Origin of Ultralow Thermal Conductivity in Metal Halide Perovskites

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2 Abstract

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Resulting from their remarkable structure-property relationships, metal halide perovskites have garnered tremendous attention in recent years for a plethora of applications. For instance, their ultralow thermal conductivities make them promising candidates for thermoelectric and thermal barrier coating applications. It is widely accepted that the 'guest' cations inside the metal halide framework act as 'rattlers', which gives rise to strong intrinsic phonon resistances, thus explaining the structure-property relationship dictating their ultralow thermal conductivities. In contrast, through systematic atomistic simulations, we show that this conventionally accepted 'rattling' behavior is not the mechanism dictating the ultralow thermal conductivities in metal halide perovskites. Instead, we show that the ultralow thermal conductivities in these materials mainly originate from the strongly anharmonic and mechanically soft metal halide framework. By comparing the thermal transport properties of the prototypical fullyinorganic CsPbI₃ and an empty PbI₆ framework, we show that the addition of Cs⁺ ions inside the nanocages leads to an enhancement in thermal conductivity through vibrational hardening of the framework. Our extensive spectral energy density calculations show that the Cs⁺ ions have well-defined phase relations with the lattice dynamics of the 'host' framework resulting in additional pathways for heat conduction, which is in disagreement with the description of the individual 'rattling' of guests inside the framework that has been widely assumed to dictate their ultralow thermal conductivities. Furthermore, we show that an efficient strategy to control the heat transfer efficacy in these materials is through the manipulation of the framework anharmonicity achieved via strain and octahedral tilting. Our work provides the fundamental insights into the lattice dynamics that dictate heat transfer in these novel materials, which will ultimately help guide their further advancement in the next-generation of electronics such as in thermoelectric and photovoltaic applications.

26 Keywords

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Lead halid perovskites, thermal conductivity, phonon transport, octahedral tilting, anharmonicity

Owing to their remarkable physical properties such as enhanced carrier lifetimes, 1-4 facile process-

28 I. Introduction

ability, 5-9 high absorption coefficients, 10 and ultralow thermal conductivities, 11-13 metal halide perovskites are currently one of the most intriguing and widely studied materials. Their physical attributes position them as prime candidates for use in applications such as the next generation of photovoltaics, 14-16 light-emitting diodes, 17-19 lasers, 20,21 optoelectronics, 22,23 and thermoelectrics. 24

The remarkable physical properties of metal halide perovskites mostly arise from their ABX3-type crystalline structure where the corner-sharing BX3-octahedra with metals (e.g., Pb2+, Sn2+) at the center and halide anions (e.g., Cl-, Br-, I-) at the corners form a network of three-dimensional anion cage capable of hosting A-site cations (e.g., Cs+ or an organic cations such as methylam-monium, MA+). Most notably, accompanying the soft and highly flexible framework 25 of metal halide perovskites is the coexistense of a variety of highly anharmonic motions of the different ions, 26 that are shown to be responsible for not only their unrivaled optoelectronic properties, 27-29 but also for their unique vibrational physics dictating their ultralow thermal conductivities. 12,25,30-33

However, a complete understanding of the influence of the intrinsic anharmonicity, especially in terms of the dynamic motion of the A-site cation, on the ultralow thermal conductivities in perovskites is still lacking. This lack of understanding limits our ability to properly engineer their thermal transport properties, which is one of the main factors underpinning their applicability for the aforementioned applications.

In general, dating back to the early work by Peierls in 1929, ³⁴ the description of heat conduction 48 in most nonmetallic crystals (and the lattice contribution of metals) have been rooted in the widely accepted phonon gas models (PGM). 35,36 In the PGM, heat is primarily carried by propagating 50 wave packets with well-defined group velocities (forming the diagonal elements of the phonon ve-51 locity operator), 37 thus resembling gas particles moving and scattering with each other to conduct heat. Although this model has been extensively used over the past several decades to describe and 53 predict heat conduction in many classes of solids, ^{38–44} for disordered systems and complex crystals 54 with large unit cells, theories reliant on the PGM fail to capture the intrinsic mechanisms responsible for the overall heat transfer. 45-48 This has mainly been ascribed to the fact that the heat carrying vibrations in these systems lack plane wave characteristics and do not possess well-defined group 57 velocities that are pre-requisites for correctly predicting vibrational heat transfer via the PGM. In such scenarios, where Peierls' PGM breaks down, a different theory pioneered by Allen and Feldman has been successful in describing the glass-like thermal conductivities. 45,49 Their description of heat conduction (in contrast to the PGM) is rooted in the harmonic theory for glasses where vibrational modes couple and transfer their energies (differently than plane waves) through the off-diagonal elements of the velocity operator. Subsequently, recent theoretical advancements have extended this harmonic formulation by Allen and Feldman to anharmonic systems where the phonon linewidths are larger than the inter-branch spacings; 47,48 for some complex crystals with large unit cells, the phonon branches are closely bunched together and the strong anharmonicity leads to large broadening of the branches that ultimately result in ultralow or glass-like thermal conductivities for those crystalline solids.

