

1 **Natural organic matter flocculation behavior controls lead phosphate**
2 **particle aggregation by mono- and divalent cations**

4 Juntao Zhao¹, Riya A. Mathew¹, David S. Yang², Peter G. Vekilov², Yandi Hu^{1,3,4}, and Stacey
5 M. Louie^{1*}

7 ¹*Department of Civil & Environmental Engineering, University of Houston, Houston, TX*
8 *77004, USA*

9 ²*Department of Chemical and Biomolecular Engineering, University of Houston, Houston, TX*
10 *77004, USA*

11 ³*College of Environmental Sciences and Engineering, Peking University, Beijing, China,*
12 *100871*

13 ⁴*State Environmental Protection Key Laboratory of All Material Fluxes in River Ecosystems,*
14 *Beijing, China, 100871*

16 *** Corresponding Author:**

17 E-mail: slouie@uh.edu

18 Phone: (713)743-8646

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21 **Abstract**

22 Phosphate addition is commonly applied as an effective method to remediate lead
23 contaminated sites via the formation of lead phosphate with low solubility. ~~However, T~~he
24 effects of natural organic matter (NOM)~~)~~on the particle aggregation and the potential influence
25 of the molecular weight and chemistry of the NOM on the particle aggregation, however, are not
26 yet currently well understood. This study investigates the influence of two aquatic NOM and two
27 soil or coal humic acid (HA) extracts on the aggregation behavior of lead phosphate particles
28 and explores the controlling mechanisms. All types of NOM induced disaggregation and steric
29 stabilization of the particles in the presence of Na^+ or low (1 mM) Ca^{2+} concentrations, as well
30 as at low NOM concentrations (1 mg_C/L). However, for the soil and coal HA, a threshold at
31 NOM concentrations of 10 mg_C/L and high (3 mM) Ca²⁺ concentrations was observed where
32 bridging flocculation (rather than steric stabilization) occurredat NOM concentrations of 10
33 mg_C/L and high (3 mM) Ca²⁺ concentrations. *In situ* attenuated total reflectance – Fourier
34 transform infrared experiments characterization confirmed adsorption of the soil and coal humic
35 acid extracts (10 mg_C/L) onto the surface of the lead phosphate particles in 3 mM Ca^{2+} , while
36 whereas dynamic and static light scattering demonstrated extensive HA flocculation that
37 dominated the overall scattered light intensities. These results imply that the accelerated
38 aggregation was induced by a combination of HA adsorption and bridging flocculation by Ca^{2+} .
39 Overall, this research demonstrates that the type of NOM is critical to predict the colloidal
40 stability of lead phosphate particles, with aquatic NOM stabilizing the particles under all
41 conditions evaluated but soil or coal HA showing highly variable stabilization or flocculation
42 behavior depending on the HA and Ca^{2+} concentrations. These results highlight the importance

43 of characterizing both the NOM and background electrolytes to better predict lead particle
44 transport and exposure risks in applications of phosphate for lead remediation.

45

46 **1. Introduction**

47 Lead contamination is commonly observed in soils or waters that have historically been
48 impacted by lead sources. As a result, elevated blood lead levels have been reported worldwide
49 (Deshommes et al., 2018; Hanna-Attisha et al., 2016; Wu et al., 2020), which can induce severe
50 health impacts, particularly in children (Zhang et al., 2012). To mitigate lead transport into water
51 supplies and hence exposure and its bioavailability, phosphate addition has been proposed as an
52 effective method to immobilize lead via the formation of lead phosphates with low solubility
53 (Ruby et al., 1994). In environmental engineering applications, phosphate species such as apatite
54 have been widely used to immobilize Pb in lead contaminated sites (Guo et al., 2019; Ma et al.,
55 1993; Mavropoulos et al., 2002). Mavropoulos et al. conducted batch experiments to test sorption
56 of Pb by synthetic hydroxyapatite (HAP). They found an unstable solid solution, $Pb_{(10-x)}Ca_x(PO_4)_6(OH)_2$ (PbCaHAP), formed, and eventually it transformed to pure
57 hydroxylpyromorphite ($Pb_{10}(PO_4)_6(OH)_2$) (Mavropoulos et al., 2002). However, if the lead
58 phosphate particles are mobile in water, however, the phosphate treatment would not be effective
59 in mitigating lead transport. Hence, the colloidal stability of the lead phosphate is important to
60 characterize to-better predict treatment efficiency.

62 Natural organic matter (NOM) is commonly presented in both aquatic environments and
63 soils and is well known to affect the aggregation behavior of nanoparticles and colloids. To date,
64 studies on NOM impacts on lead immobilization have been carried out primarily in whole soils
65 or have investigated the effects of small organic acids as model compounds. Guo et al. compared
66 the reduction of Pb availability in three types of soils with different pH treated with bioapatite
67 in the presence and absence of oxalic acid, and found that oxalic acid facilitated the remediation
68 by increasing the solubility of apatite for lead phosphate formation and that soil with neutral pH

69 was the most suitable type for this remediation (Guo et al., 2019). Wei et al. reported a similar
70 observation for oxalic acid. In addition, they observed that the presence of malic and citric acids
71 inhibited the immobilization of Pb by apatite materials due to the formation of Pb-organic
72 complexes (Wei et al., 2014). Landrot and Khaokaew fractionated soils by size and measured
73 the speciation of Pb in each fraction. They found that in fine particles Pb-humate in fine particles
74 was the highest, while whereas it was undetected in bulk soil (Landrot and Khaokaew, 2018).
75 Our prior study showed that a coal-derived humic acid enhanced the colloidal stability and
76 transport of lead phosphate particles in column deposition experiments in the presence of 0.2
77 mM Ca^{2+} (Zhao et al., 2022, 2018). Together, these studies suggest that NOM interactions can
78 be especially important for lead-containing colloids that may pose a higher transport risk from
79 soils, and that different compositions of organic matter can either enhance or diminish the
80 immobilization efficiency by phosphate remediation.

81 A more systematic understanding of the effect of organic matter extracts from various
82 natural sources on the colloidal stability of the lead phosphate particles would be beneficial to
83 better predict their fate and transport under a broader range of environmental conditions. NOM
84 properties that could influence the colloidal stability of the particles include the functional group
85 composition (for example, carboxyl, amine, and thiol groups can participate in metal
86 complexation or attachment of the NOM onto particle surfaces (Bala et al., 2007; Deonarine and
87 Hsu-Kim, 2009; Jalilehvand et al., 2015)) and the molecular weight of the NOM. For example,
88 Deonarine et al. observed that the aggregation rate of ZnS nanoparticles decreased with
89 increasing aromatic carbon content in NOM (Deonarine et al., 2011), and Louie et al. found that
90 the high molecular weight fraction of various types of NOM imparted greater colloidal stability
91 than lower molecular weight fractions for Au nanoparticles in monovalent electrolytes (Louie et

92 al., 2015a, 2013). In contrast, Vindedahl et al. found that fulvic acids were more efficient in
93 hindering goethite aggregation than humic acids, as fulvic acids have a higher charge density
94 (Vindedahl et al., 2016). Further variability is observed when comparing aggregation in
95 monovalent or divalent cations. Shen et al. reported that the high molecular weight fractions of
96 Suwannee River NOM stabilized nC₆₀ nanoparticles in monovalent electrolyte, but they
97 promoted nC₆₀ aggregation in high concentrations of divalent electrolytes because of bridging
98 flocculation by Ca²⁺ or Mg²⁺ (Shen et al., 2015). Huangfu et al. also observed that the
99 aggregation of MnO₂ was facilitated due to bridging of high molecular weight alginate-Ca²⁺
100 complexes in > 5 mM Ca²⁺ (Huangfu et al., 2013). It is noted that Ca²⁺ can induce flocculation
101 of NOM itself (without particles) via charge screening and bridging effects (Kloster et al., 2013).
102 However, prior studies on particle aggregation did not specifically distinguish the roles of
103 particle-particle attachment, NOM-NOM attachment, and NOM-particle attachment in the
104 overall aggregation process in systems where bridging flocculation was observed.

