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## **Review Article**

# Rational design of carbon-supported single and dual atom catalysts for bifunctional oxygen electrocatalysis



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#### Abstract

Design and engineering of effective bifunctional electrocatalysts for the oxygen reduction reaction (ORR) and oxygen evolution reaction (OER) represents a critical first step in the further development of rechargeable metal-air batteries, a sustainable energy technology. Recently, nanocomposites based on metal species atomically dispersed within carbon scaffolds have emerged as viable alternatives to the conventional precious metal-based electrocatalysts. In this review, we summarize the latest progress by including a brief introduction to the fundamentals of ORR/OER electrochemistry and an overview of the synthetic strategies and bifunctional performances of carbon-based nanocomposite catalysts with single and dual metal sites. We conclude the review with a perspective about the challenges and opportunities for further innovation of carbon-supported nanocomposites for bifunctional oxygen electrocatalysis.

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#### Keywords

Single-atom catalyst, Dual-atom catalyst, Carbon composite, Bifunctional oxygen electrocatalysis, Metal-air battery.

## Introduction

Rechargeable metal-air batteries (MABs) have emerged as a sustainable technology for energy conversion and

storage, due to the high energy density, low cost, and minimal impacts on the environment; and the performance is mainly determined by the bifunctional activity of the cathode catalysts towards the oxygen reduction reaction (ORR) during discharging and oxygen evolution reaction (OER) during charging [1]. Traditional catalysts are based on noble metals, such as Pt/C for ORR, and RuO<sub>2</sub> or IrO<sub>2</sub> for OER; yet the wide-spread application of MABs has been impeded by the high costs, poor durability and unsatisfactory bifunctional activity of these precious metal-based catalysts [2]. Therefore, it is of fundamental and technological significance to develop high-performance, low-cost bifunctional electrocatalysts for MABs, and nanocomposites based on transition metals atomically dispersed within a nitrogen-doped carbon scaffold have been recognized as viable alternatives, due largely to the formation of unique MN<sub>r</sub> coordination moieties [3-5].

Typically, a rechargeable MAB consists of four major components, a metal plate (anode), an aqueous (alkaline) solution or conducting polymer electrolyte, a bifunctional air cathode catalyst, and a membrane separator [6]. In comparison to the theoretical equilibrium potential of 1.65 V, in practice the discharging potential can be as low as 1.3 V and the charging potential as high as over 1.9 V (both at the current density of 10 mA cm<sup>-2</sup>), mainly due to the sluggish electron-transfer kinetics and complicated pathways of the cathodic ORR/OER processes. In alkaline media, ORR entails three major intermediates, \*OOH, \*O and \*OH, in the following steps,

$$O_2 + * + H_2O + e^- \rightarrow *OOH + OH^-$$
 (1)

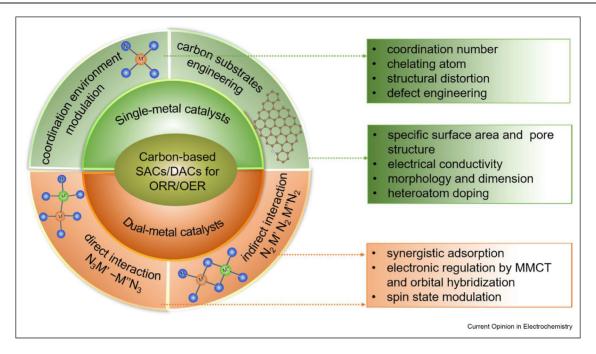
$$*OOH + e^- \rightarrow *O + OH^- \tag{2}$$

$$*O + H_2O + e^- \rightarrow *OH + OH^-$$
 (3)

$$*OH + e^- \rightarrow OH^-$$
 (4)

where \* stands for active sites. The reaction steps are reversed in OER [6]. To achieve bifunctional activity, it is essential to strike a deliberate balance between the adsorption free energies of these key intermediates onto the active sites. The experimental strategies are primarily based on structural engineering of the metal atomic sites and/or the carbon scaffolds (Scheme 1).

#### Scheme 1



Summary of the mechanistic contributions of carbon-based single and dual atom sites to the ORR/OER electrocatalytic activity.

Herein, we will survey the recent progress in the preparation of carbon-based nanocomposites with single and dual metal sites and their bifunctional performances in rechargeable MABs and conclude the review with a perspective about the challenges and opportunities of carbon-supported nanocomposites as MAB bifunctional oxygen electrocatalysts.

