

Review Article

Critical reviews on recent states and challenges in spectroelectrochemistry with applications to microfluidic systems

Zhenglong Li¹, Maryom Rahman¹, Sreerag Kaaliveetil¹,
Niranjan Haridas¹, Yu-Hsuan Cheng¹, Charmi Chande¹ and
Sagnik Basuray^{1,2}



Abstract

Spectroelectrochemistry (SEC) is an integrated technique that marries the best of electrochemistry and spectroscopy during the electron transfer process. The review presents the recent developments in two not-frequently used but promising techniques (nuclear magnetic resonance (NMR) SEC and dark field microscopy (DFM) SEC). The dissemination of these two SEC techniques is required for their future widespread applications. The research challenges and development perspectives of NMR and DFM SEC are elaborated. Furthermore, it is found that employing SEC techniques in microfluidics is becoming a research hotspot. Therefore, Raman and ultraviolet-Visible-based SEC techniques in microfluidics are discussed. The last section summarizes and elaborates on the inherent challenges with SEC.

Addresses

¹ Department of Chemical and Materials Engineering, New Jersey Institute of Technology, Newark, NJ 07102, United States

² Department of Biomedical Engineering, New Jersey Institute of Technology, Newark, NJ 07102, United States

Corresponding author: Basuray, Sagnik (sbasuray@njit.edu)

Current Opinion in Electrochemistry 2023, 41:101357

This review comes from a themed issue on **Innovative Methods in Electrochemistry** (2023)

Edited by **Emmanuel Maisonhaute** and **Jay D. Wadhawan**

For a complete overview see the [Issue](#) and the [Editorial](#)

Available online 20 July 2023

<https://doi.org/10.1016/j.coelec.2023.101357>

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Keywords:

Spectroelectrochemistry, Nuclear magnetic resonance SEC, Dark field microscopy SEC, Microfluidics.

Introduction

As a composite technology, spectroelectrochemistry (SEC) is attracting increasing attention from fields ranging from inorganic, organics, electrodeposition, and even radiological analytes [1,2]. The integration of

electrochemistry and spectroscopy allows SEC techniques to offer a detailed and comprehensive study of the electron transfer kinetics and vibrational spectroscopic fingerprint of analytes during electrochemical reactions [3–5]. Specificity and anti-interference properties are inherent to SEC experiments due to the impossibility of finding two compounds that exhibit identical electrochemical behavior and spectroscopic properties [6]. Well-developed traditional analytical methods in electrochemistry, like cyclic voltammetry (CV), electrochemical impedance spectroscopy (EIS), and differential pulse voltammetry (DPV), have been widely applied in SEC [2]. Ultraviolet-visible (UV-Vis), surface-enhanced Raman spectroscopy (SERS), nuclear magnetic resonance (NMR), and fluorescence spectra are frequently used spectroscopy techniques. Thus, as a composite technology, SEC can offer many theoretical combinations. The SEC “family” is continually expanding by adding other spectroscopy techniques like dark field microscopy SEC (DFM SEC) [2,7].

The past few decades have witnessed the use of SEC techniques in different analysis fields. However, most published literature on SEC techniques concentrates on two relatively mature combinations: UV-Vis and Raman SEC. Very few examples of NMR and DFM SEC are available in the literature. Hence, more dissemination and understanding of NMR and DFM SEC is needed. Through this work, we hope that more people, whether established researchers or beginners, can see, understand, and use those SEC techniques in their respective fields of study. To this end, this article presents, summarizes, and discusses the development of NMR and DFM SEC techniques in recent years. Due to the need for more understanding of which technologies can be used for which applications, this article elaborates on helping researchers carefully understand and utilize the right technologies for their intended applications. In addition, the employment of SEC techniques in microfluidics is gaining significant attention from the scientific community. Therefore, this article presents recent advances in using SEC techniques in microfluidics. Finally, the summary and outlook section

discuss current challenges to each technique and future perspectives.

