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**Boring but Demanding: Using Secondary Tasks to Counter the Driver Vigilance
Decrement for Partially Automated Driving**

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Précis: Driving-related and non-driving related secondary tasks were added to a simulated, prolonged partially driving task. Non-driving related secondary task mitigated the vigilance decrement. Resource depletion and disengagement were both shown to be causes of the vigilance decrement.

Topic choice: Surface Transportation

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Abstract

Objective. We investigated secondary-task-based countermeasures to the vigilance decrement during a simulated partially automated driving (PAD) task, with the goal of understanding the underlying mechanism of the vigilance decrement and maintaining driver vigilance in PAD.

Background. Partial driving automation requires a human driver to monitor the roadway, but humans are notoriously bad at monitoring tasks over long periods of time, demonstrating the vigilance decrement in such tasks. The overload explanations of the vigilance decrement predicted the decrement to be worse with added secondary tasks due to increased task demands and depleted attentional resources, whereas the underload explanations predicted the vigilance decrement to be alleviated with secondary tasks due to increased task engagement.

Method. Participants watched a driving video simulating PAD and were required to identify hazardous vehicles throughout the 45-min drive. A total of 117 participants were assigned to three different vigilance-intervention conditions including a driving-related secondary task (DR) condition, a non-driving-related secondary task (NDR) condition, and a control condition with no secondary tasks.

Results. Overall, the vigilance decrement was shown overtime, reflected in increased response times, reduced hazard detection rates, reduced response sensitivity, shifted response criterion, and subjective reports on task-induced stress. Compared to the DR and the control conditions, the NDR displayed mitigated vigilance decrement.

Conclusion. This study provided convergent evidence for both resource depletion and disengagement as sources of the vigilance decrement.

Application. The practical implication is that infrequent and intermittent breaks using a non-driving related task may help alleviate the vigilance decrement in PAD systems.

55 *Keywords:* sustained attention, driver vigilance, resource depletion, task engagement, driving

56 automation systems

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58 **Boring and Demanding: Using Secondary Tasks to Counter the Driver Vigilance**
59 **Decrement for Partially Automated Driving**

60 The current partially automated driving (PAD) systems still require the human driver to
61 monitor the driving scene to look out for unexpected problems in the roadway. Drivers must
62 maintain sustained attention, or vigilance, to watch for any potential issues, which is a
63 challenging task for humans (Greenlee et al., 2018; Körber et al., 2015). The act of monitoring
64 the roadway while the automation does much of the primary driving task can quickly become
65 monotonous and boring over time. Drivers tend to respond more slowly and make fewer safe
66 responses to dangerous events as time goes on, resulting in what is commonly referred to as the
67 vigilance decrement (Parasuraman, 1986). PAD still requires the human driver to remain
68 vigilant, yet the driving task becomes more monotonous than manual driving, which imposes
69 greater challenges for driving safety. Our study examined this vigilance decrement issue in the
70 context of PAD to develop strategies to mitigate the decrement through various secondary tasks
71 and to further understand the theoretical underpinnings of the vigilance decrement.

72 **Vigilance**

73 Vigilance refers to the ability to pay attention and maintain focus on a task while
74 responding to infrequent, unpredictable target stimuli over prolonged periods of time
75 (Parasuraman, 1986; Warm et al., 2008). Vigilance is required for many common tasks including
76 manual driving, and the vigilance decrement has been shown to be robust in this task (Larue et
77 al., 2010; Thiffault & Bergeron, 2003). The vigilance decrement has also been shown in PAD,
78 for which the human driver is required to monitor the environment for occasional although
79 critical hazardous signals.

80 To understand the vigilance tasks, a few terms need to be defined. There have been two
81 distinct task paradigms used to study vigilance: discrimination and identification. In
82 discrimination tasks, there are events that occur at relatively regular intervals and a small portion
83 of those events are defined as the critical signals. Participants are required to discriminate the
84 signal from the other, noise events. In identification tasks, there are no defined noise events, and
85 participants are to respond to the signal events that occur at random times, thus requiring
86 operators to hold extra information in working memory during the task. Event rate is how often
87 the events, including both the signal and noise events, if any, occur over a period of time, so it
88 applies to both the identification and discrimination paradigms. Signal probability is defined as
89 the percentage of the signal events among all events, and thus only applies to the discrimination
90 paradigm. The type of task and vigilance events can influence how the operator performs in a
91 vigilance task. In contrast to some vigilance tasks that require operators to hold specific
92 information in working further and consequently increase workload, driving tasks mostly have
93 responses that come naturally to licensed drivers, so they already know what to look out for.

94 For the discrimination paradigm, signal detection theory (SDT) can be used to depict
95 participant's performance, showing shifts in operators' responses which can explain potential
96 underlying attentional shifts (Green & Swets, 1966). Response sensitivity refers to the ability to
97 correctly distinguish the signal from the noise, and response bias is the tendency of the individual
98 to report the presence of the signal (See et al., 1995). When an individual says "yes" (i.e., target
99 present) to a signal it is considered a *hit* and saying "no" (i.e., target absent) to a signal is a *miss*.
100 Alternatively, saying "yes" to noise is a *false alarm* and saying "no" to noise is a *correct*
101 *rejection*.

102 Theories of the vigilance decrement are comprised of two general categories that describe
103 the cause of the decrement: underload and overload. Among the underload explanations, the
104 arousal-based theory has been the key explanation of the vigilance decrement (Welford, 1968).
105 The arousal theory postulates that performance is low when arousal is at low levels. During a
106 vigilance task, because the individual is attending to rare signals in a monotonous task, they
107 become under stimulated over time and their arousal level decreases. An important feature of the
108 vigilance task is that signal rates are low, leading to long gaps between events that require
109 attention. The mindlessness theory (Manly et al., 1999) proposes that sustained attention is
110 determined by an internal supervisory ability to control attention, and the attentional control
111 wanes when signal rates are low due to a lack of external stimulation. This leads to an absent-
112 minded approach where the signal is lost in the monotony of the task due to attentional drift.
113 Research looking at heart rate and respiration during vigilance tasks demonstrated decrements in
114 each along with decreased performance over time, providing evidence for under-arousal and
115 disengagement (Pattyn et al., 2008). Expanding on the mindlessness theory, the mind-wandering
116 hypothesis (Robertson et al., 1997; Smallwood et al., 2004; Smallwood & Schooler, 2006)
117 postulates that attention is shifted away from the central task toward internal, task-unrelated
118 thoughts during the vigilance task, thus resulting in a decrement of vigilance. Both the arousal
119 and mindlessness theories of the vigilance decrement can be considered as underload theories
120 that attribute the vigilance decrement to the operator being underloaded.

