

# Proto-neutron star convection and the neutrino-driven wind: implications for the r-process

Brian Nevins<sup>1,2,3★</sup> and Luke F. Roberts<sup>4</sup>

<sup>1</sup>Department of Physics and Astronomy, Michigan State University, East Lansing, MI 48824, USA

<sup>2</sup>Facility for Rare Isotope Beams, Michigan State University, East Lansing, MI 48824, USA

<sup>3</sup>Joint Institute for Nuclear Astrophysics – Center for the Evolution of the Elements (JINA-CEE), East Lansing, MI 48824, USA

<sup>4</sup>Computer, Computational, and Statistical Sciences Division, Los Alamos National Laboratory, Los Alamos, NM 87545, USA

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## ABSTRACT

The neutrino-driven wind from proto-neutron stars is a proposed site for r-process nucleosynthesis, although most previous work has found that a wind heated only by neutrinos cannot produce the third r-process peak. However, several groups have noted that introducing a secondary heating source within the wind can change the hydrodynamic conditions sufficiently for a strong r-process to proceed. One possible secondary heating source is gravito-acoustic waves, generated by convection inside the proto-neutron star. As these waves propagate into the wind, they can both accelerate the wind and shock and deposit energy into the wind. Additionally, the acceleration of the wind by these waves can reduce the total number of neutrino captures and thereby reduce the final electron fraction of the wind. In neutron rich conditions, all of these effects can make conditions more favourable for r-process nucleosynthesis. Here, we present a systematic investigation of the impact of these convection-generated gravito-acoustic waves within the wind on potential nucleosynthesis. We find that wave effects in the wind can generate conditions favourable for a strong r-process, even when the energy flux in the waves is a factor of  $10^{-4}$  smaller than the total neutrino energy flux and the wind is marginally neutron rich. Nevertheless, this depends strongly on the radius at which the waves become non-linear and form shocks. We also find that both entropy production after shock formation and the acceleration of the wind due to stresses produced by the waves prior to shock formation impact the structure and nucleosynthesis of these winds.

**Key words:** convection – nuclear reactions, nucleosynthesis, abundances – waves – stars: neutron – supernovae: general.

## 1 INTRODUCTION

After its formation in a core-collapse supernova, a proto-neutron star (PNS) cools by emitting some  $10^{53}$  erg in neutrino radiation (see Burrows & Vartanyan 2021 for a recent review on supernova theory). After a successful supernova explosion, the PNS is left surrounded by a relatively low-density region and it continues to emit neutrinos. Neutrino interactions deposit sufficient energy in the outer layers of the PNS to unbind some material in a neutrino-driven wind (NDW), first predicted by Duncan, Shapiro & Wasserman (1986). The NDW is powered mainly by charged-current neutrino interactions, which can both heat the material and alter its neutron-to-proton fraction. Depending on the spectrum of the neutrinos emitted by the cooling PNS, the neutrino-driven wind could become either neutron or proton rich. If the wind becomes neutron rich, there is a possibility for the rapidly outflowing gas to undergo r-process nucleosynthesis [e.g. Woosley et al. (1994), Thompson, Burrows & Meyer (2001), Wanajo (2013), and see Arcones & Thielemann (2012) for a recent review].

The material ejected from the PNS begins in nuclear statistical equilibrium, and as it cools begins forming large numbers of  $\alpha$  particles. In a neutron-rich wind, nearly all the protons will be bound

into  $\alpha$  particles when the wind reaches a temperature of  $\approx 5$  GK. Triple- $\alpha$  reactions and subsequent  $\alpha$  captures then form a number of heavy seed nuclei, before charged-particle reactions freeze out as the temperature in the wind continues to drop (Woosley & Hoffman 1992). Free neutrons can then capture on to these seed nuclei as the wind moves outward and produce r-process nuclei (Meyer et al. 1992). The determining factor for whether a strong r-process can take place is the ratio of free neutrons to seed nuclei when seed formation ends. The three primary factors influencing this are the electron fraction, which sets the free neutron abundance; the entropy of the wind during seed formation; and the dynamical time-scale of the wind during seed formation (Hoffman, Woosley & Qian 1997). The influence of the electron fraction is clear: a strong r-process requires an abundance of free neutrons, and specifically, a high neutron-to-seed ratio so that the heaviest elements can be formed. At constant temperature, a higher entropy implies a lower density. The triple- $\alpha$  and neutron-catalyzed triple- $\alpha$  reactions that form the initial seed nuclei are three- and four-body interactions, which scale strongly with density. Thus, a high entropy means that these reactions will be much less efficient, resulting in fewer seeds being formed, and increasing the neutron-to-seed ratio in the wind. Finally, the dynamical time-scale of the wind determines how long seed formation can proceed before charged particle reactions freeze out. A short dynamical time-scale means that fewer seeds will have the chance to form. A sufficiently short dynamical time-scale can also

\* E-mail: [bnevens108@gmail.com](mailto:bnevens108@gmail.com)

compensate for a lower entropy in this way, and allow an r-process to proceed. The cube of the entropy, divided by the dynamical time-scale, has often been used as a criterion for determining r-process feasibility (Qian & Woosley 1996; Hoffman et al. 1997).

