

Impact of Entanglement on Folding of Semicrystalline Polymer during Crystallization

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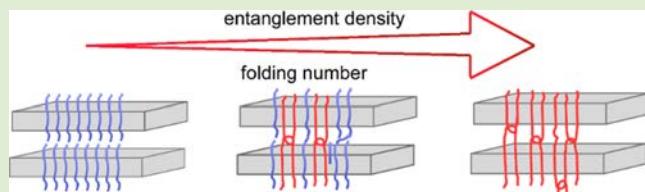
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ABSTRACT: Upon cooling, semicrystalline polymers experience crystallization and form alternatively stacked layers consisting of thin crystal lamellae and amorphous ones. The unique morphology, crystallinity, and crystallization kinetics highly depend on the molecular weight. Therefore, it is deduced that entanglement impacts crystallization kinetics, as well as hierarchically crystalline structures. However, the impact of entanglement on folded crystalline chains has not been well understood due to experimental difficulties. In this work, chain-folding structures for seven ^{13}C CH_3 labeled poly(L-lactic acid)s with various molecular weights (M_w s) were investigated by ^{13}C – ^{13}C double quantum NMR spectroscopy. As a result, chain-folding events were categorized into three different M_w regimes: (i) The lowest M_w sample (2K g/mol) adopts an extended chain conformation (folding number, $n = 0$) (regime I); (ii) Intermediate M_w ones possess mixtures of non- and once-folded structures, and the once-folded fraction suddenly increases above the entanglement length (M_e), up to $M_w = 45\text{K}$ g/mol (regime II); (iii) The high M_w ones ($M_w > 45\text{K}$ g/mol) adopt the highest chance for an adjacent re-entry structure with $n = 1.0$ in the well-developed entangled network (regime III). It was suggested that entanglement induces folding of the semicrystalline polymer.



Two thirds of polymers are semicrystalline.^{1–5} Excellent thermal and mechanical properties of various semicrystalline polymers play important roles in our lives. One good example is polyethylene, which is widely used in our lives from convenient plastic bags to bullet proof materials. Many researchers have focused on understanding crystallization mechanisms as well as the crystalline structures of semicrystalline polymers in the past decades. Upon cooling, semicrystalline polymers form isolated single crystals in a dilute solution and form alternatively stacked layers from a melt.² The radius of gyration (R_g) in the single crystal is much smaller than that for the melt-grown crystal.^{5–7} Furthermore, viscosity of polymer melts⁸ as well as crystallinity,⁹ crystal–crystal transition,¹⁰ morphology,^{2–4} and toughness/brittleness¹¹ of semicrystalline polymers highly depend on molecular weight (M). The accumulated results imply that crystallization and crystalline structures are significantly influenced by entanglement.⁴ Various theories have been developed to understand polymer crystallization at the molecular level.^{12–15} Among them, the well-known secondary nucleation theory deduced the molecular events of long polymer chains on the growth surface as follows: Polymers are dragged into the existing crystal surface and experience partial disentanglements; the disentangled chains fold on the growth front, and the single chain process via folding (intramolecular event) competes with other chains (intermolecular one). Therefore, it is believed that intrachain and interchain crystallization processes highly depend on kinetics.^{12,13} However, under-

standing the folding structure itself has been a debatable matter in the past decades.^{16–19} Therefore, it is not understood how entanglement impacts the folding structure of a long polymer chain during crystallization.

Recent progress of computation methods/power^{20–24} as well as experimental tools^{25–29} could allow one to evaluate the chain-folding structure of semicrystalline polymers. It was indicated that (i) flexible polymer chains adopt a long-range order of adjacent re-entry structure in the solution-grown crystals^{27,30–35} and monolayer films,^{25,36,37} whereas the mean number for adjacent re-entry structure, n , is limited to a few times in the melt-grown crystals.^{31,38,39} Among several advanced techniques, ^{13}C – ^{13}C double quantum (DQ)^{29,40} NMR spectroscopy combined with ^{13}C selective isotope labeling enabled one to study the chain-folding structure in wide supercooling (ΔT_s). It was demonstrated that experimentally available kinetics does not change the folding number of isotactic-poly(1-butene),³¹ isotactic-polypropylene,³⁸ and poly(L-lactic acid) (PLLA)³⁹ in the melt-grown crystals. Furthermore, Jin et al. studied the chain-folding structure of

