\epsilon$ ), cell wall stiffness.

229 Leaf mass per area (LMA) and leaf area to sapwood area ratios (AL:As) were measured  
230 using the collected foliage from the branch samples. Total one-sided leaf area ( $A_L$ ) was  
231 quantified with a LI-3100C area meter (Li-Cor Biosciences) and ImageJ (Schneider et al. 2012).  
232 Leaves were then dried in a 60°C oven and dry weights recorded using a mass balance. LMA  
233 was calculated by dividing dry weight by  $A_L$ . AL:As was calculated by dividing  $A_L$  by the  
234 sapwood diameter at the basal end of the branch segment.

235 One increment core was collected at breast height from focal trees. Cores were mounted  
236 and sanded with progressively finer sandpaper until ring-width boundaries were visible under a  
237 microscope. Cross dating was verified at the  $P < 0.01$  significance level and using a 50-year  
238 window which overlapped by 25 years in the program COFECHA (Holmes 1983). The “dplR”

239 (version 1.7.2) R package was used to convert raw ring widths to basal area increment (BAI)  
240 (Bunn 2008) which were used to build chronologies (Table S5, Fig. S1).

241

242 Common garden

243 Growth (height and stem diameter) was measured at the beginning and end of the 2020  
244 growing season, and every 3 weeks during the 2021 growing season. Relative growth rate in  
245 height ( $RGR_{height}$ ) and diameter ( $RGR_{dia}$ ) was determined for the full irrigation period prior to the  
246 drought treatment using equation 2:

247 
$$RGR = \left[ \frac{\ln(S_2) - \ln(S_1)}{(t_2 - t_1)} \right] \quad (2)$$

248 where  $S_2$  and  $S_1$  are the size (height or diameter) of the propagule taken at two time points,  $t_2$  and  
249  $t_1$ .

250 Leaf water potential and midday stomatal conductance were measured once a week  
251 during the 2021 drought experiment. A subset of plants was randomly selected to ensure there  
252 was representation from all clones/populations and that plants were not completely defoliated.  
253 Leaf water potential was measured during predawn (03:30 - 05:30) and midday (13:00 - 15:00).  
254 One fully developed leaf per plant was placed in a plastic bag prior to stem removal and then  
255 immediately measured with a pressure chamber (PMS Instruments). Stomatal conductance to  
256 water vapor ( $g_s$ ) was measured on one fully developed intact leaf per plant during midday (full  
257 sun, 13:00 – 15:00) using a porometer (SC1 Leaf Porometer, Meter).

258 Gas exchange measurements were made at the end of the experiment to construct  
259 photosynthetic  $CO_2$ -response ( $A-C_i$ ) curves for determination of maximum rate of carboxylation  
260 ( $V_{cmax}$ ) using a portable open gas exchange system with a 6  $cm^2$  aperture and a red-blue light  
261 source (Li-6800, Li-Cor Biosciences). Environmental conditions in the Li-6800 were as follows:  
262 leaf temperature at 25°C, photosynthetic photon flux density at 2000  $\mu\text{mol m}^{-2} \text{s}^{-1}$ , relative  
263 humidity at 50%, and ambient  $CO_2$  concentration at 400 p.p.m.  $CO_2$  concentration was initially  
264 set to ambient, then was gradually decreased stepwise to 50 p.p.m., brought back to ambient,  
265 then gradually increased stepwise until the curve reached a point where further increases in  
266 photosynthesis ( $A$ ) appeared to be negligible. At each step, stabilized readings of  $A$ , stomatal  
267 conductance ( $g_s$ ), and internal concentration of  $CO_2$  ( $C_i$ ) were recorded. The “plantecophys”  
268 (version 1.4.6) R package was used to fit  $A-C_i$  curves and determine  $V_{cmax}$  (Duursma 2015). We

269 corrected temperature for each curve to match the recorded leaf temperatures of the Li-6800 and  
270 used the default method for curve fitting.

271 Measurements of chlorophyll fluorescence taken at the end of the experiment were used  
272 to determine  $T_{50}$ , the temperature that causes 50% damage to photosystem II (PSII) (Krause et al.  
273 2010). One to two leaves were collected from six plants per population per treatment at predawn  
274 to ensure foliage was dark acclimated. Three  $2.54\text{ cm}^2$  leaf discs from each sample were placed  
275 in plastic bags and immersed for 15 minutes in preheated water baths set to various temperatures  
276 ( $25\text{--}61\text{ }^\circ\text{C}$  in  $4\text{ }^\circ\text{C}$  increments). Different sets of discs were exposed to each temperature and then  
277 stored in the dark on moist filter paper along with untreated leaf discs which served as controls.  
278 Maximum quantum yield ( $F_v/F_m$ ), which is a reliable measure of PSII function (Genty et al.  
279 1989), was measured at room temperature with a fluorometer (FluorPen FP 100, Photon Systems  
280 Instruments, Czech Republic) 24 hours after temperature exposure.  $T_{50}$  was estimated by  
281 modelling the relationship between  $F_v/F_m$  and temperature using logistic nonlinear least squares  
282 models and the ‘nls’ function in the “stats” R package (Feeley et al. 2020).

283 All other measurements (LMA, AL:As, PV parameters,  $K_{s\text{-nat}}$ ,  $K_{s\text{-max}}$ , PLC,  $P_{50}$ ) were  
284 determined at the end of the experiment on a subset of plants using the methods described above.  
285

#### 286 *Statistics*

287 To test for differences among the natural populations, linear mixed effects models were  
288 constructed to relate absolute measurement values to the fixed effect of population and the  
289 random effects of tree and/or tree nested in clone as shown in equation 3:.

$$290 \quad y_{ij} = \beta_0 + \beta_1 X_{ij} + u_i + v_j + \varepsilon_{ij} \quad (3)$$

291 where  $y$  is indexed by  $i$  for tree and by  $j$  for clone,  $X$  indicates the effect of population,  $u$  and  $v$   
292 represent the random intercepts for tree and clone respectively, and  $\varepsilon$  represents random error.

293 Given potential large differences in micro- and macro-site factors, and the use of different  
294 tree genotypes in each population, significant trait differences among natural populations do not  
295 necessarily indicate genotype (G) or environment (E) effects. Data from the common garden was  
296 used to specifically investigate the effects of G, E, and GxE interactions on trait expression.  
297 Within the common garden, linear mixed effects models were constructed to relate absolute  
298 measurement values to the fixed effects of population and treatment (drought, control), and the  
299 random effects of clone or bed as shown in equation 4:.

300  $y_{ij} = \beta_0 + \beta_1 X_{1ij} + \beta_2 X_{2ij} + \beta_1 X_{1ij} \times \beta_2 X_{2ij} + u_i + w_j + \varepsilon_{ij}$  (4)

301 where  $y$  is indexed by  $i$  for clone and by  $j$  for bed,  $X_1$  indicates the effect of population,  $X_2$   
 302 indicates the effect of treatment,  $u$  and  $w$  represent the random intercepts for clone and bed  
 303 respectively, and  $\varepsilon$  represents random error. Significant differences among populations within a  
 304 treatment group indicate G effects, between treatment groups within a population indicate E  
 305 effects, and models with a significant interaction term indicate GxE effects.

306 Model assumptions of normality were checked with diagnostic plots of residuals. For the  
 307 cases when model assumptions were violated, a square-root (field  $K_{s\text{-spin}}$ ), or log transformation  
 308 was performed (garden  $A_L:As$ ). Extreme outliers (e.g., flagged data of poor quality or incorrectly  
 309 entered) were determined using the Cook's Distance method and removed from the field LMA  
 310 and  $A_L:As$  datasets, and the garden LMA,  $P_{50}$ , and  $\Psi_{TLP}$  datasets, when necessary. The effects of  
 311 population and/or treatment and/or their interaction were then determined with Likelihood Ratio  
 312 Tests (LRT) by comparing the full model to reduced models (Table S6). When population and/or  
 313 treatment proved to be significant, pairwise comparisons were made to test whether  
 314 measurement values differed significantly among populations in the field datasets, and among  
 315 populations within treatment or among treatments within populations in the garden datasets.