The NDW was initially predicted to be neutron rich, and Woosley et al. (1994) found it underwent a strong r-process that closely matched the solar r-process abundance pattern, in large part due to the high entropies found in their calculations. Subsequent work (e.g. Witt, Janka & Takahashi 1994; Qian & Woosley 1996; Otsuki et al. 2000; Thompson et al. 2001) failed to reproduce conditions suitable for a strong r-process, finding entropies significantly lower than Woosley et al. (1994). Later work has explored the impact of other possible physics on the NDW, but has generally shown that, outside of extreme conditions – high PNS mass, unrealistically low electron fractions, or magnetar-strength magnetic fields – a wind heated purely by neutrinos does not reach high enough entropies or short enough dynamical time-scales during seed formation to allow for a strong r-process (e.g. Thompson et al. 2001; Metzger, Thompson & Quataert 2007; Wanajo 2013). The inclusion of corrections from general relativity tends to make conditions more favourable for the r-process, but a very high PNS mass is still required for a strong r-process to proceed (Cardall & Fuller 1997; Otsuki et al. 2000; Thompson et al. 2001). A number of studies have explored the effects of rotation and magnetic fields in varying dimensionality (Metzger et al. 2007; Vlasov, Metzger & Thompson 2014; Thompson & ud Doula 2018; Desai, Siegel & Metzger 2022), further confirming that extreme conditions – high PNS masses and magnetar-strength magnetic fields – are required for conditions to favour an r-process.

Other studies have focused on the electron fraction in the wind, as Hoffman et al. (1997) predicts that a lower  $Y_e$  will allow for strong r-processing with lower entropies. The electron fraction is set by the neutrino physics at work in the wind, which has been studied in increasing detail. Simulations by Fischer et al. (2010) and Hudepohl et al. (2010) found that the neutrino spectrum from the PNS was likely to result in a proton-rich wind, precluding an r-process altogether. Subsequent work by Roberts, Reddy & Shen (2012) and Martínez-Pinedo et al. (2012) found slightly neutron-rich conditions when nuclear mean field effects were included. Later studies from Pllumbi et al. (2015) and Xiong, Wu & Qian (2019) included neutrino oscillation effects, again finding that only proton-rich or slightly neutron-rich conditions were likely to occur in the wind. In short, it seems unlikely that the generally low seed-formation entropy can be compensated by an increased neutron fraction.

Rather, the most promising avenue for a strong r-process in the NDW is to invoke a secondary heating effect that takes place in the seed-forming region of the wind (Qian & Woosley 1996). Suzuki & Nagataki (2005) proposed damped Alfvén waves as a source for this heating, finding that waves generated by magnetar-strength magnetic fields could deposit sufficient energy in this region to predict a strong r-process. Metzger et al. (2007) also suggested that a small amount of additional heating from acoustic waves, deposited in the seed-forming region, could drive a strong r-process independent of magnetorotational effects. More recently, Gossan, Fuller & Roberts (2020) suggested that gravito-acoustic waves generated by PNS convection could have an important effect on the dynamics of the NDW. Most recently, supernova simulations by Nagakura et al. (2020) and Nagakura, Burrows & Vartanyan (2021) indicate that such convection is a common and significant feature across a broad range of progenitors, so convection-driven effects in the wind are likely to be important in most supernovae. They find that PNS convection is strongest in the first 1–2 seconds post-bounce, then

gradually subsides. Gravito-acoustic wave heating is therefore likely to operate in the early stages of the NDW, when it is most likely to be neutron rich (e.g. Roberts et al. 2012). These effects are powered by the gravitational contraction of the PNS, which provides an energy reservoir of some  $10^{53}$  erg during contraction and deleptonization (Gossan et al. 2020). Even a small fraction of this binding energy coupling to the wind via wave emission could have a significant impact.

In light of this, we present here a systematic parameter study of the effects of convection-driven gravito-acoustic waves on the dynamics and nucleosynthetic behaviour of the NDW. These waves are excited by convective motions in the PNS as internal gravity waves, which tunnel through the PNS atmosphere and emerge as acoustic waves in the NDW itself. As they propagate through the wind, these waves provide an additional source of stress, driving a faster outflow. They can also shock, efficiently depositing their energy into the wind and acting as a secondary heat source. Our objective in this paper is to determine the conditions in which a strong r-process can take place when the effects of these waves are included. To this end, we assume a spherically symmetric and slightly neutron-rich wind and investigate the impact of varying the energy contained in the waves reaching the wind region, as well as the frequency of the waves, which impacts the radius of shock formation and their subsequent rate of energy deposition.

The paper is structured as follows. Section 2 outlines the physics behind the generation of these gravito-acoustic waves, and how they deposit energy into the wind. Section 3 describes the equations used to model the wind, and Section 4 describes the computational method we use for running the simulations. In Section 5, we present our results. Our results show that r-processing will take place in significant regions of the parameter space, for both fiducial and extreme PNS conditions.

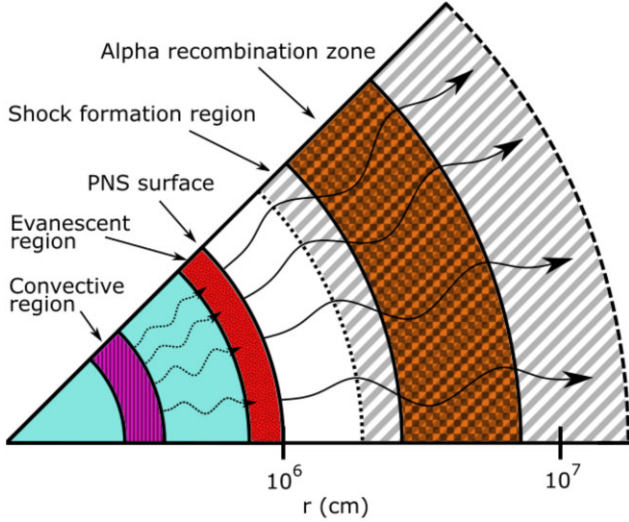
## 2 GRAVITO-ACOUSTIC WAVES AS A SECONDARY HEATING SOURCE