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PLLA in an extreme case, so-called, a rapidly quenched glass. It was found that polymer chains fold prior to crystallization.⁴¹ Luo and Sommer, using a coarse-grained (CG) poly(vinyl alcohol) (PVA) model,^{20–22} reported that (i) the entanglement length (M_e) decreases due to chain stiffening during cooling and increases due to partial disentanglements during crystallization,²⁰ (ii) PVA-CG chains adjacently fold and the adjacent re-entry number ($n = 1.0\text{--}1.2$) is invariant as a function of crystallization temperature (T_c),²¹ (iii) lamellae thickness (L) and folding number, n , are directly related to M_e .²¹ The recent results inferred the important role of entanglement in polymer crystallization at the molecular levels. To further understand polymer crystallization, M dependence of the folding structure, especially across M_e and the critical entanglement length (M_c), is necessary.

In this work we investigate the chain-folding structure for seven ^{13}C CH_3 -labeled (*l*) PLLAs with various weight-average molecular weights (M_w s) across $M_e = 7.7\text{--}8.0\text{K g/mol}$ and M_c ^{42,43} by using ^{13}C – ^{13}C DQ NMR spectroscopy. Seven *l*-PLLA samples with $M_w = 2\text{K}\text{--}300\text{K g/mol}$ were successfully synthesized by using two recycling routes of *l*-polymers and *l*-intermediate compounds (see details in the *Supporting Information (SI)* and *Figure S2*). To study the chain-folding structure, *l*-PLLA was diluted by 90% with nonlabeled (*n*)-PLLA with similar M_w s. The M_w and PDI of *l*- and *n*-PLLA are listed in *Table 1*. A small supercooling, $\Delta T = \text{melting}$

Table 1. M_w , PDI, Chain Length (L_{ECC}) under the Assumption of a Fully Extended 10_3 Helix, and a Mean Number for Successive Adjacent Re-Entry Structure (n) of *l*-PLLA and L_0 of *l*-/Nonlabeled (*n*-) PLLA Blends Used in This Work^a

sample name	M_w^b (K g/mol)	PDI	L_0 (nm)	L_{ECC} (nm)	n
<i>l</i> -2k (<i>n</i> -2k)	2.0 (2.3)	1.24 (1.26)	7.9	7.8	0
<i>l</i> -4k (<i>n</i> -5k)	4.4 (4.8)	1.54 (1.35)	13.2	16.9	0.2
<i>l</i> -9k (<i>n</i> -10k)	8.7 (10.0)	1.78 (1.62)	16.0	34.0	0.6
<i>l</i> -24k (<i>n</i> -20k)	24.3 (20.4)	2.00 (1.53)	18.1	94.5	0.7
<i>l</i> -45k (<i>n</i> -44k)	45.0 (43.6)	1.45 (1.66)	24.0	175	0.9
<i>l</i> -74k (<i>n</i> -71k)	73.5 (71.1)	1.54 (1.90)	26.0	286	1.0
<i>l</i> -300k (<i>n</i> -248k)	300 (248)	2.26 (2.28)	28.3	1167	1.0

^aThe inside bracket represents the corresponding values for *n*-PLLA. ^b M_w was corrected by a factor of 0.58 by using polystyrene as the standard.

temperature (T_m) – T_c of 25–30 °C, was used for isothermal crystallization to minimize a potential kinetics effect. Crystallization conditions are provided in the *SI*.

Figure 1a depicts the first heating DSC profiles for seven *l*-/*n*-PLLA blends after the isothermal crystallization. The *l*-2k blend shows broad and complex melting peaks at 120–140 °C. *l*-4k depicts doublet T_m peaks at 152 and 156 °C. A higher M_w than *l*-9K g/mol leads to a singlet T_m peak, which shifts to a higher temperature with increasing M_w . It is understood that the melting behaviors for the low M_w samples are influenced by PDI. *Figure 1c* shows small-angle X-ray scattering (SAXS) patterns for seven *l*-/*n*-PLLA blends. Long periods (L_0) are listed in *Table 1*. L_0 increases with increasing M_w , as similarly observed in T_m . As opposed to M_w dependences of T_m and L_0 , the polarized optical microscope (POM) image shows a unique M_w dependence of morphology. Small M_w samples of the *l*-2k and *l*-4k blends show needlelike morphology (*Figures*