316 For the common garden, a phenotypic plasticity index was determined for growth and  
 317 drought resistance traits ( $RGR_{height}$ ,  $RGR_{dia}$ , LMA,  $A_L:As$ ,  $\Psi_{TLP}$ ,  $P_{50}$ ,  $K_{max}$ ,  $V_{cmax}$ ,  $T_{50}$ ) in each  
 318 population (Valladares et al. 2000). The index was calculated as the difference between the  
 319 minimum and maximum mean trait values within population across the two treatment groups  
 320 divided by the maximum mean trait value as shown in equation 5:

321 
$$\text{Plasticity index} = \frac{(\text{max} - \text{min})}{\text{max}}$$
 (5)

322 An overall mean phenotypic plasticity index for each population was also calculated by  
 323 averaging the individual trait phenotypic plasticity indexes. These indexes range from 0 (no  
 324 plasticity) to 1 (maximum plasticity). The use of this plasticity index assumes trait data are  
 325 normally distributed (Valladares et al. 2006) which we confirmed as described above.

326 To determine if there were growth-stress tolerance trade-offs, linear regressions were first  
 327 used to test for significant relationships between growth (BAI in the field, and  $RGR_{dia}$  and  
 328  $RGR_{height}$  in the garden) and drought vulnerability ( $PLC$  or  $K_{s\text{-spin}}$  in the field, and  $PLC$ , predawn  
 329 water potential, or  $P_{50}$  in the garden). Tree age did not significantly affect BAI (Fig. S2). Linear  
 330 regressions were then used to test for significant relationships between drought resistance traits

331 (field: LMA, AL:As,  $\Psi_{TLP}$ ,  $K_{nat}$ ,  $K_{max}$ ,  $K_{s\text{-spin}}$ , PLC; garden: LMA, AL:As,  $\Psi_{TLP}$ ,  $P_{50}$ ,  $T_{50}$ ,  $V_{cmax}$ ,  
332  $K_{nat}$ ,  $K_{max}$ , PLC) and growth. To determine if mortality in natural populations was mediated by  
333 drought resistance traits, linear regression was used to test for significant relationships between  
334 traits (listed above) and 2021 % canopy dieback. Coefficients of determination ( $R^2$ ) and p-values  
335 were determined through linear regressions.

336 Analyses were conducted in R version 4.1.2 (R Core Team 2021). The “lme4” (version  
337 1.1.28), “lmerTest” (version 3.1.3), and “emmeans” (version 1.7.2) packages were used to  
338 construct and analyze mixed effects models (Bates et al. 2019; Kuznetsova et al. 2014; Lenth et  
339 al. 2018). Significance of fixed effects were determined with LRTs using “lmerTest” and the  
340 Satterthwaite approximation method. Pairwise comparisons were determined using “emmeans”  
341 and the Tukey method for p-value adjustments. The “car” package (version 3.0.12) was used to  
342 plot QQ normal lines with 95% confidence intervals for assumption validation (Fox et al. 2016).  
343 Significance levels of  $\alpha < 0.05$  and  $\alpha = 0.05 - 0.1$  were considered statistically significant and  
344 marginally significant, respectively.

345

## 346 **Results**

### 347 *Natural populations*

348 The five natural populations for this study spanned a macro-climate gradient that ranged  
349 hotter/drier in southern latitudes to cooler/wetter in northern latitudes (Fig. 1a, Table S1).  
350 Populations that were more geographically isolated (i.e., Dixie) were also more genetically  
351 distinct (Fig. 1b).

352 There were significant intraspecific differences in trait measurements. Population had a  
353 strong effect on LMA ( $\chi^2=230.95$ ,  $p<0.0001$ ), AL:As ( $\chi^2=50.378$ ,  $p<0.0001$ ),  $K_{s\text{-spin}}$  ( $\chi^2=38.404$ ,  
354  $p<0.0001$ ), and PLC ( $\chi^2=30.724$ ,  $p<0.0001$ ). Aspen from Dixie and San Juan, the two  
355 hotter/drier populations, generally had significantly lower LMA (Fig. 2a), higher AL:As (Fig.  
356 2b), and lower hydraulic conductivity after receiving the cavitation-inducing pressure of -2.5  
357 MPa ( $K_{s\text{-spin}}$ , Fig. 2c) than their cooler/wetter counterparts. PLC was highest in Dixie and San  
358 Juan aspen (Fig. 2f) which suggests heightened drought stress during summer 2020 despite lower  
359 maximum hydraulic conductivity (Fig. 2e). There was no population-level variation in  $\Psi_{TLP}$   
360 ( $\chi^2=2.4352$ ,  $p=0.6563$ , Fig. 2d).  $\Psi_{TLP}$  was measured during 2021 which, despite being a

361 generally hot and dry year, had erratic rainfalls that likely disrupted drought signals that would  
362 result in differences in  $\Psi_{TLP}$  at the time of measurement.

363

364 *Common garden*

365 Summer 2021 had higher than average rainfall, which resulted in two sporadic recovery  
366 events and a mild-to-moderate drought treatment. Yet predawn ( $\chi^2=95.223$ ,  $p<0.001$ ) and  
367 midday ( $\chi^2=50.589$ ,  $p<0.001$ ) xylem pressures (Fig. S3a), midday stomatal conductance  
368 ( $\chi^2=76.041$ ,  $p<0.001$ , Fig. S3b), and soil moisture levels ( $\chi^2=146.19$ ,  $p<0.001$ , Fig. S3c) were  
369 significantly lower in drought treatment propagules indicating these plants did experience  
370 heightened drought stress compared to control plants.

371 In general, there were little to no treatment and population-level differences in traits  
372 which indicated limited G, E, or GxE effects. We only observed significant treatment (E) in  $\Psi_{TLP}$   
373 and weak GxE differences in  $T_{50}$  (Fig. 3). The drought treatment had a significant E effect on  
374  $\Psi_{TLP}$  for all propagules ( $\chi^2=17.959$ ,  $p=0.003$ ), whereby  $\Psi_{TLP}$  was less negative in plants under  
375 drought regardless of their population of origin (Fig. 3e). Among the Dixie propagules,  $T_{50}$  was  
376 reduced in plants under drought ( $p=0.0152$ , Fig. 3f) suggesting a GxE effect. Although the  $T_{50}$   
377 linear mixed effects model did not have significant G,E,or GxE terms (Table S6), we thought  
378 these results deserved further investigation given the sharp decline in  $T_{50}$  in propagules subjected  
379 to the drought. Pairwise comparisons confirmed  $T_{50}$  in the Dixie propagules was statistically  
380 significant between the treatments and therefore possibly the result of a GxE effect.

381 Plasticity index values for drought resistance traits were generally low, ranging from 0.05  
382 (LMA) to 0.45 (RGR<sub>height</sub>) across all populations (Fig. S4). Propagules from the hotter/drier  
383 populations (Dixie and San Juan) varied widely in plasticity. Dixie propagules consistently  
384 exhibited the lowest plasticity indexes across all traits while San Juan propagules had some of  
385 the highest plasticity indexes in hydraulic conductance and growth (Fig. S4). The overall mean  
386 plasticity index across all traits did not vary strongly with population and was also generally low  
387 (Fig. 4). Dixie propagules did have a significantly lower mean plasticity index ( $p=0.045$ )  
388 compared to San Juan propagules despite both groups originating from similar hot/dry climates  
389 (Fig. 4).

390

391 *Trait-mediated trade-offs*

392 In the common garden, we found no evidence of a growth-stress tolerance trade-off  
393 between propagules with more growth (higher  $RGR_{height}$  and  $RGR_{dia}$ ) under full irrigation prior  
394 to the drought treatment compared to xylem vulnerability (less negative  $P_{50}$ ) during the drought  
395 treatment (Fig. 5). There were also no trade-offs between growth and the other metrics of xylem  
396 vulnerability we tested (PLC and predawn water potential during drought). Among the natural  
397 populations, we also did not find strong evidence of a growth-stress tolerance trade-off where  
398 trees with higher growth (BAI) during a timeframe with more rainfall (1980 -1999) had more  
399 vulnerable xylem during 2020 (i.e., higher PLC or  $K_{s-spin}$ ). Yet this trade-off did occur in trees  
400 from Uncompaghre and White River, which exhibited a significant ( $R^2=0.24$ ,  $p=0.012$ ) and  
401 marginally significant ( $R^2=0.17$ ,  $p=0.054$ ) positive linear relationship between BAI and PLC,  
402 respectively (Fig. 6). These same trees did not experience increased mortality (i.e., canopy  
403 dieback scored in 2021) following the 2020 drought (Fig. S5,  $R^2=0.13$ ,  $p=0.548$ ).

