

Title: Rapid effects of vagus nerve stimulation on sensory processing through activation of neuromodulatory systems

Authors: Charles Rodenkirch^{1,2§}, Jason B. Carmel³, and Qi Wang^{1§}

Affiliation: ¹ Department of Biomedical Engineering
Columbia University
New York, NY

² Jacobs Technion-Cornell Institute
Cornell Tech
New York, NY

³ Departments of Neurology and Orthopedics
Columbia University Medical Center
New York, NY

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§ Corresponding Authors:

Professor Qi Wang
Department of Biomedical Engineering
Columbia University
Engineering Terrace Room 351, 500 W 120th Street, New York, NY 10027
Phone: 212.854.3657
Email: qi.wang@columbia.edu

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Dr. Charles Rodenkirch

4

Jacobs Technion-Cornell Institute

5

Cornell Tech

6

Tata Innovation Center Room 381, 11 E Loop Road, New York, NY 10044

7

Phone: 646.470.3040

8

Email: cr585@cornell.edu

9

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ABSTRACT

After sensory information is encoded into neural signals at the periphery, it is processed through multiple brain regions before perception occurs (i.e., sensory processing). Recent work has begun to tease apart how neuromodulatory systems influence sensory processing. Vagus nerve stimulation (VNS) is well-known as an effective and safe method of activating neuromodulatory systems. Supporting this hypothesis, there is a growing body of studies confirming VNS has immediate effects on sensory processing across multiple sensory modalities. These immediate effects of VNS on sensory processing are distinct relative to the more well-documented method of inducing lasting neuroplastic changes to the sensory pathways through repeatedly delivering a brief VNS burst paired with a sensory stimulus. Immediate effects occur upon VNS onset, often disappear upon VNS offset, and the modulation is present for all sensory stimuli received. Conversely, the neuroplastic effects of pairing sub-second bursts of VNS with a sensory stimulus alters sensory processing only after multiple pairing sessions, this alteration remains after cessation of pairing sessions, and the alteration selectively affects the response properties of neurons encoding the specific paired sensory stimulus. Here, we call attention to the immediate effects VNS has on sensory processing. This review discusses existing studies on this topic, provides an overview of the underlying neuromodulatory systems that likely play a role, and briefly explores the potential translational applications of using VNS to rapidly regulate sensory processing.

INTRODUCTION

Accurate and detailed perception of tactile, auditory, and visual stimuli is critical for completing a large variety of tasks, including many necessary for daily life and independent living. Perceptual acuity is dependent upon both reliable transduction of sensory stimuli into neural signals at the periphery and high-fidelity processing of sensory information by the central nervous system. Once sensory information is transduced into neural activity by sensory receptors, it is processed through multiple stages of the sensory pathway before perception occurs (i.e., central sensory processing)¹⁻⁶. Developing methods that use neuromodulation of sensory processing to improve sensory acuity is of great interest as many significant clinical, commercial, and consumer problems stem from misperception or miscommunication. A growing body of evidence strongly suggests that vagus nerve stimulation (VNS) is a safe and effective method of neuromodulation⁷. In this mini-review, we explore the effects of VNS on sensory processing. Multiple recent reviews have discussed in detail the ability of short VNS bursts repeatedly paired with sensory stimuli to catalyze neuroplastic reorganization of sensory pathways after multiple pairing sessions⁸⁻¹⁰, likely via engagement of neuromodulatory systems including the acetylcholine system¹¹. Here, we instead specifically call attention to the immediate effects VNS has on sensory processing and discuss how they likely arise from VNS activating neuromodulatory systems that innervate sensory processing pathways.

Sensory processing is highly dependent upon behavioral states such as attention and arousal¹²⁻²⁴ as both are heavily influenced by the same global neuromodulatory systems, including the noradrenergic²⁵⁻²⁸ and cholinergic systems²⁹. For example, our laboratory recently demonstrated that activation of the locus coeruleus – norepinephrine system (LC-NE), a major neuromodulator of attention and arousal, rapidly enhanced somatosensory processing through NE-mediated suppression of burst spiking induced by calcium T-channels³⁰. This NE-enhanced sensory processing increased accuracy of encoded information and improved perceptual sensitivity of awake rats performing tactile discrimination tasks.

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65 **LASTING ALTERATIONS TO SENSORY PROCESSING OCCUR OVER TIME WHEN VNS**
66 **BURSTS ARE PAIRED WITH REPEATED SENSORY STIMULI**

67 A large body of previous work has focused on using repeated short bursts of VNS paired
68 with a brief sensory stimulus to induce reorganization of sensory pathways. This work was
69 inspired by studies which found pairing an auditory tone with phasic activation of dopaminergic,
70 cholinergic, or noradrenergic neuromodulatory systems resulted in a lasting shift of frequency
71 selectivity for neurons in the auditory cortex toward the paired tone's frequency ^{11, 31-33}. We will
72 not review these studies in detail here as they have already been well reviewed previously ⁸⁻¹⁰.

