
NURD: NEGATIVE-UNLABELED LEARNING FOR ONLINE DATACENTER STRAGGLER PREDICTION

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ABSTRACT

Datacenters execute large computational jobs, which are composed of smaller tasks. A job completes when all its tasks finish, so *stragglers*—rare, yet extremely slow tasks—are a major impediment to datacenter performance. Accurately predicting stragglers would enable proactive intervention, allowing datacenter operators to mitigate stragglers before they delay a job. While much prior work applies machine learning to predict computer system performance, these approaches rely on *complete labels*—i.e., sufficient examples of all possible behaviors, including straggling and non-straggling—or *strong assumptions about the underlying latency distributions*—e.g., whether Gaussian or not. Within a running job, however, none of this information is available until stragglers have revealed themselves when they have already delayed the job. To predict stragglers accurately and early without labeled positive examples or assumptions on latency distributions, this paper presents NURD, a novel Negative-Unlabeled learning approach with *Reweighting* and *Distribution-compensation* that only trains on negative and unlabeled streaming data. The key idea is to train a predictor using finished tasks of non-stragglers to predict latency for unlabeled running tasks, and then reweight each unlabeled task’s prediction based on a weighting function of its feature space. We evaluate NURD on two production traces from Google and Alibaba, and find that compared to the best baseline approach, NURD produces 2–11 percentage point increases in the F1 score in terms of prediction accuracy, and 2.0–8.8 percentage point improvements in job completion time.

1 INTRODUCTION

Stragglers impede job completion in datacenter-scale computing. Here, a computational *job* is split into many *tasks*, each of which is executed in parallel on different machines before their results are aggregated when the last task completes. *Stragglers* are rare, extremely slow tasks within a job that can degrade overall performance—by as much as 30–50% (Ananthanarayanan et al., 2013; Reiss et al., 2011; Zheng & Lee, 2018). A straggler is commonly defined as a task with at least 90th percentile (p90) latency; i.e., at least 90% of tasks finish earlier than the straggler (Hao et al., 2017; 2020). We refer to stragglers as the *positive* class since they are the minority and abnormal, and non-stragglers are the *negative* class since they are the majority and expected (Chandola et al., 2009).

Mitigating stragglers is a fundamental problem in datacenters (Zhou et al., 2021; Belay et al., 2014; Adya et al., 2016; Handley et al., 2017; Haque et al., 2015; Nelson et al., 2015; Ayers et al., 2019). Recent work uses predictive models to monitor executing tasks and predict stragglers before they

reveal themselves with long run times (Ananthanarayanan et al., 2010; Ren et al., 2015; Yadwadkar et al., 2014; Zhou et al., 2020). Once a straggler is correctly predicted, proactive interventions—such as relaunching the same task on a different machine—will be triggered to mitigate the straggling behavior (Ananthanarayanan et al., 2013; Aktas et al., 2017; Aktas & Soljanin, 2019). Machine learning techniques have been applied to model the complicated, non-linear relationships between features (e.g., CPU utilization) and computation behavior (e.g., latency)—a recent survey has details (Penney & Chen, 2019). However, most existing work either heavily relies on *complete labels*—i.e., observing labeled samples from all classes at training—or *strong assumptions about the underlying latency distribution*—e.g., whether Gaussian or not. When predicting stragglers on live data—i.e., running jobs in the datacenter—stragglers are not revealed early because they finish last. Therefore, there are insufficient labels in the training set due to a lack of positive examples of stragglers, and it is hard to pre-specify the latency distribution for each job, which render most learning methods ineffective for straggler prediction within a running job. Moreover, since the characteristics of each job are usually unique in datacenters (Reiss et al., 2012; Guo et al., 2019), it is difficult to train a model on one job and apply it to another directly. Therefore, this paper proposes a technique that constructs a unique predictive model for

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each job on the fly—that is, as the job is running and before stragglers reveal themselves with long completion time.

We present NURD, a novel negative-unlabeled learning approach for online straggler prediction that requires no labeled positive examples or assumptions on the latency distributions. NURD uses finished tasks (i.e., negative examples, non-stragglers) to train a model to predict latency as a function of observed task features for running tasks. NURD’s key insight is that this predictor will be biased towards non-stragglers, so it then reweights these latency predictions using a function of task features—i.e., each running task’s probability of being included in the set of finished tasks given its observed features. Intuitively, this weighting function indicates how dissimilar a particular running task’s features are from those that are finished; i.e., it preserves latency predictions for tasks that are similar to finished tasks (i.e., non-stragglers), and increases predicted latency for those that are different. With this reweighting scheme, NURD predicts stragglers early and accurately by reducing the prediction bias due to a lack of stragglers at training.

To summarize, our main contributions are as follows:

- We propose a novel negative-unlabeled learning approach based on reweighting predictions and demonstrate its efficacy for online straggler prediction when no labeled stragglers exist in the training set.
- We evaluate NURD on Google production traces (Reiss et al., 2011), where we observe an 11 percentage point increase in the F1 score and 3.8–8.8 percentage point improvement in job completion time relative to the best baseline approach. Similarly, on Alibaba production traces (Alibaba), we see a 2 point increase in the F1 score and an 2.0–3.5 point improvement in job completion time.
- We release the code in <https://github.com/y-ding/nurd-mlsys22-code>.

Stragglers significantly hamper system performance in modern datacenters. By identifying stragglers accurately and early for running jobs, NURD provides a novel online learning approach that does not require labeled positive examples of stragglers or assumptions on latency distributions. This work offers a new direction in which systems community can apply machine learning techniques that can generalize without heavy reliance on carefully curating training sets.

2 BACKGROUND

Datacenter terminology. Datacenter-scale computations, or *jobs*, are composed of sub-computations called *tasks*. Because datacenter performance is critical, jobs are continually monitored and tasks’ behavior in a variety of metrics are recorded at regular time *checkpoints* (Reiss et al., 2011; 2012). These recorded measurements are a set of *features* that characterize each task. The choice of metrics to monitor

(and thus features to capture) depends on the specific system on which the job is deployed. Ideally, datacenters would record metrics related to resource usage, microarchitectural behavior, and job scheduling (Zheng & Lee, 2018). In such scenario, a straggler prediction algorithm could be applied at each checkpoint using the features from each task to predict its future latency. If a particular task is expected to straggle—i.e., exceed an operator-specified latency threshold—then the job scheduler or human operator could be alerted to trigger intervention to mitigate stragglers.

