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Diameter of homogeneous spaces: an effective account

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Abstract

In this paper we prove explicit estimates for the size of small lifts of points in homogeneous spaces. Our estimates are polynomially effective in the *volume* of the space and the injectivity radius.

1 Introduction

Let G be a semisimple Lie group and let $\Gamma \subset G$ be an arithmetic lattice, e.g. $G = \operatorname{SL}_d(\mathbb{R})$ and $\Gamma = \operatorname{SL}_d(\mathbb{Z})$. Reduction theory provides a description of a (weak) fundamental domain for Γ in G. Among other things, it relates the injectivity radius at a point $x \in G/\Gamma$ to the size of a *small* lift for x in G. In general, however, these estimates are only up to a compact subset of G; in particular, when Γ is a uniform (cocompact) lattice in G one does not obtain explicit estimates on the diameter of G/Γ .

In this paper we provide an explicit estimate for the size of a *small* lift in G of a point $x \in G/\Gamma$; our estimates are polynomial in the injectivity radius at x and in a certain measure of the arithmetic complexity of Γ which is closely related to the volume of G/Γ , see Theorem 1.1.

It is plausible that some of the arguments involved in reduction theory can be effectivized; this paper however takes an alternative route. The proofs here rely on a uniform spectral gap for arithmetic quotients in the case of semisimple group; see

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e.g. [5, 14, 19] for a similar approach. We then prove and utilize an effective Levi decomposition, in §3 and §4, to allow for groups which may not be semisimple.

It is worth mentioning that when Γ is a cocompact lattice, the dependence of our estimates on the injectivity radius may be omitted, see §6.4. The reader may compare this to the analysis in [5], where similar estimates for the isometry groups of rank one symmetric spaces are proved. However, our multiplication constants are allowed to depend on the number N which is defined in §1.1 — this number can be thought of as a notion of dimension for the arithmetic datum that defines Γ .

The main results are first formulated and proved (in §5) in the adelic language. Then we deduce the results for the *S*-arithmetic case—in particular for the case of semisimple Lie groups—from the adelic setting. In addition to providing a uniform treatment, the adelic language has the advantage that we may bring to bear the seminal works of Prasad [24] and Borel and Prasad [3], Einsiedler [11], to avoid assuming any *splitting* conditions in Theorem 1.1. In §6, we discuss some corollaries of this theorem in the *S*-arithmetic setting; see namely Theorem 1.2 and the discussion following it.

1.1 The notion of an algebraic datum

In the following, \mathbb{A} denotes the ring of adeles over \mathbb{Q} . We let $\Sigma = \{\infty\} \cup \{p : p \text{ is a prime}\}\$ denote the set of places of \mathbb{Q} , and let Σ_f be the set of finite places. We sometime write Σ_{∞} for the set containing the infinite place. We will denote places in Σ by v, w, \ldots and places in Σ_f by p, q, \ldots In this notation, we often write \mathbb{Q}_v to denote \mathbb{R} or \mathbb{Q}_p .

Throughout, we assume fixed the following datum (\mathbf{G}, ι) :

- 1. A connected algebraic \mathbb{Q} -group \mathbf{G} whose solvable radical is unipotent, i.e. $\mathbf{R}(\mathbf{G}) = \mathbf{R}_{u}(\mathbf{G})$.
- 2. We will always assume **G** to be simply connected.
- 3. An algebraic homomorphism $\iota: \mathbf{G} \to \operatorname{SL}_N$ defined over \mathbb{Q} , with a central kernel. Condition (1) is equivalent to $\operatorname{Hom}(\mathbf{G}, \mathbf{G}_m) = \{1\}$. In particular, we get that $\operatorname{Hom}_{\mathbb{Q}}(\mathbf{G}, \mathbf{G}_m) = \{1\}$, hence $\mathbf{G}(\mathbb{A})/\mathbf{G}(\mathbb{Q})$ has a $\mathbf{G}(\mathbb{A})$ -invariant finite measure.

Set $X = \operatorname{SL}_N(\mathbb{A})/\operatorname{SL}_N(\mathbb{Q})$; we let vol_X denote the $\operatorname{SL}_N(\mathbb{A})$ -invariant probability measure on X. Let $G = \iota(\mathbf{G}(\mathbb{A}))$ and $Y = \iota(\mathbf{G}(\mathbb{A})/\mathbf{G}(F)) \subset X$. Let μ_Y (or simply μ when there is no confusion) be a G-invariant probability measure on Y. Let m be a Haar measure on G which projects to μ under the orbit map.

1.2 A height function on X

For any $v \in \Sigma$, we will abusively let $\| \|_v$ denote the maximum norm (with respect to the standard basis) both on \mathbb{Q}_v^N and on $\mathfrak{sl}_N(\mathbb{Q}_v)$. For any $w \in \mathbb{A}^N$, we set

$$\mathsf{c}(w) := \prod_{v \in \Sigma} \|w_v\|_v.$$

Thanks to the product formula, we have c(rw) = c(w) for all $r \in \mathbb{Q}$, $w \in \mathbb{A}^N$. Moreover, for all $w \in \mathbb{Q}^N - \{0\}$, c(w) is an integer and $c(w) \ge 1$.



We define the *height* function ht : $SL_N(\mathbb{A}) \to \mathbb{R}^+$ by

$$ht(g) := \max\{c(gw)^{-1} : 0 \neq w \in \mathbb{Q}^N\}.$$
 (1.1)

This function is $SL_N(\mathbb{Q})$ -invariant, hence induces a function on X which we continue to denote by ht. That is: for any $x \in X$ we put ht(x) = ht(g) where $g \in SL_N(\mathbb{A})$ is so that $x = gSL_N(\mathbb{Q})$.

For every $p \in \Sigma_f$ we let $\| \|_{\text{op},v}$ (or simply $\| \|_{\text{op}}$ when there is no confusion) denote the operator norm on $\text{SL}_N(\mathbb{Q}_v)$, induced using the norm $\| \|_v$ on \mathbb{Q}_v^N . For any $g \in \text{SL}_N(\mathbb{Q}_v)$ define

$$|g| := \max\{||g||_{\text{op}}, ||g^{-1}||_{\text{op}}\}.$$

1.3 Complexity of homogeneous sets

An intrinsic notion of *volume of the datum* (\mathbf{G} , ι) was defined and utilized in [11]; we recall the definition here.

Fix an open subset $\Omega \subset SL_N(\mathbb{A})$ that contains the identity and has compact closure (see §2.2 for our choice for Ω). Set

$$vol(Y) := m(G \cap \Omega)^{-1}.$$
(1.2)

Evidently this notion depends on Ω , but the notions arising from two different choices of Ω are comparable to each other, in the sense that their ratio is bounded above and below. Consequently, we drop the dependence on Ω in the notation. See [11, §2.3] for a discussion of basic properties of the above definition.

1.4 Height of rational subspaces

Let $\mathbf{W} \subset \mathfrak{sl}_N(\mathbb{Q})$ be a d-dimensional subspace, so $\wedge^d \mathbf{W}$ is a rational line in $\wedge^d \mathfrak{sl}_N(\mathbb{Q})$. This line is diagonally embedded in $\wedge^d \mathfrak{sl}_N(\mathbb{A})$, and we do not distinguish between this diagonal embedding and the line.

We endow $\wedge^d \mathfrak{sl}_N(\mathbb{Q}_v)$ with the maximum norm with respect to the basis obtained by collecting the d-fold wedges of (distinct, ordered) elements of the canonical basis of $\mathfrak{sl}_N(\mathbb{Z})$. In this section, we will again use $\|\cdot\|_v$ to denote this norm.

Let $\mathbf{v}_{\mathbf{W}}$ denote a primitive integral vector on $\wedge^d \mathbf{W}$ —this vector is obtained by fixing a \mathbb{Z} -basis for $\mathbf{W} \cap \mathfrak{sl}_N(\mathbb{Z})$. Define

$$ht(\mathbf{W}) := \|\mathbf{v}_{\mathbf{W}}\|_{\infty}. \tag{1.3}$$

This is independent of the choice of the basis; moreover, because we used the max norm in the above definition, $ht(\mathbf{W})$ is an integer. Alternatively, $ht(\mathbf{W})$ may be defined as follows. Let $\{e_1, \ldots, e_d\}$ be a \mathbb{Q} -basis for \mathbf{W} . Then

$$ht(\mathbf{W}) = \prod_{v} \|e_1 \wedge \cdots \wedge e_d\|_v$$



where the product is taken over all places of \mathbb{Q} . In view of the product formula, the above is independent of our choice of the rational basis for \mathbf{W} .

Given a \mathbb{Q} -subgroup **H** of SL_N we define

$$ht(\mathbf{H}) := ht(Lie(\mathbf{H})) = ||\mathbf{v}_{\mathbf{H}}||_{\infty}, \tag{1.4}$$

where $\mathbf{v_H}$ is a primitive integral vector as above. If \mathbf{H} is a \mathbb{Q} -subgroup of \mathbf{G} instead, we set $ht(\mathbf{H}) = ht(\iota(\mathbf{H}))$.

The volume of an adelic orbit defined in $\S 1.3$ is closely related to the height function. This relationship is easy to describe for unipotent groups and was studied in [11, App. B], under the assumption that **G** is semisimple.

We now define the height of Y to be

$$ht(Y) := \max\{ht(\mathbf{G}), vol(Y)\}. \tag{1.5}$$

The following theorem is the main result of this paper.

Theorem 1.1 There exists some $\kappa_1 > 0$ depending only on N, and for any datum (\mathbf{G}, ι) as in §1.1 there exists some $p \in \Sigma_f$ with

$$p \ll (\log \operatorname{ht}(Y))^2,$$

so that the following holds. For each $g \in \mathbf{G}(\mathbb{A})$, there exists some $\gamma \in \mathbf{G}(\mathbb{Q})$ such that $\iota(g\gamma)_q \in \mathrm{SL}_N(\mathbb{Z}_q)$ for all primes $q \neq p$,

$$|\iota(g\gamma)_{\infty}| \ll (\log \operatorname{ht}(Y))^{\kappa_1}$$
 and $|\iota(g\gamma)_p| \ll \operatorname{ht}(\iota(g))^{\kappa_1} \operatorname{ht}(Y)^{\kappa_1}$.

Moreover, the implicit multiplicative constants depend only on N.

The existence of such a prime p relies on Prasad's volume formula [24], see §5.1 for more details.

1.5 The S-arithmetic setting

Let $S \subset \Sigma$ be a finite subset which contains the infinite place. We will write \mathbb{Q}_S for $\prod_{v \in S} \mathbb{Q}_v$, and \mathbb{Z}_S will denote the ring of *S*-integers.

Define ht_S:
$$\mathrm{SL}_N(\mathbb{Q}_S) \to \mathbb{R}^+$$
 by

$$\operatorname{ht}_{S}(g) := \max \left\{ (\prod_{v \in S} \|gw\|_{v})^{-1} : 0 \neq w \in \mathbb{Z}_{S}^{N} \right\}.$$

For any S as above, define Δ_S (or simply Δ if there is no confusion) by

$$\Delta_S := \text{the projection of } \mathbf{G}(\mathbb{Q}) \cap \left(\mathbf{G}(\mathbb{Q}_S) \times \prod_{q \notin S} \iota^{-1}(\mathrm{SL}_N(\mathbb{Z}_q)) \right) \text{to } \mathbf{G}(\mathbb{Q}_S);$$

note that Δ_S is a lattice in $\mathbf{G}(\mathbb{Q}_S)$.



Let $\Omega_S \subset \operatorname{SL}_N(\mathbb{Q}_S)$ be an open set which contains the identity and has compact closure. Put $\hat{Y} = \iota(\mathbf{G}(\mathbb{Q}_S)/\Delta)$ and define

$$\operatorname{vol}(\hat{Y}) := m_S(\iota(\mathbf{G}(\mathbb{Q}_S)) \cap \Omega_S)^{-1},$$

where m_S is a Haar measure on $\iota(\mathbf{G}(\mathbb{Q}_S))$ normalized so that $m_S(\hat{Y}) = 1$.

Theorem 1.2 Let (G, ι) be as in §1.1. Let S be a finite set of places of \mathbb{Q} which contains the infinite place. For every $v \in S$, let G_v be a semisimple algebraic \mathbb{Q}_v -group. Assume

- 1. G_v and G are isomorphic over \mathbb{Q}_v ; in particular, G is semisimple and G_v is simply connected.
- 2. The group $\mathbf{G}(\mathbb{Q}_S) = \prod_{v \in S} \mathbf{G}(\mathbb{Q}_v) = \prod_{v \in S} \mathbf{G}_v(\mathbb{Q}_v)$ is not compact.

There exists a constant $\kappa_2 > 0$ depending only on N and a constant $C \geq 1$ which depends on $\mathbf{G}(\mathbb{Q}_S)$ and N, but not on \mathbf{G} , so that the following holds. For every $g \in \mathbf{G}(\mathbb{Q}_S)$ there exists some $\delta \in \Delta$ such that

$$|\iota(g\delta)| \le C \operatorname{ht}_S(\iota(g))^{\kappa_2} \operatorname{vol}(\hat{Y})^{\kappa_2}.$$

This theorem will be proved in §6; see in particular Theorem 6.2 where Theorem 1.2 is restated and proved. We will also discuss some other corollaries of Theorem 1.1 in §6.

Let us highlight two features of the above theorem. First, note that once N is fixed the dependence on the lattice Δ in the estimates is only through its *covolume* $\operatorname{vol}(\hat{Y})$. Second, the above estimates use $\operatorname{vol}(\hat{Y})$ instead of $\operatorname{vol}(Y)$; the fact that $\operatorname{vol}(\hat{Y})$ and $\operatorname{vol}(Y)$ are polynomially related to each other is a consequence of deep results by Prasad and Borel and Prasad [3, 24], see §6.1.

2 Notation and preliminaries

2.1 Notation

Throughout the paper, Σ , \mathbb{A} , etc. will be as in §1.1. In particular, $\mathbb{A} = \prod_{v \in \Sigma}^{\prime} \mathbb{Q}_v$ where \prod' denotes the restricted direct product with respect to \mathbb{Z}_p for $p \in \Sigma_f$. Given an element g in $\mathrm{SL}_N(\mathbb{A})$ (or in $\mathfrak{sl}_N(\mathbb{A})$, \mathbb{A}^N , etc.), we write g_v for the v-th component of g.

If $S \subset \Sigma$ is a finite set of places containing the infinite place, \mathbb{Z}_S will denote the ring of S-integers, that is $\mathbb{Z}_S = \{r \in \mathbb{Q} \mid |r|_v \le 1 \text{ for } v \notin S\}$. On the other hand, \mathbb{Q}_S will denote the product $\prod_{v \in S} \mathbb{Q}_v$. There are canonical inclusions $\mathbb{Q} \subset \mathbb{A}$, $\mathbb{Q} \subset \mathbb{Q}_S$, $\mathbb{Q}_S \subset \mathbb{A}$, etc. which will often be omitted from the notation.

For any finite place $p \in \Sigma_f$, $\mathbb{F}_p = \mathbb{Z}_p/p\mathbb{Z}_p$ is the finite field of order p. Let $|x|_p$ denote the absolute value on \mathbb{Q}_p normalized so that $|p|_p = 1/p$. Finally, let $\widehat{\mathbb{Q}_p}$ denote the maximal unramified extension of \mathbb{Q}_p , $\widehat{\mathbb{Z}_p}$ denote the ring of integers in $\widehat{\mathbb{Q}_p}$, and $\widehat{\mathbb{F}_p}$ denote the residue field of $\widehat{\mathbb{Z}_p}$. Note that $\widehat{\mathbb{F}_p}$ is the algebraic closure of \mathbb{F}_p .



Recall that, for any place $v \in \Sigma$, $\| \|_v$ denotes the maximum norm both on \mathbb{Q}_v^N and $\mathfrak{sl}_N(\mathbb{Q}_v)$ with respect to their standard bases. When there is no ambiguity, we may drop the subscript v. For this norm, we denote $B_{\mathfrak{sl}_N(\mathbb{Q}_v)}(r)$ the ball in $\mathfrak{sl}_N(\mathbb{Q}_v)$ of radius r centered at 0.

Let (G, ι) be an algebraic datum, as described in 1.1. For any $v \in \Sigma$, let $\mathfrak{g}_v = \operatorname{Lie}(G(\mathbb{Q}_v))$. Using the embedding $d\iota : \mathfrak{g}_v \to \mathfrak{sl}_N(\mathbb{Q}_v)$, we pull back the norm $\| \|_v$ to a norm on \mathfrak{g}_v which we continue to denote by $\| \|_v$ (or $\| \|_{\infty}, \| \|_p$). For these norms, we define $B_{\mathfrak{g}_v}(r)$ to be the ball in \mathfrak{g}_v of radius r centered at 0.

For every $v \in \Sigma$, we let $G_v = \iota(\mathbf{G}(\mathbb{Q}_v))$; in particular, $G_\infty = \iota(\mathbf{G}(\mathbb{R}))$.

In the sequel, the notation $A \ll B$ means: there exists a constant c > 0 so that $A \le cB$; the implicit constant c is permitted to depend on N, but (unless otherwise noted) not on anything else. We write $A \times B$ if $A \ll B \ll A$. If a constant (implicit or explicit) depends on another parameter or only on N, we will make this clear by writing e.g. $\ll_{\epsilon}, \times_{N}, c(G)$, etc.

The exponents κ_{\bullet} are allowed only to depend on N. We also adopt the \star -notation from [12]. We write $B = A^{\pm \star}$ if $B = cA^{\pm \kappa}$, where $\kappa > 0$ and c depend only on N, unless it is explicitly mentioned otherwise. Similarly one defines $B \ll A^{\star}$, $B \gg A^{\star}$. Finally, we also write $A \approx B^{\star}$ if $A^{\star} \ll B \ll A^{\star}$ (possibly with different exponents).

2.2 Injectivity radius in X

Given $\eta > 0$, put $\Xi_{\eta} := \exp(B_{\mathfrak{sl}_N(\mathbb{R})}(\eta))$. Throughout, we assume η_0 is small enough so that $\exp: B_{\mathfrak{sl}_N(\mathbb{R})}(\eta_0) \to \Xi_{\eta_0}$ is a diffeomorphism. For any $\eta > 0$, let

$$\Omega_{\eta} := \Xi_{\eta} \times \Big(\prod_{\Sigma_f} \mathrm{SL}_N(\mathbb{Z}_p)\Big).$$

We fix $\Omega = \Omega_{\eta_0}$; this set will be the one used to measure the volume of (\mathbf{G}, ι) , as described in §1.3.

For $x \in X$, define $\pi_x : \operatorname{SL}_N(\mathbb{A}) \to X$ by $\pi_x(g) = gx$; when x = e we simply write π for π_x . For every $0 < \eta < \eta_0$, define

$$X_{\eta} := \{x \in X : \pi_x \text{ is injective when restricted to } \Omega_{\eta} \}.$$
 (2.1)

If $\eta \geq \eta_0$, set $X_{\eta} = \emptyset$. Let $Y_{\eta} := Y \cap X_{\eta}$.

Lemma 2.1 *There exists some constant* $\kappa_3 > 0$ *so that the following holds.*

- 1. For any $g \in SL_N(\mathbb{A})$ we have $g \in X_{\kappa_3 \operatorname{ht}(g)^{-\kappa_3}}$.
- 2. If $gSL_N(\mathbb{Q}) \in X_n$, then $ht(g) \ll \eta^{-\kappa_3}$.

Proof Let $g \in SL_N(\mathbb{A})$. First note that by strong approximation for SL_N , there exists some $\gamma_0 \in SL_N(\mathbb{Q})$ so that

$$g\gamma_0 = (g'_{\infty}, (g'_p)) \in \mathrm{SL}_N(\mathbb{R}) \times (\prod_p \mathrm{SL}_N(\mathbb{Z}_p)).$$



Further, using the reduction theory of $SL_N(\mathbb{R})$, there exists some $\hat{\gamma}_1 \in SL_N(\mathbb{Z})$ so that $g_{\infty}\hat{\gamma}_1 = kau$, where $k \in SO_N(\mathbb{R})$, $a = diag(a_i)$ is diagonal with positive entries satisfying $a_i a_{i+1}^{-1} \leq 2/\sqrt{3}$, and $u = (u_{ij})$ is unipotent upper triangular with $|u_{ij}| \leq 1/2$. Note that

$$||aua^{-1}||_{\text{op}} \le \frac{1}{2} \left(\frac{(2/\sqrt{3})^N - 1}{(2/\sqrt{3}) - 1} + 1 \right) \ll 1$$

for any a and u as above.