In metal halide perovskites, thermal transport has been shown to be at an intersection of the

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crystal-like and the glass-like regimes, where the disorder from the soft BX₃ framework along with the coupling of the vibrational modes of the framework with the resonant vibrations of the guest A-site cations renders the conventional PGM insufficient in describing the heat conduction. $^{32,50-52}$ Rather, the description of transport has been explained through a mixed phononic and non-phononic characteristics, with the low-frequency acoustic modes (that are dictated by the inorganic framework) retaining features of phononic transport, while the higher frequency optical modes contribute via the off-diagonal components of the velocity vector to the overall thermal conductivity. 32,47,52 In fact, utilizing a unified theory of thermal conductivity for solids that takes into account both the phononic and non-phononic characteristics, Simoncelli *et al.* 47 have shown that the majority of the contribution ($\sim 70\%$) to the overall thermal conductivity in CsPbBr₃ comes from these non-phononic vibrations at room temperature. Moreover, their ultralow thermal conductivities are thought to mainly arise from strong anharmonicity induced from the localized modes originating from the 'rattling' motion of the Cs⁺ ions inside the perovskite cages. 33,52

The 'rattling' picture has been widely accepted to be the main factor suppressing the lattice thermal conductivity in many framework structures where these localized anharmonic vibrations scatter the long wavelength acoustic phonons in clathrates, ^{53–56} skutterudites, ^{57–59} and other complex unit cell compounds. ^{60,61} This 'rattling' behavior is mainly prescribed to the weakly bound dynamics of the cations occupying the nanocages. In this regard, for metal halide perovskites, first-principles calculations have shown that the band crossings (and hybridizations) between acoustic modes dictated by the BX₃ framework and optical modes originating from the Cs rattling motion lead to the unprecedented anharmonicity and ultralow thermal conductivities in these materials. ^{33,52,62} Recently, several works have been carried out to study the interactions between the A-site cations and the inorganic framework and their effect on the overall heat transfer across metal halide pervoskites. ^{63–68} For instance, Hata *et al.* employed *ab initio*-based MD simulations on MAPbI₃ and showed that the coupled translational and rotational motions of MA⁺ cations interact with and scatter the heat carrying acoustic phonons and low-lying optical modes associated with the Pb-I framework resulting in their reduced thermal conductivity. ⁶³ Similarly, Yue *et al.*'s fully first-

principles calculations on MAPbI₃ demonstrated that the collective motions of the organic cluster interacting with the inorganic cage drags thermal transport in these materials. 65 Experimentally, by measuring the thermal conductivities of MAPbI₃ and MAPbBr₃ nanowires, Wang et al. ⁶⁸ have shown that the larger lattice spacing with the smaller bromide atoms leads to more dynamic disor-100 der of the MA⁺ cations and lower resulting thermal conductivities in MAPbBr₃. They also show 101 that the thermal conductivity in CH₃NH₃PbX₃ nanowires (X= I, Br) is significantly reduced due 102 to cation dynamic disorder as compared to that of CsPbBr₃ nanowires. Likewise, Elbaz et al. 13 103 employed frequency-domain thermoreflectance measurements to report varying thermal conduc-104 tivities in the range of 0.34 to 0.73 W m⁻¹ K⁻¹ for various metal halide perovskites including 105 MAPbX₃ (X= Cl, Br, I), CsPbBr₃, and FAPbBr₃. All of these aforementioned works highlight 106 the strongly anharmonic nature of the metal halide frameworks and the dynamic disorder of the 107 cations inside the cages that ultimately reduce the overall thermal transport in these materials. 108

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While it is intuitive to consider the reduction in thermal conductivity due to the dynamic disorder introduced from the rattling motion of the Cs atoms inside the relatively oversized cages, it could also be expected that filling of the void spaces in the highly anharmonic and soft metal halide frameworks could also potentially lead to an increase in the efficacy of the heat transfer; in solids, increase in atomic density is typically associated with higher thermal conductivities, ^{69,70} which suggests that filling the nanopores in BX₃ framework should result in an overall increase in thermal conductivity. Moreover, the insights gained from first-principles calculations on metal halide perovskites that only consider three-phonon interactions ^{33,47,52} might not be sufficient to capture all the higher-order vibrational interactions that dictate the anharmonicity (and ultimately the thermal properties) in these systems. In this regard, molecular dynamics (MD) simulations offer the prospect of capturing the true anharmonicity through intrinsically incorporating all the higher order interactions (that include the interactions between the A-site cations and the soft octahedral framework), thus allowing for the complete description of their vibrational physics.

Here, by conducting systematic MD simulations on CsPbI₃, the prototypical fully-inorganic metal halide perovskite, we comprehensively show that the reduced thermal conductivity in these

perovskites is not the consequence of the rattling of Cs⁺ ions inside the nanocages, but is the result of the intrinsically and strongly anharmonic nature of the PbI₆ octahedral framework. Specifically, by comparing the thermal properties of empty (without the A-site cation, Cs⁺ ions) and 126 filled CsPbI₃ structures, we show that the Cs⁺ ions indirectly facilitate heat transfer by hardening 127 the vibrational modes of the soft octahedral framework, a result which is counterintuitive to the 128 'rattling' picture associated with lowering the thermal conductivity of similar framework materi-129 als. 53-61 From our extensive spectral energy density (SED) calculations, we also reveal that (on 130 average) the anharmonicity is increased and the individual phonon lifetimes are reduced by filling 131 the nanocages which in the PGM, typically leads to the reduction in the thermal conductivity. In 132 contrast, from our Green-Kubo (GK) calculations of thermal conductivity under the equilibrium 133 MD framework that fully accounts for the intrinsic anharmonicities of the systems, we find that 134 the thermal conductivity of the empty perovskite is reduced in comparison to the filled CsPbI₃ 135 structure. We unequivocally show that the additional channels due to the vibrational hardening 136 of the framework leads to the overall increase in the thermal conductivity of the CsPbI₃ structure 137 as compared to the empty PbI₆ framework, which is in direct contrast to the conventionally ac-138 cepted picture of 'rattling' where the dynamics of the A-site cations are ascribed to dominate the 139 phonon scattering mechanisms leading to their ultralow thermal conductivities. Finally, we show that a strategy to further lower the thermal conductivity in these highly anharmonic perovskites is through increasing the octahedral tilting that results in increased disorder of the framework, which is key to controlling the heat conduction mechanisms in metal halide perovskites.