Nuclear Magnetic Resonance SEC (NMR SEC)

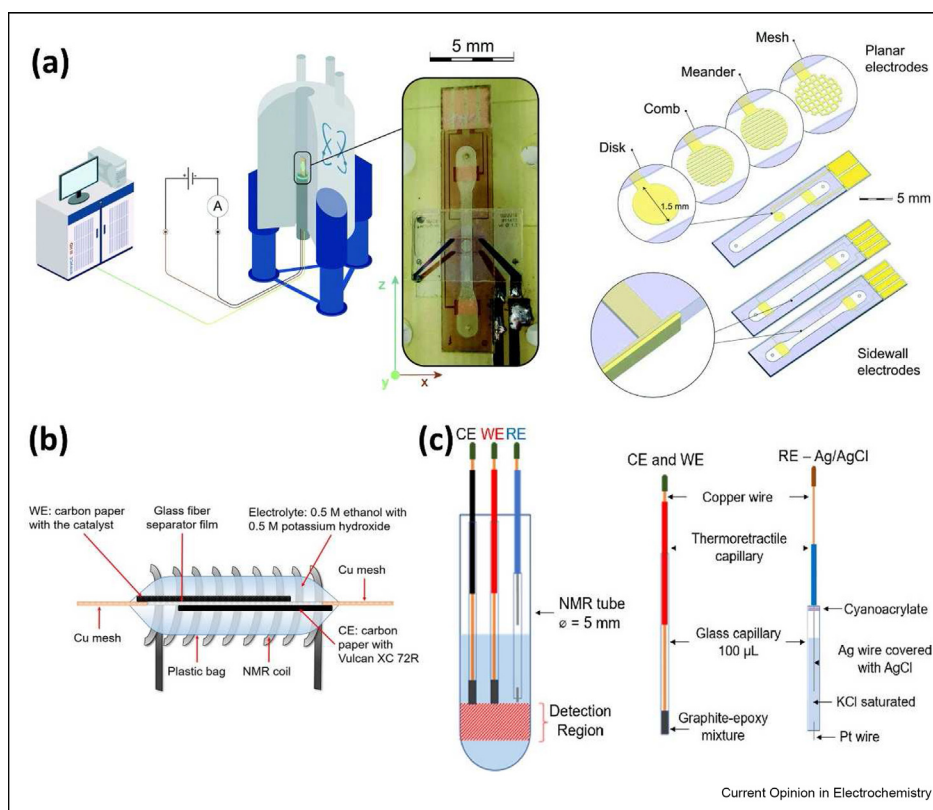
For electrochemical systems, obtaining information on the reaction intermediates, reagent concentrations, and reaction products during the reaction are critical to examine the possible reaction pathways. Nuclear magnetic resonance (NMR) is the most popular technique for elucidating molecular structures [8,9]. NMR SEC can obtain comprehensive structural information on the molecules and has been used to investigate electrocatalytic processes, reaction intermediates, reagent and product concentrations [10,11].

NMR SEC usage has been limited since few commercial NMR SEC cells exist. Further, NMR SEC cells are difficult to assemble for routine measurements [10,12]. In most electrochemical NMR cells, the electrodes are placed inside the NMR coil. However, the commonly-used metallic electrodes disrupt the static magnetic field (B_0) homogeneity, a critical requirement for NMR to gain a high signal-to-noise ratio (SNR) [13]. Thus,

NMR SEC is more complicated than other SECs. Significant efforts have been made to reduce or eliminate the disruption in the magnetic field [7,10]. The approaches are summarized here: (i) Using ultra-thin film metallic electrodes [10]; (ii) Nonmetallic electrodes like carbon microfibers and polymer electrodes [14]; (iii) Placing the electrodes outside the detection region [9].

Thin film metallic electrodes with different electrode geometries have been successfully used to monitor *in-situ* chitosan electrodeposition [10]. It is found that electrodes with ultra-thin thicknesses (tens of nanometers) or placed on the sidewalls of microfluidic channels show better device performance without affecting the homogeneity of the magnetic field B_0 , as verified by simulations and experiments, as shown in Figure 1(a). Recently, the use of conducting polymer or carbon-based, nonmetallic working electrodes (WE) has become a popular area of research [7,15–18]. Direct alcohol-based fuel cells have attracted tremendous research interest due to their well-recognized advantages [19]. To monitor molecular changes of the reaction products and to reveal the reaction mechanism of

Figure 1



(a) Schematic structure of the electrochemical cell designed for *in-situ* EC-NMR experiment [10]. (b) Pouch cell with all components in the solenoid coil [14]. (c) Electrochemical cell and electrodes. The WE, CE, and RE are fixated on the glass capillary tube [9].

alcohol oxidation reaction, Jana et al. introduce the *in-situ* real-time setup of electrochemical NMR, as shown in Figure 1(b). Here a carbon-based WE is employed [14].