Let $\gamma = \gamma_0 \gamma_1$, where γ_1 denotes the diagonal embedding on $\hat{\gamma}_1$ in $SL_N(\mathbb{Q}) \subset SL_N(\mathbb{A})$. Then, since $\gamma_{1,p} \in SL_N(\mathbb{Z}_p)$ for all p, we have

$$g\gamma = (kau, (\hat{g}_p)) \in SL_N(\mathbb{R}) \times (\prod_p SL_N(\mathbb{Z}_p)).$$
 (2.2)

For $w \in \mathbb{Q}^N$, we have

$$c(g\gamma w) = \|(kau)w\|_{\infty} \cdot \left(\prod_{p} \|\hat{g}_{p}w\|_{p}\right)$$

$$= \|(kaua^{-1})aw\|_{\infty} \cdot \left(\prod_{p} \|w\|_{p}\right) \quad \text{since } \hat{g}_{p} \in SL_{N}(\mathbb{Z}_{p})$$

$$\approx \|aw\|_{\infty} \cdot \left(\prod_{p} \|w\|_{p}\right) \quad \text{since } k \in SO_{N}(\mathbb{R}), \|aua^{-1}\|_{op} \ll 1.$$

$$(2.3)$$

Moreover, we have $\|aw\|_{\infty} \leq (\max_i a_i)\|w\|_{\infty} = |a| \cdot \|w\|_{\infty}$, and thus also $|a|^{-1}\|w\|_{\infty} \leq \|aw\|_{\infty}$. Therefore, (2.3) implies that

$$|a|^{-1}\mathsf{c}(w)^{-1} \ll \mathsf{c}((g\gamma)w)^{-1} \ll |a|\mathsf{c}(w)^{-1}.$$
 (2.4)

Now for w an appropriate basis vector, we have

$$||aw||_{\infty}^{-1} = (\min_{i} a_{i})^{-1} = \max_{i} a_{i}^{-1} = ||a^{-1}||_{op},$$

and since det a=1, we have $\|a^{-1}\|_{op} \geq \|a\|_{op}^{1/(N-1)}$. For such w, it thus follows from (2.3) that $\mathsf{c}((g\gamma)w)^{-1} \gg |a|^{1/(N-1)}$. Together with (2.4), this shows

$$|a|^{1/(N-1)} \ll \operatorname{ht}(g) = \max\{\operatorname{c}(\operatorname{Ad}(g\gamma)w)^{-1} : 0 \neq w \in \mathfrak{sl}_N(\mathbb{Q})\} \ll |a|. \tag{2.5}$$

Now if instead $w \in \mathfrak{sl}_N(\mathbb{Q})$, we have

$$\|\mathrm{Ad}(a)w\|_{\infty} \le (\max_{i,j} a_i a_j^{-1}) \|w\|_{\infty} \le |a|^2 \|w\|_{\infty}.$$

In the same way as above, since $k \in SO_N(\mathbb{R})$ and $||aua^{-1}||_{op} \ll 1$, there is some $c \ll 1$ such that for any $\eta > 0$,

$$\operatorname{Ad}(k(aua^{-1})a)^{-1}B_{\mathfrak{sl}_N(\mathbb{R})}(\eta)\subset\operatorname{Ad}(a)^{-1}B_{\mathfrak{sl}_N(\mathbb{R})}(c\eta)\subset B_{\mathfrak{sl}_N(\mathbb{R})}(c|a|^2\eta).$$



Applying the exponential map yields

$$(k(aua^{-1})a)^{-1}\Xi_{\eta}(k(aua^{-1})a)\subset\Xi_{c|a|^2\eta}.$$

Therefore, we have

$$\gamma^{-1}g^{-1}\Omega_{\eta}g\gamma \cap \operatorname{SL}_{N}(\mathbb{Q}) \subset \left((kau)^{-1}\Xi_{\eta}kau \cap \operatorname{SL}_{N}(\mathbb{Z}) \right) \times \left(\prod_{p} \operatorname{SL}_{N}(\mathbb{Z}_{p}) \right)$$
$$\subset \left(\Xi_{c|a|^{2}\eta} \cap \operatorname{SL}_{N}(\mathbb{Z}) \right) \times \left(\prod_{p} \operatorname{SL}_{N}(\mathbb{Z}_{p}) \right).$$

In particular, if $\eta \ll |a|^{-2}$, then $\gamma^{-1}g^{-1}\Omega_{\eta}g\gamma \cap SL_N(\mathbb{Q}) = \{1\}$. That is: $g \in X_{c'|a|^{-2}}$ for perhaps another constant c' > 0. This implies the claim in (1) in view of (2.5).

To see (2) in the lemma, let $\eta > 0$ and suppose $gSL_N(\mathbb{Q}) \in X_\eta$. Let $\gamma \in SL_N(\mathbb{Q})$ be so that $g\gamma$ is as in (2.2). For any $w \in \mathfrak{sl}_N(\mathbb{R})$ in the appropriate root space, we have

$$\|\operatorname{Ad}(a)w\|_{\infty}^{-1} = (\min_{i,j} a_i a_j^{-1})^{-1} \|w\|_{\infty} = (\max_{i,j} a_i a_j^{-1}) \|w\|_{\infty}$$
$$\geq N^{-2} \Big(\sum_i a_i\Big) \Big(\sum_j a_j^{-1}\Big) \|w\|_{\infty} \geq N^{-2} |a| \cdot \|w\|_{\infty}. \tag{2.6}$$

Because $k \in SO_N(\mathbb{R})$, $||aua^{-1}||_{op} \ll 1$, we may scale w so that

$$w \in \operatorname{Ad}(kaua^{-1})^{-1}B_{\mathfrak{sl}_N(\mathbb{R})}(\eta)$$

while keeping $||w||_{\infty} \gg \eta$. With this choice for w, we have

$$\operatorname{Ad}(a)^{-1}w \in \operatorname{Ad}(kau)^{-1}B_{\mathfrak{sl}_N(\mathbb{R})}(\eta),$$

that is, $\exp(\operatorname{Ad}(a)^{-1}w) \in (kau)^{-1}\Xi_{\eta}kau$. In consequence,

$$\|\operatorname{Ad}(a)^{-1}w\|_{\infty} < 1.$$
 (2.7)

Indeed, otherwise, we would be able to pick $Ad(a)^{-1}w$ to be an elementary matrix, for which we would have

$$\exp(\operatorname{Ad}(a)^{-1}w) \in \left((kau)^{-1} \Xi_{\eta} kau \times \prod_{p} \operatorname{SL}_{N}(\mathbb{Z}_{p}) \right) \cap \operatorname{SL}_{N}(\mathbb{Q})$$
$$= \gamma^{-1} g^{-1} \Omega_{\eta} g \gamma \cap \operatorname{SL}_{N}(\mathbb{Q}).$$

This contradicts the fact $g^{-1}\Omega_{\eta}g \cap SL_N(\mathbb{Q}) = \{1\}$. In virtue of our choice for w, (2.6), and (2.7), we have

$$|a|\eta \ll |a| \cdot ||w||_{\infty} \ll ||\mathrm{Ad}(a)^{-1}w||_{\infty} < 1.$$

Finally, in view of (2.5), this immediately implies

$$ht(g) \ll |a| \ll \eta^{-1}$$

and concludes the proof of the lemma.



2.3 Remark

In the definition (1.1) of the height, instead of using the action of $SL_N(\mathbb{A})$ on \mathbb{A}^N , one could have acted on $\mathfrak{sl}_N(\mathbb{A})$ via the adjoint action. More precisely, one could have defined $\widetilde{\mathsf{ht}}: SL_N(\mathbb{A}) \to \mathbb{R}^+$ by

$$\widetilde{\operatorname{ht}}(g) := \max\{\operatorname{c}(\operatorname{Ad}(g)w)^{-1} : 0 \neq w \in \mathfrak{sl}_N(\mathbb{Q})\},\$$

where the function c is given by the same expression $c(w) := \prod_{v \in \Sigma} \|w_v\|_v$. The proof of lemma 2.1 can be used to show that $|a| \ll \widetilde{\operatorname{ht}}(g) \ll |a|^2$ (with a as in (2.2)), and in consequence that

$$ht(g) \ll \widetilde{ht}(g) \ll ht(g)^{2(N-1)}$$
.

The two heights are thus polynomially related, and for the purpose of Theorem 1.1, they can be used interchangeably.

2.4 Elements from Bruhat-Tits theory

We recall a few facts from Bruhat-Tits theory, see [28] and references there for the proofs. Let G be a connected semisimple group defined over \mathbb{Q} . Let p be a finite place, then

- 1. For any point o in the Bruhat-Tits building of $\mathbf{G}(\mathbb{Q}_p)$, there exists a smooth affine group scheme $\mathfrak{G}_p^{(o)}$ over \mathbb{Z}_p , unique up to isomorphism, such that: its generic fiber is $\mathbf{G}(\mathbb{Q}_p)$, and the compact open subgroup $\mathfrak{G}_p^{(o)}(\mathbb{Z}_p)$ is the stabilizer of o in $\mathbf{G}(\mathbb{Q}_p)$, see [28, 3.4.1].
- 2. If **G** splits over \mathbb{Q}_p and o is a *special* point, then the group scheme $\mathfrak{G}_p^{(o)}$ is a Chevalley group scheme with generic fiber **G**, see [28, 3.4.2].
- 3. $\operatorname{red}_p: \mathfrak{G}_p^{(o)}(\mathbb{Z}_p) \to \underline{\mathfrak{G}_p}^{(o)}(\mathbb{F}_p)$, the reduction mod p map, is surjective, see [28, 3.4.4].
- 4. $\mathfrak{G}_p^{(o)}$ is connected and semisimple if and only if o is a *hyperspecial* point. Stabilizers of hyperspecial points in $\mathbf{G}(\mathbb{Q}_p)$ will be called hyperspecial subgroups, see [28, 3.8.1] and [24, 2.5].

If **G** is quasi-split over \mathbb{Q}_p , and splits over $\widehat{\mathbb{Q}_p}$, then hyperspecial vertices exists, and they are compact open subgroups of maximal volume. Moreover a theorem of Steinberg implies that **G** is quasi-split over $\widehat{\mathbb{Q}_p}$ for all p, see [28, 1.10.4].

It is known that for almost all p the group G is quasi-split over \mathbb{Q}_p , see [23, Theorem 6.7]. Moreover, for almost all p the groups K_p are hyperspecial, see [28, 3.9.1].

3 Small Levi decomposition in Lie algebras

Recall from §2.1 that $\| \|$ denotes the (archimedean) max norm both on \mathbb{Q}^N and on $\mathfrak{sl}_N(\mathbb{Q})$ with respect to the standard basis. Note that if $u, v \in \mathfrak{sl}_N(\mathbb{Q})$, we have $\|[u, v]\| \ll \|u\| \|v\|$.



If \mathfrak{g} is a subalgebra of $\mathfrak{sl}_N(\mathbb{Q})$, and $\mathcal{B} = \{u_1, \dots, u_M\}$ is a \mathbb{Z} -basis of $\mathfrak{g} \cap \mathfrak{sl}_N(\mathbb{Z})$, we can also endow \mathfrak{g} with the max norm $\| \|_{\mathcal{B}}$ in the basis \mathcal{B} . For any $u \in \mathfrak{g}$ we have

$$(\max_{i} \|u_i\|)^{-1} \|u\| \ll \|u\|_{\mathcal{B}} \ll (\max_{i} \|u_i\|) \|u\|.$$

In this section we prove the following.

Proposition 3.1 There exists some $\kappa_4 > 0$ with the following property. Let $\mathfrak{g} \subset \mathfrak{sl}_N(\mathbb{Q})$ be a Lie subalgebra and let $\mathfrak{r} = R(\mathfrak{g})$ be its radical. Further, let $\mathfrak{l} \subset \mathfrak{g}$ be a reductive subalgebra with $\mathfrak{l} \cap \mathfrak{r} = \{0\}$ (it may be that $\mathfrak{l} = \{0\}$). Assume that $\operatorname{ht}(\mathfrak{g}) \leq T$ and $\operatorname{ht}(\mathfrak{l}) \leq T$. There exists a Levi decomposition $\mathfrak{g} = \mathfrak{h} \oplus \mathfrak{r}$ with $\mathfrak{l} \subset \mathfrak{h}$, so that

$$ht(\mathfrak{h}) \ll T^{\kappa_4}$$
 and $ht(\mathfrak{r}) \ll T^{\kappa_4}$,

where the implied constants depend only on N.

Roughly speaking, the proof of the proposition is based of the following phenomenon: a *consistent* system of linear equations with integral coefficients which are bounded by T has a solution of norm $\ll T^*$.

Let us also note that if $R(G) = R_u(G)$ and $\mathfrak{g} = Lie(G)$, the condition $\mathfrak{l} \cap \mathfrak{r} = \{0\}$ holds true for any reductive subalgebra.

3.1 Systems of integral linear equations

For the convenience of the reader, in this section we record some lemmas which provide estimates on the size of solutions of systems of linear equations with integral coefficients.

We note that the following lemmas aim for *good* polynomial bounds. If one is content with a rough polynomial bound, one could easily prove

$$|x_j^l| \le \sqrt{(N-1)!} \cdot (\max_{ij} |a_{ij}|)^{N-1}$$

in the first lemma and the bound $||v_i|| \le N \max_j ||u_j||$ in the third lemma — these rough bounds suffice for our applications as well.

Lemma (Siegel's lemma) Let $A = (a_{ij})$ be a $M \times N$ -matrix (N > M) of full rank, with integer coefficients a_{ij} , and

$$\left\{ \sum_{j=1}^{N} a_{ij} x_j = 0 \quad i = 1, \dots, M \right\}$$

the associated linear system. There exists a basis $\{(x_1^l,\ldots,x_N^l)\mid l=1,\ldots,N-M\}$ of the space of solutions of the system satisfying $x_j^l\in\mathbb{Z}$ and $|x_j^l|\leq \sqrt{|\det AA^T|}$ for $l=1,\ldots N-M$.

Proof See [2, Thm. 2].
$$\Box$$



Lemma (Siegel's lemma for inhomogeneous equations) Let

$$\left\{ \sum_{j=1}^{N} a_{ij} x_j = b_i \quad i = 1, \dots, M \right\}$$

be a consistent system of M linear equations in N > M variables, with integer coefficients a_{ij} . Then the system has a solution $(\frac{y_1}{d}, \dots, \frac{y_N}{d})$ with $y_i, d \in \mathbb{Z}$ and

$$\max_{i} \{ |y_i|, |d| \} \ll (\max_{ij} |a_{ij}|)^*.$$

Proof The lemma is deduced from [22, Thm. 2 and 3]. First, by assumption, the system has a solution $(\frac{y_1}{z_1}, \dots, \frac{y_N}{z_N})$ in \mathbb{Q}^N . Set $P = \{p \in \Sigma_f : p | z_i \text{ for some } 1 \le i \le N\} \cup \{\infty\}$. Then [22, Thm. 2 and 3] apply to our system and the set P of places, and yield a solution of the system with bounded height.

The bound on the height is independent of P, and in our setting, it readily translates to a polynomial bound on $\max_i \{|y_i|, |z_i|\}$.

Lemma (extracting small \mathbb{Z} -bases) Let V be a vector space over \mathbb{Q} endowed with a norm $\|\cdot\|$ and let $V_{\mathbb{Z}}$ be a free \mathbb{Z} -submodule of V which spans V over \mathbb{Q} . Given a basis $\{u_1, \ldots, u_N\}$ of V over \mathbb{Q} lying in $V_{\mathbb{Z}}$, there exists a subset $\{v_1, \ldots, v_N\}$ of $V_{\mathbb{Z}}$ with the property that $\{v_1, \ldots, v_i\}$ is a \mathbb{Z} -basis of $(\mathbb{Q}u_1 + \cdots + \mathbb{Q}u_i) \cap V_{\mathbb{Z}}$ and $\|v_i\| \leq \sum_{i=1}^i \|u_i\|$ for $i=1,\ldots,N$.

Proof Let $\{v_1, \ldots, v_N\}$ be a \mathbb{Z} -basis of $V_{\mathbb{Z}}$ and $A = (a_{ij})$ be the integer matrix such that $(u_1, \ldots, u_N) = (v_1, \ldots, v_N)A$. Up to a change of the basis $\{v_1, \ldots, v_N\}$, we may assume that A is in Hermite normal form, i.e., A is upper triangular, all its entries are non-negative, and in a given column, the entry on the diagonal is strictly bigger than the other ones. We then have

$$\begin{cases} u_1 = a_{11}v_1 \\ u_2 = a_{12}v_1 + a_{22}v_2 \\ \vdots \\ u_m = a_{1m}v_1 + \dots + a_{NN}v_N. \end{cases}$$

If $w \in (\mathbb{Q}u_1 + \cdots + \mathbb{Q}u_i) \cap V_{\mathbb{Z}}$, we may write $w = \sum_{j=1}^N \lambda_j v_j$ with $\lambda_j \in \mathbb{Z}$. Now

$$w - \sum_{i=1}^{i} \lambda_j v_j = \sum_{i=i+1}^{N} \lambda_j v_j \in (\mathbb{Q}v_1 + \dots + \mathbb{Q}v_i) \cap (\mathbb{Q}v_{i+1} + \dots + \mathbb{Q}v_N) = \{0\},$$

and it follows that $w = \sum_{j=1}^{i} \lambda_j v_j$, i.e. $\{v_1, \dots, v_i\}$ is a \mathbb{Z} -basis of $(\mathbb{Q}u_1 + \dots + \mathbb{Q}u_i) \cap V_{\mathbb{Z}}$.



Lastly, regrouping terms and taking norms in the system above yields

$$\begin{cases} \|v_1\| = \frac{\|u_1\|}{a_{11}} \le \|u_1\| \\ \|v_2\| = \frac{\|u_2 - a_{12}v_1\|}{a_{22}} < \|u_2\| + \|v_1\| \\ \vdots \\ \|v_m\| = \frac{\|u_m - a_{1N}v_1 - \dots - a_{(N-1)N}v_{N-1}\|}{a_{NN}} < \|u_2\| + \|v_1\| + \dots \|v_{N-1}\|. \end{cases}$$

The lemma follows by combining all the inequalities.

3.2 Proof of Proposition 3.1

We need to find a Levi decomposition $\mathfrak{g} = \mathfrak{h} \oplus \mathfrak{r}$, where \mathfrak{r} is the radical of \mathfrak{g} , and \mathbb{Z} -bases $\{w_1, \ldots, w_n\}$ of $\mathfrak{h} \cap \mathfrak{sl}_N(\mathbb{Z})$, and $\{v_1, \ldots, v_m\}$ of $\mathfrak{r} \cap \mathfrak{sl}_N(\mathbb{Z})$ which satisfy that

$$||v_i|| \le T^*$$
 and $||w_j|| \le T^*$ for all i, j .

If $l \neq 0$, let $\{\tilde{u}_1, \ldots, \tilde{u}_l\}$ be a \mathbb{Z} -basis for $l \cap \mathfrak{sl}_N(\mathbb{Z})$ with $\|\tilde{u}_i\| \ll T^\star$. Extend this to a \mathbb{Q} -basis $\tilde{\mathcal{B}} = \{\tilde{u}_1, \ldots, \tilde{u}_M\} \subset \mathfrak{g} \cap \mathfrak{sl}_N(\mathbb{Z})$ for \mathfrak{g} with $\|\tilde{u}_i\| \ll T^\star$ for all i. By the extracting small \mathbb{Z} -bases lemma in §3.1, there exists a \mathbb{Z} -basis $\hat{\mathcal{B}} = \{\hat{u}_1, \ldots, \hat{u}_M\}$ for $\mathfrak{g} \cap \mathfrak{sl}_N(\mathbb{Z})$ so that $\|\hat{u}_i\| \leq T^\star$ for all i and $\{\hat{u}_1, \ldots, \hat{u}_i\}$ is a \mathbb{Z} -basis for $(\mathbb{Q}\tilde{u}_1 + \cdots + \mathbb{Q}\tilde{u}_i) \cap \mathfrak{sl}_N(\mathbb{Z})$. In particular, $\{\hat{u}_1, \ldots, \hat{u}_l\}$ is a \mathbb{Z} -basis for $l \cap \mathfrak{sl}_N(\mathbb{Z})$ if $l \neq 0$.

Note that the structure constants $\{\alpha_{ij}^k\}$ of g in the basis $\{u_i\}$ are bounded:

$$\max_{k} |\alpha_{ij}^{k}| = \|[\hat{u}_{i}, \hat{u}_{j}]\|_{\hat{\mathcal{B}}} \ll (\max_{j} \|\hat{u}_{j}\|) \cdot \|[\hat{u}_{i}, \hat{u}_{j}]\| \ll T^{\star}.$$
 (3.1)

As $\hat{\mathcal{B}}$ is a \mathbb{Z} -basis for $\mathfrak{g} \cap \mathfrak{sl}_N(\mathbb{Z})$, the $\{\alpha_{ij}^k\}$ are integers.