1b and S3). The image for the *l*-9k blend shows a mixture of needles and spherulites. The relative ratio of the former and latter is almost 1:1. Therefore, coexistence of two types of morphology is not attributed to isotope effect but to PDI. Larger M_w samples > *l*-9K g/mol show only spherulites. These morphological transitions may be related to entanglement ($M_e = 7.7^{42}\text{--}8.0\text{ K g/mol}$). *Figure 1d* provides a ^{13}C cross-polarization (CP) magic angle spinning (MAS) NMR spectrum for *l*-24k/*n*-20k blends with a mixing ratio of 1:9 measured at ambient temperature. Detailed NMR experimental conditions are given in *SI*. ^{13}C CH_3 signals give ~3.8-fold higher peak area than the CH and CO signals due to the ^{13}C isotope effect. The same intensity ratio of CH_3 to CH carbon guarantees the same blending ratio in seven blends (*Figure S4*). All CH_3 , CH, and CO groups show fine splitting with numbers of 2, 4, and 5, respectively.^{44,45} These peaks correspond to inequivalent conformation sites in 10_3 helix in the thermodynamically stable α crystal.⁴⁶ Sharp and broad Lorentzian peaks corresponding to the crystalline and amorphous signals, respectively, were applied to either the CO or CH peak. Crystallinity for the *l*-24k blend is determined to be 84%. It was found that crystallinity decreases to 74% with increasing M_w (*Figure S4*).

The packing structure of seven *l*-PLLA were investigated by using ^{13}C – ^{13}C DQ NMR spectroscopy (see details in the *Experimental Section in the SI*).⁴¹ *Figure 2a,b* shows ^{13}C – ^{13}C DQ buildup curves for *l*-4k (pink circle), 9k (blue), and 24k (cyan), and 45k (green), 74k (orange), and 300k (red), respectively. All six buildup curves are very consistent with each other. Statistical spin-dynamics simulation⁴⁷ was conducted based on the atomic coordinates of the CH_3 group for PLLA α crystal determined by using fiber X-ray diffraction (closest stem–stem (SS) distance is 6.1 Å),⁴⁶ where all statistical dipolar interactions with a distance within 7 Å were taken into consideration (see refs 29, 33, and 41). One of the possible spin systems including a reference (red) and 13 surrounding spins (blue) is schematically depicted in *Figure 2a*. In addition, DQ buildup curves were further simulated under the assumption of SS distance of 5.9 and 6.3 Å. The simulated curves with SS distance of 5.9 (dotted black), 6.1 (solid), and 6.3 Å (dashed) with a relaxation parameter of $T_2 = 9.8\text{ ms}$ were plotted in *Figure 2a* and with the distance of 6.1 Å (solid red) in *Figure 2b*. By comparison of the experimental curve with the simulated ones, it is concluded that six *l*-4k–300k samples adopt the same SS distance of 6.1 Å in the crystalline region.⁴⁶ ^{13}C – ^{13}C DQ buildup curve for *l*-2k (open black circle) was slightly faster and peak maximum height was lower than those of others, was plot in *Figure 2b*. The experimental curve was reproduced by using SS = 5.9 Å and $T_2 = 8.4\text{ ms}$ (black solid curve). The atomic coordinates of ^{13}C -labeled nuclear spins and the T_2 values used for the packing analysis were further used for the chain-folding analysis of seven *l*-/*n*-PLLA blends.

In the *l*-/*n*-PLLA blends with a mixing ratio of 1:9, dipolar interactions dominantly originate from intramolecular interactions of the *l*-PLLA chain diluted in the *n*-PLLA matrix. *Figure 3a* schematically illustrates one example of ^{13}C spin distribution of the *l*-PLLA chain, where ^{13}C stems connected via folding with $n = 0, 1$, and 2 being highlighted by pink circles. Folding generates intrachain dipolar interactions and thus increases the DQ curve's height depending on n (*Figure 3a*). Note that minor effects of statistical ^{13}C -labeled

