

1 **Phenological assessment of transpiration: The stem-temp approach for determining
2 start and end of season**

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22 **Abstract**

23 Field-based assessment of transpiration phenology in boreal tree species is a
24 significant challenge. Here we develop a simple and objective metric that uses stem radius
25 change and its correlation with sapwood temperature to determine the timing of phenological
26 changes in transpiration in mixed evergreen species. We test the stem-temp approach using a
27 five year stem-radius dataset from black spruce (*Picea mariana*) and jack pine (*Pinus*
28 *banksiana*) trees in Saskatchewan (2016-2020). We further compare our approach with
29 tower-based phenological assessment from green chromatic coordinate derived from
30 phenocam images, eddy-covariance-derived evapotranspiration, and tower-based
31 measurements of solar-induced chlorophyll fluorescence. Our approach accurately identified
32 the start and end of four key transpiration phenological phases: (i) the end of temperature-
33 driven cycles indicating the start of biological activity, (ii) the onset of stem rehydration, (iii)
34 the onset of transpiration, and (iv) the end of transpiration-driven cycles. Our stem-temp
35 approach is thus useful for characterizing the timing of changes in transpiration phenology
36 and provides information about distinct processes that cannot be assessed with canopy-level
37 phenological measurements alone.

38 **Keywords:** transpiration phenology; spring onset; stem radius change; carbon uptake onset;
39 snowmelt; green chromatic coordinate

40 **1. Introduction**

41 The timing of vegetation phenological transition dates (e.g., leaf-out, dormancy)
42 drives functional changes in forest carbon and water cycles (Fitzjarrald et al., 2001; Keenan
43 et al., 2014; Richardson et al., 2013, p. 201, 2010; Schwartz and Crawford, 2001; Wolf et al.,
44 2016). For example, springtime vegetation phenology is recognized as one of the key
45 determinants of the annual carbon (C) balance of temperate and boreal forest stands (Barr et
46 al., 2007; Berninger, 1997; Black et al., 2000; Goulden et al., 1996). Therefore, quantifying
47 the timing of vegetation phenological events is fundamental for understanding how climate
48 change will influence shifts in the timing of water availability through earlier spring
49 snowmelt (i.e., snow phenology) (Chen et al., 2015), increased length of the growing
50 season—the length of period of the year when vegetation is active, transpiring and
51 photosynthesizing—and later fall senescence (Barichivich et al., 2013; Linderholm, 2006;
52 Menzel and Fabian, 1999).

53 In the boreal forest, biological activity is marked by climate seasonally. The
54 biological awakening from dormancy in the spring corresponds closely to changes in
55 environmental conditions, such as increase in air temperature, soil warming and thawing
56 (Ahmed et al., 2021; Black et al., 2000; Goulden et al., 1996; Jarvis and Linder, 2000). With
57 the increase in soil temperatures and thawing in the spring, liquid water becomes available,
58 and trees start to rehydrate their stems, transpire, and uptake carbon (Bowling et al., 2018;
59 Nehemy et al., 2022; Pierrat et al., 2021). Transpiration and carbon uptake continues
60 throughout the growing season until the fall when environmental conditions change. The
61 decrease in air temperature and photoperiod in the fall induce physiological cold acclimation
62 and leads to cessation of transpiration and carbon uptake (Chang et al., 2021). Despite the
63 importance of accurately determining the timing of vegetation phenology phases, doing so
64 quantitatively has remained a significant challenge for both models and measurements
65 (Commane et al., 2017; Parazoo et al., 2018; Peng et al., 2015; Pierrat et al., 2021;
66 Richardson et al., 2012). Multiple approaches can provide phenological information needed
67 for understanding the multi-day transition associated with changes in photosynthetic activity
68 and the transpiration process. For instance, eddy-covariance derived evapotranspiration (ET)
69 provides information on water fluxes, but ET is subject to significant uncertainties and data
70 gaps associated with measurement and calculation techniques (Baldocchi, 2003; Wutzler et
71 al., 2018). These issues are especially problematic during shoulder seasons (e.g., transition

72 from winter to spring and fall to winter). Additionally, ET is spatially averaged and includes
73 understory, and thus unable to capture species-specific phenological change. Remotely
74 sensed products such as solar-induced chlorophyll fluorescence (SIF), the chlorophyll-
75 carotenoid index (CCI), and the green-chromatic coordinate (GCC), among others, have all
76 been valuable approaches to indicate the onset of biological activity in the spring (e.g.,
77 Gamon et al., 2016; Magney et al., 2019; Parazoo et al., 2018; Pierrat et al., 2021; Richardson
78 et al., 2018). However, these measurements often provide start-of-season and end-of-season
79 dates with uncertainties on the order of weeks (Parazoo et al., 2018; Walther et al., 2018) and
80 do not necessarily provide information about the same physiological processes occurring
81 within the plants (e.g., respiratory recycling of CO₂, transpiration, and photosynthesis)
82 (Pierrat et al., 2021). This uncertainty (i.e., partitioning method of eddy covariance flux and
83 the presence of snow cover in the canopy for remotely sensed products) limits our ability to
84 mechanistically assess the observed changes and phenological responses of specific processes
85 (e.g., transpiration, photosynthesis, carbon uptake) to environmental drivers.

86 We need a more accurate assessment of the onset of transpiration in the spring and
87 when trees cease transpiration in the fall to improve our understanding of shifts in timing of
88 transpiration phenological transition dates and potential interactions among environmental
89 drivers (Fitzjarrald et al., 2001; Schwartz and Crawford, 2001); ideally, something simple
90 that provides daily field-based measurements at the individual tree level so that the timing of
91 the transition dates can be quantified within and between species. While continuous
92 measurements of stem radius changes using automated dendrometers have been used to
93 provide proxy data for tree water relations at high-temporal resolution (De Swaef et al., 2015;
94 Drew and Downes, 2009; Zweifel et al., 2016), the potential of these data has not been fully
95 explored yet to assess phenological changes in transpiration (e.g., the onset of stem
96 rehydration and transpiration in the spring).

97 Seasonal changes in stem diurnal cycles throughout the year recorded using
98 dendrometers have been previously observed (King et al., 2013). Sevanto et al. (2006) used
99 transpiration-driven cycle patterns to detect transpiration and photosynthetic activity during
100 specific warm winter days. Turcote et al. (2009) defined the seasonal occurrence of freeze-
101 thaw cycles based on specific air temperature thresholds. However, determining when
102 exactly the freeze-thaw cycle ceases and the transpiration-driven cycle begins is difficult
103 (Sevanto et al., 2006). Additionally, air temperature and tissue temperature can differ due to

104 the thermal inertia of wood. Specific sap freezing points can be variable given changes in
105 tissue osmotic potential (Charrier et al., 2017).

106 What is critically needed to advance observation in transpiration phenology is an
107 objective, dynamic metric that considers daily changes in sapwood temperature and diurnal
108 radial change to evaluate shifts from freeze-thaw cycles to transpiration-driven cycles. Such
109 an approach could provide a more exact assessment of the timing of transpiration
110 phenological events (Commane et al., 2017; Parazoo et al., 2018; Peng et al., 2015; Pierrat et
111 al., 2021; Richardson et al., 2012). Here we explore stem diurnal cycle observations as a
112 means to provide direct evidence of transpiration phenology. This tree phenological
113 monitoring can complement other canopy-level phenological assessment of photosynthetic
114 activity (e.g., CCI, SIF, ‘greenness’ index, GPP). Stem cycles occur daily and provide
115 assessment of the water related signal of the stems (King et al., 2013). This information can
116 indicate when and whether trees are transpiring. The daily assessment of stem cycle is critical
117 because within the broad seasonal definition of stem cycles (e.g., Turcotte, Morin, Krause,
118 Deslauriers, & Thibeault-Martel, 2009), there are days within each season (e.g., spring,
119 winter) where trees may not be following the general pattern (i.e., trees might transpire
120 during winter (Sevanto et al., 2006)). During the onset of stem rehydration and transpiration
121 in the spring, there is greater variability in air temperature may result in alternation between
122 days where trees are transpiring and days where frost can induce freeze stems and inhibit
123 transpiration (Pierrat et al., 2021).

124 To overcome some challenges associated with phenological assessment of vegetation,
125 specifically the timing of spring onset and fall senescence we develop a new approach to
126 identify the timing of transpiration changes in the boreal forest using high frequency stem
127 diurnal cycles. We test this new approach using high-resolution stem radius measurements
128 and sapwood temperature, and air temperature, collected over five years (2016-2020) in two
129 boreal forest sites in Saskatchewan, Canada, with distinct species composition. We combine
130 this with canopy greenness assessment using green-chromatic coordinate (GCC), tower
131 measurements of solar-induced chlorophyll fluorescence (SIF), and eddy-covariance derived
132 evapotranspiration (ET). Our objectives are to (1) define the timing of transpiration
133 phenological changes in the boreal forest using a new stem cycle-sapwood temperature
134 correlation approach (2) evaluate the new approach for black spruce (*Picea mariana*) and
135 jack pine (*Pinus banksiana*) trees growing in different sites, and (3) compare the timing of

136 phenological events obtained from this newly proposed transpiration phenology assessment
137 with a well-established assessment of canopy-level phenological changes (GCC).

138 **2. Theory and approach**

139 The theory behind our stem diurnal cycle approach is based on many years of
140 research from the scientific community. The water-related shrinkage and refilling signal of
141 the stem reflects daily changes in the water balance of elastic tissues (Irvine and Grace, 1997;
142 Kozlowski and Winget, 1964) and can be isolated from the growth signal (Zweifel et al.,
143 2016). During summer, tree stems shrink during the day because of the negative water
144 potential gradients generated by transpiration which results in the radial water transfer from
145 elastic inner bark tissues (phloem, cambium and xylem parenchyma) to the xylem conduits
146 (Perämäki et al., 2001; Steppe et al., 2006; Zweifel and Hasler, 2001). Conversely, stems
147 expand at night and on rainy days because the water potential gradient reverses and water
148 flows from the xylem back into the inner bark tissues, refilling internal storages. These
149 responses result in stem shrinkage and expansion and characterize the transpiration-driven
150 cycles driven by water potential gradients (Zweifel and Häslar, 2000). When trees are
151 transpiring the maximum radius is observed in the morning and minima in the afternoon
152 (Figure 1b).

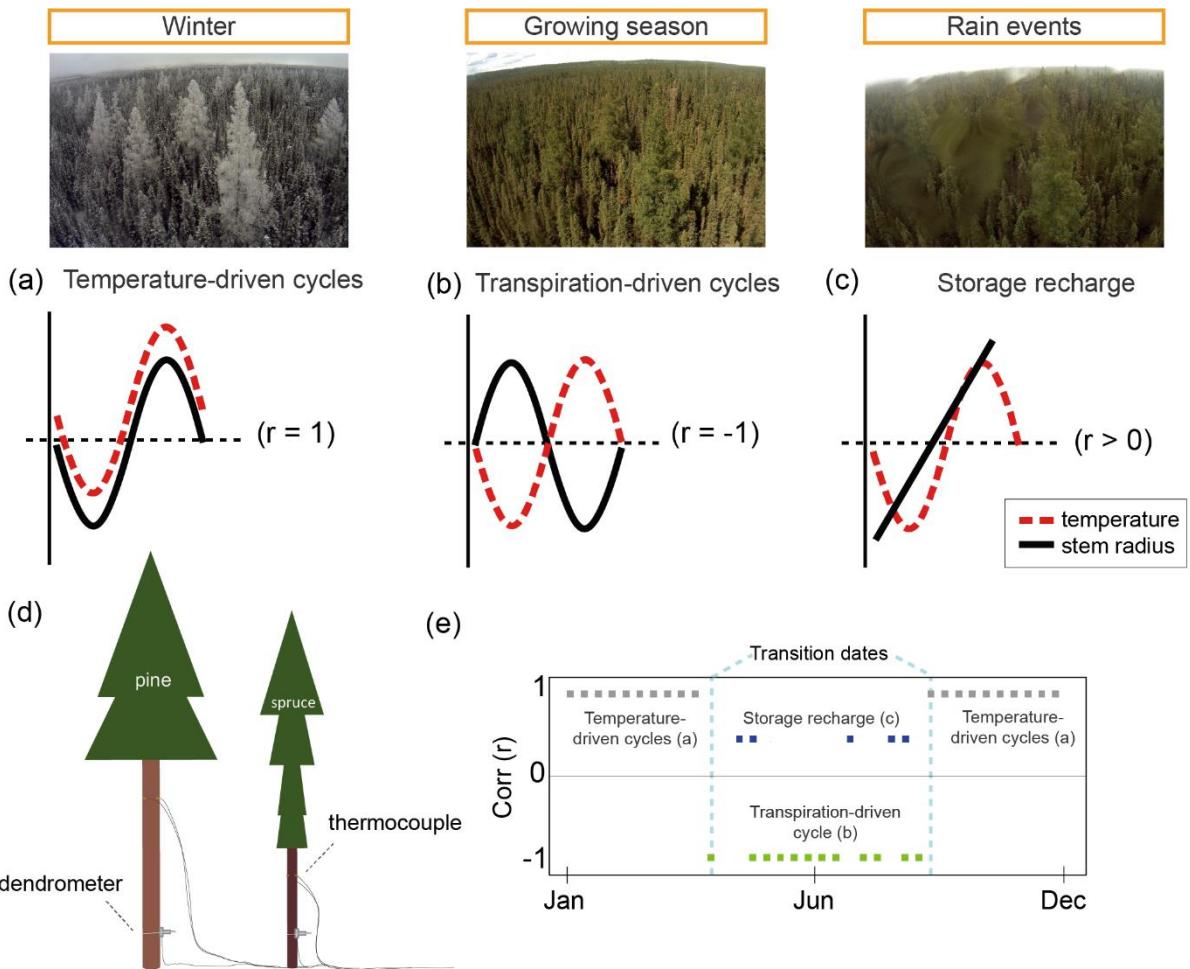
153 The stem diurnal cycle shows a reversed pattern during winter compared to the
154 transpiration-driven cycles (Figure 1a) (Améglio et al., 2001; Charra-Vaskou et al., 2016;
155 Maruta et al., 2020; Sevanto et al., 2006; Zweifel and Häslar, 2000). When temperatures drop
156 to near freezing temperatures, stem radius change is no longer controlled by transpiration.
157 Instead, the radial changes start to synchronize with changes in air temperature. When the air
158 temperature drops below freezing, ice starts to form in the xylem while the sap in the inner
159 bark remains liquid (Améglio et al., 2001; Charrier et al., 2017) and stem radius change is
160 driven by a freeze-thaw cycle. Stems shrink due to low water potential gradients induced by
161 ice formation in the xylem (Améglio et al., 2001; Zweifel and Hasler, 2001). Because the
162 water potential over ice decreases 1.16 Mpa per 1° C decrease in ice temperature (Clausius–
163 Clapeyron relationship; Guy, 1990; Rajashekhar & Burke, 1982), a steep negative water
164 potential generated by ice formation in the xylem drives the movement of unfrozen inner bark
165 water towards the xylem ice with changes in temperature (Lintunen et al., 2017). Thus,
166 during late fall and winter, stem radius changes follow the daily patterns in air temperature,

167 with minimum radius in the morning and maximum radius in the afternoon. We use this
168 understanding to develop the proposed approach.

169 **2.1 The stem-radius-temperature correlation approach**

170 The *stem-temp approach*, short version of stem radius-temperature correlation
171 approach, leverages the stem diurnal cycle information and sapwood temperature to define
172 phenological transition dates (Figure 1). Because sapwood temperature is a direct measure of
173 xylem conduit tissue temperature, and stem diurnal cycle a direct measure of the hydraulic
174 signal, this information can be used to identify changes from temperature-driven cycle and
175 transpiration-driven cycles described above. The Pearson's correlation coefficient between
176 diurnal stem radius change and sapwood temperature is positive when stem radius change is
177 driven by temperature (i.e., tree hydraulic signal follows changes in air temperature, driven
178 by the potential gradient generated by ice formation within the stem) (Figure 1 a), and
179 negative during a transpiration-driven cycle (i.e., tree hydraulic shows opposite patterns to air
180 temperature because stem diurnal cycle is driven by the potential gradient generated by
181 transpiration) (Figure 1b). When trees are refilling internal water storages after rainfall events
182 and/or snowmelt, stem diurnal cycles show a continuous increase in stem radius, and a
183 positive, but weak correlation coefficient (Figure 1c).