However, the complex fabrication process usually implies high, time-consuming preparation costs for ultrathin metal electrodes. Using carbon-based electrodes also has limitations, like weak adhesion between the substrate and carbon nanomaterials, surface preparation dependent-electrochemical performance, and others [20]. Furthermore, nonmetallic polymer electrodes usually have limited electrochemical applications due to the low achievable currents [12]. Instead of focusing on the WE's preparation, the placement of the electrodes is also quite critical [9]. In Figure 1(c), the electrodes (WE and counter electrode) are fixed on a capillary glass tube, inserted into a standard 5 mm NMR tube, and placed 1 mm above the NMR detection region. The magnetohydrodynamic (MHD) effect is an important observation here, arising from the electric field of the electrochemical cell and the magnetic field of the NMR spectrometer [21]. Stirring with MHD force will homogenize the concentration of reagents and products in the NMR detection region, allowing real-time measurement of analytes, even though the electrodes are placed outside the NMR detection region.

Dark field microscopy SEC (DFM SEC)

NMR SEC is a good candidate for elucidating possible reaction pathways in electrochemical redox processes. However, the collected information by NMR SEC mainly relies upon bulk samples rather than individual nanoparticles (NPs). Understanding NPs' electrocatalytic properties and the oxidation process in aqueous suspensions is essential for their applications in sensing and catalysis [22,23]. Since electron-rich metal NPs such as AuNPs and AgNPs can exhibit intrinsic localized surface plasmon resonance (LSPR), the oxidation/reduction process of NPs in aqueous suspension will shift the LSPR frequency. Established *in-situ* optical methods like fluorescence spectroscopy and SERS, which only monitor the signal intensity, cannot access such information [24]. DFM SEC is gaining significant attention due to its ability to observe such changes/chemical reactions at a single-entity/nanoscale level [25]. The LSPR changes are tracked using an electron-multiplying charge-coupled device (EMCCD) camera.

For example, a recent work by Hui et al. has successfully shown the applicability of DFM SEC to track the synthesis of multi-metallic Au@MNPs (M = Pt, Pd, and Rh), which are candidate catalysts for the methanol oxidation reaction (Figure 2(a)) [26]. In addition, the shape of NPs is one of the critical factors affecting the LSPR intensity. Therefore, different nanostructures of

NPs have been used in the DFM SEC technique. Among them, Au nanocubes (AuNCs) stand out in their sharp vertices, providing electric-field-enhanced hotspots [27]. A recent article by Kim et al. shows the successful observation of distinct electrochromic behaviors of AuNCs via an *in-situ* DFM SCE technology (Figure 2(b)) [28]. One more recent interesting work by Jazlynn et al. shows us the feasibility of using redox MHD-DFM as an efficient tool to evaluate the NP sizes while simultaneously differentiating between mixtures of different nanomaterials (AgNPs and Au-coated-silicaNPs) [29]. Figure 2(c) shows that a permanent magnet parallels the electrochemical cell. The introduced MHD force allows a controllable flow within the cell. However, for most of the reported DFM SEC setups, the requirement for optically transparent electrodes (OTEs, like Indium tin oxide and Fluorine-doped tin oxide) has limited its widespread use due to the limited natural source and less negative inert potential window [30].