Step 1. Bounding $ht(\mathfrak{r})$.

Let k denote the killing form of \mathfrak{g} . Recall that the radical $\mathfrak{r} = R(\mathfrak{g})$ is the orthogonal complement of the derived algebra $[\mathfrak{g}, \mathfrak{g}]$ for k. Thus \mathfrak{r} is given in the basis $\hat{\mathcal{B}}$ by the solutions (y_i) of the system

$$k\left(\sum_{i=1}^{M} y_i \hat{u}_i, [\hat{u}_j, \hat{u}_k]\right) = 0, \quad j, k = 1, \dots, M.$$

The coefficients of this system are $\ll T^*$. Thus, after removing redundant equations from the system, we may apply *Siegel's lemma* combined with *extracting small* \mathbb{Z} -bases lemma from §3.1 and obtain the following. There exists a \mathbb{Z} -basis $\{v_1, \ldots, v_m\}$ of $\mathfrak{r} \cap \mathfrak{sl}_N(\mathbb{Z})$, so that $\|v_i\|_{\hat{B}} \ll T^*$. In consequence, we get that

$$\|v_i\| \ll (\max_j \|\hat{u}_j\|) \cdot \|v_i\|_{\hat{\mathcal{B}}} \ll T^*.$$
 (3.2)



Step 2. A basis for g adapted to l, r, and [r, r].

Let $\{v_1, \ldots, v_m\}$ be a \mathbb{Z} -basis of $\mathfrak{r} \cap \mathfrak{sl}_N(\mathbb{Z})$ as constructed above. We first gather a basis of $[\mathfrak{r}, \mathfrak{r}]$ among $\{[v_i, v_j] \mid i, j = 1, \ldots, m\}$, then extend this to a \mathbb{Q} -basis, \mathcal{C} , of \mathfrak{r} by adding an appropriate subset of $\{v_1, \ldots, v_m\}$ to it. Finally, we extend \mathcal{C} to a \mathbb{Q} -basis, \mathcal{B}' , of \mathfrak{g} by adding an appropriate subset of $\{\hat{u}_1, \ldots, \hat{u}_M\}$ to \mathcal{C} . Note that if $1 \neq 0$, we may obtain $\{\hat{u}_1, \ldots, \hat{u}_l\} \subset \mathcal{B}'$ because $1 \cap \mathfrak{r} = \{0\}$.

Applying the *extracting small* \mathbb{Z} -bases lemma from §3.1 yields a \mathbb{Z} -basis $\mathcal{B} = \{u_1, \dots, u_M\}$, of $\mathfrak{g} \cap \mathfrak{sl}_N(\mathbb{Z})$ so that

- 1. $||u_i|| \ll T^*$.
- 2. $\{u_1, \ldots, u_{m'}\}$ is a \mathbb{Z} -basis for $[\mathfrak{r}, \mathfrak{r}] \cap \mathfrak{sl}_N(\mathbb{Z})$.
- 3. $\{u_1, \ldots, u_m\}$ is a \mathbb{Z} -basis for $\mathfrak{r} \cap \mathfrak{sl}_N(\mathbb{Z})$.
- 4. $\{u_1, \ldots, u_{m+l}\}$ is a \mathbb{Z} -basis for $(\mathfrak{l} \oplus \mathfrak{r}) \cap \mathfrak{sl}_N(\mathbb{Z})$.

In particular, $\{u_{m'+1}, \ldots, u_m\}$ projects to a basis of $\mathfrak{r}/[\mathfrak{r}, \mathfrak{r}]$. Let us write $\mathcal{D} := \{u_{m'+1} + [\mathfrak{r}, \mathfrak{r}], \ldots, u_m + [\mathfrak{r}, \mathfrak{r}]\}$.

Also note that for $1 \le i \le l$ and $1 \le j \le m + l$, there are $c_{ij} \in \mathbb{Z}$ with $|c_{ij}| \ll T^*$ so that for each $1 \le i \le k$ we have

$$\hat{u}_i = \sum_{j=1}^{m+l} c_{i,j} u_j. \tag{3.3}$$

Step 3. Finding a Levi subalgebra h with small height.

We argue by induction on $\ell_d(\mathfrak{r})$, the derived length of the radical \mathfrak{r} . When $\ell_d(\mathfrak{r})=0$, \mathfrak{g} is semisimple, and it suffices to set $\mathfrak{h}=\mathfrak{g}$.

Therefore, let us assume that $\ell_d(\mathfrak{r}) \geq 1$. Define

$$E = \{ f \in \text{End}(\mathfrak{g}, \mathfrak{r}/[\mathfrak{r}, \mathfrak{r}]) : f \text{ satisfies (a), (b), and (c)} \},$$

where End($\mathfrak{g},\mathfrak{r}/[\mathfrak{r},\mathfrak{r}]$) denotes the set of \mathbb{Q} -linear maps from \mathfrak{g} to $\mathfrak{r}/[\mathfrak{r},\mathfrak{r}]$, and

- (a) $l \subset \ker f$,
- (b) f restricts to the canonical projection $\mathfrak{r} \to \mathfrak{r}/[\mathfrak{r},\mathfrak{r}]$,
- (c) $f([u, v]) = [u + [\mathfrak{r}, \mathfrak{r}], f(v)] + [f(u), v + [\mathfrak{r}, \mathfrak{r}]]$ for all $u, v \in \mathfrak{g}$.

If \mathfrak{h} is a Levi subalgebra of \mathfrak{g} which contains \mathfrak{l} , then the canonical projection $\mathfrak{g} = \mathfrak{r} \oplus \mathfrak{h} \to \mathfrak{r}/[\mathfrak{r},\mathfrak{r}]$ (whose kernel is precisely $[\mathfrak{r},\mathfrak{r}] \oplus \mathfrak{h}$) belongs to E. Now, since \mathfrak{l} is reductive, there exists a Levi subalgebra \mathfrak{h} so that $\mathfrak{l} \subset \mathfrak{h}$, see [20]. Therefore, $E \neq \emptyset$.

Claim If $f \in E$, then ker f is a Lie subalgebra of g whose radical is $[\mathfrak{r}, \mathfrak{r}]$.

Proof of the claim First note that in view of (c) above, ker f is a subalgebra. Also, it is clear from (b) that $[\mathfrak{r},\mathfrak{r}] \subset R(\ker f)$.

To see the converse, note that $\mathfrak{r} + \ker f = \mathfrak{g}$, hence $\mathfrak{r} + R(\ker f)$ is an ideal of \mathfrak{g} . Moreover, $\mathfrak{r} + R(\ker f)$ is solvable. Therefore, $R(\ker f) \subset \mathfrak{r} \cap \ker f = [\mathfrak{r}, \mathfrak{r}]$, where the last equality follows from (b).



In view of the claim, if h is a Levi subalgebra of ker f with $l \subset h$, then

$$g = r + \ker f = r + ([r, r] \oplus h) = r \oplus h.$$
 (3.4)

That is: \mathfrak{h} is a Levi subalgebra of \mathfrak{g} and $\mathfrak{l} \subset \mathfrak{h}$.

The strategy now is to find some $f \in E$ with $ht(\ker(f)) \ll T^*$. Then the above observation and inductive hypothesis will yield the desired Levi subalgebra.

We now turn to the details. First note that in view of (a), (b) and (c), we have that E is the set of solutions $f \in \text{End}(\mathfrak{g}, \mathfrak{r}/[\mathfrak{r}, \mathfrak{r}])$ of the *inhomogeneous* system

$$\begin{cases} f(u_i) = 0 & i = 1, ..., m' \\ f(u_i) = u_i + [\mathfrak{r}, \mathfrak{r}] & i = m' + 1, ..., m \\ f(\hat{u}_i) = 0 & i = 1, ..., l \\ f([u_i, u_j]) = [u_i + [\mathfrak{r}, \mathfrak{r}], f(u_j)] + [f(u_i), u_j + [\mathfrak{r}, \mathfrak{r}]] & i, j = 1, ..., M. \end{cases}$$

In view of (3.1) and (3.3) we have the following. When f is written in the basis of $\operatorname{End}(\mathfrak{g},\mathfrak{r}/[\mathfrak{r},\mathfrak{r}])$ associated to \mathcal{B} and \mathcal{D} , the above system becomes a linear system whose coefficients are integers bounded in absolute value by $\ll T^*$.

Since E is not empty, after perhaps removing redundant equations, we may apply Siegel's lemma for inhomogeneous equations in §3.1 and get the following. There is a solution f whose matrix in the bases \mathcal{B} and \mathcal{D} has rational entries, with numerator and common denominator $c \ll T^{\star}$. Put f' = cf, so that the matrix of f' in the bases \mathcal{B} and \mathcal{D} has integer coefficients of size $\ll T^{\star}$.

At last, another application of *Siegel's lemma* and *extracting small* \mathbb{Z} -bases lemma in §3.1 to f' yields that ker $f \cap \mathfrak{sl}_N(\mathbb{Z})$ has a \mathbb{Z} -basis $\{w_1, \ldots, w_{n'}\}$ satisfying

$$||w_i|| \ll T^{\star} \quad 1 \leq i \leq n'$$

Recall from the claim that $\mathfrak{l} \subset \ker f$, $R(\ker(f)) = [\mathfrak{r}, \mathfrak{r}]$, and $\ell_{\mathrm{d}}([\mathfrak{r}, \mathfrak{r}]) < \ell_{\mathrm{d}}(\mathfrak{r})$. Hence by the inductive hypothesis, $\ker f$ has a Levi subalgebra, \mathfrak{h} , with $\operatorname{ht}(\mathfrak{h}) \ll T^{\star}$. In view of (3.4), this finishes the proof of *Step 3* and the proposition.

4 Consequences of effective Levi decomposition

Recall from §1.1 that we fixed the following.

- 1. A connected, simply connected, algebraic \mathbb{Q} -group \mathbf{G} whose solvable radical is unipotent, i.e., $R(\mathbf{G}) = R_u(\mathbf{G}) =: \mathbf{R}$.
- 2. An algebraic homomorphism $\iota: \mathbf{G} \to \mathrm{SL}_N$ defined over \mathbb{Q} with a finite central kernel.

Also recall that μ_Y (or simply μ) denotes the $G = \iota(\mathbf{G}(\mathbb{A}))$ -invariant probability measure on $Y = \iota(\mathbf{G}(\mathbb{A})/\mathbf{G}(F))$. Let m_G (or simply m) be a Haar measure on G which projects to μ under the orbit map.



In this section, we will use the results from §3 to find a *good* Levi decomposition for $\iota(G)$. Then we will relate the notion of height of Y (see §1.3, §1.4) to the heights of orbits similarly defined using the radical and our fixed Levi subgroup.

4.1 Finding a good Levi subgroup

Let $\mathfrak{g}' \subset \mathfrak{sl}_N(\mathbb{Q})$ (resp. \mathfrak{r}') denote the Lie algebra of $\iota(\mathbf{G})$ (resp. of $\iota(\mathbf{R})$). Set $T := \operatorname{ht}(\mathfrak{g}')$.

Let \mathfrak{h}' be a Levi subalgebra of \mathfrak{g}' given by Proposition 3.1 applied to \mathfrak{g}' , so that $\operatorname{ht}(\mathfrak{h}') \ll T^{\star}$.

Let \mathbf{H}' be the subgroup of $\iota(\mathbf{G})$ with $\mathrm{Lie}(\mathbf{H}') = \mathfrak{h}'$. Then \mathbf{H}' is a Levi subgroup of $\iota(\mathbf{G})$, and we have $\iota(\mathbf{G}) = \mathbf{H}'\iota(\mathbf{R})$.

We now discuss similar decompositions over $\mathbb Q$ and also $\mathbb A$. First note that, since $\mathbf R=\mathbf R_u(\mathbf G),\mathbf H'$ is semisimple (not just reductive), and we have $\mathbf H'(\mathbb A)\cap\iota(\mathbf R)(\mathbb A)=\{1\}$. Set $\mathbf H=\iota^{-1}(\mathbf H')$. Since ι has finite central kernel, $\mathbf H$ is a semisimple $\mathbb Q$ -subgroup of $\mathbf G$ isogenous to $\mathbf H'$; thus $\mathbf H$ is a Levi $\mathbb Q$ -subgroup of $\mathbf G$. Moreover, $\mathbf G(\mathbb Q)=\mathbf H(\mathbb Q)\mathbf R(\mathbb Q)$. Indeed, in the exact sequence

$$1 \to \mathbf{R}(\mathbb{Q}) \to \mathbf{G}(\mathbb{Q}) \to \mathbf{H}(\mathbb{Q}) \to \mathbf{H}^1(\mathbb{Q}, \mathbf{R})$$

associated to the quotient $G/R \cong H$, the term $H^1(\mathbb{Q}, R)$ vanishes because R is unipotent. Hence $G(\mathbb{Q}) \to H(\mathbb{Q})$ is onto.

The same argument applied to the group $\iota(G)$ shows that $\iota(G)(\mathbb{Q}) = \iota(H)(\mathbb{Q})$ $\iota(R)(\mathbb{Q})$.

The above also implies that

$$G(\mathbb{A}) = H(\mathbb{A})R(\mathbb{A}).$$

Indeed, since $G(\mathbb{Q}) = H(\mathbb{Q})R(\mathbb{Q})$, the embedding $H \to G$ is a section defined over \mathbb{Q} of the quotient map $G \to H$. Hence $G(\mathbb{A}) \to H(\mathbb{A})$ is surjective, see [29, §1.2], and we get $G(\mathbb{A}) = H(\mathbb{A})R(\mathbb{A})$ as was claimed.

Applying ι , this yields $\iota(\mathbf{G}(\mathbb{A})) = \iota(\mathbf{H}(\mathbb{A}))\iota(\mathbf{R}(\mathbb{A}))$.

4.2 Product structure of Y, μ_Y , and m_G

Let $\hat{pr}_H : G \to H$ be the map which is induced from the natural projection $G \to G/R$. More explicitly, given $g \in G$, we have the unique decomposition

$$g = g_{\mathbf{H}}g_{\mathbf{R}}$$
 where $g_{\mathbf{H}} \in \mathbf{H}$ and $g_{\mathbf{R}} \in \mathbf{R}$;

then $\hat{pr}_{\mathbf{H}}(g) = g_{\mathbf{H}}$.

Let $\operatorname{pr}_H: G \to H := \iota(\mathbf{H}(\mathbb{A}))$ be the induced map, given by $\operatorname{pr}_H(g) = g_H$, where $g = g_H g_R \in \iota(\mathbf{H}(\mathbb{A}))\iota(\mathbf{R}(\mathbb{A}))$.

Put $Y_H := \iota(\mathbf{H}(\mathbb{A})/\mathbf{H}(\mathbb{Q}))$. The map pr_H induces a map $Y \to Y_H$ given by $\iota(g)\mathrm{SL}_N(\mathbb{Q}) \mapsto \iota(g_H)\mathrm{SL}_N(\mathbb{Q})$ for $g \in \mathbf{G}(\mathbb{A})$. To see this, suppose $\iota(g_1^{-1}g_2) \in$



 $\mathrm{SL}_N(\mathbb{Q})$ for some $g_1, g_2 \in \mathbf{G}(\mathbb{A})$. Then $\iota(g_1^{-1}g_2) \in \iota(\mathbf{G})(\mathbb{Q}) = \iota(\mathbf{H})(\mathbb{Q})\iota(\mathbf{R})(\mathbb{Q})$, hence

$$\iota((g_1)_{\mathbf{H}}^{-1}(g_2)_{\mathbf{H}}) = \iota((g_1^{-1}g_2)_{\mathbf{H}}) \in \iota(\mathbf{H})(\mathbb{Q}) \subset \mathrm{SL}_N(\mathbb{Q}).$$

We continue to denote the map so induced from Y to Y_H by pr_H . Put $R := \iota(\mathbf{R}(\mathbb{A}))$ and $Y_R := \iota(\mathbf{R}(\mathbb{A})/\mathbf{R}(\mathbb{Q}))$; we have a fibration

$$\begin{array}{ccc} Y_R & & & Y \\ & & & \downarrow^{\operatorname{pr}_H} \\ & & & Y_H \end{array}$$

The fiber over $\iota(h)\mathrm{SL}_N(\mathbb{Q}) \in Y_H$ is $\mathrm{pr}_H^{-1}(\iota(h)) = \iota(h)\iota(\mathbf{R}(\mathbb{A}))\mathrm{SL}_N(\mathbb{Q})$, the translate of Y_R by $\iota(h)$.

Let μ_R (resp. μ_H) be a R-invariant (resp. H-invariant) probability measure on Y_R (resp. Y_H). Let $\hat{\mu}$ be the measure on Y defined by

$$\int_{Y} f \, \mathrm{d}\hat{\mu} = \int_{Y_H} \left(\int_{Y_R} f(hr \mathrm{SL}_N(\mathbb{Q})) \, \mathrm{d}\mu_R(r) \right) \mathrm{d}\mu_H(h).$$

Since **H** is semisimple, the modulus of the action of H on μ_R is trivial. Thus $\hat{\mu}$ is a G-invariant probability measure on Y_G ; that is: $\hat{\mu} = \mu_Y$.

Let m_H and m_R be Haar measures on $\iota(\mathbf{H}(\mathbb{A}))$ and $\iota(\mathbf{R}(\mathbb{A}))$ which project to μ_H and μ_R , respectively. The measure \hat{m} on G given by the product of m_H and m_R is a Haar measure. Moreover, \hat{m} projects to the invariant probability measure $\hat{\mu} = \mu_Y$ on Y via the orbit map. Therefore, $m_G = \hat{m}$ is the product of m_H and m_R .

Lemma 4.1 There exists some κ_5 so that

$$\operatorname{vol}(Y_R)^{\kappa_5} \ll \operatorname{ht}(\mathbf{R}) \ll \operatorname{vol}(Y_R).$$

Proof Recall that ι is an isomorphism on **R**. For every prime p, put

$$C_p = \iota^{-1}(\mathbf{R}'(\mathbb{Q}_p) \cap \mathrm{SL}_N(\mathbb{Z}_p));$$

 C_p is a compact open subgroup of $\mathbf{R}(\mathbb{Q}_p)$. By the strong approximation theorem for unipotent groups, we have

$$\mathbf{R}(\mathbb{A}) = (\mathbf{R}(\mathbb{R}) \times \prod_{p} C_{p}) \mathbf{R}(\mathbb{Q}).$$

In other words, for every $g \in \mathbf{R}(\mathbb{A})$ there exists some $\gamma_0 \in \mathbf{R}(\mathbb{Q})$ so that

$$g\gamma_0 = (\hat{g}_{\infty}, (\hat{g}_p)) \in \mathbf{R}(\mathbb{R}) \times \prod_p C_p.$$



Recall now that $\log(\iota(\mathbf{R}(\mathbb{R})) \cap \operatorname{SL}_N(\mathbb{Z})) \subset (\mathfrak{r}' \cap \frac{1}{D}\mathfrak{sl}_N(\mathbb{Z}))$ for some integer D depending only on N. Let $\{v_1, \ldots, v_n\}$ be a \mathbb{Z} -basis for $\mathfrak{r}' \cap \frac{1}{D}\mathfrak{sl}_N(\mathbb{Z})$. For every $\delta > 0$, put

$$F_{\delta} := \left\{ h_{\infty} \in \iota(\mathbf{R}(\mathbb{R})) : h_{\infty} = \exp\left(\sum c_i v_i\right), |c_i| < \delta \right\} \times \prod_p \iota(C_p).$$

Note that,

$$F_{\delta} \cap \mathrm{SL}_{N}(\mathbb{Q}) \subset \mathrm{SL}_{N}(\mathbb{Z});$$

therefore, in view of the choice of D, for small enough $\delta \ll 1$ we have $F_{\delta} \cap SL_N(\mathbb{Q}) = \{e\}$.

Also, note that $F_{\delta} = F_{\delta}^{-1}$; and if $\delta \ll 1$ is small enough, $hh' \in F_{\star\delta}$ for any $h, h' \in F_{\delta}$. Altogether, we get that F_{δ} injects into Y_R for all small enough $\delta \ll 1$.