105 The main objective of this study is to compare the effects of well-characterized reference
106 NOM extracts from various sources (two aquatic NOMs, and two soil- or coal-derived humic
107 acids) to identify key NOM properties and processes that control the colloidal stability of lead
108 phosphate particles. Aggregation experiments of lead phosphate particles were carried out in the
109 presence and absence of NOM and monovalent (Na⁺) or divalent (Ca²⁺) cations. In addition to
110 investigating the size and zeta potential of the particles, ultraviolet-visible (UV-Vis)
111 spectroscopy and size exclusion chromatography (SEC) were utilized-employed to assess the
112 molecular weight of the NOM, and the aggregation behavior of the NOM itself in the various
113 background electrolytes was also evaluated. To investigate the various interactions of NOM with
114 the lead phosphate particles, *in situ* attenuated total reflectance – Fourier transform infrared

115 (ATR-FTIR) spectroscopy was used to measure NOM adsorption, inductively coupled plasma
116 mass spectroscopy (ICP-MS) was used to evaluate lead dissolution, and static light scattering
117 (SLS) was used to evaluate the structure of particle or NOM flocs. This thorough characterization
118 shed light on enabled the mechanisms involved in the particle-NOM ~~interactions to be~~
119 ~~elucidated~~, including adsorption of NOM onto the lead phosphate particles, particle
120 dissagglomeration or dissolution by NOM, and colloidal stabilization or flocculation induced by
121 NOM. This knowledge can contribute to an improved understanding of how the effectiveness of
122 phosphate remediation of lead-contaminated sites depends on the environmental conditions.

123

124 **2. Experimental Section**

125 ***2.1. Precipitation and characterization of lead phosphate suspensions***

126 Stock solutions of 10 mM Pb(NO₃)₂ (ACS reagent, ≥ 99.0%, Sigma-Aldrich, St. Louis,
127 MO), 100 mM Na₂HPO₄ (99+ %, Acros Organics, Morris Plains, NJ), 100 mM NaH₂PO₄ (99%,
128 Acros Organics, Morris Plains, NJ), and 1 M NaNO₃ (ACS reagent, ≥ 99.0%, Sigma-Aldrich, St
129 Louis, MO) were prepared using aerated ultrapure water (resistivity > 18 mΩ). The stock
130 solutions were used to precipitate lead phosphate particles (Table S1). In detail, 70 µL of 100
131 mM Na₂HPO₄, 80 µL of 100 mM NaH₂PO₄, 45 µL of 1 M NaNO₃, and 14.655 mL of ultrapure
132 water were mixed well in a 15 mL centrifuge tube; then, 150 µL of 10 mM Pb(NO₃)₂ was added
133 to start the precipitation. The mixture was vortexed for 5 s immediately after the addition of
134 Pb(NO₃)₂. The synthesized particles were characterized by DLS for the *z*-average diameter using
135 a cumulants fit (Zetasizer Nano ZS, Malvern Panalytical, Malvern, UK). Zeta potential was
136 measured using a dip cell (ZEN1002, Malvern Panalytical, Malvern, UK) with voltage set to 5

137 V and using the Smoluchowski model to convert electrophoretic mobility to apparent zeta
138 potential.

139 ***2.2. Preparation and characterization of NOM stock solutions***

140 Four types of NOM were purchased from the International Humic Substances Society
141 (IHSS, St. Paul, MN) that encompass a range of properties (molecular weight and functional
142 group compositions): Upper Mississippi River NOM (UMRNOM, cat. no. 1R110N), Suwannee
143 River NOM (SRNOM, 2R101N), Pahokee Peat humic acid (PPHA, 1S103H), and Leonardite
144 humic acid (LHA, 1S104H). Tables S2 and S3 show the properties of the selected NOM reported
145 by the IHSS, including their elemental compositions and functional group distributions
146 (International Humic Substances Society (IHSS), 2022). According to the different isolation
147 methods used to extract these NOM, the aquatic UMRNOM and SRNOM are expected to contain
148 more hydrophilic, lower molecular weight species, whereas PPHA and LHA contain more
149 hydrophobic, higher molecular weight species (Thurman, 1985). Indeed, carboxyl density
150 increase from LHA to PPHA to SRNOM to UMRNOM (Table S2), while aromaticity increases
151 following the opposite sequence (Table S3). For S content, UMRNOM > SRNOM > LHA >
152 PPHA, and for N content, PPHA > UMRNOM > SRNOM > LHA.

153 Stock solutions of the four types of NOM were prepared. Glass total organic carbon (TOC)
154 vials were cleaned, heated in a furnace at 550°C for 2 h to remove any organic matter residues,
155 and cooled at room temperature for preparation of all NOM stocks and TOC analysis. For each
156 NOM type, 20 mg of NOM was added to a clean glass vial along with 20 mL of ultrapure water,
157 the pH of the NOM solution was adjusted to 7 using 1 M and 0.1 M NaOH, and the NOM solution
158 was rotated end-over-end overnight to dissolve. After rotation, the solutions were filtered
159 through 0.22 µm polyethersulfone (PES) membrane filters (EMD Millipore, Burlington, MA),

160 and the filtrate was diluted and measured using a TOC analyzer (TOC-L, Shimadzu, Columbia,
161 MD) to obtain the C concentration in the filtered stocks.

162 UV-Vis absorbance spectra were collected on a spectrophotometer (UV-2600, Shimadzu,
163 Columbia, MD) on the four NOM samples at 10 mgC/L in ultrapure water. The spectra were
164 collected in a quartz cuvette across a wavelength range from 800 nm to 200 nm. The percentage
165 of aromatic functional groups was estimated using previously reported correlation equations by
166 Abbt-Braun et al. and Chin et al. based on the specific UV absorbance at 254 nm and 280 nm,
167 respectively (Abbt-Braun et al., 2004; Chin et al., 1994). The spectra were also fitted by an
168 exponential model to determine the slope coefficient (S), which is inversely related to molecular
169 weight (Equation 1) (Bricaud et al., 1981):

$$170 \quad A_{\lambda} = A_{\lambda_0} \exp [-S(\lambda - \lambda_0)] \quad (1)$$

171 where A_{λ} is the absorbance at wavelength λ , and A_{λ_0} is the absorbance at a reference wavelength
172 (450 nm here). This analysis allows for qualitative comparison of the molecular weights of
173 the four types of NOM.

174 To quantitatively estimate molecular weights and investigate the molecular weight
175 distribution of the NOM, the filtered NOM stocks (nominal 1 g/L) were characterized by size
176 exclusion chromatography (SEC). All samples were analyzed on an Agilent 1290 Infinity liquid
177 chromatography system (Agilent Technologies, Santa Clara, CA) using a $100 \mu\text{L}$ injection
178 volume onto a Superdex 75 10/300 GL analytical SEC column (GE Healthcare, Piscataway, NJ),
179 followed by a UV-Vis diode array detector (Agilent 1260 Infinity, Agilent Technologies, Santa
180 Clara, CA), multi-angle light scattering (MALS) detector (HELEOS II, Wyatt Technology, Santa
181 Barbara, CA), and differential refractive index (dRI) detector (Optilab T-rEX, Wyatt Technology,
182 Santa Barbara, CA). The mobile phase was 4 mM phosphate (pH 7) with 25 mM NaCl, and the

183 flow rate was 0.5 mL/min. The UV-Vis detector was set to monitor the 280 nm wavelength.
184 Molecular weight was estimated on the NOM samples using the dRI detector for concentration
185 with Zimm analysis on the MALS data collected at 10 scattering angles from 37.5° to 120.1°.
186 The refractive index increment, dn/dc , is required to determine mass concentration from the dRI
187 signal. Measured values of 0.146 mL/g for SRNOM (Shakiba et al., 2018) and 0.27 mL/g for
188 PPHA (Louie et al., 2015b) were determined in our prior studies. The dn/dc for UMRNOM and
189 LHA were determined in this study by batch syringe injection of six calibration solutions (from
190 5 mg/L to 100 mg/L total mass) diluted in ultrapure water from a 1 g/L unfiltered stock solution
191 (prepared in ultrapure water and adjusted to pH 7 with NaOH), which yielded calibration slopes
192 (dn/dc) of 0.212 mL/g and 0.250 mL/g for UMRNOM and LHA, respectively.