Straggler mitigation. Straggler mitigation is an important part of datacenter scheduling (Dean & Barroso, 2013; Schwarzkopf & Bailis, 2018; Aktas & Soljanin, 2019; Dean & Ghemawat, 2004; Zaharia et al., 2008; Ananthanarayanan et al., 2011). Performance-aware schedulers predict which tasks are likely to straggle and then allocate additional resources to them (Ananthanarayanan et al., 2010; Yadwadkar et al., 2014; Ren et al., 2015). Wrangler is a typical system like this, using linear support vector machines to classify stragglers by oversampling stragglers to deal with imbalanced labels in the training set (Yadwadkar et al., 2014). Another example is LinnOS, which uses neural networks to predict anomalous I/O latency by training on tens of thousands of I/O operations from a single hardware device with known latency (Hao et al., 2020). A clear limitation of these approaches is that they require positive examples of stragglers. To the best of our knowledge, prior performance-aware schedulers assume that they have access to at least some examples of stragglers to train a model. This is a strong assumption that does not hold if users develop new jobs that are different from existing jobs (which is the common case for datacenter jobs (Reiss et al., 2012; Guo et al., 2019)) or if datacenters install new hardware that induces new causes of straggling behavior. Thus, there is a need for performance-aware scheduling approaches that produce accurate predictions without positive examples of stragglers.

Problem formulation. Given the above discussion, we formalize the online straggler prediction problem as follows. Imagine we have T time checkpoints. At the t -th checkpoint where $t \in [T]$, n tasks are observed for a job, and the i -th task is associated with a feature vector $x_{ti} \in \mathbb{R}^d$, where d is the number of features (measurements) that characterize the task. Task i has true latency $y_i \in \mathbb{R}_+$. Let τ^{stra} denote the target latency threshold that denotes straggling (e.g., the p90 latency), and $S := \{i \in [n] : y_i \geq \tau^{\text{stra}}\}$ denote the set of tasks that are true stragglers. Our goal is to identify the straggler set S . The challenge is that we do not observe y_i for all tasks at the t -th checkpoint. Rather, we only observe y_i when $y_i \leq \tau_t^{\text{run}} \leq \tau^{\text{stra}}$, where τ_t^{run} is the latency at t -th time checkpoint. Let $F_t := \{i \in [n] : y_i \leq \tau_t^{\text{run}}\}$ denote the tasks that finish before t -th time checkpoint, and R_t be the list of tasks that are still running at the t -th time checkpoint. At each t -th checkpoint, given x_{ti} for $i \in [n]$ and y_i for $i \in F_t$,

we estimate a set of stragglers \hat{S} from the unfinished tasks at τ_i^{un} . Our goal is to correctly identify stragglers, so that intervention can occur as early as possible.

3 RELATED WORK

We notice the following limitations from the existing approaches applied to online straggler prediction:

- Difficulty of accounting for the drift between training and test distribution (supervised learning in §3.1).
- Only using information from the feature space and ignoring the observed latency (outlier detection in §3.2).
- Incorrect independence assumptions on labels given features (PU learning in §3.3).
- Heavy reliance on pre-specified latency distribution (censored and survival regression in §3.4).

3.1 Supervised Learning

Supervised learning uses labeled samples to learn a predictor h_t so that $\hat{y}_{ti} = h_t(x_{ti})$ for i -th task at t -th checkpoint; this predictor could estimate the latency of the unlabeled samples. Critically, however, the distribution of the unlabeled samples is different from that of the labeled samples; that is, the nature of the straggler prediction problem necessitates a distribution drift between training and prediction, and we must be robust to that drift. Without accounting for this drift, latency predictions for stragglers will be heavily biased (Quiñonero-Candela et al., 2009; Zhang et al., 2013).

3.2 Outlier Detection (Unsupervised Learning)

Outlier—or anomaly—detection is a family of unsupervised learning techniques that identifies rare events which differ from the general distribution of a population (Chandola et al., 2007; Alam et al., 2019; Sipple, 2020). These techniques separate nominal and anomalous distributions based on the observations in the feature space only. Although stragglers can be thought of as outliers, our online straggler prediction problem is critically different from outlier detection problems studied in the literature because stragglers—by definition—are outliers in latency, which are not necessarily outliers in the feature space. As such, while we have access to each task’s features at t -th time checkpoint, their latency values are revealed only up to time t . To address the issue of only using information from feature space, NURD proposes to reweight the predicted latency with a weighting function to reduce the prediction bias in latency due to a lack of stragglers at training. Empirically, we evaluate fourteen existing outlier detection methods in §7 to demonstrate that outlier detection methods have limited discriminative power in identifying stragglers within running jobs.

3.3 PU Learning (Semi-supervised Learning)

Positive-unlabeled (PU) learning is a family of semi-supervised learning techniques that use both labeled and unlabeled samples to train a classifier (Bekker & Davis, 2020). PU learning approaches learn from the two sets of examples, where the first set (positive) only contains examples from the first class, while the other set (unlabeled) contains examples from both classes. Existing PU learning (Lee & Liu, 2003; Elkan & Noto, 2008; Mordelet & Vert, 2014; Kiryo et al., 2017) assumes that observations of the labels are independent of the features given the classes (positive or negative); that is, the labeled examples are a random sample from the positive examples. However, this assumption is violated in online straggler prediction because only some non-stragglers with lower latency values have a chance to be sampled, while other non-stragglers with higher latency values are not included in the labeled set.

