



# Sobolev Mappings Between RCD Spaces and Applications to Harmonic Maps: A Heat Kernel Approach

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Received: 5 July 2022 / Accepted: 29 May 2023 / Published online: 15 June 2023  
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## Abstract

In this paper, we investigate a Sobolev map  $f$  from a finite dimensional RCD space  $(X, d_X, m_X)$  to a finite dimensional non-collapsed compact RCD space  $(Y, d_Y, \mathcal{H}^N)$ . It is proved that if the image  $f(X)$  is smooth in a weak sense (which is satisfied if the pushforward measure  $f_{\#}m_X$  is absolutely continuous with respect to the Hausdorff measure  $\mathcal{H}^N$ , or if  $(Y, d_Y, \mathcal{H}^N)$  is smooth in a weak sense), then the pull-back  $f^*g_Y$  of the Riemannian metric  $g_Y$  of  $(Y, d_Y, \mathcal{H}^N)$  is well defined as an  $L^1$ -tensor on  $X$ , the minimal weak upper gradient  $G_f$  of  $f$  can be written by using  $f^*g_Y$ , and it coincides with the local slope  $\text{Lip } f$  for  $m_X$ -almost everywhere points in  $X$  when  $f$  is Lipschitz. In particular, the last statement gives a nonlinear analogue of Cheeger's differentiability theorem for Lipschitz functions on metric measure spaces. Moreover, these results allow us to define the energy of  $f$ . It is also proved that the energy coincides with the Korevaar-Schoen energy up to by multiplying a dimensional positive constant. In order to achieve this, we use a smoothing of  $g_Y$  via the heat kernel embedding  $\Phi_t : Y \hookrightarrow L^2(Y, \mathcal{H}^N)$ , which is established by Ambrosio-Portegies-Tewodrose and the first-named author (Ambrosio et al. in J Funct Anal 280:108968, 2021). Moreover, we improve the regularity of  $\Phi_t$ , which plays a key role to get the above results. As an application, we show that  $(Y, d_Y)$  is isometric to the  $N$ -dimensional standard unit sphere in  $\mathbb{R}^{N+1}$  and  $f$  is a minimal isometric immersion if and only if  $(X, d_X, m_X)$  is non-collapsed up to a multiplication of a constant to  $m_X$ , and  $f$  is an eigenmap whose eigenvalues coincide with the essential dimension of  $(X, d_X, m_X)$ , which gives a positive answer to a remaining problem from a previous work [49] by the first-named author. This approach, using the heat kernel embedding instead of using Nash's one, to the study of energies of maps between possibly singular spaces seems new even for closed Riemannian manifolds.

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**Keywords** RCD spaces · Harmonic maps · Takahashi theorem

**Mathematics Subject Classification** 53C21 · 51K10

## 1 Introduction

### 1.1 Energy via Nash Embedding

The study of *harmonic maps* between Riemannian manifolds is a central topic in geometric analysis. A standard way for defining (smooth) harmonic maps is as follows. Let  $(M, g_M)$  and  $(N, g_N)$  be finite dimensional Riemannian manifolds and let  $f : M \rightarrow N$  be a smooth map. Nash's embedding theorem allows to find a smooth *isometric embedding*

$$\Phi : N \rightarrow \mathbb{R}^k \quad (1.1)$$

for some  $k \in \mathbb{N}$ , that is,  $\Phi^* g_{\mathbb{R}^k} = g_N$ . The *energy* of  $f$  is defined by

$$\mathcal{E}_{M,N}(f) := \frac{1}{2} \int_M |\mathrm{d}(\Phi \circ f)|^2 \mathrm{dvol}_{g_M}, \quad (1.2)$$

where  $\mathrm{vol}_{g_M}$  denotes the Riemannian volume measure of  $(M, g_M)$ . Note that (1.2) does not depend on the choice of  $\Phi$  because  $|\mathrm{d}(\Phi \circ f)|^2$  coincides with  $\langle g_M, f^* g_N \rangle$ . Then  $f$  is said to be *harmonic* if  $f$  is a critical point of (1.2) under any compactly supported smooth perturbations  $f_t$  of  $f$

$$\left. \frac{\mathrm{d}}{\mathrm{d}t} \right|_{t=0} \mathcal{E}_{M,N}(f_t) = 0. \quad (1.3)$$

The purpose of this paper is to provide a similar theory for non-smooth spaces with Ricci curvature bounded below, so-called *RCD-metric measure spaces*, which are introduced in [3] by Ambrosio-Gigli-Savaré (when  $N = \infty$ ), [9] by Ambrosio-Mondino-Savaré (treating  $\mathrm{RCD}^*(K, N)$  spaces), [29, 30] by Gigli (treating the infinitesimally Hilbertian condition), and [26] by Erbar-Kuwada-Sturm (treating  $\mathrm{RCD}^*(K, N)$  spaces), after the introduction of  $\mathrm{CD}(K, N)$  space introduced in [63] by Lott-Villani and [72, 73] by Sturm, independently.

Naively a metric measure space  $(X, \mathrm{d}_X, \mathrm{m}_X)$  is said to be an  $\mathrm{RCD}(K, N)$  space, or an *RCD space* for short, if

- $(X, \mathrm{d}_X)$  is a complete separable metric space,  $\mathrm{m}_X$  is a Borel measure on  $X$  which is finite on each bounded set, the heat flow is linear, the Ricci curvature is bounded below by  $K$ , and the dimension is bounded above by  $N$ , in a synthetic sense.

See Definition 2.3 for the precise definition and [1] for a nice survey. We say that an RCD space is *finite dimensional* if  $N$  can be taken as a finite number. Typical examples of RCD spaces are weighted Riemannian manifolds  $(M, \mathrm{d}_{g_M}, e^{-\varphi} \mathrm{vol}_{g_M})$

with Bakry-Émery Ricci curvature bounded below, and in their measured Gromov-Hausdorff limit spaces, where  $d_{g_M}$  denotes the induced distance by  $g_M$ . In fact, the Gaussian space  $(\mathbb{R}^n, d_{\mathbb{R}^n}, e^{-|x|^2/2} \mathcal{L}^n)$  is an RCD(1,  $\infty$ ) space, but it is not finite dimensional because of the exponential decay of the weight. On the other hand, if  $M$  is closed, then  $(M, d_{g_M}, e^{-\varphi} \text{vol}_{g_M})$  is always a finite dimensional RCD space.

Let us consider a map between two RCD spaces  $(X, d_X, m_X), (Y, d_Y, m_Y)$

$$f : X \rightarrow Y. \quad (1.4)$$

Then the main difficulties to establish the above are:

1. When we want to find a good definition of the energy density  $|d(\Phi \circ f)|^2$  along a similar way in this setting, we do not know a nice isometric embedding result as Nash's one.
2. When we want to find a good definition of the pull-back  $f^*g_Y$ , although the Riemannian metrics  $g_X, g_Y$  are still well defined in a weak sense, they make sense up to negligible sets. In particular, we do not know how to define the pull-back  $f^*g_Y$  when  $f(X)$  is  $m_Y$ -negligible.

In order to overcome these difficulties, we adopt the *heat kernel embedding*  $\Phi_t$  of  $Y$  into  $L^2$  as discussed below. It is worth pointing out that there are many fundamental works on Sobolev maps from metric measure spaces to metric spaces, for example, [42] by Gromov-Schoen, [60] by Korevaar-Schoen, [56] by Jost, [37] by Gigli-Pasqualetto-Soultanis, [38, 39] by Gigli-Tyulenev, [43] by Hajlasz, and [61] by Kuwae-Shioya.

Our goal is to introduce a natural energy for Sobolev maps between metric spaces so that the refined theory in the smooth case can be carried over to the non-smooth setting, like bubbling phenomena, rigidity results, geometric heat flows associated to harmonic maps and their blow-up analysis, Ginzburg-Landau-type approximations just to name a few. The seminal paper by Gromov-Schoen [42] was pioneering in using harmonic maps into Bruhat-Tits buildings to obtain rigidity results. A feature of the results obtained in the last years is that the target of the maps is Non-Positively Curved (NPC), which is an important class of metric spaces (see nevertheless the results in [12] in the case of CAT(1) spaces, i.e., positively curved in the sense of Alexandrov). In this direction, our contribution is to develop a theory of Sobolev maps including all the previous cases and which is very natural from the viewpoint of analysis.

More precisely, we will study the asymptotic behavior of

$$(\Phi_t \circ f)^* g_{L^2} \quad (1.5)$$

as  $t \rightarrow 0^+$ . Since the embedding  $\Phi_t$  plays the role of a *smoothing* of  $(Y, d_Y, \mathcal{H}^N)$ , it is expected from the asymptotic behavior (1.5) that up to normalization, (1.5) converges to the pull-back  $f^*g_Y$ . In order to do this, we need to improve regularity results for  $\Phi_t$  obtained in [6] by Ambrosio-Portegies-Tewodrose and the first-named author. This is a main idea of the paper.

On the other hand, the approach provided in the present paper is new even in the smooth setting. Let us introduce the details below.

## 1.2 Heat Kernel Embedding

Let  $(M, g_M)$  be a closed  $m$ -dimensional Riemannian manifold. Then Bérard-Besson-Gallot proved in [11] that for any  $t \in (0, \infty)$  the map  $\Phi_t : M \rightarrow L^2(M, \text{vol}_{g_M})$  defined by

$$\Phi_t(x) := (y \mapsto p_M(x, y, t)) \quad (1.6)$$

is a smooth embedding with the following asymptotic expansion

$$c_m t^{(m+2)/2} \Phi_t^* g_{L^2} = g_M + \frac{2t}{3} \left( \text{Ric}^{g_M} - \frac{1}{2} \text{Scal}^{g_M} g_M \right) + O(t^2), \quad (t \rightarrow 0^+), \quad (1.7)$$

where  $p_M(x, y, t)$  denotes the heat kernel of  $(M, g_M)$  and  $c_m = 4(8\pi)^{m/2}$ . Since (1.7) is satisfied uniformly on  $M$  (cf. [50]), in particular, letting

$$\tilde{\Phi}_t := c_m^{1/2} t^{(m+2)/4} \Phi_t, \quad (1.8)$$

we have

$$\|g_M - \tilde{\Phi}_t^* g_{L^2}\|_{L^\infty} \rightarrow 0 \quad (1.9)$$

which means that  $\tilde{\Phi}_t$  is *almost* isometric when  $t$  is small.

Next let us introduce a finite dimensional reduction of the above observation. For that, we denote by

$$0 = \lambda_0^M \leq \lambda_1^M \leq \dots \rightarrow \infty \quad (1.10)$$

the spectrum of the minus Laplacian  $-\Delta_M f = -\langle \text{Hess}_f^{g_M}, g_M \rangle$  of  $(M, g_M)$  counted with multiplicities and denote by  $\{\varphi_i^M\}_i$  corresponding eigenfunctions with  $\|\varphi_i^M\|_{L^2} = 1$ . Note that standard spectral theory proves that  $\{\varphi_i^M\}_i$  is an  $L^2$ -orthonormal basis of  $L^2(M, \text{vol}_{g_M})$ . For any  $l \in \mathbb{N}$ , let us denote by  $\tilde{\Phi}_t^l : M \rightarrow \mathbb{R}^l$  the truncated map of  $\tilde{\Phi}_t$  by  $\{\varphi_i^M\}_{i=1}^l$  defined by the composition of the following maps

$$\tilde{\Phi}_t^l : M \rightarrow L^2(M, \text{vol}_{g_M}) = \bigoplus_i \mathbb{R} \varphi_i^M \xrightarrow{\pi_l} \bigoplus_{i=1}^l \mathbb{R} \varphi_i^M \simeq \mathbb{R}^l, \quad (1.11)$$

where  $\pi_l$  is the canonical projection. It follows from a direct calculation that

$$\tilde{\Phi}_t^l(x) := \left( c_m^{1/2} t^{(m+2)/4} e^{-\lambda_i^M t} \varphi_i^M(x) \right)_{i=1}^l. \quad (1.12)$$

Under this notation, Portegies proved in [68] that for any  $\epsilon \in (0, 1)$ , there exists  $t_0 \in (0, 1)$  such that for any  $t \in (0, t_0]$ , there exists  $l_0 \in \mathbb{N}$  such that the following hold for any  $l \in \mathbb{N}_{\geq l_0}$ .

- The map  $\tilde{\Phi}_t^I$  is a smooth embedding.
- For any  $x \in M$ , there exists  $r \in (0, 1)$  such that  $\tilde{\Phi}_t^I|_{B_r(x)}$  is a  $(1 \pm \epsilon)$ -bi-Lipschitz embedding, that is,

$$(1 - \epsilon)d_{g_M}(y, z) \leq \left| \tilde{\Phi}_t^I(y) - \tilde{\Phi}_t^I(z) \right|_{\mathbb{R}^I} \leq (1 + \epsilon)d_{g_M}(y, z), \quad \forall y, \forall z \in B_r(x)$$

More precisely, he established a quantitative version of these results (see also Remark 4.7).

From now on, let us discuss on the non-smooth analogue of the above observation. Let us fix a finite dimensional compact RCD space  $(X, d_X, m_X)$ . Then, it is proved in [6] that the following hold for any  $t \in (0, \infty)$ :

1. the map  $\Phi_t : X \rightarrow L^2(X, m_X)$  and the pull-back  $\Phi_t^* g_{L^2}$  are well defined;
2. the map  $\Phi_t$  is Lipschitz and a homeomorphism onto its image  $\Phi_t(X)$ ;
3. for any  $p \in [1, \infty)$ , we have

$$\|\tilde{c}_m t m_X(B_{\sqrt{t}}(\cdot)) \Phi_t^* g_{L^2} - g_X\|_{L^p} \rightarrow 0, \quad (t \rightarrow 0^+), \quad (1.14)$$

where  $\tilde{c}_m := \omega_m^{-1} \cdot c_m$  and  $\omega_m$  denotes the volume of a unit ball in  $\mathbb{R}^m$ .

Then it is natural to ask the following.

(Q1) Can (1.14) be improved to the case when  $p = \infty$ , that is,

$$\|\tilde{c}_m t m_X(B_{\sqrt{t}}(\cdot)) \Phi_t^* g_{L^2} - g_X\|_{L^\infty} \rightarrow 0, \quad (t \rightarrow 0^+)? \quad (1.15)$$

(Q2) Is  $\Phi_t$  a bi-Lipschitz embedding?

However, it is shown in [6] that both questions (Q1) and (Q2) have negative answers. In fact, for example, the metric measure space  $([0, \pi], d_{[0, \pi]}, \mathcal{H}^1)$ , which is a *non-collapsed* RCD(0, 1) space, satisfies that  $\Phi_t^{-1}$  is not Lipschitz for any  $t \in (0, \infty)$  and that

$$\lim_{t \rightarrow 0^+} \|\tilde{c}_1 t \mathcal{H}^1(B_{\sqrt{t}}(\cdot)) \Phi_t^* g_{L^2} - g_X\|_{L^\infty} = \lim_{t \rightarrow 0^+} \|\tilde{c}_1 t^{3/2} \Phi_t^* g_{L^2} - g_X\|_{L^\infty} > 0 \quad (1.16)$$

is satisfied.

Therefore, let us ask the following.

(Q3) When do (Q1) and (Q2) have positive answers?

The first main result of the paper is to give a complete answer to this question (Q3). It is worth pointing out that if  $(X, d_X, m_X)$  is *non-collapsed*, that is, it is an RCD( $K, m$ ) space for some  $K \in \mathbb{R}$  and some  $m \in \mathbb{N}$  with  $m_X = \mathcal{H}^m$  (thus “non-collapsed” always implies the finite dimensionality), then, under the same notation as in (1.8), (1.14) is equivalent to

$$\|g_X - \tilde{\Phi}_t^* g_{L^2}\|_{L^p} \rightarrow 0, \quad (t \rightarrow 0^+) \quad (1.17)$$

because the Bishop and Bishop-Gromov inequalities imply the  $L^q$ -strong convergence of  $\mathcal{H}^m(B_r(\cdot))/\omega_m r^m$  to 1 as  $r \rightarrow 0^+$  for any  $q \in [1, \infty)$ . Compare with (1.9). The following is a main result of the paper.

**Theorem 1.1** (=Theorem 4.19) *Let  $(X, d_X, \mathcal{H}^m)$  be a non-collapsed compact RCD space. Then the following four conditions are equivalent.*

1. *We have*

$$\|g_X - \tilde{\Phi}_t^* g_{L^2}\|_{L^\infty} \rightarrow 0, \quad (t \rightarrow 0^+). \quad (1.18)$$

2. *We have*

$$\|g_X - \tilde{c}_m t \mathcal{H}^m(B_{\sqrt{t}}(\cdot))g_t\|_{L^\infty} \rightarrow 0, \quad (t \rightarrow 0^+). \quad (1.19)$$

3. *For any sufficiently small  $t \in (0, 1)$ ,  $\Phi_t$  is a bi-Lipschitz embedding. More strongly, for any  $\epsilon \in (0, 1)$ , there exists  $t_0 \in (0, 1)$  such that  $\tilde{\Phi}_t$  is a locally  $(1 \pm \epsilon)$ -bi-Lipschitz embedding for any  $t \in (0, t_0]$ .*
4. *For any sufficiently small  $t \in (0, 1)$ ,  $\Phi_t^l$  is a bi-Lipschitz embedding for any sufficiently large  $l$ . More strongly, for any  $\epsilon \in (0, 1)$ , there exists  $t_0 \in (0, 1)$  such that for any  $t \in (0, t_0]$ , there exists  $l_0 \in \mathbb{N}$  such that  $\tilde{\Phi}_t^l$  is a locally  $(1 \pm \epsilon)$ -bi-Lipschitz embedding for any  $l \in \mathbb{N}_{\geq l_0}$ .*

Let us emphasize that this result not only gives a complete answer to (Q3), but also provides a complete relationship between (Q1) and (Q2). Moreover, under assuming that (1) is satisfied (thus (2), (3) and (4) are satisfied) in the theorem, we will be able to prove that  $X$  has no singular set (Proposition 4.3). In particular, the intrinsic Reifenberg theorem [16] by Cheeger-Colding allows us to prove that  $X$  is bi-Hölder homeomorphic to a closed Riemannian manifold. For this reason, let us say that  $(X, d_X, \mathcal{H}^m)$  is *weakly smooth* if (1) in Theorem 1.1 is satisfied (Definition 4.18).

Recall (1.16) with the fact that the singular set of  $([0, \pi], d_{[0, \pi]}, \mathcal{H}^1)$  is  $\{0, \pi\}$ . Thus Theorem 1.1 reproves (1.16). Although this is stated only for non-collapsed RCD spaces, we will give similar bi-Lipschitz properties of  $\Phi_t$  for general finite dimensional compact RCD spaces in the appendix 8, of independent interest.

Using (a weaker form of) Theorem 1.1, we will establish the desired energy as discussed in Subsect. 1.1. Let us explain them in the next section.

### 1.3 Energy via Heat Kernel Embedding

Let us fix a finite dimensional (not necessary compact) RCD space  $(X, d_X, m_X)$  and a finite dimensional compact RCD space  $(Y, d_Y, m_Y)$ . In this paper, a Borel map  $f : X \rightarrow Y$  is said to be *weakly smooth* if  $\varphi \circ f$  is a  $H^{1,2}$ -Sobolev function on  $(X, d_X, m_X)$  for any eigenfunction  $\varphi$  of  $(Y, d_Y, m_Y)$ . For such a map  $f$ , we define the *approximate energy*, denoted by  $\mathcal{E}_{X,Y,t}(f)$ , by

$$\mathcal{E}_{X,Y,t}(f) := \frac{1}{2} \int_X \langle (\Phi_t \circ f)^* g_{L^2(Y, m_Y)}, g_X \rangle dm_X. \quad (1.20)$$

We say that  $f$  is a 0-Sobolev map if

$$\limsup_{t \rightarrow 0^+} \int_X t m_Y(B_{\sqrt{t}}(f(\cdot))) \langle (\Phi_t \circ f)^* g_{L^2(Y, m_Y)}, g_X \rangle dm_X < \infty. \quad (1.21)$$

It is expected from Theorem 1.1 that this notion plays a nonlinear analogue of Sobolev functions (at least in the case when  $(Y, d_Y, m_Y)$  is non-collapsed).

On the other hand, as mentioned in the first section, it is well known that there is a notion of Sobolev maps from a metric measure space to a metric space (see Definition 3.5 for the precise definition, we will adopt). Therefore, it is natural to ask;

(Q4) Is there any relationship between 0-Sobolev maps and Sobolev maps?

The second main result of the paper gives an answer to this question (Q4) under assuming a kind of weak smoothness of the image  $f(X)$ . In order to simplify our explanation, we here introduce the result under stronger assumptions (1.22) or (1.23). See Theorems 5.19 and 5.27 for more general (localized) results.

**Theorem 1.2** *Let  $(X, d_X, m_X)$  be a finite dimensional RCD space and let  $(Y, d_Y, \mathcal{H}^n)$  be a non-collapsed compact RCD space. Let  $f : X \rightarrow Y$  be a Borel map. Assume that either*

$$\|g_Y - \tilde{\Phi}_t^* g_{L^2}\|_{L^\infty} \rightarrow 0, \quad (t \rightarrow 0^+). \quad (1.22)$$

or

$$f_* m_X \ll \mathcal{H}^n \quad (1.23)$$

holds. Then the following two conditions are equivalent.

1.  $f$  is a 0-Sobolev map.
2.  $f$  is a Sobolev map.

Moreover, if (1) holds (thus (2) also holds), then the sequence  $(\tilde{\Phi}_t \circ f)^* g_{L^2}$   $L^1$ -converges to a tensor  $f^* g_Y$ , called the pull-back by  $f$ , as  $t \rightarrow 0^+$  and that  $f$  is a Lipschitz-Lusin map with

$$G_f(x) = \text{Lip}(f|_D)(x), \quad \text{for } m_X\text{-a.e. } x \in D \quad (1.24)$$

whenever the restriction of  $f$  to a Borel subset  $D$  of  $X$  is Lipschitz, where  $G_f$  is the minimal 2-weak upper gradient of  $f$  (see Definition 3.5) and  $\text{Lip}$  denotes the local slope (see (2.7)). Furthermore for  $m_X$ -a.e.  $x \in X$ ,  $G_f^2$  coincides with the best bound of  $f^* g_Y$  as a bilinear form.

We will prove some compactness results for such Sobolev maps (Theorems 3.4 and 5.23). Note that a map is said to be Lipschitz-Lusin if there exists a sequence of Borel subsets  $D_i$  such that the complement of the union of  $\{D_i\}_i$  is null with respect to the reference measure and that the restriction of the map to each  $D_i$  is Lipschitz. See Definition 5.9. It is worth pointing out that this theorem can be regarded as a nonlinear

analogue of Cheeger's differentiability theorem [15] which states that for a PI metric measure space  $(Z, d_Z, m_Z)$  (that is, a Poincaré inequality and the volume doubling condition are satisfied), any Sobolev function  $f : Z \rightarrow \mathbb{R}$  is Lipschitz-Lusin with

$$|\nabla f| = \text{Lip}(f|_D), \quad \text{for } m_Z - \text{a.e. } x \in D \quad (1.25)$$

whenever the restriction of  $f$  to a Borel subset  $D$  of  $Z$  is Lipschitz, where  $|\nabla f|$  is the minimal relaxed slope of  $f$  (or equivalently, the minimal 2-weak upper gradient of  $f$ ).

Theorem 1.2 allows us to define the *energy density* of such a  $f$  by

$$e_Y(f) := \langle f^* g_Y, g_X \rangle \quad (1.26)$$

with the *energy*

$$\mathcal{E}_{X,Y}(f) := \frac{1}{2} \int_X e_Y(f) \, dm_X. \quad (1.27)$$

Note that it also follows from Theorem 1.2 that  $G_f \leq e_Y(f) \leq \sqrt{m} G_f$  holds for  $m_X$ -a.e. on  $X$ , where  $m$  denotes the essential dimension of  $(X, d_X, m_X)$  defined by Brùè-Semola in [13] (see also Theorem 2.6).

Let us recall here that there is a well-known canonical energy, so-called *Korevaar-Schoen energy*, defined in [60] (see Definition 5.17). Thus, it is natural to ask the following.

(Q5) Does the energy  $\mathcal{E}_{X,Y}(f)$  coincides with the Korevaar-Schoen's one?

Under the same assumptions as in Theorem 1.2, we can also prove the following compatibility result which gives a complete answer to (Q5).

**Theorem 1.3** (Compatibility with the Korevaar-Schoen energy) *Under the same assumptions as in Theorem 1.2, for any (0-) Sobolev map  $f : X \rightarrow Y$ , the energy  $\mathcal{E}_{X,Y}(f)$  coincides with the Korevaar-Schoen energy  $\mathcal{E}_{X,Y}^{KS}(f)$  up to multiplying by a dimensional positive constant.*

See Theorem 5.21 for a more general statement.

Let us here emphasize that one of the advantages using heat kernel embeddings (instead of using Nash's one in the smooth setting) is that the embedding map  $\Phi_t$  behaves nicely with respect to measured Gromov-Hausdorff convergence as discussed in [6]. In fact, we will study the behaviors of energies with respect to the measured Gromov-Hausdorff convergence (Theorems 7.2, 7.5 and 7.7). In a forthcoming work, we will fully exploit Theorem 1.3 to prove the existence and bubbling phenomena for harmonic maps between RCD spaces. An important issue in harmonic map theory is their regularity (see, e.g., [75] for an optimal result between Alexandrov spaces). We plan to address this issue in our framework too. We note that the recent papers by Mondino-Semola [65] and Gigli [32] proved Lipschitz regularity of harmonic maps into CAT(0) spaces, whenever the energy is the Korevaar-Schoen one.



On the other hand, by Theorem 1.2, we can define that  $f$  is an *isometric immersion* into  $Y$  if

$$f^*g_Y = g_X. \quad (1.28)$$

It is worth pointing out that in general, the equality (1.28) does not imply the local bi-Lipschitz embeddability of  $f$ , which is a different point from the smooth setting (Remark 5.7). However, under assuming some regularity for the map  $f$ , we can realize such a bi-Lipschitz embeddability from (1.28) (Corollary 5.5). This observation leads us to study *minimal isometric immersions* from  $(X, d_X, m_X)$  into spheres. Let us explain it in the next section.

### 1.4 Minimal Isometric Immersion into Sphere

Let us recall a fundamental result in submanifold theory, so-called Takahashi's theorem [74], which states that for a closed  $m$ -dimensional Riemannian manifold  $(M, g_M)$  and a smooth isometric immersion

$$f : M \rightarrow \mathbb{S}^k(1) := \{x \in \mathbb{R}^{k+1}; |x| = 1\}, \quad (1.29)$$

the following two conditions are equivalent.

1.  $f$  is minimal (thus, it is equivalent to be harmonic because of  $f^*g_{\mathbb{S}^k(1)} = g_M$ ).
2.  $f$  is an eigenmap with the eigenvalue  $m$ , that is,  $\Delta_M f_i + m f_i \equiv 0$  holds for any  $i = 1, \dots, k+1$ , where  $f = (f_i)_i$ .

Let us generalize this to RCD spaces as follows. A Borel map  $f$  from a finite dimensional compact RCD space  $(X, d_X, m_X)$  to  $\mathbb{S}^k(1)$  is said to be a *minimal isometric immersion* if it is a 0-Sobolev map (or equivalently, Sobolev map by Theorem 1.23) with  $f^*g_Y = g_X$  and

$$\frac{d}{dt} \Big|_{t=0} \mathcal{E}_{X, \mathbb{S}^k(1)}(f_t) = 0 \quad (1.30)$$

for any map  $(-\epsilon, \epsilon) \times X \rightarrow \mathbb{S}^k(1)$ ,  $(t, x) \mapsto f_t(x) = (f_{t,i}(x))_i$ , satisfying that  $f_0 = f$  holds, that  $f_{t,i}$  is in the  $H^{1,2}$ -Sobolev space of  $(X, d_X, m_X)$  holds for all  $t, i$  and that the map  $t \mapsto f_{t,i}$  is differentiable at  $t = 0$  in  $H^{1,2}$ .

The third main result is the following which gives a generalization of Takahashi's theorem to the RCD-setting.

**Theorem 1.4** (=Theorem 6.4) *Let  $(X, d_X, m_X)$  be a finite dimensional compact RCD space whose essential dimension is  $m$ . For any map  $f : X \rightarrow \mathbb{S}^k(1)$ , the following two conditions are equivalent.*

1.  $f$  is a minimal isometric immersion.
2. We see that  $m_X = c\mathcal{H}^m$  for some  $c \in (0, \infty)$ , that  $(X, d_X, \mathcal{H}^m)$  is a finite dimensional non-collapsed RCD space and that  $f$  is an eigenmap with  $\Delta_X f_i + m f_i = 0$  for any  $i$ .

In particular, if the above conditions (1) and (2) hold, then  $X$  is bi-Hölder homeomorphic to an  $m$ -dimensional closed manifold,  $f$  is 1-Lipschitz and for all  $\epsilon \in (0, 1)$  and  $x \in X$ , there exists  $r \in (0, 1)$  such that  $f|_{B_r(x)}$  is a  $(1 \pm \epsilon)$ -bi-Lipschitz embedding.

In the next section, we will explain how to achieve these results.

## 1.5 Outline of Proofs

Let us first introduce a sketch of the proof of Theorem 1.1. We first assume that (1) holds. Fixing a small  $t \in (0, 1)$ , we can find a large  $l \in \mathbb{N}$  such that

$$\|g_X - (\tilde{\Phi}_t^l)^* g_{L^2}\|_{L^\infty} \quad (1.31)$$

is small, where we recall (1.11) for the definition of the truncated map  $\tilde{\Phi}_t^l$ . Then we can use blow-up arguments as in [49] for the map  $\tilde{\Phi}_t^l$ , based on stability results proved in [33] by Gigli-Mondino-Savaré and in [4, 5] by Ambrosio and the first-named author, to conclude that (3) and (4) hold.

Next assume that (3) of Theorem 1.1 holds. Fix a point  $x \in X$ , a small  $t \in (0, 1)$  and take a tangent cone  $T_x X$  at  $x$  of  $X$ . Consider a blow-up map  $\bar{\Phi} : T_x X \rightarrow \ell^2$  of the map  $\tilde{\Phi}_t : X \rightarrow L^2 \simeq \ell^2$ . A key step is to prove

(★)  $T_x X$  is isometric to  $\mathbb{R}^m$  and  $\bar{\Phi}$  is a linear map.

Then the quantitative version of this observation allows us to prove that (1) holds.

In order to prove (★), we apply a *blow-down argument* on the tangent cone, which is similar to that in [19] by Cheeger-Colding-Minicozzi. Take a tangent cone at infinity  $Z$  of  $T_x X$  and a blow-down map  $\underline{\Phi} : Z \rightarrow \ell^2$  of  $\bar{\Phi}$ . Then thanks to the *mean value theorem at infinity* for bounded subharmonic functions proved in [51] by Hua-Kell-Xia (which is a generalization of a result of Li [62]), we know that  $\underline{\Phi}$  is a linear map. Moreover, since  $\Phi_t$  is a bi-Lipschitz embedding, we see that  $\bar{\Phi}$  and  $\underline{\Phi}$  are also bi-Lipschitz embeddings. Applying the splitting theorem proved in [29] by Gigli with the bi-Lipschitz property of  $\underline{\Phi}$  shows that  $Z$  is isometric to a Euclidean space. The non-collapsed condition yields that the dimension of the Euclidean space is equal to  $m$ ; thus,  $Z$  is isometric to  $\mathbb{R}^m$ . Then the volume convergence with the Bishop inequality proved in [23] by DePhilippis-Gigli yields

$$\mathcal{H}^m(B_r(z)) = \omega_m r^m, \quad \forall x \in T_x X, \quad \forall r \in (0, \infty). \quad (1.32)$$

Thus the rigidity of the Bishop inequality given in [23] proves that  $T_x X$  is isometric to  $\mathbb{R}^m$ . Finally, since each  $\bar{\Phi}$  is a linear growth harmonic map on  $\mathbb{R}^m$  because of the stability results proved in [5] (see also Theorem 2.33), it is actually a linear map. Thus we have (★). Therefore as explained above, (1) holds. Similarly, we can prove the implication from (4) to (1).

Finally, we assume that (2) holds. Then it follows by a similar blow-up argument with the Reifenberg flatness of  $(X, d_X)$  that  $\mathcal{H}^m(B_r(\cdot))/(\omega_m r^m)$  uniformly converge to 1 as  $r \rightarrow 0$ . In particular, (1) holds, which completes the proof of Theorem 1.1.

Let us give a worth recording remark. When we will justify the above arguments, in particular  $(\star)$ , we will actually prove a more general rigidity result, which is new even for Riemannian manifolds.

**( $\star\star$ )** If a non-collapsed RCD space with non-negative Ricci curvature has a bi-Lipschitz embedding into  $\ell^2$  by an harmonic map, then the space is isometric to a Euclidean space.

This result **( $\star\star$ )** should be compared with a result of Greene-Wu [41] which states that *any* open (that is, complete and non-compact) Riemannian manifold has a smooth embedding into a Euclidean space by a harmonic map. See Corollary 4.10 for the proof (see also Theorem 4.8).

Next let us introduce a sketch of the proof of Theorem 1.2. It follows from the Gaussian estimate for the heat kernel proved in [55] by Jiang-Li-Zhang with an argument as in [6] that the implication from (2) to (1) is always true without the assumptions (1.22), (1.23).

For the proof of the converse implication, we adopt a blow-up argument for the map  $f$ . In order to simplify our explanation, let us assume that (1) with (1.22) holds. Then fix  $x \in X$  and take tangent cones  $T_x X$ ,  $T_{f(x)} Y$  at  $x \in X$ ,  $f(x) \in Y$ , respectively. Consider a blow-up map  $f^0 : T_x X \rightarrow T_{f(x)} Y$  of  $f$ . With no loss of generality, we can assume that  $x$  is a regular point, that is,  $T_x X$  is isometric to  $\mathbb{R}^m$ , where  $m$  denotes the essential dimension of  $(X, d_X, m_X)$ . Since  $Y$  has no singular points,  $T_{f(x)} Y$  is isometric to  $\mathbb{R}^n$ . Moreover, applying Cheeger's differentiability theorem [15] to the map  $\tilde{\Phi}_t \circ f$  shows that the composition

$$\mathbb{R}^m \simeq T_x X \xrightarrow{f^0} T_{f(x)} Y \simeq \mathbb{R}^n \xrightarrow{\tilde{\Phi}} \ell^2 \quad (1.33)$$

is a linear map and that  $\tilde{\Phi}$  is also a linear map, where  $\tilde{\Phi}$  is a blow-up map of  $\tilde{\Phi}_t$  at  $f(x)$  as discussed in (the above sketch of) the proof of Theorem 1.1. Note that  $\tilde{\Phi}$  is a bi-Lipschitz embedding because of Theorem 1.1. The bi-Lipschitz property of  $\tilde{\Phi}$  allows us to conclude that  $f^0$  is also linear. Applying an approximation by test functions with respect to measured Gromov-Hasudorff convergence proved in [5], we see that  $f^* g_Y$   $L^2_{\text{loc}}$ -strongly converge to  $(f^0)^* g_{\mathbb{R}^n}$ . Since it is not hard to check that  $G_f(x)$  is bounded below by the best bound of the bilinear form  $f^0 g_{\mathbb{R}^n}$  (essentially), we see that (2) holds. We can also prove the remaining statements along similar ways.

Finally let us explain how to achieve Theorem 1.4. Assume that (1) holds. Then it follows from the Euler-Lagrange equation that  $\Delta_X f_i + e_Y(f) f_i = 0$  holds. Since  $f$  is isometric, we have  $e_Y(f) = \langle f^* g_Y, g_X \rangle = |g_X|^2 = m$ . In particular,  $f$  is an eigenmap. Then applying a result proved in [49] completes the proof of (2). The converse implication is justified by a direct calculation.

## 1.6 Plan of the Paper

The paper is organized as follows: Sect. 2 collects notations, preliminary results and terminology on RCD spaces, giving technical new results. Section 3 deals with approximate Sobolev maps for fixed  $t \in (0, \infty)$ , which plays a key role later when we prove

Theorem 1.2. In Sect. 4, the bi-Lipschitz embeddability of the heat kernel embedding into  $L^2$  is established. In particular, we prove Theorem 1.1. Combining results obtained in Sects. 3 and 4, we study the behavior of  $t$ -Sobolev maps as  $t \rightarrow 0^+$  in Sect. 5. Then Theorem 1.2 is proved. Section 6 provides a proof of Theorem 1.4. In Sect. 7 we discuss the behavior of Sobolev maps with respect to measured Gromov-Hausdorff convergence. In particular, compactness results (Theorems 7.2, 7.5 and 7.7) are proved. In the final section, Sect. 8, we give several generalizations of the bi-Lipschitz embeddability results given in Sect. 4 to general RCD space as independent interests.

## 2 Preliminaries

Throughout the paper, we usually use the notation  $C(C_1, C_2, \dots)$  for a (positive) constant depending only on constants  $C_1, C_2, \dots$ , which may change from line to line. Moreover, we sometimes use a standard notation in convergence theory

$$\Psi(\epsilon_1, \epsilon_2, \dots, \epsilon_l; c_1, c_2, \dots, c_m) \quad (2.1)$$

denotes a function  $\Psi : (\mathbb{R}_{>0})^l \times \mathbb{R}^m \rightarrow (0, \infty)$  satisfying

$$\lim_{(\epsilon_1, \dots, \epsilon_l) \rightarrow 0} \Psi(\epsilon_1, \epsilon_2, \dots, \epsilon_l; c_1, c_2, \dots, c_m) = 0, \quad \forall c_i \in \mathbb{R}. \quad (2.2)$$

### 2.1 Metric Notion

Let us fix two metric spaces  $(X, d_X), (Y, d_Y)$ . For  $\epsilon \in (0, 1)$ , a map  $f$  from  $X$  to  $Y$  is said to be a  $(1 \pm \epsilon)$ -bi-Lipschitz embedding if

$$(1 - \epsilon)d_X(x_1, x_2) \leq d_Y(f(x_1), f(x_2)) \leq (1 + \epsilon)d_X(x_1, x_2), \quad \forall x_i \in X. \quad (2.3)$$

Note that

$$\begin{aligned} &\text{if } f \text{ is a } (1 \pm \epsilon)\text{-bi-Lipschitz embedding,} \\ &\text{then } f \text{ gives a } (\epsilon \cdot \text{diam}(X, d_X)) \\ &\quad - \text{Gromov-Hausdorff approximation of the image } (f(X), d_Y). \end{aligned} \quad (2.4)$$

See for instance [14, 27] for the definition of Gromov-Hausdorff distance. Let  $\varphi : X \rightarrow Y$  be a Lipschitz map. Define

- the *best Lipschitz constant* of  $\varphi$  by

$$\mathbf{Lip}\varphi := \sup_{x_1 \neq x_2} \frac{d_Y(\varphi(x_1), \varphi(x_2))}{d_X(x_1, x_2)}; \quad (2.5)$$

- the *asymptotically Lipschitz constant* at  $x$  by

$$\mathbf{Lip}_a\varphi(x) := \lim_{r \rightarrow 0^+} \mathbf{Lip}\varphi|_{B_r(x)}; \quad (2.6)$$

- the *local slope* of  $\varphi$  at  $x$  by

$$\text{Lip}\varphi(x) := \lim_{r \rightarrow 0^+} \sup_{y \in B_r(x) \setminus \{x\}} \frac{d_Y(\varphi(x), \varphi(y))}{d_X(x, y)} \quad (2.7)$$

if  $x$  is not isolated,  $\text{Lip}\varphi(x) := 0$  otherwise.