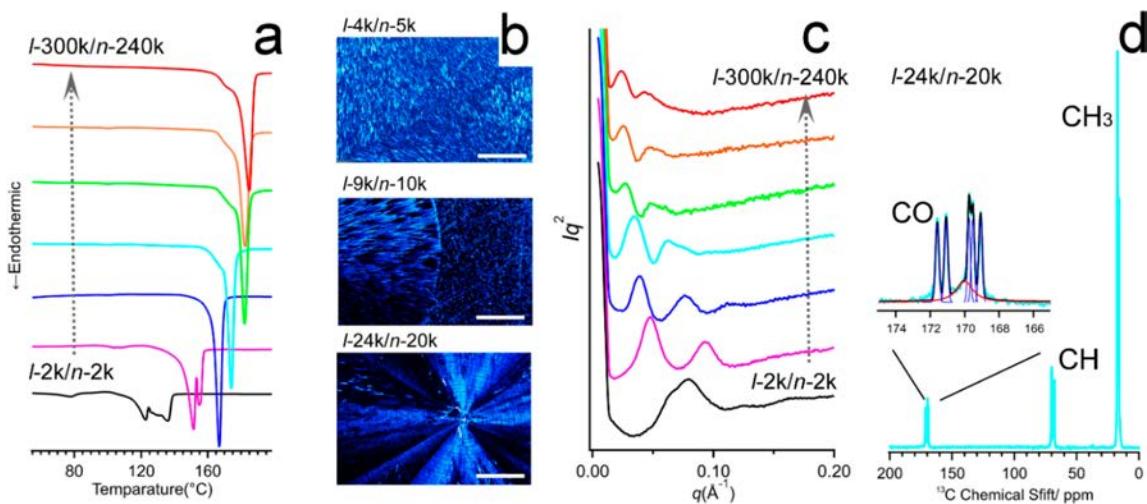


Figure 1. (a) DSC heating curves with a heating rate of $10\text{ }^{\circ}\text{C}/\text{min}$ and (c) SAXS patterns of l/n -PLLA blends as a function of M_w . (b) POM images for the $l-4\text{k}/n-5\text{k}$ (top), $l-9\text{k}/n-10\text{k}$ (middle), and $l-24\text{k}/n-20\text{k}$ blends (bottom). The white scale bar represents $500\text{ }\mu\text{m}$. (d) ^{13}C CPMAS NMR spectrum for the $l-24\text{k}/n-20\text{k}$ blend, respectively, at the MAS frequency of $10\text{k} \pm 5\text{ Hz}$. The expanded spectrum for the CO group with the best-fitted peaks.

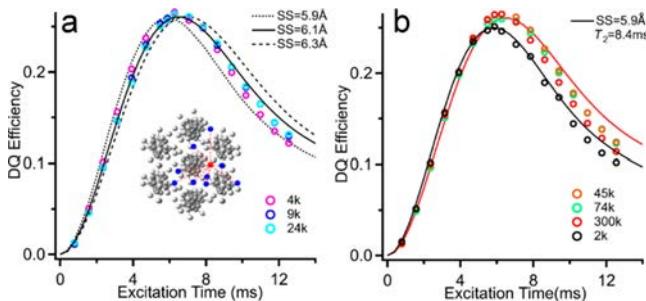


Figure 2. Experimental DQ buildup curves (open circle) for (a) $l-4\text{k}$ (pink), $l-9\text{k}$ (blue), and $l-24\text{k}$ (cyan) and (b) $l-45\text{k}$ (green), $l-74\text{k}$ (orange), $l-300\text{k}$ (red), and $l-2\text{k}$ (black), with simulated DQ buildup curves with (a) $T_2 = 9.8\text{ ms}$ and $SS = 5.9\text{ \AA}$ (dotted black curve), 6.1 \AA (solid) and 6.3 \AA (dashed), and with (b) $SS = 6.1\text{ \AA}$ and $T_2 = 9.8\text{ ms}$ (solid red), and $SS = 5.9\text{ \AA}$ and $T_2 = 8.4\text{ ms}$ (solid black).

interchain²⁹ and natural abundance of ^{13}C CH_3 carbon⁴¹ were taken into consideration.