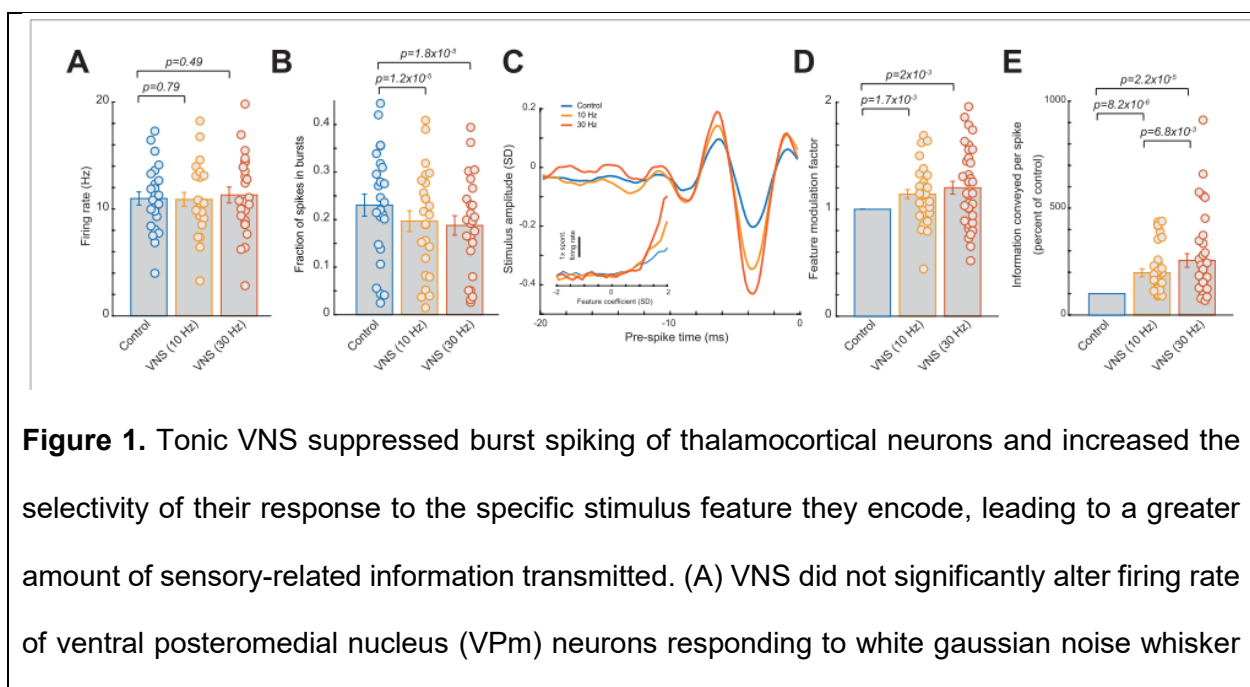
73 In general, these studies have paired phasic VNS (e.g., 0.5 s, 30 Hz, 0.8mA, 100μs
74 biphasic pulses) with a specific sensory stimulus (e.g. a specific auditory tone or tactile tap
75 frequency) repeatedly across multiple sessions (e.g. 300 times/day, 20 days). This alters sensory
76 processing in a manner that facilitates detection of the specific paired stimulus ³⁴⁻³⁹ and
77 accordingly disfavors detection of non-paired stimuli. This mechanism of action can be
78 strengthened over multiple sessions of pairing to produce long-term permanent reorganization of
79 sensory pathways that alters perception. Taken together, these works suggest phasic VNS has
80 great potential as a next generation neuromodulation technology for rehabilitative motor and
81 sensory therapies ⁴⁰⁻⁵⁰.

82 **TRANSIENT MODULATION OF SENSORY PROCESSING OCCURS RAPIDLY UPON VNS**
83 **ONSET**

84 The purpose of this review is to bring light to recent studies indicating VNS modulates
85 sensory processing immediately upon onset. Here, we will discuss in detail studies investigating
86 the immediate effects VNS has on the response properties of neurons along the sensory
87 pathways.

Tonic VNS drives a rapid and transient enhancement of tactile processing

Our laboratory has recently demonstrated that VNS can be used to induce a rapid, general improvement of thalamic sensory processing (Figure 1). This is a continuation of our team's studies investigating the effects of the LC-NE system on thalamocortical circuitry³⁰, a critical stage for sensory processing and perception⁵¹⁻⁵⁸. These studies found that direct activation of the LC-NE system (electrical or optogenetic), in a continuous tonic fashion, optimized intrathalamic dynamics for sensory processing. Specifically, tonic LC stimulation (continuous, 5 Hz, 60 μ A, 500 μ s biphasic pulses) increased the efficiency and rate of sensory-related information transmitted by thalamocortical neurons³⁰. Further, the observed NE-enhancement of sensory processing resulted in a significant improvement in perceptual sensitivity for rats tasked with discriminating between whisker stimuli of different frequencies. Through pharmacological manipulation it was determined that tonic LC activation improved thalamic sensory processing because a steady increase in NE concentration precludes priming, and in turn activation, of thalamic T-type calcium channels. When active, T-type calcium channels introduced a nonlinear bursting response that degraded transmission of detailed sensory information.