Recall that m_R is a Haar measure on $\iota(\mathbf{R}(\mathbb{A}))$ normalized so that $\mu_R(Y_R) = 1$; also recall that $\Omega = \exp(B_{\mathfrak{sl}_N(\mathbb{R})}(\eta_0)) \times \prod_n \mathrm{SL}_N(\mathbb{Z}_p)$. Therefore,

$$m_R(\iota(\mathbf{R}(\mathbb{A}))\cap\Omega) \ll ||v_1\wedge\cdots\wedge v_n||^{-1}.$$

Since $||v_1 \wedge \cdots \wedge v_n|| \approx \text{ht}(\mathbf{R})$, we get from the above that

$$ht(\mathbf{R}) \ll vol(Y_R) = m_R(\iota(\mathbf{R}(\mathbb{A})) \cap \Omega)^{-1}.$$

To see the other inequality, let $g \in \mathbf{R}(\mathbb{A})$. Let $\gamma_0 \in \mathbf{R}(\mathbb{Q})$ be so that

$$g\gamma_0 = (\hat{g}_{\infty}, (\hat{g}_p)) \in \mathbf{R}(\mathbb{R}) \times \prod_p C_p.$$

There exists some $\hat{\gamma}_1 \in \iota^{-1}(\exp(\mathfrak{r}' \cap N!\mathfrak{sl}_N(\mathbb{Z})))$ so that

$$|\iota(\hat{g}_{\infty}\hat{\gamma}_1)| \ll \operatorname{ht}(\mathbf{R})^{\kappa}$$

for some κ independent of g. Note that $\iota(\hat{\gamma}_1) \in \iota(\mathbf{R}(\mathbb{R})) \cap SL_N(\mathbb{Z})$, hence $\hat{\gamma}_1 \in \mathbf{R}(\mathbb{Q})$. Let γ_1 be the diagonal embedding of $\hat{\gamma}_1$ in $\mathbf{R}(\mathbb{A})$. Then since $\iota(\hat{\gamma}_1) \in SL_N(\mathbb{Z})$, we get that

$$g\gamma_0\gamma_1 = (\hat{g}_{\infty}, (\hat{g}_p))\gamma_1 = (\hat{g}_{\infty}\hat{\gamma}_1, (\tilde{g}_p)) \in \mathbf{R}(\mathbb{R}) \times \prod_p C_p.$$

Since we can cover $\{g \in \mathbf{R}(\mathbb{R}) : |\iota(g)| \ll \operatorname{ht}(\mathbf{R})^{\kappa}\}$ with $\ll \operatorname{ht}(\mathbf{R})^{\star}$ translates of $\iota(\mathbf{R}(\mathbb{R})) \cap \exp(B_{\mathfrak{sl}_N(\mathbb{R})}(\eta_0))$, we get that

$$m_R(\iota(\mathbf{R}(\mathbb{A}))\cap\Omega)\gg \operatorname{ht}(\mathbf{R})^{-\star}.$$

Therefore, $\operatorname{vol}(Y_R)^* \ll \operatorname{ht}(\mathbf{R})$; the proof is complete.



Lemma 4.2 There exist κ_6 so that the following holds. For any $g \in G$ we have

$$\operatorname{ht}(\mathbf{G})^{-\kappa_6} \operatorname{ht}(g)^{\kappa_6} \ll \operatorname{ht}(\operatorname{pr}_H(g)) \ll \operatorname{ht}(\mathbf{G})^{\kappa_6} \operatorname{ht}(g)^{\kappa_6}.$$

Proof Recall our notation $G' = \iota(G)$ and the Levi subgroup H' of G' from §4.1. Put $R' := \iota(R) = R_{\iota}(G')$. If $R' = \{1\}$, then $R = \{1\}$ and there is nothing to prove. Therefore, let us assume that R' is a nontrivial unipotent \mathbb{Q} -subgroup of SL_N .

Let $\mathbf{P} \subset \operatorname{SL}_N$ be the parabolic subgroup associated to \mathbf{R}' as in [4]. That is: $\mathbf{U}_0 = \mathbf{R}'$ and \mathbf{U}_i is defined inductively by $\mathbf{R}_u(\mathbf{N}_{\operatorname{SL}_N}(\mathbf{U}_{i-1}))$. Then $\mathbf{U}_i \subset \mathbf{N}_{\operatorname{SL}_N}(\mathbf{U}_{i-1})$ and $\mathbf{U}_{i-1} \subset \mathbf{U}_i$. This process terminates after $d \leq N^2$ steps and gives rise to a parabolic subgroup, \mathbf{P} , with the following properties.

- 1. $ht(\mathbf{P}) \ll ht(\mathbf{R})^*$.
- 2. $\mathbf{R}' \subset \mathbf{R}_u(\mathbf{P}) =: \mathbf{W}$.
- 3. $N_{SL_N}(\mathbf{R}') \subset \mathbf{P}$.

In view of (1) and Proposition 3.1, we have $ht(W) \ll ht(P)^* \ll ht(R)^*$. Moreover, by (3) we have $G' \subset P$.

Let $\mathcal{F}_{\mathbf{P}}$ denote the flag defined by **W** as follows. Let $\mathcal{V}_0 = \mathbb{Q}^N$, and for any m > 0, let

$$\mathcal{V}_m = \mathbb{Q}$$
-span $\{w_1 \dots w_m v : v \in \mathbb{Q}^N, w_i \in \text{Lie}(\mathbf{W})\}.$

Then $\{\mathcal{V}_m\}$ forms a descending chain of subspaces of \mathbb{Q}^N ; let $M \leq N$ be so that $\mathcal{V}_M \neq 0$ but $\mathcal{V}_{M+1} = 0$. Further, note that $\operatorname{ht}(\mathcal{V}_m) \ll \operatorname{ht}(\mathbf{R})^*$ for each $0 \leq m \leq M$.

There exists some $\delta = (\frac{a_{ij}}{b_{ij}}) \in SL_N(\mathbb{Q})$ with $|a_{ij}|, |b_{ij}| \ll ht(\mathbf{R})^*$ so that $\delta \mathcal{F}_{\mathbf{P}} = \mathcal{F}_0$ where \mathcal{F}_0 is a standard flag, i.e., \mathcal{F}_0 is a flag corresponding to a block upper triangular parabolic subgroup \mathbf{P}_0 . One could construct one such δ as follows: for each $i \geq 0$ let \mathcal{V}_i' be a complement of \mathcal{V}_{M+1-i} in \mathcal{V}_{M-i} (in particular, $\mathcal{V}_0' = \mathcal{V}_M$), chosen so that $ht(\mathcal{V}_i') \ll ht(\mathbf{R})^*$ for all i.

Let us put $\mathbf{Q}_0 = [\mathbf{P}_0, \mathbf{P}_0] R_u(\mathbf{P}_0)$. The group $R_u(\mathbf{P}_0)$ is unipotent upper triangular and since $\delta \mathbf{W} \delta^{-1} \subset R_u(\mathbf{P}_0)$, we have $\delta \mathbf{R}' \delta^{-1} \subset R_u(\mathbf{P}_0)$. Further, $\delta \mathbf{H}' \delta^{-1} \subset \mathbf{Q}_0$ since \mathbf{H}' is perfect and normalizes \mathbf{R}' .

Let $g \in G \subset G'(\mathbb{A})$; write $g = g_H g_R$ where $g_H \in \iota(\mathbf{H}(\mathbb{A}))$ and $g_R \in \iota(\mathbf{R}(\mathbb{A}))$ — recall that $\operatorname{pr}_H(g) = g_H$. We will use the reduction theory of SL_d to compute an Iwasawa decomposition for representatives of $\hat{g}_H := \delta g_H \delta^{-1}$ and $\hat{g} := \delta g \delta^{-1}$ in a Siegel fundamental domain.

Decompose \hat{g}_H as a product of a block-diagonal matrix in \mathbf{Q}_0 and an element in $\mathbf{R}_u(\mathbf{P}_0)$. Then using the reduction theory of \mathbf{SL}_d for each block matrix and the fact that $\mathbf{R}_u(\mathbf{P}_0)$ is normal subgroup of \mathbf{Q}_0 , we have the following. There exists some $\gamma_0 \in \mathbf{Q}_0(\mathbb{Q})$ so that

$$\hat{g}_{H}\gamma_{0} = (kau, (g'_{H,p})) \in SL_{N}(\mathbb{R}) \times \left(\prod_{p} SL_{N}(\mathbb{Z}_{p})\right)$$
(4.1)

where $k \in SO_N(\mathbb{R})$, $a = diag(a_i)$ is diagonal with $a_i a_{i+1}^{-1} \le 2/\sqrt{3}$, and $u = (u_{ij})$ is unipotent upper triangular with $|u_{ij}| \le 1/2$ (see also the proof of Lemma 2.1).



Let us write $\hat{g}_R = \delta g_R \delta^{-1} \in R_u(\mathbf{P}_0)(\mathbb{A})$. Let $\gamma_1 \in SL_N(\mathbb{Q})$ be unipotent upper triangular, such that

$$(u, (e))\gamma_0^{-1}\hat{g}_R\gamma_0\gamma_1 = (u', (u'_p))$$

with $u' = (u'_{ij})$ and $|u'_{ij}| \le 1/2$, and $u'_p \in SL_N(\mathbb{Z}_p)$. This, in view of (4.1), gives

$$\hat{g}\gamma = \hat{g}_{H}\hat{g}_{R}\gamma = \hat{g}_{H}\gamma_{0}(\gamma_{0}^{-1}\hat{g}_{R}\gamma_{0}\gamma_{1}) = (ka, (g'_{H,p}))(u, (e))\gamma_{0}^{-1}\hat{g}_{R}\gamma_{0}\gamma_{1}
= (kau', (g'_{H,p}u'_{p})) \in SL_{N}(\mathbb{R}) \times (\prod_{p} SL_{N}(\mathbb{Z}_{p}))$$
(4.2)

where $\gamma = \gamma_0 \gamma_1$.

As was discussed in the proof of Lemma 2.1, the decompositions in (4.1) and (4.2) imply that

$$|a|^* \ll \operatorname{ht}(\hat{g}_H) \ll |a|^*$$
 and $|a|^* \ll \operatorname{ht}(\hat{g}) \ll |a|^*$.

Recall now that $g = \delta^{-1}\hat{g}\delta$ and $g_H = \delta^{-1}\hat{g}_H\delta$ where $\delta = (\frac{a_{ij}}{b_{ij}}) \in SL_N(\mathbb{Q})$ with $|a_{ij}|, |b_{ij}| \ll ht(\mathbf{R})^* \ll ht(\mathbf{G})^*$. The claim thus follows.

Proposition 4.1 *There exist* κ_7 *and* κ_8 *with the following property.*

- 1. $\left(\operatorname{vol}(Y_H)\operatorname{vol}(Y_R)\right)^{\kappa_7} \ll \operatorname{ht}(Y) \ll \left(\operatorname{vol}(Y_H)\operatorname{vol}(Y_R)\right)^{\kappa_8}$.
- 2. $(\operatorname{ht}(Y_H)\operatorname{ht}(Y_R))^{\kappa \gamma} \ll \operatorname{ht}(Y) \ll (\operatorname{ht}(Y_H)\operatorname{ht}(Y_R))^{\kappa 8}$.

Proof Recall definitions of $vol(\cdot)$ and $ht(\cdot)$ of an algebraic data from (1.2) and (1.5), respectively.

We first show that part (2) follows from part (1). Indeed by Lemma 4.1, we have $ht(\mathbf{R}) \ll vol(Y_R)$; hence, $ht(Y_R) \asymp vol(Y_R)$. Moreover, by [11, App. B] we have $ht(\mathbf{H})^* \ll vol(Y_H)$; hence, $vol(Y_H)^* \ll ht(Y_H) \ll vol(Y_H)^*$.

We now turn to the proof of part (1) in the proposition.

The upper bound. Because multiplication is Lipschitz (alternatively, by the Baker-Campbell-Hausdorff formula), perhaps after changing η_0 , we have $\Omega_\eta \cdot \Omega_\eta \subset \Xi_{c\eta} \times \prod_{v \in \Sigma_f} \mathrm{SL}_N(\mathfrak{o}_v) = \Omega_{c\eta}$ for some c depending only on N, hence

$$(\iota(\mathbf{H}(\mathbb{A})) \cap \Omega_n) \cdot (\iota(\mathbf{R}(\mathbb{A})) \cap \Omega_n) \subset \iota(\mathbf{G}(\mathbb{A})) \cap \Omega_{cn}.$$

In view of our discussion in §4.2, the measure of the left hand side is

$$m_G((\iota(\mathbf{H}(\mathbb{A})) \cap \Omega_\eta) \cdot (\iota(\mathbf{R}(\mathbb{A})) \cap \Omega_\eta)) = m_H(\iota(\mathbf{H}(\mathbb{A})) \cap \Omega_\eta) \cdot m_R(\iota(\mathbf{R}(\mathbb{A})) \cap \Omega_\eta).$$

On the other hand, by [11, §2.3], we have

$$m_G\left(\iota(\mathbf{G}(\mathbb{A}))\cap\Omega_{c\eta}\right)\ll_{\eta}m_G\left(\iota(\mathbf{G}(\mathbb{A}))\cap\Omega_{\eta}\right).$$

Altogether, it follows that

$$\operatorname{vol}(Y) \ll m_G(\iota(\mathbf{G}(\mathbb{A})) \cap \Omega_{c\eta_0})^{-1}$$



$$\ll m_H (\iota(\mathbf{H}(\mathbb{A})) \cap \Omega_{\eta_0})^{-1} \cdot m_R (\iota(\mathbf{R}(\mathbb{A})) \cap \Omega_{\eta_0})^{-1}$$

= $\operatorname{vol}(Y_H) \operatorname{vol}(Y_R)$.

To conclude the upper bound estimate, it thus suffices to show that

$$\operatorname{ht}(\mathbf{G}) \ll (\operatorname{vol}(Y_H) \operatorname{vol}(Y_R))^{\star}$$
.

To see this first note that since $\mathfrak{g} = \mathfrak{h} \oplus \mathfrak{r}$, we have $\operatorname{ht}(\mathbf{G}) \ll (\operatorname{ht}(\mathbf{H}) \operatorname{ht}(\mathbf{R}))^*$. Now by Lemma 4.1, we have $\operatorname{ht}(\mathbf{R}) \ll \operatorname{vol}(Y_R)$. Moreover, by [11, App. B] we have $\operatorname{ht}(\mathbf{H})^* \ll \operatorname{vol}(Y_H)$. The claim follows.

The lower bound. For the lower bound estimate, we will use notation from the proof of Lemma 4.2; in particular, $\mathbf{G}' = \iota(\mathbf{G})$, \mathbf{H}' is a Levi subgroup of \mathbf{G}' and $\mathbf{R}' = \mathbf{R}_u(\mathbf{G}')$. Recall from the proof of Lemma 4.2 that there exists some $\delta = (\frac{a_{ij}}{b_{ij}}) \in \mathrm{SL}_N(\mathbb{Q})$ with $|a_{ij}|, |b_{ij}| \ll \mathrm{ht}(\mathbf{R})^*$ and a block upper triangular parabolic subgroup $\mathbf{P}_0 \subset \mathrm{SL}_N$ so that

$$\delta \mathbf{G}' \delta^{-1} \subset \mathbf{P}_0$$
 and $\delta \mathbf{R}' \delta^{-1} \subset \mathbf{R}_u(\mathbf{P}_0)$.

Recall also that $\mathfrak{h}' = \operatorname{Lie}(\mathbf{H}')$, and that $\mathbf{Q}_0 = [\mathbf{P}_0, \mathbf{P}_0] \mathbf{R}_u(\mathbf{P}_0)$. We define \mathbf{M} to be the block diagonal Levi subgroup of \mathbf{Q}_0 .

Apply Proposition 3.1 with $Ad(\delta)\mathfrak{h}'\subset Lie(\mathbf{Q}_0)$. Therefore, there exists some Levi subgroup $\mathbf{M}'\subset \mathbf{Q}_0$ so that

$$\delta \mathbf{H}' \delta^{-1} \subset \mathbf{M}'$$
 and $ht(\mathbf{M}') \ll ht(\mathbf{G})^*$.

Let $\mathcal{B} = \{v_1, \dots, v_d\}$ be a \mathbb{Z} -basis for $\operatorname{Lie}(\mathbf{R}_u(\mathbf{P}_0)) \cap \mathfrak{sl}_N(\mathbb{Z})$ with $||v_i|| \ll 1$. Similarly, let $\mathcal{C} = \{w_1, \dots, w_m\}$ (resp. $\mathcal{C}' = \{w_1', \dots, w_m'\}$) be \mathbb{Z} -bases for $\operatorname{Lie}(\mathbf{M}) \cap \mathfrak{sl}_N(\mathbb{Z})$, (resp. $\operatorname{Lie}(\mathbf{M}') \cap \mathfrak{sl}_N(\mathbb{Z})$) with $||w_i|| \ll 1$ and $||w_i'|| \ll \operatorname{ht}(\mathbf{G})^*$.

Recall that any two Levi subgroups of \mathbf{Q}_0 are conjugate to each other by an element in $\mathbf{R}_u(\mathbf{P}_0)$. Writing these equations (in the Lie algebra) in the bases \mathcal{C} and \mathcal{C}' in terms of \mathcal{B} we get the following. There exists some $u = (u_{ij}) \in \mathbf{R}_u(\mathbf{P}_0)(\mathbb{Q})$ with $u_{ij} = (\frac{c_{ij}}{d_{ij}})$ and $|c_{ij}|, |d_{ij}| \ll \mathrm{ht}(\mathbf{G})^*$ so that $u\mathbf{M}'u^{-1} = \mathbf{M}$.

Altogether, there exist some $\hat{\delta}=(\hat{a}_{ij}/\hat{b}_{ij})\in \mathrm{SL}_N(\mathbb{Q})$ with $|\hat{a}_{ij}|, |\hat{b}_{ij}|\ll \mathrm{ht}(\mathbf{G})^\star$ so that

$$\hat{\delta} \mathbf{H}' \hat{\delta}^{-1} \subset \mathbf{M} \text{ and } \hat{\delta} \mathbf{R}' \hat{\delta}^{-1} \subset \mathbf{R}_{u}(\mathbf{P}_{0}).$$
 (4.3)

Put $\hat{G} = \hat{\delta}\iota(\mathbf{G}(\mathbb{A}))\hat{\delta}^{-1}$, and define \hat{H} , \hat{R} similarly. Having in mind our notations $G_p = \iota(\mathbf{G}(\mathbb{Q}_p))$, etc., we write similarly $\hat{G}_p = \hat{\delta}\iota(\mathbf{G}(\mathbb{Q}_p))\hat{\delta}^{-1}$, etc.

Let $h \in SL_N(\mathbb{Z}_p) \cap \mathbb{Q}_0$. We can write $h = h_0h_1$ where $h_0 \in SL_N(\mathbb{Z}_p) \cap \mathbb{M}$ and $h_1 \in SL_N(\mathbb{Z}_p) \cap \mathbb{R}_u(\mathbb{P}_0)$. In consequence, we have



$$\hat{G}_p \cap \mathrm{SL}_n(\mathbb{Z}_p) = (\hat{H}_p \cap \mathrm{SL}_N(\mathbb{Z}_p))(\hat{R}_p \cap \mathrm{SL}_N(\mathbb{Z}_p)) \tag{4.4}$$

for all primes p. Conjugating (4.4) by $\hat{\delta}^{-1}$, we get

$$G_p \cap \hat{\delta}_p^{-1} \mathrm{SL}_N(\mathbb{Z}_p) \hat{\delta}_p = (H_p \cap \hat{\delta}_p^{-1} \mathrm{SL}_N(\mathbb{Z}_p) \hat{\delta}_p) (R_p \cap \hat{\delta}_p^{-1} \mathrm{SL}_N(\mathbb{Z}_p) \hat{\delta}_p).$$

In particular, the image, I_p , of the product map from $(H_p \cap SL_N(\mathbb{Z}_p)) \times (R_p \cap SL_N(\mathbb{Z}_p))$ into G_p contains $G_p \cap SL_N(\mathbb{Z}_p) \cap \hat{\delta}_p^{-1} SL_N(\mathbb{Z}_p) \hat{\delta}_p$ for all primes p. Therefore,

$$m_{G_p}(I_p) \ge m_{G_p}(G_p \cap \operatorname{SL}_n(\mathbb{Z}_p))/J_p$$
 (4.5)

where $J_p = [\operatorname{SL}_N(\mathbb{Z}_p) : \operatorname{SL}_N(\mathbb{Z}_p) \cap \hat{\delta}_p^{-1} \operatorname{SL}_N(\mathbb{Z}_p) \hat{\delta}_p]$ for all primes p. Since $\hat{\delta} = (\hat{a}_{ij}/\hat{b}_{ij}) \in \operatorname{SL}_N(\mathbb{Q})$ with $|\hat{a}_{ij}|, |\hat{b}_{ij}| \ll \operatorname{ht}(\mathbf{G})^*$, we have

$$\prod_{p} J_{p} \ll \operatorname{ht}(\mathbf{G})^{\star} \tag{4.6}$$

We also need an estimate for the real place. Let $0 < \eta \le \eta_0$ be a constant which will be determined in the following. Suppose $g \in \iota(\mathbf{G}(\mathbb{A})) \cap \Omega_{\eta}$ and write $g = (g_{\infty}, (g_p))$. By definition, $g_{\infty} = \exp w$ for some $w \in \mathfrak{g}' \otimes \mathbb{R}$ with $\|w\| \le \eta$. By Proposition 3.1 and our choice of \mathfrak{h}' , we can write $w = w_{\mathfrak{h}'} + w_{\mathfrak{r}'}$ with $w_{\mathfrak{h}'} \in \mathfrak{h}' \otimes \mathbb{R}$, $w_{\mathfrak{r}'} \in \mathfrak{r}' \otimes \mathbb{R}$ and $\|w_{\mathfrak{h}'}\|$, $\|w_{\mathfrak{r}'}\| \ll \operatorname{ht}(\mathbf{G})^*\eta^*$. We pick η in such a way $\eta \ll \operatorname{ht}(\mathbf{G})^{-*}$, so that the above implies

$$||w_{h'}||, ||w_{r'}|| < \epsilon \eta_0$$

for some ϵ which will be specified momentarily.