404 In the common garden, trait data were pooled across treatments for trait-trait correlations  
405 due to the lack of observed significant trait differences between the control and drought  
406 treatments. We did observe some significant linear relationships that indicate possible  
407 physiological trade-offs among the propagules tested here (see Fig. S6 for all trait-trait  
408 comparisons made). There appeared to be safety-efficiency trade-off as propagules with more  
409 efficient xylem (higher  $K_{s-nat}$  and  $K_{s-max}$ ) were more vulnerable to drought (less negative  $P_{50}$ ).  
410 This evidence is provided by statistically significant linear relationships between both  $P_{50}$  and  $K_{s-}$   
411  $_{nat}$  ( $R^2=0.19$ ,  $p<0.001$ , Fig. 7a) and  $P_{50}$  and  $K_{s-max}$  ( $R^2=0.22$ ,  $p<0.001$ , Fig. 7b). In addition, the  
412 linear relationship between  $P_{50}$  and  $T_{50}$  was statistically significant ( $R^2=0.12$ ,  $p<0.001$ , Fig. 7c)  
413 indicating that propagules with more resistant xylem (more negative  $P_{50}$ ) had improved heat  
414 tolerance (higher  $T_{50}$  temperatures).

415 Among the natural populations, there were significant linear relationships between three  
416 traits and percent canopy dieback in 2021 (see Fig. S7 for all trait-trait comparisons made).  $K_{s-nat}$   
417 ( $R^2=0.17$ ,  $p=0.029$ , Fig. 7d),  $K_{s-max}$  ( $R^2=0.21$ ,  $p=0.014$ , Fig. 7e), and LMA ( $R^2=0.18$ ,  $p=0.022$ ,  
418 Fig. 7f) all exhibited statistically significant linear relationships with canopy dieback. These  
419 results suggest that trees with thinner, wider leaves (lower LMA) that were less hydraulically  
420 efficient (lower  $K_{s-nat}$  and  $K_{s-max}$ ) during the severe 2020 drought experienced increased  
421 mortality the following growing season.

422

423 **Discussion**

424 Overall, we found large intraspecific drought resistance trait variation among the natural  
425 populations, limited evidence for G and E effects in the garden, generally low plasticity yet  
426 evidence for safety-efficiency trade-offs in the garden, and relatively few trait-mediated growth-  
427 drought tolerance trade-offs in both the natural populations and garden. Taken together, our  
428 results highlight an unexpected decoupling of physiological traits from demographic  
429 performance (i.e., growth and mortality). Specifically, we discovered that traits measured in  
430 mature aspen trees from hotter/drier populations (Dixie and San Juan) were not indicative of  
431 these populations having more drought tolerance than their cooler/wetter counterparts. In  
432 addition, mature trees and propagules that grew more during wetter time periods generally did  
433 not exhibit increased vulnerability to drought, possibly suggesting little growth-stress tolerance  
434 trade-offs. Among the natural populations, only leaf mass per area (LMA) and hydraulic  
435 conductivity seemed to be important traits in mediating mortality following drought. These  
436 results underscore the persistent challenges of using saplings to infer mature tree response,  
437 connecting physiological traits to demographic responses (Greenwood et al. 2017, Laughlin et al.  
438 2020) and the temporal disconnect between the onset of drought and mortality (Trugman et al.  
439 2018).

440

441 *Natural populations*

442 Despite evidence of genotypic differentiation, the intraspecific variation seen here in  
443 drought resistance functional traits was often counterintuitive to hypotheses regarding local  
444 adaptation. If local adaptation was present, hotter/drier populations would have stronger drought  
445 resistance traits to allow these trees to deal with the water stress limitations inherent at those  
446 sites. Instead, we found that trees from these populations had thinner leaves, more leaf area, and  
447 xylem that was more vulnerable to drought, although this study did not account for belowground  
448 processes such as rooting depth or groundwater subsidies (Love et al. 2019) that may mediate  
449 drought resistance traits. Previous work has shown similar counterintuitive results, as aspen  
450 growing in hotter/drier environments were found to have more leaf area during a drought that  
451 correlated with increased canopy dieback (Kerr et al. 2022) and triploids have been shown to  
452 have higher growth and more risky hydraulic behavior that may increase risk of drought-induced  
453 mortality (DeRose et al. 2014, Greer et al. 2017, Blonder et al. 2022). In this present study, the

454 production of more leaf area and thinner, wider leaves was likely mal-adaptive and may have  
455 been due to a “structural overshoot” (Jump et al. 2017) where increased aboveground growth  
456 becomes temporally mismatched with water availability. Overproduction of low LMA foliage  
457 may have been due to climatic conditions during vegetative bud set the previous growing season  
458 or advanced phenology due to warmer spring temperatures in 2020 (Gordo and Sanz 2010).  
459 Alternatively, poor drought resistance may be adaptive in this species if there is more rapid  
460 regrowth post-drought (DeRose et al. 2014), yet long term trends in aspen demography suggest a  
461 net loss of aspen regrowth and basal area in recent decades (Refsland and Cushman 2020).

462 The Dixie and San Juan populations reside in the northern transition zone of the North  
463 American Monsoon (NAM) precipitation pattern, and disruption to the NAM due to climate  
464 change may be driving more variable climatic signals that influence leaf production (Pascale et  
465 al. 2017). However, sapwood growth was not complete at the time of measurement which would  
466 alter several traits (e.g., AL:AS,  $K_{s\text{-max}}$ ,  $K_{\text{spin}}$ ) and emphasizes the importance of intra-annual  
467 variability for complete understanding of *in-situ* drought resistance. Furthermore, traits reported  
468 here are namely branch-level measurements that may not be reflective of whole-plant drought  
469 response, and future work could focus on the intra-individual trait variation and coordination of  
470 traits at the whole-plant level to assess a species’ ability to cope with future drought stress  
471 (Herrera 2017, McCulloh et al. 2019, Cardoso et al. 2020, Johnson et al. 2021).

472

### 473 *Common garden*

474 Achieving our target predawn leaf water potential target of -1 MPa for propagules in  
475 drought beds was difficult as propagules did not become water stressed as quickly as we  
476 anticipated, and unprecedented rain events disrupted drought signals. Thus, while the drought  
477 treatment was statistically significant with respect to leaf water potential, plant midday stomatal  
478 conductance, and soil water (Fig. S3), results from the common garden are likely reflective of  
479 responses to mild-to-moderate drought stress and not severe drought conditions.

480 Plasticity index values were generally small (i.e., low plasticity) for the growth and traits  
481 we measured, especially for propagules from hottest/driest population, which may indicate aspen  
482 from this population are unable to adjust to more extreme drought conditions (Valladares et al.  
483 2007). These results contrast studies that have shown high phenotypic plasticity in aspen growth  
484 and functional traits (St. Clair et al., 2010; Cope et al., 2020; Fedorkov et al., 2021, but see

485 Kanaga *et al.*, 2008 for evidence of stronger genetic control), which may be explained by a  
486 difference in traits studies or that Colorado and Utah have overall dry climates that may pose  
487 strong selective filters that minimize plastic potential. This study only determined plasticity  
488 across two contrasting environments, and future work could include a larger number of diverse  
489 environments to obtain a more complete understanding of plasticity. Results from the linear  
490 mixed effects models suggest that neither genotype (G), environment (E), or their interaction  
491 (GxE) were strongly influential on traits as we generally observed no differences among the  
492 populations (G effects) or across the treatments (E effects). Stronger G, E, and GxE effects may  
493 have been elicited under a stronger drought treatment. Despite the mild-to-moderate drought,  
494  $\Psi_{TLP}$  (leaf water potential at turgor loss) in all populations was affected by the treatment (E  
495 effect) and  $T_{50}$  (temperature that caused a 50% reduction in photosystem II function) in the Dixie  
496 population was affected by a GxE interaction.

497 The occurrence of less negative  $\Psi_{TLP}$  in plants under drought was unexpected as species  
498 typically exhibit more negative  $\Psi_{TLP}$  in response to drought making it a strong indicator of  
499 ecological drought tolerance (Bartlett *et al.* 2012). These results may be explained by differences  
500 in biomass allocation whereby propagules in the drought treatment had possibly longer root  
501 systems that were able to access deeper water sources to maintain leaf turgor under drought  
502 stress (Fig. S8). Midday stomatal conductance was significantly depressed in drought treatment  
503 propagules, and these propagules may have had some depletion of nonstructural carbohydrates  
504 (NSCs) that reduced the concentration of soluble sugars required for osmoregulation (Woodruff  
505 and Meinzer 2011, Sevanto *et al.* 2014). Osmotic potential at full turgor showed a strong linear  
506 relationship with  $\Psi_{TLP}$ , which indicates osmotic adjustment was a primary mechanism driving  
507  $\Psi_{TLP}$  in these propagules (Fig. S9). Measuring NSCs may have provided a better understanding  
508 of the carbon dynamics at play, although the presence of NSCs may not necessarily mean they  
509 are osmotically active and available for osmotic adjustment (Morgan 1984). These results may  
510 also be reflective of ontogeny, whereby young trees may prioritize growth or other aspects of  
511 fitness over drought resistance compared to mature trees.