184 The dynamic change in correlation coefficients between stem diurnal cycle and
185 sapwood temperature indicates the phenological transition dates. The change from positive to
186 negative correlation values in the spring indicates the end of the temperature-driven cycle
187 (Figure 1e). Stem diurnal cycles no longer follows changes in air temperature. This is
188 followed by the onset of stem rehydration (Figure 1c) in the spring, which is defined by the
189 shift from negative to positive correlation values ($r > 0$). The onset of rehydration shows a
190 continuous increase in stem radius and weak, but positive, correlation coefficients. Stem
191 rehydration is a key event in the boreal forest as trees refill internal water storage with the
192 increase in moisture availability via snowmelt (Nehemy et al., 2022; Tardif et al., 2001;
193 Young-Robertson et al., 2016). Following rehydration is the onset of transpiration with a shift
194 from positive ($r > 0$; rehydration phase) to negative correlation values ($r < 0$; Figure 1 b). The
195 negative correlation value continues throughout the growing season (Figure 1e) with
196 exception of rainy days when correlation values become positive ($r > 0$) (Figure 1c, 1e). Later
197 in the fall, the change from negative to positive correlation ($r > 0$) values indicates the end of
198 transpiration-driven cycles (Figure 1e).



199

200 Figure 1. Conceptual representation of the stem-temp approach. Panel (a) illustrates the
 201 temperature-driven cycle, typical in winter and early spring; this diurnal pattern leads to
 202 positive temperature-stem radius correlations ($r = 1$). Panel (b) shows transpiration-driven
 203 cycles and the inverse relationship of temperature and stem radius; this diurnal pattern leads
 204 to negative correlations ($r = -1$). Panel (c) radius change showing stem storage refilling
 205 resulting in stem expansion during early spring stem rehydration and/or after rainfall events
 206 during the growing season. Panel (d) illustrates field setting with dendrometer and
 207 thermocouples monitoring stem radius change and sapwood temperature, respectively. Panel
 208 (e) illustrates changes in the theoretical correlation coefficients throughout a typical year in
 209 the Boreal forest. Vegetation canopy pictures were downloaded from PhenoCam Network
 210 <https://phenocam.sr.unh.edu/webcam/sites/canadaOBS/>.

211 **3. Material and Methods**

212 **3.1 Site characteristics**

213 The study was performed in two long-term research sites located at the southern edge
214 of the Boreal Plains Ecozone in Saskatchewan, Canada. The sites are operated by the Boreal
215 Ecosystem Research and Monitoring Sites (BERMS) program and were established by the
216 Boreal Ecosystem and Atmosphere Study (BOREAS) program in 1993. The study interval
217 comprises five years, from 2016 to 2020. The mean annual precipitation in the region is 517
218 mm, with a mean annual temperature of 1.7 °C (Prince Albert, Saskatchewan). The Old Black
219 Spruce site (OBS) (53. 98 °N, 105.12 °W; AmeriFlux ID, CA-Obs) is a dense mixed forest
220 stand dominated by black spruce with sparse (10% of stem density) eastern larch (*Larix*
221 *laricina*) trees (Barr et al., 2012). The average black spruce height is 8.6 m and the larch is
222 11.9 m (Pappas et al., 2020). This forest stand is about 140 years old and was established
223 after a forest fire. The soil is moderately to poorly drained, with a shallow peat layer that
224 varies in depth across the site (10-20 cm). The Old Jack Pine site (OJP) (53. 92 °N, 104.69
225 °W; AmeriFlux ID, CA-Ojp) forest stand is composed of jack pine, with an average height of
226 15 m. The forest stand is approximately 100 years old and was also established after a forest
227 fire. The soil is well-drained, loamy-sand soil. A more detailed description of the sites can be
228 found at Maillet et al., (2022) and Pappas et al., (2020).

229 **3.2 Stem radius: Data collection and processing**

230 We monitored stem radius changes of the dominant tree species at each site, black
231 spruce and jack pine, using automatic circumference dendrometers (DC2 and DC3, Ecomatik,
232 Dachau, Germany). Half-hourly stem radius measurements were recorded using
233 HOBOUX120-006M data loggers. A set of four dendrometers were connected to each data
234 logger. The dendrometers monitored the stem radius of trees within the tower footprint of
235 each site. The arrays were distributed near the tower and covered trees of different diameter
236 and height. We monitored the stem radius change of 13 black spruce trees and 18 jack pine
237 trees at breast height at OBS and OJP, respectively. The average stem diameter at breast
238 height of monitored trees was 13 cm for black spruce and 17 cm for jack pine. We converted
239 the raw recorded voltage measurements into a measure of radius change in μm according to
240 manufacturer specification. More specifically, an R-script was developed based on the
241 equation specific to this instrument provided by the manufacturer which converted our raw

242 voltage measurements into a measure of radius change in relation to the diameter change of
243 each individual tree (for specific equation see Ecomatik user manual;
244 https://ecomatik.de/site/assets/files/13369/usermanual_dc3.pdf). We further processed the
245 resulting data to eliminate any recording errors resulting from wildlife interfering in
246 measurements by cutting wires, disturbing the instrument, or battery failure. We removed
247 periods of data identified with such irregular measurements from specific sensors.

248 The stem diurnal cycles were computed following King et al. (2013). This data
249 analysis provides information of the diurnal hydraulic related signal and was used in the
250 stem-temp approach described below. The sub-hourly stem radius measurement ($SR_{<i}$) of
251 each individual tree was averaged to hourly measurement, SR_i , where i indicates a specific
252 hour of the day. After this step, the daily means for each tree was computed ($\overline{SR_t}$) [1] and
253 subtracted from the hourly measurements to obtain the stem diurnal hydraulic related signal
254 (SR_H) [2] according to King et al., (2013). Because of the synchronous variation in diurnal
255 cycle between individuals of the same species, we report the average stem diurnal cycle from
256 each species.

257

$$\overline{SR_t} = \frac{1}{n} \sum_{i=1}^n SR_i \quad [1]$$

258

$$SR_H = SR_i - \overline{SR_t} \quad [2]$$

259 We also report the sub-hourly stem radius in relation to its maximum radius recorded
260 in the previous growing season according to Zweifel et al., (2016). We obtained the
261 maximum stem radius from the previous growing season of each tree (SR_{max}) and subtracted
262 it from the sub-hourly measurement of each specific tree ($SR_{<i}$) [3] We then obtained the
263 species averaged SR. The stem radius value is negative until trees are able to refill internal
264 water storages and initiate radial growth by surpassing the zero-growth line (Zweifel et al.,
265 2016).

266

$$SR = SR_{<i} - SR_{max} \quad [3]$$

267 **3.3 Tower based measurements: G_{cc} , ET, NEP, and SIF_{relative}**

268 The vegetation ‘greenness’ index measured by the green chromatic coordinate (G_{cc})
269 was obtained from the PhenoCam Network (phenocam.unh.edu). This data was collected

270 using a camera mounted at OBS tower of our study site and retrieves 30 min lapse images of
271 the canopy. Each image is converted to a three-layer array that corresponds to the red, green
272 and blue primary colours of the RGB spectrum. This information is used to calculate GCC
273 from a predefined region of interest (ROI) that represents the canopy of the studied tree
274 species. Daily GCC is obtained from the 90th percentile at 1-day intervals (Seyednasrollah et
275 al., 2019; Sonnentag et al., 2012). We obtained the ‘greenness rising’ phenological transition
276 dates of 10%, 25% and 50% and the ‘greenness falling’ of 50%, 25% and 10% of GCC by
277 using the LOESS-based method to smooth GCC and define the seasonal amplitude
278 (Richardson et al., 2018; Seyednasrollah et al., 2019). This indicates phenological transition
279 dates of changes in canopy greenness in relation to GCC seasonal amplitudes. For evergreen
280 species, these transition dates indicate changes in the pigmentation at leaf level and are
281 associated with changes photosynthetic capacity. We refer the reader to Richardson et al.
282 (2018) and Seyednasrollah et al. (2019) for detailed information on image acquisition and
283 data processing for GCC at the sites. GCC from phenocam images has been shown to track the
284 onset of canopy level photosynthesis in spring and during cessation in the fall effectively
285 (Seyednasrollah et al., 2021).

286 Eddy-covariance measurements of ET and net ecosystem production (NEP) were
287 made at 29 m and 25 m above the ground at OJP and OBS, respectively. For all years at OJP
288 and OBS starting in 2019, the eddy-covariance system consisted of a 3-D sonic anemometer
289 (CSAT3, Campbell Scientific, Logan, UT) in combination with a closed-path infrared gas
290 analyzer (LI-7200, Li-Cor Environmental, Lincoln, NE) for measuring H₂O and CO₂
291 fluctuations. The 30-min eddy fluxes were processed using the Eddy-Pro software (version
292 7.0.6, Li-Cor Environmental, Lincoln, NE). At OBS from 2016 to 2018, the eddy-covariance
293 system consisted of a 3-D sonic anemometer (model R3-50, Gill Instruments Ltd.,
294 Lymington, UK) in combination with a closed-path infrared (model LI7000, LI-COR Inc.,
295 Lincoln, NE, USA), enclosed in a temperature-controlled housing and operated in absolute
296 mode. The 30-min fluxes were processed using the U.B.C. Biomet software. For further
297 details, see (Kljun et al., 2006). A large gap in the flux data (ET and NEP) at OBS (25 Aug
298 2018 to 22 July 2019) remained unfilled.

299 We used measured NEP to identify the onset of net positive carbon uptake at the sites
300 following (Ahmed et al., 2021), who showed that eddy-covariance measurements of NEP
301 have a more distinct signature than ET of increasing canopy conductance in spring. Gap-

302 filled NEP was integrated daily for each year and the cumulative value starting from January
303 1 was computed. The onset of carbon uptake occurs when cumulative NEP starts to
304 continually increase in value in the spring (for exemplification, see Figure 4 (Ahmed et al.,
305 2021)).

306 Solar-Induced Chlorophyll Fluorescence (SIF) measurements were made at OBS atop
307 the site's 25m tower using the spectrometer system PhotoSpec (Pierrat et al., 2022, 2021).
308 PhotoSpec retrieved SIF in the far-red (745-758nm) wavelength range using a Fraunhofer
309 line-based retrieval (Grossmann et al., 2018). This retrieval approach has a high signal-to-
310 noise ratio so that the standard deviations of diurnal variability in the SIF signal are
311 significantly higher than errors in SIF measurements (Grossmann et al., 2018; Pierrat et al.,
312 2021). To account for illumination effects and a persistent winter light response of SIF
313 (Pierrat et al., 2022), we calculated the relative SIF ($SIF_{relative}$) as $SIF_{relative} = SIF/I$, where I is
314 the light intensity in the SIF retrieval window (Parazoo et al., 2020; Pierrat et al., 2021).
315 PhotoSpec has a narrow field of view (FOV = 0.7 degrees) and a 2-D scanning capability,
316 permitting independent SIF measurements for individual tree species (i.e., black spruce)
317 $SIF_{relative}$ measurements were taken approximately every 20 seconds across a variety of
318 vegetated targets. Here we report 30-minute averages of black spruce observations, which
319 were then averaged again to report daily mean $SIF_{relative}$ and the standard deviation of the
320 diurnal variability. Additional measurement details about the OBS site, including PhotoSpec
321 set up can be found in Pierrat et al. (2021) and Pierrat et al. (2022), and further instrument
322 details can be found in Grossmann et al. (2018).

323 3.4 Environmental variables

324 Air temperature was recorded using temperature/ humidity probes (model HMP45C,
325 Vaisala Inc., Oy, Finland) above the canopy of the sites mounted in a radiation shield.
326 Precipitation was recorded using an accumulating gauge (Geonor model T-200B all-weather
327 weighing precipitation gauge with an Alter shield, GEONOR, Inc., Augusta, NJ, USA)
328 located in a small forest clearing. Shallow soil temperature was also measured at both sites at
329 2 cm and 10 cm in two locations using a Type-T copper-constant thermocouples and
330 averaged together. We use shallow soil temperature as an indication of ground surface
331 temperature. Sapwood temperature was monitored using a Type-E chromel-constantan
332 thermocouples inserted at 10 mm depth in the sapwood of the trees. The sensors were located
333 at 12 m and 5 m in height at OJP and OBS, respectively. We had a south and north-facing

334 sensor at each height that was then averaged for the overall analysis. All environmental data
335 is recorded at 30 min time resolution.

336 Snow depth was continuously monitored at OJP and OBS using an ultrasonic snow
337 depth gauge (UDG01, Campbell Scientific, Logan, UT, USA). Snow surveys were also
338 conducted at each site once or twice per month between October and April. At OJP, a
339 networked digital camera (P1357, Axis Communications, Lund, Sweden) mounted to the
340 tower allows for visual canopy and snow ground cover monitoring through repeat
341 photography at 30-min resolution. We used the snow depth measurements and visual ground
342 cover information provided through images to determine the snowmelt period and the first
343 snow free date at the sites.

344 **3.5 Stem-temp approach**

345 All data analysis and visualization were done in R (R Core Team, 2020). We first
346 computed the average mean stem diurnal cycle for each species (\overline{SR}_{sp}) using the calculated
347 \overline{SR}_t . Then, we computed the stem-temp approach by conducting a moving-window Pearson's
348 correlation analysis (hourly resolution) between species' mean stem diurnal cycle (\overline{SR}_{sp}) and
349 sapwood temperature, as well as air temperature (stem-air temperature approach). We used
350 the package 'roll' (function `roll_corr`) (Foster, 2020). We defined statistically significant
351 correlations at the 99% confidence (package: 'treeclim'; function `[dcc]`, Zang and Biondi,
352 2015). We used the daily correlation value, which is the hourly correlation within the 24-hour
353 window of the specific day in local standard time (r-value at the 24-hour period), to identify
354 phenological transition dates in transpiration. Daily correlation values that surpass the critical
355 correlation value for the two-tailed test at the 99% confidence level ($r = 0.515$; two-tailed)
356 indicate then a statistically significant change in diurnal cycle patterns and identifies the
357 phenological transition dates described in section 2.1 (Figure 1).