**Definition 2.1** (*Metric density point*) For any subset  $A$  of  $X$ , a point  $x \in X$  is said to be a *metric density point* of  $A$  if for any  $\epsilon \in (0, 1)$ , there exists  $r_0 \in (0, 1)$  such that  $B_r(x) \cap A$  is  $\epsilon r$ -dense in  $B_r(x)$  (namely the closed  $\epsilon r$ -neighborhood of  $B_r(x) \cap A$  includes  $B_r(x)$ ) for any  $r \in (0, r_0)$ . We denote by  $\text{Den}(A)$  the set of all density points of  $A$ .

Since it is easy to check the following proposition, we skip the proof;

**Proposition 2.2** *Let  $(X, d_X)$ ,  $(Y, d_Y)$  be metric spaces, let  $A$  be a subset of  $X$  and let  $f : X \rightarrow Y$  be a Lipschitz map. Then for any  $x \in \text{Den}(A)$ , we have*

$$\text{Lip}\varphi(x) = \text{Lip}(\varphi|_A)(x), \quad \text{Lip}_a\varphi(x) = \text{Lip}_a(\varphi|_A)(x). \quad (2.8)$$

We denote by  $\mathcal{H}_d^N$ , or simply  $\mathcal{H}^N$ , the  $N$ -dimensional Hausdorff measure of  $(X, d)$ . Finally we denote by  $\text{Lip}(X, d_X)$ ,  $(\text{Lip}_c(X, d_X))$ , respectively, the set of all Lipschitz functions on  $X$  (the set of all Lipschitz functions on  $X$  with compact support, respectively).

## 2.2 RCD Space

A triple  $(X, d_X, m_X)$  is said to be a *metric measure space* if  $(X, d_X)$  is a complete separable metric space and  $m_X$  is a Borel measure on  $X$  with full support. We fix a metric measure space  $(X, d_X, m_X)$  below.

Define the *Cheeger energy*  $\text{Ch} : L^2(X, m) \rightarrow [0, \infty]$  by

$$\text{Ch}(f) := \inf \left\{ \liminf_{i \rightarrow \infty} \int_X \text{Lip}^2 f_i \, dm_X; f_i \in \text{Lip}(X, d_X) \cap (L^2 \cap L^\infty)(X, m_X), \|f_i - f\|_{L^2} \rightarrow 0 \right\}. \quad (2.9)$$

Then the *Sobolev space*  $H^{1,2} = H^{1,2}(X, d_X, m_X)$  is defined as the finiteness domain of  $\text{Ch}$  in  $L^2(X, m_X)$  and it is a Banach space equipped with the norm  $\|f\|_{H^{1,2}} = \sqrt{\|f\|_{L^2}^2 + \text{Ch}(f)}$ . We are now in position to introduce the definition of  $\text{RCD}(K, N)$  space.

**Definition 2.3** (*RCD( $K, N$ ) space*)  $(X, d_X, m_X)$  is said to be an  $\text{RCD}(K, N)$  space for some  $K \in \mathbb{R}$  and some  $N \in [1, \infty]$  if the following four conditions hold.

- (Volume growth condition) There exist  $C \in (0, \infty)$  and  $x \in X$  such that  $m_X(B_r(x)) \leq C e^{Cr^2}$  holds for any  $r \in (0, \infty)$ .

- (Infinitesimally Hilbertian condition)  $H^{1,2}$  is a Hilbert space. In particular, for all  $f_i \in H^{1,2}$  ( $i = 1, 2$ ),

$$\langle \nabla f_1, \nabla f_2 \rangle := \lim_{t \rightarrow 0} \frac{|\nabla(f_1 + tf_2)|^2 - |\nabla f_1|^2}{2t} \in L^1(X, \mathbf{m}_X) \quad (2.10)$$

is well defined, where  $|\nabla f_i|$  denotes the minimal relaxed slope of  $f_i$  (e.g., [2, Def. 4.2]).

- (Sobolev-to-Lipschitz property) Any function  $f \in H^{1,2}$  satisfying  $|\nabla f|(y) \leq 1$  for  $\mathbf{m}_X$ -a.e.  $y \in X$  has a 1-Lipschitz representative.
- (Bochner inequality) For any  $f \in D(\Delta)$  with  $\Delta f \in H^{1,2}$ , we have

$$\frac{1}{2} \int_X \Delta \varphi |\nabla f|^2 \, d\mathbf{m}_X \geq \int_X \varphi \left( \frac{(\Delta f)^2}{N} + \langle \nabla \Delta f, \nabla f \rangle + K |\nabla f|^2 \right) \, d\mathbf{m}_X \quad (2.11)$$

for any  $\varphi \in D(\Delta) \cap L^\infty(X, \mathbf{m}_X)$  with  $\Delta \varphi \in L^\infty(X, \mathbf{m}_X)$  and  $\varphi \geq 0$ , where

$$D(\Delta) := \left\{ f \in H^{1,2}; \exists h =: \Delta f \in L^2, \text{ s.t. } \int_X \langle \nabla f, \nabla \psi \rangle \, d\mathbf{m}_X = - \int_X h \psi \, d\mathbf{m}_X, \forall \psi \in H^{1,2} \right\}. \quad (2.12)$$

We will sometimes use the notation  $\Delta_X$  instead of using the simpler one  $\Delta$  as above when we need to clarify the space  $(X, \mathbf{d}_X, \mathbf{m}_X)$ . For brevity,  $(X, \mathbf{d}_X, \mathbf{m}_X)$  is said to be a *finite dimensional RCD space* if it is an  $\text{RCD}(K, N)$  space for some  $K \in \mathbb{R}$  and some  $N \in [1, \infty)$ .

Finally, let us recall the *heat flow* associated to the Cheeger energy on an  $\text{RCD}(K, \infty)$  space  $(X, \mathbf{d}_X, \mathbf{m}_X)$

$$h_t : L^2(X, \mathbf{m}_X) \rightarrow D(\Delta) \quad (2.13)$$

which is determined by satisfying that for any  $f \in L^2(X, \mathbf{m}_X)$ , the map  $t \mapsto h_t f$  is absolutely continuous and satisfies

$$\frac{d^+}{dt} h_t f = \Delta h_t f. \quad (2.14)$$

Note that this map  $t \mapsto h_t f$  is actually smooth (see [36, Prop.5.2.12]) and that  $h_t$  can be easily extended to a linear continuous map  $L^p(X, \mathbf{m}_X) \rightarrow L^p(X, \mathbf{m}_X)$  with operator norm at most 1 for any  $p \in [1, \infty]$ . Then for any  $f \in H^{1,2}(X, \mathbf{d}_X, \mathbf{m}_X) \cap \text{Lip}(X, \mathbf{d}_X)$ , the 1-Bakry-Émery gradient estimate [70, Cor.4.3] holds

$$|\nabla h_t f|(x) \leq e^{-Kt} h_t |\nabla f|(x), \quad \text{for } \mathbf{m}_X\text{-a.e. } x \in X. \quad (2.15)$$

In the sequel, we always denote by  $N$  a finite number, and by  $K$  a real number.

### 2.3 Infinitesimal Structure of Finite Dimensional RCD Space

Let  $(X, d_X, m_X)$  be a finite dimensional RCD space with  $\text{diam}(X, d) > 0$ . It is known that  $(X, d)$  is a proper geodesic space. In the paper, we omit the notion of convergence of metric measure spaces, for example, *(pointed) Gromov-Hausdorff convergence*, *(pointed) measured Gromov-Hausdorff convergence* and so on. It is worth pointing out that they are metrizable topologies. Thus “ $\epsilon$ -closeness” makes sense for such convergence. See for instance [33] for our purposes.

**Definition 2.4** (*Tangent cones*) For  $x \in X$ , we say that a pointed metric measure space  $(Y, d_Y, m_Y, y)$  is said to be a *tangent cone of  $(X, d_X, m_X)$  at  $x$*  if

$$\left( X, r_i^{-1} d_X, m_X(B_{r_i}(x))^{-1} m_X, x \right) \xrightarrow{\text{pmGH}} (Y, d_Y, m_Y, y) \quad (2.16)$$

holds for some  $r_i \rightarrow 0^+$ , where pmGH denotes the pointed measured Gromov-Hausdorff convergence (we will use similar notations, mGH, pGH etc. immediately later). We denote by  $\text{Tan}(X, d_X, m_X, x)$  the set of all tangent cones of  $(X, d_X, m_X)$  at  $x$ .

**Definition 2.5** (*Regular set  $\mathcal{R}_k$* ) For any  $k \geq 1$ , we denote by  $\mathcal{R}_k$  the  *$k$ -dimensional regular set* of  $(X, d_X, m_X)$ , namely, the set of all points  $x \in X$  such that

$$\text{Tan}(X, d_X, m_X, x) = \left\{ \left( \mathbb{R}^k, d_{\mathbb{R}^k}, \omega_k^{-1} \mathcal{H}^k, 0_k \right) \right\},$$

where  $\omega_k = \mathcal{H}^k(B_1(0_k)) = \mathcal{L}^k(B_1(0_k))$ .

The following result is proved in [13, Th.0.1] after [64] which gives a generalization of [21, Th.1.12] to finite dimensional RCD spaces.

**Theorem 2.6** (Essential dimension of finite dimensional RCD spaces) *Let  $(X, d, m)$  be a finite dimensional RCD space. Then, there exists a unique integer  $n \in \mathbb{N}$ , called the essential dimension of  $(X, d, m)$ , such that*

$$m(X \setminus \mathcal{R}_n) = 0 \quad (2.17)$$

*holds.*

Combining independent results in [24, Th.4.11], in [34, Th.3.5] and in [57, Th.1.2] with [64, Th.1.1] and Theorem 2.6, we have the following (see [7, Th.4.1]).

**Theorem 2.7** (Weak Ahlfors regularity and metric measure rectifiability) *Let  $(X, d_X, m_X)$  be a finite dimensional RCD space whose essential dimension is equal to  $n$ , put  $m_X = \theta \mathcal{H}^n \llcorner \mathcal{R}_n$  and set*

$$\mathcal{R}_n^* := \left\{ x \in \mathcal{R}_n : \exists \lim_{r \rightarrow 0^+} \frac{m_X(B_r(x))}{\omega_n r^n} \in (0, \infty) \right\}. \quad (2.18)$$

Then  $\mathfrak{m}_X(\mathcal{R}_n \setminus \mathcal{R}_n^*) = 0$ ,  $\mathfrak{m} \ll \mathcal{R}_n^*$  and  $\mathcal{H}^n \ll \mathcal{R}_n^*$  are mutually absolutely continuous and

$$\lim_{r \rightarrow 0^+} \frac{\mathfrak{m}_X(B_r(x))}{\omega_n r^n} = \theta(x) \quad \text{for } \mathfrak{m}_X - \text{a.e. } x \in \mathcal{R}_n^*, \quad (2.19)$$

$$\lim_{r \rightarrow 0^+} \frac{\omega_n r^n}{\mathfrak{m}_X(B_r(x))} = 1_{\mathcal{R}_n^*}(x) \frac{1}{\theta(x)} \quad \text{for } \mathfrak{m}_X - \text{a.e. } x \in X. \quad (2.20)$$

Moreover,  $(X, \mathbf{d}_X, \mathfrak{m}_X)$  is metric measure rectifiable in the sense that for any  $\epsilon \in (0, 1)$ , there exist a sequence of Borel subsets  $A_i$  of  $\mathcal{R}_n^*$  and a sequence of  $(1 \pm \epsilon)$ -bi-Lipschitz embeddings  $\varphi_i : A_i \rightarrow \mathbb{R}^n$  such that  $\mathfrak{m}_X(X \setminus \bigcup_i A_i) = 0$  holds. We call such a pair  $(A_i, \varphi_i)$  a  $(1 \pm \epsilon)$ -bi-Lipschitz rectifiable chart of  $(X, \mathbf{d}_X, \mathfrak{m}_X)$ .

## 2.4 Sobolev Spaces and Laplacians on Open Sets

Let us introduce the Sobolev space  $H^{1,2}(U, \mathbf{d}_X, \mathfrak{m}_X)$  for an open subset  $U$  of a finite dimensional RCD space  $(X, \mathbf{d}_X, \mathfrak{m}_X)$ . See also [15, 71] for the definition of Sobolev space  $H^{1,p}(U, \mathbf{d}_X, \mathfrak{m}_X)$  for any  $p \in [1, \infty)$ . Our working definition is the following.

**Definition 2.8** Let  $U \subset X$  be open.

1. ( $H_0^{1,2}$ -Sobolev space) We denote by  $H_0^{1,2}(U, \mathbf{d}_X, \mathfrak{m}_X)$  the  $H^{1,2}$ -closure of  $\text{Lip}_c(U, \mathbf{d}_X)$ .
2. (Sobolev space on an open set  $U$ ) We say that  $f \in L_{\text{loc}}^2(U, \mathfrak{m}_X)$  belongs to  $H_{\text{loc}}^{1,2}(U, \mathbf{d}_X, \mathfrak{m}_X)$  if  $\varphi f \in H^{1,2}(X, \mathbf{d}_X, \mathfrak{m}_X)$  for any  $\varphi \in \text{Lip}_c(U, \mathbf{d}_X)$ . If, in addition,  $f, |\nabla f| \in L^2(U, \mathfrak{m}_X)$ , we say that  $f \in H^{1,2}(U, \mathbf{d}_X, \mathfrak{m}_X)$ .

Notice that  $f \in H_{\text{loc}}^{1,2}(U, \mathbf{d}_X, \mathfrak{m}_X)$  if and only if for any bounded subset  $V$  of  $U$  with  $\overline{V} \subset U$ , there exists  $\tilde{f} \in H^{1,2}(X, \mathbf{d}_X, \mathfrak{m}_X)$  with  $\tilde{f} \equiv f$  on  $V$ . The global condition  $f, |\nabla f| \in L^2(U, \mathfrak{m}_X)$  in the definition of  $H^{1,2}(U, \mathbf{d}_X, \mathfrak{m}_X)$  is meaningful, since the locality properties of the minimal relaxed slope ensure that  $|\nabla f|(x)$  makes sense for  $\mathfrak{m}_X$ -a.e.  $x \in U$  for all functions  $f \in H_{\text{loc}}^{1,2}(U, \mathbf{d}_X, \mathfrak{m}_X)$ . Indeed, choosing  $\varphi_n \in \text{Lip}_c(U, \mathbf{d}_X)$  with  $\{\varphi_n = 1\} \uparrow U$  and defining

$$|\nabla f| := |\nabla(f\varphi_n)| \quad \text{for } \mathfrak{m}_X - \text{a.e. in } \{\varphi_n = 1\}$$

, we obtain an extension of the minimal relaxed slope to  $H^{1,2}(U, \mathbf{d}_X, \mathfrak{m}_X)$  (for which we keep the same notation, being also  $\mathfrak{m}_X$ -a.e. independent of the choice of  $\varphi_n$ ) which retains all bilinearity and locality properties. See also [46, Th.10.5.3] for the compatibility with Korevaar-Schoen type Sobolev spaces (for functions).

**Definition 2.9** (Laplacian on an open set  $U$ ) For  $f \in H^{1,2}(U, \mathbf{d}_X, \mathfrak{m}_X)$ , we write  $f \in D(\Delta, U)$  if there exists  $h := \Delta_U f \in L^2(U, \mathfrak{m}_X)$  satisfying

$$\int_U hg \, \mathrm{d}\mathfrak{m}_X = - \int_U \langle \nabla f, \nabla g \rangle \, \mathrm{d}\mathfrak{m}_X \quad \forall g \in H_0^{1,2}(U, \mathbf{d}_X, \mathfrak{m}_X).$$

We usually use a simplified notation  $\Delta f$  instead of using  $\Delta_U f$  if there is no confusion.



It is easy to check that for any  $f \in D(\Delta, U)$  and any  $\varphi \in D(\Delta) \cap \text{Lip}_c(U, d_X)$  with  $\Delta\varphi \in L^\infty(X, m_X)$ , one has (understanding  $\varphi\Delta_U f$  to be null out of  $U$ )  $\varphi f \in D(\Delta)$  with

$$\Delta(\varphi f) = f\Delta\varphi + 2\langle \nabla\varphi, \nabla f \rangle + \varphi\Delta_U f \quad \text{for } m_X - \text{a.e. in } X. \quad (2.21)$$

Such notions allow to define harmonic functions on an open set  $U$  as follows.

**Definition 2.10** Let  $U$  be an open subset of  $X$ . We say that  $f \in H_{\text{loc}}^{1,2}(U, d_X, m_X)$  is harmonic in  $U$  if  $f \in \mathcal{D}(\Delta, V)$  with  $\Delta_V f = 0$  for any bounded open subset  $V$  of  $U$  with  $\bar{V} \subset U$ . Let us denote by  $\text{Harm}(U, d_X, m_X)$  the set of all harmonic functions on  $U$ .

## 2.5 Second-Order Calculus

We first refer to [31] as a main reference on this section because, in order to keep our presentation short, we assume readers to be familiar with the theory of  $L^p$ -normed modules, including the *second-order differential calculus on RCD spaces*, developed in [31]. Fix an RCD( $K, \infty$ ) space  $(X, d_X, m_X)$ . Recall the set of all *test functions*

$$\text{Test}F(X, d_X, m_X) := \{f \in D(\Delta) \cap \text{Lip}(X, d_X) \cap L^\infty(X, m_X); \Delta f \in H^{1,2}(X, d_X, m_X)\}. \quad (2.22)$$

It is known that  $\text{Test}F(X, d_X, m_X)$  is an algebra with  $|\nabla f|^2 \in H^{1,2}(X, d_X, m_X)$  for any  $f \in \text{Test}F(X, d_X, m_X)$ .

Fix a Borel subset  $A$  of  $X$  and denote by  $L^p(T(A, d_X, m_X))$  the set of all  $L^p$ -vector fields over  $A$ , where we usually denote by  $L^0$  the set of all Borel measurable objects. Note that any element  $V \in L^p(T(A, d_X, m_X))$  can be characterized by a linear map  $V : \text{Test}F(X, d_X, m_X) \rightarrow L^0(A, m_X)$  with the Leibniz rule for all  $f_i \in \text{Test}F(X, d_X, m_X)$

$$V(f_1 f_2)(x) = f_1(x)V(f_2)(x) + f_2(x)V(f_1)(x), \quad \text{for } m_X - \text{a.e. } x \in A \quad (2.23)$$

and the inequality for some non-negatively valued  $\varphi \in L^p(A, m_X)$

$$|V(f)(x)| \leq \varphi(x)|\nabla f|(x), \quad \text{for } m_X - \text{a.e. } x \in A, \quad (2.24)$$

for any  $f \in \text{Test}F(X, d_X, m_X)$ . The smallest  $\varphi$  is called the *Hilbert-Schmidt norm* of  $V$ , denoted by  $|V|$ . Note that the gradient vector field  $\nabla f$  of  $f \in H^{1,2}(X, d_X, m_X)$  is well defined in  $L^2(T(X, d_X, m_X))$  and that the pointwise norm  $|\cdot|$  comes from a pointwise inner product  $\langle \cdot, \cdot \rangle$  for  $m_X$ -a.e. sense. Similarly, we can define the set of all  $L^p$ -1-forms on  $A$ , denoted by  $L^p(T^*(A, d_X, m_X))$ . It is worth pointing out that there is a canonical isometry  $\iota$  from  $L^2(T(A, d_X, m_X))^*$  to  $L^2(T^*(A, d_X, m_X))$ , hence  $\iota(\nabla f) = df$  for any  $f \in H^{1,2}(X, d_X, m_X)$ , where  $df$  is the differential (or the exterior derivative) of  $f$  (see [31, Def.2.2.2]).

Let us recall the *Hilbert-Schmidt norm* and the (*best*) *bound* of a tensor of type  $(0, 2)$ .

**Definition 2.11** (Norms) Let  $T : [L^2(T(A, \mathbf{d}_X, \mathbf{m}_X))]^2 \rightarrow L^0(A, \mathbf{m}_X)$  be a tensor of type  $(0, 2)$  over  $A$ , namely, it is an  $L^\infty(A, \mathbf{m}_X)$ -bilinear form. We define the *Hilbert-Schmidt norm*  $|\cdot|_{HS}$  and the (best) *bound*  $|\cdot|_B$  of  $T$  as follows.

1. The smallest Borel measurable function  $h : A \rightarrow [0, \infty]$ , up to  $\mathbf{m}_X$ -negligible sets, satisfying

$$\left| \sum_i \chi_i T(\nabla f_i^1, \nabla f_i^2) \right| \leq h \left| \sum_{i,j} \chi_i \chi_j \langle \nabla f_i^1, \nabla f_j^1 \rangle \cdot \langle \nabla f_i^2, \nabla f_j^2 \rangle \right|^{1/2},$$

for  $\mathbf{m}_X$  - a.e. in  $A$  (2.25)

for all  $\chi_i, f_i^j \in \text{Test}F(X, \mathbf{d}_X, \mathbf{m}_X)$ , is denoted  $|T|_{HS}$  or  $|T|$  for short (because we will usually consider the Hilbert-Schmidt norm for given tensor).

2. The smallest Borel measurable function  $h : A \rightarrow [0, \infty]$ , up to  $\mathbf{m}_X$ -negligible sets, satisfying

$$|\chi T(\nabla f^1, \nabla f^2)| \leq h|\chi| \cdot |\nabla f^1| \cdot |\nabla f^2|, \quad \text{for } \mathbf{m}_X - \text{a.e. in } A \quad (2.26)$$

for all  $\chi, f^j \in \text{Test}F(X, \mathbf{d}_X, \mathbf{m}_X)$ , is denoted  $|T|_B$ .

Let us denote by  $L^p((T^*)^{\otimes 2}(A, \mathbf{d}_X, \mathbf{m}_X))$  the set of all tensors  $T$  of type  $(0, 2)$  satisfying  $|T| \in L^p(A, \mathbf{m}_X)$ . Note that the pointwise Hilbert-Schmidt norm also comes from a pointwise inner product for  $\mathbf{m}_X$ -a.e. sense as in the case of vector fields. In particular,  $L^2((T^*)^{\otimes 2}(A, \mathbf{d}_X, \mathbf{m}_X))$  is a Hilbert space.

We need the following important notion, the *Hessian* of a function:

**Theorem 2.12** (Hessian) *For any  $f \in \text{Test}F(X, \mathbf{d}_X, \mathbf{m}_X)$ , there exists a unique*

$$T \in L^2((T^*)^{\otimes 2}(X, \mathbf{d}_X, \mathbf{m}_X)),$$

*called the Hessian of  $f$ , denoted by  $\text{Hess}_f$ , such that for all  $f_i \in \text{Test}F(X, \mathbf{d}_X, \mathbf{m}_X)$ ,*

$$\begin{aligned} \langle T, \mathbf{d}f_1 \otimes \mathbf{d}f_2 \rangle &= \frac{1}{2} (\langle \nabla f_1, \nabla \langle \nabla f_2, \nabla f \rangle \rangle + \langle \nabla f_2, \nabla \langle \nabla f_1, \nabla f \rangle \rangle \\ &\quad - \langle \nabla f, \nabla \langle \nabla f_1, \nabla f_2 \rangle \rangle) \end{aligned} \quad (2.27)$$

*holds for  $\mathbf{m}_X$ -a.e.  $x \in X$ .*

See [31, Th.3.3.8] and [70, Lem.3.3]. Moreover, combining the locality property [31, Prop.3.3.24] with a good cut-off, it is proved in [31, Th.3.3.8 and Cor.3.3.9] and [70, Th.3.4] that for any open subset  $U$  of  $X$ , the Hessian is well defined for any  $f \in D(\Delta, U)$  by satisfying (2.27) for  $\mathbf{m}_X$ -a.e.  $x \in U$ , and that the Bochner inequality involving the Hessian term

$$\frac{1}{2} \int_X |\nabla f|^2 \Delta \varphi \, \mathbf{d}\mathbf{m}_X \geq \int_X \varphi \left( |\text{Hess}_f|^2 + \langle \nabla \Delta f, \nabla f \rangle + K |\nabla f|^2 \right) \mathbf{d}\mathbf{m}_X \quad (2.28)$$

holds for any  $f \in \text{Test}F(X, d_X, m_X)$  and  $\varphi \in D(\Delta)$  with  $\varphi \geq 0$ ,  $\varphi, \Delta\varphi \in L^\infty(X, m_X)$  and  $\text{supp } \varphi \subset U$ . Let us define the *Riemannian metric* as follows. See [6, Prop.3.2] and [34, Th.5.1] for the proof.

**Proposition 2.13** (*Riemannian metric*) *There exists a unique  $g_X \in L^\infty((T^*)^{\otimes 2}(X, d_X, m_X))$  such that for any  $f_i \in \text{Test}F(X, d_X, m_X)$ , we have*

$$\langle g_X, df_1 \otimes df_2 \rangle(x) = \langle \nabla f_1, \nabla f_2 \rangle(x), \quad \text{for } m_X - \text{a.e. } x \in X. \quad (2.29)$$

We call  $g_X$  the *Riemannian metric* of  $(X, d_X, m_X)$ . Moreover, it holds that

$$|g_X|(x) = \sqrt{n}, \quad \text{for } m_X - \text{a.e. } x \in X. \quad (2.30)$$

if  $(X, d_X, m_X)$  is finite dimensional and the essential dimension is equal to  $n$ .

**Proposition 2.14** *Assume that  $(X, d_X, m_X)$  is finite dimensional and that the essential dimension is equal to  $n$ . Then for any symmetric tensor  $T$  of type  $(0, 2)$  over  $A$ , we have*

$$|T|_B(x) \leq |T|(x) \leq \sqrt{n}|T|_B(x), \quad \text{for } m_X - \text{a.e. } x \in A. \quad (2.31)$$

**Proof** The conclusion is trivial when  $(X, d_X, m_X)$  is isometric to  $(\mathbb{R}^n, d_{\mathbb{R}^n}, \mathcal{H}^n)$ . Fix  $\epsilon \in (0, 1)$  and take a  $(1 \pm \epsilon)$ -bi-Lipschitz rectifiable chart  $(A, \varphi)$  of  $(X, d_X, m_X)$ . Then since (2.31) is satisfied for  $\varphi(A)$ , we conclude because  $\epsilon$  is arbitrary (see also [35, Th.5.1]).  $\square$

It is worth pointing out that in Proposition 2.14, thanks to (2.31),  $|T| \in L^p(A, m_X)$  holds if and only if  $|T|_B \in L^p(A, m_X)$  holds.

## 2.6 Non-collapsed RCD Spaces

Let us recall a nicer subclass of RCD spaces, so-called *non-collapsed RCD spaces*, introduced in [23, Def.1.1]. The following definition is motivated by seminal works on non-collapsed Ricci limit spaces in [16–18], in particular in [16, Th.5.9]. Fix  $K \in \mathbb{R}$ ,  $N \in [1, \infty)$ .

**Definition 2.15** (*Non-collapsed RCD space*) An  $\text{RCD}(K, N)$  space  $(X, d_X, m_X)$  is said to be *non-collapsed* if  $m_X = \mathcal{H}^N$  holds.

Non-collapsed  $\text{RCD}(K, N)$  spaces have nicer properties over general  $\text{RCD}(K, N)$  spaces. Let us introduce some of them:

**Theorem 2.16** *Let  $(X, d_X, \mathcal{H}^N)$  be a non-collapsed  $\text{RCD}(K, N)$  space. Then the following holds.*

1. *The essential dimension of  $(X, d_X, \mathcal{H}^N)$  is equal to  $N$ .*

2. It holds that for any  $x \in X$ ,

$$\lim_{r \rightarrow 0^+} \frac{\mathcal{H}^N(B_r(x))}{\omega_N r^N} \leq 1. \quad (2.32)$$

Moreover, the equality in (2.32) is satisfied if and only if  $x \in \mathcal{R}_N$  holds.

The inequality (2.32) is sometimes referred as the *Bishop inequality*. See [23, Th.1.3 and 1.6]. It is worth pointing out that a quantitative version of the rigidity part of the Bishop inequality is also satisfied as follows, where  $d_{\text{GH}}$ ,  $d_{\text{pmGH}}$  denote the Gromov-Hausdorff, pointed Gromov-Hausdorff distances, respectively.

**Theorem 2.17** (Almost rigidity of Bishop inequality) *Let  $(X, d_X, \mathcal{H}^N)$  be a non-collapsed  $\text{RCD}(K, N)$  space and let  $x \in X$ . If*

$$\left| \frac{\mathcal{H}^N(B_r(x))}{\omega_N r^N} - 1 \right| < \epsilon \quad (2.33)$$

*holds for some  $\epsilon, r \in (0, 1)$ , then*

$$d_{\text{GH}}(B_{r/2}(x), B_{r/2}(0_N)) < \Psi(\epsilon, r; K, N)r \quad (2.34)$$

*and*

$$\begin{aligned} d_{\text{pmGH}}\left((X, t^{-1}d_X, x, \mathcal{H}^N), (\mathbb{R}^N, d_{\mathbb{R}^N}, 0_N, \mathcal{H}^N)\right) \\ < \Psi(\epsilon, t/r, r; K, N), \quad \forall t \in (0, 1) \end{aligned} \quad (2.35)$$

*hold. Conversely if*

$$d_{\text{GH}}(B_r(x), B_r(0_N)) < \epsilon r \quad (2.36)$$

*holds for some  $\epsilon, r \in (0, 1)$ , then*

$$\left| \frac{\mathcal{H}^N(B_r(x))}{\omega_N r^N} - 1 \right| < \Psi(\epsilon, r; K, N) \quad (2.37)$$

*is satisfied.*

See [23, Th.1.3 and 1.6] for the proof (see also [6, Prop.6.5]).

Finally let us end this subsection by giving the following convergence result proved in [23, Th.1.2] (see [16, Th.5.9] with [20, Th.0.1] for the corresponding results on Ricci limit spaces).

**Theorem 2.18** (GH implies mGH) *Let  $(X_i, d_{X_i}, \mathcal{H}^N, x_i)$  be a sequence of pointed non-collapsed  $\text{RCD}(K, N)$  spaces. If  $(X_i, d_{X_i}, x_i)$  pointed Gromov-Hausdorff converge to a pointed complete metric space  $(X, d_X, x)$ , then*

$$\mathcal{H}^N(B_r(z_i)) \rightarrow \mathcal{H}^N(B_r(z)) \quad (2.38)$$

holds for any  $r \in (0, \infty)$  and any  $z_i \in X_i \rightarrow z \in X$ .

## 2.7 Heat Kernel

Let  $(X, d_X, m_X)$  be a finite dimensional RCD space. In order to give precise estimates below, we assume that it is an  $\text{RCD}(K, N)$  space with  $K \in \mathbb{R}$  and  $N \in [1, \infty)$ .

Then the *heat kernel*  $p_X(x, y, t)$  of  $(X, d_X, m_X)$  is determined by the continuous function  $p_X : X \times X \times (0, \infty) \rightarrow \mathbb{R}$  satisfying

$$h_t f(x) = \int_X f(y) p_X(x, y, t) dm_X(y), \quad \forall f \in L^2(X, m_X), \quad \forall x \in X. \quad (2.39)$$

The sharp Gaussian estimates on  $p_X$  proved by Jiang-Li-Zhang [55, Th.1.2] is as follows; for any  $\epsilon > 0$ , there exists  $C = C(K, N, \epsilon) \in (1, \infty)$  depending only on  $K, N$  and  $\epsilon$  such that

$$\begin{aligned} & \frac{C^{-1}}{m_X(B_{\sqrt{t}}(x))} \exp\left(-\frac{d_X(x, y)^2}{(4 - \epsilon)t} - Ct\right) \\ & \leq p_X(x, y, t) \leq \frac{C}{m_X(B_{\sqrt{t}}(x))} \exp\left(-\frac{d_X(x, y)^2}{(4 + \epsilon)t} + Ct\right) \end{aligned} \quad (2.40)$$

for all  $x, y \in X$  and any  $t \in (0, 1]$ . Combined with the Li-Yau inequality [28, Cor.1.5], [54, Th.1.1, 1.2 and 1.3], (2.40) implies a gradient estimate [55, Cor.1.2]

$$\begin{aligned} |\nabla_x p_X(x, y, t)| & \leq \frac{C}{\sqrt{t} m_X(B_{\sqrt{t}}(x))} \exp\left(-\frac{d_X(x, y)^2}{(4 + \epsilon)t} + Ct\right) \\ & \text{for } m_X - \text{a.e. } x \in X \end{aligned} \quad (2.41)$$

for any  $t > 0, y \in X$ . Note that the gradient estimate (2.41) is also satisfied if we replace the minimal relaxed slope in the LHS of (2.41) by the asymptotically Lipschitz constant (cf. [40, Prop.1.10]) because of the continuity of the RHS of (2.41).

From now on we assume that  $(X, d_X)$  is compact. Then since the inclusion  $H^{1,2}(X, d_X, m_X) \hookrightarrow L^2(X, m_X)$  is a compact operator (cf. [44, Th.8.1]), we know that the (minus) Laplacian  $-\Delta$  admits a discrete positive spectrum

$$0 = \lambda_0^X < \lambda_1^X \leq \lambda_2^X \leq \dots \rightarrow +\infty \quad (2.42)$$

counted with multiplicities. Denote by  $\varphi_i^X$  corresponding eigenfunctions of  $\lambda_i^X$  with  $\|\varphi_i^X\|_{L^2} = 1$  and recall that  $\{\varphi_i^X\}_i$  is an  $L^2$ -orthogonal basis of  $L^2(X, m_X)$  and that each  $\varphi_i^X$  is Lipschitz.

It is well known that the following expansions for  $p_X$  hold

$$p_X(x, y, t) = \sum_{i \geq 0} e^{-\lambda_i^X t} \varphi_i^X(x) \varphi_i^X(y) \quad \text{in } C(X \times X) \quad (2.43)$$

for any  $t > 0$  and

$$p_X(\cdot, y, t) = \sum_{i \geq 0} e^{-\lambda_i^X t} \varphi_i^X(y) \varphi_i^X \quad \text{in } H^{1,2}(X, d_X, m_X) \quad (2.44)$$

for any  $y \in X$  and  $t > 0$ . Combining (2.43) and (2.44) with (2.41), we know that

$$\|\varphi_i^X\|_{L^\infty} \leq \tilde{C}(\lambda_i^X)^{N/4}, \quad \|\nabla \varphi_i^X\|_{L^\infty} \leq \tilde{C}(\lambda_i^X)^{(N+2)/4}, \quad \lambda_i^X \geq \tilde{C}^{-1} i^{2/N}, \quad (2.45)$$

where  $\tilde{C} := \tilde{C}(d, K, N) \in (1, \infty)$  and  $d$  denotes an upper bound on the diameter of  $(X, d_X)$  (cf. the appendix of [6]).

## 2.8 Pull-Back by Lipschitz Map into Hilbert Space

Let  $(X, d_X, m_X)$  be a finite dimensional RCD space whose essential dimension is equal to  $n$  and let  $A$  be a Borel subset of  $X$ . We start this section by recalling *Lebesgue points*;

**Definition 2.19** (*Lebesgue point*) Let  $f \in L^p_{\text{loc}}(X, m_X)$  with  $p \in [1, \infty)$ . We say that  $x \in X$  is a *p-Lebesgue point* of  $f$  if there exists  $a \in \mathbb{R}$  such that

$$\lim_{r \rightarrow 0} \frac{1}{m_X(B_r(x))} \int_{B_r(x)} |f(y) - a|^p dm_X(y) = 0.$$

The real number  $a$  is uniquely determined by this condition and denoted by  $\bar{f}(x)$  (we omit the  $p$ -dependence). The set of all  $p$ -Lebesgue points of  $f$  is Borel and denoted by  $\text{Leb}_p(f)$ .

Note that the property of being a  $p$ -Lebesgue point and  $\bar{f}(x)$  do not depend on the choice of the versions of  $f$ , and that  $x \in \text{Leb}_p(f)$  implies  $m_X(B_r(x))^{-1} \int_{B_r(x)} |f(y)|^p dm_X \rightarrow |\bar{f}(x)|^p$  as  $r \rightarrow 0^+$ . It is well known (e.g., subsection 3.4 of [46]) that the doubling property ensures that  $m_X(X \setminus \text{Leb}_p(f)) = 0$ , and that the set  $\{x \in \text{Leb}_p(f) : \bar{f}(x) = f(x)\}$  (which does depend on the choice of representative in the equivalence class) has full measure in  $X$ , so-called Lebesgue differentiation theorem. When we apply these properties to a characteristic function  $f = 1_A$ , we obtain that  $m_X$ -a.e.  $x \in A$  is a point of density 1 for  $A$  and  $m_X$ -a.e.  $x \in X \setminus A$  is a point of density 0 for  $A$ , namely, the set

$$\text{Leb}(A) := \left\{ x \in A; \lim_{r \rightarrow 0^+} \frac{m_X(B_r(x) \cap A)}{m_X(B_r(x))} = 1 \right\} \quad (2.46)$$

satisfies

$$m_X(A \setminus \text{Leb}(A)) = 0. \quad (2.47)$$

Lebesgue points can be understood as metric “measure” density points. In fact, (2.47) implies

$$\text{Leb}(A) \subset \text{Den}(A). \quad (2.48)$$

**Definition 2.20** (*Pull-back*) Let  $(H, \langle \cdot, \cdot \rangle)$  be a separable real Hilbert space and let  $f : A \rightarrow H$  be a Lipschitz map. The *pull-back* by  $f$ , denoted by  $f^*g_H$ , is defined by

$$f^*g_H := \sum_{i=1}^{\infty} \mathbf{d}f_i \otimes \mathbf{d}f_i, \quad \text{in } L^2((T^*)^{\otimes 2}(A, \mathbf{d}_X, \mathbf{m}_X)), \quad (2.49)$$

where  $f = \sum_{i=1}^{\infty} f_i e_i$  for some orthonormal basis  $\{e_i\}_i$  of  $H$ . This does not depend on the choice of  $\{e_i\}_i$  with

$$|f^*g_H|(x) \leq \sum_{i=1}^{\infty} |\mathbf{d}f_i|^2(x) \leq nL^2, \quad \text{for } \mathbf{m}_X - a.e. \ x \in A, \quad (2.50)$$

whenever  $f$  is  $L$ -Lipschitz.

See [6, Lem.4.8 and Prop.4.9] for the detail.

**Lemma 2.21** Let  $f : A \rightarrow \mathbb{R}^k$  be a Lipschitz map, let  $\epsilon \in (0, 1)$  and let  $\Phi : f(A) \rightarrow \mathbb{R}^l$  be a  $(1 \pm \epsilon)$ -bi-Lipschitz embedding. Then for  $\mathbf{m}_X$ -a.e.  $x \in A$ ,

$$(1 + \epsilon)^{-2} f^*g_{\mathbb{R}^k} \leq (\Phi \circ f)^*g_{\mathbb{R}^l} \leq (1 + \epsilon)^2 f^*g_{\mathbb{R}^k}, \quad (2.51)$$

that is, for any  $V \in L^\infty(T(A, \mathbf{d}_X, \mathbf{m}_X))$ ,

$$\begin{aligned} & (1 + \epsilon)^{-2} \int_A f^*g_{\mathbb{R}^k}(V, V) \, \mathbf{d}\mathbf{m}_X \\ & \leq \int_A (\Phi \circ f)^*g_{\mathbb{R}^l}(V, V) \, \mathbf{d}\mathbf{m}_X \leq (1 + \epsilon)^2 \int_A f^*g_{\mathbb{R}^k}(V, V) \, \mathbf{d}\mathbf{m}_X. \end{aligned} \quad (2.52)$$

In particular, for  $\mathbf{m}_X$ -a.e.  $x \in A$ ,

$$|(\Phi \circ f)^*g_{\mathbb{R}^l} - f^*g_{\mathbb{R}^k}|(x) \leq C(n)\epsilon |f^*g_{\mathbb{R}^k}|(x). \quad (2.53)$$

**Proof** Let us divide the proof into the following two cases.

*Case 1;*  $(X, \mathbf{d}, \mathbf{m}) = (\mathbb{R}^n, \mathbf{d}_{\mathbb{R}^n}, \mathcal{H}^n)$ .