121 In contrast to the underload theories, there are theories that attribute the vigilance
122 decrement to the operator being overloaded. The earlier sustained demand theory focuses on the
123 information processing demands of the vigilance task, and posits that arousal and stress increase
124 due to the high demands of maintaining attention (Parasuraman, 1979). The continual effortful

125 demand of information processing leads to high mental workload, particularly in vigilance tasks
126 that require more difficult discriminations, resulting in higher stress and decreased vigilance over
127 time (Deaton & Parasuraman, 1993; Warm et al., 1996, 2008). The resource theory of vigilance,
128 based on attentional resource models such as the unitary resource model (Kahneman, 1973) and
129 the multiple resource model (Wickens, 2002), expands this idea of stress and mental demand
130 based on evidence of the depletion of attentional resources in vigilance tasks (Grier et al., 2003;
131 Warm et al., 2008). The resource theory has been supported by findings showing that vigilance
132 tasks are demanding, involve high workload, and induce stress (Johnson & Proctor, 2004;
133 Szalma et al., 2004; Warm et al., 1996). When vigilance tasks require discriminations based on
134 cognitive information (e.g., memory), the decrement is likely to be larger because of the higher
135 mental demand than when discriminations are based on sensory information (e.g., color; See et
136 al., 1995; Warm et al., 2008). Further evidence for the resource theory showed that resources
137 were depleted as time progressed in a vigilance task, and that more demanding tasks show larger
138 vigilance decrements (Greenlee et al., 2019; Helton & Russell, 2011; Helton & Warm, 2008).

139 The underload and overload vigilance theories have important implications for the design
140 of intervention strategies to mitigate the driver vigilance decrement in automated driving.

141 According to the underload theories, more engaging tasks should result in fewer attentional
142 lapses over time. More interesting tasks should sustain arousal leading to less mind-wandering
143 caused by underload. Furthermore, added stimulation via alternative tasks would improve overall
144 arousal, preventing unwanted attentional withdrawal and disengagement (Pattyn et al., 2008;
145 Smallwood et al., 2004). However, the overload theories suppose that manipulations of task
146 engagement should have no effect or should increase the vigilance decrement due to increased
147 task demands (Thomson et al., 2016). If the individual is already depleting their pool of

148 resources, engagement should not matter and adding additional tasks would further overload
149 them, leading to more stress and workload (Epling et al., 2019; Wickens, 2002). Both of these
150 implications are informative for the design of intervention strategies. If the vigilance decrement
151 is due to an individual being overloaded, actions can be taken to reduce their cognitive load so
152 that vigilance will be maintained for detecting safety-critical signals. In contrast, if vigilance has
153 waned due to low arousal and mind wandering, stimulating and engaging the individual will
154 benefit sustained attention on the task (Hancock & Verwey, 1997; Warm et al., 2008).

155 **Vigilance in Driving Automation Systems**

156 The level of automation for most current semi-autonomous vehicles is SAE Level 2
157 (partial) automation (SAE, 2021). Level 2 automation requires the human driver to supervise the
158 driving automation system while the system maintains sustained lateral and longitudinal vehicle
159 motion control. The human driver is responsible for object and event detection and recognition
160 during the driving task and must respond appropriately if the driving automation system is
161 unable to avoid a hazard or object in the roadway. The human must always maintain their
162 attention on the primary driving task to supervise the travel, leaving them with the difficulty of
163 staying vigilant for any unforeseen hazards.

164 As previously discussed, human drivers using a driving automation system are required
165 to monitor the system's actions, which becomes a vigilance task over time. Greenlee and
166 colleagues (2018) conducted a study to determine if the vigilance decrement could be observed
167 for drivers monitoring the roadway for hazards during PAD. They found that the hazard
168 detection rate declined over time and reaction time (RT) to hazards slowed as the drive went on.
169 Participants' workload and subjective stress ratings indicated that the sustained monitoring task
170 was demanding and distressing. As a follow-up, Greenlee et al. (2019) added manipulations of

171 spatial uncertainty of the signals and event rate to investigate effects of task demands in
172 vigilance performance. Their high spatial uncertainty as well as fast event rate served to increase
173 monitoring demands in comparison to low spatial uncertainty and slow event rate. Their results
174 showed that detection performance was worse with higher monitoring demands, indicating that
175 driver overload is likely the reason for the vigilance decrement in partially automated driving.

176 While the PAD task makes it more of a vigilance task than manual driving, it also
177 potentially leads the human driver to perform secondary tasks more often. Depending on the
178 underlying root of vigilance (i.e., overload or underload), performing secondary tasks may
179 seemingly cost drivers' performance in their main vigilance task of monitoring the driving
180 environment. However, secondary tasks may be beneficial in terms of vigilance maintenance
181 when strategically designed.

182 **Benefits of Secondary Tasks**

183 There has been some evidence that utilizing secondary tasks can help alleviate the
184 vigilance decrement. In air traffic control, vigilance decrements were negated when a secondary
185 task of clicking on each aircraft as it entered the airspace was added to an air traffic control
186 monitoring task (Pop et al., 2012). In manual driving, the effect of added tasks was examined on
187 truck driving performance in a prolonged simulated driving task (Drory, 1985). When a brief
188 voice-communication task was added every 15 min, driving performance significantly improved.
189 More recently, benefits of an interactive cognitive task were found when participants answered
190 multiple choice questions regarding general knowledge during prolonged, monotonous drives
191 (Gershon et al., 2009). Similarly, drivers' manual driving performance (e.g., lane keeping,
192 steering control) was improved when drivers were engaged in a verbal secondary task of free
193 word association during a prolonged simulated drive (Atchley & Chan, 2011). These results are

194 consistent with predictions of the underload theories when adding secondary tasks to the
195 vigilance task. It is worth noting that these secondary tasks are mostly infrequent and
196 intermittent, and do not overlap with the primary vigilance tasks.