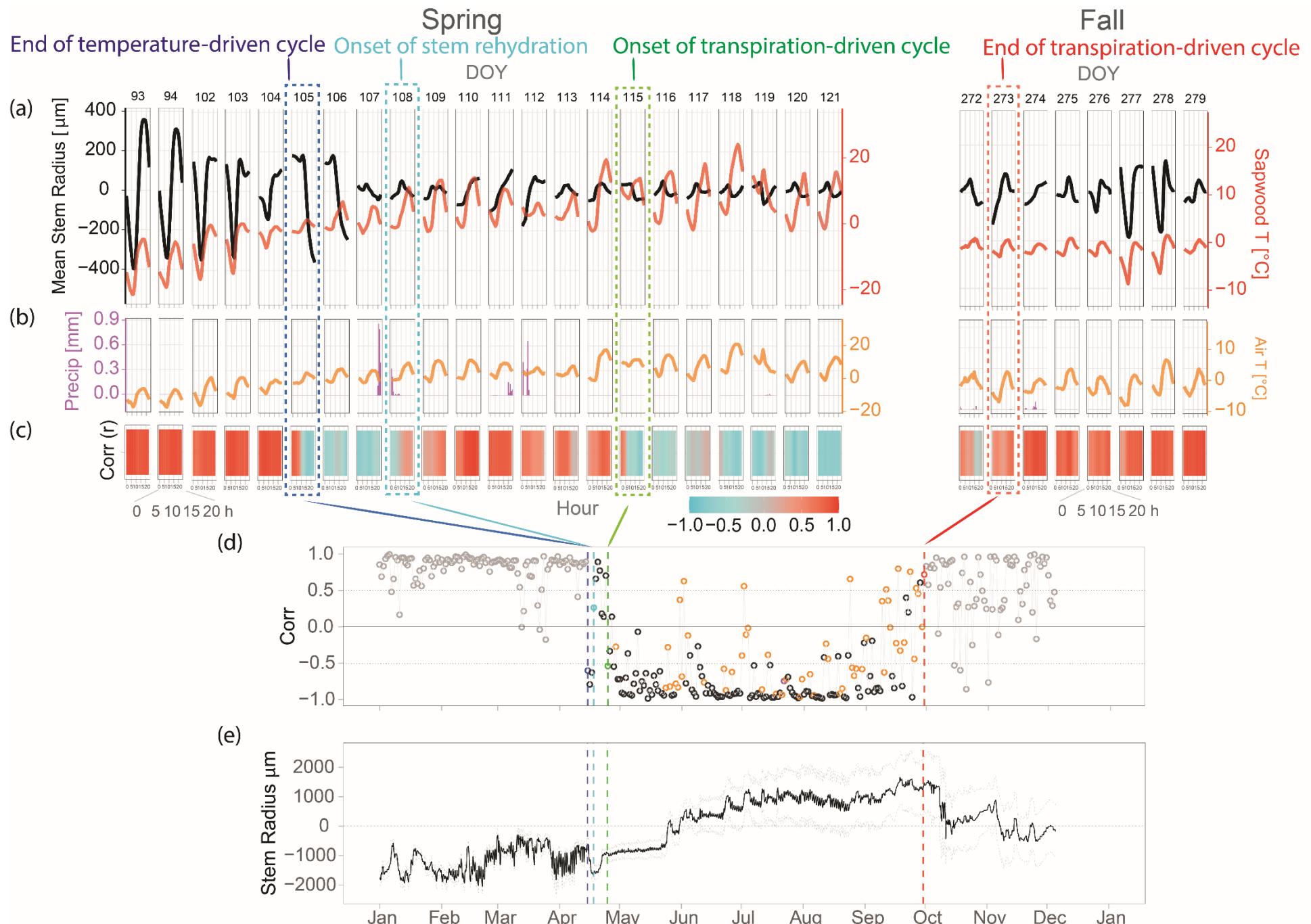
358 **3.6 Comparison between phenological approaches**

359 We first defined the timing of phenological transition phases obtained from the stem-temp
360 approach for black spruce and jack pine. We then compared phenological transition dates
361 obtained from the stem-temp approach against the results obtained from the same approach
362 when using air temperature. We calculated the difference between the transition dates (i.e.,
363 time lags) per year. We also computed the difference between stem-temp transition dates and

364 GCC ('greenness rising' and 'greenness failing') and the NEP-based phenological transition
365 dates. We evaluated the agreement between the transition dates obtained from these different
366 phenological approaches using a Pearson's correlation analysis. We visually compared the
367 stem-temp transpiration transition dates with ET and SIF data. We further compared the
368 assessment of transpiration phenology with another important phenological event in the
369 boreal forest - the snowmelt. We defined the snowmelt period based on observations of
370 decrease in snowpack depth and ground surface temperature (Zhang, 2005). **4. Results**

371 **4.1 Stem-temp correlation approach: Phenological assessment of transpiration**

372 The stem-temp approach provided useful information on the timing of transpiration
373 phenological changes (Figure 2). Figure 2 shows the daily correlation values between diurnal
374 stem cycles and sapwood temperature – the index of this approach (Figure 2d). The changes
375 in daily correlation indicated the end of temperature-driven cycles, onset of rehydration and
376 onset of transpiration-driven cycles in the spring, and the end of transpiration-driven cycles in
377 the fall. Here we show only 2018 data for jack pine at OJP site . The method was applied to
378 all years and species (see Supplementary Information for 2016, 2017, 2019 and 2020; Figures
379 S1 to S7). Below we describe transpiration phenology phases and the proposed approach in
380 detail.



382 Figure 2. Phenological assessment of transpiration using the stem-temp approach. The figure displays 2018 data from jack pine. Panel a) shows
383 Mean Stem Radius (i.e., mean diurnal cycles; black lines) following King et al., (2013), along with sapwood temperature (red) from April 3 to
384 May 6 (DOY 93 to 121) and September 7 to October 11 (DOY 272 to 279), respectively. Panel b) shows precipitation (Precip; purple) and air
385 temperature (Air T; yellow) during the same time interval as respective above panels. Panel c) shows moving hourly correlation analysis
386 between stem radius and sapwood temperature for the same period as the above panels. Panel d) shows daily correlation values between stem
387 radius and sapwood temperature throughout the year; orange dots indicate days with precipitation events between later morning and early
388 afternoon. Panel e) shows stem radius change in relation to previous' year maximum radius (Zweifel et al., 2016) (zero-line) and dashed lines
389 region shows the standard deviation for the 30 min time resolution measurement.

390 **4.1.1 Transpiration phenology in four phases**

391 The first phase change in transpiration phenology was the end of temperature-driven
392 cycles characterized by an abrupt change in correlation value from positive to negative that
393 surpassed the critical threshold value ($r = -0.515$) and that was statistically significant (Figure
394 2d). The change in correlation was in agreement with statistical assessment of significance. In
395 this phase, stem radius showed a decrease in stem size (Figure 2a; DOY 105 and 106) and did
396 not follow changes in air temperature. The end of temperature-driven cycles (Figure 2a) was
397 characterized by a maximum amplitude in stem radius and positive sapwood temperatures in
398 the morning. Following the negative correlations between stem radius and sapwood
399 temperature (Figure 2c,d), we observed the second phase change in transpiration phenology
400 characterized by the onset of stem rehydration. Rehydration characterizes by a short interval
401 with positive, but weak correlation values (Figure 2c,d) with continuous stem swelling
402 (Figure 2a; DOY 108 -114) and an abrupt increase in stem radius (Figure 2e).

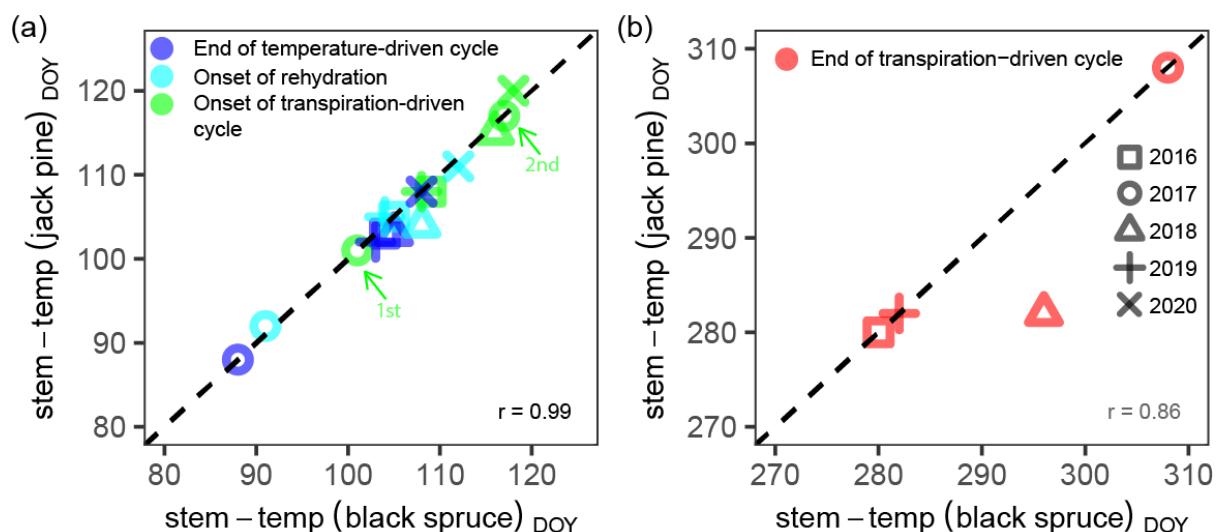
403 Following a short period of stem rehydration, the third phase change in transpiration
404 phenology characterizes the onset of transpiration. The onset of transpiration and shift to
405 transpiration-driven cycles was characterized by the change from positive to negative
406 correlation value that surpassed correlation threshold values (Figure 2c; DOY 115). This shift
407 was also observed with a characteristic transpiration-driven cycle, with a maximum stem
408 radius in the morning, and minimum in the afternoon (Figure 2a; DOY 115). Following the
409 onset, we observed negative correlation values that surpasses the threshold for at least two
410 days. After the onset of transpiration, we observed a continuous negative correlation value
411 throughout the growing season (Figure 2c,d), with exceptions on rainy days (Figure 2d).

412 The fourth and final phase occurred later in the growing season (Figure 2a). This was
413 characterized by the end of transpiration-driven cycles which was indicated by a statistically
414 significant change to positive correlation surpassing the critical threshold value; followed by
415 continuous positive correlations, and at least one day above the threshold ($r = 0.515$) (Figure
416 2c). During this period, we also observed a maximum amplitude in stem radius in the
417 afternoon, and later a clear temperature-driven cycle (e.g., Figure 2; Fall). This phase also
418 overlapped with the decrease in air temperature and sapwood temperature below 0 °C during
419 daytime and nighttime.

420 **4.2 Phenological assessment of transpiration in evergreen species and distinct study sites**

421 Black spruce and jack pine spring phenological transition dates were strikingly
 422 synchronous during the five year monitoring period (Figure 3). We observed a high
 423 correlation ($r = 0.99$; $p < 0.05$) between transition dates in the spring (Figure 3a). The mean
 424 difference on the timing was less than 1 d for all spring phases: (i) end of temperature-driven
 425 cycles in the spring, (ii) onset of stem and (iii) onset of transpiration-driven cycles (Table 1).
 426 In 2017, there were two onsets of transpiration (Figure 3a). We report the means (Table 1) in
 427 relation to latest onset because of the continuous transpiration-driven cycle (Figure 1b)
 428 indicating the onset of the growing season.

429



430

431 Figure 3. Comparison of black spruce transpiration phenology transition dates with jack pine
 432 (DOY = day of year). Both species transition dates were obtained using the stem-temp
 433 approach. Panel a) shows spring transition phases and correlation value (r) between jack pine
 434 and black spruce ($p < 0.05$). Panel d) shows fall transition phase and correlation value
 435 between jack pine and black spruce ($p > 0.05$). The dashed line is the 1:1 line. Arrows in
 436 panel a) indicate first (1st) and second (2nd) onset of transpiration in 2017.

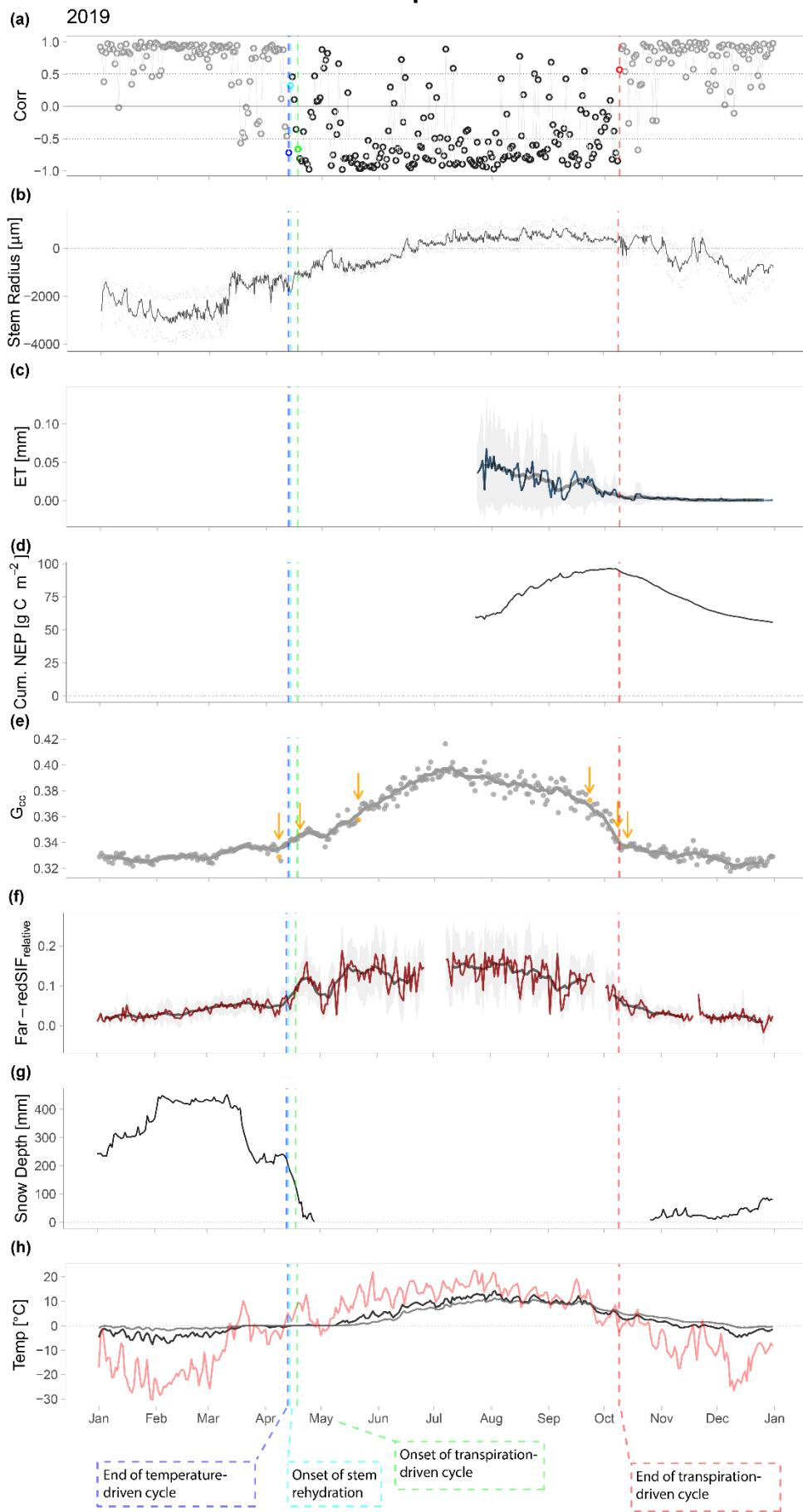
437 Table 1. Comparison between the stem-temp approach transition dates for the four phenological phases between jack pine and black spruce and
 438 comparison between stem-temp approach transition dates with other phenological approaches. Transitions date: mean transition date (DOY; day
 439 of the year) and SD shows the standard deviation. Mean difference: The mean difference obtained between transition dates (i.e., lag in days)
 440 obtained between compared methods or species. Stem-temp (air temperature) indicates the use of air temperature instead of sapwood
 441 temperature. OBS: Old Black Spruce site; OJP: Old Jack Pine site.