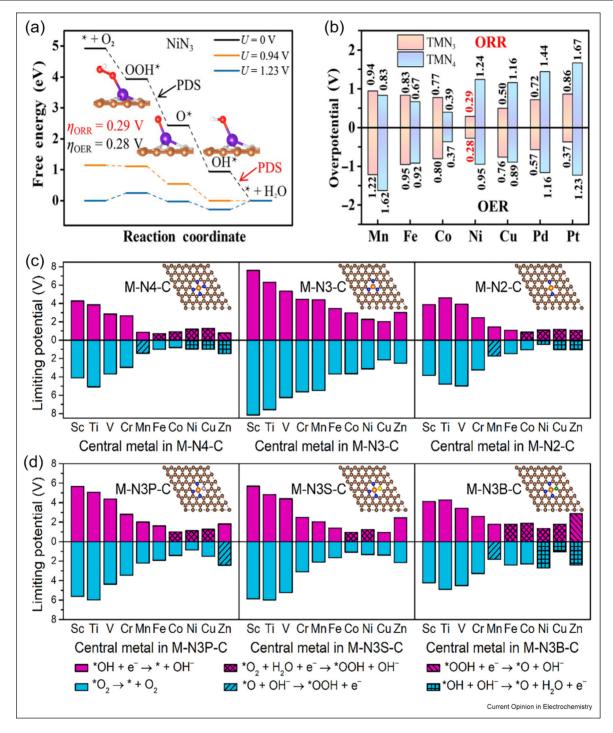
## Carbon-based single-metal sites Modulation of coordination environment

The electronic structure of the single metal sites (i.e., single-atom catalysts, SACs) can be readily regulated by modulating the  $MN_x$  atomic configurations (e.g., chelating atoms, coordination number, and structural distortion) as well as defect engineering of the carbon scaffold [7,8]. Among these, MN<sub>4</sub> nanocomposites (e.g., FeN<sub>4</sub>, CoN<sub>4</sub>, MnN<sub>4</sub>, and CuN<sub>4</sub>) are of particular interest, with a structure analogous to that of metal phthalocyanine [9-11]. For instance, Yang et al. [12] prepared nanocomposites with CoN<sub>4</sub> moieties dispersed within N-doped graphitic nanosheets (CoN<sub>4</sub>/NG) by a surfactant-assisted approach and observed an apparent bifunctional activity with a half-wave potential ( $E_{1/2}$ ). ORR) of +0.87 V versus reversible hydrogen electrode (RHE) for ORR and a potential ( $E_{10, \, OER}$ ) of +1.61 V at the current density of 10 mA cm<sup>-2</sup> for OER. The potential gap ( $\Delta E$ ) between  $E_{1/2,ORR}$  and  $E_{10,OER}$  (0.74 V) was 20 mV lower than that of the commercial Pt/ C + IrO<sub>2</sub> counterparts. Chen and coworkers [13] prepared a carbon-based SAC with unique FeN<sub>5</sub> centers by

controlled pyrolysis of zeolitic-imidazolate-frameworks-8 (ZIF-8) and graphene oxide. Unlike the plane-like  $MN_x$  (x = 2, 3, and 4) sites, tetrahedral FeN<sub>5</sub> moieties exhibit an asymmetric electron depletion zone and can effectively facilitate the generation of reactive intermediates and enhance the eventual catalytic activity with an even lower  $\Delta E$  of 0.71 V. An asymmetric electronic distribution of the metal centers can also be induced by the incorporation of additional heteroatom dopants, such as S, P, and B [14,15]. For instance, planelike FeN<sub>4</sub>—C generally shows a remarkable ORR activity but only a mediocre OER performance [16]; yet additional S doping forming a FeN<sub>4</sub>-S structure can significantly reduce the OER overpotential by over 100 mV [17]. This is because the additional S doping elevates the charge density, opens the metallic spin channels of the Fe center, and shifts the d-band center towards the Fermi level, leading to moderate OOH\* stabilization [18].