Using the Baker-Campbell-Hausdorff formula and the fact that \mathfrak{r}' is an ideal of \mathfrak{g}' , we see that the Levi component $(g_{\infty})_{\iota(\mathbf{H}(\mathbb{R}))}$ of g_{∞} is just $\exp(w_{\mathfrak{h}'})$. Therefore, if $\epsilon \ll 1$ is chosen small enough, we get that $(g_{\infty})_{\iota(\mathbf{H}(\mathbb{R}))} \in \Xi_{\eta_0}$ and $(g_{\infty})_{\iota(\mathbf{R}(\mathbb{R}))} \in \Xi_{\eta_0}$. In consequence, we we have

$$m_{G_{\infty}}(G_{\infty} \cap \Xi_{\eta_0}) \ll \operatorname{ht}(\mathbf{G})^* m_{H_{\infty}}(H_{\infty} \cap \Xi_{\eta_0}) m_{R_{\infty}}(R_{\infty} \cap \Xi_{\eta_0}).$$
 (4.7)

Altogether, we have

$$\operatorname{vol}(Y_{H})\operatorname{vol}(Y_{R}) = m_{H}(\iota(\mathbf{H}(\mathbb{A})) \cap \Omega)^{-1}m_{H}(\iota(\mathbf{R}(\mathbb{A})) \cap \Omega)^{-1}$$

$$\overset{(4.7) \leadsto}{\sim} \ll \operatorname{ht}(\mathbf{G})^{\star}m_{G_{\infty}}(G_{\infty} \cap \Xi_{\eta_{0}})^{-1}\prod_{p}\left(m_{G_{p}}(I_{p})\right)^{-1}$$

$$\overset{(4.5) \leadsto}{\sim} \ll \operatorname{ht}(\mathbf{G})^{\star}\operatorname{vol}(Y)\prod_{p}J_{p}$$

$$\overset{(4.6) \leadsto}{\sim} \ll \operatorname{ht}(\mathbf{G})^{\star}\operatorname{vol}(Y)$$

$$\overset{(1.5) \leadsto}{\sim} \ll \operatorname{ht}(Y)^{\star}.$$

This implies the lower bound estimate and finishes the proof.



5 Proof of Theorem 1.1

We now combine the results from previous sections to complete the proof of Theorem 1.1—the idea is to use the effective Levi decomposition of §4 to reduce the problem to the case of semisimple and unipotent groups.

5.1 Semisimple case

In the next paragraphs, we prove (a slightly finer version of) Theorem 1.1 under the assumption that G is semisimple. Therefore, until the end of §5.2, G is assumed to be a connected, simply connected, semisimple group. Under these assumptions the following was proved in [11].

Proposition 5.1 There exists a prime p and a parahoric subgroup K_p of $\mathbf{G}(\mathbb{Q}_p)$ so that the following hold.

- 1. $p \ll (\log(\operatorname{vol}(Y)))^2$.
- 2. **G** is quasi-split over \mathbb{Q}_p and split over $\widehat{\mathbb{Q}}_p$, the maximal unramified extension of \mathbb{Q}_p ; further, K_p is a hyperspecial subgroup of $\mathbf{G}(\mathbb{Q}_p)$.
- 3. Let \mathfrak{G}_p be the smooth \mathbb{Z}_p -group scheme associated to K_p by Bruhat-Tits theory (see 2.4). The map ι extends to a closed immersion from \mathfrak{G}_p to SL_N .
- 4. There exists a homomorphism $\theta_p: SL_2 \to \mathfrak{G}_p$ so that the projection of $\theta_p(SL_2(\mathbb{Q}_p))$ into each \mathbb{Q}_p -almost simple factor of $G(\mathbb{Q}_p)$ is nontrivial.

Proof Parts (1) and (2) are proved in [11, $\S 5.11$]; part (3) is proved in [11, $\S 6.1$]; part (4) is proved in [11, $\S 6.7$].

Let p be as in Proposition 5.1 and let θ_p be as in Proposition 5.1(4). We define the one-parameter unipotent subgroup

$$u: \mathbb{Q}_p \to \theta_p(\mathrm{SL}_2(\mathbb{Q}_p))$$
 by $u(t) = \theta_p\left(\begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix}\right)$.

Note that in view of Proposition 5.1(2) and (3) we have

$$|\iota(u(t))| \ll (1+|t|_p)^*.$$
 (5.1)

5.2 Property τ

Recall that **G** is quasi-split over \mathbb{Q}_p ; in particular, all of the almost simple factors of **G** are \mathbb{Q}_p -isotropic. Our proof relies on the uniform spectral gap; this deep input has been obtained in a series of papers [6, 7, 13, 15, 16, 21, 26]. In particular,

- using [21, Thm. 1.1–1.2] when $G(F_w)$ has property (T), and
- applying property (τ) in the strong form, see [7, 13], and also [11, §4], in the general case,

we have the following.



Theorem 5.1 (Property (τ)) Let σ be the probability $\mathbf{G}(\mathbb{A})$ -invariant measure on $\mathbf{G}(\mathbb{A})/\mathbf{G}(\mathbb{Q})$. The representation of $\mathrm{SL}_2(\mathbb{Q}_p)$ via θ_p on

$$L_0^2(\sigma) := \left\{ f \in L^2(\mathbf{G}(\mathbb{A})/\mathbf{G}(\mathbb{Q}), \sigma) : \int f \, d\sigma = 0 \right\}$$

is 1/M-tempered. In other words, the matrix coefficients of the M-fold tensor product are in $L^{2+\epsilon}(\mathrm{SL}_2(\mathbb{Q}_p))$ for all $\epsilon > 0$.

It follows from the above theorem that for any $f_1, f_2 \in C_c^{\infty}(\mathbf{G}(\mathbb{A})/\mathbf{G}(\mathbb{Q}))$ we have

$$\left| \langle u(t)f_1, f_2 \rangle_{\sigma} - \int f_1 \, d\sigma \int \bar{f}_2 \, d\sigma \right| \ll (1 + |t|_p)^{-1/2M} \mathcal{S}(f_1) \mathcal{S}(f_2), \tag{5.2}$$

where S is a certain Sobolev norm. We refer to [11, App. A] for the definition and the discussion of the Sobolev norm S.

Let $\eta > 0$ and put $\Xi_{\mathbf{G},\eta} := \exp(B_{\mathfrak{g}_{\infty}}(\eta)) \subset \mathbf{G}(\mathbb{R})$. For every prime q, we set $K_q := \iota^{-1}(\mathrm{SL}_N(\mathbb{Z}_q)) \subset \mathbf{G}(\mathbb{Q}_q)$. Put $\Omega_{\mathbf{G},\eta} := \Xi_{\mathbf{G},\eta} \times \prod_{\Sigma_f} K_q \subset \mathbf{G}(\mathbb{A})$. We set $\Omega_{\mathbf{G}} = \Omega_{\mathbf{G},\eta_0}$, see §2.2.

Theorem 5.2 (Semisimple version of Theorem 1.1) There exists some κ_9 depending only on N, and for any datum (\mathbf{G}, ι) with \mathbf{G} semisimple, there exists some $p \in \Sigma_f$ with

$$p \ll (\log(\text{vol}(Y)))^2$$
,

so that the following holds. For any $g \in \mathbf{G}(\mathbb{A})$, there exists some $\gamma \in \mathbf{G}(\mathbb{Q})$ such that $g\gamma = h_1hh_2$, where $h_1, h_2 \in \Omega_{\mathbf{G},\eta}$ and $h \in \mathbf{G}(\mathbb{Q}_p)$ with

$$|\iota(h)| \ll \operatorname{ht}(\iota(g))^{-\kappa_9} \operatorname{vol}(Y)^{\kappa_9}.$$

Moreover, the implicit multiplicative constants depend only on N.

Proof Recall that m is the Haar measure on G which projects to μ_Y . Let λ be the Haar measure on $G(\mathbb{A})$ so that $\iota_*\lambda = m$. By [11, §5.9] there exists some $M \ge 1$ depending only on dim G so that

$$1/M \le \lambda(\mathbf{G}(\mathbb{A})/\mathbf{G}(\mathbb{Q})) \le M. \tag{5.3}$$

In view of the definition of vol(Y), this implies that vol(Y) $\approx \lambda(\Omega_{\mathbf{G}})^{-1}$.

Let η be a positive constant. For any $g \in \mathbf{G}(\mathbb{A})$ put $[g] = g\mathbf{G}(\mathbb{Q})$; assume $\iota([g]) \in X_{\eta}$. We claim that if $h, h' \in \Omega_{\mathbf{G}, \eta}$ are so that h[g] = h'[g], then $h^{-1}h' \in \mathbf{Z}(\mathbb{Q})$, where $\mathbf{Z} := \mathbf{Z}(\mathbf{G})$ denotes the center of \mathbf{G} . To see this, apply ι to the equation h[g] = h'[g]. Using the definition of X_{η} and the fact $\iota(\Omega_{\mathbf{G}, \eta}) \subset \Omega_{\eta}$, we get that $\iota(h) = \iota(h')$. Hence, $h^{-1}h' \in \mathbf{Z}(\mathbb{A})$; moreover $h^{-1}h' = g^{-1}h^{-1}h'g \in \mathbf{G}(\mathbb{Q})$. Thus $h^{-1}h' \in \mathbf{Z}(\mathbb{Q})$ as claimed. This claim in particular implies that $\pi_{[g]} : \Omega_{\mathbf{G}, \eta} \to \mathbf{G}(\mathbb{A})/\mathbf{G}(\mathbb{Q})$ defined by $\pi_{[g]}(h) := h[g]$ is at most $\#\mathbf{Z}(\mathbb{Q})$ -to-one on $\Omega_{\mathbf{G}, \eta}$.

By [11, App. A], there exists a function $f \in C_c^{\infty}(\mathbf{G}(\mathbb{A}))$ with the following properties:



- $0 \le f \le 1$,
- for all $h \notin \Omega_{\mathbf{G},n}$ we have f(h) = 0 and for all $h \in \Omega_{\mathbf{G},\eta/2}$ we have f(h) = 1,
- $S(f) \ll \eta^{-\star}$.

For every $g \in \mathbf{G}(\mathbb{A})$ with $\iota([g]) \in X_{\eta}$, define $f_{[g]} \in C_c^{\infty}(\mathbf{G}(\mathbb{A})/\mathbf{G}(\mathbb{Q}))$ as follows. If $[g'] \in \pi_{[g]}(\Omega_{\mathbf{G},\eta})$, put $f_{[g]}([g']) = \sum_{\pi_{[g]}(h)=[g']} f(h)$; if $[g'] \notin \pi_{[g]}(\mathbf{G}_{\eta})$, define f([g']) = 0. Then

- 1. $0 \le f_{[g]} \le \# \mathbf{Z}(\mathbb{Q}) \ll 1$,
- 2. f([g']) = 0 for all $[g'] \notin \pi_{[g]}(\Omega_{\mathbf{G},\eta})$ and $f_{[g]}([g']) \ge 1$ for all $[g'] \in \pi_{[g]}(\Omega_{\mathbf{G},\eta/2})$,
- 3. $\mathcal{S}(f_{[g]}) \ll \eta^{-\star}$.

Recall the measure σ from Theorem 5.1. By (5.3), we have that $\int f_{[g]} d\sigma \simeq \int f_{[g]} d\lambda$. The set $\Omega_{\mathbf{G}}$ can be covered by $\ll \eta^{-\star}$ translates among $\{h\Omega_{\mathbf{G},\eta/2}: h \in \mathbf{G}(\mathbb{A})\}$. Since λ is $\mathbf{G}(\mathbb{A})$ -invariant, this implies that $\lambda(\Omega_{\mathbf{G}}) \ll \eta^{-\star}\lambda(\Omega_{\mathbf{G},\eta/2})$. Thus,

$$\int f_{[g]} d\sigma \simeq \int f_{[g]} d\lambda \ge \lambda(\Omega_{\mathbf{G},\eta/2}) \gg \eta^* \operatorname{vol}(Y)^{-1}; \tag{5.4}$$

here, we used properties (1) and (2) of $f_{[g]}$, and the fact vol $(Y) \simeq \lambda(\Omega_{\mathbf{G}})^{-1}$.

Apply (5.2) with $f_1 = f_{[e]}$ and $f_2 = f_{[g]}$. Using property (3) of f_1 and f_2 , we get that

$$\left| \langle u(t) f_1, f_2 \rangle_{\sigma} - \int f_1 \, \mathrm{d}\sigma \int f_2 \, \mathrm{d}\sigma \right| \ll (1 + |t|_p)^{-1/2M} \eta^{-\star}. \tag{5.5}$$

We get from (5.5) and (5.4) (which also holds for f_1) that if $|t|_p \gg \text{vol}(Y)^* \eta^{-*}$, then

$$\langle u(t) f_1, f_2 \rangle_{\sigma} \neq 0. \tag{5.6}$$

This implies in particular that if $|t|_p \gg \operatorname{vol}(Y)^* \eta^{-*}$, then the following holds. There exist $h_1, h_2 \in \mathbf{G}(\mathbb{A})$ so that $f_1([h_1]) \neq 0$, $f_2([h_2^{-1}g]) \neq 0$, and

$$u(t)h_1\mathbf{G}(\mathbb{Q}) = h_2^{-1}g\mathbf{G}(\mathbb{Q}). \tag{5.7}$$

In view of the fact that $\Omega_{\mathbf{G},\eta} = \Omega_{\mathbf{G},\eta}^{-1}$, it follows from the above and property (2) that $h_i \in \Omega_{\mathbf{G},\eta}$.

Finally, we choose t so that (5.6) holds while $|t|_p \approx \operatorname{vol}(Y)^* \eta^{-*}$. In this way, by (5.1) we have $|\iota(u(t))| \ll (1+|t|_p)^* \ll \operatorname{vol}(Y)^* \eta^{-*}$. In view of (5.7), by taking h_1 and h_2 as above and h = u(t), the proof of Theorem 5.2 is complete.

Before proceeding to the proof of general case, we need the following

Lemma 5.1 There exists some κ_{10} so that the following holds. Let \mathbf{R} be a unipotent \mathbb{Q} -group, given with an embedding $\iota: \mathbf{R} \to \operatorname{SL}_N$. Let $S \subset \Sigma$ be a finite set of places containing the infinite place; put $p_S := \max\{p \in S \cap \Sigma_f\}$. Let $v \in S$. For any $g \in \mathbf{R}(\mathbb{A})$, there exists some $\gamma \in \mathbf{R}(\mathbb{Q})$ so that



$$\iota(g\gamma) = (h_S, (h_q)_{q \notin S}) \in \mathrm{SL}_N(\mathbb{Q}_S) \times \prod_{q \notin S} \mathrm{SL}_N(\mathbb{Z}_q),$$

 $|h_v| \ll p_S^{\kappa_{10}} \operatorname{ht}(\mathbf{R})^{\kappa_{10}}$, and for every $w \in S - \{v\}$, we have $|h_w| \ll p_S^{\kappa_{10}}$.

Proof The proof is, mutatis mutandis, part of the proof of Lemma 4.1. We briefly recall the argument for the convenience of the reader. For every prime q, put

$$C_q = \iota^{-1}(\iota(\mathbf{R}(\mathbb{Q}_q)) \cap \mathrm{SL}_N(\mathbb{Z}_q)).$$

By the strong approximation theorem for unipotent groups, we have

$$\mathbf{R}(\mathbb{A}) = (\mathbf{R}(\mathbb{Q}_S) \times \prod_{q \notin S} C_q) \mathbf{R}(\mathbb{Q}).$$

Hence, there exists some $\gamma_0 \in \mathbf{R}(\mathbb{Q})$ so that

$$g\gamma_0 = (\hat{g}_S, (\hat{g}_q)_{q \notin S}) \in \mathbf{R}(\mathbb{Q}_S) \times \prod_{q \notin S} C_q.$$

Fixing a \mathbb{Z}_S -basis for $\mathfrak{r}(\mathbb{Q}_S) \cap \mathfrak{sl}_N(\mathbb{Z}_S)$, we have the following. There exists some $\hat{\gamma}_1 \in \iota^{-1}(\exp(\mathfrak{r}(\mathbb{Q}_S) \cap N!\mathfrak{sl}_N(\mathbb{Z}_S)))$ so that $h_S = \iota(\hat{g}_S\hat{\gamma}_1)$ satisfies

$$|h_v| \ll p_S^{\star} \operatorname{ht}(\mathbf{R})^{\star}$$
 and $|h_w| \ll p_S^{\star}$ for $w \in S - \{v\}$.

Note that $\iota(\hat{\gamma}_1) \in \iota(\mathbf{R}(\mathbb{Q}_S)) \cap \mathrm{SL}_N(\mathbb{Z}_S)$, hence $\hat{\gamma}_1 \in \mathbf{R}(\mathbb{Q})$.

Let γ_1 be the diagonal embedding of $\hat{\gamma}_1$ in $\mathbf{R}(\mathbb{A})$. Then since $\iota(\hat{\gamma}_1) \in \mathrm{SL}_N(\mathbb{Z}_S)$, we get that

$$g\gamma_0\gamma_1 = (\hat{g}_S, (\hat{g}_q)_{q\notin S})\gamma_1 = (\hat{g}_S\hat{\gamma}_1, (\tilde{g}_q)_{q\notin S}) \in \mathbf{R}(\mathbb{Q}_S) \times \prod_{q\notin S} C_q.$$

The claim thus follows with $\gamma = \gamma_0 \gamma_1$.

5.3 Proof of Theorem 1.1

Let $g \in \mathbf{G}(\mathbb{A})$ and write $g = g_H g_R$ where $g_H \in \mathbf{H}(\mathbb{A})$ and $g_R \in \mathbf{R}(\mathbb{A})$; recall that $\operatorname{pr}_H(g) = g_H$.

First, we apply Theorem 5.2, i.e. the semisimple case, to the pair $(\mathbf{H}, \iota_{|_H})$. In view of Lemma 2.1, we have $\iota(g_H\mathbf{G}(\mathbb{Q})) \in X_\eta$ for $\eta := \kappa_3 \operatorname{ht}(\iota(g_H))^{-\kappa_3}$. Thus, there exist some $\gamma_0 \in \mathbf{H}(\mathbb{Q})$ and some $p \ll (\log \operatorname{vol}(Y_H))^2$ so that the following holds. There are $h \in \mathbf{H}(\mathbb{Q}_p)$ and $h_1, h_2 \in \Omega_{\mathbf{H}} \subset \Omega_{\mathbf{G}}$ such that $g_H \gamma_0 = h_1 h h_2$ and

$$|\iota(h)| \ll \eta^{-\kappa_9} \operatorname{vol}(Y_H)^{\kappa_9}.$$

This estimate implies that

$$|\iota(h)| \ll \operatorname{ht}(\iota(g_H))^* \operatorname{vol}(Y_H)^*$$
 since $\eta = \kappa_3 \operatorname{ht}(\iota(g_H))^{-\kappa_3}$



$$\ll \operatorname{ht}(\mathbf{G})^{\star} \operatorname{ht}(\iota(g))^{\star} \operatorname{vol}(Y_{H})^{\star} \qquad \text{by Lemma 4.4}$$

$$\ll \operatorname{ht}(\mathbf{G})^{\star} \operatorname{ht}(\iota(g))^{\star} \operatorname{ht}(Y)^{\star} \qquad \text{by Prop. 4.5}$$

$$\ll \operatorname{ht}(\iota(g))^{\star} \operatorname{ht}(Y)^{\star} \qquad \text{by 1.5.} \qquad (5.8)$$

Also note that by Proposition 4.1 we have

$$p \ll \left(\log \operatorname{vol}(Y_H)\right)^2 \ll \left(\log \operatorname{ht}(Y)\right)^{\star}. \tag{5.9}$$

Apply Lemma 5.1 with $S = \{\infty, p\}$ and v = p to the element $\gamma_0^{-1} g_R \gamma_0 \in \mathbf{R}(\mathbb{A})$; we get the following. There exists some $\gamma_1 \in \mathbf{R}(\mathbb{Q})$ for which

- (a) $\iota(\gamma_0^{-1}g_R\gamma_0\gamma_1) \in SL_N(\mathbb{Z}_q)$ for all primes $q \neq p$,
- (b) $|\iota(\gamma_0^{-1}g_R\gamma_0\gamma_1)_{\infty}| \ll p^*$, and
- (c) $|\iota(\gamma_0^{-1}g_R\gamma_0\gamma_1)_p| \ll p^* \operatorname{ht}(\mathbf{R})^* \ll p^* \operatorname{ht}(\mathbf{G})^*$.