Shortly after core collapse ( $\sim 200$  ms), a convective region develops in the outer mantle of the proto-neutron star (Dessart et al. 2006; Gossan et al. 2020). Turbulent convection will excite gravito-acoustic waves from the interface between the interior convective region and an exterior radiative region. Both gravity wave modes and acoustic modes will be excited, in addition to non-propagating modes, but due to the Mach number dependence of the wave excitation, the energy flux will be dominated by waves in the gravity wave branch (Goldreich & Kumar 1990). The emitted gravity wave luminosity is expected to be

$$L_g \approx M_{\text{con}} L_{\text{con}} \approx M_{\text{con}} L_{v,\text{tot}}, \quad (1)$$

where  $L_{\text{con}}$  and  $M_{\text{con}}$  denote the convective luminosity and Mach number, respectively. Furthermore, the convective and total neutrino luminosities  $L_{\text{con}}$  and  $L_{v,\text{tot}}$  should be approximately equal, as convection is expected to be efficient in the PNS mantle and will carry the majority of the energy flux.  $M_{\text{con}}$  is expected to fall between  $10^{-2}$  and  $10^{-1}$  (Dessart et al. 2006; Gossan et al. 2020). Some fraction of the power emitted in gravity waves may propagate from the convective region, through the isothermal atmosphere where the waves will pass through an evanescent region, and into the wind where they will emerge as acoustic waves that can impact the dynamics of the NDW. A schematic of the wave propagation and dissipation in and around the PNS is shown in Fig. 1.

Gravity waves are emitted from this convective region with frequencies of  $\omega \sim 10^2 - 10^4$  rad s $^{-1}$  (Dessart et al. 2006; Gossan



**Figure 1.** An approximate schematic of gravito-acoustic wave emission and propagation inside and near the PNS. Gravity waves (dashed) are generated by the convective region, attenuate in the evanescent region, and re-emerge as acoustic waves (solid) near the surface of the PNS. They then propagate outwards through the wind until they form shocks and dissipate. The region of possible shock formation and heat deposition overlaps with the  $\alpha$ -forming region. If the waves shock before or during  $\alpha$  recombination, the additional heating will inhibit seed formation, making a strong r-process more likely.

et al. 2020). During the NDW phase of PNS evolution, the convective region is expected to be fairly close to the surface of the PNS (Pons et al. 1999). The atmosphere of the PNS is nearly isothermal due to neutrino interactions (e.g. Qian & Woosley 1996) that results in a Brunt–Väisälä frequency,  $\omega_{BV}$ , that is slowly varying with radius up to the point at which the wind is launched. Based on the models described in Section 5.1 and the models of Roberts & Reddy (2017),  $\omega_{BV} \sim 5 \times 10^4 \text{ s}^{-1}$ . The Lamb frequency in this region is  $\omega_L \sim c_s/r \sim 10^3 \text{ s}^{-1}$ . Therefore, the waves excited by convection will be evanescent through the PNS atmosphere and emerge into the acoustic branch as the density rapidly falls off in the wind region.

Using the models of the NDW described in Section 5.1 with no heating and employing the WKB approximation as described in Gossan et al. (2020), we find that for a Gaussian distribution of frequencies centred at  $10^3 \text{ s}^{-1}$ , with a standard deviation of  $10^2 \text{ s}^{-1}$ , and angular modes ranging from  $\ell = 1$  to  $\ell = 6$  (assuming equal power in each mode), the average transmission efficiency is  $\mathcal{T}_{\text{avg}} = 0.11$ . The transmission efficiency ranges between  $\mathcal{T}_{\text{avg}} \sim 0.01 - 0.2$  for a wide range of mean wave frequencies. Rather than try to model this wave transmission in detail, we allow for transmission efficiencies in this range and take  $L_w \sim M_{\text{con}} \mathcal{T} L_{\nu, \text{tot}} \sim 10^{-5} - 10^{-2} L_{\nu, \text{tot}}$ . Although the work of Gossan et al. (2020) considered wave propagation in the pre-explosion supernova environment before a NDW had formed, their results for the transmission efficiency are similar to this range of estimates of the transmission efficiency for the post-explosion phase. We do not track the evanescent region in our models, but rather assume the waves have an acoustic character throughout the wind.

The total power of net neutrino heating in the wind is only (Qian & Woosley 1996)

$$\frac{\dot{Q}_v}{L_{\nu, \text{tot}}} \sim 1.5 \times 10^{-4} L_{\nu, 51}^{2/3} R_6^{2/3} \left( \frac{1.4 M_\odot}{M_{\text{NS}}} \right), \quad (2)$$

with  $M_{\text{NS}}$  being the PNS mass,  $R_6$  being the PNS radius in units of  $10^6 \text{ cm}$ ,  $L_{\nu, 51}$  being the electron antineutrino luminosity in units of  $10^{51} \text{ erg s}^{-1}$ , and assuming an average neutrino energy of  $12 \text{ MeV}$ . Therefore, based on energetic arguments alone it is clear that the presence of these gravito-acoustic waves is likely to have a significant impact on the dynamics of the wind. There are two ways in which the waves can affect the wind. First, even in the linear regime, the waves will act as a source of stress in the wind (e.g. Jacques 1977) and accelerate the wind. Secondly, as the waves become non-linear, they will shock and dissipate their energy into heat. By changing the NDW dynamics, both of these effects can alter the nucleosynthetic yields of the wind. A faster outflow reduces the time available for carbon production to occur and will result in a more alpha-rich freeze out (Hoffman et al. 1997). More heating, if it occurs before alpha recombination, will increase the entropy of the wind and make alpha recombination occur at a lower density, also leading to a more alpha-rich freeze-out. An additional source of heat or kinetic energy will also reduce the amount of neutrino capture heating required to unbind the wind material, which will in turn lower the equilibrium electron fraction of the wind. In neutron-rich conditions, all of these effects will result in more favourable conditions for r-process nucleosynthesis.