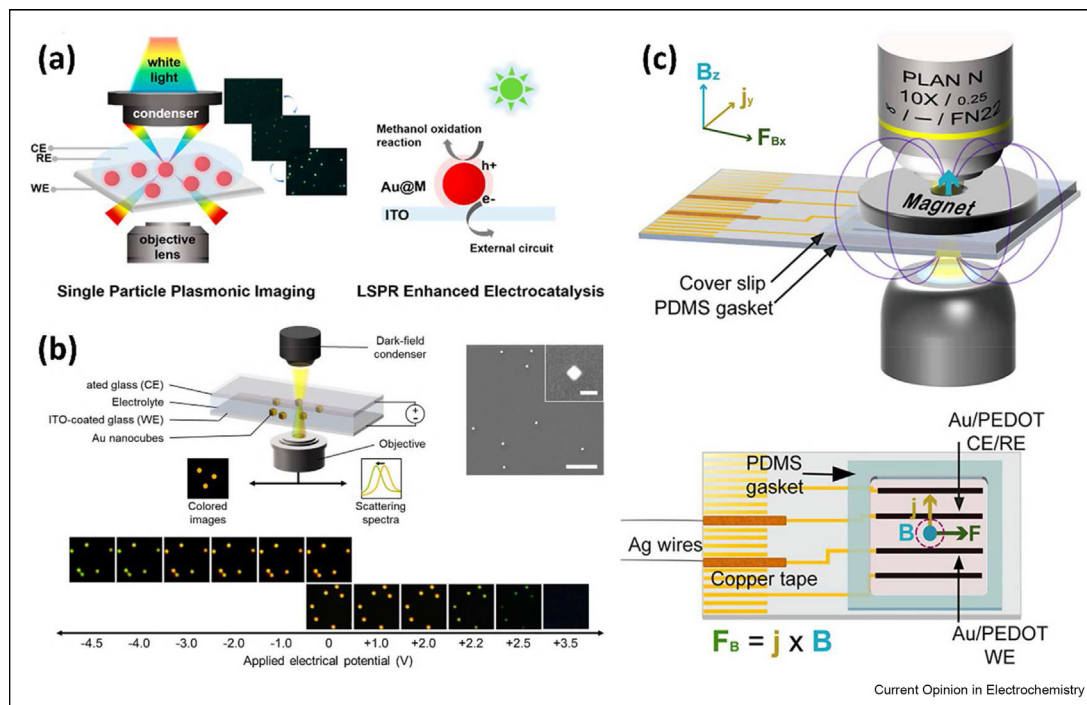
SEC techniques' applications in microfluidics

Due to the often-cited characteristics of microfluidics, the combination of microfluidics and SEC is receiving more and more attention [31–33]. However, due to the stringent requirements on the NMR cell structure and the limited use of DFM techniques, the current research chiefly relies on the SERS/Raman- or UV-Vis-based SEC combined with microfluidics.

The excellent compatibility between the SERS technique and microfluidic chips has triggered quantities of scientific research in the last decades [34,35]. For example, in quantitative assays, cells, and droplets sorting and biomolecules [36,37]. Although the sensitivity of SERS in microfluidic devices is relatively low compared to conventional SERS measurements, the repeatability of SERS measurements in microfluidic systems is somewhat higher due to the continuous flow of analyte molecules [38]. Preparing reliable SERS substrates is critical to realize microfluidic SERS chips with fast detection, high sensitivity, and good repeatability [39]. SERS substrates can be broadly classified into two categories: mobile SERS substrates and fixed SERS substrates. The mobile SERS substrates use metal colloids like Ag or Au colloids as the substrates [36]. However, the main drawback of metal colloids is their poor reproducibility. In addition, there is the possibility of clogging the microchannels. The main advantage of fixed SERS substrates with defined metal nanostructures is the higher morphological control of the nanostructures [40].

For SERS-based analytical transducers, reusability is critical to decreasing variation between measurements and the manufacturing time. For fixed SERS substrates,

Figure 2



(a) Experimental setup of the DFM-guided electrochemical synthesis of Au@MNPs and plasmonic accelerated MOR [26]. **(b)** Top Right: Electrochromic behavior of AuNCs measured under DFM. Top Left: SEM images of the AuNCs loaded on the ITO-coated glass substrate. Scale bars are 5 μm for the main image and 100 nm for the inset. Bottom: DFM images of the AuNCs under applied potentials ranging from 0 V to -4.5 V (cathodic) and 0 V–3.5 V (anodic), respectively [28]. **(c)** Electrochemical cell/magnet assembly used for RMHD-DFM is located between the illuminating system and the microscope objective. Top-down view of the electrochemical portion of the RMHD assembly [29].

the frequently used methods include harsh reagents, UV irradiation, and high-temperature treatment [41,42]. These processes rely on drastic surface treatments, specialized equipment, and time-consuming reversion processes. Marlitt et al. proposed one possible way to prepare a standard/practical analytical tool with excellent reusability [42]. The structure of the SERS SEC setup is shown in Figure 3(a). The forest of Au-capped silicon nanopillars is applied as the SERS-active substrate and the WE. The substrate recycling is obtained by applying a small positive voltage to remove the positively charged Melamine. However, the requirements of substrates with defined nanostructure morphologies (like NPs, nanopillar forest, and nanodot arrays, among others) complicate the preparation process and increase the cost. Unlike SERS SEC in microfluidics, Raman SEC is relatively flexible for substrates, like laser-induced graphene or reduced graphene-modified paper, which are well suited for scalable production [43,44].