Set $\gamma = \gamma_0 \gamma_1 \in \mathbf{G}(\mathbb{Q})$. Let us write

$$(\hat{g}_{\infty}, \hat{g}_p, (\hat{g}_q)_{q \notin S}) := \iota(g\gamma) = \iota(g_H \gamma_0) \iota(\gamma_0^{-1} g_R \gamma_0 \gamma_1). \tag{5.10}$$

The above estimates then imply that

- 1. By (a) and $h_i \in \Omega_{\mathbf{G}}$, i = 1, 2, we have $\hat{g}_q \in \mathrm{SL}_N(\mathbb{Z}_q)$ for all primes $q \neq p$.
- 2. By (b) and $h_i \in \Omega_{\mathbf{G}}$, i = 1, 2, we have

$$\begin{aligned} |\hat{g}_{\infty}| &\ll |\iota(h_1 h h_2)_{\infty}| \cdot |\iota(\gamma_0^{-1} g_R \gamma_0 \gamma_1)_{\infty}| \ll p^{\star} \\ &\ll (\log \operatorname{ht}(Y))^{\star} \end{aligned}$$
 by (5.9)

3. For the prime p, we have

$$\begin{aligned} |\hat{g}_p| &\ll |\iota(h_1 h h_2)_p| |\iota(\gamma_0^{-1} g_R \gamma_0 \gamma_1)_p| \\ &\ll \operatorname{ht}(\iota(g))^{\star} \operatorname{ht}(Y)^{\star} p^{\star} \operatorname{ht}(\mathbf{G})^{\star} & \text{by (5.8) and (c)} \\ &\ll \operatorname{ht}(\iota(g))^{\star} \operatorname{ht}(Y)^{\star} \operatorname{ht}(\mathbf{G})^{\star} & \text{by (5.9)} \\ &\ll \operatorname{ht}(\iota(g))^{\star} \operatorname{ht}(Y)^{\star} & \text{by (1.5).} \end{aligned}$$

The proof is complete.

6 S-Arithmetic quotients

In this section, we discuss some implications of the statement and the proof of Theorem 1.1 in the local setting. The main results are stated in Theorem 6.2 which deals with the case of semisimple groups and Theorems 6.1 and 6.3 which can be thought of as *effective* versions of the strong approximation theorem.



6.1 The setup

Let $L \subset SL_d$ be a \mathbb{Q} -group so that $R(L) = R_u(L)$. Let $S \subset \Sigma$ be a finite set of places containing the infinite place. Define

$$L := \prod_{v \in S} \mathbf{L}(\mathbb{Q}_v)$$
 and $\mathfrak{l} := \bigoplus_{v \in S} \mathfrak{l}_v$,

where $l_v := \operatorname{Lie}(\mathbf{L})(\mathbb{Q}_v)$.

Let $\mathbf{R} = \mathbf{R}_u(\mathbf{L})$. Fix a Levi subgroup \mathbf{H} of \mathbf{L} so that $\mathrm{ht}(\mathbf{H}) \ll \mathrm{ht}(\mathbf{L})^\star$, see Proposition 3.1. We let $\tilde{\mathbf{H}}$ denote the simply connected covering of \mathbf{H} . Put $\tilde{\mathbf{L}} = \tilde{\mathbf{H}} \ltimes \mathbf{R}$, where the action of $\tilde{\mathbf{H}}$ on \mathbf{R} factors through the action of \mathbf{H} via the natural covering map $\pi': \tilde{\mathbf{H}} \to \mathbf{H}$. By the construction of $\tilde{\mathbf{L}}$, π' extends to an epimorphism $\pi: \tilde{\mathbf{L}} \to \mathbf{L}$ with finite central kernel, given by $\pi(g) = \pi(g_{\tilde{\mathbf{H}}}g_{\mathbf{R}}) = \pi'(g_{\tilde{\mathbf{H}}})g_{\mathbf{R}}$, where $g_{\tilde{\mathbf{H}}} \in \tilde{\mathbf{H}}$ and $g_{\mathbf{R}} \in \mathbf{R}$.

Let $\tilde{L} := \pi(\tilde{\mathbf{L}}(\mathbb{Q}_S))$; then \tilde{L} is a normal subgroup of L and L/\tilde{L} is a finite abelian group — it is worth mentioning that this finite group can be identified with a subgroup of $\prod_S \mathrm{H}^1(\mathbb{Q}_v, Z(\tilde{\mathbf{H}}))$.

6.2 Two notions of complexity

For every $q \in \Sigma_f$ put $K_q = \pi^{-1}(\mathrm{SL}_d(\mathbb{Z}_q))$. Define the subgroups Δ and Γ of $\tilde{\mathbf{L}}(\mathbb{Q}_S)$ as follows:

$$\Delta := \text{the projection of } \tilde{\mathbf{L}}(\mathbb{Q}) \cap (\tilde{\mathbf{L}}(\mathbb{Q}_S) \times \prod_{q \notin S} K_q) \text{ to } \tilde{\mathbf{L}}(\mathbb{Q}_S),$$
 (6.1)

and $\Gamma := \pi^{-1}(SL_d(\mathbb{Z}_S))$. Note that Δ is a normal subgroup of Γ ; moreover, both Δ and Γ are lattices in $\tilde{\mathbf{L}}(\mathbb{Q}_S)$.

Put $Z := \pi(\tilde{\mathbf{L}}(\mathbb{A})/\tilde{\mathbf{L}}(\mathbb{Q}))$. Similarly define

$$\hat{Z} := \pi(\tilde{\mathbf{L}}(\mathbb{Q}_S)/\Delta) = \tilde{L}/\tilde{L} \cap \mathrm{SL}_d(\mathbb{Z}_S) = \tilde{L}/\pi(\Gamma).$$

As was done in §1.5, we define $\operatorname{vol}(\hat{Z}) = m_S(\tilde{L} \cap \Omega_S)^{-1}$ where $\Omega_S = \Xi_{\eta_0} \times \prod_{q \in S - \{\infty\}} \operatorname{SL}_d(\mathbb{Z}_q)$ and m_S is a Haar measure on $\tilde{\mathbf{L}}(\mathbb{Q}_S)$ normalized so that $m_S(\hat{Z}) = 1$. Here and in what follows, we abuse the notation and denote $\pi_* \nu$ simply by ν , for any measure ν .

We also put $ht(\hat{Z}) = max\{ht(\mathbf{L}), vol(\hat{Z})\}.$

Proposition 6.1 *There exist* κ_{11} , κ_{12} , and κ_{13} so that for all **L** as in 6.1 with vol(Z) $\gg 1$, we have the following.

- 1. $\kappa_{13}^{-1} \operatorname{ht}(Z)^{\kappa_{11}} \le \operatorname{ht}(\hat{Z}) \le \kappa_{13} \operatorname{ht}(Z)^{\kappa_{12}};$
- 2. If $\tilde{\mathbf{L}}$ is semisimple or unipotent, then

$$\kappa_{13}^{-1} \operatorname{vol}(Z)^{\kappa_{11}} \leq \operatorname{vol}(\hat{Z}) \leq \kappa_{13} \operatorname{vol}(Z)^{\kappa_{12}}$$



Proof We first prove part (2) above.

First note that if $\tilde{\mathbf{L}}$ is unipotent, then $\tilde{\mathbf{L}} = \mathbf{L}$ and the same argument as in Lemma 4.1 implies that $\operatorname{ht}(\tilde{\mathbf{L}})^{\star} \ll \operatorname{vol}(\hat{Z}) \ll \operatorname{ht}(\tilde{\mathbf{L}})$. The claim in this case follows from this and Lemma 4.1.

We now assume that $\tilde{\mathbf{L}}$ is semisimple. In this case we will actually prove

$$\kappa_{13}^{-1} \operatorname{vol}(Z)^{\kappa_{11}} \le \operatorname{vol}(\hat{Z}) \le \kappa_{13} \operatorname{vol}(Z)$$
(6.2)

when vol(Z) is large enough.

Let λ denote the Haar measure on $\tilde{\mathbf{L}}(\mathbb{A})$ normalized so that $\lambda(Z) = 1$. By [11, §5.9] there exists some $M \geq 1$ depending only on dim $\tilde{\mathbf{L}}$ so that

$$1/M \le \lambda(\tilde{\mathbf{L}}(\mathbb{A})/\tilde{\mathbf{L}}(\mathbb{Q})) \le M. \tag{6.3}$$

Since $\tilde{\mathbf{L}}$ is simply connected and $\tilde{\mathbf{L}}(\mathbb{Q}_S)$ is not compact, we have

$$\tilde{\mathbf{L}}(\mathbb{A}) = \left(\tilde{\mathbf{L}}(\mathbb{Q}_S) \times \prod_{q \notin S} K_q\right) \tilde{\mathbf{L}}(\mathbb{Q}).$$

Write $\lambda = \prod_{\Sigma} \lambda_v$ and set $\lambda_S := \prod_S \lambda_v$. In view of the above and the definition of Δ , see (6.1), we get the following.

$$\lambda(\tilde{\mathbf{L}}(\mathbb{A})/\tilde{\mathbf{L}}(\mathbb{Q})) = \lambda_S(\tilde{\mathbf{L}}(\mathbb{Q}_S)/\Delta) \cdot \prod_{q \notin S} \lambda_q(K_q)$$
(6.4)

Recall furthermore that

$$\operatorname{vol}(Z) = \lambda(\pi(\tilde{\mathbf{L}}(\mathbb{A})) \cap \Omega)^{-1} = \lambda_{S}(\tilde{L} \cap \Omega_{S})^{-1} \cdot \prod_{q \notin S} \lambda_{q}(\pi(K_{q}))^{-1}. \tag{6.5}$$

From (6.3)–(6.5) we get that

$$\lambda_{S}(\tilde{\mathbf{L}}(\mathbb{Q}_{S})/\Delta) = M'\lambda_{S}(\tilde{L} \cap \Omega_{S}) \cdot \text{vol}(Z)$$
(6.6)

where $M' \in [1/M, M]$.

We can now make the following computation.

$$\lambda_{S}(\tilde{\mathbf{L}}(\mathbb{Q}_{S})/\Gamma) = \lambda_{S}(\tilde{\mathbf{L}}(\mathbb{Q}_{S})/\Delta) \cdot [\Gamma : \Delta]^{-1}$$

$$= M'\lambda_{S}(\tilde{L} \cap \Omega_{S}) \cdot \text{vol}(Z) \cdot [\Gamma : \Delta]^{-1} \qquad \text{by (6.6)}$$
(6.7)

Perhaps by enlarging M to account for the effect of the central kernel of π , we have $\lambda_S(\tilde{L}/\pi(\Gamma)) = M''\lambda_S(\tilde{\mathbf{L}}(\mathbb{Q}_S)/\Gamma)$ for some $M'' \in [1/M, M]$. Therefore, writing the definition of vol (\hat{Z}) in terms of the measure λ_S , we have

¹ The discussion in [11, §5.9] assumes that $\tilde{\mathbf{L}}$ is \mathbb{Q} -almost simple; since $\tilde{\mathbf{L}}$ is simply connected and semisimple, we can decompose $\tilde{\mathbf{L}} = \tilde{\mathbf{L}}_1 \cdots \tilde{\mathbf{L}}_r$ as a direct product of \mathbb{Q} -almost simple factors and apply the argument to each factor separately.



$$\operatorname{vol}(\hat{Z}) = \lambda_{S}(\tilde{L}/\pi(\Gamma)) \cdot \lambda_{S}(\tilde{L} \cap \Omega_{S})^{-1}$$

$$= M'' \lambda_{S}(\tilde{\mathbf{L}}(\mathbb{Q}_{S})/\Gamma) \cdot \lambda_{S}(\tilde{L} \cap \Omega_{S})^{-1}$$

$$= \hat{M} \operatorname{vol}(Z) \cdot [\Gamma : \Delta]^{-1} \qquad \text{by (6.7)} \qquad (6.8)$$

where $\hat{M} \in [1/M^2, M^2]$.

We now apply the discussion in [11, §5.12], see also [3] and [1, Cor. 6.1], with $\Lambda = \Delta$ and $\tilde{\Lambda} = \Gamma$ — note that the only role *S* plays in the argument in [11, §5.12] is for the use of the strong approximation theorem. It is proved in the proposition in [11, §5.12], see also the intermediate steps (5.10) and (5.13) in loc. cit., that there exists some $0 < \kappa_{14} < 1$ such that

$$[\Gamma : \Delta] < \operatorname{vol}(Z)^{\kappa_{14}},\tag{6.9}$$

provided that $vol(Z) \gg 1$.

In consequence, (6.8) and (6.9) imply (6.2) with $\kappa_{11} = 1 - \kappa_{14}$ and $\kappa_{13} = M^2$; this finishes the proof of (2).

We now use the estimate in (2) to prove (1). First recall our Levi decomposition $\tilde{\mathbf{L}} = \tilde{\mathbf{H}}\mathbf{R}$; recall also that $\tilde{\mathbf{L}}(\mathbb{Q}) = \tilde{\mathbf{H}}(\mathbb{Q})\mathbf{R}(\mathbb{Q})$ and $\tilde{\mathbf{L}}(\mathbb{Q}_v) = \tilde{\mathbf{H}}(\mathbb{Q}_v)\mathbf{R}(\mathbb{Q}_v)$ for all $v \in \Sigma$.

Define $\Gamma_H = (\pi_{|_{\tilde{\mathbf{H}}}})^{-1}(\mathrm{SL}_d(\mathbb{Z}_S))$, and define Γ_R similarly. Following the above notation, put $\hat{Z}_H = \pi(\tilde{\mathbf{H}}(\mathbb{Q}_S)/\Gamma_H)$ and $\hat{Z}_R = \pi(\mathbf{R}(\mathbb{Q}_S)/\Gamma_R)$; also put $\Lambda = \Gamma_H \Gamma_R \subset \Gamma$.

Let ν be the Haar measure on $\tilde{\mathbf{L}}(\mathbb{Q}_S)$ normalized so that $\nu(\tilde{\mathbf{L}}(\mathbb{Q}_S)/\Lambda) = 1$; similarly, let ν_H and ν_R be Haar measures on $\tilde{\mathbf{H}}(\mathbb{Q}_S)$ and $\mathbf{R}(\mathbb{Q}_S)$ normalized so that $\nu_H(\tilde{\mathbf{H}}(\mathbb{Q}_S)/\Gamma_H) = 1$ and $\nu_R(\mathbf{R}(\mathbb{Q}_S)/\Gamma_R) = 1$, respectively. In view of the product structure of Λ and $\tilde{\mathbf{L}}(\mathbb{Q}_S)$, we may argue as in §4.2 and get that ν is given as the product of ν_H and ν_R .

The above normalizations of ν_H and ν_R and the definitions of \hat{Z}_H and \hat{Z}_R imply that $\operatorname{vol}(\hat{Z}_H) = \nu_H \left(\pi(\tilde{\mathbf{H}}(\mathbb{Q}_S)) \cap \Omega_S\right)^{-1}$ and $\operatorname{vol}(\hat{Z}_R) = \nu_R \left(\pi(\tilde{\mathbf{R}}(\mathbb{Q}_S)) \cap \Omega_S\right)^{-1}$. Let us put

$$\operatorname{vol}_{\nu}(\hat{Z}) := \nu \left(\pi(\tilde{\mathbf{L}}(\mathbb{Q}_S)) \cap \Omega_S \right)^{-1}.$$

Using the product structure of ν again, we may now argue as in the proof of Proposition 4.1 and get that

$$\left(\operatorname{vol}(\hat{Z}_H)\operatorname{vol}(\hat{Z}_R)\right)^{\star} \ll \operatorname{ht}_{\nu}(\hat{Z}) \ll \left(\operatorname{vol}(\hat{Z}_H)\operatorname{vol}(\hat{Z}_R)\right)^{\star}, \tag{6.10}$$

where $\operatorname{ht}_{\nu}(\hat{Z}) = \max\{\operatorname{ht}(\mathbf{L}), \operatorname{vol}_{\nu}(\hat{Z})\}.$

We now compare $\operatorname{vol}_{\nu}(\hat{Z})$ and $\operatorname{vol}(\hat{Z})$. Using the notation in the proof of Proposition 4.1, see in particular (4.5), we have the following.

$$[\Gamma : \Lambda] \le \prod_{q \notin S} J_q \le \prod_{\Sigma} J_q \ll \operatorname{ht}(\mathbf{L})^*;$$
 (6.11)



the first inequality follows from the definition of Λ , Γ , and J_p , the second inequality follows since $J_q \geq 1$ for all q, and the third inequality is (4.6).

Recall that m_S denotes the Haar measure on $\tilde{\mathbf{L}}(\mathbb{Q}_S)$ normalized so that $m_S(\tilde{\mathbf{L}}(\mathbb{Q}_S)/\Gamma) = 1$. We have

$$\operatorname{vol}(\hat{Z}) = m_S \big(\pi(\tilde{\mathbf{L}}(\mathbb{Q}_S)) \cap \Omega_S \big)^{-1} = \nu \big(\pi(\tilde{\mathbf{L}}(\mathbb{Q}_S)) \cap \Omega_S \big)^{-1} [\Gamma : \Lambda]^{-1}.$$

This, together with (6.11), implies that

$$\operatorname{vol}_{\nu}(\hat{Z})\operatorname{ht}(\mathbf{L})^{-\star} \ll \operatorname{vol}(\hat{Z}) \leq \operatorname{vol}_{\nu}(\hat{Z}),$$

which in turn gives

$$ht_{\nu}(\hat{Z})^{\star} \ll ht(\hat{Z}) \ll ht_{\nu}(\hat{Z}). \tag{6.12}$$

Now in view of part (2), the upper and lower bound in (6.10) are $\simeq (\operatorname{vol}(Z_H) \operatorname{vol}(Z_R))^*$. Moreover, Proposition 4.1(1) gives

$$\left(\operatorname{vol}(Z_H)\operatorname{vol}(Z_R)\right)^{\star} \ll \operatorname{ht}(Z) \ll \left(\operatorname{vol}(Z_H)\operatorname{vol}(Z_R)\right)^{\star}. \tag{6.13}$$

The claim in part (1) follows from
$$(6.10)$$
, (6.12) , and (6.13) .

We now turn to the consequences of Theorem 1.1 in the S-arithmetic setting when applied to the datum $(\tilde{\mathbf{L}}, \pi)$. Recall that we defined

$$\operatorname{ht}_{S}(g) := \max \left\{ (\prod_{S} \|gw\|)^{-1} : 0 \neq w \in \mathbb{Z}_{S}^{d} \right\}$$

for any $g \in SL_d(\mathbb{Q}_S)$.

For any set S of places and any $g \in SL_d(\mathbb{Q}_S)$ (resp. $g \in \tilde{\mathbf{L}}(\mathbb{Q}_S)$), we write $\tilde{g} := (g, (e)_{g \notin S}) \in SL_d(\mathbb{A})$ (resp. $\in \tilde{\mathbf{L}}(\mathbb{A})$).

Lemma 6.1 For any $g \in SL_d(\mathbb{Q}_S)$ we have

$$\operatorname{ht}(\tilde{g}) = \operatorname{ht}_{S}(g).$$

Proof This is a consequence of the product formula as we now explicate. For every $w \in \mathbb{Q}^d$, let \bar{w} be a primitive integral vector on $\mathbb{Q} \cdot w$. First observe that

$$\begin{split} \mathsf{C}(\tilde{g}w) &= \prod_{\Sigma} \|\tilde{g}_v w\|_v = \prod_{\Sigma} \|\tilde{g}_v \bar{w}\|_v \qquad \qquad \text{by the product formula} \\ &= \prod_{S} \|g_v \bar{w}\|_v \prod_{q \notin S} \|\bar{w}\|_q \qquad \qquad \tilde{g}_q = e, \ q \notin S \\ &= \prod_{S} \|g_v \bar{w}\|_v \qquad \qquad \bar{w} \text{ is primitive integral.} \end{split}$$

This shows that $ht(\tilde{g}) \leq ht_S(g)$.