197 However, studies using more traditional vigilance tasks have shown the cost of secondary
198 tasks. For example, Helton and Russell (2011, 2013) added concurrent verbal and spatial
199 secondary tasks to a vigilance task of monitoring an infrequent letter *O* among displayed letters
200 of *D* and minor reversed *D*. Larger vigilance decrements were found with the secondary tasks
201 that increased working memory load. In the driving domain, a meta-analysis focusing on manual
202 driving performance found performance costs in terms of hazard detection and number of
203 collisions due to cell phone use, which can be considered a secondary task (Caird et al., 2018).
204 These results are consistent with predictions of the overload theories, where the larger vigilance
205 decrements imposed by secondary tasks are likely because they deplete mental resources faster.
206 In contrast to the secondary tasks that are shown to be beneficial, these secondary tasks that
207 worsen the vigilance decrement tend to be continuous, tax working memory, and overlap with
208 the primary vigilance tasks.

209 **CURRENT STUDY**

210 Considering the two theoretical explanations underpinning the vigilance decrement –
211 overload and underload – the current study aimed to examine possible countermeasures
212 leveraging secondary tasks to assuage the decline in vigilance. The theoretical motivation for the
213 current study was to disentangle the overload and underload explanations of the vigilance
214 decrement. The overload explanation predicts that an added secondary task will increase the task
215 demands and worsen the vigilance decrement overtime. The underload explanation predicts that
216 an added secondary task will increase engagement of the driver and thus mitigate the vigilance

217 decrement. Our results comparing the secondary-task conditions with the control condition
218 would provide evidence to distinguish these two theoretical explanations. The practical
219 motivation of the current study was to develop secondary-task-based countermeasures to
220 mitigate the vigilance decrement in PAD. Given this practical motivation, we chose secondary
221 tasks that are infrequent and intermittent. The result was expected to provide insight for
222 designers of partially automated vehicles in consideration of the vigilance decrement.

223 The performance measures used in these studies for manual driving (e.g., lane keeping) are
224 not suitable for measuring driver performance in driving automation systems, where drivers are
225 more likely to perform secondary tasks. In the context of automated driving, Miller et al. (2015)
226 show that, in comparison to an activity of merely supervising the advanced driver assistance
227 system, seemingly distracting activities (e.g., reading, watching videos) indeed reduce the
228 likelihood of driver drowsiness. However, their measure of driver drowsiness was based on
229 visual coding of driver behavior such as yawns and eye closures, and their focus was on
230 predicted and structured transition to driver control where drivers were given 20 s ahead of the
231 transition. The current study used performance-based vigilance measures as well as unpredicted
232 hazardous events.

233 Furthermore, previous studies on driver vigilance in the driving domain only used
234 driving-irrelevant secondary tasks (Atchley & Chan, 2011; Drory, 1985; Gershon et al., 2009),
235 and no study has examined the effects of secondary tasks on the vigilance decrement in the
236 context of PAD. We tested two types of verbal prompts, non-driving related (NDR) and driving-
237 related (DR), interjected throughout the drive to redirect drivers' attention to the driving task at
238 various stages. Participants were in a simulated SAE (2021) Level 2 partially automated vehicle
239 down the roadway while cars passed by at a consistent rate in the opposite lane. Participants

240 needed to monitor the roadway environment and the passing vehicles and were required to
241 respond when a vehicle in the opposing lane crossed over the centerline (i.e., a hazardous event).
242 In the NDR task, participants were asked non-driving related general knowledge questions; in the
243 DR task, participants were asked driving and roadway relevant questions, which required the
244 participants to scan the driving environment in order to answer the questions. According to the
245 underload theories, both types of secondary tasks would mitigate the vigilance decrement in
246 comparison to the control condition, and the DR would mitigate the decrement further than the
247 NDR due to the former being more engaging. According to the overload theories, both types of
248 secondary tasks would worsen the vigilance decrement due to added task demands in comparison
249 to the control condition, and the DR task would lead to a larger decrement than the NDR task
250 due to the former being more demanding visually and taxing the same resources the vigilance
251 task used (Wickens, 2002). Our goal was to determine if these tasks would help maintain
252 vigilance to improve accuracy and RT to the hazardous events without increasing workload
253 compared to a control condition with no intervention.

254 **Method**

255 *Participants.* A total of 117 participants (age: $M = 20.50$, $SD = 3.98$; 86 female, 31 male)
256 were recruited through SONA, an online research participation system. Participants were
257 required to have a valid driver's license. This research complied with the American
258 Psychological Association Code of Ethics and was approved by the Institutional Review Board
259 at Old Dominion University. Informed consent was obtained from each participant. All
260 participants received credit toward course requirements.

261 *Materials.* The study was presented through E-prime 3.0 (pstnet.com/products/e-prime)
262 and contained videos of a simulated driving environment created in STISIM driving simulation

263 software (stisimdrive.com). The study was presented on a Dell 27-inch monitor with a
264 1920×1080 resolution. The Short Stress State Questionnaire (SSSQ; Helton, 2004) was used to
265 measure subjectively reported distress, worry, and engagement before and after the experiment.
266 The NASA-TLX (Hart & Staveland, 1988) was used to measure participants' workload at the
267 end of the experiment.

268 *Procedure.* Participants started by filling out a consent form and demographics
269 information, then took the pre-task SSSQ. Once they completed these questions, they were given
270 instructions that they would be assisting with the training of an automated vehicle and would
271 need to press the spacebar when they saw a vehicle coming from the opposite lane cross the
272 centerline. They were encouraged to respond as quickly as possible once they saw the signal and
273 had a five-second window to respond or else their response was counted as a miss. During a two-
274 minute practice session, participants were shown the situation where they were supposed to
275 respond to the vehicle crossing the centerline (i.e., the hazardous event), and there was a total of
276 six hazardous vehicles among a total of 63 vehicles during this practice drive. The first
277 hazardous vehicle was accompanied by an arrow (see Figure 1) and the researcher walked them
278 through the scenario. The participant responded to the subsequent five hazardous vehicles on
279 their own to ensure their understanding of the task, with the researcher watching to verify that
280 they responded appropriately. There was no training on the secondary task other than instructions
281 given to them at the start of the experimental drive. Participants were able to redo the practice
282 session if they did not fully understand the experiment. Participants proceeded to the
283 experimental drive after they successfully completed the training, identifying at least four out of
284 the remaining five hazards.