4 II. Results and Discussions

Figure 1 shows the temperature dependent thermal conductivities for our CsPbI₃ and an empty PbI₆ framework structures. For our CsPbI₃ structure (γ -orthorhombic phase), the room temperature thermal conductivity of $0.56\pm0.06~W~m^{-1}~K^{-1}$ agrees very well with the experimentally determined value of $0.45\pm0.05~W~m^{-1}~K^{-1}$ for CsPbI₃ nanowires (δ -orthorhombic phase). ¹² We

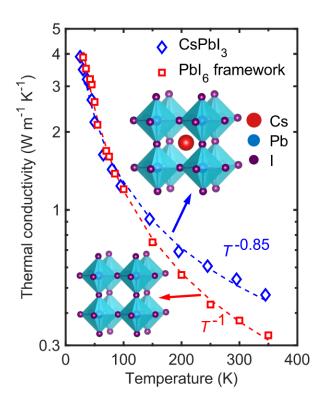


Figure 1: Calculated thermal conductivities as a function of temperature for CsPbI $_3$ compared to an empty PbI $_6$ framework structure without the Cs $^+$ ions occupying the void spaces between the octahedras. For both structures, the thermal conductivity follows a temperature dependence akin to crystalline solids, which has been attributed to anharmonic phonon-phonon scattering. The slightly weaker temperature dependence for the CsPbI $_3$ (with $\sim T^{-0.85}$ trend) suggests that along with anharmonic scattering, disorder scattering due to the Cs $^+$ ions also plays a role as compared to the empty case where it follows the typical T^{-1} trend. The higher thermal conductivities at higher temperatures for CsPbI $_3$ as compared to the empty framework suggests that the inclusion of Cs $^+$ ions inside the octahedral cages leads to additional channels of heat transfer.

note that the uncertainties in our reported thermal conductivities are determined from five independent simulations and are in the range of 10-12% for all reported thermal conductivities throughout this work. The slight discrepancies between the experiments ¹² and our MD results might arise due to variation in CsPbI₃ phase along with the classical nature of MD simulations where all the vibrational modes are activated at all temperatures and fails to replicate the quantum effects prevalent in experimental measurements at temperatures below the Debye temperature of the material. For both structures, the thermal conductivities show a crystalline-like behaviour where the thermal conductivity decreases with increasing temperature, which is attributed to anharmonic phonon-phonon scattering mechanisms (or Umklapp scattering processes). In comparison to the empty PbI₆ struc-

ture, our CsPbI₃ shows a comparatively reduced temperature dependence. This deviation from the typical 1/T dependence is indicative of disorder scattering introduced by filling the nanocages 159 with the guest Cs⁺ ions. ^{38,40,43} More interestingly, although the thermal conductivities of the filled 160 and empty structures are similar for lower temperatures below 100 K, at higher temperatures the 161 thermal conductivity of the filled structure is higher (by a factor of ~ 1.5 at room temperature). 162 This is surprising since it is widely believed that in such framework systems, the rattling of the 163 'guest' ions (Cs⁺ in this case), is expected to lower the thermal conductivity. ^{12,33,52} As such, fill-164 ing the nanocages with the Cs⁺ ions should have lowered the thermal conductivity throughout the 165 entire temperature range if the rattling scenario was the dominant scattering mechanism. Instead, 166 the relatively higher thermal conductivities of the filled structure suggest that additional channels 167 of heat transfer are introduced by filling the voids with the Cs⁺ ions. We note that the crystalline 168 structure of the PbI₆ framework remains intact and there are no considerable changes in the radial 169 distribution function of the atoms with the removal of the Cs⁺ ions (Fig. S6). 170

To understand the intrinsic role of Cs⁺ ions in dictating the temperature-dependent thermal 171 conductivity of CsPbI₃, we compare the vibrational density of states (DOS) of the PbI₆ framework 172 in the filled and empty structures as shown in Fig. 2a. For both temperatures, 50 K (Fig. 2a) and 173 300 K (Fig. S8), considerable vibrational hardening is observed for the filled structure as compared 174 to the empty framework. Moreover, additional vibrational modes (near \sim 2 THz frequency as highlighted by the arrow in Fig. 2a) appear more pronounced in the vibrational spectrum of the PbI₆ framework in the filled structure as compared to the empty structure. These additional modes in the filled structure are responsible for carrying a substantial amount of heat (see Fig. S9) and ultimately 178 leads to the higher thermal conductivities for the filled case as compared to the empty structure at 179 higher temperatures (see Fig. 1). In fact, our spectrally decomposed heat flux calculations show 180 that frequencies >1.5 THz (that are predominantly optical phonons) contribute $\sim 70\%$ of the total 181 room temperature thermal conductivity in both structures as shown in Fig. S9. Similar observations 182 of high contributions from optic modes have been reported for Cs₂SnI₆, ⁵² CsPbBr₃, ⁴⁷ and other 183 low thermal conductivity solids. ^{71,72} The additional modes arise due to the increase in the stiffness