193 ***2.3. Lead phosphate and NOM aggregation experiments***

194 To characterize the aggregation behavior of lead phosphate particles in the presence of
195 NOM and different electrolytes, time-resolved DLS measurements were conducted. All samples
196 were prepared-diluted to a total volume of 1 mL in a DLS cuvette (ZEN0040, Malvern
197 Instruments Ltd.) with a final mixture concentration of 1 mg/L (as Pb) of lead phosphate particles;
198 (0, 1, or 10) mg as C/L of NOM; and no added salts, 100 mM NaNO₃ or (1 or 3) mM of Ca(NO₃)₂
199 for the background electrolyte. These NOM concentrations were selected because they are within
200 the typical dissolved organic carbon (DOC) concentration range in natural waters (0.5 to 30
201 mgC/L) (Thurman, 1985). The electrolyte concentrations were selected because they are all
202 above the CCC of the lead phosphate particles as measured in our prior studies (Zhao et al., 2022,
203 2018), which allows us to most clearly observe the influence of the NOM to either stabilize
204 particles, i.e., change the CCC, or destabilize the particles by bridging, i.e., induce more rapid
205 agglomeration than the “diffusion” limit (Abe et al., 2011; Chen and Elimelech, 2007; Ghosh et

206 al., 2008; Liu et al., 2011; Tiller and O'Melia, 1993). The Ca^{2+} concentrations are also within
207 the range previously reported for slow to fast aggregation of a humic acid (Kloster et al., 2013).

208 To minimize delay time between sample preparation and the start of the DLS data
209 collection, the optimal attenuator and measurement position settings were determined by
210 allowing the Zetasizer instrument to automatically optimize these settings on a sample of 1 mg/L
211 (as Pb) of lead phosphate particles in DI water; then, the same settings were manually set for the
212 time-resolved measurements. To prepare the sample mixtures, ultrapure water, NOM stock (for
213 conditions with NOM), and lead phosphate suspension were first added to the DLS cuvette,
214 vortexed for 5 s, and held for 15 min to allow NOM adsorption; the particle size was measured
215 every 3 min. Then, NaNO_3 or $\text{Ca}(\text{NO}_3)_2$ stock solution (10 mM $\text{Ca}(\text{NO}_3)_2$ (from $\text{Ca}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$,
216 ACS reagent, 99+%, Acros Organics, Morris Plains, NJ) or 1 M NaNO_3 in ultrapure water) was
217 added to achieve the desired concentration in the mixture. The final mixture was vortexed for 5
218 s, and the particle size was recorded every 15 s for 15 min continuously. For comparison, similar
219 measurements were conducted on lead phosphate particles in the absence of NOM, as well as
220 lead phosphate particles with or without NOM in ultrapure water without any additional salts
221 (Ca^{2+} or Na^+). In addition, NOM-only controls were measured in all background electrolytes. At
222 the end of the experiment, a dip cell (ZEN1002, Malvern Panalytical, Malvern, UK) was capped
223 on the cuvette cell, and zeta potential was measured. Because agglomeration of the particles,
224 PPHA, and LHA were observed in the presence of 3 mM Ca^{2+} , the CCC was also determined by
225 conducting time-resolved DLS measurements at varied $\text{Ca}(\text{NO}_3)_2$ concentrations.

226 To better interpret the DLS results on samples where aggregation was observed, static
227 light scattering (SLS) measurements were conducted on the lead phosphate particle suspensions
228 in all of the background electrolytes without NOM, the PPHA or LHA (without lead phosphate)

229 in 3 mM $\text{Ca}(\text{NO}_3)_2$, and the mixtures of particles with PPHA or LHA in 3 mM $\text{Ca}(\text{NO}_3)_2$. Details
230 on the SLS measurements can be found in the Supporting Information (SI).

231 **2.4. Lead dissolution experiments**

232 Under several conditions, particularly 10 $\text{mg}_\text{C}/\text{L}$ in 1 mM $\text{Ca}(\text{NO}_3)_2$, a decrease in particle
233 size was observed in the aggregation experiments. To evaluate potential lead phosphate dissolution,
234 additional experiments were performed to pellet the particles by centrifugation and quantify lead
235 in the supernatant by inductively coupled plasma – mass spectrometry (ICP-MS). First, 2 mL of a
236 mixture of lead phosphate particles (1 mg/L as Pb), 1 mM $\text{Ca}(\text{NO}_3)_2$, and 10 $\text{mg}_\text{C}/\text{L}$ of NOM.
237 Controls were also prepared of the lead phosphate particles in 1 mM $\text{Ca}(\text{NO}_3)_2$ without NOM.
238 After holding the samples for 15 min (the duration of the aggregation measurements), the samples
239 were then centrifuged at 13000 rpm (11337 g) for 15 min (MiniSpin Plus, Eppendorf, Enfield,
240 CT), and 1.5 mL of supernatant was collected. Based on the sedimentation equation and given the
241 rotor geometry, centrifugal force, and lead phosphate density of 7 g/cm³, it is estimated that
242 nanoparticles with diameters > 30 nm will settle from the top to the bottom of the centrifuge tube
243 under the applied conditions. Control samples were also prepared that were not centrifuged, in
244 order to confirm the total lead concentration. All samples were prepared for ICP-MS analysis by
245 diluting the samples and acidifying to 2% nitric acid (TraceMetal grade, Fisher Scientific,
246 Waltham, MA). The ICP-MS analysis for ²⁰⁸Pb was conducted on an iCAP RQ quadrupole ICP-
247 MS (ThermoFisher Scientific, Waltham, MA) against external calibration standards. The sample
248 flow rate was 0.4 mL/min and dwell time was 10 ms.

249 **2.5. Adsorption experiments**

250 **2.5.1. UV-Vis spectroscopy**

251 To investigate adsorption of NOM onto the lead phosphate particles, adsorption

252 experiments were performed in a laminar flow hood (Air Science, Fort Myers, FL). Stock
253 solutions and ultrapure water were mixed in a 2 mL microcentrifuge tube to obtain a lead
254 phosphate concentration of 10 mg/L (as Pb) and NOM concentration of 10 mgC/L in either
255 ultrapure water, 100 mM NaNO₃, or 1 mM or 3 mM Ca(NO₃)₂. It is noted that a higher particle
256 concentration was selected compared to the aggregation experiments because the percent
257 removal of NOM from solution by adsorption is expected to be low. The mixture was held for
258 15 min, and then centrifuged at 13000 rpm (11337 g) (Eppendorf, MiniSpin Plus, Enfield, CT,
259 USA) for 15 min. Then, 1.8 mL of the supernatant was collected and measured by UV-Vis
260 spectroscopy (UV-2600, Shimadzu, Columbia, MD). Control samples of NOM in the absence
261 of lead phosphate particles, with and without centrifuging, were also measured.

262 2.5.2. *In situ* attenuated total reflectance – Fourier transform infrared (ATR-FTIR) spectroscopy

263 *In situ* ATR-FTIR measurements were also conducted to evaluate NOM adsorption onto
264 the lead phosphate particles. The advantage of the *in situ* flow cell experiment is that adsorption
265 of NOM to the particle surface is directly probed. In other, indirect methods that require particles
266 to be removed by centrifugation or ultrafiltration to quantify depletion of the unadsorbed NOM
267 in solution, large or dense NOM flocs or aggregates may be removed along with the particles; in
268 addition, solution depletion measurements are not sensitive to small concentration changes if the
269 adsorptive removal is low. In contrast, the *in situ* ATR measurements have a limited IR
270 penetration depth beyond the ATR crystal and hence selectively investigate the particles
271 deposited on the ATR crystal, rather than the bulk NOM solution. Settling deposition of NOM
272 is also minimized by continuously flowing the solution over the particles during the experiment.