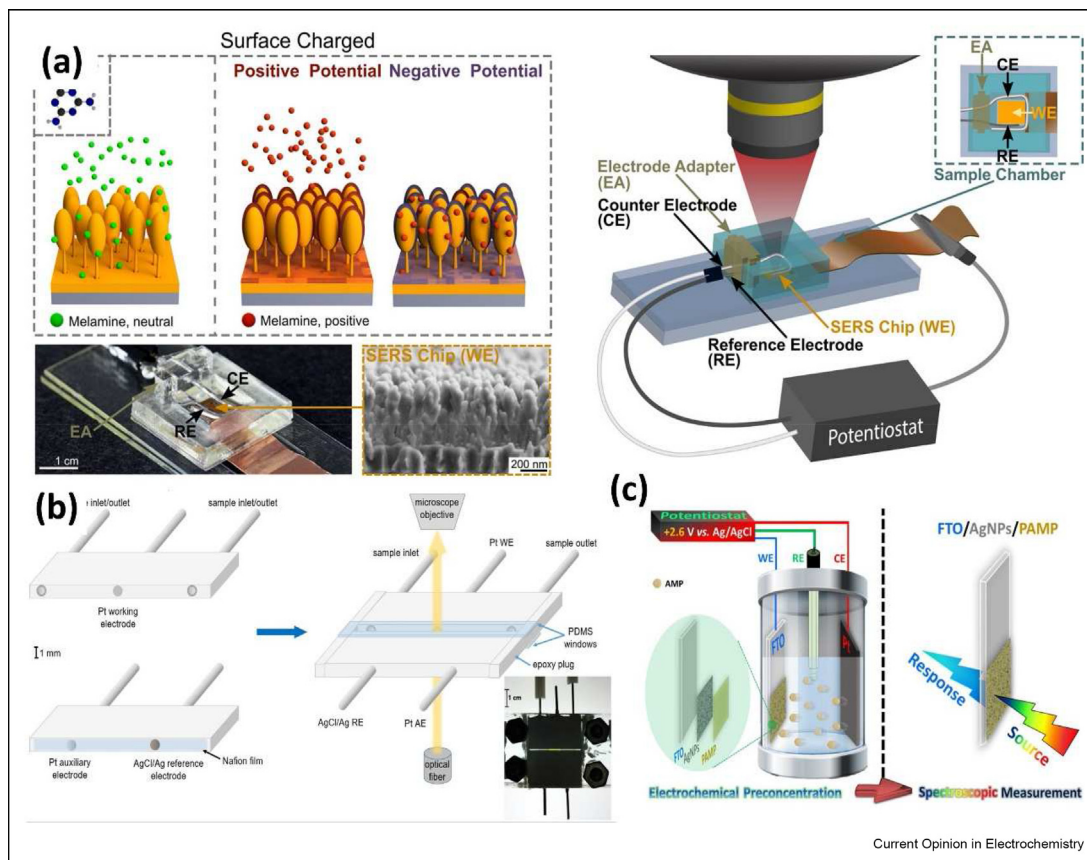
UV-Vis SEC and Microfluidics have been widely used in biotechnology, catalysis, environmental protection, and others [45,46]. However, compared with the SERS/Raman SEC, the employment of UV-Vis SEC in

Microfluidics is more, probably because the electrode substrate can be prepared easily. In Figure 3(b), an interesting microfluidic device for UV-Vis SEC is proposed by Wang et al. [47] Spectral measurements are made using an “in-house” constructed visible micro spectrometer which consists of a deuterium/tungsten-halogen light source and a CCD spectrometer. In addition, electrochemical preconcentration is one of the most frequently discussed preconcentration techniques at a controlled potential [48]. Hybrid SEC techniques also often involve this method to assist the goal of quantifying ultra-trace aqueous target analytes. Arash et al., in this work, monitor a potassium-channel blocking agent, Ampyra (AMP) (Figure 3(c)) [49].