512 Dixie propagules in the control group had the highest, albeit not significantly,  $T_{50}$  values.  
513 The Dixie NF represented the driest/hottest population among our climate gradient which could  
514 indicate the differences in thermal tolerance observed here are due to genotype effects and  
515 perhaps a mechanism of local adaption to hot conditions. Other studies have found heat tolerance

516 to be more phenotypically plastic and representative of responses to the growing environment  
517 (Marias et al. 2017, Feeley et al. 2020). We observed a strong shift in  $T_{50}$  between Dixie  
518 propagules in the control and drought treatments, suggesting this trait was also highly plastic or  
519 subject to GxE interactions within this population. There may also have been micro-climate  
520 differences among the garden beds or biophysical differences in leaf structure that resulted in the  
521 control treatment Dixie propagules experiencing possibly more extreme leaf temperatures and  
522 increased heat tolerance (Perez and Feeley 2020). The large reduction in  $T_{50}$  in Dixie propagules  
523 under the drought treatment may be explained by the accompanied reduction in available water.  
524 Water transport through xylem and high stomatal conductance are likely important heat transfer  
525 mechanisms that cool plants to prevent lethal temperatures from occurring (Kolb and Robberecht  
526 1996).

527

#### 528 *Trade-offs*

529 Despite expectations from classic ecological theory, we did not find evidence of a  
530 growth-stress tolerance trade-off in the common garden nor most of the natural populations. In  
531 the garden, propagules that grew more under wet conditions prior to the drought treatment did  
532 not have xylem that was more vulnerable to drought, which may have been due to the mildness  
533 of the drought treatment. In the natural populations, a general lack of growth-stress tolerance  
534 trade-offs may have been due to the relatively smaller geographic scale of this work as these  
535 types of trade-offs tend to be observed at larger geographic scales (e.g., across entire species  
536 distributions, Anderegg and HilleRisLambers 2019) or due to belowground processes like  
537 rooting depth and groundwater subsidies (Love et al. 2019). Alternatively, despite sampling only  
538 mature aspen trees, there may have been variation in stand development we did not account for  
539 in our analyses that possibly confounded signals of lifetime growth strategies (DeRose et al.  
540 2014). There was a significant trade-off in the Uncompaghre NF where aspen with more growth  
541 during the wetter years of 1980-1999 had xylem that was more vulnerable to the 2020 drought.  
542 However, these trees did not exhibit elevated levels of canopy dieback following the drought.  
543 This could be because aspen mortality can lag drought up to 5 years (Trugman et al. 2018) or  
544 that we collected tree rings that had survived to the present, biasing against trees that had been  
545 susceptible to previous drought-induced mortality events. These results could also be indicative  
546 of the trade-off not being strong enough to result in long-lasting changes in xylem vulnerability

547 or that climate change in the region, which is largely manifested as more extreme arid  
548 conditions, may overwhelm any trade-offs that affect drought resistance (Choat et al. 2012).  
549 Indeed, mortality in 2021 was strongly linked to growth that occurred during the most recent  
550 severe drought (2018) as canopy dieback was highest in the driest population despite trees in the  
551 Dixie NF also having the lowest growth in 2018 (Fig S5b).

552 We did find evidence of several trait relationships in both the common garden and natural  
553 populations that could influence drought stress resistance and susceptibility to drought-induced  
554 mortality. In the common garden, we observed a significant linear relationship between  $T_{50}$  and  
555  $P_{50}$  indicating propagules with higher heat tolerance had more embolism-resistant xylem. High  
556 temperatures regularly accompany periods of drought stress (Williams et al. 2013), and therefore  
557 heat tolerance may be an important trait to consider in future studies that evaluate trait variation  
558 and aspen drought response. We also observed a safety-efficiency trade-off whereby propagules  
559 with higher hydraulic conductivity had xylem that was more vulnerable to cavitation (less  
560 negative  $P_{50}$ ). These propagules likely had xylem with larger diameters (and/or thinner cell walls  
561 and/or more pits) which allowed them to be more efficient in water transport but more vulnerable  
562 to drought stress (Tyree and Sperry 1989). Adult aspen trees in the natural populations did not  
563 exhibit the same safety-efficiency trade-off as trees with higher conductivity were less vulnerable  
564 to drought stress. Differing hydraulic strategies may occur at different life stages in this species  
565 which would have implications for scaling from branch-level estimates of hydraulic safety and  
566 efficiency to the whole-plant (Meinzer et al. 2010). In addition, hydraulic measurements utilized  
567 all growth rings in the branch samples, and safety-efficiency relationships may be different if  
568 only current-year or ring-specific conductance were assessed (Melcher et al. 2003). The lack of a  
569 safety-efficiency trade-off among the natural populations did not preclude trees from mortality as  
570 we still observed increased canopy dieback among aspen trees native to hotter/drier climates  
571 following the severe drought of 2020. Tree mortality can be due to a multitude of factors,  
572 including previous droughts and interactions with biotic agents. Aspen from the hotter/drier  
573 populations, regions that regularly experience severe droughts, may have been unable to regrow  
574 enough damaged water transport tissue and were therefore more susceptible to drought-induced  
575 mortality in 2020 (Trugman et al. 2018). Future work could focus on inclusion of belowground  
576 traits/processes and traits that may indirectly affect drought response (i.e., defense traits) to gain  
577 a more complete understanding of aspen drought response and mortality.

578

579 *Conclusion*

580 We found that despite high intraspecific variation in drought resistance among natural  
581 aspen populations, functional traits were unexpectedly decoupled from demographic rates of  
582 growth and drought stress tolerance in the field and in a common garden. These results enhance  
583 our understanding of aspen physiological response to drought stress, the possible influences of  
584 genotype (G), environment (E), and GxE on functional trait expression, and the limits of drought  
585 resistance traits in this species. This knowledge can improve our ability to predict the future of  
586 western US forests in a changing climate by incorporating intraspecific trait variation in  
587 ecosystem models (Anderegg 2015, Tai et al. 2017). Results can also be applied to potential  
588 propagation programs that utilize natural aspen populations for restoration and assisted migration  
589 efforts. This work also highlights the persistent challenge of connecting drought response  
590 physiological traits to demographic rates, a field of research that could also improve forest  
591 forecasting efforts.

592

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602

603 **Conflict of Interest**

604 The authors do not declare any conflicts of interest.

605

606 **Authors' Contributions**

607 The experiment was designed by all authors. Data collection and analyses were carried out by  
608 KLK and JCF, while data interpretation was carried out by all authors. KLK led the writing of  
609 the manuscript, and all authors contributed to drafts and gave final approval for publication.

610

## 611 **Data Availability Statement**

612 Data will be deposited in the TRY and Dryad digital databases upon acceptance.

613

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871

872 **Figure Legends**

873 **Figure 1.** Genetic variation between the aspen populations is associated with geographic  
874     distance. **(A)** Five natural populations of aspen were selected from National Forests (NF) in Utah  
875     and Colorado, USA: Dixie NF, San Juan NF, Uncompaghre NF, White River NF, and Uinta NF.  
876 **(B)** Principal Component Analysis showing variation in allele frequencies from 8 microsatellite

877 loci among clones within natural populations. Colors indicate population or geographic region of  
878 origin, and each point represents a genetically distinct clone (N=6 clones per population) and  
879 ellipses represent 95% confidence intervals.

880

881 **Figure 2.** Measurements taken during the severe drought of 2020 showed that aspen trees from  
882 the hotter/drier populations (Dixie and San Juan) had thinner leaves, more leaf area, and xylem  
883 that was more vulnerable to drought. Measurements include: leaf mass per area (**A**, LMA), the  
884 ratio of leaf area to sapwood area (**B**, AL:As), hydraulic conductivity after a cavitation-inducing  
885 pressure of -2.5 MPa (**C**,  $K_{s\text{-spin}}$ ), leaf water potential at turgor loss point (**D**,  $\Psi_{TLP}$ ), maximum  
886 hydraulic conductivity (**E**,  $K_{s\text{-max}}$ ), and percent loss of conductance (**F**, PLC). Boxplots (A-E)  
887 represent median values (center bar), interquartile ranges (IQR, box edges), values at most  
888 1.5\*IQR from box edge (error bars), and outlying points (circular points). Bars (F) represent  
889 mean PLC and error bars represent one standard error. Significant results have unique letters  
890 while nonsignificant results share the same letters. Statistical results have been omitted from E to  
891 aid in visual interpretation.