	Site	Phenological method	End of temperature-driven cycle		Onset of rehydration		Onset of transpiration		End of transpiration-driven cycle	
			DOY	SD	DOY	SD	DOY	SD	DOY	SD
Transition date (Mean ± SD)	OBS	stem-temp	101.6	7.0	104.00	7.1	113.6	5.6	291.5	11.3
Transition date	OJP	stem-temp	101.2	6.9	104.20	6.5	113.6	4.8	285.75	13.3
Mean difference	OBS-OJP	black spruce vs. jack pine	0.4	0.5	-0.2	0.7	0.0	1.1	5.7	10.0
Transition date	OBS	stem-temp (air temperature)	100.3	8.8	103.7	9.1	116.2	6.4	285.2	9.8
Transition date	OJP	stem-temp	99.7	5.7	102.5	14.8	102.5	14.8	291.7	16.5
Mean difference	OBS	stem-temp vs. stem-temp (air)	0.0	0.0	0.0	0.0	-2.6	2.8	6.2	11.2
Mean difference	OJP	stem-temp vs. stem-temp (air)	-0.2	0.4	0.0	0.7	-3.6	2.1	-6.00	12.3
Transition date	OBS	Greenness index (Gcc)	98.0	7.7			112.6	3.61	292.0	7.7
Mean difference	OBS	Gcc vs. stem-temp	3.6	2.7			1.0	1.3	-0.5	3.8
Transition date	OBS	cumulative NEP					114.5	3.9		
Transition date	OJP	cumulative NEP					114.6	5.3		
Mean difference	OBS	cumulative NEP vs. stem-temp					0.5	0.9		
Mean difference	OJP	cumulative NEP vs. stem-temp					-1.0	1.7		

443 We also observed periods of freezing in some springs. In 2017, there was an early end
444 of temperature-driven cycle (DOY 88; Figures S2 and S3), followed by an early onset of
445 rehydration on DOY 91 for black spruce, and one day later for jack pine (DOY 92) in
446 comparison with the other years (Table 1). Both species also showed a much earlier onset of
447 transpiration, on DOY 101 (Figure 3a). However, a long-freezing period with snowfall and
448 drop in air temperature, resulted in the drop of sapwood temperature below 0 °C, and end of
449 transpiration-driven cycle (Figure S2 and S3). Both species showed a final onset of
450 transpiration, with continuous transpiration-driven cycle, after this long freezing period, on
451 DOY 117 (Figure 3a). In 2019, a shorter refreezing period resulted in a temporary cessation
452 of the transpiration-driven cycles after the onset (Figures 4 and 5). There was a drop in air
453 temperature below 0 °C at night, with decrease in average daily air temperature, and
454 precipitation fell as snow during this interval. Stem diurnal cycle and correlation analysis
455 indicated that trees were not transpiring.

456 The two species behaved similarly in the fall (Figure 3b). The end of transpiration-
457 driven cycles (iv) occurred on DOY 291.5 ± 11.3 d for black spruce and jack pine on DOY
458 285.7 ± 13.2 d (Table 1). The correlation between the transition dates in the fall was lower
459 than in the spring and was not statistically significant ($r = 0.86$; $p > 0.05$). The species
460 behaved in unison, except in 2018. In 2018, while the stem-temp approach showed a clear
461 transition phase for jack pine on DOY 273 (Figure S5), black spruce transition was not clear
462 (Figure S4). Black spruce showed variability between positive and negative correlation
463 values, until a clear transition phase on DOY 296. In the fall of 2018 during the transition
464 period for both species, air temperature dropped below 0 °C but returned to positive air
465 temperatures. The oscillation in air temperature might have resulted in the observed
466 differences between species, or the failure of the method.

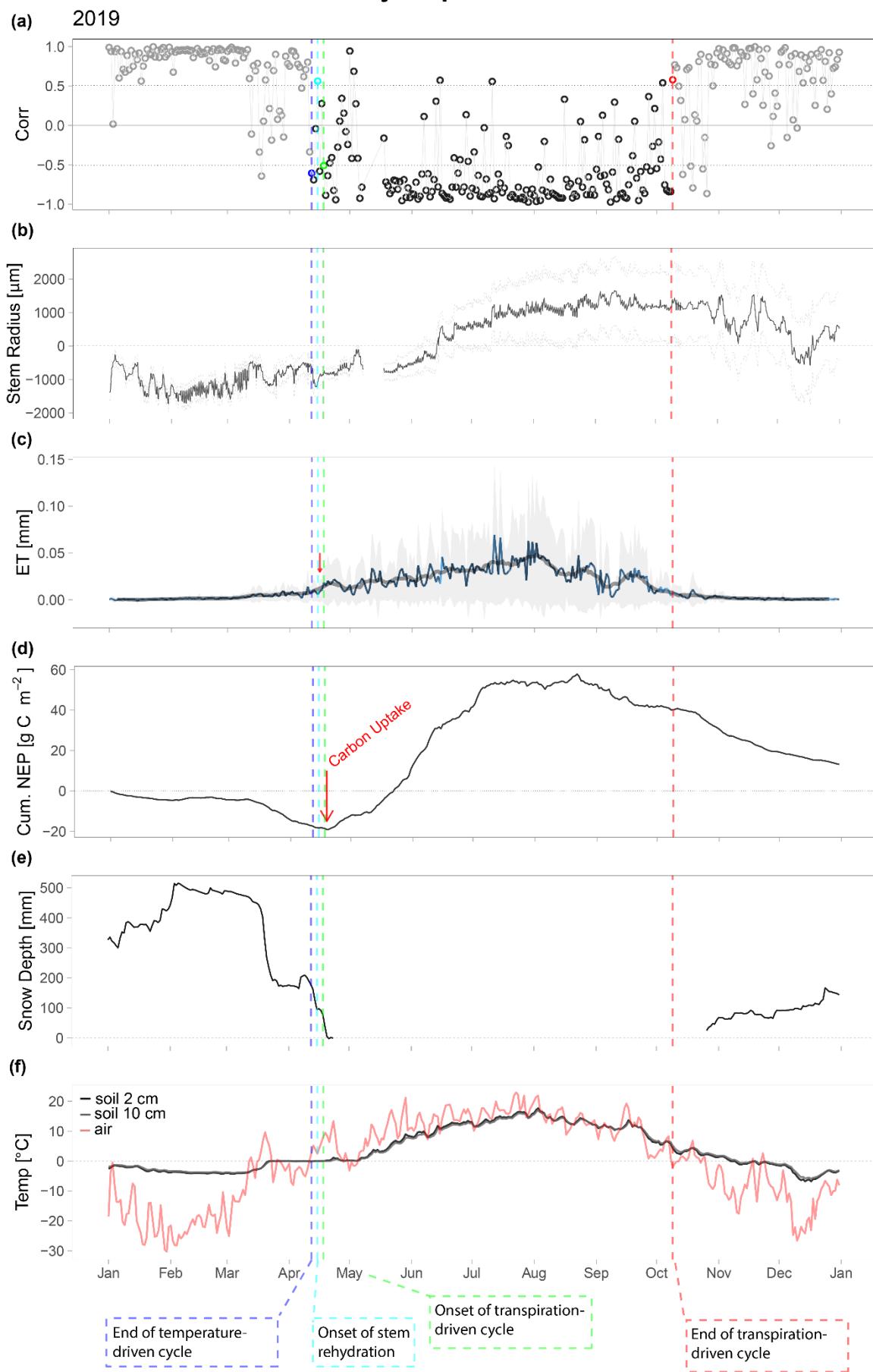
black spruce



468 Figure 4. Old Black Spruce site data collected in 2019 showing timing of transpiration
469 phenological phases for black spruce in the spring and fall. Panel a) shows daily correlation
470 values between stem radius and sapwood temperature throughout the year. Panel b) shows
471 stem radius change in relation to zero-growth line (zero line; previous' year maximum radius
472 (Zweifel et al., 2016)) and dashed lines region shows the standard deviation for the 30 min
473 time resolution measurement. Panels c) shows daily average evapotranspiration (ET) and the
474 standard deviation in shaded gray. Panel d) shows cumulative net ecosystem production
475 (NEP) indicating the onset of carbon uptake by red arrow. Panel e) shows Gcc and yellow
476 dots indicate transition phases in sequence, 10% rising transition, 25% rising transition, 50%
477 rising transition, 50% falling transition, 25% falling transition, 10% falling transition (with
478 arrows). Panel f) shows daily averaged SIFrelative data. Panel g) shows snow depth. Panel h)
479 shows shallow soil temperature (2 and 10 cm) and air temperature. Figures showing other
480 years can be found in the Supplementary Information (FigureS1 to S7).

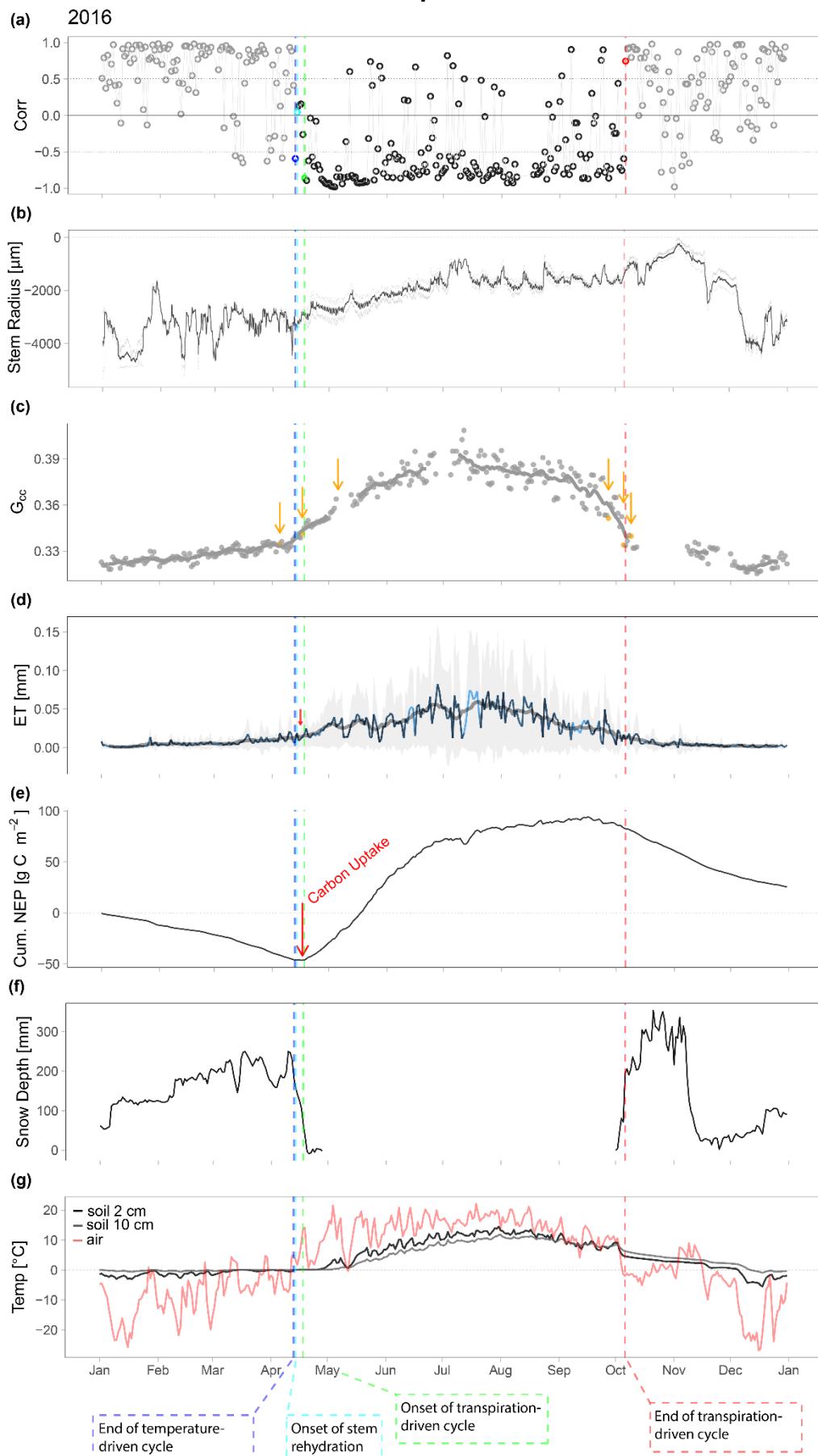
481

jack pine



483 Figure 5. Old Jack Pine site data collected in 2019 showing timing of transpiration
484 phenological phases for jack pine in the spring and fall. Panel a) shows daily correlation
485 values between stem radius and sapwood temperature throughout the year. Panel b) shows
486 stem radius change in relation to zero-growth line (zero line; previous' year maximum radius
487 (Zweifel et al., 2016)) and dashed lines region shows the standard deviation for the 30 min
488 time resolution measurement. Panels c) shows daily average evapotranspiration (ET) and
489 shaded are the standard deviation. Small red arrows indicate small decline in ET after onset
490 of rehydration. Panel d) shows cumulative net ecosystem production (NEP) indicating the
491 onset of carbon uptake by red arrow. Panel e) shows snow depth. Panel f) shows shallow soil
492 temperature (2 and 10 cm) and air temperature. Figures showing other years can be found in
493 the Supplementary Information (Figure S1 to S7).

black spruce



496 Figure 6. Old Black Spruce site data collected in 2016 showing timing of transpiration
497 phenological phases for black spruce in the spring and fall. Panel a) shows daily correlation
498 values between stem radius and sapwood temperature throughout the year. Panel b) shows
499 stem radius change in relation to zero-growth line (zero line; previous' year maximum radius
500 (Zweifel et al., 2016)) and dashed lines region shows the standard deviation for the 30 min
501 time resolution measurement. Panels c) shows daily average evapotranspiration (ET) and
502 shaded are the standard deviation. Small red arrows indicate small decline in ET after onset
503 of rehydration. Panel d) shows cumulative net ecosystem production (NEP) indicating the
504 onset of carbon uptake by red arrow. Panel e) shows Gcc and yellow dots indicate transition
505 phases in sequence, 10% raising transition, 25% raising transition, 50% raising transition,
506 50% falling transition, 25% falling transition, 10% falling transition. Panel f) shows snow
507 depth. Panel g) shows shallow soil temperature (2 and 10 cm) and air temperature. Figures
508 showing other years can be found in the Supplementary Information (FigureS1 to S7).

509 **4.3 Method comparison: Sapwood temperature and air temperature**

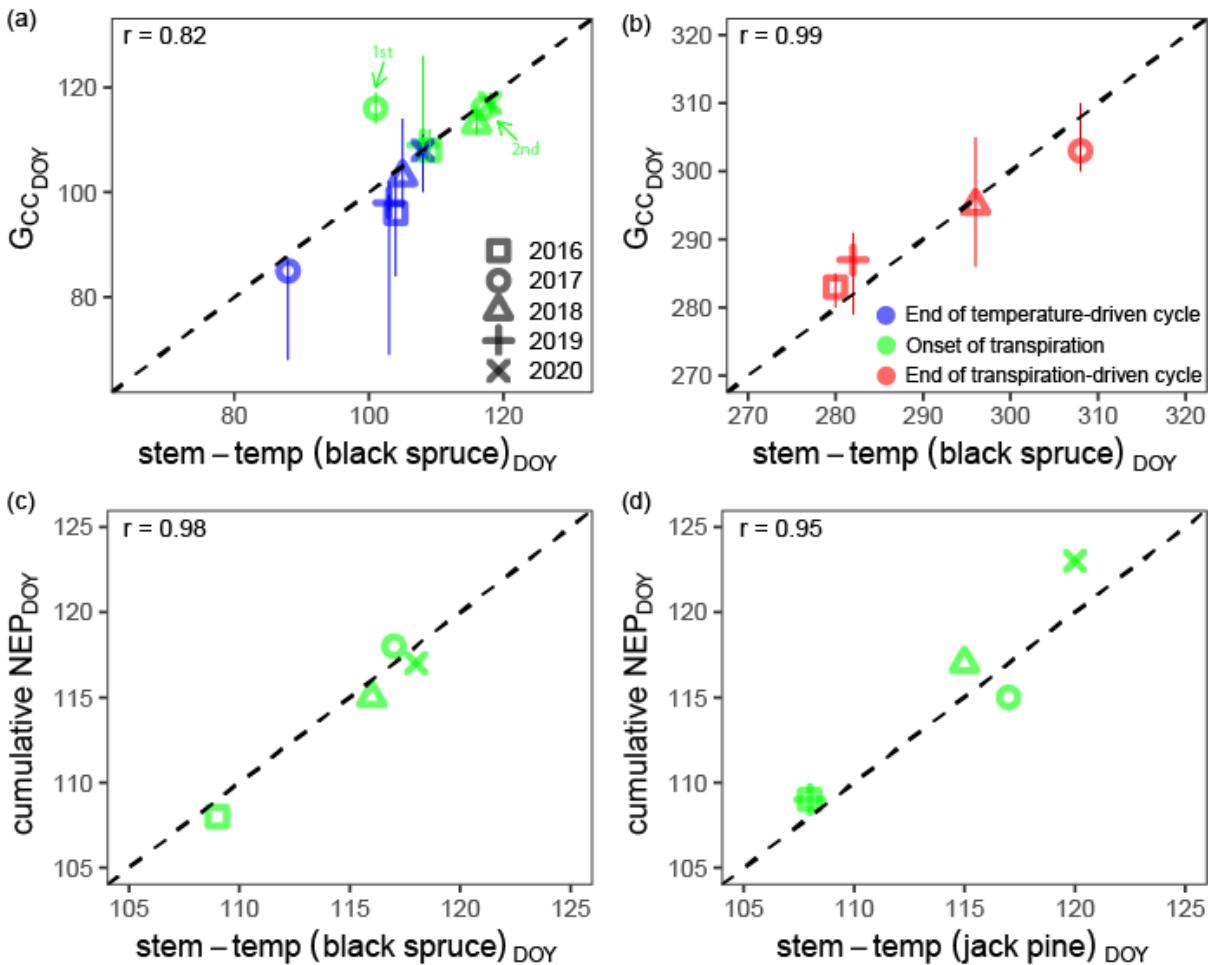
510 The stem-temp approach between stem radius and sapwood temperature versus stem
511 radius and air temperature indicated similar phenological timing for black spruce and jack
512 pine (Table 1). We observed no difference when using sapwood temperature or air
513 temperature when detecting the end of temperature-driven cycles for black spruce across the
514 observed period (Table 1). In 2016 and 2019 correlations between air temperature and black
515 spruce stem radius did not surpass the critical threshold and failed to indicate the end of
516 temperature-driven cycles (Figure S8 d). Jack pine showed a small offset on the end of
517 temperature-driven cycles in the spring when comparing air temperature and sapwood
518 temperature (Table 1). Onset of stem rehydration showed no difference when using sapwood
519 temperature or air temperature for black spruce and for jack pine. The stem-temp approach
520 using air temperature failed to detect the end of the temperature-driven cycle and onset of
521 rehydration in 2020 for jack pine. The onset of transpiration detected by sapwood and air
522 temperature were offset by 2.6 and 3.6 d for black spruce and jack pine, respectively when
523 compared with stem-temp using sapwood temperature. The timing for end of transpiration-
524 driven cycles in the fall was showed larger differences between methods (Table 1),