285

286 **Figure 1.** Roadway with the hazardous vehicle over the centerline (the arrow was not present
287 during the experimental drive)

288 The experimental section consisted of a 45-minute drive. A total of 1350 vehicles in the
289 opposite lane passed by the participants' vehicle, resulting in a car passing about every two
290 seconds. Among the passing vehicles, there were 68 hazardous ones, resulting in a signal rate of
291 about 5%. The 68 hazardous events were randomly distributed throughout the drive, with none
292 occurring in the first or last two and a half minutes. Participants' responses were indicated by
293 hitting the spacebar and the system would honk in response to the input.

294 The independent variable manipulated in the experimental drive was the vigilance-
295 intervention strategy (between-subjects). Each participant was randomly assigned to one of the
296 three conditions, the control, NDR, or DR condition. The control condition only required
297 participants to respond to the hazardous vehicles by pressing the spacebar on the keyboard. The
298 NDR and DR conditions added secondary voice tasks by asking either driving relevant or non-
299 driving relevant questions at eight unique locations randomly spaced throughout the drive. All
300 visual stimuli for the secondary tasks in the DR condition were located on the right side of the
301 road to ensure they were clearly seen by participants, but were randomly distributed in time to

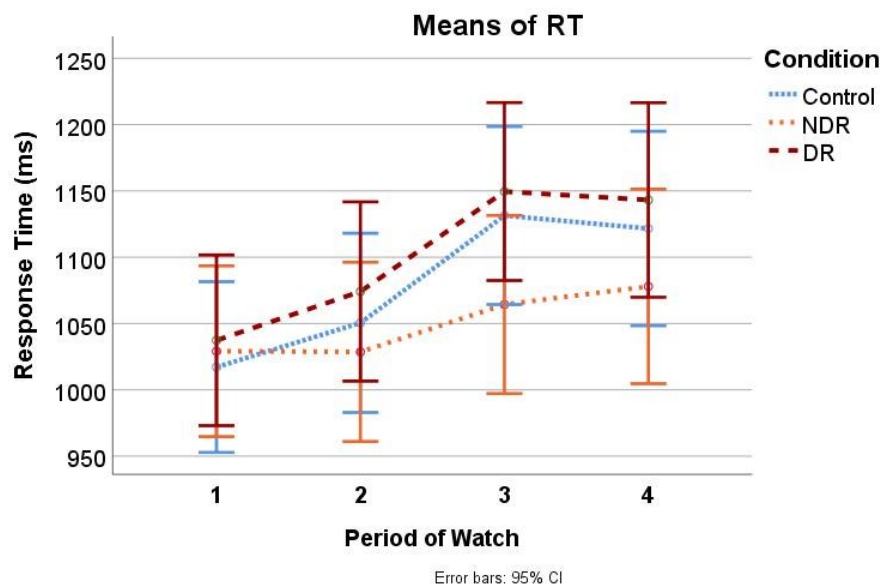
302 ensure that their appearance could not be predicted. All questions were pre-recorded voices and
303 required participants to answer “yes” or “no” verbally. For the NDR condition, the questions
304 were about simple knowledge (e.g., “Is January the first month of the year”). The DR condition
305 contained questions about driving-related objects in the driving environment (e.g., “Is the current
306 speed limit 55 miles per hour?”). The questions in both conditions were matched to be similar for
307 word count and presentation time. There was a total of eight questions in each of the two
308 experimental conditions, and they were randomly distributed throughout the drive to avoid
309 participants predicting the timing of the questions. The questions were not asked at the same time
310 as any hazardous vehicles. Participants answered the questions verbally, and their response was
311 logged on a response sheet by the researcher. At the end of the experiment, participants
312 completed the post-SSSQ and NASA-TLX.

313 The dependent variables included the RT to the hazardous events, hit rate, and false alarm
314 rate of responses. RT was recorded from the time the vehicle started divulging from its lane to
315 cross the centerline until the spacebar was pressed. A response was a hit if the participant
316 correctly identified the hazardous vehicle and pressed the spacebar. A false alarm was defined by
317 the participant indicating a response when there was no hazardous vehicle. We also measured
318 response criterion and sensitivity using SDT calculated with the hit rate and false alarm rate
319 (Stanislaw & Todorov, 1999). Additionally, the ratings for the pre-SSSQ and post-SSSQ were
320 divided into three sections – distress, worry, and engagement – and the mean score changes from
321 pre- to post-SSSQ were calculated. Finally, the NASA-TLX was computed for each aspect
322 (mental workload, effort, physical workload, frustration, temporal workload, performance) and
323 for the overall mean.

324 **Results**

325 The RT, hit, and false alarm data from the individual 68 hazardous events were evenly
 326 divided into four periods of watch (POW) with 17 responses in each. Separate 3 (control, NDR,
 327 DR) \times 4 (period of watch, POW 1-4) repeated-measures Analyses of Variance (ANOVAs) were
 328 conducted on each of the DVs and reported below. Arcsine transformation was conducted on the
 329 hit and false alarm rates for the ANOVAs. In addition, trend analyses were conducted across the
 330 POWs to determine if RTs, hits, and false alarms increased or decreased linearly over time.

331 *Response Time.* The main effect of POW was significant (see Figure 2; $M_s = 1027.87$ ms,
 332 1051.08 ms, 1115.10 ms, 1114.25 ms, for each period 1-4, and $SD_s = 201.27$ ms, 212.01 ms,
 333 212.82 ms, 230.72 ms, respectively), $F(3,342) = 20.27, p < .001, \eta_p^2 = .15$. There was a
 334 significant linear trend, $F(1,114) = 33.12, p < .001, \eta_p^2 = .23$, indicating that RT increased
 335 (responses were slower) over time (as indicated by POW). Neither the main effect of vigilance-
 336 intervention strategy, $F < 1$, nor the interaction between the two factors was significant, $F(6,342)$
 337 $= 1.26, p = .275, \eta_p^2 = .02$.