892

893 **Figure 3.** Measurements taken in the common garden at the end of the drought treatment showed  
894 low within-species and between-treatment differences. Leaf water potential at the turgor loss  
895 point ( $\Psi_{TLP}$ ) was significant less negative in propagules that received the drought treatment, and  
896 the Dixie propagules had a significant reduction in temperature that caused 50% damage to  
897 photosystem II ( $T_{50}$ ) under the drought treatment. Measurements include: leaf mass per area (**A**,  
898 LMA), the ratio of leaf area to sapwood area (**B**, AL:As), maximum rate of carboxylation (**C**,  
899  $V_{c\text{max}}$ ), pressure at which 50% loss of hydraulic conductivity occurs (**D**,  $P_{50}$ ),  $\Psi_{TLP}$  (**E**),  $T_{50}$  (**F**),  
900 maximum hydraulic conductivity (**G**,  $K_{s\text{-max}}$ ), and percent loss of conductance (**H**, PLC). Points  
901 represent mean values and error bars represent one standard error. The asterisks indicate  
902 statically significant differences where  $p<0.05$ . The black asterisk indicates a treatment-level  
903 significant result, and the yellow asterisk indicates a population-level significant result.

904

905 **Figure 4.** Mean plasticity indexes for each population across all the plasticity indexes for growth  
906 and drought resistance traits shown in Fig. S4. Bars represent mean plasticity index and error

907 bars represent one standard error. The asterisk indicates a significant difference between  
908 propagules that originated from the Dixie and San Juan National Forests ( $p < 0.05$ ).  
909

910 **Figure 5.** Among the propagules that received a drought treatment in the common garden, there  
911 was no significant trade-off between growth under wet conditions and survival under drought  
912 conditions as indicated by the linear relationship between relative growth rate in height (**A**,  
913  $\text{RGR}_{\text{height}}$ ) or diameter (**B**,  $\text{RGR}_{\text{dia}}$ ) prior to the drought treatment and the pressure at which 50%  
914 loss of hydraulic conductivity occurred ( $P_{50}$ ) at the end of the drought treatment. Points represent  
915 individual propagules that are colored according to the population (National Forest) of origin.  
916 The black line represents a linear model regression fit (the coefficient of determination ( $R^2$ ) and  
917 p-value from the model are provided) and the grey shading represents a 95% confidence interval.  
918

919 **Figure 6.** Among the natural populations, we observed growth-stress tolerance trade-offs in the  
920 Uncompaghre ( $R^2=0.24$ ,  $p=0.012$ ) and White River ( $R^2=0.17$ ,  $p=0.054$ ) National Forests where  
921 aspen with more growth (higher basal area increments, BAI) during wet periods (1980-1999) had  
922 xylem that was more vulnerable to the 2020 severe drought (higher percent loss of conductance,  
923 PLC). Points represent individual trees that are colored according to the population (National  
924 Forest). The lines represent linear model regression fits (coefficients of determination ( $R^2$ ), and  
925 p-values models model are provided on each figure) which are also colored according to the  
926 population. 95% confidence intervals have been omitted to aid in visual interpretation.  
927

928 **Figure 7.** Trait-mediated trade-offs related to increased drought resistance occurred in both the  
929 common garden and natural populations. In the common garden, propagules with less negative  
930 pressures at which 50% loss of hydraulic conductivity occurred ( $P_{50}$ ) had higher native (**A**,  $K_{s\text{-nat}}$ )  
931 and maximum (**B**,  $K_{s\text{-max}}$ ) hydraulic conductivity (i.e., more drought-vulnerable xylem).  
932 Propagules with more negative  $P_{50}$  also tolerated higher temperatures before 50% damage to  
933 photosystem II occurred (**C**,  $T_{50}$ ). In the natural populations, aspen trees with lower native (**D**,  
934  $K_{s\text{-nat}}$ ) and maximum (**E**,  $K_{s\text{-max}}$ ) hydraulic conductivity, and lower leaf mass per area (**F**, LMA)  
935 during the severe drought of 2020 experienced heightened canopy dieback (mortality) in 2021.  
936 Points in A-C represent individual propagules, while points in D-F represent plot-level averages.  
937 Black lines represent linear model regression fits (coefficients of determination ( $R^2$ ), and p-

938 values models model are provided on each figure). Grey shading represents 95% confidence  
939 intervals. The seedling and tree icons indicate if the results occurred in the common garden or  
940 natural populations, respectively.

941

942 **Table S1.** Seasonal climate data for each population as estimated by TerraClimate.

943 **Table S2.** Microsatellite allele sizes and frequencies (Freq) for each locus observed in each  
944 population.

945 **Table S3.**  $F_{ST}$  values determined to estimate genetic variation among the 5 populations/national  
946 forests.

947 **Table S4.** Genotype table for mature aspen trees and garden propagules.

948 **Table S5.** Statistics for basal area increment (BAI) chronologies for each population/national  
949 forest.

950 **Table S6.** Analytical results for mixed-effects models.

951 **Figure S1.** Chronologies for each natural aspen population based on basal area increment (BAI)  
952 measurements.

953 **Figure S2.** Linear relationship between basal area increment (BAI) during the wetter years of  
954 1980-1999 and tree age.

955 **Figure S3.** Measurements taken during the 2021 drought experiment in the common garden.

956 **Figure S4.** There were population-specific differences in trait plasticity index values although  
957 plasticity was typically low, especially among propagules that originated from the Dixie  
958 population.

959 **Figure S5.** Linear relationships between basal area increment BAI during the wetter years of  
960 1980-1999 and canopy dieback in 2021 (A) and (BAI) during the severe drought year of 2018  
961 and canopy dieback in 2021 (B).

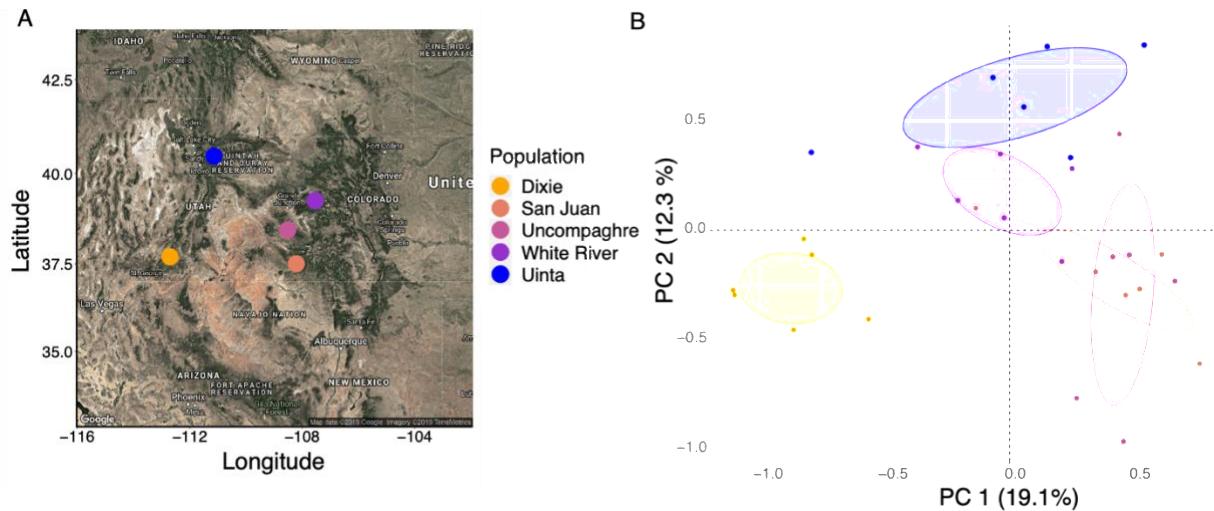
962 **Figure S6.** Linear regressions for drought resistance traits measured at the end of the drought  
963 treatment compared with relative growth rate in height ( $RGR_{height}$ ) prior to the drought treatment  
964 in the common garden.

965 **Figure S7.** Linear regressions for plot-level drought resistance traits compared with 2021  
966 mortality (canopy dieback) among the natural populations.