Kirszbraun’s theorem states that there exist Lipschitz maps  $\tilde{f} : \mathbb{R}^n \rightarrow \mathbb{R}^k$  and  $\tilde{\Phi} : \mathbb{R}^k \rightarrow \mathbb{R}^l$  such that  $\tilde{\Phi}$  is  $(1 + \epsilon)$ -Lipschitz, that  $\tilde{f}|_A = f$  and that  $\tilde{\Phi}|_{f(A)} = \Phi$ . Fix  $x \in \text{Leb}(A)$  where both  $\tilde{f}$  and  $\tilde{\Phi} \circ \tilde{f}$  are differentiable at  $x$ . Recall that for any  $v \in \mathbb{R}^n$  we have

$$|J(\tilde{f})(x)v|_{\mathbb{R}^k}^2 = \sum_{i=1}^k (\mathbf{d}_x \tilde{f}_i(v))^2 = \sum_{i=1}^k (\mathbf{d}_x f_i(v))^2 \quad (2.54)$$

and

$$|J(\tilde{\Phi} \circ \tilde{f})(x)v|_{\mathbb{R}^l}^2 = \sum_{i=1}^l (\mathbf{d}_x(\tilde{\Phi}_i \circ \tilde{f})(v))^2 = \sum_{i=1}^l (\mathbf{d}_x(\Phi_i \circ f)(v))^2, \quad (2.55)$$

where  $J(\tilde{f})$ ,  $J(\tilde{\Phi} \circ \tilde{f})$  denote the corresponding Jacobi matrices,  $\tilde{f} = (\tilde{f}_i)_i$ ,  $\tilde{\Phi} = (\tilde{\Phi}_i)_i$ , and we used the locality of the exterior derivative. Let us prove

$$|J(\tilde{\Phi} \circ \tilde{f})(x)v|_{\mathbb{R}^l} \leq (1 + \epsilon) |J(\tilde{f})(x)v|_{\mathbb{R}^k}. \quad (2.56)$$

By the differentiability of  $\tilde{f}$  at  $x$  for any  $\delta \in (0, 1)$ , we know

$$\tilde{f}\left(x + \frac{t}{|J(\tilde{f})(x)v|_{\mathbb{R}^k} + \delta} v\right) = \tilde{f}(x) + \frac{t}{|J(\tilde{f})(x)v|_{\mathbb{R}^k} + \delta} J(\tilde{f})(x)v + o(|t|). \quad (2.57)$$

In particular, combining this with the  $(1 + \epsilon)$ -Lipschitz continuity of  $\Phi$  implies that

$$\begin{aligned} & |t|^{-1} \left| \tilde{\Phi}\left(\tilde{f}\left(x + \frac{t}{|J(\tilde{f})(x)v|_{\mathbb{R}^k} + \delta} v\right)\right) - \tilde{\Phi}(\tilde{f}(x)) \right| \\ & \leq (1 + \epsilon) \cdot \frac{|J(\tilde{f})(x)v|_{\mathbb{R}^k}}{|J(\tilde{f})(x)v|_{\mathbb{R}^k} + \delta} + o(1). \end{aligned} \quad (2.58)$$

Thus letting  $t \rightarrow 0$  and then letting  $\delta \rightarrow 0^+$  in (2.58) with the differentiability of  $\tilde{\Phi} \circ f$  at  $x$  show (2.56).

Then applying the above argument for the maps  $\Phi \circ f : A \rightarrow \mathbb{R}^l$ ,  $\Phi^{-1} : \Phi(f(A)) \rightarrow \mathbb{R}^k$  shows that for  $\mathcal{H}^n$ -a.e.  $x \in \mathbb{R}^n$ , and for any  $v \in \mathbb{R}^n$

$$(1 + \epsilon)^{-2} \sum_{i=1}^k (\mathbf{d}_x f_i(v))^2 \leq \sum_{i=1}^l (\mathbf{d}_x(\Phi_i \circ f)(v))^2 \leq (1 + \epsilon)^2 \sum_{i=1}^k (\mathbf{d}_x f_i(v))^2, \quad (2.59)$$

which completes the proof of (2.52).

*Case 2; general  $(X, \mathbf{d}_X, \mathbf{m}_X)$ .*

For the general case, for any  $\delta \in (0, 1)$  we find a  $(1 \pm \delta)$ -bi-Lipschitz rectifiable chart  $\hat{\varphi} : \hat{A} \rightarrow \mathbb{R}^n$  of  $(X, \mathbf{d}_X, \mathbf{m}_X)$  (see Theorem 2.7 for the definition of rectifiable charts). Then applying (2.51) for  $\hat{\varphi}(A \cap \hat{A})$  completes the proof because of the arbitrariness of  $\delta$ .  $\square$



**Corollary 2.22** *Let  $\epsilon \in (0, 1)$  and let  $f : A \rightarrow \mathbb{R}^k$  be a  $(1 \pm \epsilon)$ -bi-Lipschitz embedding. Then*

$$|f^*g_{\mathbb{R}^k} - g_X|(x) \leq C(n)\epsilon, \quad \text{for } \mathfrak{m}_X - \text{a.e. } x \in A. \quad (2.60)$$

**Proof** It follows from Lemma 2.21 that (2.60) holds if  $(X, d, \mathfrak{m}) = (\mathbb{R}^n, d_{\mathbb{R}^n}, \mathcal{H}^n)$ . In general case, the same argument as in the Case 2 of the proof of the lemma allows us to conclude.  $\square$

Similarly, we have the following which gives a geometric meaning of the pull-back;

**Proposition 2.23** *Let  $f : A \rightarrow \mathbb{R}^k$  be a Lipschitz map. Then*

$$\text{Lip} f(x) = (|f^*g_{\mathbb{R}^k}|_B(x))^{1/2}, \quad \text{for } \mathfrak{m} - \text{a.e. } x \in A. \quad (2.61)$$

*In particular,*

$$\text{Lip} f(x) \leq (|f^*g_{\mathbb{R}^k}|(x))^{1/2}, \quad \text{for } \mathfrak{m} - \text{a.e. } x \in A. \quad (2.62)$$

**Proof** As in the proof of Lemma 2.21, it is enough to consider the case when  $(X, d, \mathfrak{m}) = (\mathbb{R}^n, d_{\mathbb{R}^n}, \mathcal{H}^n)$  (note that (2.62) is a direct consequence of Proposition 2.14 with (2.61)).

Applying Kirszbraun's theorem, we can find a Lipschitz map  $\tilde{f} : \mathbb{R}^n \rightarrow \mathbb{R}^k$  with  $\tilde{f}|_A = f$ . Then since

$$\text{Lip} \tilde{f}(x) = \left| \sum_{i=1}^k d\tilde{f}_i(x) \otimes d\tilde{f}_i(x) \right|_B^{1/2} \quad (2.63)$$

holds for any differentiable point  $x$  of  $\tilde{f} = (\tilde{f}_i)_i$ , we see that (2.61) holds for any  $x \in \text{Leb}(A)$  which is also a differentiable point of  $\tilde{f}$  because of Proposition 2.2 with (2.48). Thus we conclude because of (2.47).  $\square$

## 2.9 Embedding into $L^2$ via Heat Kernel/Eigenfunctions

Let  $(X, d_X, \mathfrak{m}_X)$  be a finite dimensional compact RCD space whose essential dimension is equal to  $n$ . Then for any  $t \in (0, \infty)$  the map  $\Phi_t^X : X \rightarrow L^2(X, \mathfrak{m}_X)$  (we will use the simplified notation  $\Phi_t$  instead of using  $\Phi_t^X$  below) defined by

$$\Phi_t(x) := (z \mapsto p_X(x, z, t)) \quad (2.64)$$

is Lipschitz and gives a topological embedding to the image  $\Phi_t(X)$ . Fix an  $L^2$ -orthonormal basis  $\{\varphi_i^X\}_i$  associated with (2.42), namely,  $\Delta_X \varphi_i^X + \lambda_i^X \varphi_i^X = 0$  and  $\|\varphi_i^X\|_{L^2} = 1$  are satisfied. Then for the canonical isometry  $\iota : L^2(X, \mathfrak{m}_X) \rightarrow \ell^2$  via  $\{\varphi_i^X\}_i$ , we have

$$\Phi_t^{\ell^2}(x) := \iota \circ \Phi_t(x) = \left( e^{-\lambda_i^X t} \varphi_i^X(x) \right)_{i=1}^{\infty}. \quad (2.65)$$

Moreover, it is proved in [6, Prop.4.7 and Th.5.10] that the pull-back  $g_t := \Phi_t^* g_{L^2}$  can be written by

$$\begin{aligned} g_t &= \int_X d_x p_x(\cdot, z, t) \otimes d_x p(\cdot, z, t) \, d\mathbf{m}_X(z) \\ &= \sum_i e^{-2\lambda_i^X t} d\varphi_i^X \otimes d\varphi_i^X, \end{aligned} \quad (2.66)$$

that  $t\mathbf{m}_X(B_{\sqrt{t}}(\cdot))g_t$   $L^p$ -strongly converge to  $\bar{c}_n g_X$  for any  $p \in [1, \infty)$ , where  $\bar{c}_n$  is a positive constant depending only on  $n$ , and that

$$\left| t\mathbf{m}_X(B_{\sqrt{t}}(\cdot))g_t \right| (x) \leq C(K, N) < \infty, \quad \text{for } \mathbf{m}_X - \text{a.e. } x \in X, \quad (2.67)$$

if  $(X, d_X, \mathbf{m}_X)$  is a compact RCD( $K, N$ ) space and  $t \in (0, 1)$ .

## 2.10 Convergence

In this section, we will discuss several convergence results.

### 2.10.1 Uniform Convergence

We discuss the convergence of maps into  $\ell^2 := \{(a_i)_{i=1}^{\infty}; a_i \in \mathbb{R}, \sum_{i=1}^{\infty} (a_i)^2 < \infty\}$ .

**Proposition 2.24** *Let*

$$(X_i, d_{X_i}) \xrightarrow{\text{GH}} (X, d_X) \quad (2.68)$$

*be a Gromov-Hausdorff convergent sequence of compact metric spaces, let  $L \in (0, \infty)$  and let  $\Phi_i = (\varphi_{i,j})_j : X_i \rightarrow \ell^2$  be a sequence of  $L$ -Lipschitz maps. Assume that the following two conditions hold;*

1. *we have  $\sup_{i \in \mathbb{N}, x_i \in X_i} \|\Phi_i(x_i)\|_{\ell^2} < \infty$ ;*
2. *for any  $\epsilon \in (0, 1)$ , there exists  $l := l(\epsilon) \in \mathbb{N}$  such that*

$$\sup_{i, y_i \in X_i} \sum_{j \geq l} \varphi_{i,j}(y_i)^2 < \epsilon \quad (2.69)$$

*holds.*

*Then after passing to a subsequence, there exists an  $L$ -Lipschitz map  $\Phi : X \rightarrow \ell^2$  such that  $\Phi_i$  converge uniformly to  $\Phi$  on  $X$ , namely,  $\{\Phi_i\}_i$  is equi-continuous and  $\Phi_i(x_i) \rightarrow \Phi(x)$  holds whenever  $x_i \rightarrow x \in X$ .*

**Proof** Since the sequence  $\{\varphi_{i,j}\}_i$  has a uniformly convergent subsequence for each  $j \in \mathbb{N}$ , after passing to a diagonal process, there exists a sequence of Lipschitz functions  $\varphi_j$  on  $X$  such that  $\varphi_{i,j}$  converge uniformly to  $\varphi_j$  on  $X$  for each  $j$ . With no loss of generality, we can assume that  $\Phi_i(x_i) = 0$  holds for a convergent sequence  $x_i \in X_i$  to  $x \in X$ . For any  $l \in \mathbb{N}$  and any convergent sequence  $y_i \in X_i \rightarrow y \in X$ , we have

$$\begin{aligned} \left( \sum_{j=1}^l \varphi_j(y)^2 \right)^{1/2} &= \lim_{i \rightarrow \infty} \left( \sum_{j=1}^l \varphi_{i,j}(y_i)^2 \right)^{1/2} \\ &\leq \limsup_{i \rightarrow \infty} \left( \sum_{j=1}^{\infty} \varphi_{i,j}(y_i)^2 \right)^{1/2} \leq Ld(x, y), \end{aligned} \quad (2.70)$$

where we used the  $L$ -Lipschitz continuity of  $\Phi_i$  in the last inequality of (2.70) with  $\Phi_i(x_i) = 0$ . Letting  $l \rightarrow \infty$  in (2.70) shows that the function  $\Phi := (\varphi_i)_i$  from  $X$  to  $\ell^2$  is well defined. It is easy to check the pointwise convergence of  $\Phi_i$  to  $\Phi$  by (2.69). Thus the  $L$ -Lipschitz continuity of  $\Phi$  comes from that of  $\Phi_i$ . Moreover, it is easy to check the desired uniform convergence.  $\square$

**Remark 2.25** In the above theorem, the assumption (2.69) is essential. Actually a sequence of maps  $\Phi_i$  from a single point  $\{p\}$  to  $\ell^2$  defined by

$$\Phi_i(p) := (\overbrace{0, 0, \dots, 0}^i, 1, 0, \dots) \quad (2.71)$$

has no pointwise convergent subsequence. This reason comes from the fact that a subset  $A$  of  $\ell^2$  including 0 is relatively compact if and only if for any  $\epsilon \in (0, 1)$ , there exists  $l \in \mathbb{N}$  such that

$$\sup_{x \in A} \sum_{j \geq l} x_j^2 < \epsilon \quad (2.72)$$

holds, where  $x = (x_i)_i$ . In connection with this observation, we can easily check that for two Gromov-Hausdorff convergent sequences  $(X_i, d_{X_i}) \xrightarrow{\text{GH}} (X, d_X)$ ,  $(Y_i, d_{Y_i}) \xrightarrow{\text{GH}} (Y, d_Y)$  of compact metric spaces, any sequence of equi-continuous maps  $\Phi_i : X_i \rightarrow Y_i$  has a uniform convergent subsequence to a continuous map  $\Phi : X \rightarrow Y$ .

## 2.10.2 Functional Convergence

Let us fix  $R \in (0, \infty]$ ,  $K \in \mathbb{R}$ ,  $N \in [1, \infty)$  and a pointed measured Gromov-Hausdorff convergent sequence of pointed RCD( $K, N$ ) spaces

$$(X_i, d_{X_i}, m_{X_i}, x_i) \xrightarrow{\text{pmGH}} (X, d_X, m_X, x). \quad (2.73)$$

In this setting, it is well defined that a sequence  $f_i \in L^p(B_R(x_i), \mathfrak{m}_{X_i})$   $L^p$ -strongly/weakly converge to  $f \in L^p(B_R(x), \mathfrak{m}_X)$  on  $B_R(x)$  for  $p \in [1, \infty)$ . Note that  $B_R(x) = X$  when  $R = \infty$ . Since it is enough to discuss only on  $L^2$ -ones for our purposes, we recall it here (see [4, 5, 10, 33, 48] for the details).

**Definition 2.26** ( *$L^2$ -convergence of functions*) Let  $f_i \in L^2(B_R(x_i), \mathfrak{m}_{X_i})$  be a sequence of  $L^2$ -functions on  $B_R(x_i)$  and let  $f \in L^2(B_R(x), \mathfrak{m}_X)$ .

1. We say that  $f_i$   $L^2$ -weakly converge to  $f$  on  $B_R(x)$  if  $\sup_i \|f_i\|_{L^2(B_R(x_i))} < \infty$  holds and

$$\int_{B_R(x_i)} \varphi_i f_i \, d\mathfrak{m}_{X_i} \rightarrow \int_{B_R(x)} \varphi f \, d\mathfrak{m}_X \quad (2.74)$$

holds for any uniformly convergent sequence  $\varphi_i \in C_c(X_i)$  to  $\varphi \in C_c(X)$  with uniformly compact supports (namely there exists  $R > 0$  such that  $\text{supp } \varphi_i \subset B_R(x_i)$  and  $\text{supp } \varphi \subset B_R(x)$  are satisfied for any  $i$ ).

2. We say that  $f_i$   $L^2$ -strongly converge to  $f$  on  $B_R(x)$  if it is an  $L^2$ -weakly convergent sequence on  $B_R(x)$  and  $\limsup_{i \rightarrow \infty} \|f_i\|_{L^2(B_R(x_i))} \leq \|f\|_{L^2(B_R(x))}$  holds.

A typical example is that  $1_{B_r(y_i)}$   $L^2$ -strongly converge to  $1_{B_r(y)}$  on  $B_R(x)$  for all  $r \in (0, \infty)$  and  $y_i \in X_i \rightarrow y \in X$ .

Next we give the definition of  $L^2$ -convergence of tensors as follows.

**Definition 2.27** (*Convergence of tensors*) We say that a sequence  $T_i \in L^2((T^*)^{\otimes 2}(B_R(x_i), \mathfrak{d}_{X_i}, \mathfrak{m}_{X_i}))$   $L^2$ -weakly converge to  $T \in L^2((T^*)^{\otimes 2}(B_R(x), \mathfrak{d}_X, \mathfrak{m}_X))$  on  $B_R(x)$  if the following two conditions are satisfied.

1.  $\sup_i \|T_i\|_{L^2(B_R(x_i))} < \infty$  holds.
2. We see that  $\langle T_i, \mathfrak{d}f_{1,i} \otimes \mathfrak{d}f_{2,i} \rangle$   $L^2$ -weakly converge to  $\langle T, \mathfrak{d}f_1 \otimes \mathfrak{d}f_2 \rangle$  on  $B_R(x)$  whenever  $f_{j,i} \in \text{Test}F(X_i, \mathfrak{d}_{X_i}, \mathfrak{m}_{X_i})$   $L^2$ -strongly converge to  $f_j \in \text{Test}F(X, \mathfrak{d}_X, \mathfrak{m}_X)$  with

$$\sup_{i,j} (\|f_{j,i}\|_{L^\infty(X_i)} + \|\nabla f_{j,i}\|_{L^\infty(X_i)} + \|\Delta_{X_i} f_{j,i}\|_{L^2(X_i)}) < \infty. \quad (2.75)$$

Moreover, we say that  $T_i$   $L^2$ -strongly converge to  $T$  on  $B_R(x)$  if it is an  $L^2$ -weak convergent sequence on  $B_R(x)$  with  $\limsup_{i \rightarrow \infty} \|T_i\|_{L^2(B_R(x_i))} \leq \|T\|_{L^2(B_R(x))}$  holds.

Compare with [6, Def.5.18 and Lem.6.4]. Note that we can easily check the following by an argument similar to the proof of [4, Th.10.3] (cf. [49, Prop.2.24]).

**Proposition 2.28** (*Lower semicontinuity of  $L^2$ -norms*) A sequence  $T_i \in L^2((T^*)^{\otimes 2}(B_R(x_i), \mathfrak{d}_{X_i}, \mathfrak{m}_{X_i}))$   $L^2$ -weakly converge to  $T \in L^2((T^*)^{\otimes 2}(B_R(x), \mathfrak{d}_X, \mathfrak{m}_X))$  on  $B_R(x)$ , then it holds that

$$\liminf_{i \rightarrow \infty} \|T_i\|_{L^2(B_R(x_i))} \geq \|T\|_{L^2(B_R(x))}. \quad (2.76)$$

Let us give a typical example of  $L^2$ -weak convergence of tensors. The following lower semicontinuity of the essential dimensions is already proved in [59, Th.1.5] by a different way (see also [6, Rem.5.20] and [49, Prop. 2.27]).

**Proposition 2.29** ( $L^2_{\text{loc}}$ -weak convergence of Riemannian metrics) *Assume  $R < \infty$ . Then  $g_{X_i}$   $L^2$ -weakly converge to  $g_X$  on  $B_R(x)$ . In particular, the essential dimensions are lower semicontinuous with respect to the pointed measured Gromov-Hausdorff convergence.*

Note that similarly we can define  $L^2$ -strong/weak convergence of vector fields with respect to (2.73) (see also [10, 48]).

Next let us recall the definition of  $H^{1,2}$ -strong convergence:

**Definition 2.30** ( $H^{1,2}$ -strong convergence) *We say that a sequence of  $f_i \in H^{1,2}(B_R(x_i), d_{X_i}, m_{X_i})$   $H^{1,2}$ -strongly converge to  $f \in H^{1,2}(B_R(x), d_X, m_X)$  on  $B_R(x)$  if  $f_i$   $L^2$ -strongly converge to  $f$  on  $B_R(x)$  with  $\lim_{i \rightarrow \infty} \|\nabla f_i\|_{L^2(B_R(x_i))} = \|\nabla f\|_{L^2(B_R(x))}$ .*

In connection with Definition 2.30, we introduce a Rellich type compactness result with respect to measured Gromov-Hausdorff convergence (see [33, Th.6.3], [4, Th.7.4] and [5, Th.4.2]).

**Theorem 2.31** (Convergence of gradient operators) *If a sequence  $f_i \in H^{1,2}(B_R(x_i), d_{X_i}, m_{X_i})$  satisfies  $\sup_i \|f_i\|_{H^{1,2}} < \infty$ , then after passing to a subsequence, there exists  $f \in H^{1,2}(B_R(x), d_X, m_X)$  such that  $f_i$   $L^2$ -strongly converge to  $f$  on  $B_r(x)$  for any  $r \in (0, R)$  and that  $\nabla f_i$   $L^2$ -weakly converge to  $\nabla f$  on  $B_R(x)$ . In particular,*

$$\liminf_{i \rightarrow \infty} \|\nabla f_i\|_{L^2(B_R(x_i))} \geq \|\nabla f\|_{L^2(B_R(x))} \quad (2.77)$$

*holds. Moreover, if in addition  $f_i$   $H^{1,2}$ -strongly converge to  $f$  on  $B_r(x)$  for some  $r \in (0, R]$ , then  $|\nabla f_i|^2$   $L^1$ -strongly converge to  $|\nabla f|^2$  on  $B_r(x)$ .*

Note that in Theorem 2.31 if  $R < \infty$ , then  $L^2$ -strong convergence of  $f_i$  to  $f$  is satisfied on  $B_R(x)$ , which is justified by using the Sobolev embedding theorem  $H^{1,2}(B_R(x), d_X, m_X) \hookrightarrow L^{2N/(N-2)}(B_R(x), m_X)$ . See [5, Th.4.2].

The convergence of the heat flows with respect to (2.73) is obtained in [33, Th.5.7] (more precisely they discussed it in more general setting, for  $\text{CD}(K, \infty)$  spaces under pmG-convergence). As a corollary, it is proved in [33, Th.7.8] that the following spectral convergence result holds, which will play a key role later (see [18, Th.7.3 and 7.9] for Ricci limit spaces. Compare with [5, Prop.3.3]).

**Theorem 2.32** (Spectral convergence) *If  $(X, d_X)$  is compact, then*

$$\lambda_j^{X_i} \rightarrow \lambda_j^X, \quad \forall j. \quad (2.78)$$

*Moreover, for any  $\varphi_j \in D(\Delta_X)$  with  $\Delta_X \varphi_j^X + \lambda_j^X \varphi_j^X = 0$ , there exists a sequence of  $\varphi_{j,i}^{X_i} \in D(\Delta_{X_i})$  such that  $\Delta_{X_i} \varphi_{j,i}^{X_i} + \lambda_j^{X_i} \varphi_{j,i}^{X_i} = 0$  holds and that  $\varphi_{j,i}^{X_i}$   $H^{1,2}$ -strongly converge to  $\varphi_j^X$  on  $X$ .*

Let us recall the following stability results proved in [5, Th.4.4].

**Theorem 2.33** (Stability of Laplacian on balls) *Let  $f_i \in D(\Delta_{X_i}, B_R(x_i))$  satisfy*

$$\sup_i (\|f_i\|_{H^{1,2}(B_R(x_i))} + \|\Delta_{X_i} f_i\|_{L^2(B_R(x_i))}) < \infty,$$

*and let us assume that  $f_i$   $L^2$ -strongly converge to  $f \in L^2(B_R(x), \mathfrak{m}_X)$  on  $B_R(x)$  (so that, by Theorem 2.31,  $f \in H^{1,2}(B_R(x), \mathfrak{d}_X, \mathfrak{m}_X)$ ). Then we have the following.*

- (1)  $f \in D(\Delta_X, B_R(x))$ .
- (2)  $\Delta_{X_i} f_i$   $L^2$ -weakly converge to  $\Delta_X f$  on  $B_R(x)$ .
- (3)  $f_i$   $H^{1,2}$ -strongly converge to  $f$  on  $B_r(x)$  for any  $r < R$ .

Note that in Theorem 2.33 if  $R = \infty$ , then the  $H^{1,2}$ -strong convergence of  $f_i$  to  $f$  is satisfied on  $B_R(x) = X$ . See [4, Cor.10.4].

Finally let us mention that  $L^p_{\text{loc}}$ -strong (or  $H^{1,2}_{\text{loc}}$ -strong, or  $L^p_{\text{loc}}$ -weak, respectively) convergence means the  $L^p$ -strong (or  $H^{1,2}$ -strong, or  $L^p$ -weak, respectively) convergence on  $B_r(x)$  for any  $r \in (0, \infty)$ .

## 2.11 Splitting Theorem

We say that a map  $\gamma$  from  $\mathbb{R}$  to a metric space  $(Z, \mathfrak{d}_Z)$  is a *line* if it is an isometric embedding as metric spaces, that is,  $\mathfrak{d}_Z(\gamma(s), \gamma(t)) = |s - t|$  holds for all  $s, t \in \mathbb{R}$ . Then the *Busemann function*  $b_\gamma : Z \rightarrow \mathbb{R}$  of  $\gamma$  is defined by

$$b_\gamma(x) := \lim_{t \rightarrow \infty} (t - \mathfrak{d}_Z(\gamma(t), x)). \quad (2.79)$$

We introduce now an important result in RCD theory, the so-called *splitting theorem*, proved in [29, Th.1.4].

**Theorem 2.34** (Splitting theorem) *Let  $(X, \mathfrak{d}_X, \mathfrak{m}_X)$  be an RCD(0,  $N$ ) space with  $N \in [1, \infty)$  and let  $x \in X$ . Assume that the following (1) or (2) holds.*

1. *There exist lines  $\gamma_i : \mathbb{R} \rightarrow X$  ( $i = 1, 2, \dots, k$ ) such that  $\gamma_i(0) = x$  and*

$$\int_{B_1(x)} b_{\gamma_i} b_{\gamma_j} \, \mathrm{d}\mathfrak{m}_X = 0, \quad \forall i \neq j \quad (2.80)$$

*are satisfied.*

2. *There exist harmonic functions  $f_i : X \rightarrow \mathbb{R}$  ( $i = 1, 2, \dots, k$ ) such that  $f_i(x) = 0$  and  $\langle \mathfrak{d}f_i, \mathfrak{d}f_j \rangle \equiv \delta_{ij}$  are satisfied.*

*Let us put  $\varphi_i := b_{\gamma_i}$  if (1) holds,  $\varphi_i := f_i$  if (2) holds. Then there exist a pointed RCD(0,  $N - k$ ) space  $(Y, \mathfrak{d}_Y, \mathfrak{m}_Y, y)$  and an isometry*

$$\Phi : (X, \mathfrak{d}_X, \mathfrak{m}_X, x) \rightarrow \left( \mathbb{R}^k \times Y, \sqrt{\mathfrak{d}_{\mathbb{R}^k}^2 + \mathfrak{d}_Y^2}, \mathcal{H}^k \otimes \mathfrak{m}_Y, (0_k, y) \right) \quad (2.81)$$

such that  $\varphi_i \equiv \pi_i \circ \Phi$  holds, where  $\pi_i : \mathbb{R}^k \times Y \rightarrow \mathbb{R}$  is the projection to the  $i$ -th  $\mathbb{R}$  of the Euclidean factor  $\mathbb{R}^k$ .

Based on this theorem, we define linear functions as follows.

**Definition 2.35** (Linear function) Let  $(X, d_X, m_X)$  be an  $\text{RCD}(0, N)$  space. We say that a function  $f : X \rightarrow \mathbb{R}$  is *linear* if it is harmonic and  $|\nabla f|$  is constant.

Theorem 2.34 tells us that any linear function is a constant or the projection of a Euclidean factor  $\mathbb{R}$  of  $(X, d_X, m_X)$ , up to multiplying by a constant. The following well-known proposition will play a key role later. See [58, Def.3.8] for the definition of warped product spaces of metric measure spaces, in particular, metric measure cones.

**Proposition 2.36** Let  $(X, d_X, m_X)$  be an  $\text{RCD}(N-1, N)$  space and let  $C_0^N(X, d_X, m_X)$  denote the  $(0, N)$ -metric measure cone of  $(X, d_X, m_X)$  (then [58, Th.1.1] proves that  $C_0^N(X, d_X, m_X)$  is an  $\text{RCD}(0, N+1)$  space). Then any Lipschitz harmonic function  $f$  on  $C_0^N(X, d_X, m_X)$  is linear.

**Proof** A well-known proof of this result is to use the spectral theory with the separation of variables (see [25, Prop.2.1]). Let us provide another proof here by contradiction. Compare with the proof of Theorem 4.8.

If not, there exists a Lipschitz harmonic function  $f$  on  $C_0^N(X, d_X, m_X)$  such that  $f$  is not linear. Let us denote by  $\mathbb{R}^k$  the maximal Euclidean factor of  $C_0^N(X, d_X, m_X)$ . Since  $C_0^N(X, d_X, m_X)$  is a scale invariant space, thanks to Theorem 2.33, there exists a sequence of  $R_i \rightarrow \infty$  such that  $R_i^{-1}(f - f(p))$  converge to a Lipschitz harmonic function  $F$  on  $C_0^N(X, d_X, m_X)$ , where  $p$  denotes the pole of  $C_0^N(X, d_X, m_X)$ . Applying the mean value theorem at infinity proved in [51, Th.5.4] for a bounded subharmonic function  $|\nabla f|^2$  with a blow-down argument in [19] shows that  $F$  is linear and that  $\text{Lip } F = \text{Lip } f$  holds. Since  $f$  is not linear, we have  $\text{Lip } f > 0$ , in particular  $F$  is not a constant. If in addition  $F = \sum_{i=1}^k a_i \pi_i + a_{k+1}$  holds for some  $a_i \in \mathbb{R}$ , where  $\pi_i$  denotes the  $i$ -th projection to  $\mathbb{R}$ , then applying [51, Th.5.4] again for a bounded subharmonic function  $|\nabla(f - \sum_{i=1}^k a_i \pi_i)|^2$  shows that  $f - \sum_{i=1}^k a_i \pi_i$  is constant. In particular,  $f$  is linear which is a contradiction. Thus we know that  $F$  cannot be written as a linear combination of  $\{\pi_i\}_{i=1}^k$ . Since  $F$  is not a constant, Theorem 2.34 yields that  $C_0^N(X, d_X, m_X)$  has a Euclidean factor  $\mathbb{R}^{k+1}$  which contradicts the maximality of  $k$ .  $\square$

### 3 Approximate Sobolev Map

Throughout the section, let us fix

- a finite dimensional (not necessary compact) RCD space  $(X, d_X, m_X)$ ,
- a finite dimensional compact RCD space  $(Y, d_Y, m_Y)$ ,
- an open subset  $U$  of  $X$ .

For any  $\lambda \in \mathbb{R}_{\geq 0}$  let

$$E_{Y,\lambda} := \{\varphi \in D(\Delta_Y); \Delta_Y \varphi + \lambda \varphi = 0\}, \quad (3.1)$$

where  $(E_{Y,\lambda}, \|\cdot\|_{L^2})$  is a finite dimensional Hilbert space because the canonical inclusion from  $H^{1,2}(Y, \mathbf{d}_Y, \mathbf{m}_Y)$  to  $L^2(Y, \mathbf{m}_Y)$  is a compact operator.

**Definition 3.1** (*Weakly smooth map*) A Borel map  $f : U \rightarrow Y$  is said to be *weakly smooth* if  $\varphi \circ f \in H^{1,2}(U, \mathbf{d}_X, \mathbf{m}_X)$  holds for any eigenfunction  $\varphi$  of  $(Y, \mathbf{d}_Y, \mathbf{m}_Y)$ .

Note that any Lipschitz map from  $U$  to  $Y$  is weakly smooth if  $U$  is bounded. It is easy to check that the following is well defined because  $f^{\lambda,*}g_Y$  vanishes if  $\lambda$  is not an eigenvalue of  $-\Delta_Y$ .

**Definition 3.2** (*t-Sobolev map*) Let  $f : U \rightarrow Y$  be a weakly smooth map.

1. For any  $\lambda \in \mathbb{R}_{\geq 0}$ , put

$$f^{\lambda,*}g_Y := \sum_{i=1}^k \mathbf{d}(\varphi_i \circ f) \otimes \mathbf{d}(\varphi_i \circ f) \in L^1\left((T^*)^{\otimes 2}(U, \mathbf{d}_X, \mathbf{m}_X)\right) \quad (3.2)$$

and

$$e_Y^\lambda(f) := \langle f^{\lambda,*}g_Y, g_X \rangle \in L^1(U, \mathbf{m}_X), \quad (3.3)$$

where  $\{\varphi_i\}_{i=1}^k$  is an orthonormal basis of  $(E_{Y,\lambda}, \|\cdot\|_{L^2})$ .

2. For any  $t \in (0, \infty)$ ,  $f$  is said to be a *t-Sobolev map* if

$$\frac{1}{2} \int_U \left( \sum_{\lambda \in \mathbb{R}_{\geq 0}} e^{-2\lambda t} e_Y^\lambda(f) \right) \mathrm{d}\mathbf{m}_X < \infty. \quad (3.4)$$

Then the LHS of (3.4) is denoted by  $\mathcal{E}_{U,Y,t}(f)$  and called the *t-energy* of  $f$ . Moreover, the integrand,  $\sum_{\lambda \in \mathbb{R}_{\geq 0}} e^{-2\lambda t} e_Y^\lambda(f)$ , is denoted by  $e_{Y,t}(f)$  and called the *t-energy density* of  $f$ .

**Proposition 3.3** Let  $t \in (0, \infty)$  and let  $f : U \rightarrow Y$  be a *t-Sobolev map*. Then the *t-pull-back* of  $f$ , denoted by  $f^*g_{Y,t}$

$$f^*g_{Y,t} := \sum_{\lambda \in \mathbb{R}_{\geq 0}} e^{-2\lambda t} f^{\lambda,*}g_Y \in L^1\left((T^*)^{\otimes 2}(U, \mathbf{d}_X, \mathbf{m}_X)\right) \quad (3.5)$$

is well defined. Moreover, it holds that

$$e_{Y,t}(f) = \langle f^*g_{Y,t}, g_X \rangle. \quad (3.6)$$

**Proof** Fix  $\epsilon \in (0, 1)$  and take an eigenvalue  $\lambda$  of  $-\Delta_Y$  with

$$\sum_{\mu \geq \lambda} \int_U e^{-2\mu t} e_Y^\mu(f) \mathrm{d}\mathbf{m}_X < \epsilon. \quad (3.7)$$



Then for any  $\mu_i \in [\lambda, \infty)(i = 1, 2)$ ,

$$\left\| \sum_{\mu \leq \mu_1} e^{-2\mu t} f^{\mu,*} g_Y - \sum_{\mu \leq \mu_2} e^{-2\mu t} f^{\mu,*} g_Y \right\|_{L^1(U)} \leq \sum_{\mu \geq \lambda} \int_U e^{-2\mu t} e_Y^\mu(f) \, d\mathbf{m}_X < \epsilon \quad (3.8)$$

which implies that the sequence  $\{\sum_{\mu \leq \alpha} e^{-2\mu t} f^{\mu,*} g_Y\}_{\alpha \in \mathbb{R}_{\geq 0}}$  is a convergent sequence in  $L^1((T^*)^{\otimes 2}(U, d_X, \mathbf{m}_X))$ . Thus (3.5) is well defined and (3.6) holds.  $\square$

Note that for a  $t$ -Sobolev map  $f : U \rightarrow Y$ , we see that

$$\begin{aligned} |f^* g_{Y,t}|(x) &\leq \sum_{\lambda \in \mathbb{R}_{\geq 0}} e^{-2\lambda t} |f^{\lambda,*} g_Y|(x) \\ &\leq \sum_{\lambda \in \mathbb{R}_{\geq 0}} e^{-2\lambda t} e_Y^\lambda(f)(x) = e_{Y,t}(f)(x), \quad \text{for } \mathbf{m}_X - \text{a.e. } x \in U. \end{aligned} \quad (3.9)$$

and that if  $f|_A$  is Lipschitz on a Borel subset  $A$  of  $U$ , then

$$f^* g_{Y,t} = (\Phi_t^Y \circ f)^* g_{L^2} \quad (3.10)$$

in  $L^\infty((T^*)^{\otimes 2}(A, d_X, \mathbf{m}_X))$ .

**Theorem 3.4** (Compactness) *Let  $t_i \rightarrow t$  be a convergent sequence in  $(0, \infty)$ , let  $R \in (0, \infty]$ , let  $x \in X$ , let  $f_i : B_R(x) \rightarrow Y$  be a sequence of  $t_i$ -Sobolev maps with*

$$\liminf_{i \rightarrow \infty} \mathcal{E}_{B_R(x), Y, t_i}(f_i) < \infty. \quad (3.11)$$

*Then after passing to a subsequence, there exists a  $t$ -Sobolev map  $f : B_R(x) \rightarrow Y$  such that  $f_i(z)$  converge to  $f(z)$  for  $\mathbf{m}_X$ -a.e.  $z \in B_R(x)$  and that*

$$\liminf_{i \rightarrow \infty} \int_{B_R(x)} \varphi_i e_{Y, t_i}(f_i) \, d\mathbf{m}_X \geq \int_{B_R(x)} \varphi e_{Y, t}(f) \, d\mathbf{m}_X \quad (3.12)$$

*for any  $L^2_{\text{loc}}$ -strongly convergent sequence  $\varphi_i \rightarrow \varphi$  with  $\varphi_i \geq 0$  and  $\sup_i \|\varphi_i\|_{L^\infty} < \infty$ . In particular,*

$$\liminf_{i \rightarrow \infty} \mathcal{E}_{B_R(x), Y, t_i}(f_i) \geq \mathcal{E}_{B_R(x), Y, t}(f). \quad (3.13)$$

**Proof** Let us follow the notation of (2.42). Thanks to (3.11), for each  $i \in \mathbb{N}$  the sequence  $\{e^{-\lambda_i^Y t_j} \varphi_i^Y \circ f_j\}_j$  is bounded in  $H^{1,2}(B_R(x), d_X, \mathbf{m}_X)$ . Thus after passing to a subsequence with a diagonal process, there exists  $F_i \in H^{1,2}(B_R(x), d_X, \mathbf{m}_X)$  such that  $e^{-\lambda_i^Y t_j} \varphi_i^Y \circ f_j$   $L^2_{\text{loc}}$ -strongly converge to  $F_i$  on  $B_R(x)$  and that  $\varphi_j d(e^{-\lambda_i^Y t_j} \varphi_i^Y \circ f_j)$   $L^2$ -weakly converge to  $\varphi dF_i$  on  $B_R(x)$ . In particular, after passing to a subsequence again, there exists a Borel subset  $A$  of  $B_R(x)$  such that  $\mathbf{m}_X(B_R(x) \setminus A) = 0$  and that

$e^{-\lambda_i^Y t_j} \varphi_i^Y \circ f_j(z) \rightarrow F_i(z)$  for any  $z \in A$ . Moreover, by (2.45), we know that  $\Phi_{t_j}^{\ell^2} \circ f_j$  pointwisely converge to a map  $\Phi := (F_i)_i : A \rightarrow \ell^2$  on  $A$  (recall (2.65) for the definition of a topological embedding  $\Phi_t^{\ell^2}$  from  $Y$  to  $\ell^2$ ). Since it is trivial that  $\Phi_{t_j}^{\ell^2}(Y)$  Hausdorff converges to  $\Phi_t^{\ell^2}(Y)$  in  $\ell^2$  (see [6, Th.5.19] for a more general result), we have  $\Phi(A) \subset \Phi_t^{\ell^2}(Y)$ . Thus the map  $f := (\Phi_t^{\ell^2})^{-1} \circ \Phi : A \rightarrow Y$  is well defined. Let  $f(z) := x$  for any  $z \in B_R(x) \setminus A$ . Then it is trivial that  $f$  is weakly smooth. Moreover, since for any  $l \in \mathbb{N}$

$$\begin{aligned} \liminf_{j \rightarrow \infty} \mathcal{E}_{B_R(x), Y, t_j}(f_j) &\geq \liminf_{j \rightarrow \infty} \frac{1}{2} \sum_{i=1}^l \int_{B_R(x)} |\mathrm{d}(e^{-\lambda_i^Y t_j} \varphi_i^Y \circ f_j)|^2 \mathrm{d}m_X \\ &\geq \frac{1}{2} \sum_{i=1}^l \int_{B_R(x)} |\mathrm{d}F_i|^2 \mathrm{d}m_X, \end{aligned} \quad (3.14)$$

letting  $l \rightarrow \infty$  in (3.14) shows

$$\infty > \liminf_{j \rightarrow \infty} \mathcal{E}_{B_R(x), Y, t_j}(f_j) \geq \frac{1}{2} \sum_{i=1}^{\infty} \int_{B_R(x)} |\mathrm{d}(e^{-\lambda_i^Y t} \varphi_i^Y \circ f)|^2 \mathrm{d}m_X, \quad (3.15)$$

which prove that  $f$  is a  $t$ -Sobolev map. Finally the  $L^2$ -weak convergence of  $\varphi_j \mathrm{d}(e^{-\lambda_i^Y t_j} \varphi_i^Y \circ f_j)$   $L^2$ -weakly converge to  $\varphi \mathrm{d}F_i = \varphi \mathrm{d}(e^{-\lambda_i^Y t} \varphi_i^Y \circ f)$  on  $B_R(x)$  implies (3.12).  $\square$

Next let us recall the definition of Sobolev maps from metric measure spaces to metric spaces in this setting (see [46, Sec.10] and also [39, Def.2.9]).