Computational studies based on density functional theory (DFT) calculations represent an even more efficient approach to the systematic screening of the  $MN_x$ -C nanocomposites as ORR/OER bifunctional catalysts. Recently, Xiao et al. [19] computationally evaluated a series of  $MN_x$ -C nanocomposites (M = Mn, Fe, Co, Ni, Cu, Pd, and Pt; and x = 3, 4), as shown in Figure 1a-b. Among the  $MN_3$ -C nanocomposites,  $NiN_3$ -C exhibited the optimal adsorption energy of key intermediates and hence the lowest overpotential ( $\eta$ )

Figure 1



(a) Free energy diagram of NiN<sub>3</sub>-C. (b) Overpotentials of ORR and OER for various transition metal-nitrogen (TMN<sub>2</sub>) nanocomposites. Reproduced with permission from ref. 19, Copyright 2021, Tsinghua University Press and Springer-Verlag GmbH Germany, part of Springer Nature. (c, d) Limiting potentials of ORR (pink bars) and OER (aqua bars) of six different MN<sub>X</sub>-C configurations at +0.402 V vs RHE. Reproduced with permission from ref. 20, Copyright 2021, Elsevier. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

of  $-0.29 \,\mathrm{V}$  and  $+0.28 \,\mathrm{V}$  for ORR and OER, respectively. In contrast, for the  $\mathrm{MN_{4}\text{-}C}$  series,  $\mathrm{CoN_{4}\text{--}C}$  displayed the best performance with the overpotentials of  $-0.39 \,\mathrm{V}$  for ORR and  $+0.37 \,\mathrm{V}$  for OER, both subpar as compared to those of  $\mathrm{NiN_{3}\text{--}C}$ . In another study [20], results from the calculations of 60 different  $\mathrm{MN_{x}\text{--}C}$  (x=4,3,2) and  $\mathrm{MN_{3}X\text{--}C}$  (x=4,3,2) and  $\mathrm{MN_{3}X\text{--}C}$  (x=4,3,2) and  $\mathrm{MN_{3}X\text{--}C}$  (x=4,3,2) and  $\mathrm{MN_{3}X\text{--}C}$  (x=4,3,2) and x=4,3,20 moieties show that both the central metal and coordinating atoms strongly impact the performance of oxygen electrocatalysis, predominantly by tuning the adsorption free energy of hydroxyl intermediate (x=4,3,2). From the dual limiting-potential volcano plots for ORR and OER, x=4,3,20 was identified as the optimal structure for bifunctional ORR/OER electrocatalysis, followed closely by x=4,3,20. CoN<sub>2</sub>-C, and NiN<sub>3</sub>P-C (Figure 1c-d).

Notably, machine learning (ML) integrated DFT calculations have recently been used to accelerate the design and discovery of SACs through the establishment of deep structure—activity relationships [21,22]. Huang et al. examined a group of 27  $C_2N$  monolayer-supported SACs as promising bifunctional OER/ORR catalysts by theoretical calculations and found a volcano-shaped relationship between the catalytic activity and oxygen adsorption free energy ( $\Delta G_{*O}$ ) [23]. They further unraveled the origin of the element-specific activity by ML modelling based on the random forest algorithm that considers the outer electron number and oxide formation enthalpy as the two most important factors. This model can give an accurate prediction of  $\Delta G_{*O}$  with much reduced time and cost.

Certainly, to translate these computational advances to practical applications, development of effective synthetic strategies is of urgent need. Several protocols have been developed, such as fixing the coordination number of metal precursors by strong chemical bonds [13], increasing edge sites for unsaturated coordination [24], using metal organic frameworks (MOFs) as the precursors to gain a uniform coordination environment [25], elevating pyrolysis temperature to facilitate the breaking of the metal—N bonds and/or distortion of the geometrical configuration [26,27]. However, due to the complexity of the pyrolysis process, the precise control of the atomic configuration of the metal sites has remained a significant challenge.

## Carbon substrate engineering

The electrocatalytic performance can also be aided by the manipulation of the structure of the carbon scaffold, such as the specific surface area, porosity, electrical conductivity, surface morphology, heteroatom doping, among others [28–32]. Because SACs are mostly prepared by a bottom-up method, the metal sites are formed both on the surface and in the interior of the carbon frameworks. A hierarchically porous structure (and hence high specific surface area) can facilitate not