(WGN) stimulation. (B) VNS reduced likelihood of VPM burst spikes, multiple successive spikes with a short inter-spike-intervals (~4 ms or less) commonly occurring after an extended period of quiescence (~100 ms) due to calcium t-channel current. (C)-(D) The amplitude of the specific kinetic feature(s) (i.e., whisker deflection) each VPM neuron was selective for was much larger when recovered during VNS, indicating VNS increased selectivity of response. (E) Enhanced feature selectivity of VPM neurons during VNS results in a significant increase in amount of the sensory-related information transmitted per spike. Adopted from ⁵⁹.

VNS has been shown to activate the LC-NE system ⁶⁰ and is accessible in a noninvasive manner, unlike the LC deep in the brainstem. Therefore, our team next investigated whether tonic VNS would drive similar rapid beneficial effects on sensory processing. Through testing the effects of multiple patterns of VNS on sensory processing, the beneficial effect was found to be highly transient (i.e. benefit begins to dissipate within seconds of ceasing VNS) ⁵⁹. For example, duty-cycled VNS (30 s on / 60 s off duty cycle, 30 Hz, 500 μ s biphasic pulses) enhanced tactile sensory processing during the on cycle, but this enhancement rapidly dissipated during the off cycle, suggesting that cycling VNS on and off creates fluctuations in sensory processing that would likely be sub-optimal for discrimination. This suggested that an uninterrupted pattern is required to produce a stable benefit. Indeed, continuous tonic VNS pattern (continuous, 30 Hz, 500 μ s biphasic pulses) induced a steady enhancement of sensory processing similar to that observed with direct tonic LC stimulation. This immediate enhancement of sensory processing during continuous, tonic VNS was found to be reliably present across recorded neurons. As each recorded neuron encoded for a unique kinetic feature of the whisker stimuli, this suggests the tonic VNS modulation provided a general enhancement of sensory processing regardless of stimulus input. This effect is distinct relative to the selective facilitation of responses to a specific sensory stimulus found after repeatedly pairing VNS bursts with that sensory stimulus.

Further, testing of various tonic VNS current levels and frequencies showed the beneficial effect of tonic VNS on sensory processing increased with intensity and frequency (10 vs 30 Hz, 0.4 vs 1 and 1.6 mA) and did not exhibit the inverted U-shape function of effect strength that has been observed with other types of VNS modulation ⁶¹ (at least within the parameter ranges tested).

VNS has rapid effects on evoked responses in the auditory cortex

Other research groups working with human subjects have published findings that suggest VNS has immediate beneficial effects on auditory processing. One study in humans who had been receiving chronic VNS (via implanted cuffs as a treatment for epilepsy), found VNS enhanced performance on a standard auditory oddball task when compared to performance after their VNS device was turned off ⁶². Specifically, during VNS (7s on / 18 s off duty cycle, 20-30 Hz, 0.75-3 mA, 250-500 μ s pulses) both accuracy and response time was improved for participants tasked with responding to low frequency target audio tones while ignoring high frequency nontarget tones. This same study analyzed auditory event-related potentials (AERP), measured via EEG, and found that during VNS AERP amplitude was also increased. However, the effect on AERP was only significant in individuals whose epilepsy symptoms had positively responded to VNS treatment. A separate study investigating transcutaneous auricular vagus nerve stimulation (taVNS) (30 s on / 30 s off duty cycle, 25 Hz, 250 μ s pulses) in healthy adults found similar results. Specifically, taVNS increased the strength of AERPs during an oddball auditory task ⁶³. As this study used low frequency tones as nontargets and high frequency as target, a reversal of the prior discussed oddball auditory task, taken together they suggest immediate VNS modulation of auditory response is not specific to low or high frequency audio tones. Another study delivering continuous taVNS (25 Hz, 500 μ s biphasic pulses) to healthy adults analyzed the neural response to auditory tones using magnetoencephalography (MEG) instead of EEG and found taVNS altered synchrony of brain activity ⁶⁴. Further, recent studies using fMRI to monitor neural activity have

shown taVNS rapidly affects auditory processing pathways. When taVNS (25 Hz, 0.1 to 1.8 mA, 500 μ s monophasic pulses) was delivered to male adults with chronic tinnitus, fMRI recordings exhibited altered activity of multiple brain regions involved with auditory processing ⁶⁵. More recently, analysis of fMRI data from human subjects receiving taVNS indicated increased activity in the thalamus and auditory cortex ⁶⁶, suggesting VNS rapidly modulates central auditory sensory processing in humans.