3.4 Censored and Survival Regression

Censored regression is a family of techniques to handle the situation where the value to be predicted (latency in this case) is censored; i.e., some values are missing but known to exceed certain thresholds (Powell, 1986). Survival regression is a related field that predicts when a system will survive beyond a certain time point. The latency variable in the online straggler prediction problem can be viewed as being censored at each checkpoint t because the latency values above t are not revealed. There are both linear (Tobit (Tobin, 1958)) and non-linear (Gorbit (Sigrist & Hirnschall, 2019)) methods for censored regression. The Cox proportional hazard (CoxPH) model is a popular approach for survival analysis (Cox, 1972). All three methods can be used to estimate latency with incomplete labels: latency can be viewed as being censored at each checkpoint t because the latency values above t are not revealed; alternatively, we could cast the problem as estimating whether a task will survive beyond the designated straggling latency. While the technical details of all three models differ greatly, they share a common assumption: that the underlying task latency behavior is known a priori. Tobit and Gorbit assume the latency follows a Gaussian distribution, and they predict censored values according to this assumption. CoxPH makes a more relaxed assumption: rather than assuming a particular distribution, it assumes that all task’s transformed survival curves (survival probability over time) have the same shape (Hosmer et al., 2008), an assumption that does not hold in the online straggler prediction problem as heterogeneous behavior (either in the tasks themselves or the machines executing those tasks) is a common cause of straggling. In addition, the CoxPH model assumes that the relationship between a task’s features and straggling behavior does not change over time, but this is not true in practice and NURD explicitly accounts for this behavior (§4.3).

3.5 Summary

To address all the issues from the existing approaches applied to online straggler prediction, we propose NURD, a negative-unlabeled learning approach that requires no labeled positive examples of stragglers or assumptions on the latency distributions. NURD incorporates a data-driven way to learn the weighting function from feature space that can easily adapt to different types of distributions. Specifically, NURD uses the fact that unlabeled samples satisfy $y_i \geq \tau_t^{\text{run}}$, rather than relying on any assumptions about the latency distribution. The next section describes how NURD learns a weighting function based on task features to mitigate the bias of supervised learning on the labeled samples.

4 THE PROPOSED APPROACH: NURD

NURD is a novel negative-unlabeled learning approach for online straggler prediction without positive examples at training or assumptions on latency distributions. Specifically, NURD trains a new predictor for each job, customizing to that job’s unique properties. The key idea is to first train a latency predictor using only finished tasks (i.e., non-stragglers), and then reweight those latency predictions based on a function of dissimilarity between finished and running tasks from the feature space. Algorithm 1 summarizes NURD. In particular, there are three key components:

1. **Training with finished tasks (§4.1).**
2. **Reweighting based on feature space (§4.2).**
3. **Updating models online (§4.3).**

Next, we describe each in detail.

4.1 Training with Finished Tasks

NURD starts by training a latency predictor using the labeled finished tasks (i.e., non-stragglers, or negative examples). While any regression model can be applied, NURD uses gradient boosting trees due to its high predictive power in many settings (Chen & Guestrin, 2016). At the t -th time checkpoint for the i -th task, NURD trains the regression model h_t so that the predicted latency is $\hat{y}_{ii} = h_t(x_{ii})$. However, since it only trains on negative examples, the predictions will be heavily biased towards finished tasks (i.e., non-stragglers). To reduce such bias, NURD reweights the predictions, leading to the next step.

4.2 Reweight Based on Feature Space.

To reduce the bias from training on finished tasks only, NURD reweights \hat{y}_{ii} . At the t -th time checkpoint for the i -th task, NURD uses a weighting function $w_{ii} \in (0, 1]$ such that

$$\hat{y}_{ii}^{\text{adj}} = \frac{\hat{y}_{ii}}{w_{ii}}, \tag{1}$$

where $\hat{y}_{ii}^{\text{adj}}$ is the adjusted latency prediction for the i -th task. Intuitively, when a running task’s features are similar to finished tasks (i.e., non-stragglers), we want w_{ii} to be relatively large (close to 1) such that $\hat{y}_{ii}^{\text{adj}}$ does not change much from \hat{y}_{ii} . When a running task’s features are different from finished tasks, we want w_{ii} to be relatively small (close to 0) such that $\hat{y}_{ii}^{\text{adj}}$ will be enlarged and more likely to exceed the latency threshold and be classified as a straggler.

To find a weighting function that matches our intuition, NURD uses **propensity score (PS)**, which is defined as the conditional probability of assignment to a particular group given a set of observed features (Rosenbaum & Rubin, 1983). In our case, we denote z_{ii} as PS; i.e., the conditional probability that a task belongs to the class of finished tasks given its features at time checkpoint t :

$$z_{ii} = \mathbb{P}(y_i \leq \tau_t^{\text{run}} | x_{ii}). \tag{2}$$

In practice, z_{ii} is usually estimated using logistic regression (Cepeda et al., 2003) because we have two known classes at the t -th checkpoint: finished tasks and running tasks. When z_{ii} has relatively higher probability value (close to 1), it indicates that the i -th task has higher chances that it will finish soon (i.e., a non-straggler), and thus using it to reweight \hat{y}_{ii} will not cause a large change in the latency prediction. In contrast, when z_{ii} has a relatively low probability value (close to 0), it indicates that the i -th task has a higher chance that it will keep running (i.e., straggle), and thus using it to reweight \hat{y}_{ii} will dilate the latency prediction and make it more likely to exceed the latency threshold.

Calibration. Different jobs have different latency distributions, and thus have different target latency thresholds to determine stragglers. To account for such difference, NURD adds a calibration term δ to the propensity scores to construct the final weighting function and balance the tradeoffs between true and false positives. Specifically, this calibration term is a function of the latency threshold; i.e., whether the latency threshold (e.g. p90) is greater than the half of the maximum latency.

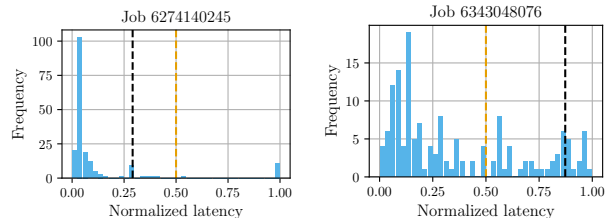


Figure 1. Latency distributions for two Google jobs. The vertical dashed yellow line is half of the maximum normalized latency 0.5, and the dashed black line is the latency threshold (e.g. p90).

- If the latency threshold is less than the half of the maximum latency (left side of Figure 1), the latency threshold

Algorithm 1 Online straggler prediction with NURD.

Input: T : number of time checkpoints; F_0 : list of tasks finished at initial checkpoint $t = 0$; R_0 : list of tasks running at initial checkpoint $t = 0$; $\tau^{\text{stra}} > 0$: latency threshold; $\alpha > 0$: calibration parameter; $\epsilon > 0$: minimum positive weight.