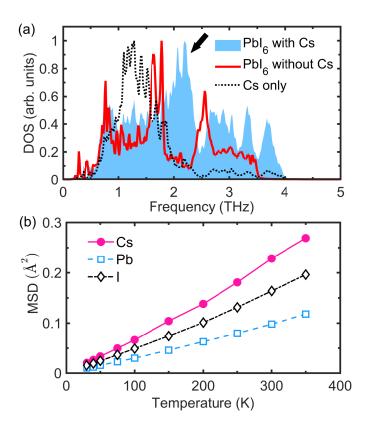


Figure 2: (a) Vibrational density of states of the PbI_6 framework for $CsPbI_3$ with and without the Cs^+ ions occupying the nanocages at 50 K. For comparison, the DOS of the Cs^+ ions for $CsPbI_3$ are also shown. Considerable phonon hardening occurs with the inclusion of the Cs^+ ions. The density of vibrational modes ~ 2 THz also increase with the addition of the Cs^+ ions. (b) Calculated mean square displacements as a function of temperature for the different atomic species in $CsPbI_3$. At low temperatures, the MSDs of the atoms are similar. At higher temperatures, the MSD of Cs^+ ions increase more rapidly, signifying that these ions experience larger thermal displacements.

that is observed when the cages are filled with the Cs cations (see Fig. S13).

Figure 2b shows the mean square displacement (MSD) of the various atomic species as a function of temperature for our CsPbI₃ domain. At low temperatures (<100 K), the MSD for all three atomic species are similar, but with increasing temperature, the MSD of the Cs⁺ ions increase more rapidly. This highlights the potential role of Cs⁺ ions in dictating the difference in the temperature dependent thermal conductivity behavior for the filled and empty structures where the thermal conductivities are similar at lower temperatures and deviates at higher temperatures where the dynamic motion of the Cs⁺ ions are more pronounced. Typically, this pronounced motion of the guest atoms have routinely been associated with anharmonic rattling modes responsible for the

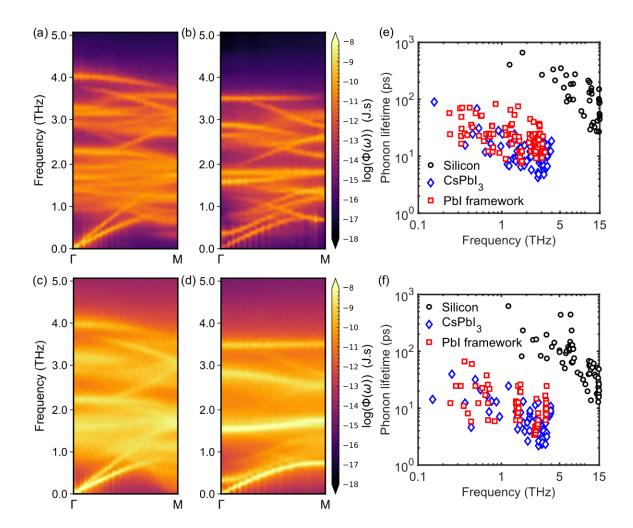


Figure 3: Calculated phonon spectral energy densities for (a) CsPbI₃ (b) empty PbI₆ framework at 50 K. Calculated phonon spectral energy densities for (c) CsPbI₃ (d) empty PbI₆ framework at 300 K. The shading indicates the magnitude of the spectral energy density. The number of optic modes in the 1-3 THz range is significantly reduced with the removal of the Cs⁺ ions from the nanocages, which also results in phonon softening. Considerable broadening in the spectral energy density at the higher temperature signifies reduced phonon lifetimes of the vibrational modes as shown in (e) at 50 K and (f) 300 K. In comparison to the phonon lifetimes in a typical semiconductor such as silicon (filled circles), the phonon lifetimes in CsPbI₃ is more than an order of magnitude lower, which highlights their strongly anharmonic nature. On average, the phonon lifetimes in the CsPbI₃ structure is lower than in the empty PbI₆ framework.

strong intrinsic phonon resistance and suppression of thermal conductivity in skutterudites, ^{57–59} clathrates, ^{53–56} and half-Heuslers. ^{73,74} While this may, in part, present phonon resistive processes due to avoided crossings in the acoustic phonon modes, the role of the guest atoms in metal halide perovskites is more complicated than just the 'rattling' picture in terms of dictating their thermal

transport mechanisms. More specifically, these guest atoms are responsible for additional modes that facilitate heat transfer indirectly through the host framework as shown by our extensive analyses discussed below.