These findings in humans are further supported by multiple electrophysiological and behavioral work in animals that found VNS rapidly affects the response properties of neurons of the auditory pathway. In isoflurane-anesthetized rats, the responses of neurons along the auditory pathway were compared with and without VNS delivered via an implanted VNS cuff (30 s on / 5 min off duty cycle, 10 Hz, 0.5 mA, 130 μ s pulses). The baseline condition was recorded without any ongoing VNS. The VNS condition consisted of discontinuous duty-cycled VNS where auditory testing was performed only during the off periods of the VNS duty cycle. Here they found duty-cycled VNS weakened stimulus-specific adaptation in the cortex but not the thalamus ⁶⁷, suggesting VNS may modulate thalamocortical transmission but not earlier stages of the auditory pathway. Further work by the same group, using the same paradigm, found VNS predominantly increased the amplitudes of auditory-evoked potentials in the sensory cortex ⁶⁸.

VNS modulates the olfactory and gustatory processing

The immediate effects of VNS on olfactory processing had been demonstrated as early as the 1980's. Specifically, a study in rats found that a single pulse of VNS from an implanted cuff (0.8-1.5 mA, 200 μ s monophasic pulses) reliably evoked firing in the homolateral olfactory bulb (HOB) ⁶⁹. Further evidence that VNS affects olfactory processing was found in more recent studies that used positron emission tomography (PET) to analyze the effects of VNS in awake rats. A PET scan conducted during the time period when the VNS cuff was switched on for the first time (30 s on / 5 min off duty cycle, 30 Hz, 1.5 mA, 500 μ s pulses) found VNS induced a significant

increase in glucose metabolism in both olfactory bulbs⁷⁰. However, another study in humans with implanted VNS cuffs for treatment of depression found that whether VNS (30 s on / 5 min off duty cycle, 20 Hz, 1.25 mA) was on or off had no effect on subjects' ability to discriminate or detect olfactory stimuli⁷¹. Yet that same study did find that VNS significantly increased the intensity of the taste of sweet and bitter, suggesting that VNS may rapidly affect gustatory processing as well.

VNS ACTIVATES MULTIPLE NEUROMODULATORY SYSTEMS THAT RAPIDLY INFLUENCE THE RESPONSE PROPERTIES OF NEURONS ALONG SENSORY PATHWAYS.

The ability of VNS to have immediate effects on sensory processing is likely due to VNS activating neuromodulatory systems (Figure 2). Here we briefly review studies of the effect of VNS on neuromodulatory systems in both human and animal models. Neurons in the neuromodulatory systems and sensory pathways discussed here can exhibit either tonic or burst spiking patterns⁷²⁻⁷⁶. Tonic spiking refers to sustained firing of tonic spikes at relatively slow rates compared to phasic. Phasic spiking refers to transient bursts of multiple spikes with short inter-spike-intervals. For neuromodulatory systems, the rate of continuous tonic spiking modulates brain state (e.g., attention, arousal) whereas phasic firing is linked with events (e.g., reward, sensory stimuli, decision-making) and thought to regulate learning and behavior^{77,78}. For sensory pathways, tonic encoding is favored during periods of increased attention and is thought to be more optimal for the discrimination of sensory detail^{73,79}. Conversely, bursting responses to sensory stimuli are more likely when drowsy or inattentive and provide a strong encoding that facilitates detection, potentially serving as a wake-up call^{80,81}. It is important to note that neuromodulatory systems are well preserved over evolution, and the function of neuromodulatory systems are similar in humans and other mammals such as rodents⁸². Indeed, the studies discussed earlier confirm VNS affects sensory processing in both rodents and humans.