Output: \hat{S}

- 1: Initialize X_{fin} and X_{run} with features from tasks in F_0 and R_0 , i.e., $X_{\text{fin}} = \{x_{0i}; i \in F_0\}$, $X_{\text{run}} = \{x_{0i}; i \in R_0\}$.
 - 2: Initialize Y_{fin} in F_0 , i.e., $Y_{\text{fin}} = \{y_i; i \in F_0\}$.
 - 3: Straggler set $\hat{S} \leftarrow \emptyset$.
 - 4: Compute centroids of X_{fin} and the rest running tasks X_{run} , denoted as c_{fin} and c_{run} .
 - 5: $\rho = \frac{\|c_{\text{fin}}\|^2}{\|c_{\text{run}} - c_{\text{fin}}\|^2}$. ▷ Compute latency indicator
 - 6: $\delta = \frac{1}{1+\rho} - \alpha$. ▷ Compute calibration term
 - 7: **for** each time checkpoint $t = 1, \dots, T$ **do**
 - 8: $\Delta_t \leftarrow \{i \in R_{t-1}; y_i \leq \tau_t^{\text{run}}\}$. ▷ Update tasks finished between $t - 1$ and t -th checkpoint
 - 9: $F_t \leftarrow F_{t-1} \cup \Delta_t$, $R_t \leftarrow R_{t-1} \setminus \Delta_t$. ▷ Update sets of finished and running tasks
 - 10: $X_{\text{fin}} \leftarrow X_{\text{fin}} \cup \{x_{ti}; i \in \Delta_t\}$, $Y_{\text{fin}} \leftarrow Y_{\text{fin}} \cup \{y_i; i \in \Delta_t\}$, and $X_{\text{run}} \leftarrow \{x_{ti}; i \in R_t\}$.
 - 11: Update latency prediction model h_t and PS estimation model g_t , using updated X_{fin} , Y_{fin} , and X_{run} .
 - 12: **for** each task $i \in R_t$ **do**
 - 13: Get initial latency prediction $\hat{y}_{ti} = h_t(x_{ti})$.
 - 14: Get PS estimation $z_{ti} = g_t(x_{ti})$.
 - 15: $w_{ti} = \max(\epsilon, \min(z_{ti} + \delta, 1))$. ▷ Construct final weighting function
 - 16: Get adjusted latency prediction $\hat{y}_{ti}^{\text{adj}} = \frac{\hat{y}_{ti}}{w_{ti}}$.
 - 17: **if** $\hat{y}_{ti}^{\text{adj}} \geq \tau^{\text{stra}}$ **then**
 - 18: $\hat{S} \leftarrow \hat{S} \cup \{i\}$, $R_t \leftarrow R_t \setminus \{i\}$ ▷ Terminate the task i if a straggler is predicted
 - 19: **return** \hat{S}
-

Algorithm 2 Scheduling with more machines than tasks.

Input: T : number of time checkpoints; $[n]$: set of running tasks

- 1: **for** each time checkpoint $t \in [T]$ **do**
 - 2: **for** each running task $i \in [n]$ **do**
 - 3: **if** task i is predicted to be a straggler **then**
 - 4: Terminate i and relaunch it on a new machine.
 - 5: Update set of running tasks $[n] \leftarrow [n] \setminus \{i\}$.
 - 6: **else**
 - 7: Go to next task.
-

is relatively small compared to the maximum latency. To reduce the false positives in predictions, we hope to increase the weighting value such that \hat{y}_{ti} will not be enlarged too much. Therefore, δ should be relatively large but not exceed 1; i.e., $w_{ti} = \min(z_{ti} + \delta, 1)$.

- If the latency threshold is greater than the half of the maximum latency (right side of Figure 1), the latency threshold is relatively large. To reduce the false negatives in predictions, we hope to decrease the weighting value such that \hat{y}_{ti} will be enlarged enough. Therefore, δ should be relatively small but not make w_{ti} negative; i.e., $w_{ti} = \max(\epsilon, \min(z_{ti} + \delta, 1))$, where ϵ is a small positive scalar.

With this insight, we address the remaining questions as follows: (1) how to determine whether the latency threshold is relatively large or small when the job is still running (since the actual task latencies are unknown), and (2) how to set δ .

Determining whether latency threshold is relatively large or small. As noted in §3 prior work for outlier detection and censored regression assumes a distribution (almost always Gaussian) for the values (in this case latency) they

Algorithm 3 Scheduling with fewer machines than tasks.

Input: T : number of time checkpoints; $[n]$: set of running tasks; $[m]$: set of available machines.

- 1: **for** each time checkpoint $t \in [T]$ **do**
 - 2: **if** new machine k available **then**
 - 3: Update set of available machines $[m] \leftarrow [m] \cup \{k\}$.
 - 4: **for** each running task $i \in [n]$ **do**
 - 5: **if** task i is predicted to be a straggler **then**
 - 6: **if** machines are available $[m] \neq \emptyset$ **then**
 - 7: Terminate i and relaunch it on a new machine j .
 - 8: Update set of running tasks $[n] \leftarrow [n] \setminus \{i\}$.
 - 9: Update set of available machines $[m] \leftarrow [m] \setminus \{j\}$.
 - 10: **else**
 - 11: Go to next task.
-

are trying to predict. Such an assumption makes determining the relative size of the latency threshold trivial. A key distinction of NURD is that it makes no such assumption (and Figure 1 shows that no single assumption would suffice). Specifically, NURD estimates the relative magnitude of the latency threshold from tasks' observed features, rather than from assumptions about the latency distribution. Inspired by the insight that running tasks' features are different from those of finished tasks, NURD compares the feature centroids of finished tasks (non-stragglers) c_{fin} and those of running tasks c_{run} before starting prediction. Empirically, NURD computes $\rho = \frac{\|c_{\text{fin}}\|^2}{\|c_{\text{run}} - c_{\text{fin}}\|^2}$.

The intuition is that ρ indicates how far potential stragglers are from non-stragglers. If potential stragglers are far from non-stragglers (left side of Figure 1), c_{run} is likely to be far from c_{fin} and $\rho \leq 1$. In this case, the unweighted latency prediction \hat{y}_{ti} is easily pushed over the threshold, which

makes PS overcorrect the latency predictions. Therefore, increasing the weight by making δ relatively large has little effect on true positives, but decreases false positives. In contrast, if potential stragglers are close to non-stragglers (right side of Figure 1), c_{run} is likely to be close to c_{fin} and $\rho > 1$. In this case, true stragglers may not be easily pushed over the threshold by \hat{y}_{ii} because the features of all tasks are not so different, which makes PS alone not enough for reweighting. Therefore, reducing the weight by making δ relatively small increases the true positives significantly, despite a possible small increase in false positives.