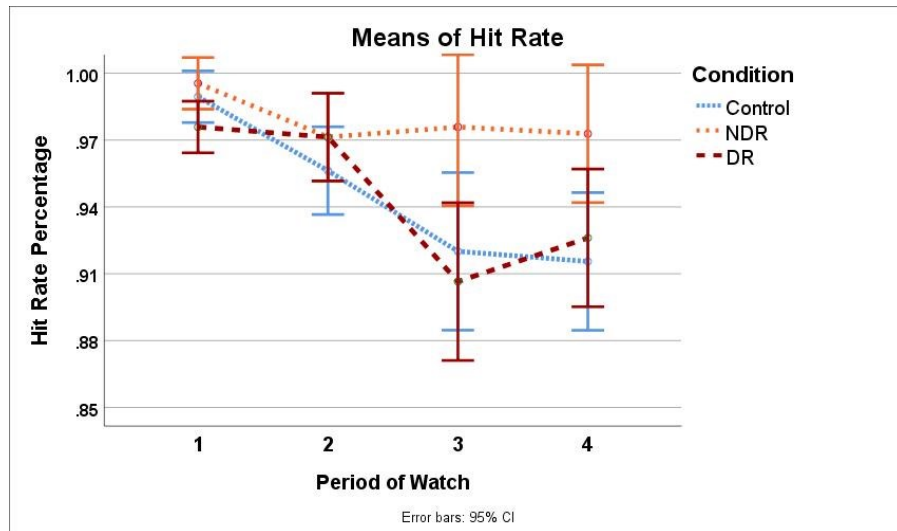


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339 **Figure 2.** Mean response time as a function of period of watch and vigilance-intervention

340 strategy (NDR = non driving related; DR = driving related). Error bars are 95% CIs.

341 *Hit Rate.* The ANOVA on the hit rate (see Figure 3) indicated a significant main effect of
342 POW ($M_s = 98.69\%$, 96.63% , 93.41% , $.93.82\%$, and $SD_s = 3.71\%$, 6.19% , 11.46% , 9.98% , for
343 each period 1-4, respectively), $F(3,342) = 17.73$, $p < .001$, $\eta_p^2 = .13$. There was a significant
344 linear trend of hit rate, $F(1,114) = 40.61$, $p < .001$, $\eta_p^2 = .26$, showing that the hit rate decreased
345 (more hazardous events were missed) over time. The main effect of vigilance-intervention
346 strategy was significant ($M_s = 94.53\%$, 97.97% , 94.54% , for control, NDR, and DR,
347 respectively), $F(2,114) = 5.42$, $p = .006$, $\eta_p^2 = .09$. Post hoc comparisons showed the hit rate for
348 the NDR was significantly different from both the control and DR conditions, $p_s = .003$ and
349 $.010$, respectively. The interaction between the two factors was also significant, $F(6,342) = 2.34$,
350 $p = .031$, $\eta_p^2 = .04$. Simple main effects analyses showed that POW had a significant effect on
351 hit rate for the control condition, $F(3,112) = 10.83$, $p < .001$, $\eta_p^2 = .23$, the NDR condition,
352 $F(3,112) = 2.97$, $p = .035$, $\eta_p^2 = .07$, and the DR condition, $F(3,112) = 6.50$, $p < .001$, $\eta_p^2 = .15$.
353 Pairwise comparisons showed that for the control condition, hit rate between the POWs
354 significantly decreased for periods 1 to 2, $p = .003$, and 2 to 3, $p = .043$, but not from 3 to 4, $p =$
355 $.462$. Similarly for the DR condition, hit rate between the POWs significantly decreased for
356 periods 1 to 2, $p < .001$, and 2 to 3, $p < .001$, but not from 3 to 4, $p = .243$. However, for the
357 NDR condition hit rate only decreased from period one to two, $p = .013$ but not for the
358 subsequent POWs.



359

360 **Figure 3.** Mean hit rate as a function of period of watch and vigilance-intervention strategy

361 (NDR = non driving related; DR = driving related). Error bars are 95% CIs.

362 *False Alarms.* The analysis on false alarm rate showed no significant main effect of POW

363 ($M_s = 2.67\%$, 2.67% , 3.49% , 2.05% and $SD_s = 4.56\%$, 4.13% , 4.87% , 3.71% , for each period 1-

364 4, respectively), $F(3,342) = 2.22$, $p = .085$, $\eta_p^2 = .02$, nor was the vigilance-intervention strategy

365 or interaction significant, $F_s < 1$.

366 *Signal Detection Theory Measures.* SDT analyses were conducted using the non-

367 parametric analysis with A' (response sensitivity) and B''_D (response criterion; See et al., 1997).

368 Response sensitivity (A' ; see Figure 4) showed a significant main effect of POW ($M_s = .991$,

369 $.981$, $.973$, $.978$, and $SD = .01$, $.02$, $.04$, $.03$, for each period 1-4, respectively), $F(3,342) = 13.24$,

370 $p < .001$, $\eta_p^2 = .10$. There was a significant linear trend, $F(1,114) = 22.11$, $p < .001$, $\eta_p^2 = .16$,