only the accessibility of the active sites but also abundant channels for mass transport of electrolyte and reactants [33,34]. Meanwhile, a high degree of graphitization can enhance electron transfer and structural stability by reducing carbon corrosion during electrode reactions. In a recent study [35], biomass hydrogels were utilized as structural templates to prepare carbon aerogels embedded with Fe single atoms by controlled pyrolysis. The resulting composites exhibited a 3D hierarchically porous structure and an excellent ORR/OER electrocatalytic performance with a  $\Delta E$  of only 0.71 V. Shen et al. [36] prepared graphitic Fe-N-C nanospheres by the pyrolysis of porous polymers synthesized via Schiff base condensation, which exhibited an ultrahigh specific surface area of 1796.0 m<sup>2</sup> g<sup>-1</sup>, a high degree of graphitization, and a remarkable bifunctional activity and stability. In another study [37], Jiang et al. used a sacrificial template to prepare Co single-atom electrocatalysts with an urchin-like nanotube hierarchical structure derived from ZIF-67 precursors, and the resulting CoN<sub>4</sub>-C composites exhibited a remarkable ORR/OER bifunctional activity with a low  $\Delta$ E of 0.72 V. Hu et al. [38] prepared a nanocomposite of Co single atoms anchored onto N-doped graphene tubes and sheets, where the enrichment of CoN<sub>4</sub> on the graphene tubes facilitated ready access to the ORR active sites, and concurrently the synergistic interactions with the CoN<sub>4</sub>-sheet decreased the overpotential of both OER and ORR.

Notably, the structures of both the metal centers and the carbon scaffold are often simultaneously manipulated for the optimization of the catalytic performance. In a recent report [39], Co SACs on ultrathin porous carbon nanosheets were derived from molten salt precursors, where the unique carbon structure facilitated accessibility of the active centers and simultaneously endowed abundant defective CoN<sub>4</sub> configurations. The defects in the second coordination shell of Co SACs promoted the desorption of the OH\* intermediate for ORR and facilitated deprotonation of OH\* for OER. Nevertheless, excessive carbon defects mean reduced graphitization and compromise electrical conductivity. Thus, a deliberate balance must be struck for an optimal performance.

## Carbon-based dual-metal sites

Optimization of the ORR/OER bifunctional activity can also be achieved by the introduction of a second metal site in the proximity into the nanocomposites forming dual-atom catalysts (DACs) [40–45]. For instance, Fe SACs have been known to exhibit a better ORR activity than Ni SACs [46–48], while the OER activity is the opposite [49]. To optimize the ORR/OER bifunctional activity, a step-by-step self-assembly strategy has been developed to prepare Janus hollow graphene with dual-metal atom sites of NiN<sub>4</sub> and FeN<sub>4</sub>. Experimental and

theoretical studies show that the outer FeN<sub>4</sub> sites and inner NiN4 sites are respectively active for ORR and OER, and the interconnected metal sites are preferred for the bifunctional activity, in comparison to the independent counterparts [50,51].

## Dual-metal sites with direct chemical bonds

For dual-metal sites with a direct chemical bond in a configuration of  $N_3M'-M''N_3$ , the distance between the metal centers is ca. 2.5 Å. This small separation allows for synergistic adsorption of oxygen intermediates; meanwhile, the strong metal-metal charge transfer (MMCT) and orbital hybridization may drastically impact the electronic structures of the metal centers and hence the eventual bifunctional activity [43]. For instance, Hu's group prepared binary Co-Ni sites embedded in N-doped hollow carbon nanocubes by controlled pyrolysis of a dopamine-coated MOF [52]. Theoretical studies demonstrated that the resulting N<sub>3</sub>Co-NiN<sub>3</sub> dual-metal sites synergistically facilitated the adsorption of reaction intermediates and reduced the overall reaction barriers. Zhu et al. [53] fabricated N-doped hollow carbon spheres embedded with N<sub>3</sub>Fe-NiN<sub>3</sub> dual metal sites, and the Fe-Ni bonding interactions manipulated the electronic structures of both the Fe and Ni sites that lowered the energy barriers for ORR and OER (Figure 2a-b). Results from theoretical calculations further showed that the electronically modified Ni and Fe atoms were the active sites for OER and ORR, respectively (Figure 2c-d).

It has also been argued that within the dual-metal atom configuration, a primary site is responsible for the enhanced bifunctional activity, due to the MMCT effects that reduce the reaction energy barrier [54], where the activity of the primary site is electronically modulated by the second one. For instance, Yu et al. [55] synthesized MOF-derived N<sub>3</sub>Fe-NiN<sub>3</sub> **DACs** embedded in N-doped porous carbon and argued that the Fe site was the active center for the four-electron ORR/OER processes, while the Ni sites helped reduce the energy barrier of the rate-determining step by regulation of the electronic structure of the Fe sites.