525 **4.4 Transpiration transition dates relationship with GCC, cumulative NEP, SIF_{relative},**
526 **ET, and environmental conditions**

527 The transition dates in the spring obtained from the stem-temp approach and the GCC
528 were aligned and strongly correlated ($r = 0.82$; $p < 0.05$) (Figure 7a). Our data showed that
529 the end of temperature-driven cycles aligns well with the 10% transition phase ('greenness
530 rising') of G_{cc} at OBS (Figure 7). The end of the freeze-thaw cycle was observed 3.6 d after
531 the 10% greenness rising within all five observed years (Table 1). The onset of transpiration
532 occurred approximately at the same time as the 25% transition in G_{cc} for black spruce (Figure
533 7a) with an average offset of 1 d (Table 1) which is within observed uncertainty for G_{cc} (8 d;
534 $n = 5$). The end of transpiration-driven cycle also showed a good agreement with G_{cc} fall
535 transition dates ('greenness falling') ($r = 0.99$; $p < 0.05$). On average end of transpiration-
536 driven cycles occurred -0.5 d before the 10% G_{cc} falling transition phase (Figure 7b; Table
537 1). In 2019 (Figure 4e) and 2016 (Figure 6c) and the end of transpiration-driven cycle was
538 closer to the G_{cc} 25% 'greenness falling' rather than the 10%, and it was observed in both
539 years.

540 The onset of transpiration observed with the stem-temp approach was strongly
541 correlated with the transition date for the onset of carbon uptake observed with cumulative
542 NEP at both sites (Figure 7c and d). Black spruce onset of transpiration was 2 d earlier and
543 jack pine -0.8 d later than the onset of carbon uptake at the site for the five-year observation
544 (Table 1).

545



546

547 Figure 7. Comparison of spring and fall vegetation phenological transition dates determined
 548 by the stem-temp approach with ‘greenness rising’ (a) and ‘greenness falling’ (b) from green
 549 chromatic coordinate (G_{cc}) and onset of carbon uptake from cumulative NEP. Panel a) shows
 550 the end of freeze-thaw cycle transition is directly compared with the 10% rising transition
 551 (G_{cc}), and the onset of transpiration transition with the 25% rising transition (G_{cc}), in the
 552 spring. Arrows in panel a) indicate first (1st) and second (2nd) onset of transpiration in 2017.
 553 Panel b) shows the end of transpiration-driven cycle is compared with the 10% falling
 554 transition in the fall. The significant correlation ($p < 0.05$) values (r) are indicated in each
 555 subplot. G_{cc} uncertainties are shown as error bars. The dashed line is the 1:1 line.

556 The timing of end of temperature-driven cycles and onset of transpiration in the
 557 spring are also aligned with observed increase in ET at both sites in 2019 (Figures 4 and 5)
 558 and other years (Figure 6; Figures S1 to S7). $SIF_{relative}$ in 2019 for black spruce aligned with
 559 the end of temperature-driven cycles and the onset of transpiration (Figure 4e). We observe
 560 an initial peak in $SIF_{relative}$ during the end of the freeze-thaw cycle, followed by a small
 561 decline during stem rehydration, and a second peak in $SIF_{relative}$ is observed during the onset

562 of transpiration. The decline in ET in the fall is also in agreement with the end of the
563 transpiration-driven cycles in the fall at both sites (Figures 4c, 5c and 6c). The days with
564 sporadic transpiration observed in 2019 after the end of transpiration-driven cycles in the
565 October indicated by the negative correlations that surpass critical thresholds, aligns with a
566 small peak in ET at both sites and SIF_{relative} at OBS (Figures 4a,c and 5c).

567 The onset of transpiration overlapped with snowmelt in both sites throughout the
568 observed period (2016-2020) (Figures 4g, 5e and 6f; Figures S1 to S7). OBS and OJP
569 snowmelt end date (i.e., date with no snow on the ground) occurred on DOY 122.6 ± 5.0 and
570 120 ± 5.1 , respectively (n = 5). The onset of transpiration occurred during the main melt
571 period and before the end of the main snowmelt for both black spruce and jack pine. The end
572 of transpiration-driven cycles was not directly associated with snowfall timing. For example,
573 the end of transpiration-driven cycle 2019 occurred before accumulation of snow on the
574 ground (Figure 4g and 5e), but after in the other years (Figures S1 to S7).

575 **5. Discussion**

576 Vegetation phenology drives the seasonality of carbon and water cycles (Keenan et
577 al., 2014; Richardson et al., 2013; Wolf et al., 2016). While a wide-range of metrics are
578 available to monitor canopy-level phenological changes related to photosynthetic activities
579 (e.g., GCC, SIF, CCI), there is no alternative metric to evaluate transpiration phenology in the
580 boreal forest. Our work here shows that the stem-temp approach using stem diurnal cycles
581 and sapwood temperature is useful in describing phenological transition dates in
582 transpiration. stem-temp

583 **5.1 How does the stem-temp transition dates compare between species and to other 584 approaches?**

585 Our stem-temp approach allowed us to observe the timing of phenological changes in
586 transpiration and characterize four transition phases (i) the *end of temperature-driven cycle*,
587 (ii) the *onset of stem rehydration* and (iii) the *onset of transpiration* in the spring, and (iv) the
588 *end of transpiration-driven cycles* during the end of the growing season in the fall. This
589 method provides high-temporal resolution assessment of phenological transition dates in
590 transpiration that have not been previously reported in the literature. The stem-temp approach
591 diverges from previous seasonal assessments of stem radius change (Tardif et al., 2001;
592 Turcotte et al., 2009) because it allows daily assessment of the timing of transpiration

593 phenological changes with a focus on tree hydraulics instead of radial growth. Additionally,
594 the stem-temp approach does not depend on knowledge of species-specific sap freezing point
595 to define phenological transition dates; nor does it need weeks of data for statistical
596 assessment of transition dates because it evaluates the change in correlation using daily stem
597 cycle and sapwood temperature. While it has been difficult previously to identify rehydration
598 and onset of transpiration in cold environments (Kozlowski and Winget, 1964; Mäkinen et
599 al., 2008; Tardif et al., 2001) the proposed approach provides mechanistic assessment that
600 uses physiological knowledge and goes beyond graphical analysis.

601 The stem-temp approach builds directly on research that provides physiological
602 understanding of the phenomena (Améglio et al., 2001; Sevanto et al., 2006; Steppe et al.,
603 2006; Zweifel and Häslar, 2000). The diurnal change in stem radius is closely related to
604 changes in water potential gradients between xylem and inner bark. In the warm months of
605 the year, transpiration is the driver of shrinkage and expansion of the inner bark; whereas in
606 colder months air temperature is the driver (Zweifel and Häslar, 2000). We tested the
607 approach across two coniferous species growing at different sites from 2016 to 2020. Our
608 data showed that the proposed approach was able to track transpiration phenology in both
609 species. However, the approach might be limited to evergreen species, and future
610 investigation is necessary across different species.

611 Black spruce and jack pine showed close timing of phenological transition dates, with
612 a maximum offset of only one day observed between them. Both evergreen species' aligned
613 timing of transpiration onset in the spring and fall senescence could result from their site
614 proximity and similar local environmental conditions. A previous study showed that the
615 reactivation of photosynthesis and transpiration in evergreen species in the spring is mainly
616 driven by increased air, soil temperature, and soil water content (Pierrat et al., 2021). In the
617 boreal forest, the increase in soil water content in the spring occurs via snowmelt. A 15 years
618 investigation showed that spring snowmelt start date and soil thaw at OBS and OJP sites
619 occurred on the same day (Ahmed et al., 2021). This synchronous increase in moisture
620 availability and soil thaw at both sites might explain the strong correlation and close
621 phenological transpiration transition dates observed between species in our study (Figure 3).
622 The aligned onset of transpiration between sites is also consistent with previous observations
623 of similar onset dates for carbon uptake between sites, with a mean difference of 3 days
624 (2001-2015) (Ahmed et al., 2021). The onset of transpiration in the spring was consistent at

625 the end of April, with a small variability on this date within a five-year observation period
626 (Table 1). However, there was larger variability in the fall transition date for the end of
627 transpiration-driven cycles compared to the onset of transpiration (Table 1). The end of
628 transpiration driven cycles was observed between late September and early November,).
629 Thus, the length of the active transpiration season seems to be more impacted by the timing
630 of fall transition phase rather than spring onset at the studied sites. This opens new
631 opportunities for further investigation of fall vegetation phenology as this has been less
632 studied than spring phenology (Montgomery et al., 2020) and could explain interannual
633 variability of NEP (Wu et al., 2013). To the best of our knowledge, this is the first assessment
634 that shows autumn transpiration phenology.

635 Overall phenological transition dates obtained from the stem-temp approach using
636 sapwood temperature and air temperature were in good agreement. Sapwood temperature
637 showed stronger correlations to stem diurnal cycle and might be preferred when using this
638 approach in comparison to air temperature. The later showed weaker correlation with stem
639 radius that may not surpass the critical threshold for statistical significance. This explains
640 some of the offset between approaches, when using sapwood temperature versus air
641 temperature. Beyond the stem-temp approach, we explored a phase-shift analysis which
642 considers only the phase shift of the circadian cycle. A more detailed explanation and
643 assessment can be found in the Supplementary Information (Session 2, Figures S8 and S9).

644 Our transpiration phenological transition dates obtained with the stem-temp approach
645 were compared with canopy-level eddy-covariance derived ET and NEP, remotely sensed
646 products, and measurements of environmental conditions (i.e., snow cover, air temperature
647 and soil temperature). While these measurements represent distinct processes (i.e.,
648 transpiration, evapotranspiration, photosynthetic activity and carbon uptake) and at different
649 scales (i.e., ecosystem and tree level), the underlying physiological processes are intrinsically
650 related, as shown by previous studies in evergreen forests (Bowling et al., 2018; Pierrat et al.,
651 2021; Sevanto et al., 2006). Additionally, previous vegetation phenology investigations
652 comparing different methods, such as canopy-level eddy covariance measurements (i.e., the
653 onset of carbon uptake), repeated photography from canopy (i.e., G_{cc}) and ground
654 observations of budburst showed strong agreement when detecting phenological transition
655 dates at different spatial scales (Richardson et al., 2018; Seyednasrollah et al., 2021).

656 Here, we show that field-based transpiration phenological assessment using stem
657 radius monitored within the tower footprint (Chen et al., 2012, 2011) aligned well and
658 showed a strong correlation with the transition date of onset of net positive carbon uptake
659 observed with cumulative NEP at both sites. On average, the mean difference between the
660 onset of transpiration and carbon uptake at OJP and OBS was equal to, or smaller by one day,
661 respectively (Table 1). This also supports previous work that showed a strong temporal
662 correlation between tree-level stem radius change and tower-based NEP measurements in an
663 evergreen forest (Zweifel et al., 2010). We also observe increased evapotranspiration at both
664 sites during the onset of transpiration, but where the onset of ET is less clear. Small peaks in
665 ET during the spring align with the end of temperature-driven cycles and onset of
666 transpiration, showing a small decline in ET during stem rehydration (Figures 5 and 6).
667 Additionally, our proposed method allows observations of transpiration during sporadic
668 warm days after the end of the transpiration-driven cycles. For example, we observed a single
669 day or few days where correlation coefficients surpassed the negative threshold indicating
670 transpiration after the end of the growing season in 2016 (Figure S1), 2018 (Figure S5) (at
671 OJP only) and 2019 (Figures 4 and 5). This occurred on days when air temperature (but not
672 necessarily soil temperature) briefly rose above 0 °C. These days aligned with small peaks in
673 ET. The analysis offers a novel approach for understanding the potential for wintertime
674 photosynthetic activity (Sevanto et al., 2006) which is projected to increase under future
675 climate warming scenarios (Vitasse et al., 2018).

676 We compared the timing of transpiration phenological changes with remotely sensed
677 G_{cc} and $SIF_{relative}$ to explore the link between stem radius measurements and canopy-level
678 phenological change. The data showed a strong correlation between the stem-temp and G_{cc}
679 phenological transition dates (Figure 7). The end of temperature-drive cycles is in good
680 agreement with the 10% ‘greenness rising’ transition date, and that the onset of transpiration
681 indicates a great coherence with 25% ‘greenness rising’ transition dates from G_{cc} . The end of
682 transpiration-driven cycles in the fall was observed near the 10% and 25% ‘greenness falling’
683 transition dates. Furthermore, the end of temperature-driven cycle, onset of transpiration in
684 spring and the end of transpiration-driven cycle in fall align closely with variability in
685 $SIF_{relative}$. Additionally, changes in transpiration-driven cycles are reflected in $SIF_{relative}$ and
686 G_{cc} signals. In particular, in 2017 and 2019, we observed the onset of stem rehydration and
687 transpiration that was followed by a period of no transpiration-driven cycles and a
688 corresponding decrease in $SIF_{relative}$ (in 2019) and G_{cc} signals. After slightly more than a

689 week, trees resumed transpiration and we observed increases in both G_{cc} and $SIF_{relative}$ signals.
690 These relationships are explored further in Pierrat et al. (2021). $SIF_{relative}$ has been shown to
691 effectively track seasonal changes in photosynthetic phenology (Magney et al., 2019; Parazoo
692 et al., 2018; Pierrat et al., 2021; Pierrat et al., 2022) as well as having generally good
693 agreement with G_{cc} (e.g., Melaas et al., 2016; Richardson et al., 2018; Seyednasrollah et al.,
694 2020). Thus, transpiration phenological assessment that focus on the timing of changes in tree
695 hydraulics and stem temperature are well aligned with canopy-level observations that reflect
696 photosynthetic activity. The comparison across methods that provide insights into distinct,
697 but interrelated processes (i.e., transpiration and photosynthetic activity) highlights the
698 advantage of combining distinct approaches to study vegetation phenology.