### 3 WIND MODEL

To model the neutrino-driven wind, we solve the equations of general relativistic hydrodynamics in spherical symmetry in steady state. The background metric is assumed to be Schwarzschild sourced by the mass of the PNS  $M_{\text{NS}}$ , i.e. we neglect self-gravity. These equations are then augmented by a model equation for the evolution of the wave action and its coupling to the background flow, derived following Jacques (1977). We seek trans-sonic solutions of the wind equations, so we place the momentum equation in critical form (Thompson et al. 2001). With these assumptions, the equations of continuity, momentum conservation, entropy ( $s$ ), lepton number conservation, and wave action ( $S$ ) evolution give

$$\begin{aligned} \dot{M}_{\text{NS}} &= 4\pi r^2 e^\Lambda W v \rho, \\ \frac{dv}{dr} &= \frac{v}{r} \frac{f_2}{f_1}, \\ \frac{ds}{dr} &= \frac{\xi_s}{r}, \\ \frac{dY_e}{dr} &= \frac{\xi_{Y_e}}{r}, \\ \frac{dS}{dr} &= -S \left( \frac{2}{r} + \frac{1}{l_d} + \frac{1}{v_g} \frac{dv_g}{dr} \right), \end{aligned} \quad (3)$$

where

$$\begin{aligned} f_1 &= \left( 1 - \frac{v^2}{c_s^2} \right) + \delta f_1, \\ f_2 &= -\frac{2}{W^2} + \frac{GM_{\text{NS}}}{c_s^2 r} \frac{1 - \left( \frac{c_s}{c} \right)^2}{e^{2\Lambda} W^2} \\ &\quad + \frac{1}{W^2 h \rho c_s^2} \left[ \xi_s \left( \frac{\partial P}{\partial s} \right)_{\rho, Y_e} + \xi_{Y_e} \left( \frac{\partial P}{\partial Y_e} \right)_{\rho, s} \right] + \delta f_2, \\ \xi_s &= \frac{r}{v} \frac{\dot{q}_{\text{tot}}}{e^\Lambda W T}, \\ \xi_{Y_e} &= \frac{r}{v} \frac{\dot{Y}_e}{e^\Lambda W}. \end{aligned} \quad (4)$$

The wave action  $S$  is connected to the wave luminosity  $L_w$  via

$$S = \frac{L_w}{4\pi r^2 c_s \omega}. \quad (5)$$

Here,  $r$  is the distance of the from the centre of the PNS, and  $v$  is the radial velocity of the wind. The total heating rate per baryon is  $\dot{q}_{\text{tot}} = \dot{q}_v + \dot{q}_w$ , where the first term is due to neutrino heating and cooling (see Qian & Woosley 1996) while the second term is due to wave damping.  $\dot{Y}_e$  is the rate of change in the electron fraction of the wind due to neutrino reactions (see Qian & Woosley 1996). The wave damping length and frequency are  $l_d$  and  $\omega$  ( $\dot{q}_w$ ,  $l_d$ , and  $\omega$  are discussed in Section 3.2).  $T$ ,  $c_s$ ,  $\rho$ , and  $h$  denote the local temperature, sound speed, density, and enthalpy, respectively.  $W$  is the Lorentz factor, and  $v_g = v + c_s$  represents the group velocity of the waves.  $G$  and  $c$  represent the gravitational constant and the speed of light, and  $e^\Lambda = \sqrt{1 - \frac{2GM_{\text{NS}}}{rc^2}}$ . Corrections from the wave stress are denoted by  $\delta f_1$  and  $\delta f_2$  (see Section 3.1). Without the wave action terms, this system is the same as that of Thompson et al. (2001). We employ the equation of state of Timmes & Swesty (2000), which assumes the wind is made up of free protons, neutrons, electrons, positrons, and thermal photons. We search for solutions of these equations that pass through the critical or transonic point where  $f_1$  and  $f_2$  pass through zero at the the same radius.

### 3.1 Wave stress

Even in the absence of damping, waves in a stellar atmosphere still exert a force on the medium through which they move. This effect is calculated using the wave action, and adds an extra stress to the momentum equation (e.g. Jacques 1977; Suzuki & Nagataki 2005). For simplicity, we derive these corrections in the non-relativistic limit.