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To gain further insights into the role of Cs⁺ ions in dictating the thermal conductivity of CsPbI₃, 201 we perform phonon SED calculations for both the filled and empty structures; 75,76 further details 202 of the calculations are given in the Methods section. Figures 3a-d show the comparison of SED for 203 our filled and empty structures at low (50 K) and high (300 K) temperatures. For both temperatures, 204 we find that the structures possess considerable anharmonicity as compared to a typical crystalline 205 solid such as silicon (Fig. S12). This is represented by the higher contrast in the shading of the 206 plot, which relates to the higher magnitude of SEDs and is indicative of higher kinetic energies of 207 the vibrational modes. As such, the higher SEDs along with the increase in their broadening shows 208 that, on average, the vibrational modes in both our filled and empty structures experience consid-209 erable anharmonicity and vibrational scattering with shorter vibrational lifetimes as compared to 210 typical crystalline semiconductors such as silicon. This is exemplified in Figs. 3e and 3f, where we 211 show the phonon lifetimes as predicted from our SED calculations for our structures as compared 212 to that of silicon at 50 K and 300 K, respectively. For both temperatures, the phonon lifetimes 213 of the specific modes in silicon are at least an order of magnitude higher than the corresponding lifetimes for the modes in both the filled and empty structures. More interestingly, although less pronounced at the lower temperature, our SED and phonon lifetime calculations show that removing the Cs⁺ ions from the framework does not lead to a lesser broadening of the phonon modes as might be expected in the case of the 'rattling' behavior. In contrast, the addition of Cs⁺ ions 218 inside the nanocages leads to a better definition of the the wave-vectors for the longitudinal mode, 219 which appear to be considerably broadened for the empty case. Furthermore, even for the empty 220 structure, we observe low lying optic modes forming avoided crossings with the acoustic mode, 221 thus further emphasizing the intrinsic anharmonicity of the PbI₆ framework. However, consistent 222 with our DOS calculations, there are also higher densities of optical modes present in the 1-3 THz 223 range in the filled structure with similar phonon lifetimes albeit with larger dispersions of the optic

modes as compared to that in the empty PbI₆ framework. These additional optic modes carry a significant amount of heat at room temperature in the filled CsPbI₃ structure as shown in Fig. S9 of the Supporting Information from our spectrally decomposed heat flux calculations. This helps explain the higher thermal conductivities of the filled structure at relatively higher temperatures as shown 228 in Fig. 1. Recently, a unified transport theory of thermal conductivity in solids has identified wave-229 like tunneling between the tightly packed and anharmonic optical branches to considerably dictate 230 thermal conductivity at higher temperatures in these types of metal halide perovskite structures. 47 231 Considering this, the additional optical branches that are introduced by filling Cs⁺ ions inside the 232 cages (see Fig. 3) should result in greater heat conduction at higher temperatures from these modes 233 through wave-like tunneling across the tightly packed (and strongly anharmonic) optical branches. 234 Taken together, our comparison of the SED calculations for the empty and filled structures reveal 235 that the anharmonicity in these materials is strongly driven by the PbI₆ framework and the addition 236 of the Cs⁺ ions leads to more optic modes that could facilitate heat transfer in these materials. 237

In the context of the PGM, the reduced phonon lifetimes of the filled structure when compared to the empty case (Figs. 3e and 3f) would result in lower thermal conductivities for the filled case for both high and low temperatures. However, our GK calculations show that the thermal conductivity is similar at lower temperatures and, in contrast to the typical behavior of crystalline solids well described by the PGM, the thermal conductivity is higher for the filled case at higher temperatures even though the average phonon lifetimes are lower. Therefore, only considering the phonon lifetimes does not provide a comprehensive understanding of the vibrational physics in these highly anharmonic materials where the non-phononic characteristics largely dictates the heat conduction.

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In the 'rattling' description of thermal transport, it is expected that the decoupled dynamics of the 'guest' atoms serves as resistive decay channels for heat carrying acoustic modes of the 'host' framework. The However, separating the SED contributions of the Cs⁺ ions from that of the PbI₆ framework (as shown in Fig. 4), we find that the Cs⁺ ions are associated with the soft acoustic branches corresponding to the very low transverse ($v_{TA,g} = \sim 1054 \text{ m s}^{-1}$) and longitudinal ($v_{LA,g} = \sim 1054 \text{ m s}^{-1}$)

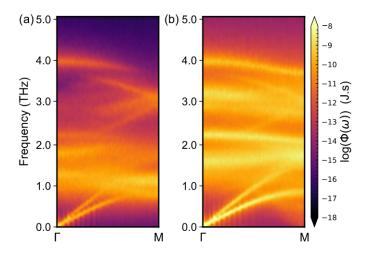


Figure 4: Calculated phonon spectral energy densities for (a) Cs^+ ions and (b) PbI_6 framework for our $CsPbI_3$ structure. The Cs^+ ions are associated with the soft acoustic branches. Along with the addition of higher densities of vibrations in the 1-2 THz range, the Cs^+ ions also show well-defined phase relations with the PbI_6 framework throughout the entire vibrational spectrum.