699 Finally, phenological assessment using stem-radius and sapwood temperature
700 measurements provides a unique opportunity to investigate species-specific phenological
701 responses to environmental change beyond what eddy-covariance derived measurements can
702 provide alone. Eddy-covariance derived ET are spatially integrated and influenced by
703 understory activity and evaporation (Chu et al., 2021; Pappas et al., 2020). The stem-radius
704 measurements are species-specific and provide viable measurements under variable terrain
705 and weather conditions. Thus, stem-temp approach enables phenological assessments of
706 transpiration only and can compliment eddy-covariance measurements. The combination of
707 stem-temp approach and eddy-covariance method allow comparison of onset of transpiration,
708 evapotranspiration, photosynthetic activity, and carbon uptake at the stand level as shown
709 here (Figures 4 to 6) and allows investigation of the synchronicity of these distinct processes.
710 This evidence the usefulness of this alternative approach in tracking phenological transition
711 dates in the boreal forest. Additional investigation is needed to understand how the results
712 presented here compare to other evergreen sites.

713 The stem-temp approach is extremely useful during periods where snow cover may
714 obscure remote sensing observations from both satellite or tower-based measurements
715 (Pierrat et al., 2022, 2021). Even G_{cc} , which is simple and proven useful phenological
716 measurement is disturbed by snow cover. There are periods during the transition phase where
717 snow intercepted by the canopy does not allow continuous G_{cc} monitoring as we observed at
718 OBS. The higher snow-catch efficiency of the jack pine canopy when compared to black
719 spruce did not allow assessment of G_{cc} transition dates at this site. We observed more days
720 with snow cover from canopy images at OJP (phenocam.nau.edu/webcam/sites/canadaojp/)

721 when compared to OBS, which may be related to the difference in branch and foliage
722 architecture (i.e., jack pine has longer and stiffer needles that grows in bundles, with branches
723 growing laterally or ascending). The higher snow-catch efficiency of individual jack pine
724 trees (i.e., not rereferring to stand density of the site, but individual level) when compared to
725 black spruce trees have been previously observed at the sites (Balland et al., 2006). The
726 presence of snow over vegetated targets, for example the tree that can intercept snow for
727 longer periods, complicates the use of remote sensing and other metrics for determining
728 spring phenology (Nelson et al., 2022). Snow cover and snow-catch efficiency do not
729 interrupt stem-radius measurements, making them a useful and complementary tool in
730 validation and interpretation of phenological changes approximated by other metrics.

731 The onset of transpiration in spring overlapped with the snowmelt in every observed
732 year (2016-2020, five years). This shows an interesting overlap of phenological events in the
733 boreal forest during spring, snowmelt and transpiration onset. Previous work at the studied
734 sites (OJP and OBS) showed a strong correlation between the onset of carbon uptake and
735 snowmelt in the spring (observations: 2011-2015) (Ahmed et al., 2021). Bowling et al.,
736 (2018) also showed that the onset of transpiration occurs during snowmelt in the Rocky
737 Mountains. Snowmelt timing is changing (Chen et al., 2015), and snowpack is declining in
738 northern latitudes (Mote et al., 2018; Musselman et al., 2021; Pederson et al., 2013). Thus,
739 understanding the relationship between snowmelt and onset of transpiration in the spring is
740 important to foresee the impact of environmental changes on vegetation phenology. Our
741 proposed stem-temp approach provides the opportunity for a continuous and high-temporal
742 resolution (i.e., daily) monitoring of transpiration phenology to identify phenological changes
743 and the long-term relationship with snowmelt and climate warming impacts.

744 **5.2 Tracking transpiration phenology: A new way forward?**

745 We are not aware of any other technique that has evaluated the timing of phenological
746 transition dates in tree transpiration from spring to fall. Sap flow measurements can provide
747 an assessment of transpiration onset. Recent research has shown that one can track changes in
748 transpiration in cold environments by developing new zero flow baselines per tree to
749 address changes in air temperature during this period (Chan and Bowling, 2017). Sap flow
750 sensors offer the possibility to detect transpiration but lack information on changes in storage.
751 Thus, the detection of tree hydraulic phenology with dendrometers offers the unique
752 opportunity to identify the timing of transpiration and storage rehydration processes in the

753 spring. While eddy-covariance derived ET provides an indirect assessment of transpiration,
754 ET is also influenced by understory transpiration and by snow sublimation in the spring, the
755 latter can be a large component during the onset of the growing season (Broxton et al., 2015;
756 Molotch et al., 2007; Sexstone et al., 2016). Thus, stem radius measurements can provide
757 complementary information during spring onset, not observed by other methods. Future
758 investigation should also focus on tree-to-tree variation. This could be done by monitoring
759 stem radius and sapwood temperature simultaneously in all measured individuals. Our study
760 was limited in this aspect as we carried the analysis based on the average species response.
761 This information could provide transpiration phenology assessment at the individual-level.

762 Even though transpiration is closely linked to photosynthesis, distinct processes are
763 captured among different measurements that can provide new perspectives on the timing of
764 phenological changes (Pierrat et al., 2021). Thus, coupling different approaches that allow
765 distinct assessment may improve this daunting task of defining onset and end of growing
766 season in northern environments (Ahmed et al., 2021; Nelson et al., 2022). Additionally,
767 phenological assessment that offers species-specific changes at daily resolution is necessary
768 to investigate synchronicity of distinct processes and better understanding of the complex
769 relationship with environmental drivers. This can help to detect when trees are transpiring
770 and guide assessment of tree water use in ecosystems that goes dormant during winter
771 (Nehemy et al., 2022) . Daily resolution assessment of transpiration phenological change is
772 also important during shoulder seasons when there is large variability in air temperature that
773 leads to re-freezing of stems and delays the onset of transpiration as we observed in 2017 and
774 2019 in this study. The observation of re-freezing of stems can be the norm rather than
775 exception and longer-term observations is necessary to observe this behaviour. This is
776 important with recent evidence showing that the increasing length of growing season with
777 climate warming may lead to increased plant exposure to frost in the spring after onset of
778 transpiration (Liu et al., 2018; Richardson et al., 2018).

779 **6. Conclusion**

780 We showed that the use of stem-temp approach allows a daily assessment of the
781 timing of four transpiration phenological changes, (i) the temperature-driven cycles
782 indicating the start of the growing season, (ii) the onset of stem rehydration, (iii) the onset of
783 transpiration, and (iv) the end of transpiration-driven cycles indicating the end of the growing
784 season. These phases can be both quantitatively assessed through the correlation coefficients

785 between stem radius and stem temperature data, or stem radius and air temperature. We
786 showed that tracking transpiration phenology using this new approach is in good agreement
787 with canopy-level assessment of vegetation phenology through the use of Gcc. The timing of
788 onset of transpiration observed through stem-temp assessment aligns well with observations
789 of increase in ET, SIF, and onset of net positive carbon uptake.

790 The stem-temp approach provides information about distinct processes that cannot be
791 assessed with canopy-level phenological change measurements and is thus useful to
792 understand species-specific phenological responses to climate warming. Phenological
793 assessment through the use of stem radius is not affected by variable atmospheric conditions
794 during shoulder seasons and is also not affected by canopy snow cover which limit eddy-
795 covariance and remote sensing observations. Phenological assessment with stem radius
796 change also offers opportunities to inform existing eddy-covariance infrastructure across
797 North-America (e.g., FLUXNET and NEON sites) and sites with phenocams (e.g.,
798 PhenoCam Network) to improve our understanding of the links between transpiration
799 phenology, photosynthetic phenology, and the seasonal cycles of carbon and water in
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823 **Data Statement**

824 The data from the study is currently available at the Federated Research Data Repository:
825 doi.org/10.20383/102.0367 (Nehemy et al., 2021) under Creative Commons Attribution (CC
826 BY 4.0) licence. The phenocamera images and Gcc data are available at PhenoCam Network:
827 phenocam.sr.unh.edu. SIF_{relative} data archiving is underway and will be made available on
828 Zenodo.

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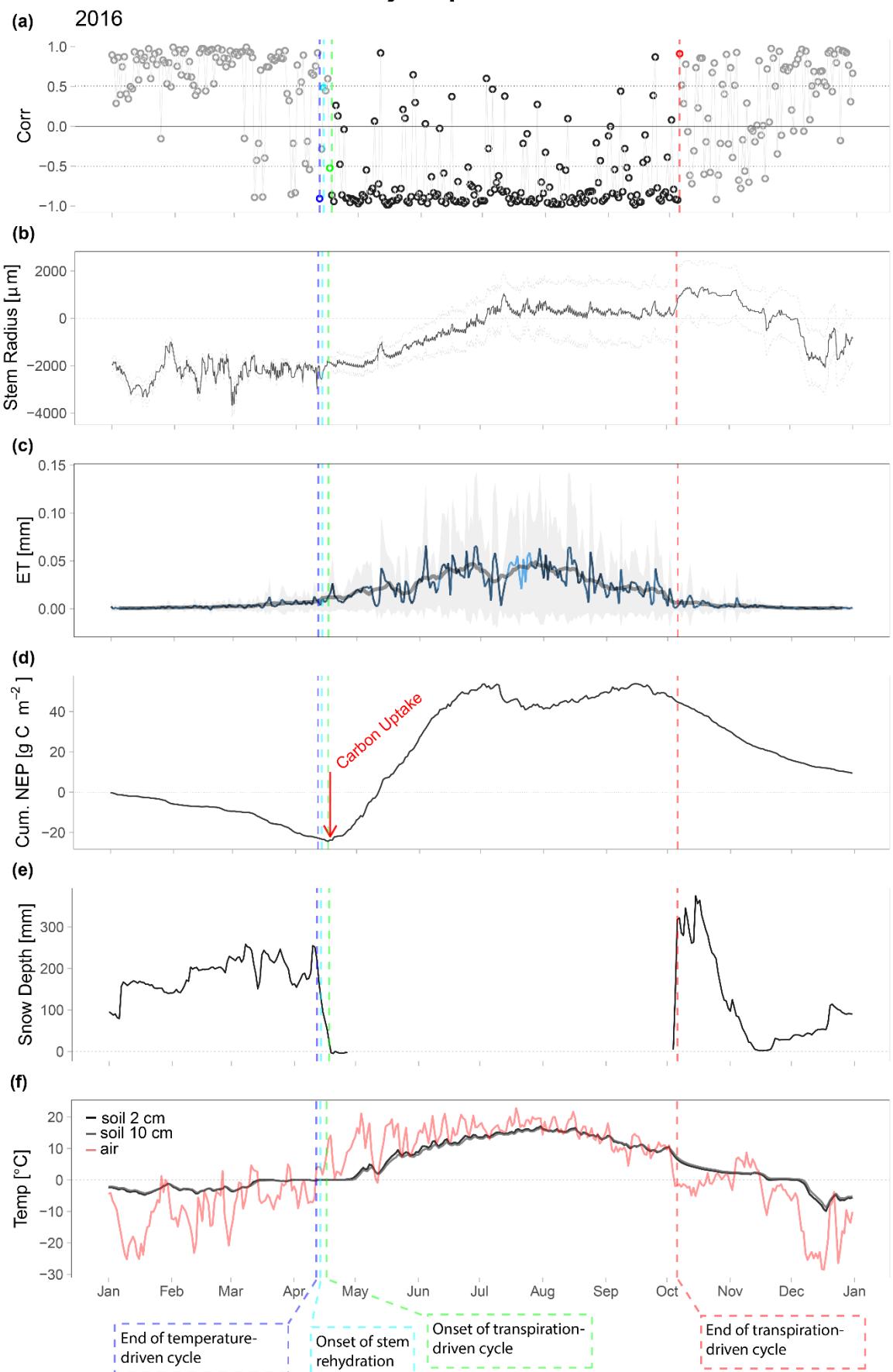
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Supplementary Information

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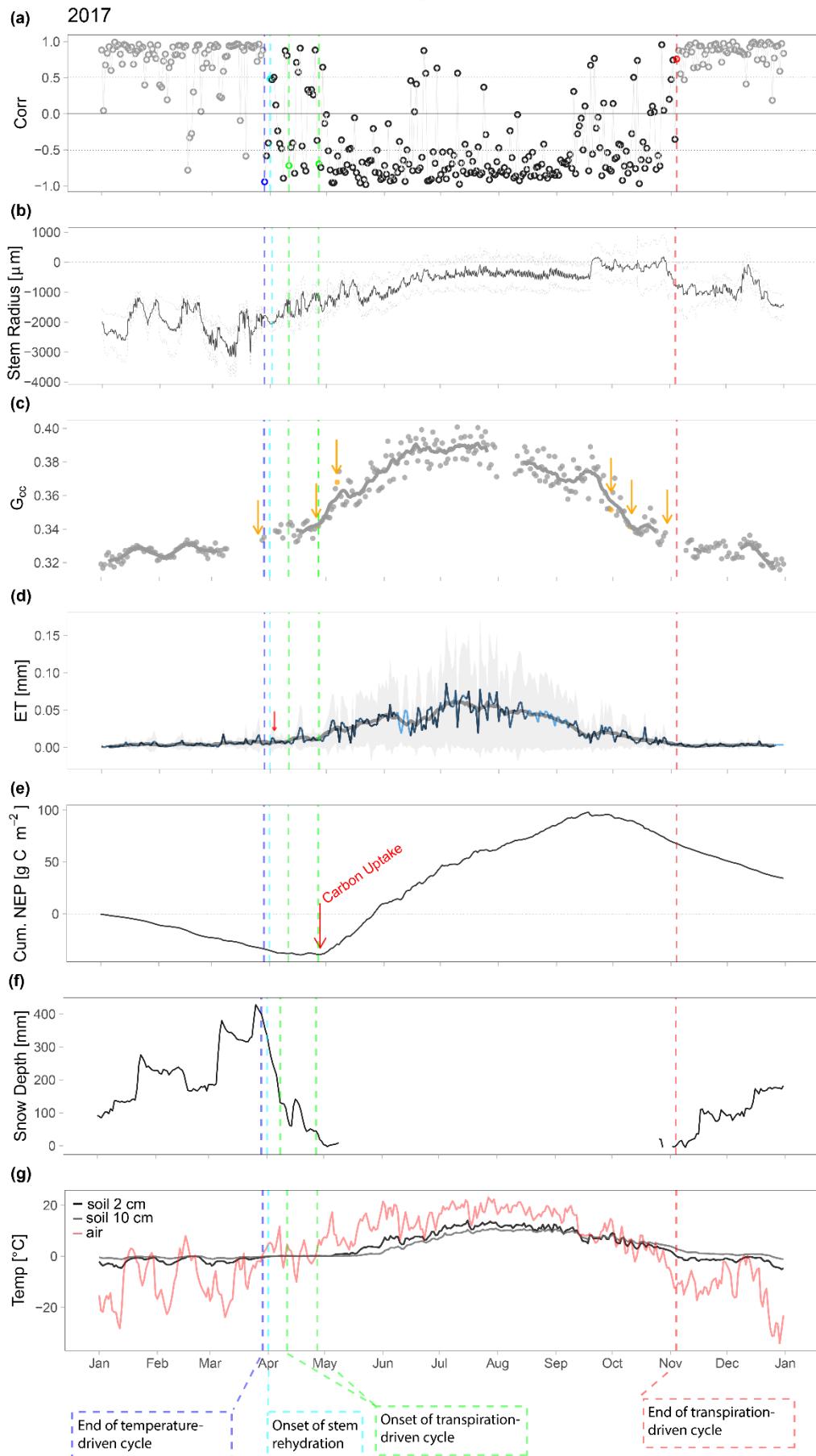
jack pine



1143 Figure S1. Old Jack Pine site data collected in 2016 showing timing of transpiration
1144 phenological phases for jack pine in the spring and fall. Panel a) shows daily correlation
1145 values between stem radius and sapwood temperature throughout the year. Panel b) shows
1146 stem radius change in relation to zero-growth line (zero line; previous' year maximum radius
1147 (Zweifel et al., 2016)) and dashed lines region shows the standard deviation for the 30 min
1148 time resolution measurement. Panels c) shows daily average evapotranspiration (ET) and
1149 shaded are the standard deviation. Small red arrows indicate small decline in ET after onset
1150 of rehydration. Panel d) shows cumulative net ecosystem production (NEP) indicating the
1151 onset of carbon uptake by red arrow. Panel e) shows snow depth. Panel f) shows shallow soil
1152 temperature (2 and 10 cm) and air temperature.