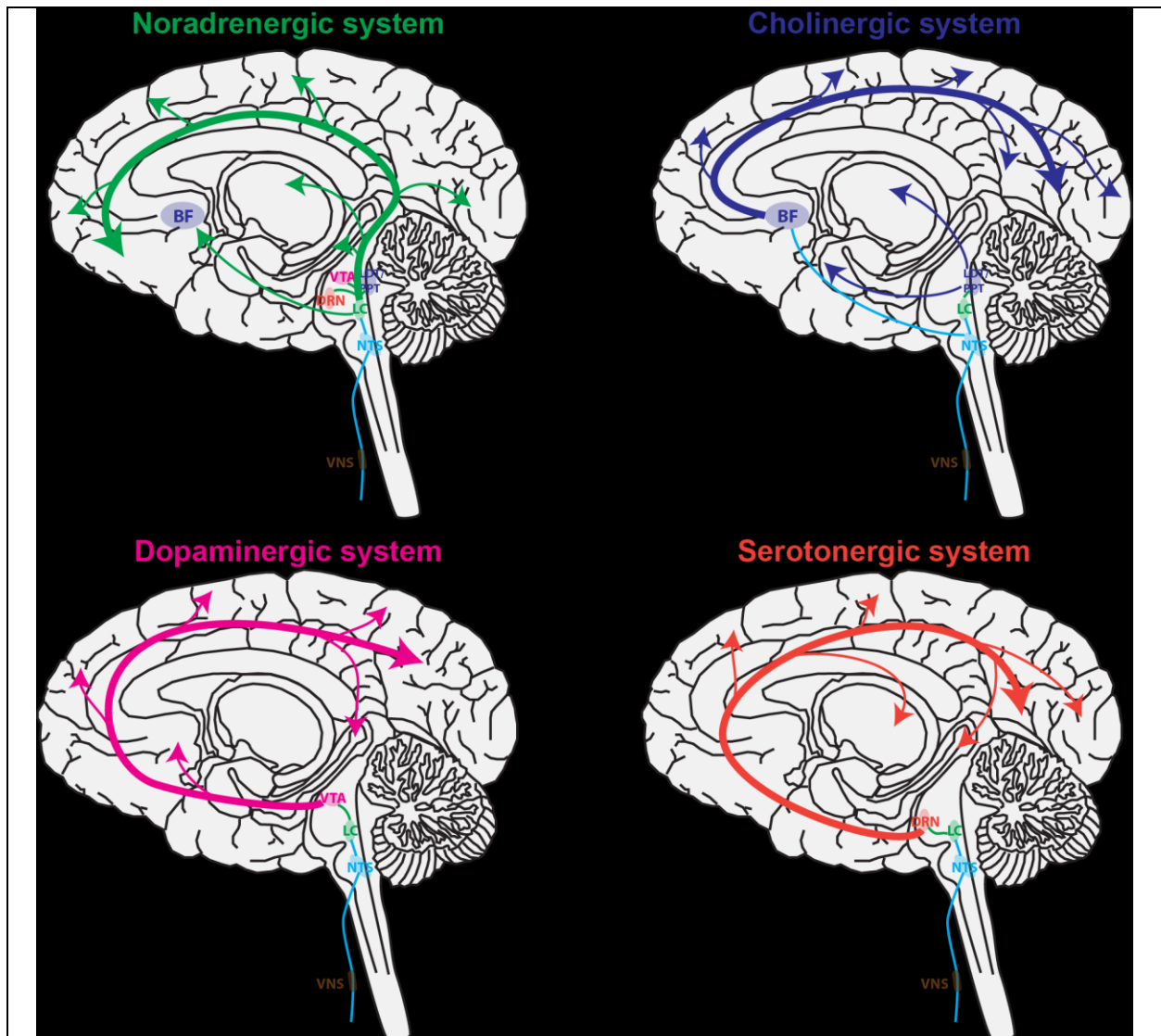


Figure 2. VNS activates multiple global neuromodulatory systems that are known to influence sensory processing. BF: basal forebrain; DRN: dorsal raphe nucleus; LC: locus coeruleus; LDT: laterodorsal tegmental nucleus; NTS: nucleus tractus solitaries; PPT: pedunculo pontine tegmental nucleus; VTA: ventral tegmental area.

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196 VNS and the Noradrenergic System

197 The LC is the primary source of NE in the forebrain⁸³. The LC exhibits constant tonic firing
198 (1-5 Hz) that regulates brain state (e.g., arousal) as well as intermediate phasic burst spiking

events (2-5 spikes at 10-20 Hz per burst) that occur in response to salient sensory stimuli as well as when decisions or responses are made ⁷². These two firing modes have been shown to produce distinctly different modulations of the response properties of sensory neurons ⁷². The LC innervates multiple regions along the sensory pathway, including the sensory thalamus and cortex ^{84, 85}.

There is a large body of evidence showing that the LC-NE system modulates sensory processing and perceptual learning ^{32, 86-91}. Moreover, it is well documented that activation of the LC-NE system immediately modulates the response of sensory neurons. In-vitro, NE has a depolarizing effect on auditory and visual thalamic relay neurons that coincides with a suppression of burst spiking ⁷⁴. This likely occurs because NE depolarization prevents the extended hyperpolarized periods needed to prime the calcium t-channels responsible for bursts ⁷⁹. In-vivo, tonic LC activation has been found to reduce spontaneous activity of the somatosensory thalamus, while facilitating sensory evoked activity, resulting in an increase in signal to noise ratio ⁸⁹. Our team has shown how tonic LC-NE activation enhances the accuracy of encoded stimuli in the somatosensory thalamus by reducing the fluctuating influence of the calcium t-channels responsible for bursting ⁷³. Within the cortex, the LC-NE system can cause either facilitation or inhibition with resulting effects specific to the sensory modality, cell, and stimulation pattern ⁹²⁻⁹⁵.