**Definition 3.5** (*Sobolev map*) We say that a map  $f : U \rightarrow Y$  is a *Sobolev map* if the following two conditions hold.

1. For any Lipschitz function  $\varphi$  on  $Y$ , we have  $\varphi \circ f \in H^{1,2}(U, \mathrm{d}_X, m_X)$ .
2. There exists  $G \in L^2(U, m_X)$  such that for any Lipschitz function  $\varphi$  on  $Y$ , we have

$$|\nabla(\varphi \circ f)|(x) \leq \mathbf{Lip} \varphi \cdot G(x), \quad \text{for } m_X - \text{a.e. } x \in U. \quad (3.16)$$

Then the smallest Borel function  $G$ , up to  $m_X$ -negligible sets, is denoted by  $G_f$ .

It is proved in [37, Lem.3.2] that in Definition 3.5, (3.16) can be improved to

$$|\nabla(\varphi \circ f)|(x) \leq \mathrm{Lip}_a \varphi(f(x)) \cdot G(x), \quad \text{for } m_X - \text{a.e. } x \in U. \quad (3.17)$$

The following property of  $G_f$  is an easy consequence of (2.45).

**Proposition 3.6** (*Sobolev-to-Lipschitz property for Sobolev map*) Let  $f : U \rightarrow Y$  be a Sobolev map and let  $L \in [0, \infty)$ . Then the following two conditions are equivalent.

1. The map  $f$  has locally Lipschitz representative with

$$d_Y(f(x), f(\tilde{x})) \leq L d_X(x, \tilde{x}) \quad (3.18)$$

for all  $x, \tilde{x} \in U$  with  $d_X(x, \tilde{x}) \leq d_X(x, \partial U)$ .

2. We have  $G_f(x) \leq L$  for  $\mathfrak{m}_X$ -a.e.  $x \in X$ .

**Proof** Since one implication is trivial, it is enough to check the implication from (2) to (1). Assume that (2) holds and that  $(Y, d_Y, \mathfrak{m}_Y)$  is an RCD( $K, N$ ) space. Let us first check that  $f$  admits a continuous representative. Fix  $t \in (0, 1)$ ,  $l \in \mathbb{N}$  and consider the truncated map  $\Phi_t^l : Y \rightarrow \mathbb{R}^l$  of  $\Phi_t^Y : Y \rightarrow L^2(Y, \mathfrak{m}_Y)$  as in the introduction, namely;

$$\Phi_t^l(y) := \left( e^{-\lambda_i^Y t} \varphi_i^Y(y) \right)_{i=1}^l. \quad (3.19)$$

Then the local Sobolev-to-Lipschitz property for functions [40, Prop.1.10] (or a telescopic argument with the Poincaré inequality) ensures that  $\Phi_t^l \circ f$  has a locally Lipschitz representative  $F_t^l$ . Moreover, by (2.45), we have for  $\mathfrak{m}_X$ -a.e.  $x \in U$

$$\begin{aligned} \text{Lip} F_t^l(x) &= \left| (F_t^l)^* g_{\mathbb{R}^l} \right|_B(x) \\ &\leq \left| (F_t^l)^* g_{\mathbb{R}^l} \right|(x) \\ &\leq \left| (\Phi_t^l \circ f)^* g_{L^2} \right|(x) \\ &\leq \sum_{i=1}^l e^{-2\lambda_i^Y t} \left| d(\varphi_i^Y \circ f) \right|^2(x) \leq L^2 \sum_{i=1}^{\infty} e^{-2\lambda_i^Y t} \text{Lip} \varphi_i^Y \leq C(L, K, N, t). \end{aligned} \quad (3.20)$$

Then it follows from a telescopic argument with [46, Th.8.1.42] that  $F_t^l$  is a locally  $C(L, K, N, t)$ -Lipschitz. In particular, thanks to Arzelà-Ascoli theorem with (2.45), after passing to a subsequence  $\{l_i\}_i$ , there exists a locally Lipschitz map  $F_t : U \rightarrow \ell^2$  such that  $F_t^{l_i}$  converge uniformly to  $F_t$  as  $i \rightarrow \infty$  on any compact subset of  $U$ , where we used immediately the canonical inclusion  $\mathbb{R}^l \hookrightarrow \ell^2$  by  $v \mapsto (v, 0)$ . By the construction of  $F_t$ , the image is included in  $\Phi_t^{\ell^2}(Y)$ . Thus the continuous map  $f_t : U \rightarrow Y$  defined by  $f_t := (\Phi_t^{\ell^2})^{-1} \circ F_t$  provides the desired continuous representative of  $f$ .

Let us use the same notation  $f$  as the continuous representative for brevity. Fix  $x \in U$  and take a 1-Lipschitz map  $d_{f(x)} : Y \rightarrow \mathbb{R}$  defined by  $d_{f(x)}(y) := d_Y(f(x), y)$ . Applying (3.16) for this 1-Lipschitz map shows

$$|\nabla(d_{f(x)} \circ f)|(z) \leq G_f(z) \leq L, \quad \text{for } \mathfrak{m}_X - \text{a.e. } z \in U. \quad (3.21)$$

Thus the local Sobolev-to-Lipschitz property [40, Prop.1.10] for the function  $d_{f(x)} \circ f$  with (3.21) and the continuity of  $d_{f(x)} \circ f$  yields that

$$|(\mathbf{d}_{f(x)} \circ f)(z) - (\mathbf{d}_{f(x)} \circ f)(w)| \leq L \mathbf{d}_X(z, w) \quad (3.22)$$

for any  $z, w \in U$  with  $\mathbf{d}_X(z, w) \leq \mathbf{d}_X(z, \partial U)$ . In particular, for any  $\tilde{x} \in U$  with  $\mathbf{d}_X(x, \tilde{x}) \leq \mathbf{d}_X(x, \partial U)$ ,

$$\mathbf{d}_Y(f(x), f(\tilde{x})) = (\mathbf{d}_{f(x)} \circ f)(\tilde{x}) = |(\mathbf{d}_{f(x)} \circ f)(x) - (\mathbf{d}_{f(x)} \circ f)(\tilde{x})| \leq L \mathbf{d}_X(x, \tilde{x})$$

which completes the proof.  $\square$

We are now in a position to give another definition of Sobolev maps via the heat kernel.

**Definition 3.7** (0-Sobolev map) A Borel map  $f : U \rightarrow Y$  is said to be a 0-Sobolev map if it is a  $t$ -Sobolev map for any sufficiently small  $t \in (0, 1)$  with

$$\limsup_{t \rightarrow 0^+} \int_U t \mathbf{m}_Y(B_{\sqrt{t}}(f(x))) e_{Y,t}(f) \, \mathbf{d}\mathbf{m}_X(x) < \infty. \quad (3.23)$$

Let us provide a relationship between Sobolev maps and 0-Sobolev maps.

**Proposition 3.8** (Compatibility, I) Any Sobolev map from  $U$  to  $Y$  is a 0-Sobolev map. In particular, any Lipschitz map from  $U$  to  $Y$  is a 0-Sobolev map if  $U$  is bounded.

**Proof** Let  $f : U \rightarrow Y$  be a Sobolev map. Since for all  $x \in U, z \in Y, t \in (0, \infty)$

$$p_Y(f(x), z, t) = \sum_i e^{-\lambda_i^Y t} \varphi_i^Y(f(x)) \varphi_i^Y(z), \quad (3.24)$$

we have

$$\mathbf{d}_x p_Y(f(x), z, t) = \sum_i e^{-\lambda_i^Y t} \varphi_i^Y(z) \mathbf{d}_x(\varphi_i^Y(f(x))) \quad \text{in } L^2(T^*(U, \mathbf{d}_X, \mathbf{m}_X)) \quad (3.25)$$

because the inequalities (2.45) and (3.16) imply that the equality (3.24) is satisfied in  $H^{1,2}(U, \mathbf{d}_X, \mathbf{m}_X)$  for fixed  $z \in Y, t \in (0, \infty)$ . Thus

$$\begin{aligned} & \mathbf{d}_x p_Y(f(x), z, t) \otimes \mathbf{d}_x p_Y(f(x), z, t) \\ &= \sum_{i,j} e^{-(\lambda_i^Y + \lambda_j^Y)t} \varphi_i^Y(z) \varphi_j^Y(z) \mathbf{d}_x(\varphi_i^Y(f(x))) \otimes \mathbf{d}_x(\varphi_j^Y(f(x))), \\ & \text{in } L^1((T^*)^{\otimes 2}(U, \mathbf{d}_X, \mathbf{m}_X)). \end{aligned} \quad (3.26)$$

Integrating this (as the Bochner integral) over  $Y$  with respect to  $z$  yields

$$\begin{aligned} & \int_Y \mathbf{d}_x p_Y(f(x), z, t) \otimes \mathbf{d}_x p_Y(f(x), z, t) \, \mathbf{d}\mathbf{m}_Y(z) \\ &= \sum_i e^{-2\lambda_i^Y t} \mathbf{d}_x(\varphi_i^Y(f(x))) \otimes \mathbf{d}_x(\varphi_i^Y(f(x))). \end{aligned} \quad (3.27)$$

In particular, by the Gaussian gradient estimate (2.41), we have for  $\mathbf{m}_X$ -a.e.  $x \in U$

$$\begin{aligned} \sum_i e^{-2\lambda_i^Y t} |\mathbf{d}_x(\varphi_i^Y(f(x)))|^2 &= \left\langle \int_Y \mathbf{d}_x p_Y(f(x), z, t) \otimes \mathbf{d}_x p_Y(f(x), z, t) \, \mathbf{d}\mathbf{m}_Y(z), g_X \right\rangle \\ &= \int_Y |\mathbf{d}_x p_Y(f(x), z, t)|^2 \, \mathbf{d}\mathbf{m}_Y(z) \\ &\leq \frac{C G_f(x)^2}{t \mathbf{m}_Y(B_{\sqrt{t}}(f(x)))^2} \int_Y \exp\left(\frac{-2\mathbf{d}_Y(f(x), z)^2}{5t}\right) \, \mathbf{d}\mathbf{m}_Y(z) \\ &\leq \frac{C G_f(x)^2}{t \mathbf{m}_Y(B_{\sqrt{t}}(f(x)))}, \end{aligned} \quad (3.28)$$

where we used (3.17) and Cavalieri's formula (e.g [6, Lem.2.3]). In particular,

$$\limsup_{t \rightarrow 0^+} \int_U t \mathbf{m}_Y(B_{\sqrt{t}}(f(x))) \sum_i e^{-2\lambda_i^Y t} |\mathbf{d}_x(\varphi_i^Y(f(x)))|^2 \, \mathbf{d}\mathbf{m}_X(x) < \infty \quad (3.29)$$

which completes the proof.  $\square$

It is worth pointing out that in the proof of Proposition 3.8 we immediately proved the following result.

**Proposition 3.9** *Let  $f : U \rightarrow Y$  be a Sobolev map. Then we see that  $p_Y(f(\cdot), z, t) \in H^{1,2}(U, \mathbf{d}_X, \mathbf{m}_X)$  holds for all  $z \in Y, t \in (0, 1)$  and that the map  $L^\infty(T(U, \mathbf{d}_X, \mathbf{m}_X)) \times L^\infty(T(U, \mathbf{d}_X, \mathbf{m}_X)) \rightarrow [0, \infty)$  defined by*

$$(V_1, V_2) \mapsto \int_Y \int_U \mathbf{d}_x p_Y(f(x), z, t)(V_1) \cdot \mathbf{d}_x p_Y(f(x), z, t)(V_2) \, \mathbf{d}\mathbf{m}_X(x) \, \mathbf{d}\mathbf{m}_Y(z), \quad (3.30)$$

defines an element of  $L^1((T^*)^{\otimes 2}(U, \mathbf{d}_X, \mathbf{m}_X))$ . This element is denoted by

$$\int_Y \mathbf{d}_x p_Y(f(x), z, t) \otimes \mathbf{d}_x p_Y(f(x), z, t) \, \mathbf{d}\mathbf{m}_Y(z). \quad (3.31)$$

Then we have

$$\begin{aligned} &\int_Y \mathbf{d}_x p_Y(f(x), z, t) \otimes \mathbf{d}_x p_Y(f(x), z, t) \, \mathbf{d}\mathbf{m}_Y(z) \\ &= \sum_i e^{-2\lambda_i^Y t} \mathbf{d}_x(\varphi_i^Y(f(x))) \otimes \mathbf{d}_x(\varphi_i^Y(f(x))) \end{aligned} \quad (3.32)$$

with a pointwise estimate of the density

$$t \mathbf{m}_Y(B_{\sqrt{t}}(f(x))) e_{Y,t}(f) \leq C(K, N) G_f(x)^2, \quad \text{for } \mathbf{m}_X - \text{a.e. } x \in U \quad (3.33)$$

if  $(Y, \mathbf{d}_Y, \mathbf{m}_Y)$  is an RCD( $K, N$ ) space.

By Proposition 3.8, it is natural to ask whether any 0-Sobolev map is a Sobolev map or not. This will be justified under assuming that the target space is non-collapsed (i.e.,  $m_Y = \mathcal{H}^N$ ) and that the image of  $f$  is included in a “weakly smooth subset of  $Y$ ” up to a  $m_X$ -negligible set (Theorem 5.19). In order to give the precise statement, we need to establish a bi-Lipschitz embeddability of  $\Phi_t$  on a most part of  $Y$  as in the next section.

#### 4 (1 ± ε)-bi-Lipschitz Embedding via Heat Kernel

Throughout the section, let us fix

- $K \in \mathbb{R}$ ,  $N \in [1, \infty)$  and  $d, v \in (0, \infty)$ ,
- a non-collapsed compact  $\text{RCD}(K, N)$  space  $(Y, d_Y, \mathcal{H}^N)$  with  $\mathcal{H}^N(Y) \geq v$  and  $\text{diam}(Y, d_Y) \leq d$ .

In this setting, the convergence results for  $g_t := \Phi_t^* g_{L^2}$  stated in Subsect. 2.9 can be stated as follows:

$$\int_Y \left| g_Y - c_N t^{(N+2)/2} g_t \right|^p d\mathcal{H}^N \rightarrow 0 \quad (4.1)$$

holds as  $t \rightarrow 0^+$  for any  $p \in [1, \infty)$  with

$$\left| t^{(N+2)/2} g_t \right|(y) \leq C(K, N, v) < \infty, \quad \text{for } \mathcal{H}^N - \text{a.e. } y \in Y \quad (4.2)$$

for any  $t \in (0, 1)$ , where  $g_t := (\Phi_t)^* g_{L^2}$  and  $c_N$  is a positive constant depending only on  $N$ . Recall our notation in Subsect. 2.7, denote by  $\{\lambda_i^Y\}_i$  the spectrum of  $-\Delta_Y$  counted with multiplicities, and fix corresponding eigenfunctions  $\{\varphi_i^Y\}_i$  with  $\|\varphi_i^Y\|_{L^2} = 1$ . Then letting

$$\tilde{\Phi}_t := c_N^{1/2} t^{(N+2)/4} \Phi_t, \quad \tilde{\Phi}_t^{\ell^2} := c_N^{1/2} t^{(N+2)/4} \Phi_t^{\ell^2} \quad (4.3)$$

shows for any  $p \in [1, \infty)$ , as  $t \rightarrow 0^+$

$$\tilde{\Phi}_t^* g_{L^2} = (\tilde{\Phi}_t^{\ell^2})^* g_{\ell^2} \rightarrow g_X, \quad \text{in } L^p((T^*)^{\otimes 2}(Y, d_Y, \mathcal{H}^N)). \quad (4.4)$$

Finally for any  $l \in \mathbb{N}$ , we will also discuss the truncated map  $\tilde{\Phi}_t^l : Y \rightarrow \mathbb{R}^l$  defined by

$$\tilde{\Phi}_t^l(y) := (c_N^{1/2} t^{(N+2)/4} e^{-\lambda_i^Y t} \varphi_i^Y(y))_{i=1}^l. \quad (4.5)$$

##### 4.1 Smoothable Point

Our goals in this section are to define a *smoothable point of  $(Y, d_Y, \mathcal{H}^N)$  via the heat kernel* and to prove

1. almost all points are smoothable (Proposition 4.2),
2. any smoothable point is regular (Proposition 4.3).

**Definition 4.1** (*Smoothable point via heat kernel*) For all  $\epsilon, t, \tau \in (0, \infty)$ , a point  $y \in Y$  is an  $(\epsilon, t, \tau)$ -smoothable point if

$$\sup_{r \in (0, \tau]} \frac{1}{\mathcal{H}^N(B_r(y))} \int_{B_r(y)} |g_Y - c_N t^{(N+2)/2} g_t| d\mathcal{H}^N \leq \epsilon. \quad (4.6)$$

We denote by  $\mathcal{R}_Y(\epsilon, t, \tau)$  the set of all  $(\epsilon, t, \tau)$ -smoothable points. Let  $\mathcal{R}_Y(\epsilon, t) := \mathcal{R}_Y(\epsilon, t, d)$  (recall that  $d$  is an upper bound of  $\text{diam}(Y, d_Y)$ ). Moreover, for any convergent sequence  $t_i \rightarrow 0^+$ , let us denote by  $\mathcal{R}_Y(\{t_i\}_i)$  the set of all points  $y \in Y$  satisfying

$$\lim_{i \rightarrow \infty} \left( \sup_{r \in (0, \infty)} \frac{1}{\mathcal{H}^N(B_r(y))} \int_{B_r(y)} |g_Y - c_N t_i^{(N+2)/2} g_{t_i}| d\mathcal{H}^N \right) = 0, \quad (4.7)$$

in other words,

$$\mathcal{R}_Y(\{t_i\}_i) = \bigcap_{\epsilon \in (0, 1)} \bigcup_i \bigcap_{j \geq i} \mathcal{R}_Y(\epsilon, t_j, d). \quad (4.8)$$

The set  $\mathcal{R}_Y(\{t_i\}_i)$  is called the *smooth part* of  $(Y, d_Y, \mathcal{H}^N)$  with respect to  $\{t_i\}_i$ .

Let us prove that almost all points are smoothable.

**Proposition 4.2** *For any convergent sequence  $t_i \rightarrow 0^+$ , there exists a subsequence  $\{t_{i(j)}\}_j$  such that  $\mathcal{H}^N(Y \setminus \mathcal{R}_Y(\{t_{i(j)}\}_j)) = 0$  holds.*

**Proof** If

$$\int_Y |g_Y - c_N t^{(N+2)/2} g_t| d\mathcal{H}^N \leq \epsilon \quad (4.9)$$

holds for some  $\epsilon \in (0, 1)$  and some  $t \in (0, 1)$ , then the maximal function theorem (cf. [45]) yields

$$\mathcal{H}^N \left( Y \setminus \mathcal{R}_Y(\epsilon^{1/2}, t) \right) \leq \frac{C(K, N)}{\epsilon^{1/2}} \int_Y |g_Y - c_N t^{(N+2)/2} g_t| d\mathcal{H}^N \leq C(K, N) \epsilon^{1/2}. \quad (4.10)$$

Thus thanks to (4.1) with this observation, there exists a subsequence  $\{t_{i(j)}\}_j$  such that

$$\int_Y |g_Y - c_N t_{i(j)}^{(N+2)/2} g_{t_{i(j)}}| d\mathcal{H}^N \leq 4^{-j}, \quad \mathcal{H}^N \left( Y \setminus \mathcal{R}_Y(2^{-j}, t_{i(j)}) \right) \leq C(K, N) 2^{-j}. \quad (4.11)$$

Thus letting  $\tilde{\mathcal{R}} := \bigcup_k \bigcap_{j \geq k} \mathcal{R}_Y(2^{-j}, t_{i(j)})$  shows  $\tilde{\mathcal{R}} \subset \mathcal{R}_Y(\{t_{i(j)}\}_j)$  with

$$\begin{aligned} \mathcal{H}^N(Y \setminus \tilde{\mathcal{R}}) &= \lim_{k \rightarrow \infty} \mathcal{H}^N\left(Y \setminus \bigcap_{j \geq k} \mathcal{R}_Y(2^{-j}, t_{i(j)})\right) \\ &\leq \lim_{k \rightarrow \infty} \sum_{j \geq k} \mathcal{H}^N(Y \setminus \mathcal{R}_Y(2^{-j}, t_{i(j)})) = 0, \end{aligned} \quad (4.12)$$

which completes the proof.  $\square$

**Proposition 4.3** *There exists a constant  $\delta_N \in (0, 1)$  depending only on  $N$  such that if either*

$$\liminf_{r \rightarrow 0^+} \frac{1}{\mathcal{H}^N(B_r(y))} \int_{B_r(y)} |g_Y - c_N t^{(N+2)/2} g_t| d\mathcal{H}^N \leq \delta_N \quad (4.13)$$

or

$$\liminf_{r \rightarrow 0^+} \frac{1}{\mathcal{H}^N(B_r(y))} \int_{B_r(y)} |g_Y - \tilde{c}_N t \mathcal{H}^N(B_{\sqrt{t}}(\cdot)) g_t| d\mathcal{H}^N \leq \delta_N \quad (4.14)$$

is satisfied for some  $t \in (0, \infty)$ , then  $y$  is an  $N$ -dimensional regular point. In particular, we have

$$\mathcal{R}_Y(\delta_N, t, \tau) \subset \mathcal{R}_N, \quad \forall t, \forall \tau \in (0, \infty). \quad (4.15)$$

Thus  $\mathcal{R}_Y(\{t_i\}_i) \subset \mathcal{R}_N$  for any convergent sequence  $t_i \rightarrow 0^+$ .

**Proof** We give only a proof in the case when (4.13) is satisfied because the proof in the other case is similar. Fix  $\delta \in (0, 1)$  which will be determined later. Let  $\epsilon_0$  denote the LHS of (4.13) and assume  $\epsilon_0 < \delta$ . Take a minimizing sequence  $r_i \rightarrow 0^+$  as in the LHS of (4.13), and find  $l \in \mathbb{N}$  with

$$c_N t^{(N+2)/2} \sum_{i=l+1}^{\infty} e^{-2\lambda_i^Y t} \|\mathbf{d}\varphi_i^Y\|_{L^\infty}^2 < \frac{\delta - \epsilon_0}{2}, \quad (4.16)$$

where we used the inequality (2.45) in order to get the existence of such an  $l$ . Thus we have

$$\limsup_{i \rightarrow \infty} \frac{1}{\mathcal{H}^N(B_{r_i}(y))} \int_{B_{r_i}(y)} \left| g_Y - c_N t^{(N+2)/2} \sum_{i=1}^l e^{-2\lambda_i^Y t} \mathbf{d}\varphi_i^Y \otimes \mathbf{d}\varphi_i^Y \right| d\mathcal{H}^N < \frac{\delta + \epsilon_0}{2}. \quad (4.17)$$



After passing to a subsequence, we have

$$\left( Y, r_j^{-1} d_Y, \mathcal{H}_{r_j^{-1} d_Y}^N, y \right) \xrightarrow{\text{pmGH}} \left( T_y Y, d_{T_y Y}, \mathcal{H}^N, 0_y \right) \quad (4.18)$$

for some tangent cone  $(T_y Y, d_{T_y Y}, \mathcal{H}^N, 0_y)$  of  $(Y, d_Y, \mathcal{H}^N)$  at  $y$ . Let us define functions on  $(Y, r_j^{-1} d_Y)$  by

$$\bar{\varphi}_{i,j} := \frac{c_N^{1/2} t^{(N+2)/4} e^{-\lambda_i^Y t}}{r_j} \left( \varphi_i^Y - \frac{1}{\mathcal{H}^N(B_{r_j}(y))} \int_{B_{r_j}(x)} \varphi_i^Y d\mathcal{H}^N \right). \quad (4.19)$$

Thanks to Theorem 2.33 with local  $(2, 2)$ -Poincaré inequality, after passing to a subsequence again, there exists a family of Lipschitz harmonic functions  $\{\bar{\varphi}_i\}_{i=1}^l$  on  $T_y Y$  such that  $\bar{\varphi}_{i,j} H_{\text{loc}}^{1,2}$ -strongly converge to  $\bar{\varphi}_i$  on  $T_y Y$ . Since  $(T_y Y, d_{T_y Y}, \mathcal{H}^N, 0_y)$  is the metric measure cone over a non-collapsed  $\text{RCD}(N-2, N-1)$  space [23, Prop.2.8] (see also [22, Th.1.1]), Proposition 2.36 shows that any Lipschitz harmonic function  $f$  on  $T_y Y$  is linear. Note that (4.17) implies

$$\frac{1}{\mathcal{H}^N(B_1(0_y))} \int_{B_1(0_y)} \left| g_{T_y Y} - \sum_{i=1}^l d\bar{\varphi}_i \otimes d\bar{\varphi}_i \right| d\mathcal{H}^N < \frac{\delta + \epsilon_0}{2}. \quad (4.20)$$

Let us denote by  $m$  the maximal dimension of the Euclidean factor  $\mathbb{R}^m$  coming from  $\{\bar{\varphi}_i\}_{i=1}^l$ . Then  $T_y Y$  is isometric to  $\mathbb{R}^m \times Z$  for some non-collapsed  $\text{RCD}(K, N-m)$  space  $(Z, d_Z, \mathcal{H}^{N-m})$ . If  $Z$  is not a single point (that is,  $m < N$ ), then (4.20) with Fubini's theorem yields

$$\frac{1}{\mathcal{H}^{N-m}(B_1(z))} \int_{B_1(z)} |g_Z| d\mathcal{H}^{N-m} < \frac{(\delta + \epsilon_0)C_N}{2} \leq \delta C_N \quad (4.21)$$

where  $C_N$  is a positive constant depending only on  $N$ . Since the LHS of (4.21) is equal to  $(N-m)^{1/2} \geq 1$  and the RHS is smaller than 1 if  $\delta$  is smaller than  $1/C_N$ , which is a contradiction. Thus  $Z$  must be a single point. In particular, we know

$$\lim_{r \rightarrow 0^+} \frac{\mathcal{H}^N(B_r(y))}{\mathcal{H}^N(B_r(0_N))} = \lim_{i \rightarrow \infty} \frac{\mathcal{H}^N(B_{r_i}(y))}{\mathcal{H}^N(B_{r_i}(0_N))} = 1, \quad (4.22)$$

which completes the proof because of Theorem 2.16.  $\square$

## 4.2 Locally Bi-Lipschitz Embedding

In order to establish a bi-Lipschitz embeddability of  $\Phi_t$  on a large part of  $Y$ , we need a quantitative estimate for a Gromov-Hausdorff distance as follows (see the beginning of this Sect. 4 for the setting).

**Proposition 4.4** *For all  $\epsilon \in (0, 1)$  and  $t \in (0, \infty)$ , there exists  $r_0 := r_0(\epsilon, K, N, d, t) \in (0, 1)$  such that if for some  $r \in (0, r_0)$  the following*

$$\frac{1}{\mathcal{H}^N(B_r(y))} \int_{B_r(y)} |g_Y - c_N t^{(N+2)/2} g_t| d\mathcal{H}^N \leq \epsilon \quad (4.23)$$

*holds and that  $(Y, r^{-1}d_Y, y)$  is  $r_0$ -pointed Gromov-Hausdorff close to  $(\mathbb{R}^N, d_{\mathbb{R}^N}, 0_N)$ , then the map  $\tilde{\Phi}_t|_{B_r(y)}$  gives a  $3\epsilon r$ -Gromov-Hausdorff approximation to the image  $\tilde{\Phi}_t(B_r(y))$  which is also  $3\epsilon r$ -Gromov-Hausdorff close to  $B_r(0_N)$  (recall (4.3) for the definition of  $\tilde{\Phi}_t$ ).*

**Proof** The proof is done by contradiction. If not, then there exist a sequence of pointed non-collapsed compact RCD( $K, N$ ) spaces  $(Y_i, d_{Y_i}, \mathcal{H}^N, y_i)$  with  $\text{diam}(Y_i, d_{Y_i}) \leq d$ , and a sequence of  $r_i \rightarrow 0^+$  with

$$\frac{1}{\mathcal{H}^N(B_{r_i}(y_i))} \int_{B_{r_i}(y_i)} |g_{Y_i} - c_N t^{(N+2)/2} g_t^{Y_i}| d\mathcal{H}^N \leq \epsilon \quad (4.24)$$

and

$$\left( Y_i, r_i^{-1}d_{Y_i}, \mathcal{H}_{r_i^{-1}d_{Y_i}}^N, x_i \right) \xrightarrow{\text{pmGH}} \left( \mathbb{R}^N, d_{\mathbb{R}^N}, \mathcal{H}^N, 0_N \right) \quad (4.25)$$

such that one of the following holds.

- (★)  $\tilde{\Phi}_t^{Y_i}|_{B_{r_i}(y_i)}$  does not give a  $3\epsilon r_i$ -Gromov-Hausdorff approximation to the image  $\tilde{\Phi}_t^{Y_i}(B_{r_i}(y_i))$ .
- (★★)  $\tilde{\Phi}_t^{Y_i}(B_{r_i}(y_i))$  is not  $3\epsilon r_i$ -Gromov-Hausdorff close to  $B_{r_i}(0_N)$ .

Note that we used Theorem 2.18 in order to get (4.25).

Let us define functions on  $(\bar{Y}_i, d_{\bar{Y}_i}) := (Y_i, r_i^{-1}d_{Y_i})$  by

$$\bar{\varphi}_{i,j} := \frac{c_N^{1/2} t^{(N+2)/4} e^{-\lambda_j^{Y_i} t}}{r_i} \left( \varphi_j^{Y_i} - \frac{1}{\mathcal{H}^N(B_{r_i}(y_i))} \int_{B_{r_i}(y_i)} \varphi_j^{Y_i} d\mathcal{H}^N \right). \quad (4.26)$$

Then (2.45) allows us to define the map  $\bar{\Phi}_i : \bar{Y}_i \rightarrow \ell^2$  by  $\bar{\Phi}_i := (\bar{\varphi}_{i,j})_{j=1}^\infty$ . Moreover, thanks to Theorem 2.33 and Proposition 2.24 with (2.45), after passing to a subsequence, there exists a Lipschitz map  $\bar{\Phi} : \mathbb{R}^N \rightarrow \ell^2$  such that the following hold.

- $\bar{\Phi}_i$  uniformly converge to  $\bar{\Phi} = (\bar{\varphi}_j)_{j=1}^\infty$  on any bounded subset of  $\mathbb{R}^N$ .
- $\bar{\varphi}_{i,j}$   $H_{\text{loc}}^{1,2}$ -strongly converge to  $\bar{\varphi}_i$  on  $\mathbb{R}^N$ .
- Each  $\bar{\varphi}_i$  is linear.
- The  $L^\infty$ -tensors on  $\bar{Y}_i$

$$\sum_{j=1}^\infty d\bar{\varphi}_{i,j} \otimes d\bar{\varphi}_{i,j} \quad (4.27)$$

$L^2_{\text{loc}}$ -strongly converge to the  $L^\infty$ -tensor

$$\bar{\Phi}^* g_{\ell^2} = \sum_{j=1}^{\infty} d\bar{\varphi}_j \otimes d\bar{\varphi}_j \quad (4.28)$$

on  $\mathbb{R}^N$ .

Note

$$\begin{aligned} & \frac{1}{\mathcal{H}^N(B_1(0_N))} \int_{B_1(0_N)} \left| g_{\mathbb{R}^N} - \sum_{j=1}^{\infty} d\bar{\varphi}_j \otimes d\bar{\varphi}_j \right| d\mathcal{H}^N \\ &= \lim_{i \rightarrow \infty} \frac{1}{\mathcal{H}^N(B_1^{d_{\bar{Y}_i}}(y_i))} \int_{B_1^{d_{\bar{Y}_i}}(y_i)} \left| g_{\bar{Y}_i} - \sum_{j=1}^{\infty} d\bar{\varphi}_{i,j} \otimes d\bar{\varphi}_{i,j} \right| d\mathcal{H}_{d_{\bar{Y}_i}}^N \\ &= \lim_{i \rightarrow \infty} \frac{1}{\mathcal{H}^N(B_{r_i}(y_i))} \int_{B_{r_i}(y_i)} \left| g_{Y_i} - c_N t^{(N+2)/2} g_t^{Y_i} \right| d\mathcal{H}^N \leq \epsilon. \end{aligned} \quad (4.29)$$

Since  $|g_{\mathbb{R}^N} - \sum_{j=1}^{\infty} d\bar{\varphi}_j \otimes d\bar{\varphi}_j|$  is constant because of the linearity of  $\bar{\varphi}_j$ , by (4.29), we have  $|g_{\mathbb{R}^N} - \bar{\Phi}^* g_{\ell^2}| \leq \epsilon$  on  $\mathbb{R}^N$ . Thus  $\bar{\Phi}$  is a  $(1 \pm \epsilon)$ -bi-Lipschitz embedding from  $\mathbb{R}^N$  to  $\ell^2$ . Then the local uniform convergence of  $\bar{\Phi}_i$  to  $\bar{\Phi}$  with (2.4) for  $\bar{\Phi}$  shows that for any sufficiently large  $i$ , it holds that  $\tilde{\Phi}_t^{Y_i}|_{B_{r_i}(x_i)}$  gives a  $3\epsilon r_i$ -Gromov-Hausdorff approximation to the image which is also  $3\epsilon r_i$ -Gromov-Hausdorff close to  $B_{r_i}(0_N)$ , because  $\bar{\Phi}_i$  is obtained by a rescaling and a translation of  $\tilde{\Phi}_t^{Y_i}$  after “to  $B_{r_i}(0_N)$ ”. This contradicts  $(\star)$  and  $(\star\star)$ .  $\square$

**Theorem 4.5** (Bi-Lipschitz embeddability of  $\Phi_t$ ) *For all  $\epsilon \in (0, 1/3)$ ,  $t, \tau \in (0, \infty)$  and  $y \in \mathcal{R}_Y(\epsilon, t, \tau)$ , there exists  $r_1 \in (0, 1)$  such that  $\tilde{\Phi}_t|_{B_{r_1}(y) \cap \mathcal{R}_Y(\epsilon, t, \tau)}$  is a  $(1 \pm 3\epsilon)$ -bi-Lipschitz embedding.*

**Proof** Let  $\delta \in (0, 1)$  be a small number which will be determined later. We can find  $s_0 \in (0, \delta)$  such that  $(Y, s_0^{-1}d_Y, y)$   $\delta$ -pointed Gromov-Hausdorff close to  $(\mathbb{R}^N, d_{\mathbb{R}^N}, 0_N)$ . In particular, by Theorem 2.17, we know that

$$1 - \Psi(\delta; K, N) \leq \frac{\mathcal{H}^N(B_{s_0}(z))}{\omega_N s_0^N} \leq 1 + \Psi(\delta; K, N), \quad \forall z \in B_{s_0}(y). \quad (4.30)$$

Applying the Bishop and Bishop-Gromov inequalities yields

$$1 - \Psi(\delta; K, N) \leq \frac{\mathcal{H}^N(B_s(z))}{\omega_N s^N} \leq 1 + \Psi(\delta; K, N), \quad \forall z \in B_{s_0}(y), \quad \forall s \in (0, s_0]. \quad (4.31)$$

Let  $r_1 := s_0^2$ . Then for any  $s \in (0, r_1]$ , applying Theorem 2.17 again for the rescaled space  $(Y, s^{-1}d, \mathcal{H}_{s^{-1}d}^N, x)$  shows that the rescaled space is  $\Psi(\delta; K, N)$ -pointed measured Gromov-Hausdorff close to  $(\mathbb{R}^N, d_{\mathbb{R}^N}, \mathcal{H}^N, 0_N)$ . With no loss of generality, we

can assume  $\Psi(\delta; K, N) < r_0$ , where  $r_0$  is as in Proposition 4.4. Thus Proposition 4.4 yields that  $\tilde{\Phi}_t|_{B_s(y)}$  gives a  $3\epsilon s$ -Gromov-Hausdorff approximation to the image. In particular, for any  $z, w \in B_{r_1}(y)$  with  $z \neq w$ , letting  $s := d(z, w) \in (0, 1)$  shows

$$\left| \|\tilde{\Phi}_t(z) - \tilde{\Phi}_t(w)\|_{L^2} - d_Y(z, w) \right| \leq 3\epsilon s, \quad (4.32)$$

namely

$$(1 - 3\epsilon)d_Y(z, w) \leq \|\tilde{\Phi}_t(z) - \tilde{\Phi}_t(w)\|_{L^2} \leq (1 + 3\epsilon)d_Y(z, w) \quad (4.33)$$

which completes the proof.  $\square$

By an argument similar to the proof of Theorem 4.5, we have the following.

**Theorem 4.6** *For all  $\epsilon \in (0, 1/6)$ ,  $\delta \in (0, \epsilon)$ ,  $t, \tau \in (0, \infty)$ , let  $y \in \mathcal{R}_Y(\epsilon, t, \tau)$ . Then there exists  $r_2 \in (0, 1)$  such that the restriction of the truncated map  $\tilde{\Phi}_t^l : Y \rightarrow \mathbb{R}^l$  defined by (4.5) to  $B_{r_2}(y) \cap \mathcal{R}_Y(\epsilon, t, \tau)$  is a  $(1 \pm 3(\epsilon + \delta))$ -bi-Lipschitz embedding for any  $l \in \mathbb{N}$  with*

$$c_N t^{(N+2)/2} \sum_{i=l+1}^{\infty} e^{-2\lambda_i t} \|\mathbf{d}\varphi_i^Y\|_{L^\infty}^2 < \delta. \quad (4.34)$$

**Remark 4.7** Portegies proved in [68] that for all  $\epsilon, \tau, d \in (0, \infty)$  and any  $K \in \mathbb{R}$ , there exists  $t_0 := t_0(n, K, \epsilon, \tau, d) \in (0, 1)$  such that for any  $t \in (0, t_0)$ , there exists  $N_0 := N_0(n, K, \epsilon, \tau, d, t) \in \mathbb{N}$  such that if an  $n$ -dimensional closed Riemannian manifold  $(M^n, g)$  satisfies  $\text{diam}(M^n, d_g) \leq d$ ,  $\text{Ric}_g^{M^n} \geq K$  and  $\text{inj}_{M^n}^g \geq \tau$ , where  $\text{inj}_{M^n}^g$  denote the injectivity radius, then for any  $l \in \mathbb{N}_{\geq N_0}$ , the map  $\tilde{\Phi}_t^l : M^n \rightarrow \mathbb{R}^l$  is a smooth embedding with

$$\|(\tilde{\Phi}_t^l)^* g_{\mathbb{R}^l} - g\|_{L^\infty} < \epsilon. \quad (4.35)$$

In particular, we have  $M^n = \mathcal{R}_{M^n}(\epsilon, t)$  for any  $t \in (0, t_0)$ . Therefore, Proposition 4.5 and Theorem 4.6 can be regarded as a generalization of his result to the RCD setting. Moreover, Propositions 4.3 and 4.12 below tell us that this observation cannot be extended over the singular set. See also Remark 4.13. A non-smooth example along this direction can be found in [67, Exam.5.1]. The smooth embeddability part of  $\tilde{\Phi}_t^l$  in his result will be generalized to the RCD setting in the next section too after replacing “smooth” by “bi-Lipschitz”. See Proposition 4.19.