To see the reverse inequality, notice that if $w \in \mathbb{Z}_S^d$, then $||w||_q \le 1$ for any $q \notin S$. This implies that

$$\prod_{S} \|g_v w\|_v \ge \prod_{S} \|g_v w\|_v \prod_{q \notin S} \|w\| = \prod_{\Sigma} \|\tilde{g}_v w\|_v = \mathsf{C}(\tilde{g}w)$$

and in turn that $\operatorname{ht}_S(g) \leq \operatorname{ht}(\tilde{g})$.

In the following, we use the same notation for the diagonal embedding of elements of $SL_d(\mathbb{Q})$ in $SL_d(\mathbb{A})$ and in $SL_d(\mathbb{Q}_S)$; which embedding is relevant will be indicated by the context.

Theorem 6.1 *There exists* κ_{15} *so that the following holds. Let the notation be as in* §6.2. *There exists some*

$$p \ll (\log \operatorname{vol}(\hat{Z}))^2$$

with the following property. For any $g \in \tilde{\mathbf{L}}(\mathbb{Q}_S)$, there exists some $\gamma \in \tilde{\mathbf{L}}(\mathbb{Q})$ so that $\pi(\gamma)_q \in \mathrm{SL}_d(\mathbb{Z}_q)$ for all $q \notin S \cup \{p\}$ and

$$|\pi(g\gamma)_v| \ll \operatorname{ht}_S(\pi(g))^{\kappa_{15}} \operatorname{ht}(\hat{Z})^{\kappa_{15}}$$

for all $v \in S$. Moreover, if $p \notin S$, then

$$|\pi(\gamma)_p| \ll \operatorname{ht}_S(\pi(g))^{\kappa_{15}} \operatorname{ht}(\hat{Z})^{\kappa_{15}}.$$

Proof In view of part (1) of Proposition 6.1, it suffices to prove the above estimates with $ht(\hat{Z})$ replaced by ht(Z).

In view of Lemma 6.1 and of Theorem 1.1 applied to $(\tilde{\mathbf{L}}, \pi)$ and $\tilde{g} \in \tilde{\mathbf{L}}(\mathbb{A})$, there exists some $\gamma \in \tilde{\mathbf{L}}(\mathbb{Q})$ so that $\pi(\tilde{g}\gamma)_v$ satisfies the estimate stated in the theorem for all $v \in S$, and $\pi(\tilde{g}\gamma)_q \in \mathrm{SL}_d(\mathbb{Z}_q)$ for all $q \notin \{\infty, p\}$. Therefore, $\pi(\gamma)_q \in \mathrm{SL}_d(\mathbb{Z}_q)$.

Now if $p \notin S$, then $\pi(\tilde{g}\gamma)_p = \pi(\gamma)_p$, and the desired estimate follows from Theorem 1.1.

We now state and prove a reformulation of Theorem 1.2 using the above notation.

Theorem 6.2 Let the notation be as in $\S6.2$; further, assume that

- 1. L is semisimple, and
- 2. $L = \mathbf{L}(\mathbb{Q}_S)$ is not compact.

There exist κ_{16} and some C = C(L) so that the following holds. For any $g \in \tilde{\mathbf{L}}(\mathbb{Q}_S)$ there exists some $\delta \in \Delta$, see (6.1), so that

$$|\pi(g\delta)_v| \leq C \operatorname{ht}_S(\pi(g))^{\kappa_{16}} \operatorname{vol}(\hat{Z})^{\kappa_{16}}$$

for all $v \in S$.



Proof In view of part (2) of Proposition 6.1, it suffices to prove the above estimates with $ht(\hat{Z})$ replaced by ht(Z).

As in the proof of Theorem 6.1, we will deduce this theorem from an adelic statement. Let $w \in S$ be a place so that $\mathbf{L}(\mathbb{Q}_w)$ is not compact. The required adelic statement here is an analogue of Theorem 5.2 where \mathbf{G} in the notation is replaced by $\tilde{\mathbf{L}}$ and the place p is replaced by w.

Fix a \mathbb{Q}_w -representation (with finite kernel) $\theta_w : \mathrm{SL}_2(\mathbb{Q}_w) \to \tilde{\mathbf{L}}(\mathbb{Q}_w)$. We define the one-parameter unipotent subgroup

$$u: \mathbb{Q}_w \to \theta_w(\mathrm{SL}_2(\mathbb{Q}_w)) \text{ by } u(t) = \theta_w\left(\begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix}\right).$$

Note that

$$|u(t)| \ll C_1 (1+|t|_w)^*$$
 (6.14)

for some C_1 depending on θ_w and hence on L.

Moreover, it follows from [13, Thm. 1.11] that for all $f_1, f_2 \in C_c^{\infty}(\tilde{\mathbf{L}}(\mathbb{A})/\tilde{\mathbf{L}}(\mathbb{Q}))$ we have

$$\left| \langle u(t)f_1, f_2 \rangle_{\sigma} - \int f_1 \, d\sigma \int \bar{f_2} \, d\sigma \right| \ll (1 + |t|_p)^{-1/2M} \mathcal{S}(f_1) \mathcal{S}(f_2), \tag{6.15}$$

where S is a certain Sobolev norm and σ is the probability $\tilde{\mathbf{L}}(\mathbb{A})$ -invariant measure on $\tilde{\mathbf{L}}(\mathbb{A})/\tilde{\mathbf{L}}(\mathbb{Q})$.

One now repeats the proof of Theorem 5.2 replacing (5.1) with (6.14) and (5.2) with (6.15) to get the following. For any $g \in \tilde{\mathbf{L}}(\mathbb{A})$, there exist $h_1, h_2 \in \Omega_{\tilde{\mathbf{L}}, \eta}$ and $h \in \tilde{\mathbf{L}}(\mathbb{Q}_w)$ with

$$|\pi(h)| \leq C \operatorname{ht}(\pi(g))^{-\kappa_9} \operatorname{vol}(Z)^{\kappa_9}$$

such that $g\tilde{\mathbf{L}}(\mathbb{Q}) = h_1\tilde{h}h_2\tilde{\mathbf{L}}(\mathbb{Q})$; the constant C depends on L and d.

Let $g \in \tilde{\mathbf{L}}(\mathbb{Q}_S)$ and apply the above discussion to \tilde{g} . Then using the above and Lemma 6.1, there exists some $h \in \tilde{\mathbf{L}}(\mathbb{Q}_w)$ with

$$|\pi(h)| \leq C \operatorname{ht}_{S}(\pi(g))^{-\kappa_{9}} \operatorname{vol}(Z)^{\kappa_{9}},$$

two elements $h_1, h_2 \in \Omega_{\tilde{\mathbf{L}}, \eta}$, and some $\gamma \in \tilde{\mathbf{L}}(\mathbb{Q})$ so that $\tilde{g}\gamma = h_1\tilde{h}h_2$. If $q \notin S$, then $(\pi(\tilde{g}\gamma))_q = \pi(\gamma)_q \in \mathrm{SL}_d(\mathbb{Z}_q)$. The claim thus follows with $\delta = \gamma$ (thought of as an element in Δ).

6.3 The adjoint action

We now turn to a version of Theorem 6.1 where $ht_S(g)$ is replaced by a height function defined using the adjoint representation of L on \mathfrak{l} .



First, we need some more notation. For all $v \in \Sigma$, let $\| \|_v$ denote the maximum norm on $\mathfrak{sl}_d(\mathbb{Q}_v)$ with respect to the standard basis. Using this family of norms, we define $ht(\mathbf{L})$ analogously to what was done in §1.4.

Fix a \mathbb{Z} -basis $\mathcal{B} = \{v_1, \dots, v_N\}$ for $\operatorname{Lie}(\mathbf{L}) \cap \mathfrak{sl}_d(\mathbb{Z})$ with $\|v_i\|_{\infty} \ll \operatorname{ht}(\mathbf{L})^*$. Using this basis, we identify $\operatorname{Lie}(\mathbf{L}) \cap \mathfrak{sl}_d(\mathbb{Z})$ with \mathbb{Z}^N and $\operatorname{Lie}(\mathbf{L})$ with \mathbb{Q}^N ; in this way, $\operatorname{SL}(\operatorname{Lie}(\mathbf{L}))$ is identified with SL_N . We also let $\| \|_{\mathcal{B},v}$ denote the maximum norm with respect to \mathcal{B} on $\operatorname{Lie}(\mathbf{L})(\mathbb{Q}_v)$. To avoid confusion, we will keep the index \mathcal{B} for functions defined using these norms, e.g. we write $c_{\mathcal{B}}$ and $\operatorname{ht}_{\mathcal{B}}$ (although after the above identifications, they correspond precisely to the notions introduced in §1.2).

Let $\mathrm{Ad}_{\mathbf{L}}: \mathbf{L} \to \mathrm{SL}_N$ denote the adjoint representation. We sometimes write Ad_L or simply Ad for $\mathrm{Ad}_{\mathbf{L}}$ if there is no confusion. Put $\mathsf{c}_S(w) := \prod_S \|w_v\|_v$ for all $w = (w_v) \in \mathfrak{l}$.

Let $\mathfrak{l}(\mathbb{Z}_S) := \mathfrak{l} \cap \mathfrak{sl}_d(\mathbb{Z}_S)$; note that $\mathfrak{l}(\mathbb{Z}_S)$ is invariant under the adjoint action of $L \cap \mathrm{SL}_d(\mathbb{Z}_S)$. For every $g \in L$, we define

$$\operatorname{ht}_{L}(g) := \max\{\mathsf{c}_{S}(\operatorname{Ad}(g)w)^{-1} : 0 \neq w \in \mathfrak{l}(\mathbb{Z}_{S})\}.$$

The function ht_L is $L \cap \operatorname{SL}_d(\mathbb{Z}_S)$ -invariant, so it defines a function on $L/L \cap \operatorname{SL}_d(\mathbb{Z}_S)$ which we continue to denote by ht_L .

As before, we put $|g| = \max\{\|g\|, \|g^{-1}\|\}$ for all $g \in SL_N(\mathbb{Q}_v)$, where $\| \|$ is the operator norm on $SL_N(\mathbb{Q}_v)$ with respect to some fixed norm on \mathbb{Q}_v^N , say the max norm with respect to the standard basis.

Let $\mathbf{R}' = \mathrm{Ad}_{\mathbf{L}}(\mathbf{R})$. Put $\mathbf{G} = \tilde{\mathbf{H}} \ltimes \mathbf{R}'$, where the action of $\tilde{\mathbf{H}}$ on \mathbf{R}' factors through the action of $\mathrm{Ad}_{\mathbf{L}}(\mathbf{H})$ via $\mathrm{Ad}_{\mathbf{L}} \circ \pi'$, where $\pi' : \tilde{\mathbf{H}} \to \mathbf{H}$ is the natural covering map.

The adjoint action on L induces a homomorphism $\iota : G \to SL_N$ with finite central kernel, given by

$$\iota(g_{\tilde{\mathbf{H}}}g_{\mathbf{R}}') = \mathrm{Ad}_{\mathbf{L}}(\pi'(g_{\tilde{\mathbf{H}}}))g_{\mathbf{R}}'.$$

In accordance to §1.1, we set $Y := \iota(\mathbf{G}(\mathbb{A})/\mathbf{G}(\mathbb{Q})) \subset \mathrm{SL}_N(\mathbb{A})/\mathrm{SL}_N(\mathbb{Q})$. Define \hat{Y} as in §6.2 by replacing the pair $(\tilde{\mathbf{L}}, \pi)$ with (\mathbf{G}, ι) and SL_d by SL_N ; similarly fix an open subset $\Omega_S \subset \mathrm{SL}_N(\mathbb{Q}_S)$, and define $\mathrm{vol}(\hat{Y})$ using $\Omega_S \subset \mathrm{SL}_N(\mathbb{Q}_S)$. We put

$$\operatorname{ht}_{\mathcal{B}}(Y) = \max\{\operatorname{ht}(\mathbf{L}), \operatorname{vol}(Y)\}\ \text{ and } \operatorname{ht}_{\mathcal{B}}(\hat{Y}) = \max\{\operatorname{ht}(\mathbf{L}), \operatorname{vol}(\hat{Y})\}.$$

Additionally, there is an epimorphism $\varphi : \tilde{\mathbf{L}} \to \mathbf{G}$ given by $g_{\tilde{\mathbf{H}}} g_{\mathbf{R}} \mapsto g_{\tilde{\mathbf{H}}} \mathrm{Ad}_{\mathbf{L}}(g_{\mathbf{R}})$, whose kernel is contained in $Z(\mathbf{R})$, hence is unipotent. As was argued in §4.1, this implies that $\tilde{\mathbf{L}}(\mathbb{Q})$ surjects onto $\mathbf{G}(\mathbb{Q})$, and $\tilde{\mathbf{L}}(\mathbb{Q}_v)$ surjects onto $\mathbf{G}(\mathbb{Q}_v)$ for all $v \in \Sigma$.

$$\begin{array}{ccc} \tilde{\mathbf{L}} & \stackrel{\pi}{\longrightarrow} \mathbf{L} \\ \downarrow^{\varphi} & & \downarrow^{\operatorname{Ad}_{\mathbf{L}}} \\ \mathbf{G} & \stackrel{\iota}{\longrightarrow} \operatorname{SL}_{N} \end{array}$$



As before, for every $g \in \tilde{\mathbf{L}}(\mathbb{Q}_S)$ we write $\tilde{g} = (g, (e)_{q \notin S}) \in \tilde{\mathbf{L}}(\mathbb{A})$ and we write

$$\hat{g} = \iota(\varphi(\tilde{g})) = (\mathrm{Ad}_{\mathbf{L}}(\pi(g)), (e)_{p \notin S}) \in \iota(\mathbf{G}(\mathbb{A})). \tag{6.16}$$

In what follows, the notation will confound the implicit diagonal embeddings of $\tilde{\mathbf{L}}(\mathbb{Q})$ in $\tilde{\mathbf{L}}(\mathbb{Q}_S)$ and in $\tilde{\mathbf{L}}(\mathbb{A})$. Which embedding is relevant will be indicated by the context.

Lemma 6.2 There exists some κ_{17} so that the following holds. For any $g \in L$ we have

$$\operatorname{ht}(\mathbf{L})^{-\kappa_{17}} \operatorname{ht}_{L}(g) \ll \operatorname{ht}_{\mathcal{B}}((\operatorname{Ad}_{\mathbf{L}}(g), (e)_{p \notin S})) \ll \operatorname{ht}(\mathbf{L})^{\kappa_{17}} \operatorname{ht}_{L}(g).$$

Proof For $g \in L$, set $\hat{g} := (\mathrm{Ad}_{\mathbf{L}}(g), (e)_{p \notin S}) \in \mathrm{SL}_{N}(\mathbb{A})$. For any $w \in \mathbb{Q}^{N}$, let \bar{w} be a primitive integral vector on $\mathbb{Q} \cdot w$. First, observe that

$$c_{\mathcal{B}}(\hat{g}w) = \prod_{\Sigma} \|\hat{g}_{v}w\|_{\mathcal{B},v} = \prod_{\Sigma} \|\hat{g}_{v}\bar{w}\|_{\mathcal{B},v} \qquad \text{by the product formula}$$

$$= \prod_{S} \|\text{Ad}(g)_{v}\bar{w}\|_{\mathcal{B},v} \cdot \prod_{p \notin S} \|\bar{w}\|_{\mathcal{B},p}$$

$$= \prod_{S} \|\text{Ad}(g)_{v}\bar{w}\|_{\mathcal{B},v} \qquad \text{since } \bar{w} \text{ is primitive integral}$$

$$\gg \prod_{S} \|\text{Ad}(g)_{v}\bar{w}\|_{v} \cdot \prod_{S} (\max_{i} \|v_{i}\|_{v})^{-1} \quad \|\|_{v} \ll (\max_{i} \|v_{i}\|_{v}) \cdot \|\|_{\mathcal{B},v}$$

$$\geq \prod_{S} \|\text{Ad}(g)_{v}\bar{w}\|_{v} \cdot (\max_{i} \|v_{i}\|_{\infty})^{-1} \qquad \text{since } v_{i} \in \mathfrak{sl}_{d}(\mathbb{Z})$$

$$\gg \text{ht}(\mathbf{L})^{-\star} \mathbf{c}_{S}(\text{Ad}(g)\bar{w}) \qquad \text{because } \|v_{i}\|_{\infty} \ll \text{ht}(\mathbf{L})^{\star}.$$

$$(6.17)$$

From this, it follows that

$$\begin{aligned} \operatorname{ht}_{\mathcal{B}}(\hat{g}) &= \max\{\operatorname{c}_{\mathcal{B}}(\hat{g}w)^{-1} : 0 \neq w \in \mathbb{Q}^{N}\} \\ &\ll \operatorname{ht}(\mathbf{L})^{\star} \max\{\operatorname{c}_{S}(\operatorname{Ad}(g)\bar{w})^{-1} : 0 \neq w \in \mathbb{Q}^{N}\} \\ &\leq \operatorname{ht}(\mathbf{L})^{\star} \max\{\operatorname{c}_{S}(\operatorname{Ad}(g)w)^{-1} : 0 \neq w \in \mathbb{Z}_{S}^{N}\} \\ &= \operatorname{ht}(\mathbf{L})^{\star} \operatorname{ht}_{L}(g). \end{aligned}$$

Similarly, since for every $w \in \mathbb{Z}_S^N$ and all $q \notin S$ we have $||w||_{\mathcal{B},q} \leq 1$, we get

$$c_{S}(\operatorname{Ad}(g)w) = \prod_{S} \|\operatorname{Ad}(g)_{v}w\|_{v}$$

$$\gg \operatorname{ht}(\mathbf{L})^{-\star} \prod_{S} \|\operatorname{Ad}(g)_{v}w\|_{\mathcal{B},v}$$

$$\geq \operatorname{ht}(\mathbf{L})^{-\star} \prod_{S} \|\operatorname{Ad}(g)_{v}w\|_{\mathcal{B},v} \prod_{q \notin S} \|w\|_{q}$$



$$= \operatorname{ht}(\mathbf{L})^{-\star} \operatorname{c}_{\mathcal{B}}(\hat{g}w).$$

This implies the lower bound $\operatorname{ht}_L(g) \ll \operatorname{ht}(\mathbf{L})^* \operatorname{ht}_{\mathcal{B}}(\hat{g})$.

Theorem 6.3 There exists some κ_{18} so that the following holds. Let \mathbf{L} be any \mathbb{Q} -subgroup of SL_d with $\mathrm{R}(\mathbf{L}) = \mathrm{R}_u(\mathbf{L})$ and let $\tilde{\mathbf{L}}$, (\mathbf{G}, ι) , etc. be as in §6.3. There exists some prime $p \ll \left(\log \operatorname{ht}_{\mathcal{B}}(\hat{Y})\right)^2$ with the following property. For any $g \in \tilde{\mathbf{L}}(\mathbb{Q}_S)$, there exists some $\gamma \in \tilde{\mathbf{L}}(\mathbb{Q})$ so that $\iota(\varphi(\gamma))_q \in \mathrm{SL}_N(\mathbb{Z}_q)$ for all $q \notin S \cup \{p\}$ and

$$|\iota(\varphi(g\gamma))_v| \ll \operatorname{ht}_L(\pi(g))^{\kappa_{18}} \operatorname{ht}_{\mathcal{B}}(\hat{Y})^{\kappa_{18}}$$

for all $v \in S$. Moreover, if $p \notin S$, then

$$|\iota(\varphi(\gamma))_p| \ll \operatorname{ht}_L(\pi(g))^{\kappa_{18}} \operatorname{ht}_{\mathcal{B}}(\hat{Y})^{\kappa_{18}}.$$

Proof In view of part (1) of proposition in §6.1, it suffices to prove the above estimates with $ht_B(\hat{Y})$ replaced by $ht_B(Y)$.

Let $g \in \tilde{\mathbf{L}}(\mathbb{Q}_S)$ and write $g = g_H g_R$ where $g_H \in \tilde{\mathbf{H}}(\mathbb{Q}_S)$ and $g_R \in \mathbf{R}(\mathbb{Q}_S)$.