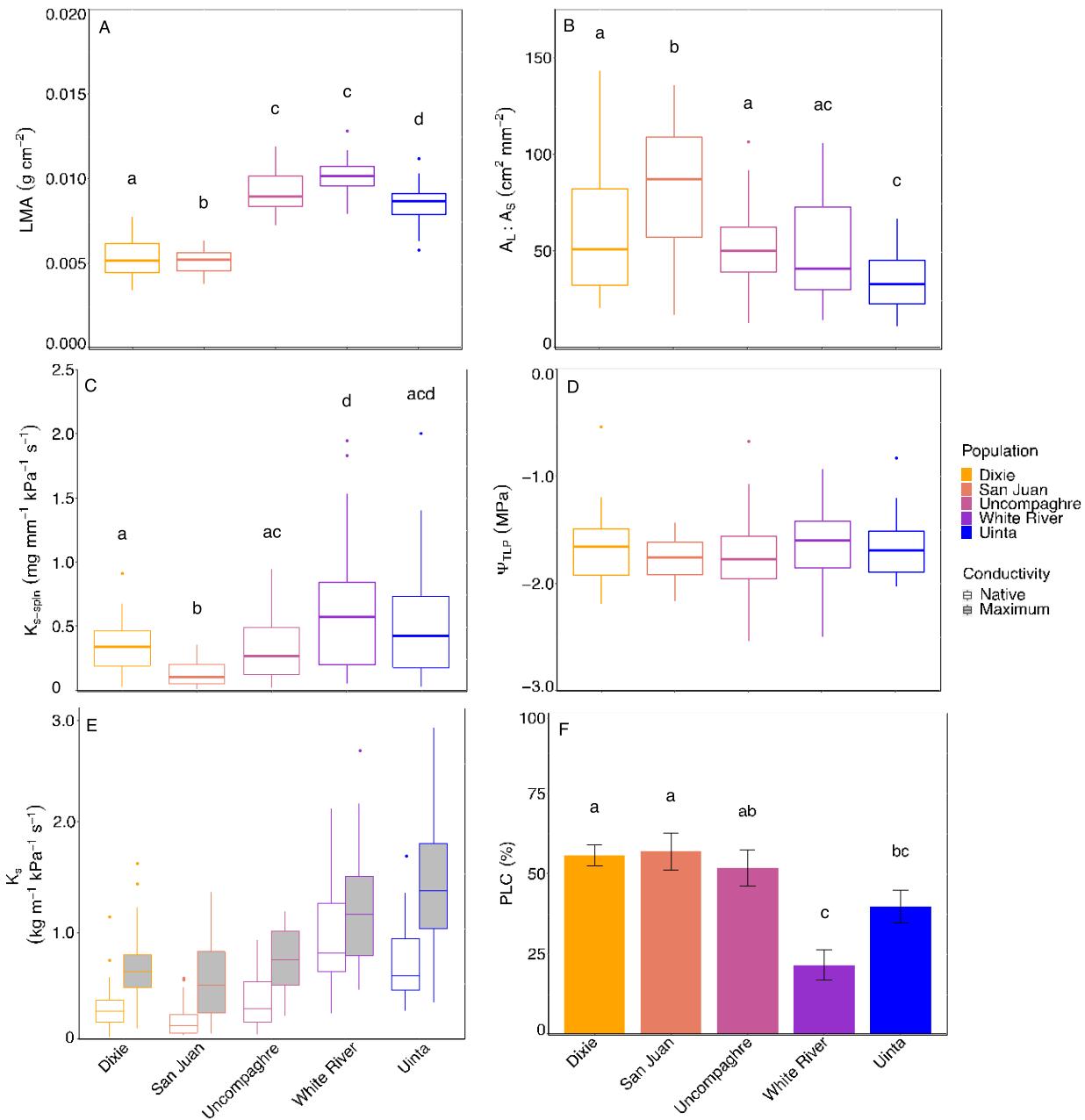
967 **Figure S8.** At the end of the drought experiment, there was more aboveground growth in  
968 propagules within the control treatment compared to propagules within the drought treatment.

969 **Figure S9.** Linear relationships between end of drought treatment leaf water potential at the  
970 turgor loss point ( $\Psi_{TLP}$ ) and leaf osmotic potential at full turgor (**A**,  $\Psi\pi_{100}$ ), cell wall elasticity  
971 (**B**,  $\varepsilon$ ), and apoplastic water fraction (**C**, AWF).

972



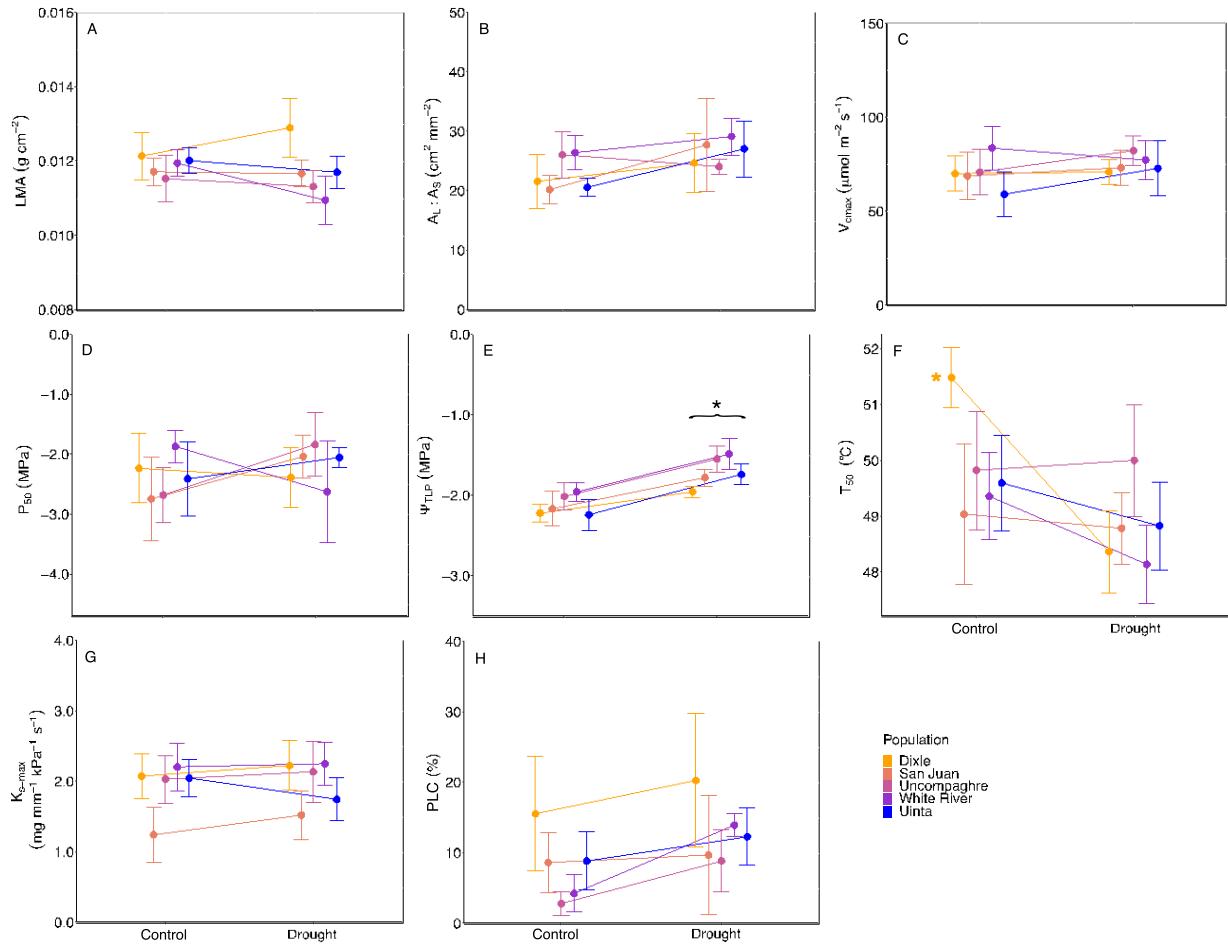
973  
 974 **Figure 1.** Genetic variation between the aspen populations seems to be driven by geographic  
 975 distance. **(A)** Five natural populations of aspen were selected from National Forests (NF) in Utah  
 976 and Colorado, USA: Dixie NF, San Juan NF, Uncompaghre NF, White River NF, and Uinta NF.  
 977 **(B)** The two major axes of the principal components analysis indicate allele variation seems  
 978 linked to geographic variation. Colored points indicate the geographic location of populations,  
 979 and each point represents a genetically distinct clone (N=6 clones per population) and ellipses  
 980 represent 95% confidence intervals.  
 981



982

983 **Figure 2.** Measurements taken during the severe drought of 2020 showed that aspen trees from  
 984 the hotter/drier populations (Dixie and San Juan) had thinner leaves, more leaf area, and xylem  
 985 that was more vulnerable to drought. Measurements include: leaf mass per area (**A**, LMA), the  
 986 ratio of leaf area to sapwood area (**B**,  $A_L:A_S$ ), hydraulic conductivity after a cavitation-inducing  
 987 pressure of -2.5 MPa (**C**,  $K_{s\text{-spin}}$ ), leaf water potential at turgor loss point (**D**,  $\Psi_{TLP}$ ), native and  
 988 maximum (before and after embolism removal, respectively) hydraulic conductivity (**E**,  $K_s$ ), and  
 989 percent loss of conductance (**F**, PLC). Boxplots (A-E) represent median values (center bar),