In the absence of wave stress, the non-relativistic momentum equation for this system is

$$v \frac{\partial v}{\partial r} = -\frac{1}{\rho} \frac{\partial P}{\partial r} - \frac{GM_{\text{NS}}}{r^2}. \quad (6)$$

Combining this with the other conservation equations yields the non-relativistic critical form equation

$$\left( v^2 - c_s^2 \right) \frac{dv}{dr} = \frac{v}{r} \left( 2c_s^2 - \frac{GM_{\text{NS}}}{r} \right) - \frac{v}{\rho} \left[ \left( \frac{\partial P}{\partial s} \right)_{\rho, Y_e} \frac{ds}{dr} + \left( \frac{\partial P}{\partial Y_e} \right)_{\rho, s} \frac{dY_e}{dr} \right] \quad (7)$$

from which we extract the non-relativistic forms of  $f_1$  and  $f_2$ :

$$f_1 = 1 - \left( \frac{v}{c_s} \right)^2$$

$$f_2 = \frac{GM_{\text{NS}}}{c_s^2 r} - 2 + \frac{r}{\rho c_s^2} \left[ \left( \frac{\partial P}{\partial s} \right)_{\rho, Y_e} \frac{ds}{dr} + \left( \frac{\partial P}{\partial Y_e} \right)_{\rho, s} \frac{dY_e}{dr} \right]. \quad (8)$$

The non-relativistic momentum equation including corrections from wave propagation is (Jacques 1977)

$$\rho v \frac{dv}{dr} + \frac{d}{dr} (P + a_1 \mathcal{E}) + \frac{\mathcal{E}}{A} \frac{dA}{dr} + \rho \frac{GM_{\text{NS}}}{r^2} = 0 \quad (9)$$

where  $\mathcal{E} = \frac{c_s}{v_g} \omega S$  is the energy density of the waves and  $A = 4\pi r^2$ . Combined with the other conservation equations, this yields a revised

version of the critical form equation:

$$\left[ v^2 - c_s^2 + \frac{a_1 \mathcal{E} v}{\rho v_g} \left( A_\rho \frac{c_v}{v} - 2 \right) \right] \frac{dv}{dr} = \frac{v}{r} \left( 2c_s^2 - \frac{GM_{\text{NS}}}{r} \right) - \frac{v}{\rho} \left[ \left( \frac{\partial P}{\partial s} \right)_{\rho, Y_e} \frac{ds}{dr} + \left( \frac{\partial P}{\partial Y_e} \right)_{\rho, s} \frac{dY_e}{dr} \right] + \frac{a_1 \mathcal{E} v}{\rho r} \left[ -2A_\rho \frac{c_v}{v_g} + X_E A_s \frac{c_v}{v_g} + 2 - \frac{2}{a_1} + \frac{r}{l_d} \right], \quad (10)$$

with  $a_1 = \frac{1}{2}(\gamma + 1)$ ,  $c_v = c_s - v$ ,  $X_E = \frac{r}{s} \xi_s$ ,  $A_\rho = \left( \frac{\partial \ln c_s}{\partial \ln \rho} \right)_s$ , and  $A_s = \left( \frac{\partial \ln c_s}{\partial \ln s} \right)_\rho$ . In the wave action terms, we have assumed a constant adiabatic index  $\gamma$ . Note that all terms from equation (7) are present, with an additional correction term on each side. This allows us to define corrections to the original  $f_1$  and  $f_2$  functions in equation (8):

$$\delta f_1 = \frac{a_1 \mathcal{E}}{\rho c_s^2} \left( 2 \frac{v}{v_g} - A_\rho \frac{c_v}{v_g} \right)$$

$$\delta f_2 = -\frac{a_1 \mathcal{E}}{\rho c_s^2} \left[ \left( A_s \chi_e - 2A_\rho \right) \frac{c_v}{v_g} + 2 \left( 1 - \frac{1}{a_1} \right) + \frac{r}{l_d} \right]. \quad (11)$$

These corrections are then applied to the fully relativistic  $f_1$  and  $f_2$  in equation (4).

### 3.2 Wave heating

Acoustic waves propagating in the wind can become non-linear and shock heat the wind. We model this shock heating via an effective damping length prescription. Wave heating will only begin when the waves steepen into shocks and begin to dissipate their energy. Mihalas & Mihalas (1984) provides an integral expression for the radial distance at which this takes place:

$$\frac{1}{4}(\gamma + 1)c_s^{-1} \int_0^r u_0(r') dr' = \frac{\pi c_s}{2\omega}, \quad (12)$$

where  $u_0 = \sqrt{\frac{\omega S}{\rho}}$  is the amplitude of the velocity perturbation of the waves and  $\gamma$  is the adiabatic index of the background material. Here and elsewhere,  $\omega$  represents the angular frequency (in the lab frame) of the waves. We then find the condition for shock formation to be

$$\int_0^r \sqrt{\frac{\omega S}{\rho}} dr' = \frac{2\pi c_s^2}{\omega(\gamma + 1)}. \quad (13)$$

In the weak shock limit (e.g. Mihalas & Mihalas 1984), the energy density of the waves  $\epsilon_s$  evolves as

$$\nabla \cdot (v_g \epsilon_s) = -\frac{m}{\pi} \omega \epsilon_s, \quad (14)$$

where  $m = (v/c_s)^2 - 1$  is the reduced Mach number. In a static homogeneous background, the shock can be modelled as a simple saw-tooth wave, with energy density

$$\epsilon_s = \frac{\gamma P m^2}{3(\gamma + 1)^2}. \quad (15)$$

In the weak shock limit, we take  $\epsilon_s = S/\omega$ , which allows us to find an expression for  $m$  in terms of local quantities. Combining the wave action evolution in equations (3) and (14), and assuming a constant  $\omega$ , we find the dissipation length

$$l_d = \frac{\pi \gamma^2}{\gamma + 1} \left( \frac{c_s^2 \epsilon}{3\omega^3 S} \right)^{1/2}, \quad (16)$$



where  $\epsilon$  represents the energy density of the wind, excluding rest mass. Once the condition in equation (13) is met, the waves will deposit energy into the wind at a rate

$$\dot{q}_w = \frac{L_w}{4\pi r^2 \rho l_d} = \frac{c_s}{\rho l_d} \omega S. \quad (17)$$