#### **Dual-metal sites with indirect interactions**

The dual-metal sites can also be connected by indirect interactions, where both metals are in the MN<sub>4</sub> configuration but share two of the four N chelating atoms at a metal-metal distance over 2.6 Å. In a recent DFT study of  $N_2M'N_2M''N_2$  DACs (M', M" = Fe, Co, and Ni) on N-doped graphene (Figure 2e) [56], it was observed that charge transfer still occurred between the two adjacent metal centers and effectively regulated the adsorption energy of key reaction intermediates. Among these, the N<sub>2</sub>FeN<sub>2</sub>CoN<sub>2</sub> DAC stood out as the optimal bifunctional catalysts with the lowest energy barrier for both ORR and OER (Figure 2f), where the Fe-Co interactions not only improved the ORR activity of the Fe sites and OER activity of the Co sites, but also greatly enhanced the ORR activity of the Co sites and OER activity of the Fe sites by changing the OER potentialdetermining step from the third to the second step. Further mechanistic insights were obtained from in situ X-ray absorption spectroscopy (XAS) measurements and theoretical studies [57], where the Ni sites in the DACs preferably underwent structural reconstruction and facilitated the formation of Ni-O-Fe moieties (N<sub>2</sub>FeO<sub>2</sub>NiN<sub>2</sub>) as the true dual-metal active sites for OER. The different spin states of Ni and Fe centers led to the formation of spin channels for electron transfer, and optimized the adsorption of key reaction intermediates, resulting in a significantly improved OER activity.

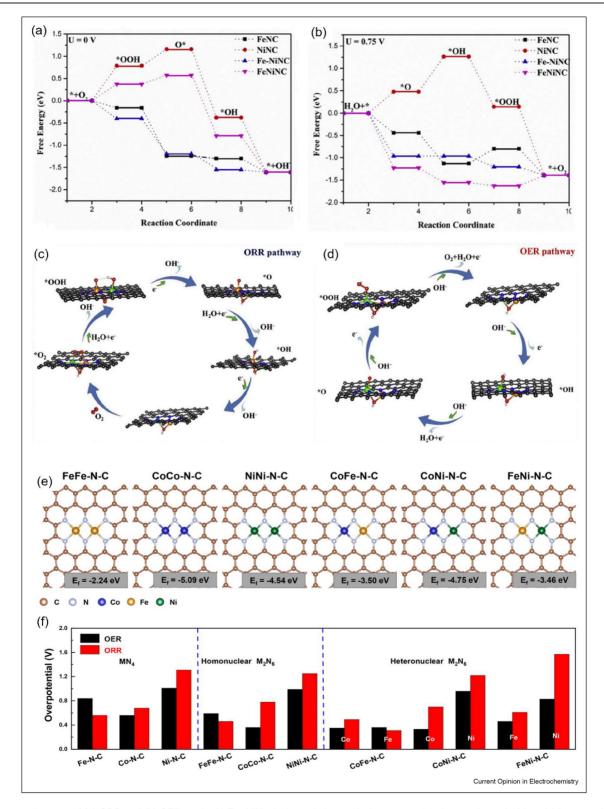
The manipulation of the spin state and hence the catalytic activity of DACs has also been demonstrated in some other studies [58–62]. Li et al. [63] showed that the Fe 3d itinerant charge and moderate spin polarization could be induced by the adjacent Ni atoms, leading to an enhanced catalytic activity. In another study [64], results from DFT calculations show a nearly linear correlation of the energy barriers of key reaction steps in ORR with the Fe magnetic moment. Experimentally, when single Cu sites were incorporated into Fe-N-C aerogels, the Fe centers exhibited a reduced magnetic moment, markedly enhanced ORR activity, and excellent bifunctional activity with an ultralow  $\Delta E$  of only 0.67 V.

The bifunctional activities of the above catalysts are listed in Table 1, from which one can see that for SACs, the Fe-based ones exhibit the best bifunctional activity. It is well-known that the undesirable Fenton reaction of Fe-based catalysts during the electrocatalytic process can lead to deactivation of the Fe sites and compromise the structural integrity of the nanocomposite catalysts [10,65]. The introduction of a second metal can not only enhance the bifunctional activity, but also greatly improve the stability of the catalyst by suppressing the Fenton reaction. However, in comparison to SACs, the coordination environment of DACs is much more complex, which greatly increases the difficulty of structural characterization and mechanism exploration. Therefore, further research is urgently needed for the establishment of a systematic structure-activity correlation to facilitate the rational design of dual-metal site bifunctional catalysts.