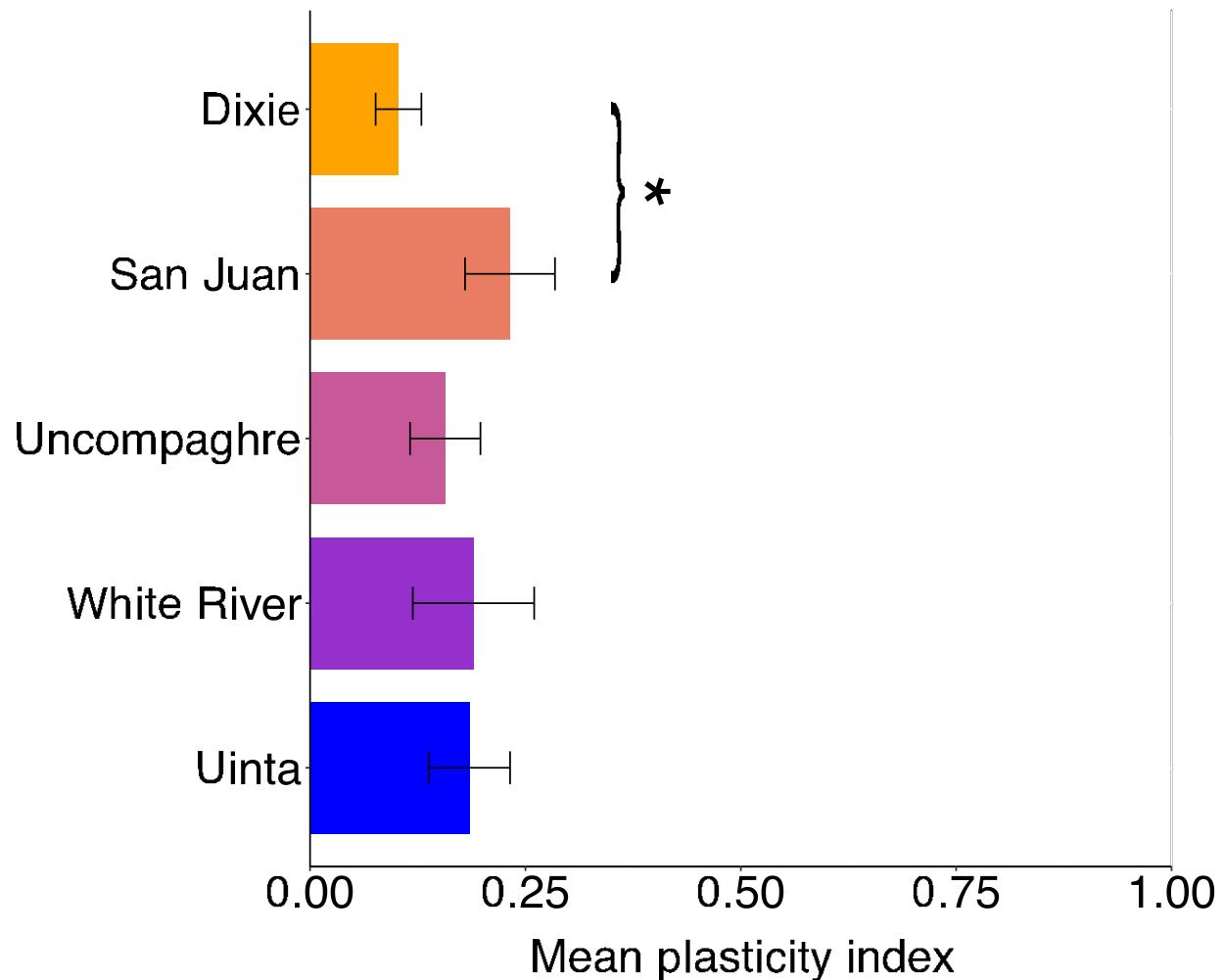
990 interquartile ranges (IQR, box edges), values at most 1.5\*IQR from box edge (error bars), and  
991 outlying points (circular points). Bars (F) represent mean PLC and error bars represent one  
992 standard error. Significant results have unique letters while nonsignificant results share the same  
993 letters. Statistical results have been omitted from E to aid in visual interpretation.  
994



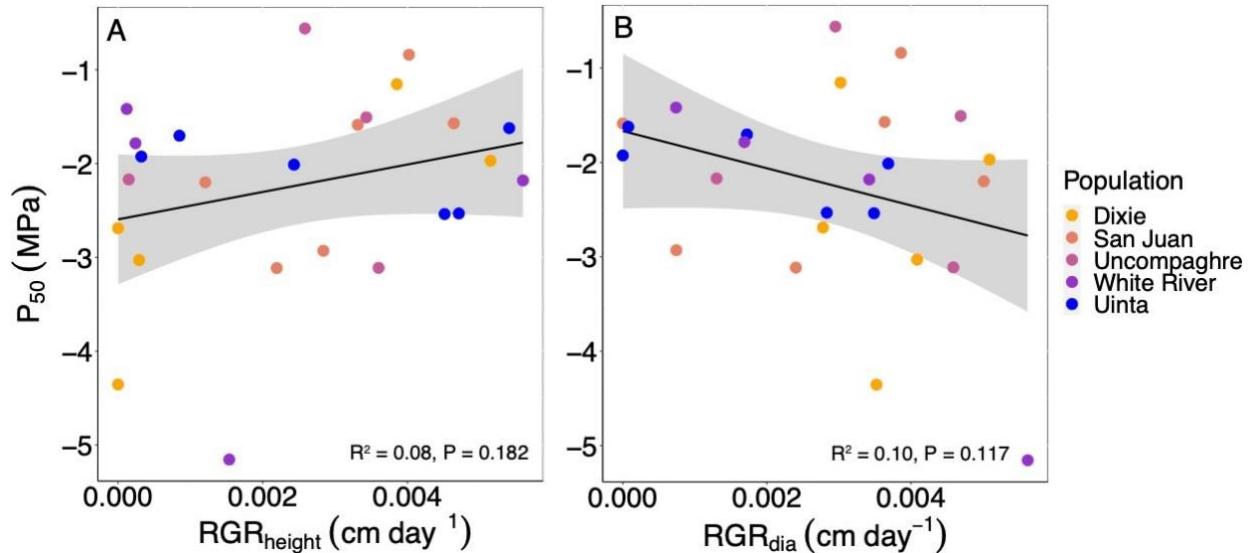
995

996 **Figure 3.** Measurements taken in the common garden at the end of the drought treatment showed  
 997 low within-species and between-treatment differences. Leaf water potential at the turgor loss  
 998 point ( $\Psi_{\text{TLp}}$ ) was significant less negative in propagules that received the drought treatment, and  
 999 the Dixie propagules had a significant reduction in temperature that caused 50% damage to  
 1000 photosystem II ( $T_{50}$ ) under the drought treatment. Measurements include: leaf mass per area (A,  
 1001 LMA), the ratio of leaf area to sapwood area (B, AL:AS), maximum rate of carboxylation (C,  
 1002  $V_{\text{cmax}}$ ), pressure at which 50% loss of hydraulic conductivity occurs (D,  $P_{50}$ ),  $\Psi_{\text{TLp}}$  (E),  $T_{50}$  (F),  
 1003 maximum hydraulic conductivity (G,  $K_{s-\text{max}}$ ), and percent loss of conductance (H, PLC). Points  
 1004 represent mean values and error bars represent one standard error. The asterisks indicate  
 1005 statically significant differences where  $p < 0.05$ . The black asterisk indicates a treatment-level  
 1006 significant result, and the yellow asterisk indicates a population-level significant result.