= \sim 2046 m s⁻¹) group velocities. Note, these sound speeds determined from our MD simulations 252 match very well with experimentally determined values for lead halide perovskites. 13 We also find 253 that there is well-defined phase relations between the framework and the A-site cations as shown 254 by the SED calculations in Fig. 4 throughout the entire vibrational spectrum. This again supports 255 the notion that the picture of freely 'rattling' A-site cations inside the metal halide framework (as 256 has been conventionally accepted to lower thermal conductivity) is not the dominant mechanism 257 dictating heat transfer in these perovskites. To support this further, we fictitiously change the 258 mass of Cs^+ ions (from 32 to 400 g mol⁻¹) to vary the spectral overlap with the PbI₆ framework. 259 As shown in Fig. S11, changing the mass has negligible influence on the temperature dependent 260 thermal conductivity. This suggests that Cs⁺ ions are indirectly affecting thermal transport since 261 it is the phonon hardening resulting from the interaction of the Cs⁺ ions with the PbI₆ framework 262 that leads to the additional channels of heat transfer in these materials. 263

To dig deeper into the role of the dynamic motion of the Cs⁺ ions in dictating the vibrational characteristics of CsPbI₃, we also perform additional simulations where we freeze the different degrees of freedom of Cs⁺ ions in CsPbI₃ structure and compare the temperature dependent thermal conductivity to the original CsPbI₃ domain considering all of the degrees of freedom as shown in

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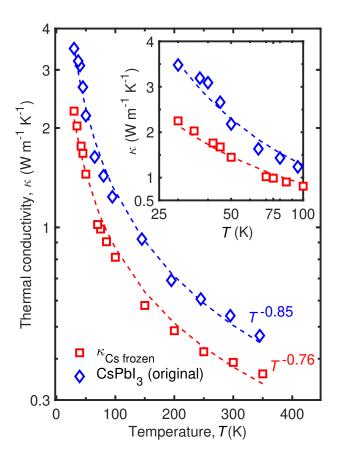


Figure 5: Thermal conductivity as a function of temperature for CsPbI₃ structure and a constrained CsPbI₃ domain where we restrict the degrees of freedom for the Cs⁺ ions (which are essentially frozen inside the nanocages). The constrained domain has a lower thermal conductivity for the entire temperature range, highlighting the role of Cs⁺ ions in providing additional channels of heat transfer.

Fig. 5. The thermal conductivity of the constrained domain is lower than the original structure throughout the temperature range with a reduced $T^{-0.76}$ trend. This reduction in thermal conductivity further supports our observation that the dynamic motion of the Cs⁺ ions facilitates heat transfer. Further SED calculations of the constrained domain as shown in Fig. S13 of the Supporting Information emphasizes the role of Cs⁺ ions in dictating the soft acoustic modes in CsPbI₃, where these modes vanish for the constrained domain. Moreover, the thermal conductivity of the constrained domain without the soft acoustic modes is reduced by \sim 30-40% across the entire temperature range in comparison to the thermal conductivity of the original structure. This alludes to the reduced contributions from the acoustic modes (with propagating nature) in CsPbI₃ since even

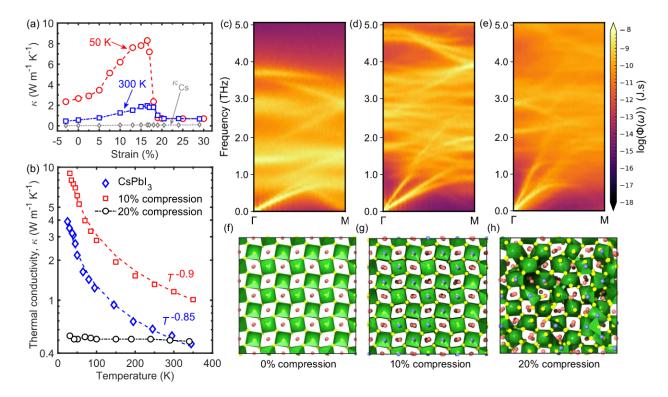


Figure 6: (a) Thermal conductivity as a function of hydrostatic strain at 50 K and 300 K temperature. For hydrostatic strain levels up to $\sim 18\%$, the thermal conductivity increases monotonically for both temperatures as a result of phonon hardening and increase in the group velocities of phonons. For higher strain levels, the thermal conductivity abruptly decreases, which we ascribe to disorder induced vibrational scattering from increased octahedral tilts. (b) Comparison of thermal conductivities as a function of temperature for our CsPbI₃ structures at 0\%, 10\%, and 20\% hydrostatic compressions. The structure with 10% compression shows a similar crystalline-like temperature trend, whereas increasing the hydrostatic compression to 20% leads a temperature independent thermal conductivity, which is indicative of disorder scattering dominated heat transfer. Calculated phonon spectral energy densities of CsPbI₃ structure at 300 K for (c) 3\% hydrostatic tensile strain (d) 10% percent and (e) 20% hydrostatic compressions. Considerable phonon hardening and group velocity increase leads to enhancement in thermal conductivity of the CsPbI₃ structure under 10% hydrostatic compression. Although further increase in hydrostatic strain levels of up to 20% leads to increase in group velocities for the acoustic modes near the Brillouin zone center, the group velocities for acoustic phonon modes away from the zone center is considerably reduced. This is attributed to enhanced disorder from increased octahedral tilting. Top view showing the PbI₆ framework tilt for (f) 0% percent (g) 10% percent and (h) 20% percent hydrostatic compressions.

without these modes (as in the case of our constrained structure), the thermal conductivity is only 30-40% lower than our original CsPbI₃ structure. This supports our spectrally decomposed heat flux calculations (Fig. S9) where we have shown the dominant role played by the higher frequency

optic modes (>1.5 THz). Moreover, this is in line with recent findings from Simoncelli *et al.*, 47 for CsPbBr₃ crystals where they calculate $\sim 30\%$ contribution from phonon-like propagating modes through the calculations from their unified theory.