## Conclusion and perspectives

In summary, carbon-supported SACs and DACs have emerged as viable bifunctional oxygen electrocatalysts for rechargeable MABs, due to their maximum atom utilization, tunable active sites and well-defined atomic configurations; and the performance can be readily

Figure 2



Free energy diagrams of (a) ORR and (b) OER on the  $N_3Fe-NiN_3$  dual metal sites, with the proposed pathways shown in (c) and (d), respectively. Reproduced with permission from ref. 53, Copyright 2021, Elsevier. (e) Configurations and formation energies ( $E_f$ ) of various  $M_2N_6$  models. Brown, silver, yellow, blue, and green spheres indicate the C, N, Fe, Co, and Ni atoms, respectively. (f) Overpotentials of the active sites in all models. Reproduced with permission from ref. 56, Copyright 2022, American Chemical Society. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

Catalysts	E <sub>1/2,ORR</sub> (V vs RHE)	E <sub>10,OER</sub> (V vs RHE)	ΔE (V)	Ref.
CoN <sub>4</sub> /N-graphitic sheets	+0.87	+1.61	0.74	[12]
FeN <sub>5</sub> /N-carbon matrix	+0.88	+1.59	0.71	[13]
FeN <sub>x</sub> /N, S-carbon layer/nanotube	+0.85	+1.60	0.75	[17]
FeN <sub>x</sub> /N-carbon aerogel	+0.90	+1.60	0.70	[35]
FeN <sub>5</sub> /N-carbon	+0.91	+1.61	0.70	[36]
CoN <sub>4</sub> /urchin-like nanotube hierarchical structures	+0.89	+1.61	0.72	[37]
MS-Co SAs-N-C	+0.86	+1.62	0.76	[39]
NiN <sub>4</sub> -FeN <sub>4</sub> /hollow graphene nanospheres	+0.83	+1.62	0.79	[50]
CoN <sub>3</sub> -NiN <sub>3</sub> /N-carbon nanocube	+0.76	+1.57	0.81	[52]
FeN <sub>3</sub> -NiN <sub>3</sub> /N-carbon sphere	+0.84	+1.57	0.73	[53]
FeN <sub>3</sub> -NiN <sub>3</sub> N-carbon nanocube	+0.84	+1.50	0.66	[55]
CoN <sub>4</sub> -FeN <sub>4</sub> /N-graphene	+0.90	+1.59	0.69	[56]
FeN <sub>4</sub> -NiN <sub>4</sub> /N-carbon	+0.86	+1.55	0.69	[63]
FeN <sub>4</sub> -CuN <sub>4</sub> /N-carbon aerogel	+0.94	+1.61	0.67	[64]

manipulated by structural engineering of the metal atomic configurations as well as the carbon scaffolds. Yet, despite substantial progress in recent research, further studies are strongly desired for further enhancement of the electrocatalytic activity. This mainly involves the following issues, precise regulation of the coordination configurations of the metal centers, interactions between the metal centers, and optimization of the structure of the carbon scaffolds.

First, carbon-based SACs and DACs synthesized by pyrolysis at high temperatures are usually composed of a mixture of metal sites in a wide range of coordination configurations that may exhibit a widely different electrocatalytic activity. This renders it challenging to correlate the material structure with the electrocatalytic performance and unravel the actual active sites. Development of effective strategies to precisely control the coordination environment of the metal sites is highly desired.

Second, the catalytic active centers may entail a dynamic evolution during electrochemical reactions. In situ and even operando characterization of the nanocomposite catalysts is anticipated to yield important insights into the identification of the actual active sites/configurations.

Third, the stability during the electrode reaction is a key factor in practical applications. During long-term charge-discharge cycles at high potentials, carbonbased nanocomposites are known to exhibit apparent degradation, due to demetallation, carbon oxidation and bulk carbon corrosion. Therefore, strategies are urgently needed to enhance the structural stability of the nanocomposite catalysts by, for instance, increasing the fraction of highly stable metal sites [66], improving the antioxidation of the metal centers [67], and developing advanced carbon substrates with both high graphitization and abundant defects to support the metal sites.

## **Declaration of competing interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

### Data availability

Data will be made available on request.

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