### 4.3 Characterization of Weakly Smooth RCD Spaces

Our goal in this section is to give a proof of Theorem 1.1. Let us begin with giving the following rigidity result, where recall that a pointed metric measure space  $(W, d_W, m_W, w)$  is said to be a *tangent cone at infinity* of an  $\text{RCD}(0, N)$  space  $(Z, d_Z, m_Z)$  if there exists a sequence  $R_i \rightarrow \infty$  such that

$$\left( Z, R_i^{-1} d_Z, m_Z(B_{R_i}(z))^{-1} m_Z, z \right) \xrightarrow{\text{pmGH}} (W, d_W, m_W, w) \quad (4.36)$$

holds for some (or equivalently all)  $z \in Z$ .

**Theorem 4.8** *Let  $(Z, d_Z, m_Z)$  be an  $\text{RCD}(0, N)$  space whose essential dimension is equal to  $n$ , and let  $\Phi = (\varphi_i)_i : Z \rightarrow \ell^2$  be a bi-Lipschitz embedding. Assume that each  $\varphi_i$  is a harmonic function on  $Z$ . Then we have the following.*

1. Any tangent cone at infinity of  $(Z, d_Z, m_Z)$  is isometric to  $(\mathbb{R}^n, d_{\mathbb{R}^n}, \omega_n^{-1} \mathcal{H}^n, 0_n)$ .
2. After relabeling, the map  $\Phi^n := (\varphi_i)_{i=1}^n : Z \rightarrow \mathbb{R}^n$  gives a bi-Lipschitz homeomorphism.

**Proof** We follow a blow-down argument in [19] as follows. Fix a sequence  $R_i \rightarrow \infty$ . After passing to a subsequence,

$$(Z_i, d_{Z_i}, m_{Z_i}, z) := \left( Z, R_i^{-1} d_Z, m_Z(B_{R_i}(z))^{-1} m_Z, z \right) \xrightarrow{\text{pmGH}} (W, d_W, m_W, w) \quad (4.37)$$

holds for some pointed  $\text{RCD}(0, N)$  space  $(W, d_W, m_W, w)$ . Let us define functions on  $(Z_i, d_{Z_i})$  by

$$\bar{\varphi}_{j,i} := \frac{1}{R_i} \left( \varphi_j - \frac{1}{m_Z(B_{R_i}(z))} \int_{B_{R_i}(z)} \varphi_j \, dm_Z \right). \quad (4.38)$$

Note that it follows from the Lipschitz continuity of  $\Phi$  that each  $\varphi_i$  is a Lipschitz harmonic function (thus  $\bar{\varphi}_{j,i}$  is too). As already discussed in the proofs of Propositions 4.3 and 4.4, after passing to a subsequence again, Theorem 2.33 yields that there exist Lipschitz harmonic functions  $\bar{\varphi}_j$  on  $W$  such that  $\bar{\varphi}_{j,i} H_{\text{loc}}^{1,2}$ -strongly converge to  $\bar{\varphi}_j$  on  $W$ . Applying [64, Lem.3.1] for the rescaled space  $(Z_i, d_{Z_i}, m_{Z_i})$ , there exists  $\psi_i \in D(\Delta_Z) \cap \text{Lip}(Z, d_Z)$  such that  $0 \leq \psi_i \leq 1$ , that  $\text{supp } \psi_i \subset B_{2R_i}(z)$ , that  $\psi_i|_{B_{R_i}(z)} \equiv 1$ , and that  $R_i |\nabla \psi_i| + R_i^2 |\Delta_Z \psi_i| \leq C(N)$ . Recall that the Bochner inequality implies that  $|\nabla \varphi_i|^2$  is subharmonic. Thus we can apply the mean value theorem at infinity [51, Th.5.4] to get

$$\lim_{R \rightarrow \infty} \frac{1}{m_Z(B_R(z))} \int_{B_R(z)} |\nabla \varphi_i|^2 \, dm_Z = \|\nabla \varphi_i\|_{L^\infty}^2 = (\text{Lip } \varphi_i)^2, \quad (4.39)$$

where we used [40, Prop.1.10] in the last equality. The Bochner formula yields

$$\begin{aligned} \frac{1}{\mathcal{H}^N(B_{R_i}(z))} \int_{B_{R_i}(z)} |\text{Hess } \varphi_j|^2 \, dm_Z &\leq \frac{2^N}{\mathcal{H}^N(B_{2R_i}(z))} \int_{B_{2R_i}(z)} \psi_i |\text{Hess } \varphi_j|^2 \, dm_Z \\ &\leq \frac{2^{N-1}}{\mathcal{H}^N(B_{2R_i}(z))} \int_{B_{2R_i}(z)} \\ &\quad \Delta_Z \psi_i \cdot (|\nabla \varphi_j|^2 - (\text{Lip } \varphi_i)^2) \, dm_Z \\ &\leq 2^{N-1} R_i^{-2} o(1) \end{aligned} \quad (4.40)$$

because of (4.39), where we used the Bochner inequality in the second inequality above and the last inequality just comes from (4.39). Thus as  $i \rightarrow \infty$

$$\frac{R_i^2}{\mathcal{H}^N(B_{R_i}(z))} \int_{B_{R_i}(z)} |\text{Hess}_{\varphi_j}|^2 \, d\mathbf{m}_Z \rightarrow 0. \quad (4.41)$$

Therefore applying [4, Th.10.3] with a good cut-off by [64, Lem.3.1], we have  $\text{Hess}_{\bar{\varphi}_j} = 0$ . Thus since this implies that  $|\nabla \bar{\varphi}_j|$  is constant, Theorem 2.34 yields that each  $\bar{\varphi}_j$  is linear.

From now on let us prove

$$\sum_j \|\mathbf{d}\varphi_j\|_{L^\infty}^2 < \infty. \quad (4.42)$$

Take  $L \in [1, \infty)$  satisfying that  $\Phi$  is  $L$ -Lipschitz, and fix  $l \in \mathbb{N}$ . Since  $\bar{\Phi}_i^l := (\bar{\varphi}_{j,i})_{j=1}^l : Z_i \rightarrow \mathbb{R}^l$  uniformly converge to  $\bar{\Phi}^l := (\bar{\varphi}_j)_{j=1}^l : W \rightarrow \mathbb{R}^l$  on any bounded subset of  $W$ , we know that  $\bar{\Phi}^l$  is  $L$ -Lipschitz. In particular, combining (2.50) with the linearity of  $\bar{\varphi}_j$  shows

$$\sum_{j=1}^l \|\mathbf{d}\bar{\varphi}_j\|_{L^\infty}^2 \leq nL^2. \quad (4.43)$$

Then the above arguments using the mean value theorem at infinity allows us to conclude

$$\sum_{j=1}^l \|\mathbf{d}\varphi_j\|_{L^\infty}^2 < \infty. \quad (4.44)$$

Thus letting  $l \rightarrow \infty$  in (4.44) proves (4.42).

Then (4.42) easily implies that for any  $R \in (0, \infty)$  and any  $\epsilon \in (0, 1)$ , there exists  $i_0 \in \mathbb{N}$  such that

$$\sum_{j \geq i_0} \|\bar{\varphi}_{j,i}\|_{L^\infty(B_R^{\mathbf{d}Z_i}(z))} < \epsilon, \quad \forall i \in \mathbb{N} \quad (4.45)$$

holds. For reader's convenience, let us provide a proof of (4.45) as follows. Since the average of  $\bar{\varphi}_{j,i}$  over the unit ball is zero, we can find  $z_{j,i} \in B_1^{\mathbf{d}Z_i}(z)$  with  $\bar{\varphi}_{j,i}(z_{j,i}) = 0$ . Then for any  $w \in B_R^{\mathbf{d}Z_i}(z)$ , recalling  $|\mathbf{d}\varphi_j| = |\mathbf{d}\bar{\varphi}_{j,i}|$ , we have

$$\begin{aligned} |\bar{\varphi}_{j,i}(w)| &= |\bar{\varphi}_{j,i}(w) - \bar{\varphi}_{j,i}(z_{j,i})| \\ &\leq \|\mathbf{d}\varphi_{j,i}\|_{L^\infty} \cdot \mathbf{d}Z_i(w, z_{j,i}) \leq (2R + 2)\|\mathbf{d}\varphi_{j,i}\|_{L^\infty}. \end{aligned} \quad (4.46)$$

Taking the sum with respect to  $j \geq i_0$ , we conclude because of (4.42). In particular, thanks to Proposition 2.24, we see that  $\bar{\Phi}_i := (\bar{\varphi}_{j,i})_j$  uniformly converge to  $\bar{\Phi} := (\bar{\varphi}_j)_j$  on any bounded subset of  $W$  with respect to the convergence (4.37). In particular,  $\bar{\Phi}$  is also a bi-Lipschitz embedding into  $\ell^2$ . Then we denote by  $\mathbb{R}^m$  the Euclidean factor coming from  $\{\bar{\varphi}_i\}_i$ . Theorem 2.34 shows that there exists an isometry from  $\mathbb{R}^m \times \tilde{W}$  to  $W$  for some non-collapsed RCD(0,  $N - m$ ) space  $(\tilde{W}, d_{\tilde{W}}, m_{\tilde{W}})$  such that  $\bar{\Phi} \circ \iota(v, w_1) = \bar{\Phi} \circ \iota(v, w_2)$  holds for all  $w_i \in \tilde{W}$  ( $i = 1, 2$ ) and  $v \in \mathbb{R}^m$ . The bi-Lipschitz embeddability of  $\bar{\Phi}$  shows that  $\tilde{W}$  is a single point. Thus  $W$  is isometric to  $\mathbb{R}^m$ .

Let us prove  $m = n$ . The lower semicontinuity of essential dimensions in Proposition 2.29 shows  $n \geq m$ . On the other hand, after relabeling, with no loss of generality, we can assume that  $\{\bar{\varphi}_i\}_{i=1}^m$  is a family of linearly independent linear functions on  $\mathbb{R}^m$  because of the bi-Lipschitz embeddability of  $\bar{\Phi}$ . Thus for any  $i \in \mathbb{N}_{\geq m+1}$ , there exist  $a_{i,j} \in \mathbb{R}$  ( $j = 0, 1, \dots, m$ ) such that  $\bar{\varphi}_i = a_{i,0} + \sum_{j=1}^m a_{i,j} \bar{\varphi}_j$  holds. Applying the mean value theorem at infinity [51, Th.5.4] again for  $|\nabla(\varphi_i - \sum_{j=1}^m a_{i,j} \varphi_j)|^2$  shows that  $\varphi_i - \sum_{j=1}^m a_{i,j} \varphi_j$  is constant. From this observation, we know that the truncated map  $\bar{\Phi}^m : Z \rightarrow \mathbb{R}^m$  is also bi-Lipschitz embedding because  $\Phi$  is. Then Theorem 2.7 proves  $n \leq m$ . Thus  $n = m$ . Therefore we have (1).

Finally let us prove (2). By an argument similar to the proof of Proposition 4.4 (cf. the proof of [49, Th.1.1]), we can check that  $(Z, d_Z)$  is Reifenberg flat, that is, the following holds.

- For any  $\epsilon \in (0, 1)$ , there exists  $r_0 \in (0, 1)$  such that

$$d_{GH}(B_r(\tilde{z}), B_r(0_n)) \leq \epsilon r, \quad \forall \tilde{z} \in Z, \quad \forall r \in (0, r_0] \quad (4.47)$$

holds.

In particular, thanks to [16, Th.A.1.2 and A.1.3], we know that  $Z$  is homeomorphic to an  $n$ -dimensional manifold. Thus by invariance of domain,  $\bar{\Phi}^n(Z)$  is an open subset of  $\mathbb{R}^n$ . On the other hand, the bi-Lipschitz embeddability of  $\bar{\Phi}^n$  into  $\mathbb{R}^n$  yields that  $\bar{\Phi}^n(Z)$  is a closed subset of  $\mathbb{R}^n$ . Thus  $\bar{\Phi}^n(Z) = \mathbb{R}^n$ .  $\square$

**Remark 4.9** It is conjectured that in Theorem 4.8,  $(Z, d_Z, m_Z)$  is isometric to  $(\mathbb{R}^n, d_{\mathbb{R}^n}, c\mathcal{H}^n)$  for some  $c \in (0, \infty)$ . Compare with the next corollary.

**Corollary 4.10** *Under the same assumptions of Theorem 4.8, if in addition  $(Z, d_Z, m_Z)$  is a non-collapsed RCD(0,  $N$ ) space, then  $(Z, d_Z, m_Z)$  is isometric to  $(\mathbb{R}^N, d_{\mathbb{R}^N}, \mathcal{H}^N)$ .*

**Proof** Theorem 4.8 with Theorem 2.18 implies

$$\lim_{R \rightarrow \infty} \frac{\mathcal{H}^N(B_R(z))}{\omega_N R^N} = 1. \quad (4.48)$$

By the Bishop and the Bishop-Gromov inequalities, we have

$$\mathcal{H}^N(B_R(z)) = \omega_N R^N, \quad \forall R \in (0, \infty). \quad (4.49)$$

Then the rigidity for the Bishop inequality [23, Thm.1.6] (e.g., Theorem 2.16) completes the proof.  $\square$

Similarly, we can prove the following.

**Corollary 4.11** *Let  $(Z, d_Z, \mathcal{H}^N)$  be a non-collapsed RCD(0,  $N$ ) space with Euclidean volume growth, namely*

$$\lim_{R \rightarrow \infty} \frac{\mathcal{H}^N(B_R(z))}{\omega_N R^N} > 0 \quad (4.50)$$

*holds for some (or equivalently all)  $z \in Z$ . Assume that there exists a Lipschitz map  $\Phi = (\varphi_i)_i : Z \rightarrow \ell^2$  and a subset  $A$  of  $Z$  such that the following hold.*

1. *The set  $A$  is asymptotically dense in the sense:*

- *There exist sequences of  $\epsilon_i \rightarrow 0$ ,  $R_i \rightarrow \infty$ ,  $L_i \rightarrow \infty$  such that  $B_{L_i R_i}(z) \cap A$  is  $\epsilon_i R_i$ -dense in  $B_{L_i R_i}(z)$  for any  $i$ ,*

2. *each  $\varphi_i$  is a harmonic function on  $Z$ ,*

3. *the map  $\Phi|_A$  is a bi-Lipschitz embedding.*

*Then  $(Z, d_Z, \mathcal{H}^N)$  is isometric to  $(\mathbb{R}^N, d_{\mathbb{R}^N}, \mathcal{H}^N)$ .*

Note that in Corollary 4.11, the assumption (4.50) is necessary, for example, consider  $Z = \mathbb{S}^1(1) \times \mathbb{R}$ ,  $A = \{p\} \times \mathbb{R}$  and  $\Phi(q, t) = t \in \mathbb{R} \subset \ell^2$ .

Let us apply the above results to the embedding map  $\Phi_t$ .

**Corollary 4.12** *Let  $A$  be a subset of  $Y$ . Assume that  $\Phi_t|_A$  is a bi-Lipschitz embedding for some  $t \in (0, \infty)$ . Then*

$$\text{Den}(A) \subset \mathcal{R}_N \quad (4.51)$$

*In particular, if in addition  $A$  is an open subset of  $Y$ , then  $A \subset \mathcal{R}_N$ .*

**Proof** Fix  $y \in \text{Den}(A)$ . By an argument similar to the proof of Proposition 4.3, for any tangent cone  $(T_y Y, d_{T_y Y}, \mathcal{H}^N, 0_y)$  of  $(Y, d_Y, \mathcal{H}^N)$  at  $y$ , there exists a bi-Lipschitz embedding  $\overline{\Phi} = (\overline{\varphi}_i)_{i=1}^\infty : T_y Y \rightarrow \ell^2$  such that each  $\overline{\varphi}_i$  is linear. Then Corollary 4.10 shows that  $(T_y Y, d_{T_y Y})$  is isometric to  $(\mathbb{R}^N, d_{\mathbb{R}^N})$ , which completes the proof.  $\square$

**Remark 4.13** It is known that there is a non-collapsed sequence of Riemannian metrics  $g_i$  on  $\mathbb{S}^2$  with non-negative sectional curvature such that the Gromov-Hausdorff limit space  $(X, d_X)$  is compact and that the singular set  $\mathcal{S}$  of  $X$  is dense. See the example (2) of page 632 in [66]. In particular,  $(X, d_X, \mathcal{H}^2)$  is a non-collapsed compact RCD(0, 2) space. Then Corollary 4.12 tells us that for any  $t \in (0, \infty)$  the restriction of  $\Phi_t^X$  to  $\mathcal{S}$  is not locally bi-Lipschitz.

From now on let us discuss the implication of a local bi-Lipschitz embeddability of  $\Phi_t$  on an estimate on  $|g_Y - c_N t^{(N+2)/2} g_t|$ ;



**Proposition 4.14** For all  $c, t \in (0, \infty)$  and  $\epsilon \in (0, 1)$ , there exist  $r_2 := r_2(c, \epsilon, K, N, t, d, v) \in (0, 1)$ ,  $\delta_0 := \delta_0(c, \epsilon, K, N, t, d, v) \in (0, 1)$  and  $L_0 := L_0(c, \epsilon, K, N, t, d, v) \in (1, \infty)$  such that for some  $y \in Y$ , some  $r \in (0, r_2]$ , some  $L \in [L_0, \infty)$ , some  $cr$ -dense subset  $A$  of  $B_{Lr}(y)$  satisfying that  $\tilde{\Phi}_t|_A$  gives a  $(1 \pm \epsilon)$ -bi-Lipschitz embedding, we have

$$\frac{1}{\mathcal{H}^N(B_r(y))} \int_{B_r(y)} |g_X - c_N t^{(N+2)/2} g_t| d\mathcal{H}^N < 2\sqrt{N}\epsilon. \quad (4.52)$$

**Proof** The proof is done by a contradiction. If not, there exist a sequence of pointed non-collapsed compact RCD( $K, N$ ) spaces  $(Y_i, d_{Y_i}, \mathcal{H}^N, y_i)$  with  $\text{diam}(Y_i, d_{Y_i}) \leq d$  and  $\mathcal{H}^N(Y_i) \geq v$ , sequences of  $r_i \rightarrow 0^+$ ,  $\delta_i \rightarrow 0^+$ ,  $L_i \rightarrow \infty$  and a sequence of  $cr_i$ -dense subset  $A_i$  of  $B_{L_i r_i}(y_i)$  such that  $\tilde{\Phi}_t^{Y_i}|_{A_i}$  gives a  $(1 \pm \epsilon)$ -bi-Lipschitz embedding and that

$$\frac{1}{\mathcal{H}^N(B_{r_i}(y_i))} \int_{B_{r_i}(y_i)} |g_{Y_i} - c_N t^{(N+2)/2} g_t^{Y_i}| d\mathcal{H}^N \geq 2\sqrt{N}\epsilon. \quad (4.53)$$

Note that the sequence of  $\{(Y_i, d_{Y_i}, \mathcal{H}^N)\}_i$  is uniformly Ahlfors regular, that is,

$$\begin{aligned} C_1(K, N, d, v)r^N \\ \leq \mathcal{H}^N(B_r(z_i)) \leq C_2(K, N, d, v)r^N, \quad \forall i, \forall z_i \in Y_i, \forall r \in (0, d]. \end{aligned} \quad (4.54)$$

After passing to a subsequence, we have

$$\left( Y_i, r_i^{-1} d_{Y_i}, \mathcal{H}_{r_i^{-1} d_{Y_i}}^N, y_i \right) \xrightarrow{\text{pmGH}} (Z, d_Z, \mathcal{H}^N, z) \quad (4.55)$$

for some non-collapsed RCD(0,  $N$ ) space  $(Z, d_Z, \mathcal{H}^N)$  which has Euclidean volume growth because of (4.54). By an argument similar to the proof of Proposition 4.4, there exists a Lipschitz map  $\bar{\Phi} : Z \rightarrow \ell^2$  such that rescaled maps of  $\tilde{\Phi}_t^{Y_i}$  uniformly converge to  $\bar{\Phi} = (\bar{\varphi}_i)_i$  on any bounded subset of  $Z$  and that each  $\bar{\varphi}_i$  is harmonic. Moreover, we can find a  $2c$ -dense subset  $A$  of  $Z$  satisfying that for any  $a \in A$ , there exists a sequence of  $a_i \in A_i$  such that  $a_i \rightarrow a$ . In particular,  $\bar{\Phi}|_A$  is a  $(1 \pm \epsilon)$ -bi-Lipschitz embedding. Thus Corollary 4.11 shows that  $(Z, d_Z, \mathcal{H}^N)$  is isometric to  $(\mathbb{R}^N, d_{\mathbb{R}^N}, \mathcal{H}^N)$ . In particular each  $\bar{\varphi}_i$  is linear. Thus it follows from the  $(1 \pm \epsilon)$ -bi-Lipschitz embeddability of  $\bar{\Phi}$  and the linearity of  $\bar{\varphi}_i$  that

$$|g_{\mathbb{R}^N} - \bar{\Phi}^* g_{\ell^2}|_B \leq \epsilon \quad (4.56)$$

holds on  $\mathbb{R}^N$ . Thus

$$\begin{aligned} \lim_{i \rightarrow \infty} \frac{1}{\mathcal{H}^N(B_{r_i}(x_i))} \int_{B_{r_i}(x_i)} |g_{Y_i} - c_N t^{(N+2)/2} g_t^{X_i}| d\mathcal{H}^N \\ = \frac{1}{\omega_N} \int_{B_1(0_N)} |g_{\mathbb{R}^N} - \bar{\Phi}^* g_{\ell^2}| d\mathcal{H}^N \leq \sqrt{N}\epsilon, \end{aligned} \quad (4.57)$$

where we used Proposition 2.14. Then (4.57) contradicts (4.53).  $\square$

**Theorem 4.15** *Let  $A$  be a Borel subset of  $Y$  and let  $t_j \rightarrow 0^+$  be a convergent sequence. If for any  $\epsilon \in (0, 1)$ , there exists  $i_0 \in \mathbb{N}$  such that  $\tilde{\Phi}_{t_j}|_A$  is a locally  $(1 \pm \epsilon)$ -bi-Lipschitz embedding for any  $j \in \mathbb{N}_{\geq i_0}$ , then*

$$\|g_Y - c_N t_i^{(N+2)/2} g_{t_i}\|_{L^\infty(A)} \rightarrow 0. \quad (4.58)$$

**Proof** Let  $\epsilon \in (0, 1)$  and take  $i_0 \in \mathbb{N}$  as in the assumption. Fix  $j \in \mathbb{N}_{\geq i_0}$  and take  $y \in A$ . Then there exists  $r_3 := r_3(y) \in (0, 1)$  such that  $\tilde{\Phi}_{t_j}|_{B_{r_3}(y) \cap A}$  is a  $(1 \pm \epsilon)$ -bi-Lipschitz embedding. Then applying Proposition 4.14 for all  $z \in B_{r_3/2}(y) \cap \text{Leb}(A)$  and sufficiently small  $r \in (0, r_3/2)$ , there exists  $r_4 \in (0, 1)$  such that

$$\begin{aligned} \frac{1}{\mathcal{H}^N(B_r(z))} \int_{B_r(z)} |g_Y - c_N t_j^{(N+2)/2} g_{t_j}| d\mathcal{H}^N \\ < 2\sqrt{N}\epsilon, \quad \forall r \in (0, r_4), \quad \forall z \in B_{r_3/2}(y) \cap A. \end{aligned} \quad (4.59)$$

In particular, Lebesgue differentiation theorem yields

$$\|g_Y - c_N t_j^{(N+2)/2} g_{t_j}\|_{L^\infty(B_{r_3/2}(y) \cap A)} \leq 2\sqrt{N}\epsilon. \quad (4.60)$$

Finding a countable subset  $\{y_i\}_i$  of  $A$  with  $A \subset \bigcup_i B_{r_3(y_i)/4}(y_i)$ , (4.60) shows

$$\|g_Y - c_N t_j^{(N+2)/2} g_{t_j}\|_{L^\infty(A)} \leq 2\sqrt{N}\epsilon \quad (4.61)$$

which completes the proof because  $\epsilon$  is arbitrary.  $\square$

Let us prove the converse implication of the above result, under assuming a kind of uniformity of  $A$ .

**Theorem 4.16** *Let  $A$  be a Borel subset of  $Y$  and let  $t_i \rightarrow 0^+$  be a convergent sequence. Assume that*

$$\|g_X - c_N t_i^{(N+2)/2} g_{t_i}\|_{L^\infty(A)} \rightarrow 0, \quad (i \rightarrow \infty) \quad (4.62)$$

*holds and that for all  $\epsilon \in (0, 1)$  and  $y \in A$ , there exists  $r_3 \in (0, 1)$  such that*

$$\left| \frac{\mathcal{H}^N(B_r(z) \cap A)}{\mathcal{H}^N(B_r(z))} - 1 \right| < \epsilon, \quad \forall z \in B_{r_3}(y) \cap A, \quad \forall r \in (0, r_3]. \quad (4.63)$$

*holds. Then for any  $\epsilon \in (0, 1)$ , there exists  $i_0 \in \mathbb{N}$  such that  $\tilde{\Phi}_{t_j}|_A$  is a locally  $(1 \pm \epsilon)$ -bi-Lipschitz embedding for any  $j \in \mathbb{N}_{\geq i_0}$ .*

**Proof** Let  $\epsilon \in (0, 1)$  be a sufficiently small and take  $j \in \mathbb{N}$  with  $\|g_Y - c_N t_j^{(N+2)/2} g_{t_j}\|_{L^\infty(A)} \leq \epsilon$ . Moreover, fix  $y \in A$  and take  $r_3$  as in the assumption for  $\epsilon, y$ . Then by (4.63), for all  $z \in B_{r_3/2}(y) \cap A$  and  $r \in (0, r_3]$ , we have

$$\begin{aligned} & \frac{1}{\mathcal{H}^N(B_r(z))} \int_{B_r(z)} \left| g_Y - c_N t_j^{(N+2)/2} g_{t_j} \right| d\mathcal{H}^N \\ &= \frac{1}{\mathcal{H}^N(B_r(z))} \int_{B_r(z) \cap A} \left| g_Y - c_N t_j^{(N+2)/2} g_{t_j} \right| d\mathcal{H}^N \\ &+ \frac{1}{\mathcal{H}^N(B_r(z))} \int_{B_r(z) \setminus A} \left| g_Y - c_N t_j^{(N+2)/2} g_{t_j} \right| d\mathcal{H}^N \\ &\leq \epsilon \cdot \frac{\mathcal{H}^N(B_r(z) \cap A)}{\mathcal{H}^N(B_r(z))} + C(K, N, v) \frac{\mathcal{H}^N(B_r(z) \setminus A)}{\mathcal{H}^N(B_r(z))} \leq C(K, N, v) \epsilon \quad (4.64) \end{aligned}$$

which proves that  $B_{r_3/2}(y) \cap A \subset \mathcal{R}_Y(C(K, N, v)\epsilon, t_j, r_3/2)$ . Thus Theorem 4.5 completes the proof because  $\epsilon$  is arbitrary.  $\square$

The following is a direct consequence of Theorems 4.15 and 4.16.

**Corollary 4.17** *Let  $U$  be an open subset of  $Y$ . Then the following two conditions are equivalent;*

1. *For any  $\epsilon \in (0, 1)$ , there exists  $t_0 \in (0, 1)$  such that  $\tilde{\Phi}_t|_U$  is a locally  $(1 \pm \epsilon)$ -bi-Lipschitz embedding for any  $t \in (0, t_0)$ .*
2. *We have*

$$\|g_Y - c_N t^{(N+2)/2} g_t\|_{L^\infty(U)} \rightarrow 0, \quad (t \rightarrow 0^+). \quad (4.65)$$

**Definition 4.18** (Weakly smooth RCD) A non-collapsed compact RCD( $K, N$ ) space  $(Y, d_Y, \mathcal{H}^N)$  is said to be *weakly smooth* if as  $t \rightarrow 0^+$

$$\|g_Y - c_N t^{(N+2)/2} g_t\|_{L^\infty(Y)} \rightarrow 0. \quad (4.66)$$

It is worth pointing out that if  $(Y, d_Y, \mathcal{H}^N)$  is weakly smooth, then thanks to Proposition 4.3,  $Y = \mathcal{R}_N$ . In particular, by the intrinsic Reifenberg theorem proved in [16, Th.A.1.2 and Th.A.1.3],  $Y$  is bi-Hölder homeomorphic to an  $N$ -dimensional closed Riemannian manifold, where the Hölder exponent can be chosen as an arbitrary  $\alpha \in (0, 1)$ . Let us now restate Theorem 1.1.

**Theorem 4.19** (Characterization of weakly smooth RCD space) *The following four conditions are equivalent.*

1. *The space  $(Y, d_Y, \mathcal{H}^N)$  is weakly smooth.*
2. *We have*

$$\|g_Y - \tilde{c}_N t \mathcal{H}^N(B_{\sqrt{t}}(\cdot)) g_t\|_{L^\infty(Y)} \rightarrow 0, \quad (t \rightarrow 0^+). \quad (4.67)$$

3. For any sufficiently small  $t \in (0, 1)$ ,  $\Phi_t$  is a bi-Lipschitz embedding. More strongly, for any  $\epsilon \in (0, 1)$ , there exists  $t_0 \in (0, 1)$  such that  $\tilde{\Phi}_t$  is a locally  $(1 \pm \epsilon)$ -bi-Lipschitz embedding for any  $t \in (0, t_0]$ .
4. For any sufficiently small  $t \in (0, 1)$ ,  $\Phi_t^l$  is a bi-Lipschitz embedding for any sufficiently large  $l$ . More strongly, for any  $\epsilon \in (0, 1)$ , there exists  $t_0 \in (0, 1)$  such that for any  $t \in (0, t_0]$ , there exists  $l_0 \in \mathbb{N}$  such that  $\tilde{\Phi}_t^l$  is a locally  $(1 \pm \epsilon)$ -bi-Lipschitz embedding for any  $l \in \mathbb{N}_{\geq l_0}$ .

**Proof** We first prove the implication from (1) to (4). Assume that (1) holds. Take a sufficiently small  $\epsilon \in (0, 1)$  and find  $t_0$  with

$$\|g_Y - c_N t^{(N+2)/2} g_t\|_{L^\infty} < \frac{\epsilon}{4}, \quad \forall t \in (0, t_0]. \quad (4.68)$$

Fix  $t \in (0, t_0]$  and find  $l_0$  with

$$c_N t^{(N+2)/2} \sum_{i=l_0+1}^{\infty} e^{-2\lambda_i^Y t} \|\mathbf{d}\varphi_i^Y\|_{L^\infty}^2 < \frac{\epsilon}{4}. \quad (4.69)$$

Then Theorem 4.6 allows us to prove that for any  $y \in Y$ , there exists  $r_4 \in (0, 1)$  such that  $\tilde{\Phi}_t^l|_{B_{r_4}(y)}$  is a  $(1 \pm \epsilon)$ -bi-Lipschitz embedding for any  $l \in \mathbb{N}_{\geq l_0}$ . Thus in order to get (4), it is enough to prove that  $\Phi_t^l$  is injective for any sufficiently large  $l$ . If not, there exist a sequence of  $l_i \rightarrow \infty$  and sequences of  $y_i, z_i \in Y$  such that  $y_i \neq z_i$  and

$$\tilde{\Phi}_t^{l_i}(y_i) = \tilde{\Phi}_t^{l_i}(z_i) \quad (4.70)$$

are satisfied for any  $i$ . Since  $Y$  is compact, after passing to a subsequence, we have  $y_i \rightarrow y$  and  $z_i \rightarrow z$  in  $Y$  for some  $y, z \in Y$ . Letting  $i \rightarrow \infty$  in (4.70) shows that  $\Phi_t(y) = \Phi_t(z)$ . Thus it follows from the injectivity of  $\Phi_t$  that  $y = z$  holds. On the other hand, applying Theorem 4.6 for  $y(=z)$  shows that there exists  $r_2 \in (0, 1)$  such that  $\tilde{\Phi}_t^{l_i}|_{B_{r_2}(y)}$  is injective for any sufficiently large  $i$ . Thus  $y_i = z_i$  holds for any sufficiently large  $i$ , which is a contradiction. Therefore  $\tilde{\Phi}_t^l$  is injective for any sufficiently large  $l$ , thus we have (4).

Next we prove the implication from (4) to (1). Assume that (4) holds. Fix a sufficiently small  $\epsilon \in (0, 1)$  and take  $t_0, t, l_0, l$  as in the assumption. Corollary 2.22 yields

$$|\tilde{\Phi}_t^l g_{\mathbb{R}^l} - g_Y|(y) \leq C(N)\epsilon, \quad \text{for } \mathcal{H}^N - \text{a.e. } y \in Y. \quad (4.71)$$

Letting  $l \rightarrow \infty$  in the weak form of (4.71) shows that (1) holds.

Since the equivalence between (1) and (3) is justified in Corollary 4.17 by letting  $U = Y$ , it is enough to check the equivalence between (1) and (2). Assume that (1) or (2) holds. Then Proposition 4.3 shows  $Y = \mathcal{R}_N$ . By an argument similar to the proof of Theorem 4.5, we see that  $\mathcal{H}^N(B_r(\cdot))/(\omega_N r^N)$  converge uniformly to 1 as  $r \rightarrow 0^+$ .

In particular, combining this with (4.2) yields

$$\|\tilde{c}_N t \mathcal{H}^N(B_{\sqrt{t}}(\cdot))g_t - c_N t^{(N+2)/2} g_t\|_{L^\infty(Y)} \rightarrow 0, \quad (t \rightarrow 0^+), \quad (4.72)$$

which completes the proof of the desired equivalence.  $\square$

## 5 Asymptotic Behavior of $t^{(N+2)/2} \mathcal{E}_{Y,t}(f)$ as $t \rightarrow 0^+$

Throughout the section let us fix

- a finite dimensional (not necessary compact) RCD space  $(X, d_X, m_X)$  whose essential dimension is equal to  $n$ ,
- a non-collapsed compact RCD( $K, N$ ) space  $(Y, d_Y, \mathcal{H}^N)$  with  $\text{diam}(Y, d_Y) \leq d < \infty$  and  $\mathcal{H}^N(Y) \geq v > 0$ ,
- a bounded Borel (not necessary open) subset  $A$  of  $X$ ,
- an open subset  $U$  of  $X$ .

We first discuss Lipschitz maps from  $A$  to  $Y$  and then discuss 0-Sobolev maps from  $U$  to  $Y$ . In this section, the following notion will play a key role.

**Definition 5.1** Let  $t_i \rightarrow 0^+$  be a convergent sequence and let  $\{\tau_i\}_i$  be a sequence in  $(0, \infty)$ . Define

$$\mathcal{R}_Y(\{(t_i, \tau_i)\}_i) := \bigcap_{\epsilon \in (0,1)} \bigcup_i \bigcap_{j \geq i} \mathcal{R}_Y(\epsilon, t_j, \tau_j). \quad (5.1)$$

Note that by definition, we have  $\mathcal{R}_Y(\{t_i\}_i) \subset \mathcal{R}_Y(\{(t_i, \tau_i)\}_i)$ .

### 5.1 Pull-Back of Lipschitz Map into Smooth Part

Fix a Lipschitz map  $f : A \rightarrow Y$ .

**Proposition 5.2** Assume that  $f(A) \subset \mathcal{R}_Y(\epsilon, t, \tau) \cap \mathcal{R}_Y(\epsilon, s, \tau)$  for some  $\epsilon \in (0, 1/6)$ ,  $\tau, t, s \in (0, \infty)$ . Then

$$\left| (\tilde{\Phi}_t^Y \circ f)^* g_{L^2} - (\tilde{\Phi}_s^Y \circ f)^* g_{L^2} \right| \leq C(n) \epsilon \left| (\tilde{\Phi}_t^Y \circ f)^* g_{L^2} \right|, \quad \text{for } m_X - \text{a.e. } x \in A. \quad (5.2)$$

**Proof** Lemma 2.21 and Theorem 4.6 show that for any  $x \in A$ , there exists  $r_1 = r_1(f(x)) \in (0, 1)$  such that for any sufficiently large  $l$ , we have

$$\begin{aligned} & \left| (\tilde{\Phi}_t^l \circ f)^* g_{\mathbb{R}^l} - (\tilde{\Phi}_s^l \circ f)^* g_{\mathbb{R}^l} \right| \\ & \leq C(n) \epsilon \left| (\tilde{\Phi}_t^l \circ f)^* g_{\mathbb{R}^l} \right|, \quad \text{for } m_X - \text{a.e. } z \in f^{-1}(B_{r_1}(f(x))). \end{aligned} \quad (5.3)$$

Letting  $l \rightarrow \infty$  in (5.3) completes the proof because we can find a sequence  $x_i \in A$  with  $A = \bigcup_i f^{-1}(B_{r_1(f(x_i))}(f(x_i)))$ .  $\square$

Recall Definition 5.1 for the definition of  $\mathcal{R}_Y(\{(t_i, \tau_i)\}_i)$ .