Setting δ . Assuming $\delta \in (-\alpha, \alpha)$, where $\alpha > 0$. As discussed above, δ is a function of ρ . When the latency threshold is relatively small ($\rho \leq 1$), δ is relatively large. When the latency threshold is relatively large ($\rho > 1$), δ is relatively small. Therefore, NURD uses a function $f : \mathbb{R}_+ \times \mathbb{R} \rightarrow (-\alpha, \alpha)$ such that $\delta = f(\rho, \alpha)$:

$$\delta = \frac{1}{1 + \rho} - \alpha. \quad (3)$$

With z_{ii} , δ , and \hat{y}_{ii} , NURD obtains

$$\hat{y}_{ii}^{\text{adj}} = \frac{\hat{y}_{ii}}{\max(\epsilon, \min(z_{ii} + \delta, 1))} \quad (4)$$

NURD identifies stragglers if $\hat{y}_{ii}^{\text{adj}} \geq \tau^{\text{stra}}$; i.e., the predicted latency exceeds the latency threshold. Since the exact value of τ^{stra} is unknown a priori, it can either be selected manually by users or automatically by techniques such as those in LinnOS (Hao et al., 2020) that estimate the inflection point in the latency CDF. Determining the latency threshold is beyond the scope of this work. Tests with a wide variety of thresholds show that NURD produces results that are robust to the different latency thresholds.

4.3 Updating Models Online

As the job is running, NURD accumulates examples of finished tasks at each checkpoint and NURD uses these new examples to update both the latency predictor h_t and propensity score model in Equation 2 once the true task latencies are known. Thus, NURD improves prediction results as it collects more finished tasks.

5 SCHEDULING

After NURD predicts a straggler, it can trigger the schedulers to mitigate straggling behavior, e.g., relaunching the predicted stragglers on other machines. To demonstrate how NURD can be used to reduce job completion time, we design schedulers to reassign tasks once a task is predicted to straggle. Our schedulers are based on the common strategy of relaunching predicted straggling tasks on new machines since it has been proved to be effective at mitigating stragglers (Ananthanarayanan et al., 2013; Lee et al., 2015; Ren

et al., 2015). We consider two different situations: more machines than tasks and fewer machines than tasks.

- **More machines than tasks.** When more machines are available than tasks, a task that is predicted to be a straggler can be terminated and reassigned to a new machine immediately. Algorithm 2 summarizes the scheduling procedure when more machines are available than tasks.
- **Fewer machines than tasks.** When fewer machines are available than tasks, it is possible that not all predicted stragglers can be reassigned to new machines immediately. The scheduler needs to regularly check if there are new machines that just finished running tasks at each checkpoint. Should that be the case, these machines will also be considered for future assignment. Then, NURD will evaluate each running task and predicts if it will straggle. If that is the case and there are machines available, this task will be terminated and relaunched on a new machine immediately. Otherwise, the scheduler will move on to the next active task and wait for new machines at the next time checkpoint. Algorithm 3 summarizes the scheduling procedure when fewer machines are available than tasks.

6 EXPERIMENTAL SETUP

Evaluation methodology. We evaluate NURD’s ability to predict stragglers as jobs are running. We construct a simulator by parsing publicly available data traces and converting them into a time-series format; i.e., a series of the statistics available for each timestamp. The simulator replicates real execution by sending NURD the features that would be available at each time checkpoint. We use two public production traces from Google (Reiss et al., 2011) and Alibaba (Alibaba) to demonstrate generality:

- **Google traces.** The Google traces include 29 days of data from 12.5K machines (Reiss et al., 2011; goo). The trace consists of a number of jobs, each of which has tasks from 100 to 9999. We filter to only include production jobs with 100 or more tasks, which reduces the 650K jobs and 25M tasks to 8425 jobs and 1.1M tasks. There are 15 features per task including resource usage, microarchitectural, and scheduling behavior, shown in Table 1.
- **Alibaba traces.** The Alibaba traces include two subsets of traces (Alibaba; ali): (1) 2017 traces consisting of 1.3K machines over 12 hours; (2) 2018 traces consisting of 4K machines over 8 days. The trace consists of a number of tasks, each of which has numerous instances. We filter the tasks to those with at least 100 instances, reducing to 1M tasks. There are 4 features per instance including CPU and memory usages, shown in Table 2.

For all evaluations, NURD works on live data and makes predictions about which tasks will straggle without seeing any stragglers at training. The evaluations are run on a dual

Table 1. Task features used in the Google Traces.

Feature	Description
MCU	Mean CPU usage
MAXCPU	Maximum CPU usage
SCPU	Sampled CPU usage
CMU	Canonical memory usage
AMU	Assigned memory usage
MAXMU	Maximum memory usage
UPC	Unmapped page cache memory usage
TPC	Total page cache memory usage
MIO	Mean disk I/O time
MAXIO	Maximum disk I/O time
MDK	Mean local disk space used
CPI	Cycles per instruction
MAI	Memory accesses per instruction
EV	Number of times task is evicted
FL	Number of times task fails

Table 2. Instance features used in the Alibaba Traces.

Feature	Description
cpu_avg	Avg. CPU numbers of instance running
cpu_max	Max. CPU numbers of instance running
mem_avg	Avg. normalized memory of instance running
mem_max	Max. normalized memory of instance running

socket server with two 32-core Intel Xeon Gold 6242 processors, 192 GB RAM, and 2.80GHz clock speed. Several parameters are set as follows:

Initial training data. For each job, we first wait for 4% of the entire tasks to complete as the initial training set, which are all non-stragglers. As the job is running, the training size increases as more tasks finish and are added to the training set. We only wait for a small amount of tasks to finish because we aim to mimic the real online experiments and start predicting as early as possible.

Latency threshold. We tested latency thresholds from p70 to p95 and the p90 results are representative of the average behavior over all those data points. We present results that use p90 as the latency threshold, i.e., any task’s latency higher than 90th percentile latency is considered a straggler.