### 3.3 Reverse shock

As the wind expands outwards, it will eventually collide with slow-moving material behind the primary supernova shock, causing a reverse shock in the wind (Arcones, Janka & Scheck 2007). The radius at which this happens will depend on the dynamics of the explosion, and we treat it as a free parameter with the value  $5 \times 10^8$  cm. The relativistic Rankine-Hugoniot shock conditions then determine the conditions of the post-shock wind:

$$\begin{aligned} v_1 \rho_1 W_1 &= v_2 \rho_2 W_2, \\ W_1^2 h_1 \rho_1 v_1^2 + P_1 &= W_2^2 h_2 \rho_2 v_2^2 + P_2, \\ W_1 h_1 &= W_2 h_2, \end{aligned} \quad (18)$$

with all quantities defined as previously, and the subscripts 1 and 2 denoting pre- and post-shock conditions respectively. We treat the post-shock outflow behaviour following Arcones & Thielemann (2012): for the first second post-shock, density is held constant, with velocity dropping as  $r^2$ . After the first second, velocity is held constant with density dropping as  $r^2$  for the rest of the outflow.

## 4 COMPUTATIONAL METHOD

In order to circumvent the critical point singularity in equation (3), we introduce a dimensionless integration variable  $\psi$  such that

$$\begin{aligned} \frac{d \ln r}{d\psi} &= f_1, \\ \frac{d \ln v}{d\psi} &= f_2, \\ \frac{d \ln T}{d\psi} &= \frac{f_1 r}{T} \left[ \left( \frac{\partial T}{\partial s} \right)_\rho \frac{ds}{dr} + \left( \frac{\partial T}{\partial \rho} \right)_s \frac{d\rho}{dr} \right], \\ \frac{d \ln Y_e}{d\psi} &= f_1 \xi_{Y_e}, \\ \frac{d \ln S}{d\psi} &= -f_1 r \left[ \frac{2}{r} + \frac{1}{l_d} + \frac{1}{v + c_s} \left( \frac{dv}{dr} + \frac{dc_s}{dr} \right) \right], \\ \frac{dI}{d\psi} &= \sqrt{\frac{\omega S}{\rho}} f_1 r, \end{aligned} \quad (19)$$

with  $f_1$  and  $f_2$  defined as previously. We recast the entropy evolution into a temperature evolution equation, as our EOS is formulated in terms of the Helmholtz free energy. The integral in equation (13) is converted to a similar form, with  $I = \int_0^r \sqrt{\frac{\omega S}{\rho}} dr'$ . Because our system of equations is relatively stiff, we use an interpolation function between an infinite dissipation length (i.e. no shock heating) and the physical value in equation (16) to control the activation of shock heating in the wind.

The six differential equations in equation (19) are integrated with respect to  $\psi$  using a fourth-order SDIRK method (Kennedy & Carpenter 2016), with the wind dynamics adjusted at the appropriate points for the reverse shock and subsequent outflow. The starting radius is set to be the surface of the neutron star (fixed at  $r_0 = 1 \times 10^6$  cm), and the starting density is set to be the surface

density of the neutron star (fixed at  $\rho_0 = 1 \times 10^{12}$  g/cm<sup>3</sup>). We assume the wind begins in heating-cooling equilibrium, which fixes the starting temperature and electron fraction (see Qian & Woosley 1996). The starting value for the wave action is determined by the wave luminosity per equation (5), which we treat as a fraction of neutrino luminosity and a free parameter. The initial wind velocity is also treated as a free parameter, and maps directly to the PNS mass-loss rate  $\dot{M}_{\text{NS}} = 4\pi r_0^2 W e^\Lambda v_0 \rho_0$ .

In order to find the critical (or transonic) solution of the wind equations,  $f_1$  and  $f_2$  must pass through zero at the same radius. We use a shooting method to determine the critical mass-loss rate (i.e.  $v_0$ ) for a given parameter set via a one-dimensional rootfinder. We map a given  $v_0$  to the value of  $\max\{f_1, 0\} - \max\{f_2, 0\}$  at the minimum radius for which  $f_1$  passes through zero, for the profile that is generated by that specific  $v_0$ . The root of this function is the critical velocity, for which  $f_1$  and  $f_2$  pass through zero simultaneously. Once the critical velocity (or mass-loss rate) is found, the full integration is run to a maximum radius of  $10^{10}$  cm. The sensitivity of the evolution equations makes it numerically impossible to actually generate the critical solution, as every solution appears as either a breeze solution or an unphysical one that returns to the initial radius, even when  $v_0$  is obtained to machine precision. To circumnavigate this issue, we assign  $f_1$  and  $f_2$  to their absolute values for the full integration. This produces the correct behaviour to machine precision for the transonic solution.

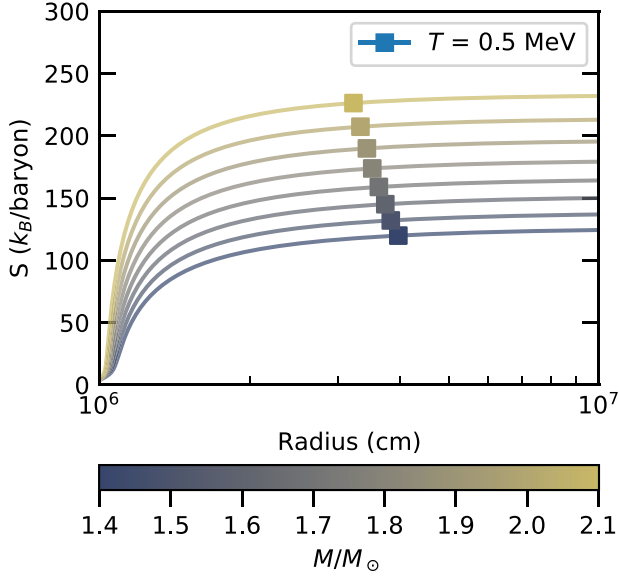
The temperature and density versus time for a Lagrangian observer are then extracted from the resulting steady state wind profile (and extended to late times with a  $t^{-3}$  power law). These profiles are then used to perform calculations of nucleosynthesis using the nuclear reaction network code SkyNet (Lippuner & Roberts 2017). The reaction network calculations include strong, weak, symmetric fission, and spontaneous fission reactions, with inverse reactions calculated via detailed balance.