273 Experiments were conducted on a diamond/ZnSe single reflection ATR crystal equipped
274 with a flow cell apparatus (PIKE Technologies, Fitchburg, WI), and FTIR spectra were collected

275 on a Nicolet iS50 FTIR spectrometer (Thermo Fisher Scientific, Waltham, MA) from 800 cm^{-1}
276 to 4000 cm^{-1} at 2 cm^{-1} resolution with 200 scans averaged per measurement. To prepare a
277 concentrated particle suspension for deposition onto the ATR crystal, 2 mL of lead phosphate
278 particle stock as-synthesized (20 mg/L as Pb) was pelleted by centrifugation at 13000 rpm
279 (11337 g) for 15 min (Eppendorf, MiniSpin Plus, Enfield, CT, USA) and was further
280 concentrated by two more additions and centrifugation of lead phosphate stock after removing
281 supernatant. To remove excess phosphate in the solution, the concentrated particles were then
282 washed three times by replacing the supernatant with ultrapure water, centrifuging, and
283 removing supernatant. Based on mass balance calculations on measured masses at each
284 centrifugation and dilution step, the final concentration of washed particles was (2.35 ± 0.03)
285 g/L as Pb and the phosphate concentration was $(5.40 \pm 0.07) \times 10^{-6}$ mM. A volume of 6 μL of
286 the washed, concentrated NPs was deposited and dried on ATR crystal. The spectrum of the
287 dried lead phosphate particles was collected.

288 The flow cell apparatus was clamped over the diamond/ZnSe ATR crystal, and a syringe
289 pump was used to continually inject solutions over the deposited lead phosphate particles at a
290 flow rate of 2.43 mL/h (Razel R99-E, Saint Albans, VT, USA). First, background electrolyte
291 solution (100 mM NaNO₃ or 3 mM Ca(NO₃)₂) was flowed over the dried particles for 15 min
292 (collecting spectra every 5 min) to remove any loosely bound particles and collect a background
293 spectrum of the particle surface equilibrated in the electrolyte solution. Next, a solution of 10
294 mg_C/L of NOM (PPHA, LHA, UMRNOM, or SRNOM) in background electrolyte (100 mM
295 NaNO₃ or 3 mM Ca(NO₃)₂) was flowed for 35 min, collecting spectra every 5 min, to evaluate
296 adsorption of NOM relative to the background spectrum. Finally, to evaluate any desorption of
297 loosely adsorbed or deposited NOM, the same background electrolyte solution (without NOM)

298 was flowed for 15 min; spectra were collected every 5 min and compared to the last spectrum
299 from the NOM adsorption step. To normalize the spectra collected across all experiments for
300 differences in the amount of lead phosphate particles deposited in the ATR measurement window,
301 the phosphate peak heights were determined at 975 cm^{-1} on the dried particle spectrum collected
302 for each experiment and used to scale the NOM adsorption and desorption spectra.

303

304 **3. Results and Discussion**

305 ***3.1. Characterization of the Lead Phosphate Particles and NOM Isolates***

306 The z -average diameter of the lead phosphate particles formed in the precipitation
307 solution ($20.5 \pm 0.7\text{ mg/L}$ as Pb) was $228 \pm 4\text{ nm}$ ($n = 4$ independently synthesized samples),
308 and the zeta potential was $-39.6 \pm 0.1\text{ mV}$ (Figure S1). From the TOC measurement results, the
309 measured concentrations of the filtered NOM stock solutions (nominal 1 g/L total mass) were
310 ($0.36, 0.39, 0.43$, and 0.39 g/L as C for UMRNOM, SRNOM, PPHA, and LHA respectively).

311 UV-vis absorbance spectra were collected on the NOM solutions (Figure 1(a)). Applying
312 correlation equations relating aromaticity to the specific UV absorbance (Abbt-Braun et al., 2004;
313 Chin et al., 1994) provides an estimated aromaticity of 26%, 28%, 51%, and 62%, for UMRNOM,
314 SRNOM, PPHA, and LHA, respectively. The exponential slopes, S , of the spectra (Figure 1(b))
315 were similar for UMRNOM and SRNOM (0.0139 and 0.0135 nm^{-1} , respectively), which were
316 higher than S for PPHA and LHA (0.0091 and 0.0089 nm^{-1} , respectively). Molecular weight is
317 generally inversely correlated to S , so this analysis suggests that PPHA and LHA have higher
318 molecular weights. These trends correspond with expectations for lower solubility soil- and coal-
319 derived humic acids to have higher aromaticity and higher average molecular weights than
320 aquatic NOM.

321 The SEC results are shown in Figure S2 for the molecular weight distribution analysis.
322 The total recovery (by UV detection at 280 nm) of NOM from the SEC column was 95%, 92%,
323 41%, and 22% for UMRNOM, SRNOM, PPHA, and LHA, respectively, relative to the
324 theoretical UV peak areas computed from the injected mass and UV extinction coefficients
325 determined from the batch UV analyses (Figure 1(a)). The low recoveries for PPHA and LHA
326 are attributed to adsorption in the SEC column (which could be visibly observed, most
327 prominently for LHA). Regardless, the molecular weight analysis for UMRNOM, SRNOM, and
328 PPHA shows good agreement with the UV spectral slope analysis: UMRNOM and SRNOM
329 show very similar chromatograms with an estimated weight-average molecular weight of 20 kDa
330 and 29 kDa, respectively, whereas PPHA shows a higher proportion of high molecular weight
331 species with a weight-average molecular weight of 211 kDa on the portion of the sample that
332 eluted and could be analyzed. The SEC-MALS result for the weight-average molecular weight
333 of LHA (89 kDa) is likely severely underestimated considering the material lost on the column
334 is likely the highest molecular weight components. Indeed, the MALS analysis for PPHA and
335 LHA reveals that high molecular weight species showed stronger adsorptive interactions and
336 hence eluted later than lower molecular weight species (Figure S2(b)), contrary to theoretical
337 expectations for lower molecular weight species to elute later under ideal SEC conditions with
338 no sample-column interactions.

339 ***3.2. Stabilization and disaggregation of lead phosphate particles by NOM in 100 mM NaNO₃***
340 ***and 1 mM Ca(NO₃)₂***

341 The lead phosphate particles showed no aggregation when diluted to 1 mg/L as Pb in the
342 absence of any additional electrolytes, regardless of the type or concentrations of NOM added
343 (Figure 2). All particles were highly negatively charged according to the zeta potential

344 measurements ($\zeta < -30$ mV, SI Figure S2), so the lack of aggregation can be consistent with
345 electrostatic stabilization, along with possibly steric stabilization by adsorbed NOM.

346 In the presence of either 100 mM NaNO₃ (Figure 3) or 1 mM Ca(NO₃)₂ (Figure 4), the
347 lead phosphate particles showed rapid aggregation without NOM but were stabilized with either
348 1 mg_C/L or 10 mg_C/L of NOM, which is consistent with the observation in our previous study
349 that NOM stabilized lead phosphate particles in Ca²⁺ solution (Zhao et al., 2018). From our
350 previous work, the CCC for lead phosphate particles in Na⁺ was \approx 69 mM (Zhao et al., 2022),
351 and here, the measured CCC in Ca²⁺ was 0.6 mM (SI Figure S3). Hence, the NOM stabilized the
352 particles against aggregation even in counterion concentrations higher than the CCC. NOM
353 carries deprotonated carboxylate groups that should impart additional negative charge at pH 7,
354 which was observed in the electrophoretic light scattering results for all types of NOM at 1
355 mg_C/L in either 100 mM Na⁺ or 1 mM Ca²⁺, compared to the particles in the equivalent
356 background without NOM (Figure S1(a)). However, less negative apparent zeta potentials were
357 observed when increasing the NOM concentrations to 10 mg_C/L (Figure S1(b)), relative to those
358 with 1 mg_C/L of NOM. Since higher NOM concentrations should result in higher adsorbed
359 masses that would carry more charge, the less negative apparent zeta potential is likely
360 attributable to the fact that the measured electrophoretic mobility is influenced not only by
361 charge but by the friction or drag on the particles (Duval and Ohshima, 2006; Hill et al., 2003;
362 Louie et al., 2012; Ohshima, 1995). Thick or extended NOM coatings can shift the shear plane
363 away from the particle surface and induce more significant drag forces, resulting in slower
364 electrophoretic mobilities. This effect is not considered in the Smoluchowski model used to
365 convert the electrophoretic mobilities of uncoated spherical particles to zeta potentials. The
366 possibility for high molecular weight NOM coatings to result in a less negative apparent zeta

367 potential but impart colloidal stability was similarly reported by Louie et al. (Louie et al., 2015b),
368 and furthermore, as Romanello and Fidalgo de Cortalezzi have discussed, compression of the
369 electrical double layer may not be the controlling mechanism for aggregation in such situations
370 (Romanello and Fidalgo de Cortalezzi, 2013). Rather, steric or electrosteric forces are likely
371 responsible for the particle stabilization by NOM.