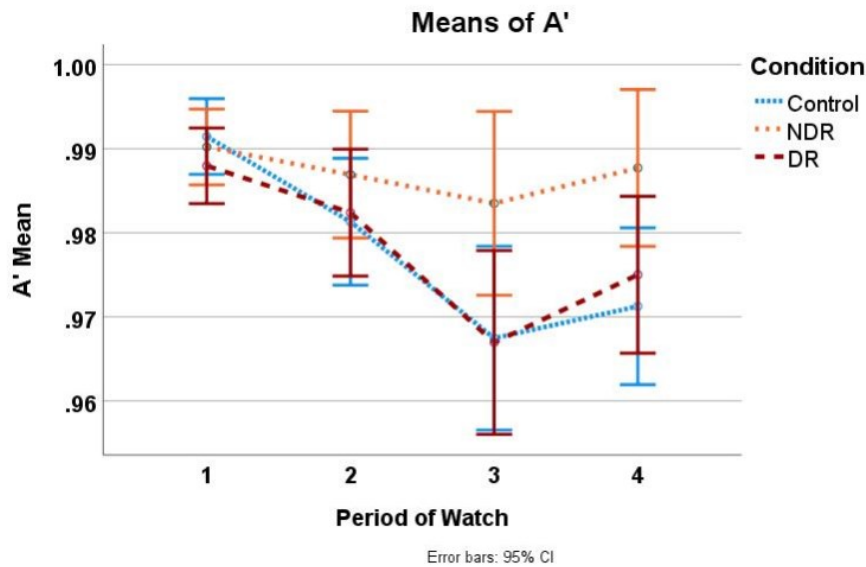
371 indicating that sensitivity generally decreased over time. The main effect of vigilance-

372 intervention strategy was significant, $F(1,114) = 3.15$, $p = .047$, $\eta_p^2 = .05$. Post-hoc comparisons

373 showed that sensitivity for the NDR ($M = .987$) was significantly higher than both the control (M

374 $= .978$) and DR ($M = .978$) conditions, $p_s = .030$ and $.034$, respectively. The interaction between

375 POW and vigilance-intervention strategy was not significant, $F(6,342) = 1.73$, $p = .114$, $\eta_p^2 =$
 376 .03.



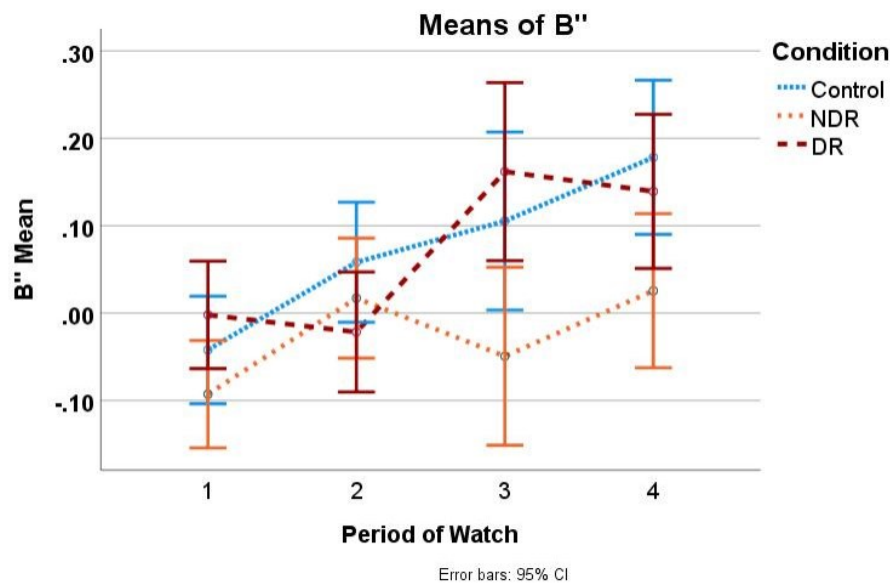
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378 **Figure 4.** Mean response sensitivity as a function of period of watch and vigilance-intervention
 379 strategy (NDR = non driving related; DR = driving related). Error bars are 95% CIs.

380

381 Analysis on response criterion ($B''d$; see Figure 5) showed a significant main effect of
 382 POW, ($M_s = -.046, .018, .073, .114$, and $SD_s = .20, .22, .33, .28$ for each period 1-4,
 383 respectively), $F(3,342) = 10.06$, $p < .001$, $\eta_p^2 = .08$. There was a significant linear trend,
 384 $F(1,114) = 24.93$, $p < .001$, $\eta_p^2 = .18$, indicating that criterion shifted to become more
 385 conservative over time. The main effect of vigilance-intervention strategy was also significant
 386 ($M_s = .075, -.025, .069$, for control, NDR, and DR, respectively), $F(1,114) = 5.06$, $p = .008$, η_p^2
 387 $= .08$. Post-hoc comparisons showed that NDR was significantly less conservative than both
 388 control ($p = .006$) and DR ($p = .009$). The interaction between POW and vigilance-intervention
 389 strategy was also significant, $F(6,342) = 2.17$, $p = .045$, $\eta_p^2 = .04$. The simple main effects
 390 analyses showed similar result patterns to the hit rate. POW had a significant effect on response

391 criterion for the control condition, $F(3,112) = 5.91, p = .001, \eta_p^2 = .14$, the NDR condition,
 392 $F(3,112) = 3.20, p = .026, \eta_p^2 = .08$, and the DR condition, $F(3,112) = 4.36, p = .006, \eta_p^2 = .11$.
 393 Pairwise comparisons showed that for the control condition, response criterion between the
 394 POWs significantly shifted more conservative for periods 1 to 2, $p = .031$, 1 to 3, $p = .014$, 1 to
 395 4, $p < .001$, and 2 to 4, $p = .034$. Similarly for the DR condition, response criterion between the
 396 POWs significantly shifted more conservative for periods 1 to 3, $p = .007$, 1 to 4, $p = .009$, 2 to
 397 3, $p = .002$, and 2 to 4, $p = .005$. However, for the NDR condition, the response criterion between
 398 the POWs only significantly shifted more conservative for periods 1 to 2, $p = .018$ and 1 to 4, $p =$
 399 $.027$. No other comparisons were significant, $ps > .05$. The response criterion in the control and
 400 DR conditions shifted to be more conservative over time but shifted less for the NDR condition.