Figure 3b-d depicts DQ buildup curves for l/n -PLLA blends as a function of M_w . It is found that the DQ curve height increases with increasing M_w up to 74K g/mol and is finally saturated in the high M_w range. Depending on the experimental results, different three regimes could be identified as follows: In regime I, the DQ curve for $l-2\text{k}$ in the blend was fitted with $n = 0$. Namely, the lowest M_w sample forms ECC. Besides, the ECC structure is supported by $L_0 = 7.9\text{ nm}$, which is like $L_{\text{ECC}} = 7.8\text{ nm}$ under the assumption of 10_3 helical conformation. In $M_w \geq 4000\text{ g/mol}$, L_{ECC} is longer than L_0 (Table 1). L_0 no longer gives information about the chain-level structure. In regime II ($M_w = 4\text{K}-45\text{K g/mol}$), the n value for $l-4\text{k}$ was determined to be 0.2 (Figure 3c). This structure can be represented in terms of mixture of non- and once-folded structure, and the former is dominant. With increasing M_w slightly larger than M_e ,^{42,43} the n value jumped up to 0.6 for $l-9\text{k}$ (Figure 3c). Further increasing M_w increased n to 0.7 for $l-24\text{k}$ (Figure S5) and 0.9 for $l-45\text{k}$ (Figure 3c). These findings indicate that intermolecular packing is gradually replaced by intramolecular packing via folding above M_e in regime II. There is a positive correlation between the entanglement

density and folding number. In regime III ($M_w \geq 74\text{ k g/mol}$), DQ curve height reached a maximum and was independent of M_w as demonstrated in Figure 3d. The best-fitting curve to the experimental one gave $n = 1.0$, where the chance for intramolecular packing is the highest among seven samples and equal to that for intermolecular packing. Note that the n value of 1.0 in regime III is slightly lower than the previously reported n value of 1.5–2.0 in PLLA with different M_w s.³⁹ Current simulation includes the natural abundance effect of ^{13}C CH_3 carbon and thus accurately determines the n value.⁴¹

According to the secondary nucleation theory,^{12,13} polymer chains are dragged into the growth front and are partially disentangled and fold on the growth front. The degree of disentanglement would depend on chain mobility as well as the entanglement number. To minimize the difference in chain mobility, ΔT was set to 25–30 °C. The $l-9\text{k}$ and $l-24\text{k}$ samples have smaller entanglement numbers of 1 and 2, respectively, prior to crystallization than the $l-300\text{k}$ sample (ca. 38). Considering L_0 and L_{ECC} , it was expected that $l-9\text{k}$ and 24k samples ideally fold more than 1 and 2 times, respectively. However, the former and latter fold only 0.6 and 0.7 times, which are lower than the expectation as well as $n = 1.0$ for the higher M_w ones. This fact means that even though disentanglement partially occurs in the intermediate M_w samples, the disentangled chains do not prefer folding and rather form intermolecular packing. The intermolecular packing is simply explained in terms of the high concentration of the PLLA chains in the highly condensed melt. Another important finding is that hairpin structure (intramolecular packing) is formed only in the well-developed entangled networks. The unique M_w dependence of folding can be naturally explained in terms of induction by entanglements. Namely, entanglement has a positive impact on the folding of semicrystalline polymers; however, it limits adjacent re-entry number ($n = 1.0$) during crystallization. This mechanism explains our recent observation that a rapidly quenched PLLA glass adopts the same hairpin structure with the α crystals.⁴¹ Traditionally, it has been believed that chain-folding structure is located at the crystal–amorphous interface in the polymer crystals.^{12,13} However, there is no experimental evidence to