**Proposition 5.3** *If  $f(A) \subset \mathcal{R}_Y(\{(t_i, \tau_i)\}_i)$  holds for some  $\{(t_i, \tau_i)\}_i$ , then the sequence  $(\tilde{\Phi}_{t_i}^Y \circ f)^* g_{L^2}$  is a Cauchy sequence in  $L^p((T^*)^{\otimes 2}(A, \mathbf{d}_X, \mathbf{m}_X))$  for any  $p \in [1, \infty)$ . The  $L^p$ -limit does not depend on the choice of  $\{(t_i, \tau_i)\}_i$  in the sense*

- if  $f(A) \subset \mathcal{R}_Y(\{(s_i, \delta_i)\}_i)$  for some  $\{(s_i, \delta_i)\}_i$ , then

$$\lim_{i \rightarrow \infty} (\tilde{\Phi}_{t_i}^Y \circ f)^* g_{L^2} = \lim_{i \rightarrow \infty} (\tilde{\Phi}_{s_i}^Y \circ f)^* g_{L^2}, \quad \text{in } L^p((T^*)^{\otimes 2}(A, \mathbf{d}_X, \mathbf{m}_X)). \quad (5.4)$$

We denote by  $f^* g_Y$  the limit tensor. Moreover, we see that  $f^* g_Y \in L^\infty((T^*)^{\otimes 2}(A, \mathbf{d}_X, \mathbf{m}_X))$  holds, that

$$\|f^* g_Y\|_{L^\infty(A)} \leq n(\mathbf{Lip} f)^2 \quad (5.5)$$

holds and that for any  $i$  and for  $\mathbf{m}_X$ -a.e.  $x \in \bigcap_{j \geq i} \mathcal{R}_Y(\epsilon, t_j, \tau_j)$ , we have

$$|(\tilde{\Phi}_{t_i}^Y \circ f)^* g_{L^2} - f^* g_Y|(x) \leq C(n)\epsilon \min \left\{ |f^* g_Y|(x), |(\tilde{\Phi}_{t_i}^Y \circ f)^* g_{L^2}|(x) \right\}. \quad (5.6)$$

**Proof** Fix  $\epsilon \in (0, 1)$ . Let

$$A_i := \bigcap_{j \geq i} f^{-1}(\mathcal{R}_Y(\epsilon, t_j, \tau_j)), \quad B_i := \bigcap_{j \geq i} f^{-1}(\mathcal{R}_Y(\epsilon, s_j, \delta_j)). \quad (5.7)$$

Since  $A_i \subset A_{i+1}$ ,  $B_i \subset B_{i+1}$  with

$$\bigcup_i A_i = \bigcup_i B_i = A, \quad (5.8)$$

for any  $\delta \in (0, 1)$ , we can find  $i \in \mathbb{N}$  with  $\mathbf{m}_X(A \setminus (A_i \cap B_i)) < \delta$ . Proposition 5.2 with (4.2) (recall also (2.50) and (5.3)) shows

$$\|(\tilde{\Phi}_{t_j}^Y \circ f)^* g_{L^2} - (\tilde{\Phi}_{s_l}^Y \circ f)^* g_{L^2}\|_{L^\infty(A_i \cap B_i)} \leq C(\mathbf{Lip} f, K, N, v)\epsilon, \quad \forall j, l \in \mathbb{N}_{\geq i}. \quad (5.9)$$

In particular, for any  $p \in [1, \infty)$

$$\begin{aligned} & \int_A \left| (\tilde{\Phi}_{t_j}^Y \circ f)^* g_{L^2} - (\tilde{\Phi}_{s_l}^Y \circ f)^* g_{L^2} \right|^p d\mathbf{m}_X \\ &= \int_{A \setminus (A_i \cap B_i)} \left| (\tilde{\Phi}_{t_j}^Y \circ f)^* g_{L^2} - (\tilde{\Phi}_{s_l}^Y \circ f)^* g_{L^2} \right|^p d\mathbf{m}_X \\ & \quad + \int_{A_i \cap B_i} \left| (\tilde{\Phi}_{t_j}^Y \circ f)^* g_{L^2} - (\tilde{\Phi}_{s_l}^Y \circ f)^* g_{L^2} \right|^p d\mathbf{m}_X \\ & \leq C(\mathbf{Lip} f, K, N, v, p) \mathbf{m}_X(A \setminus (A_i \cap B_i)) + C(\mathbf{Lip} f, K, N, v) \epsilon^p \mathbf{m}_X(A_i \cap B_i) \\ & \leq C(\mathbf{Lip} f, K, N, v, p) \delta + C(\mathbf{Lip} f, K, N, v) \epsilon^p \mathbf{m}_X(A), \end{aligned} \quad (5.10)$$

which proves that the sequence  $(\tilde{\Phi}_{t_i}^Y \circ f)^* g_{L^2}$  is a Cauchy sequence in  $L^p((T^*)^{\otimes 2}(A, d_X, m_X))$  for any  $p \in [1, \infty)$ . Moreover, letting  $j \rightarrow \infty$  in (5.10) and then letting  $\epsilon, \delta \rightarrow 0^+$  complete the proof of (5.4). Since for all  $p \in [1, \infty)$  and  $l \in \mathbb{N}$

$$\begin{aligned} \|f^* g_Y\|_{L^p(A_l)} &= \lim_{i \rightarrow \infty} \|(\tilde{\Phi}_{t_i}^Y \circ f)^* g_{L^2}\|_{L^p(A_l)} \\ &\leq (m_X(A_l))^{1/p} \cdot \lim_{i \rightarrow \infty} \|(\tilde{\Phi}_{t_i}^Y \circ f)^* g_{L^2}\|_{L^\infty(A_l)} \\ &\leq (m_X(A))^{1/p} (1 + 3\epsilon) \cdot n(\text{Lip } f)^2, \end{aligned} \quad (5.11)$$

letting  $p \rightarrow \infty$  in (5.11) proves (5.5), where we used Theorem 4.5 with (2.50) in the last inequality of (5.11).

In order to prove the remaining statement (5.6), fix  $i \in \mathbb{N}$ . Proposition 5.2 shows that for all  $j, k \in \mathbb{N}_{\geq i}$

$$\begin{aligned} &\left| (\tilde{\Phi}_{t_j}^Y \circ f)^* g_{L^2} - (\tilde{\Phi}_{t_k}^Y \circ f)^* g_{L^2} \right| \\ &\leq C(n)\epsilon \left| (\tilde{\Phi}_{t_j}^Y \circ f)^* g_{L^2} \right|, \quad \text{for } m_X - \text{a.e. } x \in \bigcap_{j \geq i} \mathcal{R}_Y(\epsilon, t_j, \tau_j). \end{aligned} \quad (5.12)$$

In particular, letting  $j \rightarrow \infty$  and  $k \rightarrow \infty$  in (5.12) with (5.4), respectively, completes the proof of (5.6).  $\square$

Next let us discuss on the behavior of pull-backs under compositions of maps.

**Proposition 5.4** *Let  $(Z, d_Z, \mathcal{H}^{\tilde{N}})$  be a non-collapsed compact RCD( $\tilde{K}, \tilde{N}$ ) space, let  $\epsilon \in (0, 1)$  and let  $h : f(A) \rightarrow Z$  be a Lipschitz map. Assume that the following hold.*

1.  $f(A) \subset \mathcal{R}_Y(\{(t_i, \tau_i)\}_i)$  and  $h \circ f(A) \subset \mathcal{R}_Z(\{(s_i, \delta_i)\}_i)$  are satisfied for some  $\{(t_i, \tau_i)\}_i$  and some  $\{(s_i, \delta_i)\}_i$ .
2. For all  $y \in f(A)$ , there exists  $r \in (0, 1)$  such that  $h|_{f(A) \cap B_r(y)}$  is a  $(1 \pm \epsilon)$ -bi-Lipschitz embedding.

Then

$$\|(h \circ f)^* g_Z - f^* g_X\|_{L^\infty(A)} \leq C(n)\epsilon. \quad (5.13)$$

In particular, if (1) and the following condition (3) are satisfied:

3. for all  $y \in f(A)$  and  $\delta \in (0, 1)$ , there exists  $r = r(y) \in (0, 1)$  such that  $h|_{f(A) \cap B_r(y)}$  is a  $(1 \pm \delta)$ -bi-Lipschitz embedding;

then

$$(h \circ f)^* g_Z = f^* g_X. \quad (5.14)$$

**Proof** For any fixed sufficiently large  $i \in \mathbb{N}$ , we have

$$\begin{aligned} & \left| (h \circ f)^* g_Z - (\tilde{\Phi}_{t_i}^l \circ f)^* g_{\mathbb{R}^l} \right| (y) \\ & \leq C(n)\epsilon, \quad \text{for } \mathfrak{m}_X - \text{a.e. } x \in \bigcap_{j \geq i} f^{-1}(\mathcal{R}_Y(\epsilon, t_j, \tau_j) \cap B_{r(y)}(f(y))) \end{aligned} \quad (5.15)$$

for any sufficiently large  $l \in \mathbb{N}$  because of Corollary 2.22 and Theorem 4.6. Then letting  $l \rightarrow \infty$  in (5.15) completes the proof of (5.13). The equality (5.14) is a direct consequence of (5.13).  $\square$

Recall that a map  $\Phi = (\varphi_i)_i$  from an open subset  $U$  of  $Y$  to  $\mathbb{R}^k$  is said to be *regular* if each  $\varphi_i$  is in  $D(\Delta_Y, U)$  with  $\Delta_Y \varphi_i \in L^\infty(U, \mathcal{H}^N)$ . It follows from regularity results proved in [8, Th.3.1] and in [53, Th.3.1] that any regular map is locally Lipschitz. Note that this observation works for general finite dimensional (not necessary non-collapsed) RCD spaces (see also the beginning of subsection 7.1 of [49]).

**Corollary 5.5** *Assume that  $f(A) \subset \mathcal{R}_Y(\{(t_i, \tau_i)\}_i)$  holds for some  $\{(t_i, \tau_i)\}_i$ . Then for any regular map  $h$  from an open neighborhood  $U$  of  $f(A)$  to  $\mathbb{R}^m$  with*

$$\|h^* g_{\mathbb{R}^m} - g_Y\|_{L^\infty(U)} \leq \epsilon \quad (5.16)$$

for some  $\epsilon \in (0, 1)$ , we have

$$\|(h \circ f)^* g_{\mathbb{R}^m} - f^* g_Y\|_{L^\infty(A)} \leq \Phi(\epsilon; K, N, m, n). \quad (5.17)$$

In particular, if  $h^* g_{\mathbb{R}^m} = g_Y$  holds, then

$$(h \circ f)^* g_{\mathbb{R}^m} = f^* g_Y. \quad (5.18)$$

**Proof** It is enough to check the assertion under the assumption that  $f(A)$  is bounded with  $\overline{f(A)} \subset U$ . Choose  $R \in [1, \infty)$  with  $h \circ f(A) \subset B_{R/2}(0_m)$ . Thanks to [49, Th.1.1], for all  $y \in U$ , there exists  $r := r(y) \in (0, 1)$  such that  $h|_{B_r(y)}$  is a  $(1 \pm \Phi(\epsilon; K, N, m))$ -bi-Lipschitz embedding. Then applying Proposition 5.4 with  $(Z, d_Z, \mathcal{H}^N) = (\overline{B}_R(0_m), d_{\mathbb{R}^m}, \mathcal{H}^N)$  completes the proof.  $\square$

Let us provide a bi-Lipschitz embeddability of a Sobolev map  $f$ , under assuming some regularity of  $f$ .

**Corollary 5.6** *Let  $\epsilon \in (0, 1)$ , let  $\tilde{f} : U \rightarrow Y$  be a Sobolev map with  $\tilde{f}(U \setminus D) \subset \mathcal{R}_Y(\{(t_i, \tau_i)\}_i)$  for some  $\{(t_i, \tau_i)\}_i$  and some  $\mathfrak{m}_X$ -negligible subset  $D$  of  $U$ , and let  $h : \tilde{f}(U) \rightarrow \mathbb{R}^m$  be a map. Assume that  $\|\tilde{f}^* g_Y - g_X\|_{L^\infty(U)} \leq \epsilon$  holds, that  $h \circ \tilde{f}$  is regular and that for any  $y \in \tilde{f}(U)$ , there exists  $r \in (0, 1)$  such that  $h|_{B_r(y)}$  is a  $(1 \pm \epsilon)$ -bi-Lipschitz embedding. Then for any  $x \in U$ , there exists  $\bar{r} \in (0, 1)$  such that  $\tilde{f}|_{B_{\bar{r}}(x)}$  is a  $(1 \pm \Phi(\epsilon; \tilde{K}, \tilde{N}, m))$ -bi-Lipschitz embedding, whenever  $(X, d_X, \mathfrak{m}_X)$  is an  $\text{RCD}(\tilde{K}, \tilde{N})$  space.*



**Proof** Since  $\|\tilde{f}^*g_Y\|_{L^\infty(U)} \leq \sqrt{n} + \epsilon$  holds, applying Proposition 3.6 and Theorem 5.19 we will give later independently yields that  $\tilde{f}$  has a locally  $(\sqrt{n} + \epsilon)$ -Lipschitz representative. Thus it is enough to check the assertion under assuming that both  $\tilde{f}(U)$  and  $h \circ \tilde{f}(U)$  are bounded. Let  $x \in U$ . Since the proof of Proposition 5.4 shows  $\|(h \circ \tilde{f})^*g_{\mathbb{R}^m} - \tilde{f}^*g_Y\|_{L^\infty(U)} \leq C(n)\epsilon$ , we have

$$\|(h \circ \tilde{f})^*g_{\mathbb{R}^m} - g_X\|_{L^\infty(U)} \leq C(n)\epsilon. \quad (5.19)$$

Applying [49, Th.3.4] for  $h \circ \tilde{f}$  with (5.19) yields that for any  $x \in U$ , there exists  $r \in (0, 1)$  such that  $(h \circ \tilde{f})|_{B_r(x)}$  is a  $(1 \pm \Phi(\epsilon; \tilde{K}, \tilde{N}, m))$ -bi-Lipschitz embedding. Thus we conclude.  $\square$

**Remark 5.7** In general, the isometric equation,  $f^*g_Y = g_X$ , does not imply the local bi-Lipschitz embeddability of  $f$  without a regularity assumption on  $f$ . In fact, let us consider a compact non-collapsed RCD(0, 1) space  $([-1, 1], d_{\mathbb{R}}, \mathcal{H}^1)$  and a map  $f : [-1, 1] \rightarrow [-1, 1]$  defined by  $f(x) := |x|$ . Then although it is easy to see  $f^*g_{[-1, 1]} = g_{[-1, 1]}$ ,  $f$  is not a bi-Lipschitz embedding around the origin. Thus the regularity assumption in Corollary 5.6 is essential.

We are now in a position to give a geometric meaning of the pull-back.

**Proposition 5.8** Assume that  $f(A) \subset \mathcal{R}_Y(\{(t_i, \tau_i)\}_i)$  for some  $\{(t_i, \tau_i)\}_i$ . Then

$$\text{Lip} f(x) = \left( |f^*g_Y|_B(x) \right)^{1/2}, \quad \text{for } m_X - \text{a.e. } x \in A. \quad (5.20)$$

**Proof** Fix a sufficiently small  $\epsilon \in (0, 1)$  and  $i \in \mathbb{N}$ . Find  $l \in \mathbb{N}$  with

$$c_N t_i^{(N+2)/2} \sum_{j=l+1}^{\infty} e^{-2\lambda_j^Y t_i} \|d\varphi_j^Y\|_{L^\infty}^2 < \frac{\epsilon}{4}. \quad (5.21)$$

Then Theorem 4.6 with Proposition 2.2 show

$$\left| \text{Lip} f(x) - \text{Lip}(\tilde{\Phi}_{t_i}^l \circ f)(x) \right| \leq \mathbf{Lip} f \cdot \epsilon, \quad \text{for } m_X - \text{a.e. } x \in f^{-1}(\mathcal{R}_Y(\epsilon, t_i, \tau_i)), \quad (5.22)$$

applying Proposition 2.23 for  $\tilde{\Phi}_{t_i}^l \circ f$  on  $f^{-1}(\mathcal{R}_Y(\epsilon, t_i, \tau_i))$  yields

$$\begin{aligned} & \left| \text{Lip} f(x) - \left( |(\tilde{\Phi}_{t_i}^l \circ f)^*g_{\mathbb{R}^l}|_B(x) \right)^{1/2} \right| \\ & \leq C(n) \cdot \mathbf{Lip} f \cdot \epsilon, \quad \text{for } m_X - \text{a.e. } x \in f^{-1}(\mathcal{R}_Y(\epsilon, t_i, \tau_i)). \end{aligned} \quad (5.23)$$

Letting  $l \rightarrow \infty$  in (5.23) gives

$$\begin{aligned} & \left| \text{Lip} f(x) - \left( |(\tilde{\Phi}_{t_i}^Y \circ f)^*g_{\ell^2}|_B(x) \right)^{1/2} \right| \\ & \leq C(n) \cdot \mathbf{Lip} f \cdot \epsilon, \quad \text{for } m_X - \text{a.e. } x \in f^{-1}(\mathcal{R}_Y(\epsilon, t_i, \tau_i)). \end{aligned} \quad (5.24)$$

In particular, for  $\mathfrak{m}_X$ -a.e.  $x \in \bigcap_{j \geq i} f^{-1}(\mathcal{R}_Y(\epsilon, t_j, \tau_j))$ , we have

$$\left| \text{Lip} f(x) - \left( \left| (\tilde{\Phi}_{t_j}^Y \circ f)^* g_{\ell^2} \right|_B(x) \right)^{1/2} \right| \leq C(n) \cdot \mathbf{Lip} f \cdot \epsilon, \quad (5.25)$$

for any  $j \geq i$ . Thus letting  $j \rightarrow \infty$  in (5.25) with Proposition 5.3 implies

$$\begin{aligned} & \left| \text{Lip} f(x) - \left( |f^* g_Y|_B(x) \right)^{1/2} \right| \\ & \leq C(n) \cdot \mathbf{Lip} f \cdot \epsilon, \quad \text{for } \mathfrak{m}_X - \text{a.e. } x \in \bigcap_{j \geq i} f^{-1}(\mathcal{R}_Y(\epsilon, t_j, \tau_j)) \end{aligned} \quad (5.26)$$

which completes the proof because  $\epsilon$  and  $i$  are arbitrary.  $\square$

Let us introduce the following notion in order to generalize the above observation to more general maps.

**Definition 5.9** (*Lipschitz-Lusin map*) Let  $B$  be a Borel subset of  $X$ . We say that a map  $F : B \rightarrow Y$  is a *Lipschitz-Lusin map* if there exists a sequence of Borel subsets  $D_i$  of  $B$  such that  $\mathfrak{m}_X(B \setminus \bigcup_i D_i) = 0$  and that  $F|_{D_i}$  is Lipschitz for any  $i$ .

Applying Proposition 5.3 to  $f = F|_{D_i}$ ,  $B = D_i$  shows that the following is well defined.

**Definition 5.10** (*Pull-back of Lipschitz-Lusin map*) Let  $B$  be a Borel subset of  $X$  and let  $F : B \rightarrow Y$  be a Lipschitz-Lusin map. Assume that  $F(B) \subset \mathcal{R}_Y(\{(t_i, \tau_i)\}_i)$  holds for some  $\{(t_i, \tau_i)\}_i$ . Then there exists a unique  $T \in L^0((T^*)^{\otimes 2}(B, \mathfrak{d}_X, \mathfrak{m}_X))$ , denoted by  $F^* g_Y$ , such that

$$(F|_D)^* g_Y = T \quad (5.27)$$

holds on  $D$  whenever the restriction of  $F$  to a Borel subset  $D$  of  $B$  is Lipschitz. Then define the *energy density*, denoted by  $e_Y(F) \in L^0(B, \mathfrak{m}_X)$ , by

$$e_Y(F) := \langle F^* g_Y, g_X \rangle. \quad (5.28)$$

Moreover, define the *energy*, denoted by  $\mathcal{E}_{B,Y}(F)$ , by

$$\mathcal{E}_{B,Y}(F) := \frac{1}{2} \int_B e_Y(F) \, \mathrm{d}\mathfrak{m}_X \in [0, \infty]. \quad (5.29)$$

Finally we say that  $F$  is *isometric* if  $F^* g_Y = g_X$ .

**Proposition 5.11** Let  $F : U \rightarrow Y$  be a weakly smooth map. For all  $\epsilon \in (0, 1/6)$  and  $t, \tau \in (0, \infty)$ , the restriction of  $F$  to  $F^{-1}(\mathcal{R}_Y(\epsilon, t, \tau))$  is a Lipschitz-Lusin map. In particular, if  $F(U \setminus D) \subset \mathcal{R}_Y(\{(t_i, \tau_i)\}_i)$  holds for some  $\{(t_i, \tau_i)\}_i$  and some  $\mathfrak{m}_X$ -negligible subset  $D$  of  $U$ , then  $F$  is Lipschitz-Lusin.

**Proof** Theorem 4.6 yields that there exist  $l \in \mathbb{N}$  and sequences of  $y_i \in F(U) \cap \mathcal{R}_Y(\epsilon, t, \tau)$  and of  $r_2(y_i) \in (0, 1)$  satisfying that  $\tilde{\Phi}_t^l|_{B_{r_2(y_i)}(y_i)}$  is a bi-Lipschitz embedding and that  $F(U) \cap \mathcal{R}_Y(\epsilon, t, \tau) \subset \bigcup_i B_{r_2(y_i)}(y_i)$  holds. Then it follows from the weak smoothness of  $F$  with the Poincaré inequality that there exists a sequence of Borel subsets  $\tilde{D}_i$  of  $X$  such that  $\mathfrak{m}_X(U \setminus \bigcup_i \tilde{D}_i) = 0$  and that  $(\varphi_j^Y \circ F)|_{\tilde{D}_i}$  is Lipschitz for all  $j \in \mathbb{N}_{\leq l}$  and  $i \in \mathbb{N}$ . In particular,  $\tilde{\Phi}_t^l \circ F$  is Lipschitz on  $\tilde{D}_i$ . Then the family  $\{\tilde{D}_i \cap F^{-1}(B_{r_2(y_j)}(y_j))\}_{i,j}$  proves the assertion.  $\square$

**Proposition 5.12** *Let  $F : U \rightarrow Y$  be a weakly smooth map. Assume that  $F(U \setminus D) \subset \mathcal{R}_Y(\{(t_i, \tau_i)\}_i)$  holds for some  $\{(t_i, \tau_i)\}_i$  and some  $\mathfrak{m}_X$ -negligible set  $D$  and that*

$$\liminf_{i \rightarrow \infty} t_i^{(N+2)/2} \mathcal{E}_{U,Y,t_i}(F) < \infty \quad (5.30)$$

*holds. Then  $F^*g_Y \in L^1((T^*)^{\otimes 2}(U, \mathbf{d}_X, \mathfrak{m}_X))$ .*

**Proof** Propositions 5.3 and 5.11 shows that after passing to a subsequence, we have

$$|(\tilde{\Phi}_{t_i}^Y \circ F)^*g_{L^2} - F^*g_Y|(x) \rightarrow 0, \quad \text{for } \mathfrak{m}_X - \text{a.e. } x \in U. \quad (5.31)$$

Thus it follows from Fatou's lemma that  $|F^*g_Y|$  is  $L^1$  on  $U$  because of (3.9).  $\square$

We will discuss the behavior of  $t_i^{(N+2)/2} \mathcal{E}_{U,Y,t_i}(F)$  as  $i \rightarrow \infty$  later.

## 5.2 Rademacher Type Result via Blow-Up

Let us first recall the definition of *harmonic points* of a Sobolev function.

**Definition 5.13** (*Harmonic point of a function*) Let  $x \in X$ ,  $R \in (0, \infty]$ ,  $z \in B_R(x)$  and let  $f \in H^{1,2}(B_R(x), \mathbf{d}_X, \mathfrak{m}_X)$ . We say that  $z$  is a *harmonic point* of  $f$  if  $z \in \text{Leb}_2(|\nabla f|)$  and for any  $(T_z X, \mathbf{d}_{T_z X}, \mathfrak{m}_{T_z X}, 0_z) \in \text{Tan}(X, \mathbf{d}_X, \mathfrak{m}_X, z)$  which is the measured Gromov-Hausdorff limit space of  $(X, t_i^{-1} \mathbf{d}_X, \mathfrak{m}_X(B_{t_i}(z))^{-1} \mathfrak{m}_X, z)$  for some  $t_i \rightarrow 0^+$ , there exist a subsequence  $(t_{i(j)})_j$  of  $(t_i)_i$  and  $\hat{f} \in \text{Lip}(T_z X, \mathbf{d}_{T_z X}) \cap \text{Harm}(T_z X, \mathbf{d}_{T_z X}, \mathfrak{m}_{T_z X})$  such that the rescaled functions  $f_{z,t_{i(j)}} H_{\text{loc}}^{1,2}$ -strongly converge to  $\hat{f}$  as  $j \rightarrow \infty$ , where  $f_{z,t}$  is defined by

$$f_{z,t} := \frac{1}{t} \left( f - \frac{1}{\mathfrak{m}_X(B_t(z))} \int_{B_t(z)} f \, \mathrm{d}\mathfrak{m}_X \right)$$

on  $(X, t^{-1} \mathbf{d}_X, \mathfrak{m}_X(B_t(z))^{-1} \mathfrak{m}_X)$ . We denote by  $H(f)$  the set of all harmonic points of  $f$ .

Next we introduce a similar notion for a Lipschitz function defined on a Borel (not necessary open) subset  $A$  of  $X$ . Compare with [6, Def.5.3].

**Definition 5.14** (*Harmonic point for Lipschitz function defined on Borel subset*) Let  $\varphi$  be a Lipschitz function on  $A$  and let  $x \in \text{Leb}(A)$ . Then  $x$  is said to be a *harmonic*

point of  $f$  if there exists a Lipschitz function  $\Phi$  on  $X$  such that  $\Phi|_A \equiv \varphi$  and that  $x$  is a harmonic point of  $\Phi$ . It is easy to see that this definition does not depend on the choice of  $\Phi$ . Thus we denote by  $H(\varphi)$  the set of all harmonic points of  $\varphi$ .

Applying [6, Th.5.4] for  $\Phi$  as in the above definition, we have the following.

**Proposition 5.15** *For any Lipschitz function  $\varphi : A \rightarrow \mathbb{R}$ , we have  $m_X(A \setminus H(\varphi)) = 0$ .*

The following result gives a nonlinear analogue of Cheeger's Rademacher type theorem [15, Th.3.7].

**Theorem 5.16** (Rademacher type theorem) *Let  $f : A \rightarrow Y$  be a Lipschitz map. Assume that  $f(A) \subset \mathcal{R}_Y(\{(t_i, \tau_i)\}_i)$  holds for some  $\{(t_i, \tau_i)\}_i$ . Then for  $m_X$ -a.e.  $x \in A$ , we have the following: for any convergent sequence  $r_i \rightarrow 0^+$ , after passing to a subsequence,*

1. *we have*

$$\left( X, r_i^{-1} d_X, (m_X(B_{r_i}(x)))^{-1} m_X, x \right) \xrightarrow{\text{pmGH}} \left( \mathbb{R}^n, d_{\mathbb{R}^n}, \omega_n^{-1} \mathcal{H}^n, 0_n \right), \quad (5.32)$$

2. *we have*

$$\left( Y, r_i^{-1} d_Y, \mathcal{H}_{r_i^{-1} d_Y}^N, f(x) \right) \xrightarrow{\text{pmGH}} \left( \mathbb{R}^N, d_{\mathbb{R}^N}, \mathcal{H}^N, 0_N \right), \quad (5.33)$$

3. *the maps*

$$f : (A, r_i^{-1} d_X) \rightarrow (Y, r_i^{-1} d_Y) \quad (5.34)$$

*uniformly converge to a linear map  $f^0 : \mathbb{R}^n \rightarrow \mathbb{R}^N$  on any bounded subset of  $\mathbb{R}^n$  with respect to (5.32) and (5.33),*

4.  *$f^* g_Y$   $L_{\text{loc}}^2$ -strongly converge to  $(f^0)^* g_{\mathbb{R}^N}$  with respect to (5.32).*

**Proof** Let us fix a sufficiently small  $\epsilon \in (0, 1)$ ,  $x \in \text{Leb}(A) \cap \mathcal{R}_n$  and  $j, l \in \mathbb{N}$  satisfying the following:

- $x$  is a harmonic point of  $\varphi_i^Y \circ f$  for any  $i$ .
- We have  $f(x) \in \mathcal{R}_Y(\epsilon/6, t_j, \tau_j)$ .
- We have for any sufficiently small  $r \in (0, 1)$

$$\frac{1}{m_X(B_r(x))} \int_{B_r(x)} \left| \langle \tilde{\Phi}_{t_j}^l \circ f \rangle^* g_{\mathbb{R}^l} - f^* g_Y \right| dm_X \leq C(n)(\text{Lip } f)^2 \cdot \epsilon. \quad (5.35)$$

- We have

$$c_N t_j^{(N+2)/2} \sum_{i=l+1}^{\infty} e^{-2\lambda_i^Y t_j} \|d\varphi_i^Y\|_{L^\infty}^2 < \frac{\epsilon}{6}. \quad (5.36)$$

Thanks to Proposition 4.3, after passing to a subsequence, we see that (5.33) is satisfied, and that the maps (5.34) uniformly converge to a Lipschitz map  $f^0 : \mathbb{R}^n \rightarrow \mathbb{R}^N$  on any bounded subset of  $\mathbb{R}^n$ .

We first prove that  $f^0$  is linear. By an argument similar to the proof of Proposition 4.4 (see also the proof of Proposition 4.14),  $r_i^{-1}(\tilde{\Phi}_{t_j}^l - \tilde{\Phi}_{t_j}^l(f(y)))$ , defined on  $(Y, r_i^{-1}d_Y)$ , uniformly converge to a linear  $(1 \pm \epsilon)$ -bi-Lipschitz embedding map  $\tilde{\Phi} : \mathbb{R}^N \rightarrow \mathbb{R}^l$  on any bounded subset of  $\mathbb{R}^N$  with respect to (5.33).

On the other hand, it follows from the definition of harmonic points that  $r_i^{-1}(\tilde{\Phi}_{t_j}^l \circ f - \tilde{\Phi}_{t_j}^l(f(y)))$  uniformly converge to a linear map from  $\mathbb{R}^n$  to  $\mathbb{R}^l$  on any bounded subset of  $\mathbb{R}^n$  with respect to (5.32). Since the limit map of  $r_i^{-1}(\tilde{\Phi}_{t_j}^l \circ f - \tilde{\Phi}_{t_j}^l(f(y)))$ , defined on  $(A, r_i^{-1}d_X)$ , with respect to (5.32) coincides with  $\tilde{\Phi} \circ f^0$ , we know that  $\tilde{\Phi} \circ f^0$  is linear. Thus  $f^0$  is also linear because  $\tilde{\Phi}$  is linear and injective.

Finally since Theorem 2.33 shows that  $(\tilde{\Phi}_{t_j}^l \circ f)^* g_{\mathbb{R}^l} L_{\text{loc}}^2$ -strongly converge to  $\tilde{\Phi}^* g_{\mathbb{R}^l}$ , we have (4) because of

$$|\tilde{\Phi}^* g_{\mathbb{R}^l} - (f^0)^* g_{\mathbb{R}^l}| \leq C(n)(\text{Lip } f)^2 \cdot \epsilon. \quad (5.37)$$

and since  $\epsilon$  is arbitrary, where we used Lemma 2.21 to get (5.37)  $\square$

Let us give an application of Theorem 5.16 to the *Korevaar-Schoen energy* of a map. We follow the terminology from [39].

**Definition 5.17** (*Korevaar-Schoen energy*) Let  $h : A \rightarrow Y$  be a Borel map and let  $r \in (0, \infty)$ .

1. Define the *energy density at scale  $r$  of  $h$  at  $x \in A$* , denoted by  $\text{ks}_{Y,r}(h)(x)$ , by

$$\text{ks}_{Y,r}(h)(x) := \left( \frac{1}{\text{m}_X(B_r(x))} \int_{B_r(x) \cap A} \frac{d_Y(h(x), h(y))^2}{r^2} d\text{m}_X(y) \right)^{1/2}. \quad (5.38)$$

2. Define the *Korevaar-Schoen energy at scale  $r$* , denoted by  $\mathcal{E}_{A,Y,r}^{KS}(h)$ , by

$$\mathcal{E}_{A,Y,r}^{KS}(h) := \int_A (\text{ks}_{Y,r}(h))^2 d\text{m}_X. \quad (5.39)$$

3. Define the *Korevaar-Schoen energy*, denoted by  $\mathcal{E}_{A,Y}^{KS}(h)$ , by

$$\mathcal{E}_{A,Y}^{KS}(h) := \limsup_{r \rightarrow 0^+} \mathcal{E}_{A,Y,r}^{KS}(h). \quad (5.40)$$

Compare the following corollary with [39, Th.4.14].

**Corollary 5.18** (*Compatibility with Korevaar-Schoen energy for Lipschitz map*) Let  $f : A \rightarrow Y$  be a Lipschitz map. Assume that  $f(A) \subset \mathcal{R}_Y(\{(t_i, \tau_i)\}_i)$  holds for some  $\{(t_i, \tau_i)\}_i$ . Then we have as  $r \rightarrow 0^+$

$$(n+2)\text{ks}_{Y,r}(f)^2 \rightarrow e_Y(f) \quad (5.41)$$

in  $L^1(A, \mathfrak{m}_X)$ . In particular, we have

$$\mathcal{E}_{A,Y}(f) = \frac{n+2}{2} \mathcal{E}_{A,Y}^{KS}(f). \quad (5.42)$$

**Proof** Let us take  $x \in A$  satisfying the conclusions of Theorem 5.16, where we will use the same notation as in Theorem 5.16. Then the uniform convergence of  $f$  to  $f^0$  implies

$$\lim_{r \rightarrow 0^+} \text{ks}_{Y,r}(f)(x)^2 = \frac{1}{\omega_n} \int_{B_1(0_n)} |f^0(z)|^2 d\mathcal{H}^n = \frac{1}{n+2} \cdot \text{tr}((f^0)^* g_{\mathbb{R}^N}). \quad (5.43)$$

On the other hand, the  $L^2_{\text{loc}}$ -strong convergence of  $f^* g_Y$  to  $(f^0)^* g_{\mathbb{R}^N}$  with Proposition 2.29 yields

$$\begin{aligned} \frac{1}{\mathfrak{m}_X(B_{r_i}(x))} \int_{B_{r_i}(x)} e_Y(f) d\mathfrak{m}_X &= \frac{1}{\mathfrak{m}_X(B_{r_i}(x))} \int_{B_{r_i}(x)} \langle f^* g_Y, g_X \rangle d\mathfrak{m}_X \\ &\rightarrow \frac{1}{\omega_n} \int_{B_1(0_n)} \langle (f^0)^* g_{\mathbb{R}^N}, g_{\mathbb{R}^N} \rangle d\mathcal{H}^n = \text{tr}((f^0)^* g_{\mathbb{R}^N}), \end{aligned} \quad (5.44)$$

which completes the proof of (5.41) because of the dominated convergence theorem.  $\square$

### 5.3 Nonlinear Analogue of Cheeger's Differentiability Theorem

We are now in position to give a nonlinear analogue of Cheeger's differentiability theorem [15, Th.6.1].

**Theorem 5.19** (Compatibility, II) *Let  $f : U \rightarrow Y$  be a weakly smooth map. Assume that  $f(U \setminus D) \subset \mathcal{R}_Y(\{(t_i, \tau_i)\}_i)$  for some  $\{(t_i, \tau_i)\}_i$  and some  $\mathfrak{m}_X$ -negligible set  $D$ . Then the following two conditions are equivalent.*

1. *We have*

$$\liminf_{i \rightarrow \infty} t_i^{(N+2)/2} \mathcal{E}_{U,Y,t_i}(f) < \infty. \quad (5.45)$$

2. *The map  $f$  is a Sobolev map.*

*In particular,  $f$  is a 0-Sobolev map if and only if  $f$  is a Sobolev map. Moreover, if these conditions are satisfied, then*

$$G_f(x) = (|f^* g_Y|_B(x))^{1/2} \quad \text{for } \mathfrak{m}_X - \text{a.e. } x \in U. \quad (5.46)$$

*In particular, we have*

$$G_f(x) = \text{Lip}(f|_{\tilde{D}})(x) \quad \text{for } \mathfrak{m}_X - \text{a.e. } x \in \tilde{D} \quad (5.47)$$

whenever the restriction of  $f$  to a Borel subset  $\tilde{D}$  of  $U$  is Lipschitz.

**Proof** Since Proposition 3.8 proves the implication from (2) to (1), let us prove the converse implication. Assume that (1) holds. Proposition 5.11 allows us to find a sequence of Borel subsets  $\{D_j\}_j$  of  $U$  such that  $m_X(U \setminus \bigcup_j D_j) = 0$  holds and that each  $f|_{D_j}$  is Lipschitz. Fix a sufficiently small  $\epsilon \in (0, 1)$ . Recalling Theorem 4.6, fix

- an integer  $i \in \mathbb{N}$ ,
- a sequence of points  $\{y_k\}_{k \in \mathbb{N}} \subset \mathcal{R}_Y(\epsilon/6, t_i, \tau_i)$  and a sequence of positive numbers  $\{r_k\}_{k \in \mathbb{N}} \subset (0, 1)$  satisfying that,
  - $\mathcal{R}_Y(\epsilon/6, t_i, \tau_i) \subset \bigcup_k B_{r_k}(y_k)$ ,
  - $\tilde{\Phi}_{t_i}^l : B_{r_k}(y_k) \cap \mathcal{R}_Y(\epsilon/6, t_i, \tau_i) \rightarrow \mathbb{R}^l$  is a  $(1 \pm \epsilon)$ -bi-Lipschitz embedding for any  $l$  satisfying

$$c_N t_i^{(N+2)/2} \sum_{j=l+1}^{\infty} e^{-2\lambda_j^Y t_i} \|\mathrm{d}\varphi_j^Y\|_{L^\infty}^2 < \frac{\epsilon}{6}. \quad (5.48)$$

Fix  $\varphi \in \mathrm{Lip}(Y, \mathrm{d}_Y)$ . Then for all  $j, k \in \mathbb{N}$ , thanks to Propositions 2.2 and 2.23, we have

$$\begin{aligned} & \mathrm{Lip}(\varphi \circ f)|_{D_j \cap (U \setminus D) \cap f^{-1}(B_{r_k}(y_k) \cap \mathcal{R}_Y(\epsilon/2, t_i, \tau_i))}(x) \\ &= \mathrm{Lip}\left(\varphi \circ (\tilde{\Phi}_{t_i}^l)^{-1} \circ \tilde{\Phi}_{t_i}^l \circ f\right)|_{D_j \cap (U \setminus D) \cap f^{-1}(B_{r_k}(y_k) \cap \mathcal{R}_Y(\epsilon/2, t_i, \tau_i))}(x) \\ &\leq (1 - \epsilon)^{-1} \mathrm{Lip}\varphi \cdot \mathrm{Lip}(\tilde{\Phi}_{t_i}^l \circ f)(x) \\ &\leq (1 - \epsilon)^{-1} \mathrm{Lip}\varphi \cdot \left(\left|(\tilde{\Phi}_{t_i}^l \circ f)^* g_{\mathbb{R}^l}\right|_B(x)\right)^{1/2} \\ &\leq (1 - \epsilon)^{-1} \mathrm{Lip}\varphi \cdot \left(\left|(\tilde{\Phi}_{t_i}^Y \circ f)^* g_{\ell^2}\right|_B(x)\right)^{1/2} \end{aligned} \quad (5.49)$$

for  $m_X$ -a.e.  $x \in D_j \cap (U \setminus D) \cap f^{-1}(B_{r_k}(y_k) \cap \mathcal{R}_Y(\epsilon/6, t_i, \tau_i))$ . Thus (5.49) is satisfied for  $m_X$ -a.e.  $x \in D_j \cap (U \setminus D) \cap f^{-1}(\mathcal{R}_Y(\epsilon/6, t_i, \tau_i))$  because  $k$  is arbitrary.