1007

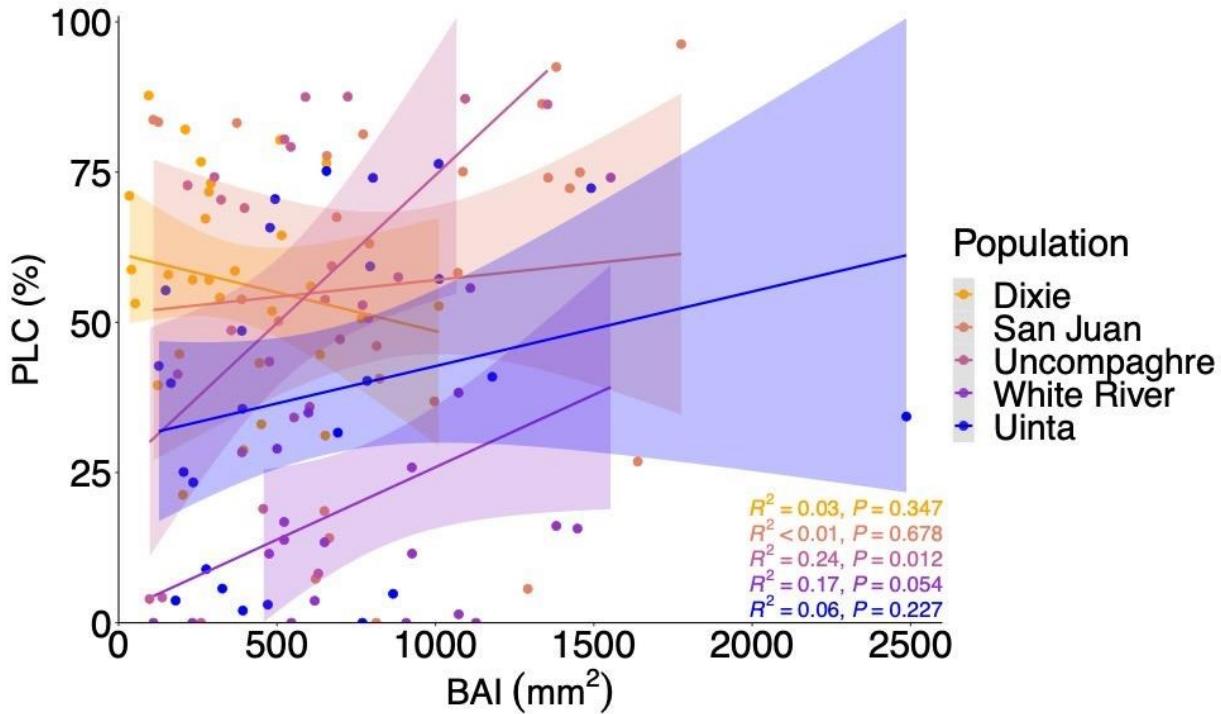


1008  
1009 **Figure 4.** Average plasticity indexes for each population (determined by averaging across all  
1010 growth and trait plasticity indexes as shown in Fig. S4). Bars represent mean plasticity index and  
1011 error bars represent one standard error. The asterisk indicates a significant difference between  
1012 propagules that originated from the Dixie and San Juan National Forests ( $p < 0.05$ ).  
1013

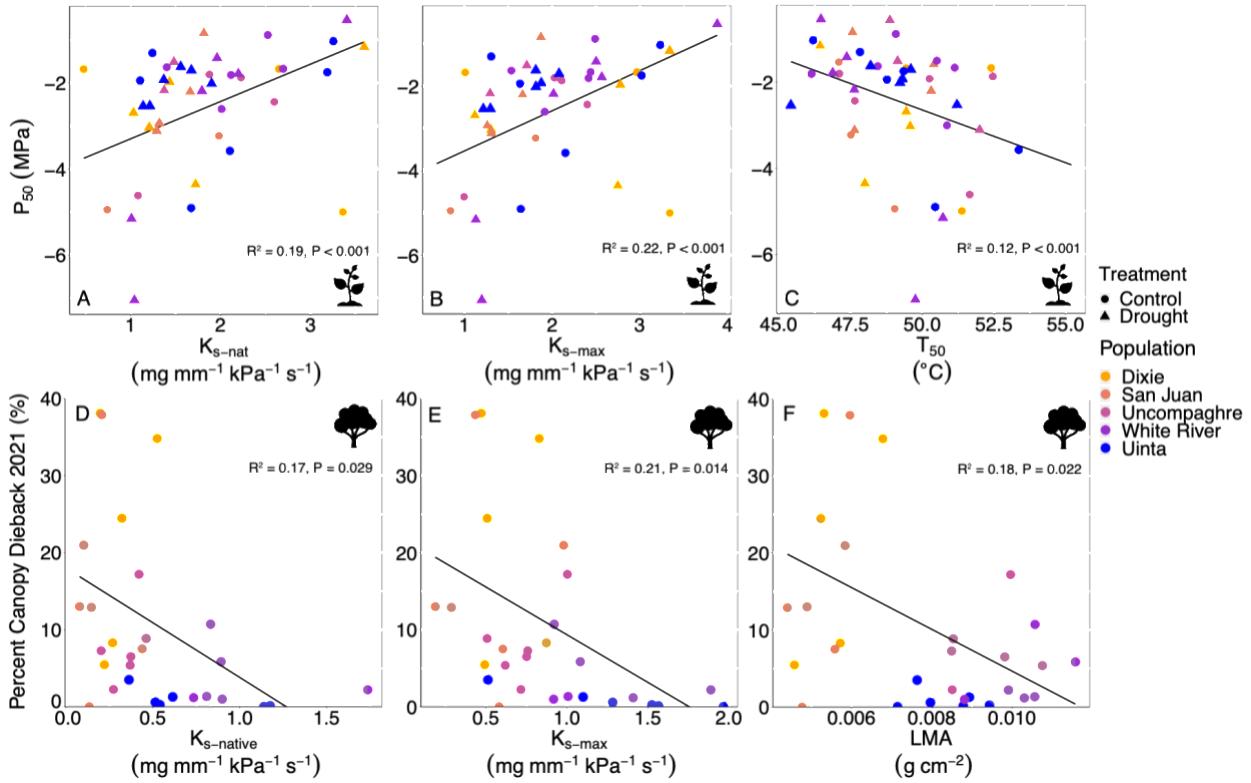


1014

1015 **Figure 5.** Among the propagules that received a drought treatment in the common garden, there  
 1016 was no significant trade-off between growth under wet conditions and survival under drought  
 1017 conditions as indicated by the linear relationship between relative growth rate in height (A,  
 1018  $RGR_{height}$ ) or diameter (B,  $RGR_{dia}$ ) prior to the drought treatment and the pressure at which 50%  
 1019 loss of hydraulic conductivity occurred ( $P_{50}$ ) at the end of the drought treatment. Points represent  
 1020 individual propagules that are colored according to the population (National Forest) of origin.  
 1021 The black line represents a linear model regression fit (the coefficient of determination ( $R^2$ ) and  
 1022 p-value from the model are provided) and the grey shading represents a 95% confidence interval.  
 1023



1024  
1025 **Figure 6.** Among the natural populations, we observed growth-stress tolerance trade-offs in the  
1026 Uncompaghre ( $R^2=0.24, p=0.012$ ) and White River ( $R^2=0.17, p=0.054$ ) National Forests where  
1027 aspen with more growth (higher basal area increments, BAI) during wet periods (1980-1999) had  
1028 xylem that was more vulnerable to the 2020 severe drought (higher percent loss of conductance,  
1029 PLC). Points represent individual trees that are colored according to the population (National  
1030 Forest). The lines represent linear model regression fits (coefficients of determination ( $R^2$ ), and  
1031 p-values models model are provided on each figure) which are also colored according to the  
1032 population. 95% confidence intervals have been omitted to aid in visual interpretation.  
1033



1034

1035 **Figure 7.** Trait-mediated trade-offs related to increased drought resistance occurred in both the  
 1036 common garden and natural populations. In the common garden, propagules with less negative  
 1037 pressures at which 50% loss of hydraulic conductivity occurred ( $P_{50}$ ) had higher native (A,  $K_{s\text{-native}}$ )  
 1038 and maximum (B,  $K_{s\text{-max}}$ ) hydraulic conductivity (i.e., more drought-vulnerable xylem).  
 1039 Propagules with more negative  $P_{50}$  also tolerated higher temperatures before 50% damage to  
 1040 photosystem II occurred (C,  $T_{50}$ ). In the natural populations, aspen trees with lower native (D,  
 1041  $K_{s\text{-native}}$ ) and maximum (E,  $K_{s\text{-max}}$ ) hydraulic conductivity, and lower leaf mass per area (F, LMA)  
 1042 during the severe drought of 2020 experienced heightened canopy dieback (mortality) in 2021.  
 1043 Points in A-C represent individual propagules, while points in D-F represent plot-level averages.  
 1044 Black lines represent linear model regression fits (coefficients of determination ( $R^2$ ), and p-  
 1045 values models model are provided on each figure). Grey shading represents 95% confidence  
 1046 intervals. The seedling and tree icons indicate if the results occurred in the common garden or  
 1047 natural populations, respectively.