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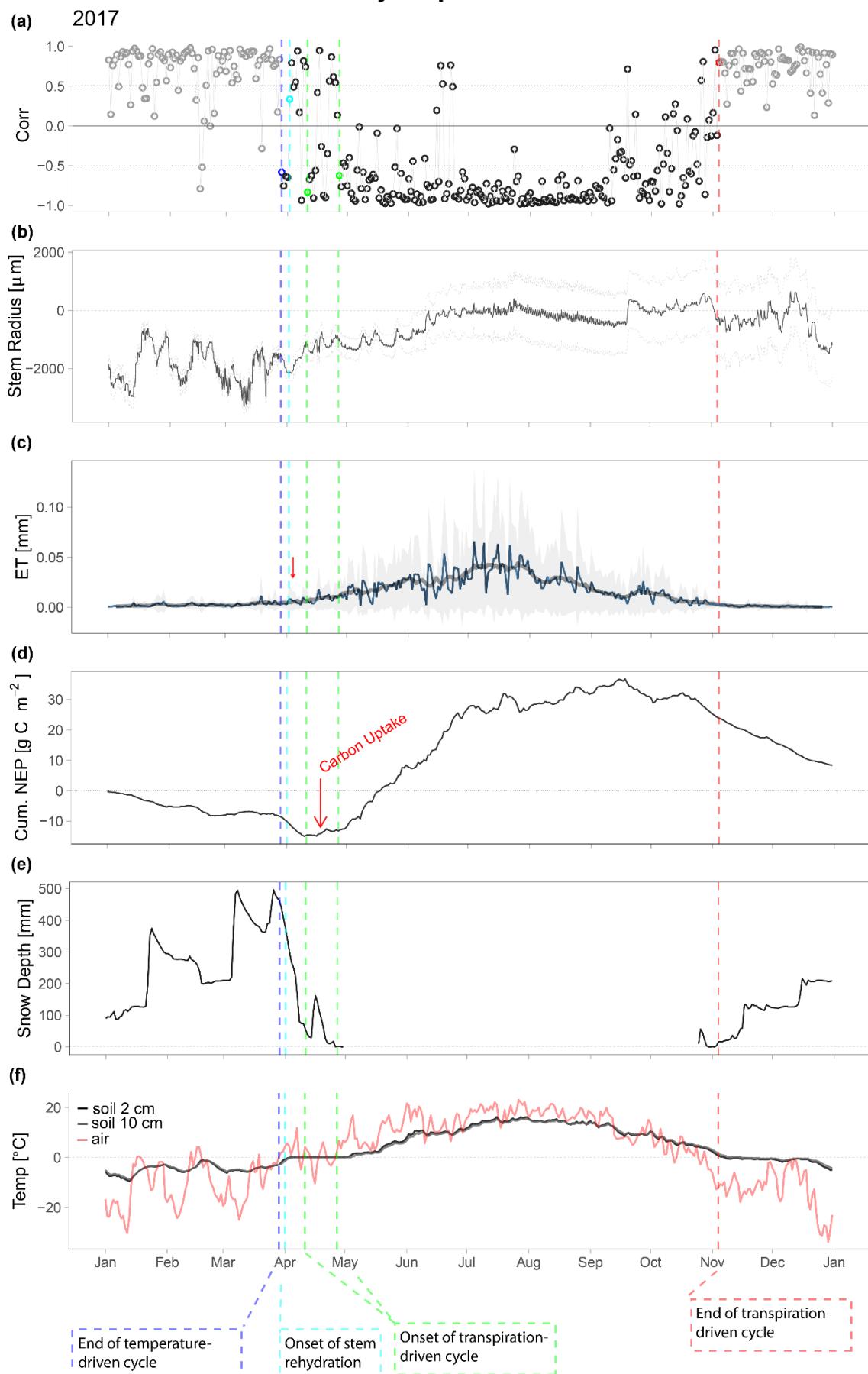
black spruce



1155 Figure S2. Old Black Spruce site data collected in 2017 showing timing of transpiration
1156 phenological phases for black spruce in the spring and fall. Panel a) shows daily correlation
1157 values between stem radius and sapwood temperature throughout the year. Panel b) shows
1158 stem radius change in relation to zero-growth line (zero line; previous' year maximum radius
1159 (Zweifel et al., 2016)) and dashed lines region shows the standard deviation for the 30 min
1160 time resolution measurement. Panels c) shows daily average evapotranspiration (ET) and
1161 shaded are the standard deviation. Small red arrows indicate small decline in ET after onset
1162 of rehydration. Panel d) shows cumulative net ecosystem production (NEP) indicating the
1163 onset of carbon uptake by red arrow. Panel e) shows G_{cc} and yellow dots indicate transition
1164 phases in sequence, 10% raising transition, 25% raising transition, 50% raising transition,
1165 50% falling transition, 25% falling transition, 10% falling transition. Panel f) shows snow
1166 depth. Panel g) shows shallow soil temperature (2 and 10 cm) and air temperature.

1167

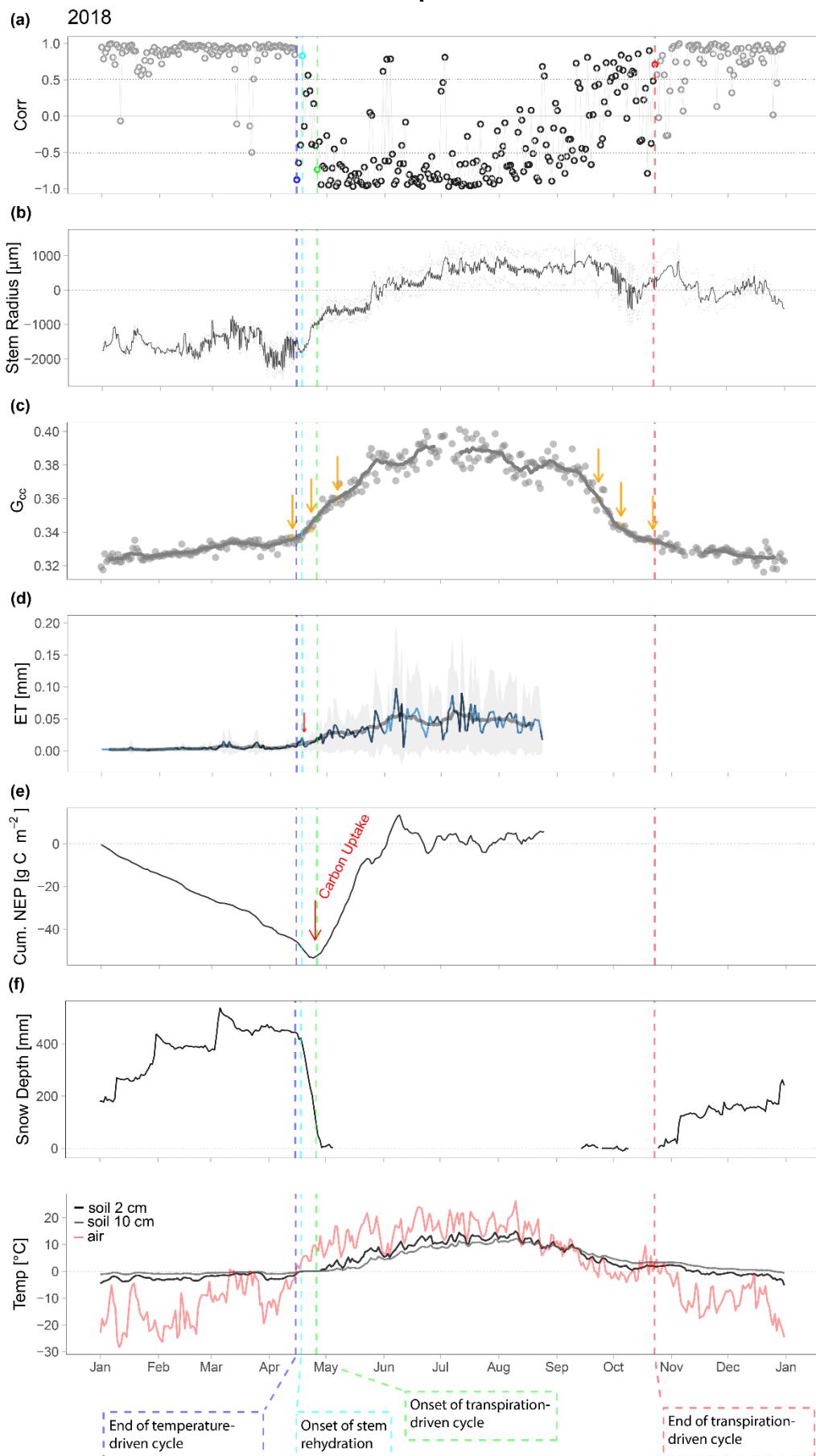
jack pine



1169 Figure S3. Old Jack Pine site data collected in 2017 showing timing of transpiration
1170 phenological phases for jack pine in the spring and fall. Panels a) to f) shows the same
1171 variables as in Figure S1.

1172

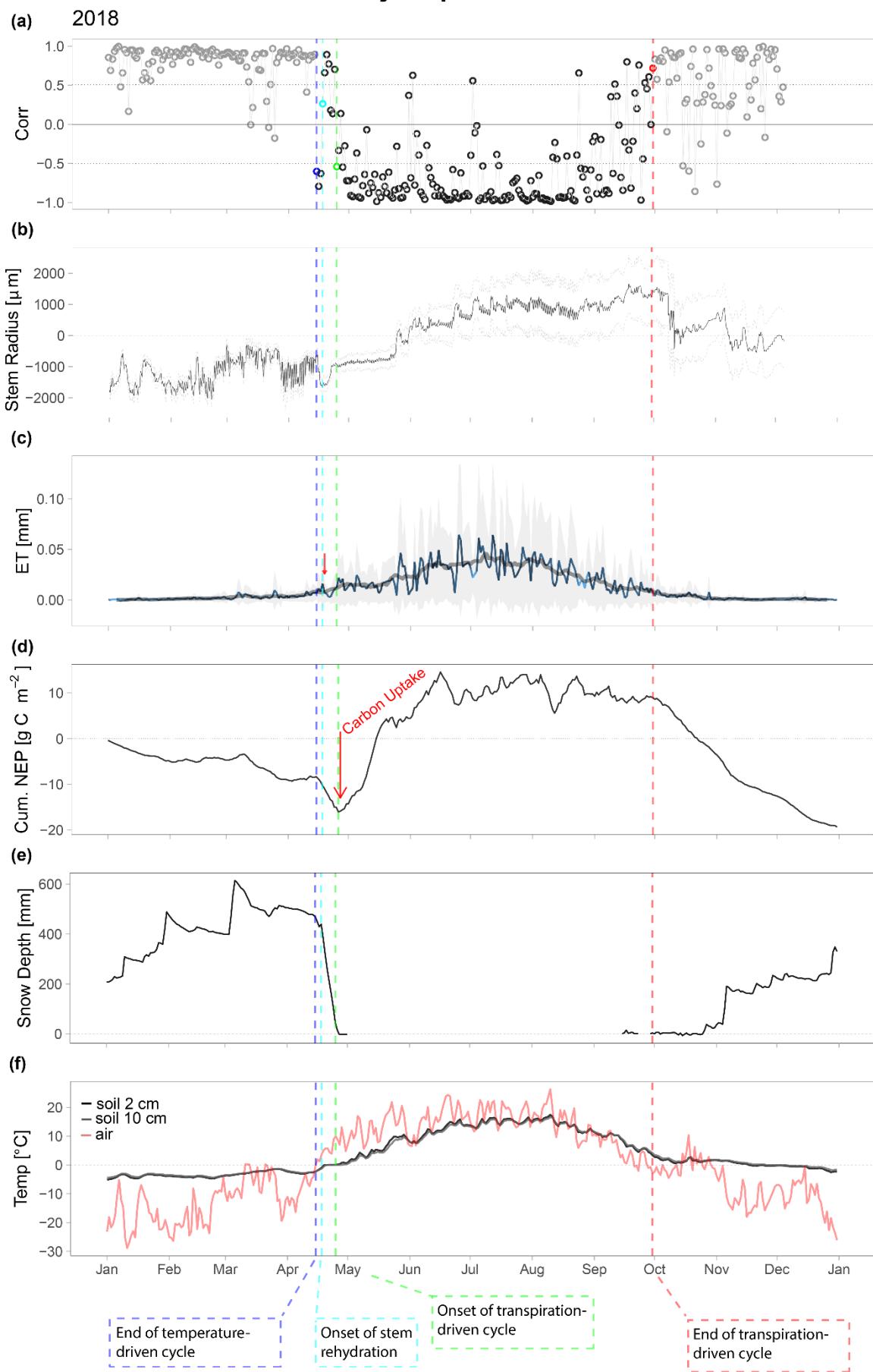
black spruce



1174 Figure S4. Old Black Spruce site data collected in 2018 showing timing of transpiration
1175 phenological phases for black spruce in the spring and fall. Panels a) to g) shows the same
1176 variables as in Figure S2.

1177

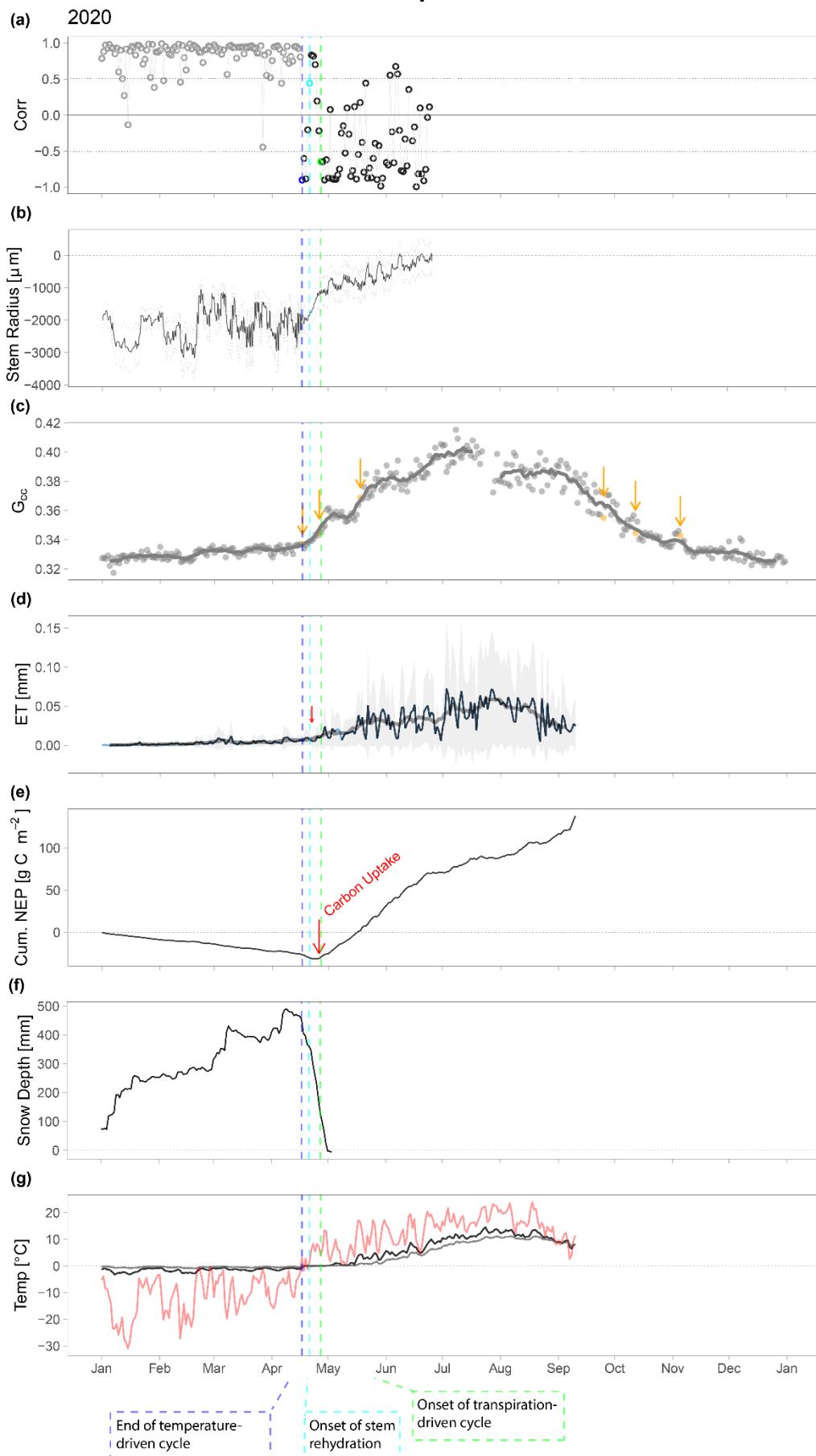
jack pine



1179 Figure S5. Old Jack Pine site data collected in 2018 showing timing of transpiration
1180 phenological phases for jack pine in the spring and fall. Panels a) to f) shows the same
1181 variables as in Figure S1.