For fixed  $s \in (0, 1)$  and  $m \in \mathbb{N}$ , let

$$\varphi^{s,m} := \sum_i^m e^{-\lambda_i^Y s} \left( \int_Y \varphi \cdot \varphi_i^Y \, \mathrm{d}\mathcal{H}^N \right) \varphi_i^Y. \quad (5.50)$$

For fixed  $s \in (0, 1)$ , we see that  $\{\varphi^{s,m}\}_m$  is equi-Lipschitz and that for any sufficiently large  $m$ , we have

$$\begin{aligned} |\nabla \varphi^{s,m}|^2(y) &= \sum_{i,j}^m e^{-(\lambda_i^Y + \lambda_j^Y)s} \left( \int_Y \varphi \cdot \varphi_i^Y \, \mathrm{d}\mathcal{H}^N \right) \cdot \left( \int_Y \varphi \cdot \varphi_j^Y \, \mathrm{d}\mathcal{H}^N \right) \langle \nabla \varphi_i^Y, \nabla \varphi_j^Y \rangle(y) \\ &\leq \sum_{i,j}^m e^{-(\lambda_i^Y + \lambda_j^Y)s} \left( \int_Y \varphi \cdot \varphi_i^Y \, \mathrm{d}\mathcal{H}^N \right) \cdot \left( \int_Y \varphi \cdot \varphi_j^Y \, \mathrm{d}\mathcal{H}^N \right) \langle \nabla \varphi_i^Y, \nabla \varphi_j^Y \rangle(y) + \epsilon \\ &= |\nabla h_s \varphi|^2(y) + \epsilon, \quad \text{for } \mathcal{H}^N - \text{a.e. } y \in Y, \end{aligned} \quad (5.51)$$

where we used (2.45). In particular, we have  $\mathbf{Lip}\varphi^{s,m} \leq \mathbf{Lip}h_s\varphi + \epsilon$ . Thus applying (5.49) for  $\varphi^{s,m}$  instead of  $\varphi$  with our assumption yields that  $\varphi^{s,m} \circ f \in H^{1,2}(U, d_X, m_X)$  holds with

$$\begin{aligned} |\nabla(\varphi^{s,m} \circ f)|(x) &\leq (1 - \epsilon)^{-1} \mathbf{Lip}\varphi^{s,m} \cdot \left( \left| (\tilde{\Phi}_{t_i}^Y \circ f)^* g_{\ell^2} \right|_B(x) \right)^{1/2} \\ &\leq (1 - \epsilon)^{-1} \cdot (\mathbf{Lip}h_s\varphi + \epsilon) \cdot \left( \left| (\tilde{\Phi}_{t_i}^Y \circ f)^* g_{\ell^2} \right|_B(x) \right)^{1/2} \\ &\leq (1 - \epsilon)^{-1} \cdot (e^{-Ks} \mathbf{Lip}\varphi + \epsilon) \cdot \left( \left| (\tilde{\Phi}_{t_i}^Y \circ f)^* g_{\ell^2} \right|_B(x) \right)^{1/2} \end{aligned} \quad (5.52)$$

for  $m_X$ -a.e.  $x \in D_j \cap (U \setminus D) \cap f^{-1}(\mathcal{R}_Y(\epsilon/6, t_i, \tau_i))$ , where we used (2.15). In particular, for  $m_X$ -a.e.  $x \in D_j \cap (U \setminus D) \cap f^{-1}(\bigcap_{l \geq i} \mathcal{R}_Y(\epsilon/6, t_l, \tau_l))$ , we have

$$|\nabla(\varphi^{s,m} \circ f)|(x) \leq (1 - \epsilon)^{-1} \cdot (e^{-Ks} \mathbf{Lip}\varphi + \epsilon) \cdot \left( \left| (\tilde{\Phi}_{t_l}^Y \circ f)^* g_{\ell^2} \right|_B(x) \right)^{1/2} \quad (5.53)$$

for any  $l \geq i$ . Thus combining Proposition 5.3, letting  $m \rightarrow \infty$ ,  $s \rightarrow 0^+$  and then  $l \rightarrow \infty$  in a weak form of (5.53)

$$\begin{aligned} \int_E |\nabla(\varphi^{s,m} \circ f)|^2 dm_X &\leq \int_E (1 - \epsilon)^{-2} \cdot (e^{-Ks} \mathbf{Lip}\varphi + \epsilon)^2 \cdot \left| (\tilde{\Phi}_{t_l}^Y \circ f)^* g_{\ell^2} \right|_B^2 dm_X, \\ &\quad \forall E \subset D_j \cap (U \setminus D) \cap f^{-1} \left( \bigcap_{l \geq i} \mathcal{R}_Y(\epsilon/6, t_l, \tau_l) \right), \end{aligned} \quad (5.54)$$

show

$$\int_E |\nabla(\varphi \circ f)|^2 dm_X \leq \int_E (1 - \epsilon)^{-2} \cdot (\mathbf{Lip}\varphi + \epsilon)^2 \cdot |f^* g_Y|_B^2 dm_X. \quad (5.55)$$

Therefore, we have for  $m_X$ -a.e.  $x \in D_j \cap (U \setminus D) \cap f^{-1}(\bigcap_{l \geq i} \mathcal{R}_Y(\epsilon/6, t_l, \tau_l))$

$$|\nabla(\varphi \circ f)|(x) \leq (1 - \epsilon)^{-1} \cdot (\mathbf{Lip}\varphi + \epsilon) \cdot (|f^* g_Y|_B(x))^{1/2}, \quad (5.56)$$

which completes the proof of (2) with

$$G_f(x) \leq (|f^* g_Y|_B(x))^{1/2}, \quad \text{for } m_X - \text{a.e. } x \in U \quad (5.57)$$

because  $\epsilon, i$  and  $j$  are arbitrary in (5.56).

Finally let us prove the reverse inequality of (5.57). In order to simplify our notation, put  $A := D_i$  and the restriction of  $f$  to  $A$  is also denoted by the same notation  $f$ . We can find  $x \in A$  and a convergent sequence  $r_i \rightarrow 0^+$  as in Theorem 5.16 (we will use the same notations as in Theorem 5.16 below). Moreover, with no loss of generality we



can assume that  $x$  is a 2-Lebesgue point of  $G_f$ . Let us denote the map  $f^0 : \mathbb{R}^n \rightarrow \mathbb{R}^N$  by

$$f^0(x_1, x_2, \dots, x_n) = (x_1, \dots, x_n)M \quad (5.58)$$

for some  $n \times N$ -matrix  $M$ . Put

$$(X_i, \mathbf{d}_{X_i}, \mathbf{m}_{X_i}, x) := \left( X, r_i^{-1} \mathbf{d}_X, \mathbf{m}_X(B_{r_i}(x))^{-1} \mathbf{m}_X, x \right) \xrightarrow{\text{pmGH}} (\mathbb{R}^n, \mathbf{d}_{\mathbb{R}^n}, \omega_n^{-1} \mathcal{H}^n, 0_n) \quad (5.59)$$

and

$$(Y_i, \mathbf{d}_{Y_i}, f(x)) := (Y, r_i^{-1} \mathbf{d}_Y, f(x)) \xrightarrow{\text{pGH}} (\mathbb{R}^N, \mathbf{d}_{\mathbb{R}^N}, 0_N). \quad (5.60)$$

Thanks to [5, Cor.4.12] with no loss of generality, we can assume that there exists a sequence of harmonic maps  $H_i = (h_{i,j})_j : B_2^{\mathbf{d}_{X_i}}(x) \rightarrow \mathbb{R}^n$  such that  $H_i$  converge uniformly to  $\text{id}_{\mathbb{R}^n}$  on  $B_2(0_n)$  with respect to the convergence (5.59). Then we define the map  $F_i : B_2^{\mathbf{d}_{X_i}}(x) \rightarrow \mathbb{R}^N$  by

$$F_i = (f_{i,1}, \dots, f_{i,N}) := (h_{i,1}, \dots, h_{i,n}) \cdot M. \quad (5.61)$$

Note that Theorem 5.16 ensures

$$\int_{B_1^{\mathbf{d}_{X_i}}(x)} |f^* g_{Y_i} - F_i^* g_{\mathbb{R}^N}| \, \mathbf{d}\mathbf{m}_{X_i} \rightarrow 0, \quad (5.62)$$

in particular,

$$\int_{B_1^{\mathbf{d}_{X_i}}(x)} ||f^* g_{Y_i}|_B - |F_i^* g_{\mathbb{R}^N}|_B| \, \mathbf{d}\mathbf{m}_{X_i} \rightarrow 0. \quad (5.63)$$

Let us prove that

$$\int_{B_1^{\mathbf{d}_{X_i}}(x)} |F_i^* g_{\mathbb{R}^N}|_B \, \mathbf{d}\mathbf{m}_{X_i} \rightarrow \frac{1}{\omega_n} \int_{B_1(0_n)} |(f^0)^* g_{\mathbb{R}^N}|_B \, \mathbf{d}\mathcal{H}^n =: C(M). \quad (5.64)$$

Put

$$\epsilon_i := \sup_{j,k} \int_{B_2^{\mathbf{d}_{X_i}}(x)} |\delta_{jk} - \langle \nabla h_{i,j}, \nabla h_{i,k} \rangle| \, \mathbf{d}\mathbf{m}_{X_i} \rightarrow 0 \quad (5.65)$$

and

$$B_i := \left\{ y \in B_1^{d_{X_i}}(x); \sup_{r \leq 1} \frac{1}{m_{X_i}(B_r^{d_{X_i}}(y))} \int_{B_r^{d_{X_i}}(y)} |\delta_{jk} - \langle \nabla h_{i,j}, \nabla h_{i,k} \rangle| dm_{X_i} \right. \\ \left. < (\epsilon_i)^{1/2}, \forall j, \forall k \right\}. \quad (5.66)$$

Then the maximal function theorem (e.g., [45, Th.2.2]) shows that

$$m_{X_i}(B_1^{d_{X_i}}(x) \setminus B_i) \leq C(\tilde{K}, \tilde{N})(\epsilon_i)^{1/2} \quad (5.67)$$

holds under assuming that  $(X, d_X, m_X)$  is an  $\text{RCD}(\tilde{K}, \tilde{N})$  space. Let us now recall that for any symmetric bilinear form  $L : V \times V \rightarrow \mathbb{R}$  over an  $n$ -dimensional Hilbert space  $(V, \langle \cdot, \cdot \rangle)$  and any basis  $\{v_i\}_{i=1}^n$  of  $V$  with  $|\langle v_i, v_j \rangle - \delta_{ij}| < \epsilon$  for all  $i, j$ , we have

$$|L|_B = \sup_{\sum_i (a_i)^2 = 1} L \left( \sum_i a_i v_i, \sum_i a_i v_i \right) \pm C(n)|L|_B \epsilon, \quad (5.68)$$

where we used a notation

$$a = b \pm \epsilon \iff |a - b| \leq \epsilon \quad (5.69)$$

(compare with [6, (5.36)]). Applying (5.68) for  $\{\nabla h_{i,j}\}_j$  on  $B_i$  shows for  $m_{X_i}$ -a.e.  $z \in B_i$ ;

$$|F_i^* g_{\mathbb{R}^N}|_B(z) = \sup_{\sum_j (a_j)^2 = 1} F_i^* g_{\mathbb{R}^N} \left( \sum_j a_j \nabla h_{i,j}, \sum_j a_j \nabla h_{i,j} \right) \\ \pm C(n)|F_i^* g_{\mathbb{R}^N}|_B(z)(\epsilon_i)^{1/2}. \quad (5.70)$$

On the other hand, by the definition of  $B_i$  for any  $a_j \in \mathbb{R}$  with  $\sum_j (a_j)^2 = 1$ , we have

$$\left| F_i^* g_{\mathbb{R}^N} \left( \sum_j a_j \nabla h_{i,j}, \sum_j a_j \nabla h_{i,j} \right) \right| (z) \\ = \left| (f^0)^* g_{\mathbb{R}^N} \left( \sum_j a_j \nabla x_j, \sum_j a_j \nabla x_j \right) \right| (z) \pm C(\tilde{K}, \tilde{N}, \mathbf{Lip} f)(\epsilon_i)^{1/2} \quad (5.71)$$

for  $m_{X_i}$ -a.e.  $z \in B_i$ . In particular, combining (5.70) with (5.71) yields for  $m_{X_i}$ -a.e.  $z \in B_i$

$$\begin{aligned}
 |F_i^* g_{\mathbb{R}^N}|_B(z) &= \sup_{\sum_j (a_j)^2=1} (f^0)^* g_{\mathbb{R}^N} \left( \sum_j a_j \nabla x_j, \sum_j a_j \nabla x_j \right) \pm C(\tilde{K}, \tilde{N}, \mathbf{Lip} f)(\epsilon_i)^{1/2} \\
 &= C(M) \pm C(\tilde{K}, \tilde{N}, \mathbf{Lip} f)(\epsilon_i)^{1/2}.
 \end{aligned} \tag{5.72}$$

Therefore by (5.67), we have

$$\begin{aligned}
 \int_{B_1^{d_{X_i}}(x)} |F_i^* g_{\mathbb{R}^N}|_B \, d\mathbf{m}_{X_i} &= \int_{B_i} |F_i^* g_{\mathbb{R}^N}|_B \, d\mathbf{m}_{X_i} + \int_{B_1^{d_{X_i}}(x) \setminus B_i} |F_i^* g_{\mathbb{R}^N}|_B \, d\mathbf{m}_{X_i} \\
 &= \mathbf{m}_{X_i}(B_i) C(M) \pm C(\tilde{K}, \tilde{N}, \mathbf{Lip} f)(\epsilon_i)^{1/2} \\
 &\rightarrow C(M),
 \end{aligned} \tag{5.73}$$

which completes the proof of (5.64).

In particular, by (5.63)

$$\frac{1}{\mathbf{m}_X(B_{r_i}(x))} \int_{B_{r_i}(x)} ||f^* g_Y|_B - C(M)| \, d\mathbf{m}_X \rightarrow 0. \tag{5.74}$$

Let us take a linear function  $\varphi : \mathbb{R}^n \rightarrow \mathbb{R}$  such that  $|\nabla \varphi| \equiv 1$  and that

$$(f^0)^* g_{\mathbb{R}^N}(\nabla \varphi, \nabla \varphi) \equiv C(M). \tag{5.75}$$

Fix  $\epsilon \in (0, 1)$  and take  $\psi \in C_c^\infty(\mathbb{R}^n)$  with  $\psi \equiv 1$  on  $B_2(0_n)$  and  $|\nabla \psi| + |\Delta \psi| \leq \epsilon$  on  $\mathbb{R}^n$ . Applying (the proof of) [4, Prop.1.10.2] for  $\psi\varphi$ , there exists a sequence of  $\varphi_i \in \text{Test}F(X_i, d_{X_i}, \mathbf{m}_{X_i})$  such that  $\varphi_i$  converge uniformly to  $\varphi$  on  $B_2(0_n)$  with respect to the convergence (5.59) and that  $\limsup_{i \rightarrow \infty} \mathbf{Lip} \varphi_i \leq (1 + \epsilon) \mathbf{Lip} \varphi = 1 + \epsilon$ . Then since

$$|\nabla(\varphi_i \circ f)|(z) \leq \mathbf{Lip} \varphi_i \cdot G_f(z), \quad \text{for } \mathbf{m}_X - \text{a.e. } z \in B_2^{d_{X_i}}(x), \tag{5.76}$$

letting  $i \rightarrow \infty$  in the weak form of (5.76) easily yields

$$C(M) = |\nabla(\varphi \circ f^0)|^2 \leq (1 + \epsilon)^2 \overline{G_f}(x)^2 \tag{5.77}$$

where we used our assumption that  $x$  is a 2-Lebesgue point of  $G_f$  (recall Definition 2.19 for the definition of  $\overline{G_f}(x)$ ). Combining (5.74) with (5.77) shows

$$(\overline{|f^* g_Y|_B}(x))^{1/2} \leq (1 + \epsilon) \overline{G_f}(x) \tag{5.78}$$

if  $x$  is also a 2-Lebesgue point of  $|f^* g_Y|_B$ , which completes the proof of (5.46) because  $\epsilon$  is arbitrary.

Finally it follows from Propositions 5.8 and (5.46) that (5.47) holds.  $\square$

Thanks to Theorem 5.19, in the sequel, we can focus on Sobolev maps instead of 0-Sobolev maps. The following proposition gives an asymptotic behavior of  $t^{(N+2)/2}\mathcal{E}_{U,Y,t}(f)$  as  $t \rightarrow 0$ .

**Proposition 5.20** *Let  $f : U \rightarrow Y$  be a Sobolev map with  $f(U \setminus D) \subset \mathcal{R}_Y(\{(t_i, \tau_i)\}_i)$  for some  $\{(t_i, \tau_i)\}_i$  and some  $\mathfrak{m}_X$ -negligible set  $D$ . Then  $(\tilde{\Phi}_{t_i}^Y \circ f)^* g_{L^2}$   $L^1$ -strongly converge to  $f^* g_Y$  on  $U$  with for all  $i \in \mathbb{N}$  and  $\mathfrak{m}_X$ -a.e.  $x \in A_i := \bigcap_{j \geq i} \mathcal{R}_Y(\epsilon, t_j, \tau_j)$ ,*

$$|(\tilde{\Phi}_{t_i}^Y \circ f)^* g_{L^2} - f^* g_Y|(x) \leq C(n)\epsilon \min \left\{ |f^* g_Y|(x), |(\tilde{\Phi}_{t_i}^Y \circ f)^* g_{L^2}|(x) \right\}. \quad (5.79)$$

*In particular, we see that  $c_N t_i^{(N+2)/2} e_{Y,t_i}(f)$   $L^1$ -strongly converge to  $e_Y(f)$  on  $U$ .*

**Proof** Recall that we already know  $\mathfrak{m}_X$ -a.e. pointwise convergence  $|(\tilde{\Phi}_{t_i}^Y \circ f)^* g_{L^2} - f^* g_Y| \rightarrow 0$  after passing to a subsequence (see the proof of Proposition 5.12). Thus the desired  $L^1$ -convergence is justified by this with (3.33) and the dominated convergence theorem. Moreover, (5.79) is a direct consequence of Proposition 5.2.  $\square$

Finally let us give a precise description of the asymptotics of Korevaar-Schoen energy densities by the pull-back.

**Theorem 5.21** *Let  $f : U \rightarrow Y$  be a Sobolev map with  $f(U \setminus D) \subset \mathcal{R}_Y(\{(t_i, \tau_i)\}_i)$  for some  $\{(t_i, \tau_i)\}_i$  and some  $\mathfrak{m}_X$ -negligible subset  $D$  of  $U$ . Then  $(n+2)\text{ks}_{Y,r}(f)^2$   $L^1$ -strongly converge to  $e_Y(f)$  on  $U$  as  $r \rightarrow 0^+$ . In particular, we have*

$$\mathcal{E}_{U,Y}(f) = \frac{n+2}{2} \mathcal{E}_{U,Y}^{KS}(f). \quad (5.80)$$

**Proof** Note that Lebesgue differentiation theorem with Proposition 5.11 and Corollary 5.18 easily yields

$$(n+2)\text{ks}_{Y,r}(f)(x)^2 \rightarrow e_Y(f)(x), \quad \text{for } \mathfrak{m}_X - \text{a.e. } x \in U. \quad (5.81)$$

Let  $G_f = 0$  outside  $U$ . Note that since the function  $z \mapsto \mathbf{d}_Y(f(x), z)$  is 1-Lipschitz for fixed  $x \in X$ , we have

$$\text{ks}_{Y,r}(f)(x)^2 \leq \frac{1}{\mathfrak{m}_X(B_r(x))} \int_{B_r(x)} G_f^2 \, \text{d}\mathfrak{m}_X =: G_{f,r}(x)^2. \quad (5.82)$$

Then letting  $\varphi_r(z) := \int_{B_r(z)} \frac{1}{m_X(B_r(x))} dm_X(x)$ , Fubini's theorem shows

$$\begin{aligned}
 \int_U G_{f,r}^2 dm_X &= \int_U \left( \frac{1}{m_X(B_r(x))} \int_{B_r(x)} G_f(z)^2 dm_X(z) \right) dm_X(x) \\
 &= \int_U \int_X \frac{1}{m_X(B_r(x))} 1_{B_r(x)}(z) G_f(z)^2 dm_X(z) dm_X(x) \\
 &= \int_U \int_X \frac{1}{m_X(B_r(x))} 1_{B_r(z)}(x) G_f(z)^2 dm_X(x) dm_X(z) \\
 &= \int_U G_f(z)^2 \left( \int_{B_r(z)} \frac{1}{m_X(B_r(x))} dm_X(x) \right) dm_X(z) \\
 &= \int_U G_f(z)^2 \varphi_r(z) dm_X(z)
 \end{aligned} \tag{5.83}$$

Since the Bishop-Gromov inequality yields

$$\sup_{r \in (0,1)} \|\varphi_r\|_{L^\infty} < \infty \tag{5.84}$$

and  $\varphi_r(z) \rightarrow 1$  holds for  $m_X$ -a.e.  $z \in X$  because of Theorem 2.6, the dominated convergence theorem with (5.83) shows

$$\int_U G_{f,r}^2 dm_X \rightarrow \int_U G_f^2 dm_X. \tag{5.85}$$

Recall the following general fact;

- Let  $(W, m_W)$  be a measure space and let  $f_i, g_i, f, g \in L^1(W, m_W)$  ( $i = 1, 2, \dots$ ). Assume that  $f_i(w), g_i(w) \rightarrow f(w), g(w)$  hold for  $m_W$ -a.e.  $w \in W$ , respectively, that  $|f_i|(w) \leq g_i(w)$  holds for  $m_W$ -a.e.  $w \in W$ , and that  $\lim_{i \rightarrow \infty} \|g_i\|_{L^1} = \|g\|_{L^1}$ . Then  $f_i \rightarrow f$  in  $L^1(W, m_W)$ .

See [7, Lem.2.4] for the proof.

Applying this fact for  $G_{f,r}, G_f$  with (5.82) and (5.81) shows that  $(n+2)ks_{Y,r}(f)^2$   $L^1$ -strongly converges to  $e_Y(f)$  on  $U$ .  $\square$

## 5.4 Uniformly Weakly Smooth Set and Compactness

In this section, we discuss uniformly weakly smooth set in the following sense.

**Definition 5.22** (*Uniformly weakly smooth set*) Let  $t_i \rightarrow 0^+$  be a convergent sequence in  $(0, 1)$  and let  $\{\tau_i\}_i$  be a sequence in  $(0, \infty)$ .

1. A sequence of subsets  $\{A_l\}_l$  of  $Y$  is said to be *uniformly weakly smooth* for  $\{(t_i, \tau_i)\}_i$  if for any  $\epsilon \in (0, 1)$ , there exists  $i \in \mathbb{N}$  such that

$$A_l \subset \bigcap_{j \geq i} \mathcal{R}_Y(\epsilon, t_j, \tau_j), \quad \forall l \in \mathbb{N} \tag{5.86}$$

holds.

2. A subset  $A$  is said to be *uniformly weakly smooth* for  $\{(t_i, \tau_i)\}_i$  if the constant sequence  $\{A\}$  is uniformly weakly smooth for  $\{(t_i, \tau_i)\}_i$ .

Let us give a compactness result for Sobolev maps under the uniform weak smoothness of the image.

**Theorem 5.23** (Compactness) *Let  $R \in (0, \infty]$ , let  $x \in X$ , let  $f_i : B_R(x) \rightarrow Y$  be a sequence of Sobolev maps. Assume that the following two conditions hold:*

1. *The sequence  $\{f_i(B_R(x) \setminus D_i)\}_i$  is uniformly weakly smooth for some  $\{(t_i, \tau_i)\}_i$  and some  $\mathfrak{m}_X$ -negligible subsets  $D_i$  of  $B_R(x)$ .*
2. *We have*

$$\sup_i \mathcal{E}_{B_R(x), Y}(f_i) < \infty. \quad (5.87)$$

*Then after passing to a subsequence, there exists a Sobolev map  $f : B_R(x) \rightarrow Y$  such that  $f(B_R(x) \setminus D)$  is uniformly weakly smooth for  $\{(t_i, \tau_i)\}_i$  for some  $\mathfrak{m}_X$ -negligible subset  $D$  of  $B_R(x)$ , that  $f_i$  converge to  $f$  for  $\mathfrak{m}_X$ -a.e.  $x \in B_R(x)$  and that*

$$\liminf_{i \rightarrow \infty} \int_{B_R(x)} \varphi_i e_Y(f_i) \, d\mathfrak{m}_X \geq \int_{B_R(x)} \varphi e_Y(f) \, d\mathfrak{m}_X \quad (5.88)$$

*for any  $L^2_{\text{loc}}$ -strongly convergent sequence  $\varphi_i \rightarrow \varphi$  with  $\varphi_i \geq 0$  and  $\sup_i \|\varphi_i\|_{L^\infty} < \infty$ . In particular,*

$$\liminf_{i \rightarrow \infty} \mathcal{E}_{B_R(x), Y}(f_i) \geq \mathcal{E}_{B_R(x), Y}(f). \quad (5.89)$$

**Proof** Note that (5.79) with (5.87) shows

$$C := \sup_{i, l} t_i^{(N+2)/2} \mathcal{E}_{B_R(x), Y, t_i}(f_i) < \infty. \quad (5.90)$$

By Theorem 3.4 after passing to a subsequence, there exists a map  $f : B_R(x) \rightarrow Y$  such that  $f_j$  converge to  $f$  for  $\mathfrak{m}_X$ -a.e.  $x \in B_R(x)$  and that  $f$  is a  $t_i$ -Sobolev map for any  $i$ . In particular, by the first assumption, we see that  $f(B_R(x) \setminus D)$  is uniformly weakly smooth for  $\{(t_i, \tau_i)\}_i$  and some  $\mathfrak{m}_X$ -negligible set  $D$ . Since Theorem 3.4 yields

$$\liminf_{j \rightarrow \infty} \mathcal{E}_{B_R(x), Y, t_i}(f_j) \geq \mathcal{E}_{B_R(x), Y, t_i}(f), \quad \forall i \in \mathbb{N}, \quad (5.91)$$

letting  $i \rightarrow \infty$  in (5.91) with (5.90) proves that  $f$  is a 0-Sobolev map. Thus Theorem 5.19 shows that  $f$  is a Sobolev map.

Then (5.79) shows

$$\begin{aligned} \int_{B_R(x)} \left| c_N t_i^{(N+2)/2} e_{Y, t_i}(f) - e_Y(f) \right| \, d\mathfrak{m}_X &\leq C(n) \epsilon \int_{B_R(x)} \left| c_N t_i^{(N+2)/2} e_{Y, t_i}(f) \right| \, d\mathfrak{m}_X \\ &\leq C(n) \epsilon \cdot C \cdot c_N, \end{aligned} \quad (5.92)$$

whenever  $f(z) \in \mathcal{R}_Y(\epsilon, t_i, \tau_i)$  holds for  $\mathfrak{m}_X$ -a.e.  $z \in B_R(x)$ . Thus it follows from (3.12) that (5.88) is satisfied.  $\square$

As a corollary of the above results, we obtain a variant of  $\Gamma$ -convergence for  $\mathcal{E}_{U,Y,t_i}$  to  $\mathcal{E}_{U,Y}$ ;

**Corollary 5.24** (A variant of variational convergence) *Let  $t_i \rightarrow 0^+$  be a convergent sequence in  $(0, 1)$  and let  $\{\tau_i\}_i$  be a sequence in  $(0, \infty)$ . Then the energies  $c_N t_i^{(N+2)/2} \mathcal{E}_{U,Y,t_i}$  converge to  $\mathcal{E}_{U,Y}$  in the following sense.*

1. We have

$$\liminf_{i \rightarrow \infty} c_N t_i^{(N+2)/2} \mathcal{E}_{U,Y,t_i}(f_i) \geq \mathcal{E}_{U,Y}(f) \quad (5.93)$$

for any  $\mathfrak{m}_X$ -a.e. convergent sequence  $f_i : U \rightarrow Y$  to  $f : U \rightarrow Y$  satisfying that  $\{f_i(U \setminus D_i)\}_i$  is uniformly weakly smooth for  $\{(t_i, \tau_i)\}_i$  and some  $\mathfrak{m}_X$ -negligible subsets  $D_i$  of  $U$ .

2. We have

$$\lim_{i \rightarrow \infty} c_N t_i^{(N+2)/2} \mathcal{E}_{U,Y,t_i}(f) = \mathcal{E}_{U,Y}(f) \quad (5.94)$$

for any 0-Sobolev map  $f : U \rightarrow Y$ .

**Remark 5.25** With the help of Theorem 5.23, in the case of weakly smooth targets, one can show the full variational convergence (i.e.,  $\Gamma$ -convergence) of the approximate energy to our new energy. Since we will not make use of it here, we do not pursue into this direction.

**Proof** Let us check only (1) because (2) is a direct consequence of Proposition 5.20. Applying Vitali's covering theorem, there exists a pairwise disjoint sequence of closed balls  $\{\overline{B}_{r_i}(x_i)\}_i$  such that  $\overline{B}_{5r_i}(x_i) \subset U$  holds for any  $i$  and that

$$U \setminus \bigcup_{i=1}^k \overline{B}_{r_i}(x_i) \subset \bigcup_{i=k+1}^{\infty} \overline{B}_{5r_i}(x_i), \quad \forall k \in \mathbb{N} \quad (5.95)$$

holds. Fix  $k \in \mathbb{N}$  and take  $f_i, f$  as in the assumption. Theorem 5.23 yields

$$\begin{aligned} \liminf_{i \rightarrow \infty} c_N t_i^{(N+2)/2} \mathcal{E}_{U,Y,t_i}(f_i) &\geq \liminf_{i \rightarrow \infty} \sum_{j=1}^k \left( c_N t_i^{(N+2)/2} \mathcal{E}_{B_{r_j}(x_j),Y,t_i}(f_i) \right) \\ &\geq \sum_{j=1}^k \mathcal{E}_{B_{r_j}(x_j),Y}(f) \\ &= \mathcal{E}_{\sqcup_{i=1}^k B_{r_i}(x_i),Y}(f). \end{aligned} \quad (5.96)$$

Then letting  $k \rightarrow \infty$  in (5.96) completes the proof of (1) because of

$$\lim_{k \rightarrow \infty} \sum_{i=k+1}^{\infty} m_X(B_{5r_i}(x_i)) \leq C \lim_{k \rightarrow \infty} \sum_{i=k+1}^{\infty} m_X(B_{r_i}(x_i)) = 0. \quad (5.97)$$

□

## 5.5 Special Case

In this section, let us consider a Borel map  $f : A \rightarrow Y$  with  $f_{\#}(m_X \llcorner_A) \ll \mathcal{H}^N$ .

**Corollary 5.26** *Let  $B$  be a Borel subset of  $Y$  and let  $F : B \rightarrow Y$  be a locally isometric embedding as metric spaces, namely, for any  $y \in B$ , there exists  $r \in (0, 1)$  such that  $d_Y(F(z), F(w)) = d_Y(z, w)$  holds for all  $z, w \in B_r(y) \cap B$ . Then*

$$F^*g_Y = g_Y. \quad (5.98)$$

*In particular,  $\mathcal{E}_{B,Y}(F) = N\mathcal{H}^N(B)/2$ .*

**Proof** Applying Proposition 5.4 as  $f = F, h = F^{-1}$  completes the proof. □

In Corollary 5.26, recall that in general, the equality (5.98) does not imply the local isometry of  $F$ . See Remark 5.7.

Finally we introduce the following result which is a combination of previous results.

**Theorem 5.27** *Let  $f : U \rightarrow Y$  be a weakly smooth map with  $f_{\#}(m_X \llcorner_U) \ll \mathcal{H}^N$  and*

$$\liminf_{t \rightarrow 0^+} t^{(N+2)/2} \mathcal{E}_{U,Y,t}(f) < \infty. \quad (5.99)$$

*Then we have the following.*

1. *The map  $f$  is a Sobolev map.*
2. *The normalized  $t$ -energy densities  $c_N t^{(N+2)/2} e_{Y,t}(f)$  and the normalized Korevaar-Schoen energy densities  $(n+2)ks_{Y,t}(f)^2$   $L^1$ -strongly converge to  $e_Y(f)$  on  $U$  as  $t \rightarrow 0^+$ .*
3. *We have*

$$G_f(x) = \text{Lip}(f|_{\tilde{D}})(x) = |f^*g_Y|_B(x), \quad \text{for } m_X - a.e. x \in \tilde{D} \quad (5.100)$$

*whenever the restriction of  $f$  to a Borel subset  $\tilde{D}$  of  $U$  is Lipschitz.*

**Proof** This is a direct consequence of Propositions 4.2, 5.20, Corollary 5.18, Theorems 5.19 and 5.21 □



## 6 A Generalization of Takahashi's Theorem

Let us fix a finite dimensional RCD space  $(X, d_X, m_X)$ . We start this section by giving the definition of the  $L^1$ -Laplacian.

**Definition 6.1** ( $D_1(\Delta_X)$ ) Let us denote by  $D_1(\Delta_X)$  the set of all functions  $\varphi \in H^{1,2}(X, d_X, m_X)$  satisfying that there exists a unique  $\psi \in L^1(X, m_X)$ , denoted by  $\Delta_X \varphi$ , such that

$$\int_X \langle d\varphi, d\tilde{\psi} \rangle dm_X = - \int_X \psi \tilde{\psi} dm_X \quad (6.1)$$

for any Lipschitz function  $\tilde{\psi}$  on  $X$  with compact support.

Note that it is easy to check that (6.1) also holds for all  $\varphi \in D_1(\Delta_X)$  and any  $\tilde{\psi} \in H^{1,2}(X, d_X, m_X) \cap L^\infty(X, m_X)$  because letting  $s \rightarrow 0^+$  and then  $R \rightarrow \infty$  in the equality

$$\int_X \langle d\varphi, d(f_R \cdot h_s(\tilde{\psi})) \rangle dm_X = - \int_X \psi \cdot f_R \cdot h_s(\tilde{\psi}) dm_X, \quad \forall s \in (0, \infty) \quad (6.2)$$

complete the proof, where  $f_R$  is a cut-off Lipschitz function satisfying  $f_R|_{B_R(x)} \equiv 1$ ,  $\text{supp } f_R \subset B_{R^2}(x)$  and  $|\nabla f_R| \leq R^{-1}$ .

**Proposition 6.2** Let  $(\mathbb{S}^k(1), d_{\mathbb{S}^k(1)}, \mathcal{H}^k)$  be the  $k$ -dimensional standard unit sphere and let  $f = (f_i)_i : X \rightarrow \mathbb{S}^k(1)$  be a Sobolev map. Then the following four conditions are equivalent.

1. For any Lipschitz map  $\varphi : X \rightarrow \mathbb{R}^{k+1}$  with compact support, we have

$$\frac{d}{dt} \Big|_{t=0} \mathcal{E}_{X, \mathbb{S}^k(1)} \left( \frac{f + t\varphi}{|f + t\varphi|} \right) = 0. \quad (6.3)$$

2. Each  $f_i$  is in  $D_1(\Delta_X)$  with

$$\Delta_X f_i + e_{\mathbb{S}^k(1)}(f) f_i = 0, \quad \forall i \in \{1, \dots, k+1\}. \quad (6.4)$$

3. We have

$$\frac{d}{dt} \Big|_{t=0} \mathcal{E}_{X, \mathbb{S}^k(1)}(f_t) = 0 \quad (6.5)$$

for any map  $(t, x) \mapsto f_t(x) = (f_{t,i}(x))_i \in \mathbb{S}^k(1)$  satisfying that  $f_0 = f$ , that  $f_{t,i} \in H^{1,2}(X, d_X, m_X)$  and that the map  $t \mapsto f_{t,i}$  is continuous at 0 in  $H^{1,2}(X, d_X, m_X)$  with

$$\lim_{t \rightarrow 0} \int_X \left( \left| \frac{f_t - f}{|t|^{1/2}} \right|^2 e_{\mathbb{S}^k(1)}(f) + \sum_{i=1}^{k+1} \left| d \left( \frac{f_{t,i} - f_i}{|t|^{1/2}} \right) \right|^2 \right) dm_X = 0. \quad (6.6)$$

4. The equality (6.5) holds for any map  $(t, x) \mapsto f_t(x) = (f_{t,i}(x))_i \in \mathbb{S}^k(1)$  satisfying that  $f_0 = f$ , that  $f_{t,i} \in H^{1,2}(X, \mathbf{d}_X, \mathbf{m}_X)$  and that the map  $t \mapsto f_{t,i}$  is differentiable at 0 in  $H^{1,2}(X, \mathbf{d}_X, \mathbf{m}_X)$  with

$$\frac{d}{dt} \Big|_{t=0} f_{t,i} \in L^\infty(X, \mathbf{m}_X). \quad (6.7)$$

**Proof** First let us prove the implication from (1) to (2). Assume that (1) holds. Let  $\varphi = (\varphi_1, \dots, \varphi_{k+1}) : X \rightarrow \mathbb{R}^{k+1}$  be a Lipschitz map with compact support. Note that  $|f + t\varphi| > 0$  holds for any sufficiently small  $t \in (0, 1)$ , in particular, we have  $(f_i + t\varphi_i)/|f + t\varphi| \in H^{1,2}(X, \mathbf{d}_X, \mathbf{m}_X)$  which implies that the map  $x \mapsto (f + t\varphi)/|f + t\varphi|$  is a Sobolev map. Since

$$\frac{1}{|f + t\varphi|} = 1 - f \cdot \varphi t + o(t) \quad (6.8)$$

as  $t \rightarrow 0^+$ , by a direct calculation with (6.8), we have

$$\frac{d}{dt} \Big|_{t=0} \left( \int_X \left| \mathbf{d} \left( \frac{f_i + t\varphi_i}{|f + t\varphi|} \right) \right|^2 \mathbf{d}\mathbf{m}_X \right) = 2 \int_X \langle \mathbf{d}f_i, \mathbf{d}(\varphi_i - f_i f \cdot \varphi) \rangle \mathbf{d}\mathbf{m}_X. \quad (6.9)$$

Then by Corollary 5.5, we have

$$\begin{aligned} 0 &= \frac{d}{dt} \Big|_{t=0} \mathcal{E}_{X, \mathbb{S}^k(1)} \left( \frac{f + t\varphi}{|f + t\varphi|} \right) = \frac{1}{2} \sum_{i=1}^{k+1} \frac{d}{dt} \Big|_{t=0} \left( \int_X \left| \mathbf{d} \left( \frac{f_i + t\varphi_i}{|f + t\varphi|} \right) \right|^2 \mathbf{d}\mathbf{m}_X \right) \\ &= \sum_{i=1}^{k+1} \int_X \langle \mathbf{d}f_i, \mathbf{d}(\varphi_i - f_i f \cdot \varphi) \rangle \mathbf{d}\mathbf{m}_X. \end{aligned} \quad (6.10)$$

Since

$$\begin{aligned} \sum_{i=1}^{k+1} \int_X \langle \mathbf{d}f_i, f_i \mathbf{d}(f \cdot \varphi) \rangle \mathbf{d}\mathbf{m}_X &= \frac{1}{2} \sum_{i=1}^{k+1} \int_X \langle \mathbf{d}f_i^2, \mathbf{d}(f \cdot \varphi) \rangle \mathbf{d}\mathbf{m}_X \\ &= \frac{1}{2} \int_X \langle \mathbf{d}|f|^2, \mathbf{d}(f \cdot \varphi) \rangle \mathbf{d}\mathbf{m}_X = 0, \end{aligned} \quad (6.11)$$

(6.10) is equivalent to

$$\sum_{i=1}^{k+1} \int_X \langle \mathbf{d}f_i, \mathbf{d}\varphi_i \rangle \mathbf{d}\mathbf{m}_X = \int_X e_{\mathbb{S}^k(1)}(f) f \cdot \varphi \mathbf{d}\mathbf{m}_X \quad (6.12)$$

which proves that (2) holds because  $\varphi$  is arbitrary.

Next let us prove the implication from (2) to (3). Assume that (2) holds. Let us take  $f_s$  as in (3). Then applying Corollary 5.5 again shows as  $t \rightarrow 0$

$$\begin{aligned}
 & \frac{2}{t} (\mathcal{E}_{X, \mathbb{S}^k(1)}(f_t) - \mathcal{E}_{X, \mathbb{S}^k(1)}(f)) \\
 &= 2 \sum_{i=1}^{k+1} \int_X \left\langle d \left( \frac{f_{t,i} - f_i}{t} \right), d f_i \right\rangle d\mathbf{m}_X + \sum_{i=1}^{k+1} \int_X \left\langle d \left( \frac{f_{t,i} - f_i}{t} \right), d(f_{t,i} - f_i) \right\rangle d\mathbf{m}_X \\
 &= 2 \sum_{i=1}^{k+1} \int_X \left( \frac{f_{t,i} - f_i}{t} \right) \cdot e_{\mathbb{S}^k(1)}(f) \cdot f_i d\mathbf{m}_X + \sum_{i=1}^{k+1} \int_X \left| d \left( \frac{f_{t,i} - f_i}{|t|^{1/2}} \right) \right|^2 d\mathbf{m}_X \\
 &= \int_X \left| \frac{f_t - f}{|t|^{1/2}} \right|^2 \cdot e_{\mathbb{S}^k(1)}(f) d\mathbf{m}_X + \sum_{i=1}^{k+1} \int_X \left| d \left( \frac{f_{t,i} - f_i}{|t|^{1/2}} \right) \right|^2 d\mathbf{m}_X \\
 &\rightarrow 0,
 \end{aligned} \tag{6.13}$$

which completes the proof of (3), where we used the elementary fact that  $\sum_{i=1}^{k+1} |f_{t,i} - f_i|^2 = 2 - 2 \sum_{i=1}^{k+1} f_{t,i} f_i$  in order to get the third equality above.