The input parameters for our models are the PNS mass ( $M_{\text{NS}}/M_\odot \in [1.4, 2.1]$ ), the total neutrino luminosity ( $L_\nu \in [3 \times 10^{52}, 1.2 \times 10^{53}]$  erg s<sup>-1</sup>), and the wave luminosity as a fraction of total neutrino luminosity ( $L_w/L_\nu \in [10^{-5}, 10^{-2}]$ ). We also examine the impact of different wave frequencies in the range of  $10^2$  to  $10^4$  s<sup>-1</sup>. We assume that the neutrinos have equal luminosities in all flavours, a zero chemical potential Fermi-Dirac spectrum, and the average electron neutrino energy is fixed at 12 MeV as measured at the surface of the PNS. The average electron antineutrino energy is chosen such that the equilibrium electron fraction of the wind,  $Y_{e,\text{eq}} = \lambda_{\nu_e}/(\lambda_{\nu_e} + \lambda_{\bar{\nu}_e})$ , takes a target value (see Qian & Woosley 1996), where  $\lambda_{\nu_e}$  and  $\lambda_{\bar{\nu}_e}$  are the electron neutrino and antineutrino capture rates, respectively. We primarily consider  $Y_{e,\text{eq}} = 0.48$ , unless otherwise noted. Relativistic corrections are included in the neutrino capture rates as in Thompson et al. (2001).

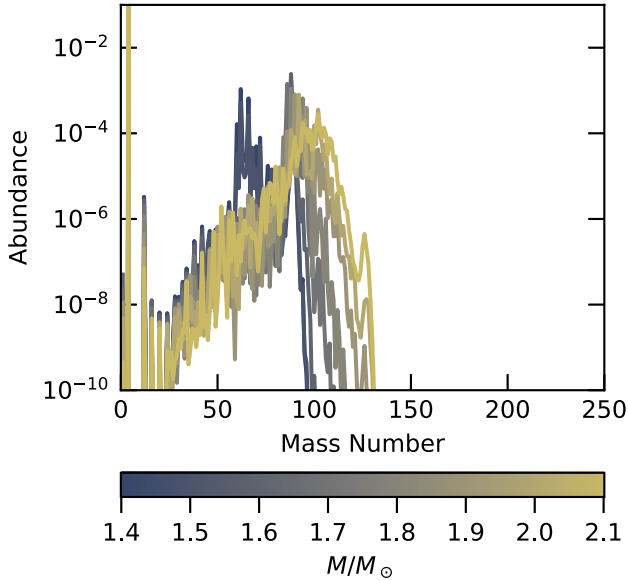
## 5 RESULTS

### 5.1 Models without wave heating

In the absence of wave contributions (i.e.  $L_w = 0$ ), we find general agreement with prior work regarding the hydrodynamic structure of the wind (e.g. Thompson et al. 2001; Wanajo 2013). These models serve as a baseline for comparison with the wave heating models shown in subsequent sections. Fig. 2 shows a set of radial entropy profiles for varied PNS masses with a fixed neutrino luminosity of  $6 \times 10^{51}$  erg s<sup>-1</sup>. Increased PNS mass leads to overall higher entropies throughout the wind (Qian & Woosley 1996), which de-



**Figure 2.** Entropy versus radius for PNSs of varying mass, with a fixed total neutrino luminosity of  $6 \times 10^{51} \text{ erg s}^{-1}$ , and  $L_w = 0$ . We find comparable behaviour to Wanajo (2013). The approximate beginning of seed formation for each model is marked with a square.



**Figure 3.** Final abundances in the absence of wave effects (i.e.  $L_w = 0$ ), with  $L_\nu = 6 \times 10^{51} \text{ erg s}^{-1}$  and PNS masses ranging from 1.4–2.1  $M_\odot$ . We find that no r-processing takes place for proto-neutron stars of reasonable masses with  $Y_{e,\text{eq}} = 0.48$ , when wave effects are excluded.

creases the efficiency of seed formation and brings conditions closer to those required for an r-process. The included general relativistic corrections to the wind equations increase the entropy as expected (Cardall & Fuller 1997; Thompson et al. 2001). Nucleosynthesis results for these NDW profiles assuming  $Y_{e,\text{eq}} = 0.48$  are shown in Fig. 3. For these models without gravito-acoustic wave heating, the electron fraction at  $T = 0.5 \text{ MeV}$  is nearly equal to the chosen  $Y_{e,\text{eq}}$ . In contrast to Wanajo (2013), we find that even for the highest neutron star masses, no r-processing takes place in these winds.