Comparisons. We compare to the following approaches:

- **Supervised learning:** we compare to gradient boosting trees (GBTR), a widely-used regression model that achieves high predictive power in various prediction tasks (Chen & Guestrin, 2016).
- **Outlier detection:** we compare to fourteen existing outlier detection methods with implementations available including ABOD (Kriegel et al., 2008), CBLOF (He et al., 2003), HBOS (Goldstein & Dengel, 2012), IFOR-EST (Liu et al., 2008), KNN (Ramaswamy et al., 2000), LOF (Breunig et al., 2000), MCD (Hardin & Rocke, 2004), OCSVM (Schölkopf et al., 2001), PCA (Shyu et al., 2003), SOS (Janssens et al., 2012), LSCP (Zhao

et al., 2019a), COF (Tang et al., 2002), SOD (Kriegel et al., 2009), and XGBOD (Zhao & Hryniewicki, 2018), for which we use implementations from a state-of-the-art outlier detection library `PyOD` (Zhao et al., 2019b) ¹.

- **PU learning:** we compare to two PU learning methods with implementations available including PU-EN (Elkan & Noto, 2008) and PU-BG (Mordelet & Vert, 2014), for which we use implementations from `pulearn` package ².
- **Censored and survival regression:** we compare to three censored and survival regression methods with implementations available including Tobit (Tobin, 1958), Grabit (Sigrist & Hirnschall, 2019), and Cox proportional hazard model (Tian et al., 2005), for which we use implementation from the author ³ and `lifelines` library ⁴.
- **Wrangler:** we compare to Wrangler (Yadwadkar et al., 2014), a systems solution for straggler prediction by over-sampling stragglers to address the issue of training set imbalance. It uses linear support vector machines for interpretability. Since Wrangler assumes positive examples of stragglers at training, we randomly sample 2/3 non-stragglers and stragglers from each job as training to mimic the same situation in the original paper.
- **NURD-NC:** we compare to NURD-NC, a variant of NURD that does not including reweighting based on latency space, i.e., $w_{ri} = z_{ri}$ in Algorithm 1. This comparison aims to demonstrate the significance of accounting for the differences in latency thresholds for different jobs.

Hyperparameter tuning. Since different jobs have different optimal hyperparameter settings, it is challenging to tune hyperparameters for each job individually for each method. To do a fair comparison, we select 6 jobs from each dataset to be used for hyperparameter tuning. For the Google traces, We choose the same 6 representative jobs analyzed by humans in prior work (Zheng & Lee, 2018) as they are known to have mixed causes for straggling behavior. For the Alibaba traces, we choose the first 6 tasks in the dataset. Then for each learning method evaluated, we manually tune these jobs to find the optimal hyperparameters and apply them to all jobs. For NURD in particular, we set $\alpha = 0.5$ and $\epsilon = 0.05$ in Algorithm 1.

7 EXPERIMENTAL EVALUATION

The key takeaways of our evaluation are as follows. Compared to the best baseline approach:

- NURD achieves 11 and 2 percentage point increases in the F1 score for Google and Alibaba production traces,

¹<https://github.com/yzhao062/pyod>

²<https://pulearn.github.io/pulearn/>

³<https://github.com/fabsig/KTBoost>

⁴<https://github.com/CamDavidsonPilon/lifelines/>

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Table 3. Averaged results over all jobs for Google (15-dimensional features) and Alibaba (4-dimensional features) trace datasets. Higher is better for TPR and F1. Lower is better for FPR and FNR. The best F1 is in bold.

		Google				Alibaba			
		TPR	FPR	FNR	F1	TPR	FPR	FNR	F1
Supervised	GBTR	0.46	0.01	0.54	0.57	0.16	0.01	0.84	0.27
Outlier detection	ABOD	0.95	0.56	0.05	0.29	0.02	0.01	0.98	0.04
	CBLOF	0.99	0.69	0.01	0.24	0.69	0.50	0.31	0.33
	HBOS	0.99	0.68	0.01	0.24	0.56	0.39	0.44	0.32
	IFOREST	0.94	0.52	0.06	0.31	0.58	0.45	0.42	0.29
	KNN	0.97	0.49	0.03	0.32	0.57	0.42	0.43	0.29
	LOF	0.90	0.45	0.10	0.33	0.39	0.24	0.61	0.25
	MCD	0.99	0.52	0.01	0.31	0.75	0.45	0.25	0.42
	OCSVM	0.91	0.47	0.09	0.32	0.56	0.41	0.44	0.29
	PCA	0.61	0.27	0.39	0.26	0.03	0.02	0.97	0.05
	SOS	0.22	0.24	0.78	0.12	0.08	0.08	0.92	0.11
	LSCP	0.96	0.51	0.04	0.29	0.65	0.44	0.35	0.35
	COF	0.27	0.21	0.73	0.14	0.10	0.07	0.90	0.14
	SOD	0.25	0.08	0.75	0.19	0.18	0.28	0.82	0.18
XGBOD	0.58	0.18	0.42	0.28	0.48	0.14	0.52	0.38	
Positive-unlabeled	PU-EN	0.99	0.67	0.01	0.27	0.72	0.12	0.28	0.54
	PU-BG	0.99	0.99	0.01	0.16	0.86	0.16	0.14	0.57
Censored and survival regression	Tobit	0.97	0.52	0.03	0.46	0.35	0.02	0.65	0.32
	Grabit	0.91	0.17	0.09	0.70	0.72	0.19	0.28	0.49
	CoxPH	0.87	0.28	0.13	0.61	0.45	0.10	0.55	0.45
Systems	Wrangler	0.95	0.42	0.05	0.46	0.83	0.46	0.17	0.38
Ours	NURD-NC	0.96	0.60	0.04	0.42	0.98	0.57	0.02	0.37
	NURD	0.95	0.11	0.05	0.81	0.87	0.13	0.13	0.59

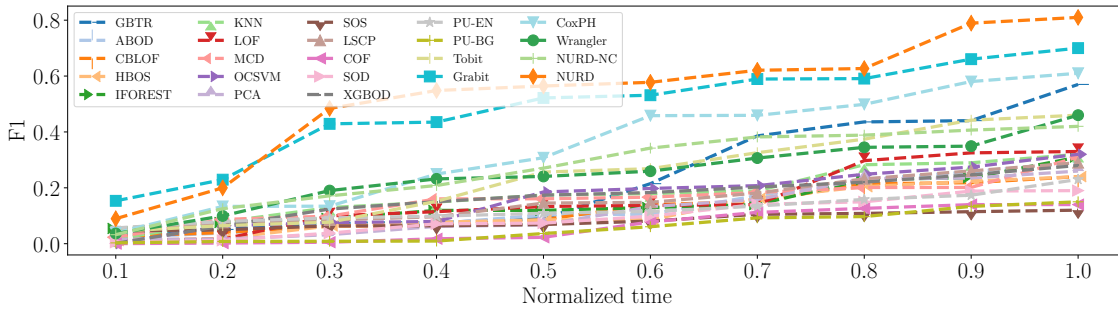


Figure 2. F1 scores at different normalized time checkpoints for online straggler identification on Google traces (higher is better).