Summary and outlook

We have detailed the recent development in DFM and NMR SEC and recent progress in combining SEC techniques and microfluidics. A detailed analysis of the working principles, challenges, and intended application directions in the selected recent applications is summarized in Table 1. For each SEC technique, some limitations from lab-scale to widespread practical use are summarized below.

Figure 3



(a) Left Top: Schematic diagram of the electrochemically assisted SERS-based detection working principle. Left Bottom: Photo of the assembled detection chamber and SEM image of Au-capped nanopillar structures for SERS detection. Right: Illustration of the custom-made electrochemical-SERS platform and its respective system interfacing. Inset shows the placement of the electrodes. Here, a platinum wire is applied as the counter electrode. A silver/silver chloride reference electrode is involved. The Au-capped Si nanopillar substrate is used as the working electrode [42]. (b) Illustration of the microfluidic setup for UV-Vis SEC measurements [47]. (c) Schematic illustration of the sensing procedure developed to determine ampyra [49].

NMR SEC

The NMR SEC technique seems to be one of the most versatile techniques for identifying the molecular signatures of the captured chemical moieties or small biomolecules. However, considering the low sensitivity of NMR, most of the NMR SEC is mainly focused on information about reaction intermediates or revealing possible reaction pathways [50]. The applications of NMR SEC are limited due to a few points: (i) The conducting electrode will lead to severe disruption in the homogeneity of B_0 , a critical requirement for NMR (ii) The design of suitable electrode materials (e.g., conducting polymers, thin metal films) and electrode configurations will increase the cost and difficulty of applying the technology and discourage rapid adoption by researchers.

DFM SEC

Instead of studying structural characteristics and activities from bulk samples or NP ensembles level, the

DFM SEC technique can directly explore the structure-activity relationship from the individual NP level [51]. The understanding from the nanoscale level is critical to designing and producing stable, high-performance catalysts [52]. However, there is a considerable gap for this technology to cross. Reasons are: (i) Limited by the light source arrangement, the technology has high requirements on the material and structure of the electrode. In addition, OTEs are frequently involved in DFM SEC. As well as (ii) tedious coupling procedures of the light and electric paths. (iii) Further, DFM SEC setups require extensive optics and might not be easy to incorporate into a sensor system. (iv) The reliability and device-to-device variation in DFM SEC are also concerns.

In addition, using SEC techniques in microfluidics is becoming an exciting trend within research fields, ranging from biotechnology to catalysis, environmental protection, and others. Although there are many

Table 1

Summary of desired applications for different SEC techniques.

SEC technologies	Desired general applications	Limitations
UV-Vis SEC	Quantitative analysis	Requirements on the optically transparent electrode; difficulty in the alignment of light beams, etc.
SERS SEC	Structural study; reaction intermediates; quantitative analysis, etc.	Preparation of SERS-active nanostructured substrate; low reusability, low reproducibility, etc.
NMR SEC	Quantitative analysis; reaction intermediates; reaction mechanism study, etc.	Critical requirement on the homogeneity of the magnetic field; suitable electrode materials; NMR cell structure, etc.
DFM SEC	Structural characteristics of individual NP; designing stable and high-performance catalysts.	Requirements on the optically transparent electrode, compatibility between different techniques, etc.

compatibility problems, mutual interference, optical road layout, and so on, this will be one of the promising development directions in the future. As more researchers familiarize themselves with the SEC techniques and new materials continue to be developed, these current problems, such as the OTEs problem in DFM SEC and the inhomogeneity of the magnetic field in NMR SEC, will be solved. In the end, we hope that through this article, researchers will see and get familiar with different SEC techniques, including the know-how on building SEC systems and using them to obtain and analyze the information they want.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper article.

Data availability

Data will be made available on request.

Acknowledgments

This manuscript is supported by Sagnik Basuray's NSF grant # 1751759, Career: "ASSURED" electrochemical platform for multiplexed detection of Cancer Biomarker Panel using Shear Enhanced Nanoporous Capacitive Electrodes and a New Jersey Health Foundation Grant, # PC 54-20, "ESSENCE - A Selective and Sensitive Electrochemical POC Platform for Liquid Biopsy."

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