372 Beyond the stabilization against aggregation, a further phenomenon was observed where
373 10 mg_C/L of NOM induced a particle size reduction compared to the initial particle diameter
374 without NOM of (264 ± 18) nm (SI Table S4). The most significant decreases in size were
375 induced by PPHA and LHA, with a trend of greater size reduction from ultrapure water ((170 ±
376 20) nm and (142 ± 7) nm for PPHA and LHA, respectively) to 100 mM NaNO₃ ((135 ± 8) nm
377 and (131 ± 6) nm) to 1 mM Ca(NO₃)₂ ((55 ± 2) nm and (70 ± 2) nm). Control experiments were
378 also conducted to monitor the size of 10 mg_C/L of NOM (without particles) in the different
379 background electrolytes. UMRNOM and SRNOM did not provide sufficient light scattering to
380 acquire reliable sizes, with mean count rates < 100 kcps (SI Table S5). However, the 10 mg_C/L
381 solutions of PPHA and LHA produced mean count rates between 100 kcps and 400 kcps. From
382 Figures 2(c), 3(c), and 4(c), PPHA and LHA showed a similarly drastic reduction in size from
383 ultrapure water to 100 mM NaNO₃ to 1 mM Ca(NO₃)₂. The decrease in size of the PPHA or
384 LHA itself in 100 mM Na⁺ can be attributable to charge screening, resulting in lower
385 intramolecular repulsion between charged moieties such as carboxylates, while whereas the
386 greater size decrease in the presence of 1 mM Ca²⁺ can be attributable to charge screening and
387 intramolecular bridging, both resulting in a denser NOM structure and smaller size.

388 The lead phosphate particle sizes in the presence of the PPHA and LHA were notably
389 similar to the sizes measured for PPHA and LHA alone. Three potential reasons for the similarity

390 in size were investigated: (1) the DLS measurements could be more sensitive to the NOM than
391 the lead phosphate particles in the mixtures; (2) NOM could induce dissolution of the lead
392 phosphate particles; and (3) NOM could induce particle disaggregation.

393 The potential measurement interference of the NOM was evaluated by comparing
394 scattering intensities of the particles and NOM in the DLS measurements in ultrapure water, 100
395 mM NaNO₃, and 1 mM Ca(NO₃)₂ (SI Table S5). Count rates were similar or higher for the
396 particles without NOM, compared to the PPHA or LHA without particles. Therefore, if the
397 particles remain at their initial hydrodynamic size after mixing with the NOM, the contribution
398 of the lead phosphate particles to the DLS measurements should not be completely obscured by
399 the NOM scattering signal, so the observed size reduction is not attributable simply to a
400 measurement interference.

401 To distinguish the contributions of dissolution or disagglomeration of the lead phosphate
402 particles to the size reduction, dissolution measurements were conducted by pelleting the lead
403 phosphate particles in 1 mM Ca(NO₃)₂ by centrifugation, while collecting the supernatant for
404 ICP-MS quantification (SI Figure S4). UV-Vis measurements on the dissolved NOM in the
405 supernatant confirmed minimal removal of NOM after centrifugation in 1 mM Ca(NO₃)₂ (SI
406 Figure S5), so the supernatant should contain both dissolved and NOM-complexed lead. The
407 supernatant lead concentration was the lowest in the absence of NOM, i.e., (14 ± 2)% of the total
408 lead concentration. UMRNOM and SRNOM induced additional dissolution, i.e., (24.3 ± 0.9)%
409 and (25.1 ± 1.3)%, respectively, and the highest concentrations of dissolved lead were observed
410 with the higher molecular weight PPHA and LHA, i.e., (33.0 ± 0.5)% and (31 ± 3)%, respectively.
411 However, even considering the highest change in dissolution of ≈ 20% relative to the particles
412 without NOM, the resulting particle diameter after dissolution would be 245 nm, assuming an

413 initial particle diameter of 264 nm and solid spherical particles. Therefore, enhanced dissolution
414 cannot fully explain the reductions in DLS size to 55 nm and 70 nm with PPHA and LHA,
415 respectively. Instead, the PPHA and LHA are likely inducing disagglomeration of the lead
416 phosphate particles to smaller aggregates or primary nanoparticles. It is noted that lead phosphate
417 nanoparticles 40 nm in diameter were observed in our prior study when the particles were
418 precipitated at lower lead concentrations (Zhao et al., 2018).

419

420 ***3.3. Stabilization and bridging flocculation of lead phosphate particles by NOM in 3 mM***
421 ***Ca(NO₃)₂***

422 Control samples of the lead phosphate particles alone showed rapid aggregation in 3 mM
423 Ca(NO₃)₂ (Figure 5(a)). After the particles were mixed with lower concentrations (1 mg_C/L) of
424 any of the four types of NOM (Figure 5(a)), as well as with 10 mg_C/L of SRNOM or UMRNOM,
425 the particles remained colloidally stable upon adding Ca(NO₃)₂ (Figure 5(b)). On the other hand,
426 although the particles were initially disaggregated to smaller sizes after premixing with 10 mg_C/L
427 of PPHA or LHA (time zero in Figure 5(b)), rapid aggregation occurred after adding 3 mM
428 Ca(NO₃)₂. Flocculation was also observed for PPHA and LHA controls (without particles)
429 (Figure 5(c)). Kloster et al. showed that humic acid itself aggregates more rapidly with
430 increasing Ca²⁺ (Kloster et al., 2013), and Baalousha et al. proposed that Ca²⁺ facilitated
431 aggregation of Suwannee River humic acid by forming intra- or intermolecular bridges
432 (Balousha et al., 2006), with fast Ca²⁺ binding followed by slow collision and attachment of the
433 humic acid. Here, experiments were also conducted on PPHA or LHA alone (without particles),
434 which indeed showed homoaggregation in the presence of 3 mM Ca²⁺ (Figure 5(c)) with a CCC
435 at \approx 7 mM Ca²⁺ (SI Figure S3).

436 As the Ca^{2+} concentration here is higher than the CCC of the bare particles (SI Figure
437 S3), the faster rate of aggregation compared to the diffusion-limited rate for the particle-only
438 control is indicative that the destabilization by NOM is not purely by elimination of the energy
439 barrier for particle-particle attachment. Rather, enhanced aggregation rates are typically
440 attributed to a bridging phenomenon, e.g., as discussed by Chen et al (Chen et al., 2006). Notably,
441 the aggregation rate (i.e., slope of hydrodynamic diameter versus time) was also higher in the
442 mixtures of particles and PPHA or LHA, compared to the NOM-only controls, suggesting that
443 the observed aggregation in the mixtures represents not only NOM flocculation but also
444 heteroaggregation between the particles and the flocculating NOM. This heteroaggregation
445 could be a result of adsorption of HA to the particles followed by bridging and/or physical
446 entrainment of the particles in the flocculating HA.