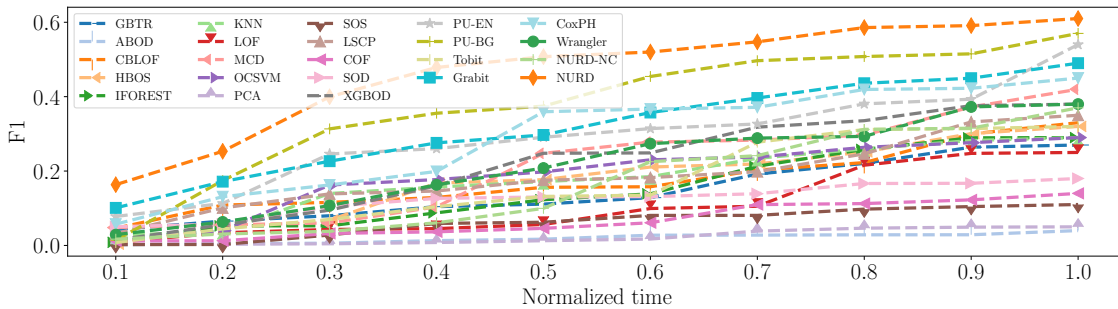


Figure 3. F1 scores at different normalized time checkpoints for online straggler identification on Alibaba traces (higher is better).

- NURD identifies stragglers earlier (Figure 2 and 3).
- NURD has 3.8 and 3.5 percentage point improvements in job completion time when more machines are available respectively (Table 3).

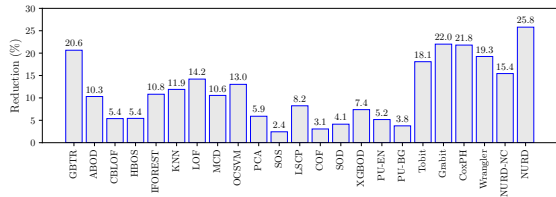


Figure 4. Average reduction in job completion time with unlimited machines on Google traces (higher is better).

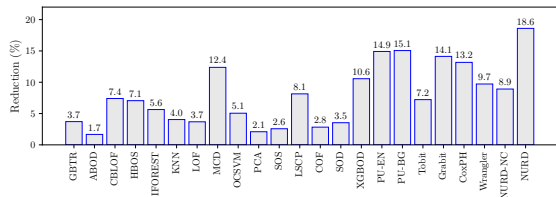


Figure 5. Average reduction in job completion time with unlimited machines on Alibaba traces (higher is better).

than tasks (Figure 4 and 5).

- NURD has 8.8 and 2.0 percentage point improvements in job completion time when fewer machines are available than tasks (Figure 6, 7, 8, and 9).

7.1 How accurate are NURD’s predictions?

Table 3 shows the average prediction results over all jobs. If a task is predicted to be a non-straggler at the t -th time point, it will be evaluated again at $(t + 1)$ -th time point if it is not finished. If a task is predicted to be a straggler, it will not be evaluated again. We use F1 score as the evaluation metric, and also show true positive rate (TPR), false positive rate (FPR), and false negative rate (FNR) to illustrate the tradeoffs between these metrics.

We can see that the supervised learning method GBTR achieves low TPR and FPR because it is greatly impacted by a lack of stragglers at training: i.e., it predicts most tasks to be non-stragglers. The outlier detection methods have either both high TPR and FPR or both low TPR and FPR, which lead to low F1. It is not surprising since as unsupervised learning methods, the outlier detection methods do not utilize the knowledge of the observed latency. Therefore, it is difficult to assign an explicit separation boundary between stragglers and non-stragglers. As semi-supervised learning methods, PU-EN and PU-BG achieve impressive TPR, but fail to keep FPR consistently low. We notice that they tend to predict all tasks to be stragglers in early time checkpoints. Remember that there is a training and test distribution drift between training and test set for online straggler prediction. As classifiers rather than regressors, PU learners aggressively classify tasks that are different from training tasks (non-stragglers) to be stragglers.

Censored and survival regression methods including Tobit, Grabit, and CoxPH are better than outlier detection and PU

methods since they incorporate both features and latency at training. They are worse than NURD mainly due to the fact that they heavily rely on pre-specified distribution (e.g., Gaussian), while the latency distributions for different jobs are hard to specify in advance (e.g., some are long-tailed). Wrangler achieves both high TPR and FPR, mainly because its offline oversampling makes the prediction biased towards stragglers. Also, its linear classifier is limited in characterizing the nonlinear relationships between features and latency. Regarding our methods, both NURD-NC and NURD have high TPRs, but NURD-NC fails to keep FPR low while NURD does, which demonstrates the effectiveness of the calibration that accounts for the latency threshold in the weighting function. Overall, NURD has the best F1 scores: at least 11 and 2 percentage point increases relatively to the other methods for Google and Alibaba traces, respectively.

Furthermore, we note that the best prior approaches are different on Google and Alibaba, while NURD achieves the best results on both datasets indicating its approach is more generalizable: Grabit’s F1 score is second best (after NURD on Google, but 10 points worse than NURD on Alibaba, while PU-BG’s F1 is second best for Alibaba, but 65 points worse on Google. These results demonstrate that NURD’s reweighting strategy has a dramatic positive effect on online straggler prediction because it makes no assumptions about the underlying data distributions or the existence of labels.