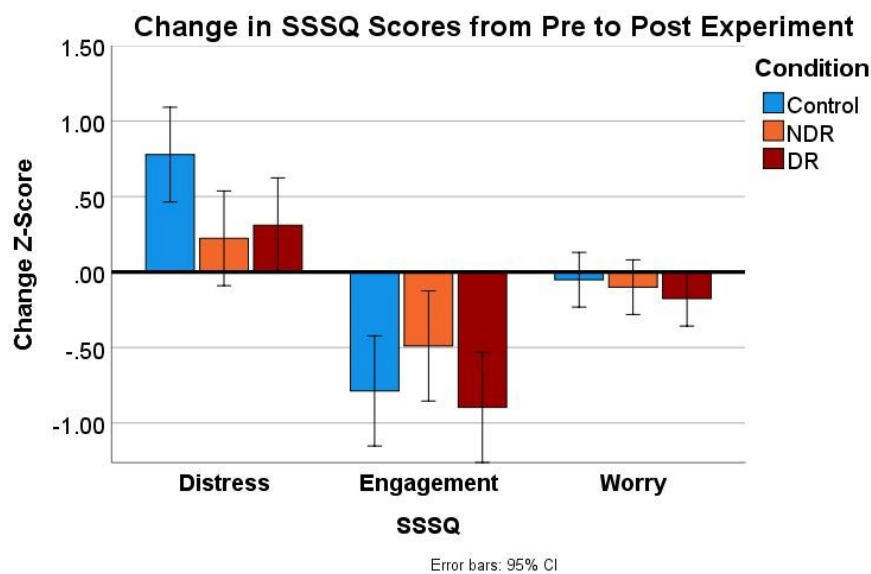
401

402 **Figure 5.** Mean response criterion as a function of period of watch and vigilance-intervention
 403 strategy (NDR = non driving related; DR = driving related). Error bars are 95% CIs.

404 *SSSQ*. The standardized *SSSQ* change scores were calculated for each of the three scales
 405 using the formula, (Post-score – Pre-score) / σ of the Pre-scores (Helton, 2004). A 3 (vigilance-
 406 intervention strategy; control, NDR, and DR) \times 3 (scales; distress, engagement, and worry)

407 repeated measures ANOVA was performed on these change scores (see Figure 6). A significant
 408 main effect of scales was found ($M_s = .437, -.725, -.109$, for distress, engagement, and worry,
 409 respectively), $F(2,228) = 40.10, p < .001, \eta_p^2 = .26$. No significant effects were found for
 410 vigilance-intervention strategy, $F(1,114) = 2.49, p = .089, \eta_p^2 = .04$, or for the interaction,
 411 $F(4,228) = 1.90, p = .112, \eta_p^2 = .03$. For distress, the changes in score were significantly above
 412 zero, $t(44) = 4.36, p < .001, t(44) = 2.09, p = .021, t(44) = 2.78, p = .004$, for the control, NDR,
 413 and DR conditions, respectively. For engagement, the changes in score were below zero, $t(44) =$
 414 $5.43, p < .001, t(44) = 2.92, p = .003, t(44) = 4.55, p < .001$, for the control, NDR, and DR
 415 conditions, respectively. For worry, none of the conditions had a change score different from
 416 zero $t_s < 1.43$.

417



418

419 **Figure 6.** Mean SSSQ change z-scores for each of the three vigilance-intervention-strategy
 420 conditions (NDR = non driving related; DR = driving related). Error bars are 95% CIs.

421

422 *NASA-TLX*. For the *NASA-TLX* data, we conducted a one-way ANOVA on the global
423 workload with vigilance-intervention strategy as a between-subjects factor. The analysis showed
424 no significant effect of vigilance-intervention strategy, $F(2,114) = 1.65, p = .196, \eta_p^2 = .03$,
425 although the mean values (8.40, 7.41, and 8.35 for the control, NDR, and DR conditions,
426 respectively) showed a lower mean for the NDR condition. For the *NASA-TLX* subscales
427 (Mental, Physical, Temporal, Performance, Effort, and Frustration), there were no significant
428 differences: Physical, $F(2,114) = 1.14, p = .241, \eta_p^2 = .03$; Effort, $F(2,114) = 1.12, p = .330, \eta_p^2 =$
429 $.02$; Frustration, $F(2,114) = 2.02, p = .137, \eta_p^2 = .03$, and all the others, $F_s < 1$.

430 **Discussion**

431 This study showed results of the vigilance decrements in PAD systems and potential
432 countermeasures. We found slower RTs and lower hit rates responding to hazardous vehicles on
433 the road over time during the drive, in line with previous literature that indicates Level 2
434 automation is a monotonous vigilance task (Greenlee et al., 2018; Körber et al., 2015). The
435 SSSQ results also showed the expected result pattern for subjective reports before and after a
436 vigilance task, decreases in engagement, and increases in distress (Greenlee et al., 2018; Helton,
437 2004). These results are consistent with those found in other domains such as air traffic control,
438 military, and industrial supervisory control monitoring (Parasuraman et al., 1987).

439 The effect of POW on response time was not moderated by the vigilance-intervention
440 strategy, indicating that the NDR was still subject to some vigilance decrement in RT, similarly
441 to the other conditions. For hit rate, even though the POW had a significant effect in all
442 conditions, it only affected the NDR condition in the first POW, and its hit rate was significantly
443 higher than those for the DR and control conditions in the third and fourth POWs. This result
444 demonstrates a clear benefit of introducing the NDR task over time as the vigilance task

445 continued. The difference between the NDR condition and the other two indicates that the NDR
446 task helped participants maintain sustained attention on hazardous vehicles to perform better than
447 the control and DR conditions. This benefit of the NDR is especially interesting given that it has
448 an added secondary task on top of the control condition. The finding that adding this secondary
449 task did not increase the vigilance decrement but reduced it provides evidence against the
450 overload theories of vigilance, which would predict the secondary task to increase the vigilance
451 decrement.

452 Both the interventions, NDR and DR, would be expected to perform better than the
453 control condition if the problem of vigilance was one of simply mindlessness or underload
454 (Manly et al., 1999). The difference between the two tasks was that the NDR task simply
455 reengages their attention in general without the need to be redirected visually, whereas the DR
456 task requires them to scan the driving environment visually. Interpreting these results with regard
457 to multiple resource theory, the DR task is more demanding than the NDR task because the
458 primary vigilance task was visual and the DR task competed for the visual resources with the
459 primary vigilance task (Wickens, 2002). Whereas the NDR task only required auditory resources
460 and could be task shared with the primary vigilance task. An underloaded driver could benefit
461 from a secondary task to increase their arousal if that task did not compete for mental resources.
462 However, an overloaded driver would be further taxed by a secondary task, gaining no benefit
463 from increased arousal.