1182

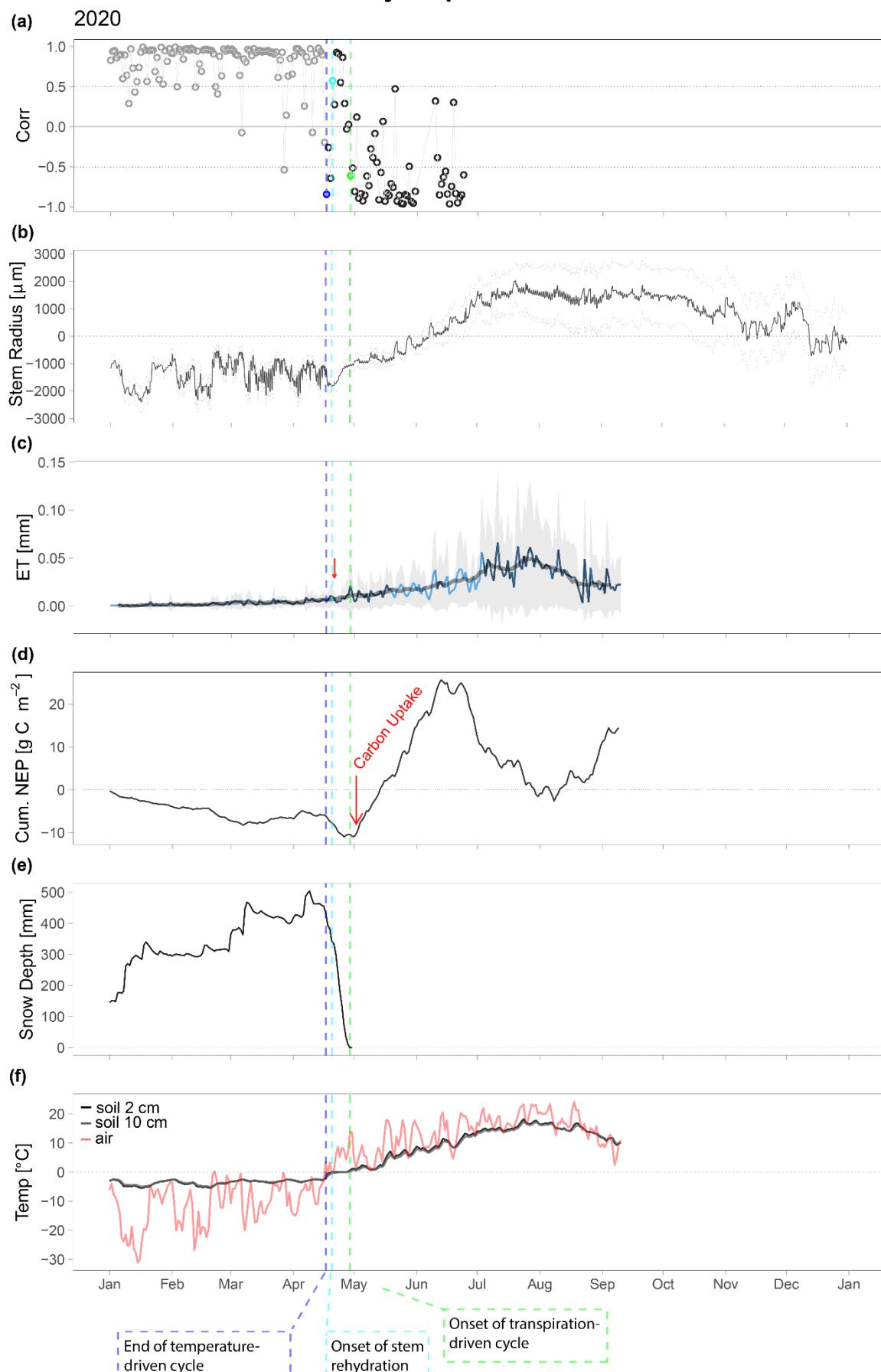
black spruce



1184 Figure S6. Old Black Spruce site data collected in 2020 showing timing of transpiration
1185 phenological phases for black spruce in the spring and fall. Panels a) to g) shows the same
1186 variables as in Figure S2.

1187

jack pine



1189 Figure S7. Old Jack Pine site data collected in 2020 showing timing of transpiration
1190 phenological phases for jack pine in the spring and fall. Panels a) to f) shows the same
1191 variables as in Figure S1.

1192 **1. Phase-shift analysis**

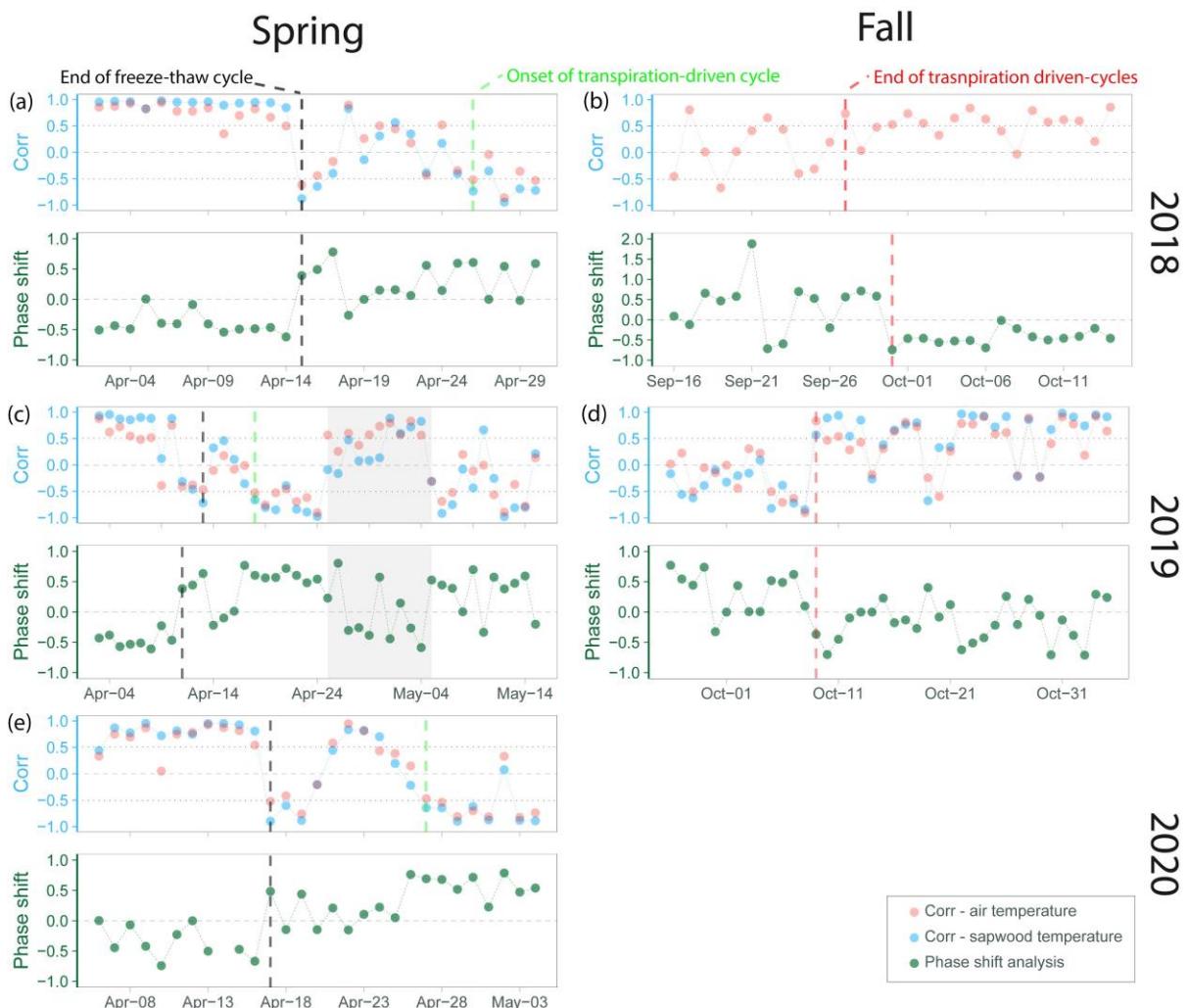
1193 In addition to the moving-correlation between sapwood temperature and air
1194 temperature, we evaluated the change in cycles by using a phase shift analysis of the stem
1195 diurnal cycle. This method is independent of environmental variables and only relies on stem
1196 diurnal cycles. Stem radius cycles typically follow a sinusoidal function that changes phases
1197 dependent on whether the cycle is temperature or transpiration driven (Figure 1 a,b),
1198 therefore, the phase of a sinusoidal wave can be used to identify the change from freeze-thaw
1199 to transpiration-driven cycle. We fitted a sine wave function ($a\sin(b*(x+c))+d$) to the daily
1200 resolution stem radius data using Matlab's curve fitting toolbox. We then extracted the phase
1201 shift value, c , and compared whether the phase was positive (indicating transpiration-driven
1202 cycles) or negative (indicating freeze-thaw induced cycles). We characterized as significant
1203 change in phase when the coefficient of determination of the phase shift value (c) surpassed
1204 the 0.5 threshold ($R^2 \geq 0.5$).

1205 **1.1 Phase-shift analysis: Outcome**

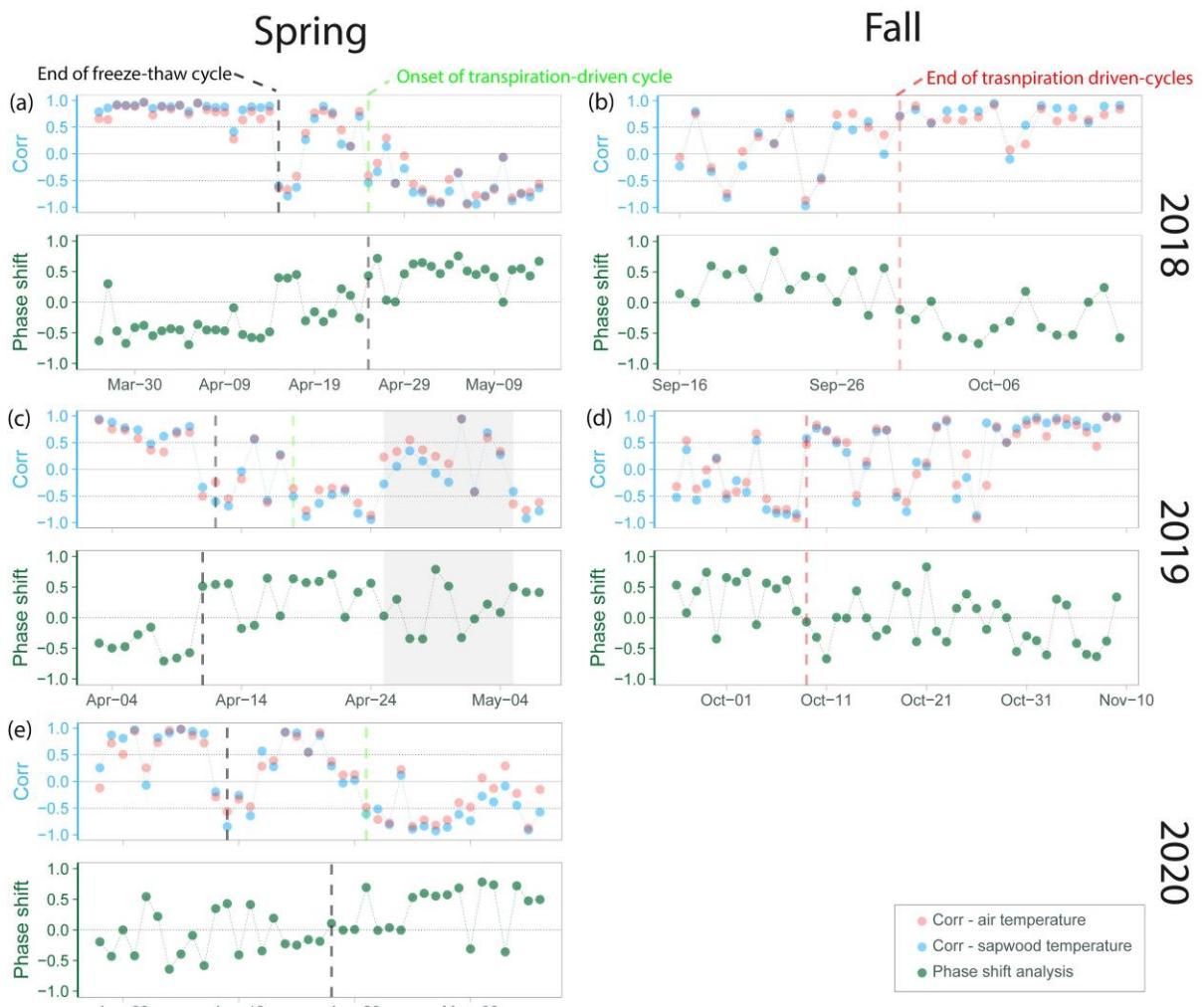
1206 Phase shift analysis only offers two phenological phases, the end of temperature-
1207 driven cycles in the spring and the end of transpiration-driven cycles in the fall. In the spring,
1208 we observed no offset between approaches for black spruce, and -4.4 ± 6.1 d for jack pine.
1209 The maximum observed offset was 16 days for jack pine in 2020. In the end of the growing
1210 season, phase shift and stem-temp approach showed some agreement for black spruce ($-2.5 \pm$
1211 1.78 d) and good agreement for jack pine, but phase shift analysis did not show a consistent
1212 end of the growing season for jack pine in 2019. Although, it showed a significant phase shift
1213 on the same day as the stem-temp approach, phase shift index (c) was variable between
1214 positive and negative values, not allowing to observe a clear shift in phase.

1215 This approach was more suitable for detecting the onset of the growing season than
1216 the end. Thus, the use of this method on its own should be done with caution. Visual
1217 assessment of daily stem diurnal cycle along with phase change is recommended.

1218



1221 Figure S8. Method comparison of transpiration phenological changes for black spruce during
 1222 2018-2020 for exemplification of this assessment.



1223

1224 Figure S9. Method comparison of transpiration phenological changes for jack pine during
 1225 2018-2020 for exemplification of this assessment.

1226