Similarly under assuming (2), as  $t \rightarrow 0$ ,

$$\begin{aligned}
 & \frac{2}{t} (\mathcal{E}_{X, \mathbb{S}^k(1)}(f_t) - \mathcal{E}_{X, \mathbb{S}^k(1)}(f)) \\
 &= 2 \sum_{i=1}^{k+1} \int_X \left\langle d \left( \frac{f_{t,i} - f_i}{t} \right), d f_i \right\rangle d\mathbf{m}_X + \sum_{i=1}^{k+1} \int_X \left\langle d \left( \frac{f_{t,i} - f_i}{t} \right), d(f_{t,i} - f_i) \right\rangle d\mathbf{m}_X \\
 &\rightarrow 2 \sum_{i=1}^{k+1} \int_X \left\langle d \left( \frac{d}{dt} \Big|_{t=0} f_t \right)_i, d f_i \right\rangle d\mathbf{m}_X \\
 &= 2 \sum_{i=1}^{k+1} \int_X \left( \frac{d}{dt} \Big|_{t=0} f_t \right)_i \cdot e_{\mathbb{S}^k(1)}(f) f_i d\mathbf{m}_X \\
 &= \int_X \left( \frac{d}{dt} \Big|_{t=0} |f_t|^2 \right) \cdot e_{\mathbb{S}^k(1)}(f) d\mathbf{m}_X = 0,
 \end{aligned} \tag{6.14}$$

which proves (4), where we used a fact that (6.1) holds for any  $\tilde{\psi} \in H^{1,2}(X, d_X, \mathbf{m}_X) \cap L^\infty(X, \mathbf{m}_X)$ .

Since the remaining implications, from (3) to (1) and from (4) to (1), are trivial because the map  $t \mapsto (f + t\varphi)/|f + t\varphi|$  satisfies the assumptions of (3) and (4), we conclude.  $\square$

Based on the above proposition, let us give the following definition;

**Definition 6.3** (*Harmonic/minimal map into sphere*) Let  $f : X \rightarrow \mathbb{S}^k(1)$  be a Sobolev map.

- (Harmonicity) The map  $f$  is said to be *harmonic* if one of the four conditions in Proposition 6.2 is satisfied (thus all hold),
- (Minimality) The map  $f$  is said to be *minimal* if it is isometric (namely  $f^* g_{\mathbb{S}^k(1)} = g_X$ ) and harmonic.

We are now in a position to introduce the main result in this section (Theorem 1.4). The following gives a generalization of Takahashi's theorem [74, Th.3] to RCD spaces.

**Theorem 6.4** (Generalization of Takahashi's theorem) *Assume that  $(X, d_X, m_X)$  is a compact RCD( $K, N$ ) space. Let  $f = (f_i)_i : X \rightarrow \mathbb{S}^k(1)$  be an isometric Sobolev map. Then the following three conditions are equivalent.*

1. *The map  $f$  is harmonic (thus it is minimal).*
2. *The equality (6.5) holds for any map  $(t, x) \mapsto f_t(x) \in \mathbb{S}^k(1)$  satisfying that  $f_0 = f$ , that  $f_{t,i} \in H^{1,2}(X, d_X, m_X)$  and that the map  $t \mapsto f_{t,i}$  is differentiable at 0 in  $H^{1,2}(X, d_X, m_X)$ .*
3. *We see that  $m_X = c\mathcal{H}^n$  for some  $c \in (0, \infty)$ , that  $(X, d_X, \mathcal{H}^n)$  is a non-collapsed RCD( $K, n$ ) space and that  $f$  is an eigenmap with  $\Delta_X f_i + n f_i = 0$  for any  $i$ , where  $n$  denotes the essential dimension of  $(X, d_X, m_X)$ .*

*In particular, if the above conditions hold, then  $X$  is bi-Hölder homeomorphic to an  $n$ -dimensional closed manifold,  $f$  is 1-Lipschitz and for all  $\epsilon \in (0, 1)$  and  $x \in X$ , there exists  $r \in (0, 1)$  such that  $f|_{B_r(x)}$  is a  $(1 \pm \epsilon)$ -bi-Lipschitz embedding.*

**Proof** First of all, note that the Proposition 3.6 shows that  $f$  is Lipschitz, and that  $\sum_i |df_i|^2 = e_Y(f) = |g_X|^2 = n$  holds.

The implication from (1) to (2) follows from (3) of Proposition 6.2. The converse implication is justified by the same reason in the proof of the implication from (3) to (1) in Proposition 6.2. Therefore, we have the equivalence between (1) and (2).

The remaining equivalences are justified by [49, Th.1.2] (see also Proposition 5.6).  $\square$

## 7 Behavior of Energies with Respect to Measured Gromov–Hausdorff Convergence

Let us fix

- a pointed measured Gromov-Hausdorff convergent sequences of pointed RCD( $\hat{K}, \hat{N}$ ) spaces

$$(X_i, d_{X_i}, m_{X_i}, x_i) \xrightarrow{\text{pmGH}} (X, d_X, m_X, x), \quad (7.1)$$

- a measured Gromov-Hausdorff convergent sequence of compact RCD( $K, N$ ) spaces

$$(Y_i, d_{Y_i}, m_{Y_i}) \xrightarrow{\text{mGH}} (Y, d_Y, m_Y). \quad (7.2)$$

We start this section by giving the following technical lemma (recall Definition 2.19).

**Lemma 7.1** *Let  $R \in (0, \infty)$ , let  $f_i \in H^{1,2}(B_R(x_i), d_{X_i}, m_{X_i})$  be an  $H^{1,2}$ -bounded sequence, let  $D_i$  be a sequence of  $m_{X_i}$ -negligible subsets of  $B_R(x_i)$ , and let  $f \in L^2(B_R(x), m_X)$  be the  $L^2$ -strong limit of  $f_i$  on  $B_R(x)$ . Then we have the following.*

1. For any  $\epsilon \in (0, 1)$ , after passing to a subsequence, there exist a compact subset  $A$  of  $B_R(x)$  and a sequence of compact subsets  $A_i$  of  $B_R(x_i) \cap \text{Leb}_1 f_i$  such that  $m_X(B_R(x) \setminus A) + m_{X_i}(B_R(x_i) \setminus A_i) < \epsilon$  holds for any  $i$ , that  $A_i$  Gromov-Hausdorff converge to  $A$  with respect to (7.1), that  $\{f_i|_{A_i}\}_i$  is equi-Lipschitz, and that  $\bar{f}_i(y_i) \rightarrow \bar{f}(y)$  holds whenever  $y_i \in A_i \rightarrow y \in A \cap \text{Leb}_1 f$  (in particular,  $\bar{f}|_A$  is Lipschitz).
2. After passing to a subsequence, there exist a Borel subset  $B$  of  $B_R(x)$  and a sequence of Borel subsets  $B_i$  of  $B_R(x_i)$  such that  $m_X(B_R(x) \setminus B) = 0$  holds, that  $B_i \subset B_R(x_i) \cap \text{Leb}_1(f_i) \setminus D_i$  holds,  $m_{X_i}(B_R(x_i) \setminus B_i) \rightarrow 0$  holds and that for any  $y \in B$ , there exists a sequence of  $y_i \in B_i$  such that  $y_i \rightarrow y$  holds and that  $\bar{f}_i(y_i) \rightarrow \bar{f}(y)$  holds.

**Proof** Let us first check (1). Multiplying suitable cut-off functions to  $f_i$ , it is enough to check the assertion under assuming  $f_i \in H^{1,2}(X_i, d_{X_i}, m_{X_i})$ ,  $f \in H^{1,2}(X, d_X, m_X)$  with  $C_0 := \sup_i \|f_i\|_{H^{1,2}(X_i)} < \infty$ . Fix a sufficiently large  $L \in [1, \infty)$ , let

$$\tilde{A}_i := \left\{ z_i \in B_R(x_i); \sup_{r \in (0, \infty)} \frac{1}{m_{X_i}(B_r(z_i))} \int_{B_r(z_i)} |\nabla f_i|^2 dm_{X_i} \leq L^2 \right\}. \quad (7.3)$$

The maximal function theorem shows that

$$m_{X_i}(B_R(x_i) \setminus \tilde{A}_i) \leq \frac{C(\tilde{K}, \tilde{N}, R, C_0)}{L^2} m_{X_i}(B_R(x_i)). \quad (7.4)$$

For any  $i \in \mathbb{N}$ , find a compact subset  $A_i$  of  $(B_{R-L-2}(x_i) \cap \tilde{A}_i \cap \text{Leb}_1(f_i)) \setminus D_i$  with

$$m_{X_i}(\tilde{A}_i \setminus A_i) \leq \frac{C(\tilde{K}, \tilde{N}, R, C_0)}{L^2} m_{X_i}(B_R(x_i)). \quad (7.5)$$

After passing to a subsequence, with no loss of generality, we can assume that there exists a compact subset  $A$  of  $\bar{B}_{R-L-2}(x)$  such that  $A_i$  Gromov-Hausdorff converge to  $A$  with respect to (7.1) (see for instance subsection 2.2 of [47]). Then Vitali's covering theorem yields

$$\limsup_{i \rightarrow \infty} m_{X_i}(A_i) \leq m_X(A), \quad (7.6)$$

in particular,

$$\frac{m_X(A)}{m_X(B_R(x))} \geq 1 - \frac{C(\tilde{K}, \tilde{N}, R, C_0)}{L^2}. \quad (7.7)$$

Take  $y \in A \cap \text{Leb}_1(f)$ , fix a sufficiently small  $\epsilon \in (0, 1)$  and find  $r \in (0, L^{-1}\epsilon)$  with

$$\left| \bar{f}(y) - \frac{1}{m_X(B_r(y))} \int_{B_r(y)} f dm_X \right| \leq \epsilon. \quad (7.8)$$

Let  $y_i \in A_i$  converge to  $y$ . Then a Poincaré inequality [69, Th.1] with the definition of  $A_i$  shows

$$\begin{aligned} & \left| \frac{1}{m_{X_i}(B_s(y_i))} \int_{B_s(y_i)} f_i \, d\mathbf{m}_{X_i} - \frac{1}{m_{X_i}(B_{2s}(y_i))} \int_{B_{2s}(y_i)} f_i \, d\mathbf{m}_{X_i} \right| \\ & \leq \frac{1}{m_{X_i}(B_s(y_i))} \int_{B_s(y_i)} \left| f_i - \frac{1}{m_{X_i}(B_{2s}(y_i))} \int_{B_{2s}(y_i)} f_i \, d\mathbf{m}_{X_i} \right| d\mathbf{m}_{X_i} \\ & \leq \frac{C(\tilde{K}, \tilde{N})}{m_{X_i}(B_{2s}(y_i))} \int_{B_{2s}(y_i)} \left| f_i - \frac{1}{m_{X_i}(B_{2s}(y_i))} \int_{B_{2s}(y_i)} f_i \, d\mathbf{m}_{X_i} \right| d\mathbf{m}_{X_i} \\ & \leq C(\tilde{K}, \tilde{N})sL, \quad \forall s \in (0, r]. \end{aligned} \quad (7.9)$$

In particular, letting  $s := 2^{-i}r$  in (7.9) and then taking the sum with respect to  $i$  yield

$$\left| \bar{f}(y_i) - \frac{1}{m_{X_i}(B_r(y_i))} \int_{B_r(y_i)} f_i \, d\mathbf{m}_{X_i} \right| \leq C(\tilde{K}, \tilde{N})rL \leq C(\tilde{K}, \tilde{N})\epsilon. \quad (7.10)$$

Thus combining (7.8) with (7.10) and the arbitrariness of  $\epsilon$  implies

$$\bar{f}_i(y_i) \rightarrow \bar{f}(y) \quad (7.11)$$

which completes the proof of (1) because  $L$  is arbitrary.

It follows from (1) and a diagonal argument with  $\epsilon \rightarrow 0^+$  that (2) holds.  $\square$

We are now in a position to introduce a compactness result for approximate Sobolev maps with respect to the measured Gromov-Hausdorff convergence.

**Theorem 7.2** *Let  $t_i \rightarrow t$  be a convergent sequence in  $(0, \infty)$  and let  $R \in (0, \infty]$ . If a sequence of  $t_i$ -Sobolev maps  $f_i : B_R(x_i) \rightarrow Y_i$  satisfies*

$$\liminf_{i \rightarrow \infty} \mathcal{E}_{B_R(x_i), Y_i, t_i}(f_i) < \infty, \quad (7.12)$$

*then after passing to a subsequence, there exists a  $t$ -Sobolev map  $f : B_R(x) \rightarrow Y$  such that  $\psi_i \circ f_i$   $L^2_{\text{loc}}$ -strongly converge to converge to  $\psi \circ f$  on  $B_R(x)$  for any uniformly convergent sequence of equi-Lipschitz functions  $\psi_i$  on  $Y_i$  to  $\psi$  on  $Y$  and that*

$$\liminf_{i \rightarrow \infty} \int_{B_R(x_i)} \varphi_i e_{Y_i, t_i}(f_i) \, d\mathbf{m}_{X_i} \geq \int_{B_R(x)} \varphi e_{Y, t}(f) \, d\mathbf{m}_X \quad (7.13)$$

*for any  $L^2_{\text{loc}}$ -strongly convergent sequence  $\varphi_i \rightarrow \varphi$  with  $\varphi_i \geq 0$  and  $\sup_i \|\varphi_i\|_{L^\infty} < \infty$ . In particular,*

$$\liminf_{i \rightarrow \infty} \mathcal{E}_{B_R(x_i), Y_i, t_i}(f_i) \geq \mathcal{E}_{B_R(x), Y, t}(f). \quad (7.14)$$

**Proof** Thanks to Theorem 2.32 with the gradient estimates (2.45), with no loss of generality, we can assume that  $\varphi_i^{Y_j}$  converge uniformly to  $\varphi_i^Y$  with  $\lambda_i^{Y_j} \rightarrow \lambda_i^Y$ . By (7.12), we have

$$\sup_j \|e^{-\lambda_i^{Y_j} t_j} \varphi_i^{Y_j} \circ f_j\|_{H^{1,2}(B_R(x_j))} < \infty, \quad \forall i \in \mathbb{N}. \quad (7.15)$$

Thus by Theorem 2.31, after passing to a subsequence with a diagonal argument, for any  $i \in \mathbb{N}$ , there exists  $F_i \in H^{1,2}(B_R(x), d_X, m_X)$  such that  $e^{-\lambda_i^{Y_j} t_j} \varphi_i^{Y_j} \circ f_j$   $L^2_{\text{loc}}$ -strongly converge to  $F_i$  on  $B_R(x)$  and that  $d(e^{-\lambda_i^{Y_j} t_j} \varphi_i^{Y_j} \circ f_j)$   $L^2$ -weakly converge to  $dF_i$  on  $B_R(x)$ . Then since Lemma 7.1 ensures that  $F(\tilde{x}) \in \Phi_t^{\ell^2}(Y)$  holds for  $m_X$ -a.e.  $\tilde{x} \in B_R(x)$ , where  $F := (F_i)_i$ , by letting  $f := (\Phi_t^{\ell^2})^{-1} \circ F$ , it follows from the same argument as in the proof of Theorem 3.4 that the desired conclusions hold except for the convergence of  $\psi_i \circ f_i$ .

From the above argument, we know that  $\varphi_i^{Y_j} \circ f_j$   $L^2_{\text{loc}}$ -strongly converge to  $\varphi_i^Y \circ f$  for any  $i$ . In particular, thanks to (2.43) with (2.45), we see that  $(h_s^{Y_i} \psi_i) \circ f$   $L^2_{\text{loc}}$ -strongly converge to  $(h_s^Y \psi) \circ f$  for any  $s \in (0, \infty)$ . Since  $\lim_{s \rightarrow 0+} \sup_i \|h_s^{Y_i} \psi_i - \psi_i\|_{L^\infty} = 0$  holds because of (2.15) (see also [4, Prop.1.4.6]), we have the desired convergence of  $\psi_i \circ f_i$  to  $\psi \circ f$ .  $\square$

**Proposition 7.3** Assume that  $(Y_i, d_i, m_i)$  and  $(Y, d_Y, m_Y)$  are non-collapsed, namely,  $m_{Y_i} = \mathcal{H}^N$  and  $m_Y = \mathcal{H}^N$  are satisfied. Let  $\epsilon_i \rightarrow \epsilon$ ,  $t_i \rightarrow t$ ,  $\tau_i \rightarrow \tau$  be convergent sequences in  $(0, \infty)$ . Then if a sequence of points  $y_i \in \mathcal{R}_{Y_i}(\epsilon_i, t_i, \tau_i)$  converge to a point  $y \in Y$  with respect to (7.2), then  $y \in \mathcal{R}_Y(\epsilon, t, \tau)$ .

**Proof** Since for all  $r \in (0, \tau]$  and  $i \in \mathbb{N}$ ,

$$\frac{1}{\mathcal{H}^N(B_r(y_i))} \int_{B_r(y_i)} |g_{Y_i} - c_N t_i^{(N+2)/2} g_{t_i}^{Y_i}| d\mathcal{H}^N \leq \epsilon_i, \quad (7.16)$$

letting  $i \rightarrow \infty$  in (7.16) with [6, Th.5.19] and Proposition 2.29 shows

$$\frac{1}{\mathcal{H}^N(B_r(y))} \int_{B_r(y)} |g_Y - c_N t^{(N+2)/2} g_t^Y| d\mathcal{H}^N \leq \epsilon, \quad (7.17)$$

which completes the proof.  $\square$

**Definition 7.4** (Uniformly weakly smooth subsets with respect to mGH convergence) We say that a sequence of Borel subsets  $A_i$  of  $Y_i$  is said to be *uniformly weakly smooth* for  $\{(t_i, \tau_i)\}_i$  if for any  $\epsilon \in (0, 1)$ , there exists  $i \in \mathbb{N}$  such that

$$A_i \subset \bigcap_{j \geq i} \mathcal{R}_{Y_i}(\epsilon, t_j, \tau_j), \quad \forall i \in \mathbb{N} \quad (7.18)$$

holds.

Let us introduce a compactness result for Sobolev maps with respect to the measured Gromov-Hausdorff convergence.

**Theorem 7.5** *Assume that  $(Y_i, d_i, m_i)$  and  $(Y, d_Y, m_Y)$  are non-collapsed. Let  $R \in (0, \infty]$  and let  $f_i : B_R(x_i) \rightarrow Y_i$  be a Sobolev map. In addition, assume that the following two conditions hold.*

1. *The sequence  $\{f_i(B_R(x_i) \setminus D_i)\}_i$  is uniformly weakly smooth for some  $\{(t_i, \tau_i)\}_i$  and some  $m_{X_i}$ -negligible subsets  $D_i$  of  $B_R(x_i)$ .*
2. *We have*

$$\liminf_{i \rightarrow \infty} \mathcal{E}_{B_R(x_i), Y_i}(f_i) < \infty. \quad (7.19)$$

*Then after passing to a subsequence, there exists a Sobolev map  $f : B_R(x) \rightarrow Y$  such that  $f(B_R(x) \setminus D)$  is uniformly smooth for  $\{(t_i, \tau_i)\}_i$  for some  $m_X$ -negligible set  $D$ , that  $\psi_i \circ f_i$   $L^2_{\text{loc}}$ -strongly converge to  $\psi \circ f$  on  $B_R(x)$  for any uniformly convergent sequence of equi-Lipschitz functions  $\psi_i$  on  $Y_i$  to  $\psi$  on  $Y$  and that*

$$\liminf_{i \rightarrow \infty} \int_{B_R(x_i)} \varphi_i e_{Y_i}(f_i) dm_{X_i} \geq \int_{B_R(x)} \varphi e_Y(f) dm_X \quad (7.20)$$

*for any  $L^2_{\text{loc}}$ -strongly convergent sequence  $\varphi_i \rightarrow \varphi$  with  $\varphi_i \geq 0$  and  $\sup_i \|\varphi_i\|_{L^\infty} < \infty$ . In particular,*

$$\liminf_{i \rightarrow \infty} \mathcal{E}_{B_R(x_i), Y_i}(f_i) \geq \mathcal{E}_{B_R(x), Y}(f). \quad (7.21)$$

**Proof** The proof is essentially same to that of Theorem 5.23. It is trivial that after passing to a subsequence, with no loss of generality, we can assume  $\sup_i \mathcal{E}_{B_R(x_i), Y_i}(f_i) < \infty$ . Thus by (5.79), we know

$$\sup_{i,l} t_i^{(N+2)/2} \mathcal{E}_{B_R(x_i), Y_i, t_i}(f_i) < \infty. \quad (7.22)$$

Thus Theorem 7.2 with Lemma 7.1 and Proposition 7.3 allows us to prove that after passing to a subsequence, there exists a map  $f : B_R(x) \rightarrow Y$  such that  $f$  is a  $t_i$ -Sobolev map for any  $i$  and that  $f(B_R(x) \setminus D)$  is uniformly smooth associated with  $\{(t_i, \tau_i)\}_i$  for some  $m_X$ -negligible set  $D$ . The remaining statements follows from the proofs of Theorems 5.23 and 7.2 with Theorem 2.33.  $\square$

**Remark 7.6** In Theorems 7.2 and 7.5, if we consider the case when  $(X_i, d_{X_i}, m_{X_i}, x_i) \equiv (X, d_X, m_X, x)$  and  $(Y_i, d_{Y_i}, \mathcal{H}^N) \equiv (Y, d_Y, \mathcal{H}^N)$ , then thanks to the dominated convergence theorem, the  $L^2_{\text{loc}}$ -strong convergence of  $\psi_i \circ f_i$  for any  $\psi_i$  is equivalent to the  $m_X$ -a.e. pointwise convergence of  $f_i$ , up to passing to a subsequence (recall the proofs of Proposition 3.4 and of Corollary 5.23).

Finally let us end this section by giving a compactness result for Lipschitz maps defined on Borel subsets.



**Theorem 7.7** Assume that  $(Y_i, d_i, m_i)$  and  $(Y, d_Y, m_Y)$  are non-collapsed. Let  $A_i, A$  be Borel subsets of  $X_i, X$ , respectively, let  $L \in (0, \infty)$  and let  $f_i : A_i \rightarrow Y_i$  be a sequence of  $L$ -Lipschitz maps. In addition, assume that the following two conditions hold.

1. The sequence  $\{f_i(A_i \setminus D_i)\}_i$  is uniformly weakly smooth for some  $\{(t_i, \tau_i)\}_i$  and some  $m_{X_i}$ -negligible subsets  $D_i$  of  $A_i$ .
2. The functions  $1_{A_i} L_{\text{loc}}^2$ -strongly converge to  $1_A$ .

Then after passing to a subsequence, there exist a Borel subset  $\tilde{A}$  of  $A$  and an  $L$ -Lipschitz map  $f : \tilde{A} \rightarrow Y$  such that  $m_X(A \setminus \tilde{A}) = 0$  holds, that  $f(\tilde{A})$  is uniformly smooth associated with  $\{(t_i, \tau_i)\}_i$ , that  $\psi_i \circ f_i L_{\text{loc}}^2$ -strongly converge to  $\psi \circ f$  on  $\tilde{A}$  for any uniformly convergent sequence of equi-Lipschitz functions  $\psi_i$  on  $Y_i$  to  $\psi$  on  $Y$  and that

$$\liminf_{i \rightarrow \infty} \int_{A_i} \varphi_i e_{Y_i}(f_i) dm_{X_i} \geq \int_A \varphi e_Y(f) dm_X \quad (7.23)$$

for any  $L_{\text{loc}}^2$ -strongly convergent sequence  $\varphi_i \rightarrow \varphi$  with  $\varphi_i \geq 0$  and  $\sup_i \|\varphi_i\|_{L^\infty} < \infty$ . In particular,

$$\liminf_{i \rightarrow \infty} \mathcal{E}_{A_i, Y_i}(f_i) \geq \mathcal{E}_{A, Y}(f). \quad (7.24)$$

**Proof** For any  $i, j$ , applying Macshane's lemma for  $\varphi_i^{Y_j} \circ f_j$ , there exist a Lipschitz function  $F_{j,i} : X_j \rightarrow \mathbb{R}$  such that  $\text{Lip} F_{j,i} \leq \text{Lip} \varphi_i^{Y_j} \cdot L$  holds and that  $F_{j,i} \equiv \varphi_i^{Y_j} \circ f_j$  holds on  $A_j$ . Thus by Theorem 2.31, after passing to a subsequence for any  $i$ , there exists a Lipschitz function  $F_i : X \rightarrow \mathbb{R}$  such that  $F_{j,i}$  uniformly converge to  $F_i$  on any bounded subset of  $X$  and that  $dF_{j,i} L_{\text{loc}}^2$ -weakly converge to  $dF_i$ . In particular (recalling (2.45)) letting  $F_j^t := (e^{-\lambda_i^{Y_j} t} F_{j,i})_i : X_j \rightarrow \ell^2$  uniformly converge to  $F^t := (e^{-\lambda_j^{Y_j} t} F_i)_i : X \rightarrow \ell^2$  on any bounded subset of  $X$ . Then Lemma 7.1 ensures that there exists a Borel subset  $\tilde{A}$  of  $A$  such that  $m_X(A \setminus \tilde{A}) = 0$  holds, that  $F^t(A) \in \Phi_t^{\ell^2}(Y)$  holds and that the map  $f := (\Phi_t^{\ell^2})^{-1} \circ F^t$  on  $\tilde{A}$  does not depend on  $t$  and it is an  $L$ -Lipschitz function, where we used the pointwise convergence of  $f_j$  to  $f$  for the last statement. Then the remaining statements follow from Proposition 7.3, the proofs of Theorems 3.4 and 7.2, and a fact that  $1_{A_j} dF_{j,i} L_{\text{loc}}^2$ -weakly converge to  $1_A dF_i$ .  $\square$

**Acknowledgements** The first author acknowledges supports of Grant-in-Aid for Scientific Research (B) of 18H01118, of 20H01799, of 21H00977 and Grant-in-Aid for Transformative Research Areas (A) of 22H05105. The second author is partially supported by the Simons foundation and NSF under DMS grant 2154219, "Regularity vs singularity formation in elliptic and parabolic equations". The authors thank the anonymous referee for the very careful review and several helpful suggestions that improved the presentation.

## Declarations

**Conflict of interest** The authors declare no conflict of interest.

## 8 Appendix: Locally Bi-Lipschitz Embeddability by Heat Kernel in General Case

In this appendix, we will generalize several results proved in Sect. 4 to general finite dimensional RCD spaces. For any two  $\mathbf{m}_X$ -a.e. symmetric tensors  $T_i (i = 1, 2) \in L^2((T^*)^{\otimes 2}(A, \mathbf{d}_X, \mathbf{m}_X))$  over a Borel subset  $A$  of an RCD space  $(X, \mathbf{d}_X, \mathbf{m}_X)$ , we say that  $T_1 \leq T_2$  holds for  $\mathbf{m}_X$ -a.e.  $x \in A$  if

$$T_1(V, V) \leq T_2(V, V), \quad \text{for } \mathbf{m}_X - \text{a.e. } x \in A \quad (8.1)$$

for any  $V \in L^\infty(T(A, \mathbf{d}_X, \mathbf{m}_X))$ . Recall (2.1) for the notation of  $\Psi$  and recall  $g_t := \Phi_t^* g_{L^2}$ . The following gives a variant of Proposition 4.4.

**Proposition 8.1** *Let  $\epsilon \in (0, 1)$ ,  $K \in \mathbb{R}$ ,  $N \in [1, \infty)$  and  $C_1, C_2, d, t, \epsilon \in (0, \infty)$  with  $C_1 \leq C_2$  and let  $(X, \mathbf{d}_X, \mathbf{m}_X, x)$  be a pointed compact RCD( $K, N$ ) space with  $\text{diam}(X, \mathbf{d}_X) \leq d$ . If there exist a Borel subset  $A$  of  $X$  and  $r \in (0, d]$  such that*

$$C_1 g_X \leq g_t \leq C_2 g_X, \quad (8.2)$$

*holds for  $\mathbf{m}_X$ -a.e.  $z \in A$ , that*

$$\frac{\mathbf{m}_X(B_r(x) \cap A)}{\mathbf{m}_X(B_r(x))} \geq 1 - \epsilon; \quad (8.3)$$

*holds and that  $(X, r^{-1}\mathbf{d}_X, \mathbf{m}_X(B_r(x))^{-1}\mathbf{m}_X, x)$  is  $\epsilon$ -pointed measured Gromov-Hausdorff close to  $(\mathbb{R}^n, \mathbf{d}_{\mathbb{R}^n}, \omega_n^{-1}\mathcal{H}^n, 0_n)$ , then we have*

$$\begin{aligned} C_1 \mathbf{d}_X(y, z) - \Psi C_1 r &\leq \|\Phi_t(y) - \Phi_t(z)\|_{L^2} \\ &\leq C_2 \mathbf{d}_X(y, z) + \Psi C_2 r, \quad \forall y, \forall z \in B_r(x), \end{aligned} \quad (8.4)$$

*where  $\Psi := \Psi(\epsilon, r; K, N, C_1, C_2, d, t)$ .*

**Proof** The proof is done by a contradiction. If not, then there exist  $\tau \in (0, 1)$ , a sequence of pointed RCD( $K, N$ ) spaces  $(X_i, \mathbf{d}_{X_i}, \mathbf{m}_{X_i}, x_i)$ , a convergent sequence  $r_i \rightarrow 0^+$ , a sequence of Borel subsets  $A_i$  of  $X_i$  and sequences of  $y_i, z_i \in B_{r_i}(x_i)$  such that

- we have

$$C_1 g_{X_i} \leq g_{r_i}^{X_i} \leq C_2 g_{X_i}, \quad \text{for } \mathbf{m}_{X_i} - \text{a.e. } z \in A_i, \quad (8.5)$$

- we have

$$\frac{\mathbf{m}_{X_i}(B_{r_i}(x_i) \cap A_i)}{\mathbf{m}_{X_i}(B_{r_i}(x_i))} \rightarrow 1, \quad (8.6)$$

- we have

$$\left( X_i, r_i^{-1} \mathbf{d}_{X_i}, \mathbf{m}_{X_i}(B_{r_i}(x_i))^{-1} \mathbf{m}_{X_i}, x_i \right) \xrightarrow{\text{pmGH}} (\mathbb{R}^n, \mathbf{d}_{\mathbb{R}^n}, \omega_n^{-1} \mathcal{H}^n, 0_n), \quad (8.7)$$

- either

$$\|\Phi_t^{X_i}(y_i) - \Phi_t^{X_i}(z_i)\|_{L^2} < C_1 \mathbf{d}_{X_i}(y_i, z_i) - C_1 \tau r_i \quad (8.8)$$

or

$$C_2 \mathbf{d}_{X_i}(y_i, z_i) + C_2 \tau r_i < \|\Phi_t^{X_i}(y_i) - \Phi_t^{X_i}(z_i)\|_{L^2} \quad (8.9)$$

is satisfied.

Let us consider functions on  $(X_i, r_i^{-1} \mathbf{d}_{X_i})$  defined by

$$\bar{\varphi}_{i,j} := \frac{e^{-\lambda_j^{X_i} t}}{r_i} \left( \varphi_j^{X_i} - \frac{1}{\mathbf{m}_{X_i}(B_{r_i}(x_i))} \int_{B_{r_i}(x_i)} \varphi_j^{X_i} \, \mathrm{d} \mathbf{m}_{X_i} \right). \quad (8.10)$$

Then by an argument similar to the proof of Proposition 4.4, after passing to a subsequence, there exists a Lipschitz map  $\bar{\Phi} := (\bar{\varphi}_j)_j : \mathbb{R}^n \rightarrow \ell^2$  such that the maps  $\bar{\Phi}_t^{\ell^2} := (\bar{\varphi}_{i,j})_j : (X_i, r_i^{-1} \mathbf{d}_{X_i}) \rightarrow \ell^2$  uniformly converge to  $\bar{\Phi}$  on any bounded subset of  $\mathbb{R}^n$  and that each  $\bar{\varphi}_j$  is linear. Thanks to (8.5) and (8.6) with [4, Th.1.10.2], it is easily checked that

$$C_1 g_{\mathbb{R}^n} \leq \bar{\Phi}^* g_{\ell^2} \leq C_2 g_{\mathbb{R}^n} \quad (8.11)$$

holds on  $B_1(0_n)$ . Thus by the linearity of  $\bar{\varphi}_j$ , (8.11) holds on  $\mathbb{R}^n$  with

$$C_1 \mathbf{d}_{\mathbb{R}^n}(z, w) \leq \|\bar{\Phi}(z) - \bar{\Phi}(w)\|_{\ell^2} \leq C_2 \mathbf{d}_{\mathbb{R}^n}(z, w), \quad \forall z, \forall w \in \mathbb{R}^n. \quad (8.12)$$

On the other hand, after passing to a subsequence, we have  $y_i \rightarrow y, z_i \rightarrow z$  with respect to (8.7) for some  $y, z \in \overline{B_1(0_n)}$ . Then (8.8) and (8.9) imply that either

$$\|\bar{\Phi}(y) - \bar{\Phi}(z)\|_{\ell^2} \leq C_1 \mathbf{d}_{\mathbb{R}^n}(y, z) - C_1 \tau \quad (8.13)$$

or

$$C_2 \mathbf{d}_{\mathbb{R}^n}(y, z) + C_2 \tau \leq \|\bar{\Phi}(y) - \bar{\Phi}(z)\|_{\ell^2} \quad (8.14)$$

is satisfied, which contradicts (8.12).  $\square$

We are now in a position to introduce the desired bi-Lipschitz embeddability for  $\Phi_t$ . Recall that  $t \mathbf{m}_X(B_{\sqrt{t}}(\cdot)) g_t$   $L^p$ -strongly converge to  $\bar{c}_n g$  on  $X$  for any  $p \in [1, \infty)$  (see Subsect. 2.9).

**Theorem 8.2** (Bi-Lipschitz embedding) *Let  $(X, d_X, m_X)$  be a finite dimensional compact RCD space. Then for any  $\epsilon \in (0, 1)$ , there exists  $t_0 \in (0, 1)$  such that for any  $t \in (0, t_0]$ , there exists a compact subset  $X_{\epsilon, t}$  of  $X$  such that  $m_X(X \setminus X_{\epsilon, t}) \leq \epsilon$  holds and that  $\Phi_t|_{X_{\epsilon, t}}$  is a bi-Lipschitz embedding.*

**Proof** Let us denote by  $n$  the essential dimension of  $(X, d_X, m_X)$  and assume that  $(X, d_X, m_X)$  is an RCD( $K, N$ ) space with  $\text{diam}(X, d_X) \leq d < \infty$  and  $m_X(X) = 1$ . Fix a sufficiently small  $\delta \in (0, 1)$ . Thanks to Theorem 2.7, there exist  $\tau, \tau_1, \tau_2 \in (0, \infty)$  with  $\tau_1 \leq \tau_2$  and a Borel subset  $A_1$  of  $X$  such that

$$\tau_1 \leq \frac{m_X(B_r(x))}{r^n} \leq \tau, \quad \forall r \in (0, \tau), \quad \forall x \in A_1 \quad (8.15)$$

and that  $m_X(X \setminus A_1) \leq \delta$  holds. Find  $t_0 \in (0, 1)$  with

$$\int_X |\bar{c}_n g - t m_X(B_{\sqrt{t}}(\cdot)) g_t| dm_X < \delta, \quad \forall t \in (0, t_0]. \quad (8.16)$$

Fix  $t \in (0, t_0]$  and put

$$A_2 := \left\{ x \in X; \sup_{r>0} \frac{1}{m_X(B_r(x))} \int_{B_r(x)} |\bar{c}_n g - t m_X(B_{\sqrt{t}}(\cdot)) g_t| dm_X \leq \delta^{1/2} \right\}. \quad (8.17)$$

Then the maximal function theorem shows

$$m_X(X \setminus A_2) \leq \frac{C(K, N, d)}{\delta^{1/2}} \int_X |\bar{c}_n g - t m_X(B_{\sqrt{t}}(\cdot)) g_t| dm_X \leq C(K, N, d) \delta^{1/2}. \quad (8.18)$$

Note that we can find  $C_1, C_2 \in (0, \infty)$  with

$$C_1 g_X \leq g_t \leq C_2 g_X, \quad \text{for } m_X - \text{a.e. } x \in A_2. \quad (8.19)$$

Then fix a sufficiently small  $\epsilon \in (0, 1)$ . Thanks to Theorem 2.7 again, there exist  $\tau_3 \in (0, 1)$  and a Borel subset  $A_3$  of  $A_1 \cap A_2$  such that  $m_X((A_1 \cap A_2) \setminus A_3) < \epsilon$  holds and that the rescaled space  $(X, r^{-1} d_X, m_X(B_r(x))^{-1} m_X, x)$  is  $\epsilon$ -pointed measured Gromov-Hausdorff close to  $(\mathbb{R}^n, d_{\mathbb{R}^n}, \omega_n^{-1} \mathcal{H}^n, 0_n)$  for all  $x \in A_3$  and  $r \in (0, \tau_3)$ . Applying (2.47), there exist  $\tau_4 \in (0, \epsilon]$  and a Borel subset  $A_4$  of  $A_3$  such that  $m_X(A_3 \setminus A_4) < \epsilon$  and

$$\frac{m_X(B_r(x) \cap A_3)}{m_X(B_r(x))} \geq 1 - \epsilon, \quad \forall x \in A_4, \quad \forall r \in (0, \tau_4] \quad (8.20)$$

hold. Then applying Proposition 8.1 as  $A = A_3$  and  $x \in A_4$  shows that

$$C_1 d_X(y, z) - \Psi C_1 r \leq \|\Phi_t(y) - \Phi_t(z)\|_{L^2} \leq C_2 d_X(y, z) + \Psi C_2 r \quad (8.21)$$

holds for all  $r \in (0, \tau_4]$  and  $y, z \in B_r(x)$ , where  $\Psi := \Psi(\epsilon; K, N, C_1, C_2, d, t)$  (recall  $r < \tau_4 \leq \epsilon$ ). In particular, for all  $x \in A_4$  and  $y, z \in B_{\tau_4/4}(x) \cap A_4$  with  $y \neq z$ ,

letting  $r := d_X(y, z)$  with (8.21) yields

$$C_1(1 - \Psi)d_X(y, z) \leq \|\Phi_t(y) - \Phi_t(z)\|_{L^2} \leq C_2(1 + \Psi)d_X(y, z) \quad (8.22)$$

which proves that  $\Phi_t|_{A_4}$  is a locally bi-Lipschitz embedding. Replacing  $A_4$  by a compact subset  $A_5$  of  $A_4$  with  $m_X(A_4 \setminus A_5) < \epsilon$ , we can easily prove that  $\Phi_t|_{A_5}$  is a bi-Lipschitz embedding. Thus we conclude because  $\delta, \epsilon$  are arbitrary.  $\square$

Similarly we are able to prove the following finite dimensional reduction of the above result. We omit the proof. Compare with Theorem 4.6.

**Theorem 8.3** *Let  $(X, d_X, m_X)$  be a finite dimensional compact RCD space. Then for any  $\epsilon \in (0, 1)$ , there exists  $t_0 \in (0, 1)$  such that for any  $t \in (0, t_0]$ , there exists a compact subset  $X_{\epsilon, t}$  of  $X$  such that  $m_X(X \setminus X_{\epsilon, t}) \leq \epsilon$  holds and that  $\Phi_t|_{X_{\epsilon, t}}$  is a bi-Lipschitz embedding for any sufficiently large  $l$ .*

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