447 To more precisely evaluate surface adsorption interactions, UV-Vis spectroscopy (SI
448 Figure S5) was first attempted to compare the relative adsorption of NOM onto the particles by
449 a solution depletion analysis, in which the remaining NOM in solution (i.e., in the supernatant
450 after centrifugation) is compared to the total NOM added to evaluate loss by adsorption. Controls
451 were also collected of the NOM without particles in the various water chemistries. Even when
452 increasing the lead phosphate particle concentration to 10 mg/L as Pb to allow higher NOM
453 removal, insufficient NOM adsorption occurred in ultrapure water, 100 mM NaNO_3 , or 1 mM
454 $\text{Ca}(\text{NO}_3)_2$ to be quantifiable using this method. In 3 mM $\text{Ca}(\text{NO}_3)_2$, sedimentation of PPHA and
455 LHA was observed in both the NOM-only controls (without particles) and in the presence of the
456 lead phosphate particles, indicating that large, dense aggregates of the HA were formed, but
457 precluding confirmation of adsorption to the particles using this method.

458 Hence, *in situ* flow cell ATR-FTIR experiments were conducted, which are sensitive and
459 selective to only the NOM adsorbing directly on the surfaces of the lead phosphate particles
460 deposited on the ATR crystal. Figure 6 confirms adsorption of both PPHA and LHA in 3 mM
461 $\text{Ca}(\text{NO}_3)_2$ over time, with the characteristic peaks at $\approx 1580 \text{ cm}^{-1}$ and $\approx 1390 \text{ cm}^{-1}$
462 corresponding to asymmetric and symmetric stretching, respectively, of the deprotonated
463 carboxylate moieties (Mathew et al., 2021; Yoon et al., 2005). Control experiments on PPHA or
464 LHA alone showed no deposition of the NOM in the *in situ* flow ATR-FTIR setup. In addition,
465 no measurable desorption could be observed after flushing the coated particles in 3 mM
466 $\text{Ca}(\text{NO}_3)_2$ without NOM, indicating a strong adsorptive interaction rather than physical
467 deposition of NOM onto the particles. The PPHA and LHA adsorption were also determined to
468 be higher in 3 mM $\text{Ca}(\text{NO}_3)_2$ than in 100 mM NaNO_3 (Figure 6 and SI Figure S6), with only
469 PPHA showing detectable ATR-FTIR signals in NaNO_3 . The UMRNOM and SRNOM showed
470 no detectable signals in any of the media (SI Figures S6 and S7), but the colloidal stabilization
471 of the particles in the presence of the NOM compared to without NOM in the DLS experiments
472 implies that some adsorption must occur despite being below detection. Higher sensitivity
473 methods, such as multi-reflection ATR-FTIR measurements, would be necessary to detect these
474 interactions.

475 Finally, to investigate the floc structure of the particles with PPHA or LHA, SLS
476 measurements were collected. A prior study by Amal et al. applied SLS to investigate the
477 structure of large aggregates of hematite nanoparticles and observed that bridging by NOM
478 produced lower fractal dimension aggregates that became more compact over time (Amal et al.,
479 1990). SLS measurements were conducted here (SI Figure S8) to compare the lead phosphate
480 particles and their aggregates in the various media without NOM, the PPHA or LHA aggregates

481 (without lead phosphate) in 3 mM $\text{Ca}(\text{NO}_3)_2$, and the mixtures of particles and PPHA or LHA in
482 3 mM $\text{Ca}(\text{NO}_3)_2$. The PPHA and LHA aggregates showed scattering intensities an a order of
483 magnitude higher than aggregates of the lead phosphate particles alone, and the SLS profiles of
484 the mixtures of particles and HA were similar to those of the HA alone. Therefore, the SLS
485 results likely reflect the structure of primarily the HA flocs, with the lead phosphate particles
486 more sparsely distributed within the HA flocs.

487 Figure 7 summarizes the results observed across all four types of NOM at the two
488 concentrations evaluated and the four background water chemistries. Overall, NOM imparted
489 colloidal stabilization under all conditions, except when high molecular weight NOM (PPHA
490 and LHA) are present at high concentrations (10 mg_C/L) and with high concentrations of Ca^{2+}
491 (3 mM). These results suggest that a threshold in both NOM and Ca^{2+} concentrations together
492 must be surpassed for bridging flocculation to occur. Although adsorption of PPHA and LHA to
493 the lead phosphate particles can initially induce particle disaggregation, the addition of high Ca^{2+}
494 causes more rapid flocculation through Ca^{2+} bridging of adsorbed NOM coatings on the particles,
495 as well as potentially particle entrainment in the NOM flocs. Although Ca^{2+} bridging is expected
496 to occur between carboxylate groups on the NOM, flocculation only occurred for the PPHA and
497 LHA and did not correlate positively with the carboxyl content across the four NOM (SI Table
498 S2). Therefore, the high molecular weight and high aromaticity of the PPHA and LHA are likely
499 more important factors for bridging flocculation.

500

501 **Conclusions**

502 This research demonstrates that the water chemistry has a critical influence on the
503 aggregation behavior of lead phosphate particles that form when phosphate is applied to

504 remediate lead-contaminated environments. Highly variable outcomes (colloidal stabilization or
505 flocculation) are possible depending on the composition and concentration of NOM and
506 background electrolytes. In the majority of scenarios tested, including all conditions with low
507 molecular weight aquatic NOM (e.g., SRNOM and UMRNOM), and all NOM types (SRNOM,
508 UMRNOM, PPHA, and LHA) with 100 mM Na⁺, low Ca²⁺ concentration (1 mM), or low NOM
509 concentration (1 mgC/L), the NOM imparted colloidal stability or even induced disaggregation
510 of the lead phosphate particles. This study specifically delineated the conditions required for
511 rapid aggregation of the particles by NOM, namely the presence of high molecular weight humic
512 acids (e.g., PPHA or LHA) at high concentrations (10 mgC/L) with high concentrations of Ca²⁺
513 (e.g., 3 mM). The self-interactions of PPHA and LHA largely explain their effects in the different
514 Ca²⁺ concentrations, with low Ca²⁺ resulting in only intramolecular bridging of the NOM, but
515 higher Ca²⁺ resulting in intermolecular bridging and rapid flocculation. These results suggest
516 that a basic characterization of the NOM (molecular weight and concentration) and Ca²⁺ levels
517 in a given water or soil can be prioritized as important predictors of lead phosphate particle
518 aggregation and transport behavior. For soil remediation applications, the use of apatite as a
519 phosphate source would simultaneously provide Ca²⁺, and therefore could be an effective
520 strategy to induce flocculation of lead phosphate particles in the presence of soil humic acids
521 and thereby reduce their transport risk.

522

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528

529 **Supporting Information Available**

530 The supporting information includes additional NOM characterization, aggregation and
531 adsorption results, and SLS measurements.