In virtue of (3.1), we have that $\operatorname{ht}_{\mathcal{B}}(\operatorname{ad}(\operatorname{Lie}(\mathbf{L}))) \ll \operatorname{ht}(\mathbf{L})^*$. Since $\operatorname{Lie}(\iota(\mathbf{G})) = \operatorname{Lie}(\operatorname{Ad}(\mathbf{L})) = \operatorname{ad}(\operatorname{Lie}(\mathbf{L}))$, this means that $\operatorname{ht}_{\mathcal{B}}(\mathbf{G}) \ll \operatorname{ht}(\mathbf{L})^*$. Lemma 4.2 thus yields

$$\operatorname{ht}_{\mathcal{B}}(\mathbf{L})^{-\star}\operatorname{ht}_{\mathcal{B}}(\hat{g})^{\star} \ll \operatorname{ht}_{\mathcal{B}}(\hat{g}_{H}) \ll \operatorname{ht}(\mathbf{L})^{\star}\operatorname{ht}_{\mathcal{B}}(\hat{g})^{\star}. \tag{6.18}$$

As before, we write $Y_H = \iota(\tilde{\mathbf{H}}(\mathbb{A})/\tilde{\mathbf{H}}(\mathbb{Q}))$. Let $p \ll \left(\log \operatorname{vol}_{\mathcal{B}}(Y_H)\right)^2$ be as in Theorem 5.2 applied to $(\tilde{\mathbf{H}}, \iota_{|_{\tilde{\mathbf{H}}}})$, so that (combined with Lemma 2.1) we have the following. There exists some $\gamma_0 \in \tilde{\mathbf{H}}(\mathbb{Q})$ so that if we put $h' = (h'_S, h'_p, (h'_q)_{q \notin S \cup \{p\}}) := \tilde{g}_H \gamma_0$, then $\iota(\varphi(h'))_q \in \operatorname{SL}_N(\mathbb{Z}_q)$ for all $q \notin \{\infty, p\}, |\iota(\varphi(h'))_\infty| \ll_{\mathcal{B}} 1 \ll \operatorname{ht}(\mathbf{L})^\star$, and

$$|\iota(\varphi(h'))_{p}| \ll_{\mathcal{B}} \operatorname{ht}_{\mathcal{B}}(\hat{g}_{H})^{\star} \operatorname{vol}_{\mathcal{B}}(Y_{H})^{\star}$$

$$\ll \operatorname{ht}(\mathbf{L})^{\star} \operatorname{ht}_{\mathcal{B}}(\hat{g})^{\star} \operatorname{vol}_{\mathcal{B}}(Y_{H})^{\star} \qquad \text{by (6.18)}$$

$$\ll \operatorname{ht}(\mathbf{L})^{\star} \operatorname{ht}_{\mathcal{L}}(\pi(g))^{\star} \operatorname{vol}_{\mathcal{B}}(Y_{H})^{\star} \qquad \text{by Lemma (6.8)}$$

$$\ll \operatorname{ht}_{\mathcal{L}}(\pi(g))^{\star} \operatorname{ht}_{\mathcal{B}}(Y)^{\star} \qquad \text{by Proposition 4.5.} \qquad (6.19)$$

Also by Proposition 4.1, we have

$$p \ll (\log \operatorname{vol}(Y_H))^2 \ll (\log \operatorname{ht}_{\mathcal{B}}(Y))^{\star}.$$
 (6.20)

Apply Lemma 5.1 with the set of places $\{\infty\}$ and $v = \infty$ to the element $\gamma_0^{-1} \tilde{g}_R \gamma_0$ to obtain some $\gamma_1 \in \mathbf{R}(\mathbb{Q})$ such that

- (a) $\pi(\gamma_0^{-1}\tilde{g}_R\gamma_0\gamma_1) \in SL_d(\mathbb{Z}_q)$ for all primes q, and
- (b) $|\pi((\gamma_0^{-1}\tilde{g}_R\gamma_0\gamma_1)_{\infty})| \ll \operatorname{ht}(\mathbf{R})^{\star} \ll \operatorname{ht}(\mathbf{L})^{\star}$.

Since $\pi(\gamma_0^{-1}\tilde{g}_R\gamma_0)_q = e$ for all $q \notin S$, item (a) above implies that $\pi(\gamma_1)_q \in \mathrm{SL}_d(\mathbb{Z}_q)$ for all $q \notin S$.



Put $\gamma = \gamma_0 \gamma_1 \in \tilde{\mathbf{L}}(\mathbb{Q})$ and write

$$h = (h_S, h_p, (h_q)) := \tilde{g}\gamma = \tilde{g}_H \gamma_0 (\gamma_0^{-1} \tilde{g}_R \gamma_0 \gamma_1) = h'(\gamma_0^{-1} \tilde{g}_R \gamma_0 \gamma_1). \tag{6.21}$$

The above estimates then imply that

- 1. By (a) and $\iota(\varphi(h'_q)) \in SL_N(\mathbb{Z}_q)$ we have $\iota(\varphi(h_q)) \in SL_N(\mathbb{Z}_q)$ for all $q \notin \{\infty, p\}$.
- 2. By (b) and $|\iota(\varphi(\hat{h}'_{\infty}))| \ll \operatorname{ht}(\hat{\mathbf{L}})^{\star}$ we have

$$\begin{split} |\iota(\varphi(h_\infty))| &\ll \operatorname{ht}(\mathbf{L})^{\star} |\iota(\varphi((\gamma_0^{-1}\tilde{g}_R\gamma_0\gamma_1)_\infty))| = \operatorname{ht}(\mathbf{L})^{\star} |\operatorname{Ad}(\pi((\gamma_0^{-1}\tilde{g}_R\gamma_0\gamma_1)_\infty))| \\ &\ll_{\mathcal{B}} \operatorname{ht}(\mathbf{L})^{\star} |\pi((\gamma_0^{-1}\tilde{g}_R\gamma_0\gamma_1)_\infty)| \ll \operatorname{ht}(\mathbf{L})^{\star}. \end{split}$$

3. For the prime p we have

$$\begin{split} |\iota(\varphi(h_p))| &\leq |\iota(\varphi(h'_p))| \cdot |\mathrm{Ad}(\pi(\gamma_0^{-1}\tilde{g}_R\gamma_0\gamma_1))_p)| \\ &\ll_{\mathcal{B}} |\iota(\varphi(h'_p))| \cdot |\pi((\gamma_0^{-1}\tilde{g}_R\gamma_0\gamma_1)_p)| \\ &\ll \mathrm{ht}(\mathbf{L})^* |\iota(\varphi(h'_p))| & \text{by (a)} \\ &\ll \mathrm{ht}_L(\pi(g))^* \, \mathrm{ht}_{\mathcal{B}}(Y)^* & \text{by (6.19)}. \end{split}$$

Let now $q \notin S \cup \{p\}$. Then $\hat{g}_q = \iota(\varphi(\tilde{g}_q)) = e$ and hence we have $\iota(\varphi(\gamma_q)) = \iota(\varphi(h_q)) \in \mathrm{SL}_N(\mathbb{Z}_q)$ by (1). This means $\iota(\varphi(\gamma)) \in \mathrm{SL}_N(\mathbb{Z}_{S \cup \{p\}})$.

Lastly, if $p \notin S$, we have again $\hat{g}_p = e$, therefore $\iota(\varphi(\gamma_p)) = \iota(\varphi(h_p))$ and (3) above gives the desired bound on $\iota(\varphi(\gamma_p))$.

The above proof actually gives the following stronger statement.

Theorem 6.4 There exists some κ_{18} so that the following holds. Let \mathbf{L} be any \mathbb{Q} -subgroup of SL_d with $\mathrm{R}(\mathbf{L}) = \mathrm{R}_u(\mathbf{L})$ and let $\tilde{\mathbf{L}}$, (\mathbf{G}, ι) , etc. be as in §6.3. There exists some prime $p \ll \left(\log \operatorname{ht}_{\mathcal{B}}(\hat{Y})\right)^2$ with the following property. Let $g \in \tilde{\mathbf{L}}(\mathbb{Q}_S)$ and write $g = g_H g_R$ where $g_H \in \tilde{\mathbf{H}}(\mathbb{Q}_S)$ and $g_R \in \mathbf{R}(\mathbb{Q}_S)$. There exists some $\gamma_0 \in \tilde{\mathbf{H}}(\mathbb{Q})$ and some $\gamma_1 \in \mathbf{R}(\mathbb{Q})$ with

- $\iota(\varphi(\gamma_0))_q \in \mathrm{SL}_N(\mathbb{Z}_q) \, for \, all \, q \notin S \cup \{p\}$
- $\pi(\gamma_1)_q \in \operatorname{SL}_d(\mathbb{Z}_q)$ for all $q \notin S$
- if $p \notin S$, then $|\iota(\varphi(\gamma_0\gamma_1))_p| \ll \operatorname{ht}_L(g)^{\kappa_{18}} \operatorname{ht}_{\mathcal{B}}(\hat{Y})^{\kappa_{18}}$,

so that if we write $(g, (e)_{\notin S})\gamma_0\gamma_1 = h_H h_R$, where $h_H \in \mathbf{H}(\mathbb{A})$ and $h_R \in \mathbf{R}(\mathbb{A})$, then we have the following estimates.

- 1. $\pi(h_R)_q \in \mathrm{SL}_d(\mathbb{Z}_q)$ for all primes q,
- 2. $|\pi(h_R)_{\infty}| \ll \text{ht}(\mathbf{L})^{\kappa_{18}}$,
- 3. $\iota(\varphi(h_H))_q \in \operatorname{SL}_N(\mathbb{Z}_q)$ for all $q \notin \{\infty, p\}$,
- 4. $|\iota(\varphi(h_H))_{\infty}| \ll \operatorname{ht}(\mathbf{L})^{\kappa_{18}}$, and
- 5. $|\iota(\varphi(h_H))_p| \ll \operatorname{ht}_L(g)^{\kappa_{18}} \operatorname{ht}_{\mathcal{B}}(\hat{Y})^{\kappa_{18}}$.



For any $g \in \tilde{\mathbf{H}}(\mathbb{Q}_S)$, we define

$$ht_H(\pi(g)) := max\{c_S(Ad_H(\pi(g))w)^{-1} : 0 \neq w \in \mathfrak{h}(\mathbb{Z}_S)\},\$$

where $\mathfrak{h} = \text{Lie}(H) \cap \mathfrak{sl}_d(\mathbb{Z}_S)$.

It follows from the definition that $\operatorname{ht}_H(\pi(g)) \leq \operatorname{ht}_L(\pi(g))$ for any $g \in \tilde{\mathbf{H}}(\mathbb{Q}_S)$. Moreover, in view of Lemma 6.2 and Lemma 4.2 we have the following. Let $g \in \tilde{\mathbf{L}}(\mathbb{Q}_S)$ and write $g = g_H g_R$, then

$$\operatorname{ht}_{H}(\pi(g_{H})) \le \operatorname{ht}_{L}(\pi(g_{H})) \ll \operatorname{ht}(\mathbf{L})^{\star} \operatorname{ht}_{L}(\pi(g))^{\star}. \tag{6.22}$$

We also record the following lemma.

Lemma 6.3 Let $g \in \tilde{\mathbf{L}}(\mathbb{Q}_S)$ and write $g = g_H g_R$, then

$$\operatorname{ht}_{H}(\pi(g_{H})) \gg \operatorname{ht}_{\mathcal{B}}(\hat{Y})^{-\star} \operatorname{ht}_{L}(\pi(g_{H}))^{\star} \gg \operatorname{ht}_{\mathcal{B}}(\hat{Y})^{-\star} \operatorname{ht}_{L}(\pi(g))^{\star}.$$

Proof The second estimate follows from Lemma 6.2, Lemma 4.2, and the fact that $ht_{\mathcal{B}}(\hat{Y}) \ge ht(L)$. Thus we only need to show

$$\operatorname{ht}_{H}(\pi(g_{H})) \gg \operatorname{ht}_{\mathcal{B}}(\hat{Y})^{-\star} \operatorname{ht}_{L}(\pi(g_{H}))^{\star}.$$

The proof uses arguments similar to the ones used in the proof of Theorem 6.3; apply Theorem 6.4 with $\mathbf{L} = \mathbf{H}$ to g_H . There exist some $p \ll \left(\log \operatorname{ht}_{\mathcal{B}}(\hat{Y})\right)^2$ and $\gamma_0 \in \tilde{\mathbf{H}}(\mathbb{Q})$ so that

- (i) $Ad_H(\gamma_0)_q \in SL_N(\mathbb{Z}_q)$ for all $q \notin S \cup \{p\}$,
- (ii) if $p \notin S$, then $|\operatorname{Ad}_{H}(\gamma_{0})_{p}| \ll \operatorname{ht}_{H}(\pi(g_{H}))^{\kappa_{18}} \operatorname{ht}_{\mathcal{B}}(\hat{Y})^{\kappa_{18}}$,

and if we put $h_H = (g_H, (e)_{q \notin S}) \gamma_0$, we have the following estimates.

- 1. $Ad(h_H)_q \in SL_N(\mathbb{Z}_q)$ for all $q \notin \{\infty, p\}$,
- 2. $|\operatorname{Ad}(h_H)_{\infty}| \ll \operatorname{ht}(\mathbf{L})^{\kappa_{18}}$, and
- 3. $|\mathrm{Ad}(h_H)_p| \ll \mathrm{ht}_H(\pi(g_H))^{\kappa_{18}} \, \mathrm{ht}_{\mathcal{B}}(\hat{Y})^{\kappa_{18}}$.

Apply Lemma 5.1 with the set of places $\{\infty\}$ and $v = \infty$ to the element $\gamma_0^{-1} \tilde{g}_R \gamma_0$ to obtain some $\gamma_1 \in \mathbf{R}(\mathbb{Q})$ such that

- (a) $\pi(\gamma_0^{-1}\tilde{g}_R\gamma_0\gamma_1) \in SL_d(\mathbb{Z}_q)$ for all primes q, and
- (b) $|\pi((\gamma_0^{-1}\tilde{g}_R\gamma_0\gamma_1)_\infty)| \ll \operatorname{ht}(\mathbf{R})^* \ll \operatorname{ht}(\mathbf{L})^*$.

Since $\pi(\gamma_0^{-1}\tilde{g}_R\gamma_0)_q = e$ for all $q \notin S$, item (a) above implies that $\pi(\gamma_1)_q \in SL_d(\mathbb{Z}_q)$ for all $q \notin S$.

Let us put $h_R = \gamma_0^{-1} \tilde{g}_R \gamma_0 \gamma_1$, so that we have

$$(g,(e))\gamma_0\gamma_1 = (g_Hg_R,(e))\gamma_0\gamma_1 = ((h_H)_S,(h_H)_{q\notin S})((h_R)_S,(h_R)_{q\notin S}).$$

By abuse, we denote the projection of γ_0 , γ_1 onto the *S*-coordinates again by γ_0 , $\gamma_1 \in \tilde{\mathbf{L}}(\mathbb{Q}_S)$.



We have

$$ht_L(g) = \max\{c_S(\mathrm{Ad}_L(\pi(g))w)^{-1} : 0 \neq w \in \mathfrak{l}(\mathbb{Z}_S)\}
= \max\{c_S(\mathrm{Ad}_L(\pi((h_H)_S(h_R)_S)\gamma_1^{-1}\gamma_0^{-1})w)^{-1} : 0 \neq w \in \mathfrak{l}(\mathbb{Z}_S)\}.$$
(6.23)

First, we note that using (1)–(3), (a) and (b) we have

$$c_{S}(\operatorname{Ad}_{L}(\pi((h_{H})_{S}(h_{R})_{S})\gamma_{1}^{-1}\gamma_{0}^{-1})w)^{-1} \ll \operatorname{ht}_{H}(\pi(g_{H}))^{\star} \operatorname{ht}_{\mathcal{B}}(\hat{Y})^{\star}$$

$$c_{S}(\operatorname{Ad}_{L}(\gamma_{1}^{-1}\gamma_{0}^{-1})w)^{-1}. \tag{6.24}$$

Furthermore, using (i), (ii), and the fact that $\pi(\gamma_1)_q \in SL_d(\mathbb{Z}_q)$ for all $q \notin S$, we have

$$\mathsf{c}_{S}(\mathrm{Ad}_{L}(\gamma_{1}^{-1}\gamma_{0}^{-1})w)^{-1} \ll \mathsf{ht}_{H}(\pi(g_{H}))^{\star}\,\mathsf{ht}_{\mathcal{B}}(\hat{Y})^{\star}.$$

This, in view of (6.24) and (6.23), implies that

$$\operatorname{ht}_L(g) \ll \operatorname{ht}_H(\pi(g_H))^* \operatorname{ht}_B(\hat{Y})^*;$$

the proof is complete.

6.4 Uniform lattices

In this section, we discuss the dependence of the above estimates on $\operatorname{ht}_L(g)$ under the assumption that the Levi component, \mathbf{H} , of \mathbf{L} is \mathbb{Q} -anisotropic. We begin with the following lemma which is of independent interest — one could obtain similar estimates using known results towards the Lehmer conjecture, but we provide a homemade argument.

Lemma There exists some $0 < \beta < 1$ depending on $\dim \mathbf{L}$ with the following property. Let $w \in \mathfrak{l}(\mathbb{Z}_S)$ and assume that there exists some $g \in L$ so that $c_S(\mathrm{Ad}_L(g)w) \leq \beta$. Then w is a nilpotent element.

Proof Let $\bar{\sigma}(w)$ be the product of all the *nonzero* eigenvalues of w; if this product is empty, i.e. if w is nilpotent, put $\bar{\sigma}(w)=0$. Note that $\bar{\sigma}(w)\in\mathbb{Q}$ because $\bar{\sigma}(w)$ is invariant under the Galois group of the splitting field of w. Further, since $w\in \mathbb{I}(\mathbb{Z}_S)$, the product formula implies that either $\mathsf{c}_S(\bar{\sigma}(w))\geq 1$ or $\bar{\sigma}(w)=0$. (Here, we also use c_S to denote the function $\mathbb{Q}_S\to\mathbb{R}^+:r\mapsto\prod_{v\in S}|r|_v$.)

Let $\beta > 0$ and assume that $c_S(\mathrm{Ad}_L(g)w) \leq \beta$ for some $g \in L$. There exist some $r \in \mathbb{Z}_S^{\times}$ so that $\|r\mathrm{Ad}_L(g)w\|_v \asymp c_S(\mathrm{Ad}_L(g)w)^*$ for all $v \in S$, see for example [17, Lemma 8.6]. Therefore, all the eigenvalues of $r\mathrm{Ad}_L(g)w$ have v-norm $\ll \beta^*$ for all $v \in S$.

Since $c_S(r) = 1$ and $Ad_L(g)w$ has the same eigenvalues as w, we deduce that $c_S(\bar{\sigma}(w)) \ge 1$ cannot hold when β is small enough; thus, w is nilpotent. \square



Proposition Let the notation be as above; in particular, recall the Levi decomposition $\tilde{\mathbf{L}} = \tilde{\mathbf{H}}\mathbf{R}$ fixed in §6.1. Assume that $\tilde{\mathbf{H}}$ is \mathbb{Q} -anisotropic. Let $g \in \tilde{\mathbf{L}}(\mathbb{Q}_S)$, then

$$\operatorname{ht}_L(\pi(g)) \ll \operatorname{ht}_{\mathcal{B}}(\hat{Y})^{\star}.$$

Moreover, if $\tilde{\mathbf{L}}$ is semisimple, i.e. $\tilde{\mathbf{L}} = \tilde{\mathbf{H}}$, and we assume that $\tilde{\mathbf{L}}$ is \mathbb{Q} -anisotropic, then $\operatorname{ht}_L(\pi(g)) \ll 1$.

Proof Let us write $g = g_H g_R$ where $g_H \in \tilde{\mathbf{H}}(\mathbb{Q}_S)$ and $g_R \in \mathbf{R}(\mathbb{Q}_S)$. Let β be as in the previous lemma applied with \mathbf{H} instead of \mathbf{L} . We claim that $\operatorname{ht}_H(\pi(g_H)) \leq \beta^{-1}$. Indeed, if $\operatorname{ht}_H(\pi(g_H)) > \beta^{-1}$, then by definition there exists a nonzero $w \in \mathfrak{h}(\mathbb{Z}_S)$, such that $c_S(\operatorname{Ad}_H(\pi(g_H))w) < \beta$. The lemma then implies that w is a nilpotent element. Exponentiating w, we get that \mathbf{H} (and hence $\tilde{\mathbf{H}}$) is \mathbb{Q} -isotropic, which is a contradiction. This implies the proposition when $\tilde{\mathbf{L}} = \tilde{\mathbf{H}}$.

Now, for the general case, we apply Lemma 6.3 and the bound we obtained above to obtain

$$\operatorname{ht}_{L}(\pi(g)) \ll \operatorname{ht}_{H}(\pi(g_{H}))^{\star} \operatorname{ht}_{B}(\hat{Y})^{\star} \ll \operatorname{ht}_{B}(\hat{Y})^{\star},$$

as was claimed. □

It is worth mentioning that the proof of the previous proposition when $\tilde{\mathbf{L}}$ is semisimple is independent of Lemma 6.3 and relies only on the lemma proved in this section.

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