VNS' ability to activate the LC-NE system has long been hypothesized to underlie, in part, the clinical benefits of VNS ⁹⁶. VNS is thought to activate the LC via the vagus nerve's afferent projections to the nucleus tractus solitarius (NTS) ^{97, 98}. The NTS then sends an excitatory signal to the LC, likely via the nucleus paragigantocellularis ^{99, 100}. Indeed, multiple studies have confirmed VNS readily activates the LC-NE system in both animals and humans. In rats, VNS delivered via an implanted cuff has been shown to increase the activity of LC neurons as confirmed by electrophysiological recordings under halothane ¹⁰¹, chloral hydrate ¹⁰², equithesin ¹⁰³, and ketamine ⁶⁰ as well as by immunohistochemical biomarkers of short-term neuronal activation ¹⁰⁴. Similarly, multiple studies have found that microdialysis samples taken from rats

receiving VNS exhibited increased NE concentration in the primary hippocampus¹⁰⁵, basolateral amygdala¹⁰⁶, and cortex¹⁰⁷⁻¹⁰⁹. Finally, the findings in animals seem to be conserved in humans, as fMRI data from a study of adult males with tinnitus indicated taVNS activates the NTS and LC⁶⁵. However, variations in VNS parameters may affect how reliably VNS drives the LC-NE system, as one study measuring NE concentration in the CSF of patients receiving VNS as a treatment for depression failed to detect a significant change¹¹⁰.

In addition to direct evidence VNS activates the LC-NE system, many effects of VNS are blocked if the LC-NE system is impaired through either LC lesion or adrenergic receptor blockers. For example, the anticonvulsive effect of VNS is abrogated when hippocampal adrenergic receptors are blocked^{105, 111}. Further, VNS enhancement of perforant path-CA3 synaptic transmission is blocked by either electrical lesions of the LC or an adrenergic receptor antagonist (timolol)¹¹². The antidepressant-like effects of VNS in rats, as measured by feeding and swim tests, have been shown to be blocked by lesion of noradrenergic neurons^{113, 114}. Immunotoxin depletion of norepinephrine was also found to prevent VNS-driven enhancement of motor cortex neuroplasticity¹¹⁵.

VNS and Cholinergic Systems

Cholinergic nuclei of the basal forebrain (BF) project to the sensory processing regions of the thalamus¹¹⁶ and cortex^{117, 118}. Additionally, cholinergic nuclei of the pontomesencephalic area, including the laterodorsal tegmental nucleus (LDT) and pedunculo pontine tegmental nucleus (PPT), are a major source of ACh to the thalamus^{119, 120}. There are two distinct neuron populations of the BF that differentiate in exhibiting either a tonic (10-15 Hz) or a bursting (2-6 spikes/burst with bursting events occurring at 0.3-2 Hz) firing pattern⁷⁵ which influences arousal and attention. The response timing of both types of BF neurons is influenced by sensory stimuli¹²¹ and linked with novelty, salience, and surprise¹²².

Extensive work has shown the cholinergic system strongly influences both sensory processing and perceptual learning across multiple sensory modalities^{11, 123-134}. Like the noradrenergic system, it is well documented that activation of the cholinergic systems has immediate effects on sensory processing. ACh applied in-vitro to neurons of the thalamic reticular nucleus, a subthalamic region involved in sensory processing, causes hyperpolarization and induces burst spiking¹³⁵, likely due to extended hyperpolarized periods priming the calcium channels responsible for burst spiking⁷⁹. ACh applied to thalamic neurons of the primary visual and auditory pathways was found to increase firing rate^{136, 137}, although a hyperpolarization effect has been observed in thalamic neurons of the secondary (nonlemniscal) auditory pathway¹³⁸. Cholinergic modulation of the sensory cortex can cause either facilitation or inhibition with the resulting effect specific to the sensory modality, cell, and stimulation pattern^{118, 139-141}. In the visual cortex, BF stimulation has been shown to enhance accurate encoding by inducing decorrelation and increased reliability¹⁴².

It has long been hypothesized that VNS activates the BF–ACh system¹⁴³. VNS innervates the nucleus tractus solitarius (NTS)⁹⁷ and projections from the NTS activate the BF¹⁴⁴ in addition to the NTS projections that activate the LC⁹⁸⁻¹⁰⁰. The LC also projects to the BF¹⁴⁵, suggesting VNS activates the BF both directly through the NTS as well as indirectly through the LC. Indeed, two separate studies investigating the potential of VNS for inducing neuroprotection from cerebral ischemia found that VNS enhanced protein levels of the nicotinic acetylcholine receptor alpha7 subunit (α7nAChR) in the ischemic penumbra^{146, 147}. Recently, researchers performed in-vivo calcium imaging of the auditory cortex and found VNS evoked activity of cholinergic axons innervating the region¹⁴⁸. Further, they found the intensity of the evoked activity covaried with VNS intensity. In addition to this direct evidence that VNS rapidly activates the cholinergic system, multiple studies have shown ACh modulation of sensory pathways is a critical component underlying the plasticity effect induced by repeatedly pairing a burst of VNS with a sensory stimulus. For example, the effects of VNS on sensory processing in the auditory cortex were found

to be blocked by a muscarinic antagonist ¹⁴⁹. Further, lesioning the NB in rats was shown to abrogate the well-documented ability of VNS pulses repeatedly paired with a movement to enhance motor cortex plasticity ¹⁵⁰.