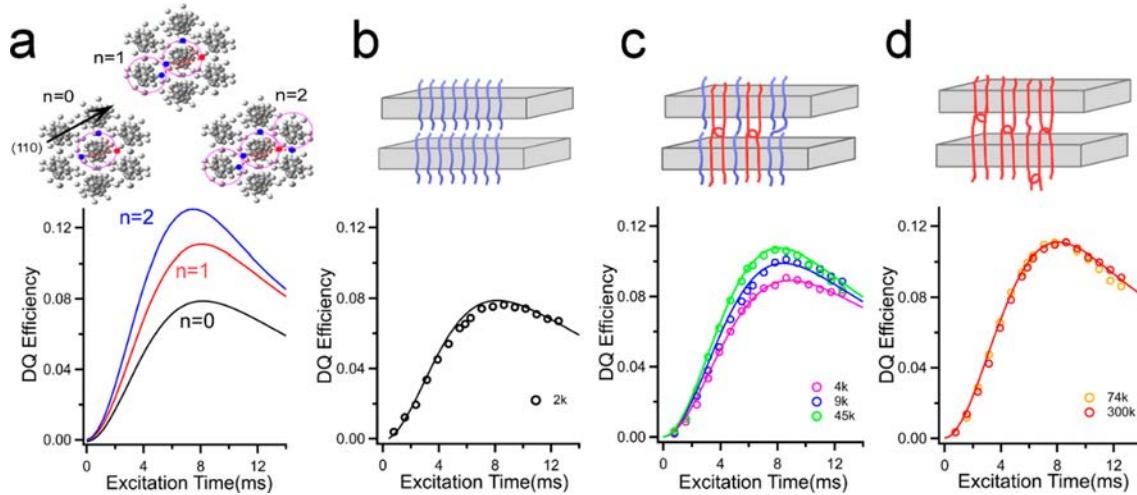


Figure 3. (a) Schematic illustrations of the chain-folding structure of *l*-PLLA with $n = 1$ and 2 along the crystallographic (110) direction and corresponding DQ simulations curves with $SS = 6.1$ Å and $T_2 = 9.8$ ms, and ECC structure with $n = 0$ and corresponding simulation curve with $SS = 5.9$ Å and $T_2 = 8.4$ ms (black curve). ^{13}C -labeled stems are illustrated by pink circles and ^{13}C atoms are highlighted by red (reference one) and blue circles (surrounding ones). Experimental DQ buildup curves of (b) *l*-2k (black open circle), (c) *l*-4k (pink), *l*-9k (blue), *l*-45k (green), and (d) *l*-74k (orange), and *l*-300k (red) blends and best-fit simulation curves to *l*-2k, *l*-4k, *l*-9k, and *l*-300k blends with $n = 0$ (black solid curve), 0.2 (pink), 0.6 (blue), 0.9 (green), and 1.0 (red). Schematic illustrations for (b) ECC ($n = 0$), (c) mixture of non- and once-folded structure, and (d) once-folded structure ($n = 1.0$).

support a tight fold in the melt-grown crystals. The newly established relationship between folding and entanglement revises not only the folding mechanism, but also the locations and roles of folding in the melt-grown crystals. Our finding suggests the following scenario in polymer crystallization. Initially, entanglement of two chains naturally generates a loose fold loop in the melt state. Subsequent cooling induces chain stiffening and decreases M_e . This process results in tight folding.²⁰ Afterwards, nucleation and growth induce conformational and packing ordering accompanying partial disentanglements, but they still preserve the topological constraints. Crystallization pushes out some folding structures, as well as entanglements from the crystalline region. As results, some may be located at the crystal–amorphous interface but others in the amorphous region (Figure 3c,d). Therefore, the folding structure liked with entanglement might play a vital role for morphological development,^{2–4} selections of lamellar thickness,^{48,49} crystal–crystal transition,¹⁰ deformation and mechanical property,^{11,50} etc., of semicrystalline polymers.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acsmacrolett.3c00364>.

Synthesis and recycling protocol, experimental conditions, ^1H solution-state NMR spectrum of ^{13}C 33% labeled (*l*) lactide, ^{13}C CPMAS spectra for *l*-PLLA and their blends, POM images for *l*-2k, *l*-45k, *l*-74k, and *l*-300k blends and DQ buildup curves for *l*-24k and *l*-74k blends and simulation curves (PDF)

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Author Contributions

F.J. and C.S. synthesized samples. F.J and Z.H. conducted DQ buildup curve simulations. P.P. and T.M. conceived and

designed experiments. F.J., Z.H., Y.Z., J.M., and N.K. conducted NMR, SAXS, POM, and DSC experiments. The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript. CRediT: **Fan Jin** data curation (lead), formal analysis (lead), investigation (lead), methodology (lead), resources (lead), software (lead), writing-original draft (lead), writing-review & editing (supporting); **Zheng Huang** formal analysis (equal), investigation (equal), methodology (equal), writing-original draft (supporting), writing-review & editing (supporting); **Ying Zheng** data curation (equal), formal analysis (equal), writing-original draft (supporting), writing-review & editing (supporting); **Navin Kafle** formal analysis (supporting), supervision (supporting), writing-original draft (supporting), writing-review & editing (supporting); **Jiayang Ma** formal analysis (supporting), writing-original draft (supporting), writing-review & editing (supporting); **Pengju Pan** conceptualization (supporting), supervision (equal), writing-original draft (supporting), writing-review & editing (supporting); **Toshikazu Miyoshi** conceptualization (lead), data curation (lead), formal analysis (lead), funding acquisition (lead), investigation (equal), methodology (lead), project administration (lead), resources (lead), software (lead), supervision (lead), validation (lead), visualization (lead), writing-original draft (lead), writing-review & editing (lead).

Notes

The authors declare no competing financial interest.

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