464 It is worth noting that neither secondary-task intervention made the vigilance decrement
465 worse than the control. A possible reason why the DR condition showed a similar vigilance
466 decrement to the control condition is that the benefit of being more engaged and the cost of being
467 more demanding, specifically in the similar visual resource channel, cancel out for the DR

468 condition. In other words, the benefits of increased arousal due to the added DR task can be
469 negated by the visual resource demand imposed by it, which explains why the NDR task helped
470 alleviate the vigilance decrement while the DR task did not. This explanation requires both
471 disengagement and resource depletion to be sources of the vigilance decrement, which is
472 supported by our SDT analyses.

473 The results of SDT showed a decrease of response sensitivity along with the response
474 criterion shifting more conservative overtime. These results indicate that the vigilance decrement
475 was partly due to the drivers being less able to discriminate the hazardous vehicles and shifting
476 their response criterion. In addition, both measures showed the advantageous effects of the NDR
477 task in comparison to the DR task and the control condition. Previous research has shown similar
478 results on response sensitivity and has been used to support an explanation of attentional
479 resource depletion (Greenlee et al., 2018, 2019; See et al., 1995). Reduced response sensitivity
480 has been used as evidence for resource depletion that causes the vigilance decrement; and
481 overloaded operator is not able to effectively distinguish the critical signals from the noise,
482 leading to decreased response sensitivity (Caggiano & Parasuraman, 2004; DeLucia & Greenlee,
483 2022). The subscale of distress in SSSQ also demonstrated increased stress, often caused by high
484 task demands, which is consistent with the resource depletion account.

485 The shift in criterion could be due to the frequency of the hazardous vehicles being
486 greater during the practice session (3 per min) than during the experimental session (1.7 per
487 min). However, the significantly more conservative criteria for periods 3 and 4 in the control and
488 DR conditions than in the NDR condition indicates that the shift of criteria was not merely due to
489 the change in signal rate. In contrast to response sensitivity, response criterion has been used as
490 evidence for the underload explanation of the vigilance decrement. The response criterion

491 shifting towards more conservative is an indication of the operator becoming more disengaged
492 and less likely to respond to both the critical signals and noise (Thomson et al., 2015). The
493 subscale of engagement in SSSQ also provides convergent evidence that participants become
494 less engaged during the task. As a result, our findings provide evidence for both sources of
495 resource depletion and disengagement in the vigilance decrement.

496 Based on precedent from prior literature, along with our current results, we propose that
497 both resource depletion and disengagement are sources of the vigilance decrement. For example,
498 Thomson et al. (2015, 2016) draw upon and address the explanatory limitations of both the
499 mind-wander and resource-depletion theories and propose a resource-control theory of mind
500 wandering to explain vigilance performance. One central tenet of this theory is that mind
501 wandering takes attentional resources, which results in poor vigilance performance. When the
502 limited attentional resources are absorbed by mind wandering, performance for the primary task
503 may be sacrificed if it requires the full complement of attentional resources. For Level 2
504 automation where human drivers seek to monitor the automation, if the task becomes too
505 monotonous, boring, or excessively demanding over time, drivers begin to withdraw and
506 reallocate their resources to a more interesting task. Withdrawing from the primary task leads to
507 task unrelated thoughts, which further disrupt performance on the main task (Forster & Lavie,
508 2009). By introducing a secondary task as an intervention in the current study, it may cut down
509 on user-generated task unrelated thoughts and allow them a brief, semi-structured break to then
510 reorient their thoughts on the task, consistent with the benefits in performance caused by
511 intermittent breaks shown in prior studies (Atchley & Chan, 2011; Drory, 1985; Pop et al.,
512 2012). However, our measure of disengagement with the SSSQ involved more than simply task
513 unrelated thoughts and might have clouded our ability to fully explain this. The participants

514 might not have been able to self-monitor their engagement or disengagement as it relates to
515 performance and sufficiently report the potential changes via subjective measures. The
516 performance measures showed clear differences between the groups, but the distress measure
517 might not have been sensitive enough to detect the difference if all the participants were not
518 cognizant of their mental processes.

519 One limitation of this study is that it was not conducted on a driving simulator where
520 participants could fully interact with the vehicle. However, this was done intentionally to closely
521 replicate a classic vigilance task while controlling as many variables as possible. Future research
522 can validate the results in a driving simulator, which allows human drivers to take over control at
523 critical instances and more directly interact with the automation system. Another limitation is
524 that this study only used one type of vigilance signal (the hazardous vehicles). Although it was
525 presented at random times, it does not fit real-world situations where there can be various types
526 of events that occur at different locations. Future research can incorporate different types of
527 hazards to validate the current findings on the different vigilance-decrement interventions.
528 Finally, the number of false alarms was quite low with large variances in the study which may
529 influence the use of signal detection theory. However, some recent research with vigilance has
530 failed to find a large number of false alarms in a similar way to the current results (Epling et al.,
531 2019; Körber et al., 2015). This result calls for caution when using the SDT measures in
532 vigilance studies.

533 **Conclusions**

534 This study shows that human drivers in charge of monitoring driving automation systems
535 during PAD are subject to the vigilance decrement. However, the study demonstrated potential
536 for decreasing the negative performance associated with the vigilance decrement through the

537 implementation of a non-driving related intervention task. Additionally, this work contributed to
538 the theoretical underpinnings of vigilance, providing evidence for both resource depletion and
539 disengagement being causes of the vigilance decrement. The interventions implemented in this
540 study provide insight for how designers of automation systems could alleviate the problems of
541 vigilance and keep the human driver in the loop.

542

543

Key Points

- 544 • The added driving-related secondary task showed similar vigilance decrement to the
545 control condition.
- 546 • The non-driving related secondary task mitigated the vigilance decrement in partially
547 automated driving.
- 548 • Signal detection theory measures and subjective reports on task-induced stress provided
549 convergent evidence for resource depletion and disengagement causing the vigilance
550 decrement.
- 551 • Infrequent and intermittent breaks using secondary tasks may be utilized in partially
552 automated driving system design to help maintain driving vigilance.

553

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