532

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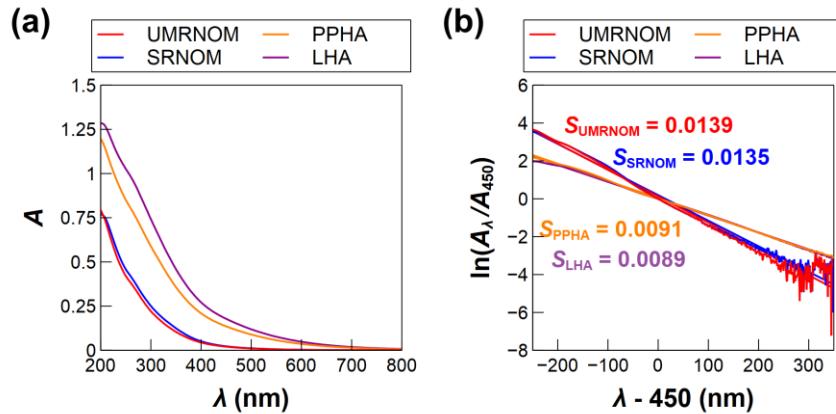
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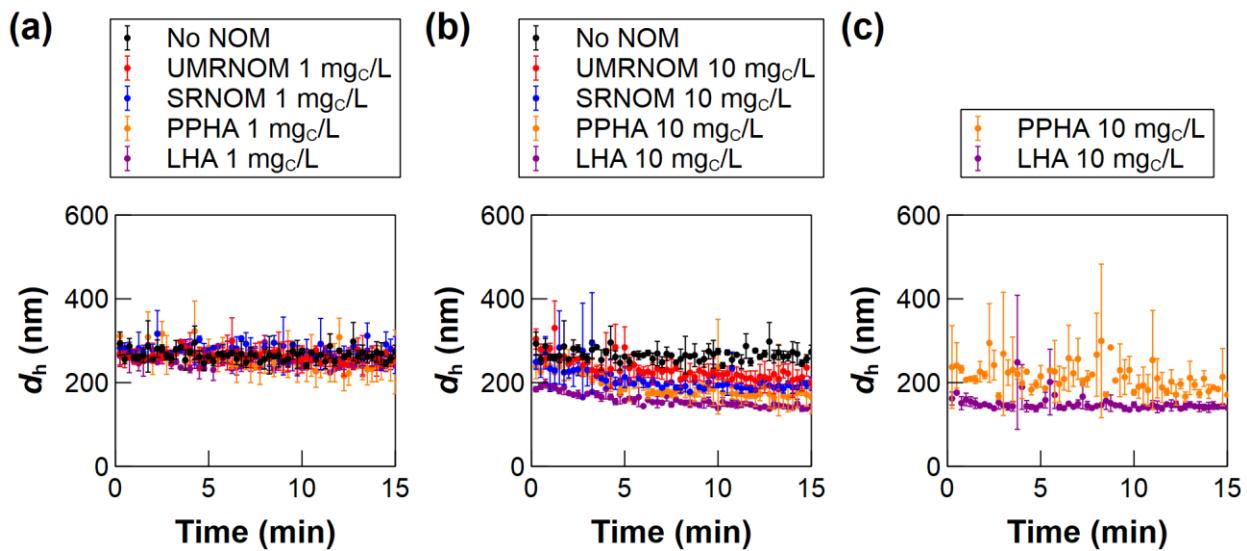
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679 **Figure 1.** UV-Vis absorbance spectra (a) and fitted exponential slopes, S (b), for solutions of 10
 680 mg_C/L of UMRNOM, SRNOM, PPHA, and LHA at pH 7.

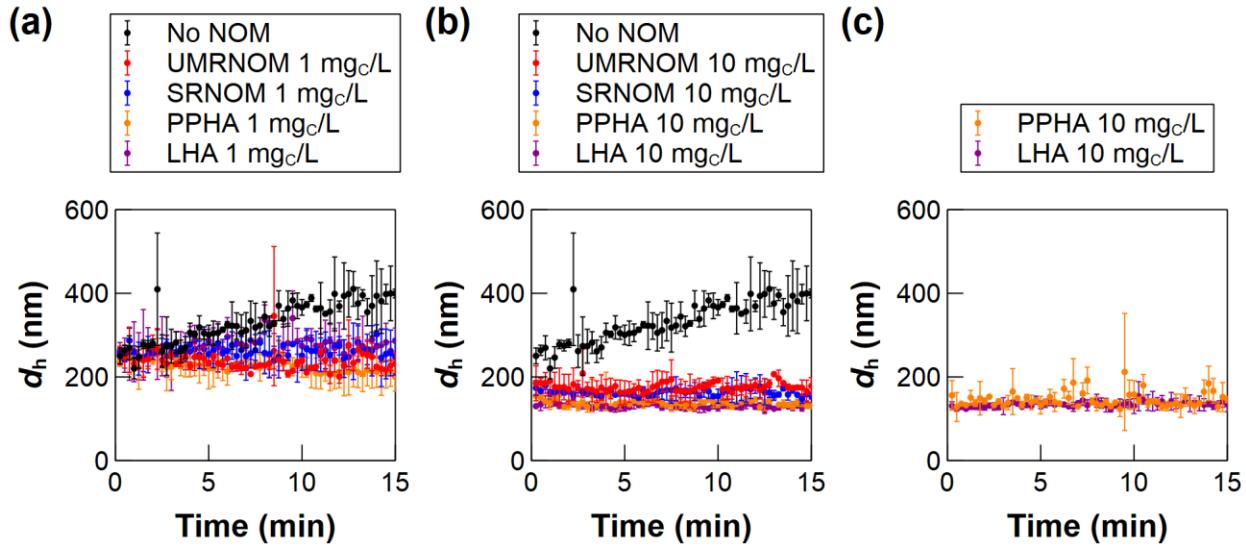
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682

683 **Figure 2.** Size evolution of lead phosphate particles in ultrapure water without NOM or with 1
 684 mg_C/L (a) or 10 mg_C/L (b) of NOM, and (c) size evolution of 10 mg_C/L of PPHA or LHA in
 685 ultrapure water.

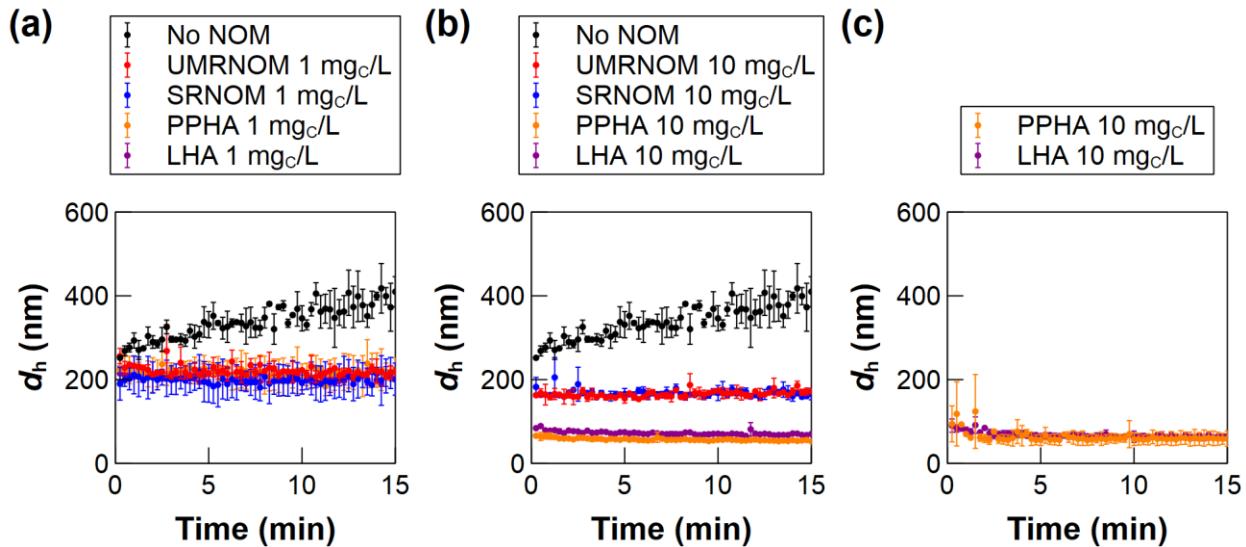
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688 **Figure 3.** Size evolution of lead phosphate particles in 100 mM NaNO₃ without NOM or with 1
689 mg_c/L (a) or 10 mg_c/L (b) of NOM, and (c) size evolution of 10 mg_c/L of PPHA or LHA in 100
690 mM NaNO₃.