Finally, to understand the driving mechanism behind the ultralow thermal conductivities in 283 these perovskites, we perform GK calculations on CsPbI3 structures with varying levels of hy-284 drostatic strain in order to influence their anharmonic and mechanically soft nature. Note, the 285 interatomic potential utilized in this work has been specifically developed to correctly reproduce 286 the total energy of the lead halide perovskites under hydrostatic deformations along with correctly 287 replicating the energy profiles of the A-site cations that reorient with respect to the deforming 288 framework. 78 As shown in Fig. 6a for both the high and low temperatures, we find that the thermal 289 conductivity increases as a function of hydrostatic compressive strains up to \sim 18%. For higher 290 strain levels, however, the thermal conductivity decreases sharply to a value of ${\sim}0.5~W~m^{-1}~K^{-1}$ 29 for both temperatures. It is also interesting to note that there is negligible contribution directly from 292 the Cs⁺ ions towards the total thermal conductivity, which is mainly dictated by the PbI₆ frame-293 work. The increasing trend in thermal conductivity with the application of compressive strain can 294 be attributed to the vibrational hardening of the PbI₆ framework. This is evident from the MSDs 295 of the atoms where the MSD of both the PbI₆ framework and Cs⁺ ions decreases considerably throughout the temperature range (see Fig. S10). However, there is negligible contribution from 297 Cs⁺ ions (Fig. 6a) in the overall thermal conductivity for all strain levels, showing that the PbI₆ framework dictates thermal transport. Further temperature-dependent calculations for domains under the 10% and 20% strain levels (Fig. 6b) show that while the crytalline-like temperature 300 trend holds for 10% strain, the temperature trend (or the lack thereof) for the highly strained case 30 demonstrates a completely different mechanism (namely disorder scattering) that dictates the ther-302 mal conductivity for CsPbI₃ domains at higher strain levels. Furthermore, the vibrational spectrum 303 are shifted to higher frequencies with increasing strain levels as shown by our SED calculations 304 in Fig. 6c-e. We also observe increasing group velocities of the acoustic branches as indicated 305 by the larger slopes of the acoustic branches with increasing hydrostatic strain. However, with

compressions beyond $\sim 18\%$, although the group velocities near the Brillouin zone center are increased, there is considerable hybridization of the acoustic phonons and the optic modes away from 308 the Brillouin zone center. Along with considerable broadening of the vibrational modes through-309 out the entire spectrum as shown in Fig. 6e, hydrostatic compressions beyond $\sim 18\%$ lead to an 310 overall reduction in the heat transport. Comparing the octahedral tilts for structures beyond this 311 strain level with the tilts for the lower strains (see Fig. 6f-h), it is evident that the pronounced tilts 312 lead to considerable disorder scattering, which counteracts any increments in heat conduction due 313 to phonon hardening with higher strains. These results further emphasize the significant role of 314 the PbI₆ octahedral framework in dictating the thermal conductivity of these inorganic halide per-315 ovskites. Considering this, a strategy to manipulate heat conduction in these materials could be to 316 alter the PbI₆ octahedral framework tilts that dictate thermal transport properties in these soft and 317 intrinsically anharmonic materials. 318

119 III. Conclusion

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To summarize our findings on the origin of the ultralow thermal conductivities in metal halide 320 perovskites: (i) the considerable anharmonicity associated with the phonon modes in the entire 32 vibrational spectrum of CsPbI₃ results in reduced lifetimes and low group velocities in these ma-322 terials. (ii) In contrast to the conventionally accepted notion of 'rattling' leading to higher phonon 323 resistances and reduced thermal conductivities, the role of the dynamics of Cs⁺ ions inside the 324 the PbI₆ framework on thermal transport is mainly associated with phonon hardening resulting 325 in additional channels of heat transfer. (iii) The temperature-dependent thermal conductivity in 326 these materials can be engineered across a wide range by modifying the anharmonicity of the PbI₆ 327 framework through hydrostatic compressions. Furthermore, inducing significant octahedral tilts 328 can lead to more disorder scattering resulting in drastically reduced temperature dependence of 329 thermal conductivity in CsPbI₃. 330

It is generally assumed that 'guest-host' interactions typically lead to thermal conductivity sup-

pression due to disorder scattering in crystalline solids. 12,33,52-61,79 This has mainly been attributed to 'rattling' of guest atoms inside the nanocages of the host framework and hybridization of 'guesthost' vibrational modes that lead to avoided crossings and flat bands that can span the entire vibra-334 tional spectrum. Our results, however, show that the 'guest-host' vibrational coupling in strongly 335 anharmonic materials such as metal halide perovskites can also lead to competing effects where 336 the 'guest' atoms can facilitate heat transfer through hardening of the vibrational modes of the 337 'host' framework. In other words, the dynamic motion of the A-site cations that are in phase with 338 the vibrations of the metal halide framework can enhance heat transfer by providing additional 339 vibrational modes to the soft framework. This marks a new mechanism of thermal transport in 340 crystalline solids, in general, where the 'guest' species indirectly facilitate heat transfer. Our study 341 provides the fundamental understanding of the structure-property relationship dictating thermal 342 transport in metal halide perovskites, which will serve as a blueprint for designing these emergent 343 class of materials that have the potential to revolutionize several applications such as photovoltaic and flexible energy harvesting devices.