VNS and Serotonergic Systems

The dorsal raphe nucleus (DRN) is a major source of serotonin (5-HT) to the forebrain ¹⁵¹. Neurons of the DRN consistently exhibit a continuous slow tonic firing rate (1-2 Hz) with little variation in inter-spike-interval ^{152, 153}. Response of the DRN is related to both reward and punishment ¹⁵⁴⁻¹⁵⁶ as well as linked to sensory input ^{157, 158}. The DRN innervates both cortical and subcortical regions of the sensory processing pathways ¹⁵⁹. There is also a large body of work suggesting DRN activity modulates sensory processing and perception ¹⁶⁰⁻¹⁶⁶. 5-HT has been shown to have instant effects on neurons of the sensory pathways. For example, 5-HT has been shown to cause excitation of thalamic perigeniculate and reticular nucleus neurons ^{167, 168}. In the inferior colliculus, an auditory region of the midbrain, 5-HT was found to modulate responses in both a cell and auditory stimulus specific manner ¹⁶⁰. In the primary visual and auditory relay neurons of the visual and auditory pathways, 5-HT has been shown to have an inhibitory effect ¹⁶⁹⁻¹⁷¹. Additionally, activation of the DRN has been found to increase signal to noise ratio of the olfactory cortex ¹⁷².

VNS may activate the DRN indirectly by first activating the LC which then projects to the DRN ¹⁷³. This hypothesis is supported by a study in rats anesthetized with sodium pentobarbital that found VNS increased DRN neurons' firing rates, but this causal relationship was lost once the LC was lesioned ¹⁰³. Multiple studies have also shown that VNS increases DRN firing rate as measured via extracellular electrophysiological recordings ^{102, 174}. However, one study found only a subset of VNS patterns they tested increased DRN activity suggesting VNS activation of the DRN may be dependent on VNS parameters ¹⁷⁵. In follow-up work, the same group performed in-

vivo microdialysis in rats following chronic duty-cycled VNS and found increased 5-HT concentration in the DRN but not the hippocampus nor prefrontal cortex (PFC)¹⁰⁹. In contrast to these studies supporting VNS' ability to activate the DRN, another study analyzing microdialysis measurements in different brain regions of rats reported that neither vagotomy or chronic unilateral VNS had an effect on 5-HT levels in the VTA, nucleus accumbens (NAc), PFC, and striatum¹⁷⁶. These conflicting findings could potentially be related to the fact that electrical stimulation was delivered to an abdominal branch of the vagus nerve in this study. Further suggesting a more complex interplay between the VNS and DRN, a study analyzing immunohistochemical biomarkers of both short-term and long-term neuronal activation suggests chronic VNS does not induce DRN activation until stimulation has occurred across multiple days¹⁰⁴.

In addition to direct evidence that VNS increases activity of the serotonergic system, functionality of serotonergic neurons has been shown to be critical for multiple documented effects of VNS. For example, the earlier-mentioned study on the antidepressant-like effects of VNS in rats, which used feeding and swim tests as indexes of depression, found the beneficial effects of VNS were also precluded by administration of a neurotoxin for serotonergic neurons¹¹⁴. Additionally, a separate study found immunotoxin depletion of serotonin prevented the well-researched ability of repeatedly pairing a VNS burst with a movement to enhance motor cortex neuroplasticity¹¹⁵.

VNS and Dopaminergic Systems

The ventral tegmental area (VTA) and Substantia Nigra pars Compacta (SNc) are primary sources of dopamine (DA) to the forebrain¹⁷⁷ and, respectively, they modulate cognition and movement¹⁷⁸. The VTA has been shown to innervate the sensory cortices¹⁷⁹. The VTA exhibits both tonic (1-8 Hz) and burst firing (2-5 spike bursts with bursting events occurring at 0.1-1 Hz) with firing rates varying across cell types¹⁸⁰⁻¹⁸². Tonic firing rate likely modulates brain state (e.g.,

motivation, arousal) and bursting events likely encode for salient stimuli (e.g., reward, sensory stimuli)¹⁸³. Although the body of work investigating the effects of DA on sensory processing is limited, there is evidence it rapidly modulates sensory processing and response¹⁸⁴⁻¹⁸⁶.