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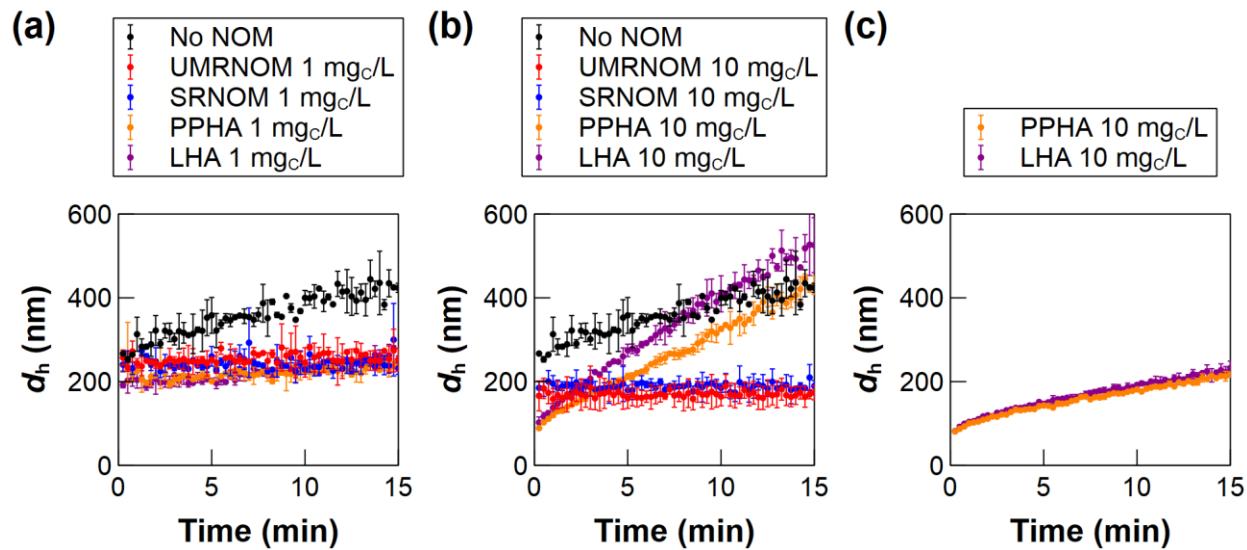


692

693 **Figure 4.** Size evolution of lead phosphate particles in 1 mM Ca(NO₃)₂ without NOM or with 1
694 mg_c/L (a) or 10 mg_c/L (b) of NOM, and (c) size evolution of 10 mg_c/L of PPHA or LHA in 1
695 mM Ca(NO₃)₂.

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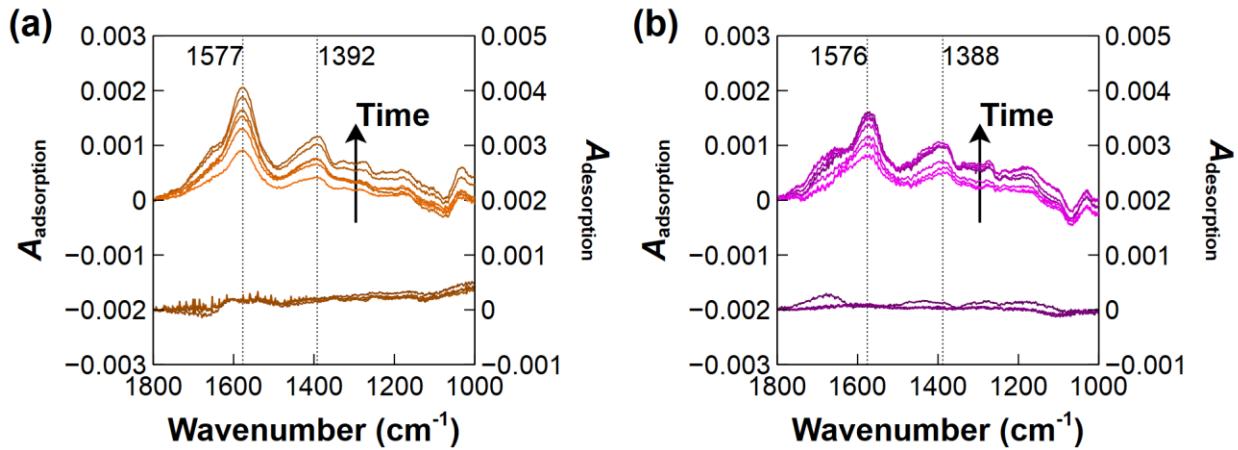


698

699 **Figure 5.** Size evolution of lead phosphate particles in 3 mM $\text{Ca}(\text{NO}_3)_2$ without NOM or with 1
700 mg_C/L (a) or 10 mg_C/L (b) of NOM, and (c) size evolution of 10 mg_C/L of PPHA or LHA in 3
701 mM $\text{Ca}(\text{NO}_3)_2$.

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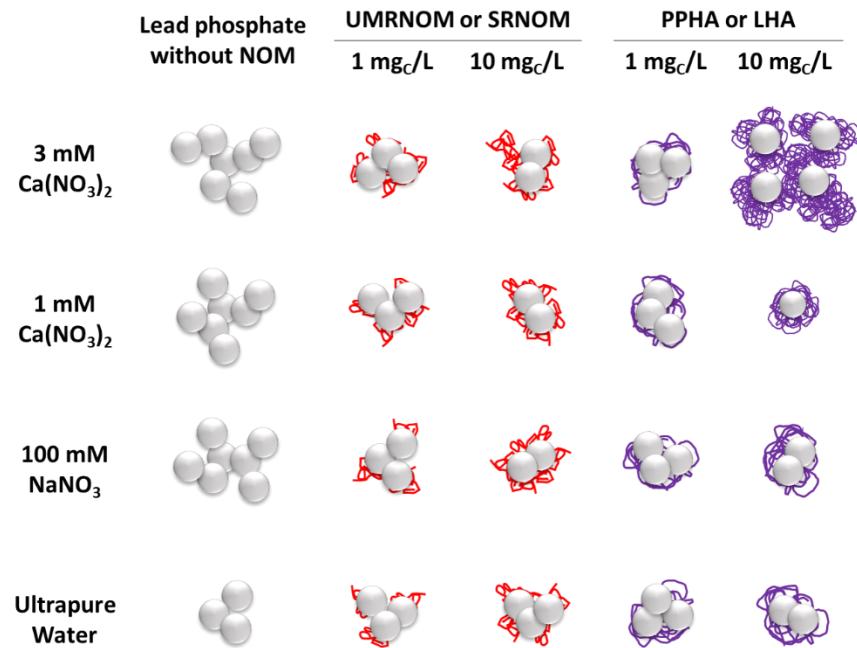
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704

705 **Figure 6.** *In situ* ATR-FTIR analysis of the interactions of PPHA (a) and LHA (b) with lead
706 phosphate particles in 3 mM $\text{Ca}(\text{NO}_3)_2$. After equilibrating in 3 mM $\text{Ca}(\text{NO}_3)_2$ without NOM,
707 adsorption was monitored in 5 min intervals up to 35 min from NOM solutions at 10 mgC/L (top
708 series in each plot), with the last spectrum collected in 3 mM $\text{Ca}(\text{NO}_3)_2$ used for background
709 subtraction from the adsorption spectra. Desorption was evaluated in 5 min intervals up to 15
710 min (bottom series in each plot), with the last spectrum collected from the adsorption phase used
711 for background spectra from the desorption spectra.

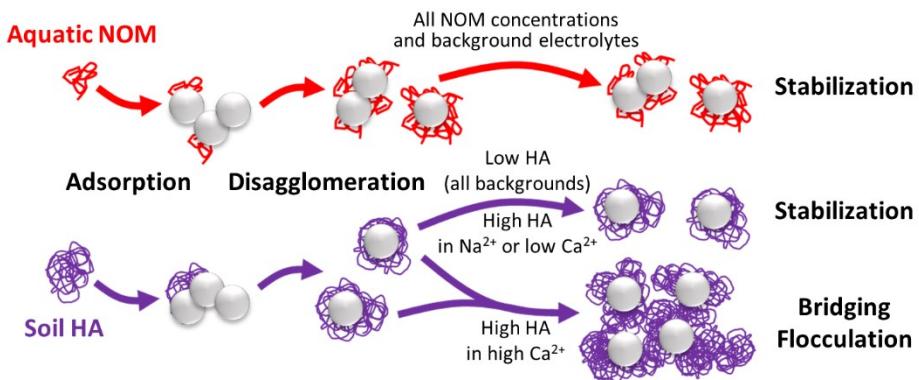
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714 **Figure 7.** Summary of lead phosphate particle stabilization, disaggregation, and flocculation
 715 observed depending on the compositions and concentrations of NOM and background
 716 electrolytes.

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