IV. Methods

47 A. Molecular Dynamics (MD) Simulations

For our atomistic simulations, we implement our recently formulated interatomic potential capable of reproducing the temperature dependent phase transitions and accurate lattice parameters of CsPbI₃ as shown in Fig. S1 of the Supporting Information. ⁸⁰ The potential has also been utilized to study the thermal properties of methylammoinium lead halide perovskites, ^{11,81,82} and has been demonstrated to reproduce several other physical attributes of metal halide perovskites such as structural and vibrational properties, ⁸³ elastic softness, ^{84–86} and ionic polarization and mobilities. ⁸⁷ More details regarding the interatomic potential is given in the Supporting Information. For all our simulations, we use the large-scale atomic/molecular massively parallel simula-

for all our simulations, we use the large-scale atomic/molecular massively parallel simulator (LAMMPS) package. 88 Our computational domains are initially equilibrated under the Nosé-

Hoover thermostat and barostat (i.e. the NPT ensemble)⁸⁹ for 2 ns with a timestep of 0.5 fs where
the number of particles, pressure and temperature of the system are held constant at 0 bar pressure. Following the NPT integration, further equilibration is carried out under the NVT ensemble
where the volume and temperature are kept constant for a total of 1 ns with periodic boundary
conditions in all three directions for the entire simulation. An additional equilibration is performed
under NVE ensemble for 1 ns where number of particles, volume and total energy of the system
are constant. Finally, we utilize the Green-Kubo (GK) formalism method to calculate the thermal
conductivities of the computational domains.

For the GK formalism under the equilibrium molecular dynamics (EMD) simulations framework, the thermal conductivity of our CsPbI₃ structures is calculated as,

$$\kappa_{\alpha} = \frac{1}{k_{\rm B}VT^2} \int_0^{\infty} \langle J_{\alpha}(t)J_{\alpha}(0)\rangle dt. \tag{1}$$

where t is the time, T and V are the temperature and volume of the system, and $\langle J_{\alpha}(t)J_{\alpha}(0)\rangle$ is the α th component of the heat current autocorrelation function (HCACF) which is given as, 90

$$J = \frac{1}{V} \left(\sum_{i} v_i \epsilon_i + \sum_{i} S_i \cdot v_i \right). \tag{2}$$

where, v_i , ϵ_i , and S_i represent the velocity, energy and stress of atom i, respectively. More details regarding the GK formalism is given in the Supporting Information.

371 B. Spectral Energy Density (SED) Calculation

We calculate the phonon properties of our inorganic halide perovskite structures from MD simulations using the SED formalism. In this technique, the atomic velocities are Fourier transformed to get the average kinetic energy per unit cell as a function of wave vector (k) and frequency (ω) , which is given as, 75,76

$$\Phi(\mathbf{k},\omega) = \frac{1}{4\pi\tau_0 N_T} \sum_{\alpha}^{3} \sum_{j}^{B} m_j \left| \int_{0}^{\tau_0} \sum_{n_{x,y,z}}^{N_T} \dot{u}_{\alpha} \binom{n_{x,y,z}}{j}; t \right| \times exp\left[i\mathbf{k} \cdot \mathbf{r} \binom{n_{x,y,z}}{0} - i\omega t \right] dt \right|^2. \tag{3}$$

where τ_0 is the total simulation time, N_T is the number of unit cells in the crystal, α is the cartesian direction, j is the atom label in a given unit cell, B is the atomic number in the unit cell, m_j is the mass of atom j in the unit cell, $n_{x,y,z}$ is a unit cell, $\dot{u}_{\alpha}\binom{n_{x,y,z}}{j}$; t denotes the velocity of the j^{th}

atom in the n^{th} unit cell along the α direction at time t and $r\binom{n_{x,y,z}}{0}$ is the equilibrium position of each unit cell.

The phonon lifetime is calculated by manually identifying Lorentzian shaped modes and fitting each mode using the Lorentzian function which is given as, 75

$$\Phi(\mathbf{k},\omega) = \frac{I}{1 + \left[(\omega - \omega_c)/\gamma \right]^2}$$
(4)

where I, ω_c and γ are the peak intensity, frequency at the peak center, and half-width at half-maximum, respectively.

For the construction of the computational domain for our SED calculations, we use a cubic CsPbI₃ structure with 5 atoms in the unit cell that is replicated $150 \times 2 \times 2$ to create a supercell. The longer length in the x-direction ensures a high resolution for our SED plots as shown below and in the main manuscript. Then we equilibrate the supercell structure initially under the Nose-Hoover thermostat and barostat (i.e. the NPT ensemble)⁸⁹ for 1 ns with a timestep of 0.5 fs where the number of particles, pressure and temperature of the system are held constant at 0 bar pressure. Following the NPT integration, further equilibration is carried out under the NVT ensemble where the volume and temperature are kept constant for a total of 2 ns. Finally, we performed MD simulations under the microcanonical ensemble (or NVE ensemble) for 1.5 ns using 0.5 fs timestep

and output the velocities and positions of each atoms for the SED calculation.

Supporting Information Available

- 396 The Supporting Information is available free of charge at.
- Force field for CsPbI₃, details of the computational domain setup, equilibrium MD (EMD)
- simulation, vibrational density of states calculation, spectral heat flux calculation, spectral energy
- 399 density calculation.

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TOC Graphic