Although previous work demonstrated the LC projects to the VTA¹⁸⁷, many studies also suggest VNS effects on DA circuitry may be dependent on other factors besides VNS directly increasing VTA firing rates. For example, one study that performed in-vivo microdialysis of rats following chronic duty-cycled VNS found an increase in DA in the PFC and NAc but a decrease in VTA neurons' firing rates as measured with electrophysiological recordings¹⁰⁹. A lack of VNS-induced changes in VTA firing and bursting rates was also reported in a separate study¹⁸⁸. Studies analyzing brain sections from rats that received chronic VNS have also reported varied results. One such study found decreased DA levels in the VTA, NAc, PFC, and striatum¹⁷⁶; however, to properly interpret these results it should be mentioned that electrical stimulation was delivered to an abdominal branch of the vagus nerve in this study. Two other studies performing a similar analysis found VNS induced changes to the elemental composition of dopamine-related brain structures¹⁸⁹ and to the lipids and proteins within the VTA, NAc, SNc, striatum, dorsal motor nucleus of vagus and the motor cortex¹⁹⁰. A more recent study in awake rats found optogenetic VNS, which carries no risk of unintentional activation of surrounding nerves, increased the firing rate of dopaminergic VTA neurons as measured via in-vivo imaging¹⁹¹. This same study also found lesioning the hepatic branch of the vagus nerve abrogated the increase in VTA neuron activity usually observed following ingestion.

DISCUSSION: TRANSLATIONAL APPLICATIONS OF USING VNS TO RAPIDLY MODULATE SENSORY PROCESSING

Accurate perception is required for daily life and independent living. However, dysfunction or degradation of central sensory processing pathways can rapidly impair sensory ability. The studies referenced here implicate VNS as a potential tool for modulating sensory processing. Accordingly, VNS presents great potential as a targeted treatment for impaired senses arising

from central sensory processing dysfunction. Many clinical causes of impaired central sensory processing exist including multiple neurodegenerative conditions and neurological disorders. Impaired sensory processing reduces sensory acuity, increases likelihood of miscommunication, and causes misperceptions that potentially lead to costly human error. Further, the link between human performance and sensory processing state suggests there may be commercial interest in enhancing sensory processing in addition to clinical. This translation potential has spurred clinical trials looking at the effect of VNS on auditory perception (e.g., NCT04812015 at www.clinicaltrials.gov). VNS methods of enhancing sensory processing have great translation possibility because cervical transcutaneous VNS (ctVNS) and transcutaneous auricular VNS (taVNS) have both been suggested to be safe and effective methods of noninvasively activating the vagus nerve in humans ¹⁹²⁻¹⁹⁵. In light of this potential, our research team is currently conducting pilot clinical studies investigating the effects of continuous tonic VNS on vision, hearing, and touch.

Age-related impairment of central sensory processing is particularly devastating to the elderly as it interferes with their ability to communicate ^{196, 197}, accelerates cognitive decline ¹⁹⁸, and is linked with Alzheimer's disease (AD) ¹⁹⁹. Treatments exist for age-related sensory receptor damage ²⁰⁰⁻²⁰³. However, there is a stark lack of solutions addressing the co-occurring age-related impairment of central sensory processing ²⁰⁴⁻²⁰⁷. For example, as evidence of this age-related decline in sensory processing, studies have shown that elderly individuals with normal audiograms, indicating normally functioning auditory receptors, still have decreased ability to discriminate detailed features of sensory stimuli, such as speech intelligibility over noise ^{208, 209}. Similarly, aging is thought to degrade visual ^{210, 211} and tactile processing ²¹². The ability to improve or restore sensory processing clarity with VNS, could therefore positively impact a large segment of society by helping them remain social and active through improving their ability to communicate clearly and walk safely. Many researchers share the belief that different forms of VNS could help elderly cognition and perception as suggested by the many ongoing clinical studies investigating

that topic (e.g., Clinical Trials NCT04396249, NCT04276805, NCT03359902, NCT04908358, NCT04276805, NCT03989375 at www.clinicaltrials.gov).

Attention deficit hyperactivity disorder (ADHD) has been linked with impaired sensory processing evidenced by poor frequency discrimination ability^{213, 214}. Moreover, inattention is linked with increased bursting activity in the sensory thalamus, a type of neural activity our team's research has found is suboptimal for encoding details and features of sensory stimuli therefore causing loss of sensory acuity³⁰. Further, thalamocortical bursting in response to sensory stimuli is thought to serve as a "wake-up-call" in response to salient stimuli, suggesting bursts are distracting²¹⁵. Recently, poor intrathalamic processing due to abnormal TRN responses has been suggested as a cause of ADHD²¹⁶. ADHD treatments (including stimulants) work, in part, via amplifying NE effects²¹⁷⁻²¹⁹. Methylphenidate, a common treatment for ADHD, has been shown to enhance early-stage sensory processing through increasing DA and NE concentration in the brain²²⁰. Previous work shows that VNS activates the locus coeruleus-norepinephrine (LC-NE) system,⁶⁰ and our work shows VNS suppresses noisy bursting activity along sensory pathways. Taken together, these findings suggest VNS could be potentially used to treat the sensory processing dysfunction linked with ADHD.

Disclaimer:

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