7.2 Does NURD identify stragglers early?

To illustrate the streaming results when the jobs are running, we compute F1 scores at different time checkpoints. Since different jobs have different total running time, we sample results from 10 time checkpoints for each job and regard them as normalized time. Figure 2 and 3 show the results averaged over all jobs from Google and Alibaba traces, respectively, where the x-axis represents the normalized time between 0 and 1, and y-axis represents the F1 scores. We can see that, for Google traces, NURD outperforms all other methods at all time points except the very beginning. For Alibaba traces, NURD outperforms all other methods throughout the run time. These results show that NURD identifies more stragglers earlier than other methods.

7.3 Does NURD improve completion time?

We evaluate how NURD contributes to reducing job completion time by augmenting existing schedulers with improved straggler predictions. The key idea of the schedulers described in §5 is to relaunch the task on a new machine once the task is predicted to straggle. In our experiments, the new completion time for a rescheduled task is randomly sampled from the existing execution times. We show results in the following two different situations.

More machines than tasks. When more machines are

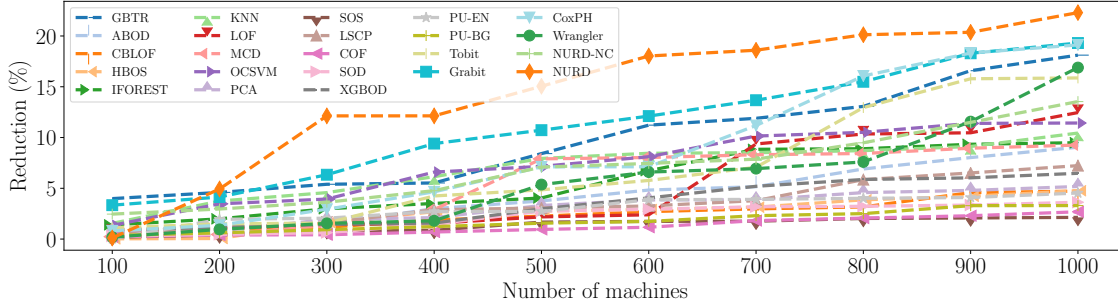


Figure 6. Reduction in job completion time with different numbers of machines on Google traces (higher is better).

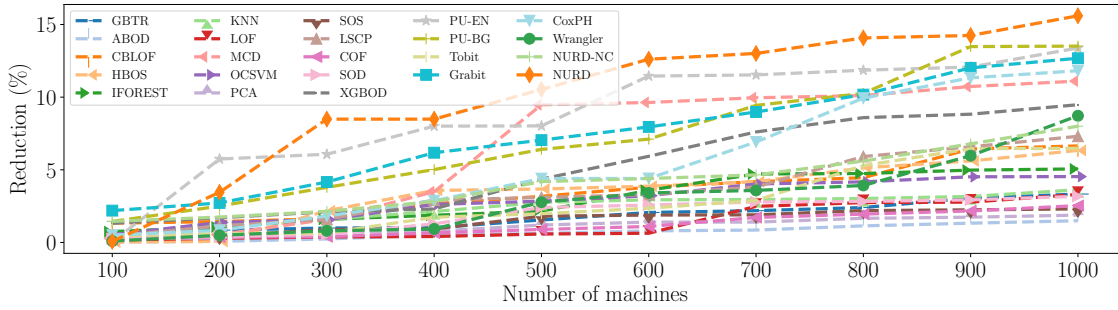


Figure 7. Reduction in job completion time with different numbers of machines on Alibaba traces (higher is better).

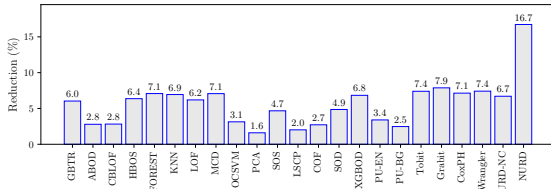


Figure 8. Reduction in job completion time averaged over all number of machines on Google traces (higher is better).

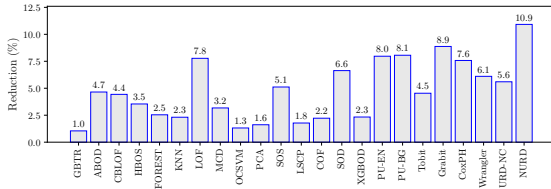


Figure 9. Reduction in job completion time averaged over all number of machines on Alibaba traces (higher is better).

available than tasks for each job, a task that is predicted to straggle is terminated and relaunched on a new machine immediately (Algorithm 2). Figure 4 and 5 show the results for Google and Alibaba traces respectively, with the x-axis represents the method and the y-axis represents the reduction in job completion time (higher is better). We can see that NURD has the highest reductions, 25.8% and 18.6% for Google and Alibaba traces respectively, which are 3.8 and 3.5 percentage point improvements compared to the best baseline approach. NURD achieves these improvements due to its early and accurate predictions for stragglers.

Fewer machines than tasks. When fewer machines are available than tasks for each job, the scheduler needs to check if a new machine is available for relaunch if a task is predicted to straggle (Algorithm 3). We study how reduction in completion time will change as a function of the number of machines. Figure 6 and 7 show the results, where the x-axis shows the number of machines from 100 to 900, and the y-axis shows the reduction in job completion time (higher is better). As the number of machines increases, the reductions also increase, and NURD has the highest reductions compared to all other methods at all numbers except the small size (i.e., 100 and 200). We also compute the reduction averaged over all number of machines in Figure 8 and 9, where x-axis represents different methods, and y-axis represents the average reduction. We can see that NURD has the highest reductions, 16.7% and 10.9% for Google and Alibaba traces respectively, which are 8.8 and 2.0 percentage point improvements compared to the best baseline approach. These results demonstrate that NURD can be easily incorporated with different types of schedulers and effectively reduce the job completion time.

8 CONCLUSION

This paper introduces NURD, a novel negative-unlabeled learning approach for online straggler prediction that requires no labeled positive examples or assumptions on latency distributions. The key idea is to train a predictor using finished tasks of non-stragglers to predict latency for unlabeled tasks.

beled running tasks, and then reweight each unlabeled task’s prediction based on a weighting function of its feature space. Extensive evaluation results on two real-world production traces demonstrates the effectiveness of NURD for online straggler prediction. Looking ahead, there is a possibility to apply transfer learning (Pan & Yang, 2009) to incorporate knowledge from other jobs to improve predictions. We will incorporate transfer learning and deploy our methods in real-world datacenters for future datacenter-scale research.

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