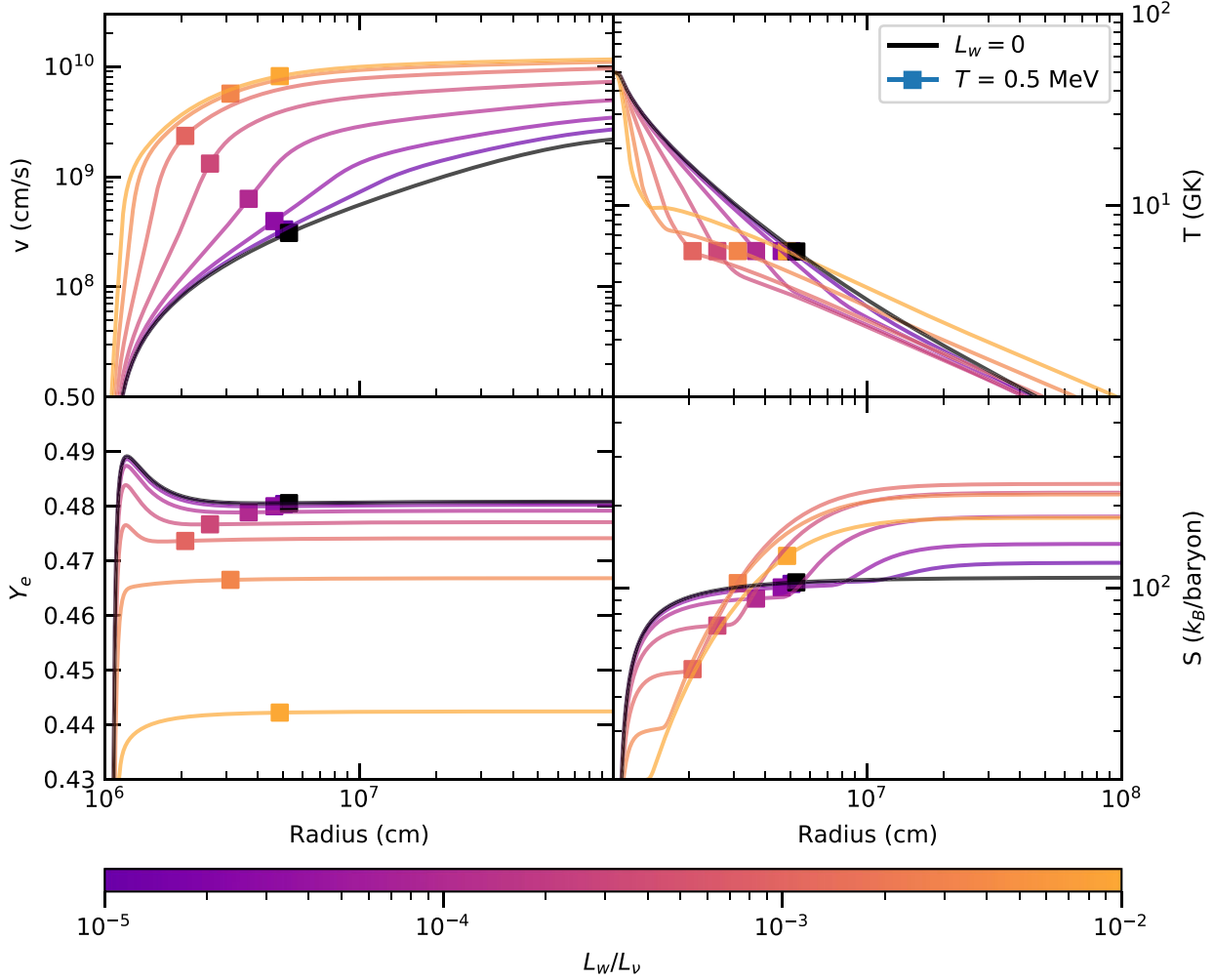
## 5.2 The Impact of gravito-acoustic waves on the NDW

### 5.2.1 Wind dynamics

We now consider the impact of gravito-acoustic waves on the dynamics of the NDW. As is described above, the presence of these waves in the wind can accelerate the NDW by purely mechanical effects and can deposit heat in the wind once the waves shock. Since  $L_w$  should scale with  $L_\nu$  (see Section 2), we present our results in terms of the ratio  $L_w/L_\nu$ . In Fig. 4, properties of steady state NDW models with  $L_\nu = 3 \times 10^{52} \text{ erg s}^{-1}$ ,  $Y_{e,\text{eq}} = 0.48$ ,  $M_{\text{NS}} = 1.5 M_\odot$ ,  $\omega = 2 \times 10^3 \text{ rad s}^{-1}$ , and varied  $L_w/L_\nu$  are shown. Results for  $M_{\text{NS}} = 1.9 M_\odot$  are qualitatively similar, albeit with higher final entropies. Seed formation begins approximately when the temperature in the wind drops to  $T = 0.5 \text{ MeV}$  (Qian & Woosley 1996), which is marked in figures with a square. Clearly, above  $L_w/L_\nu \approx 10^{-5}$ , the inclusion of wave effects has a significant impact on the dynamics of the wind. Although  $L_w$  in these models is a relatively small fraction of the total neutrino luminosity, it is a large fraction of the neutrino energy that couples to the wind,  $\dot{Q}_\nu$  (see equation 2). At small radii, before the waves shock, they accelerate the NDW but do not provide any heating. This results in increasing velocities with  $L_w/L_\nu$ , and therefore lower densities at a given radius by the relation  $\dot{M}_{\text{NS}} = 4\pi r^2 e^\Lambda W \rho v$ . Additionally, since the acceleration of the wind is no longer provided solely by neutrino heating, the amount of neutrino heating that occurs is lowered, which results in both lower entropies before the wave-heating activation radius, and in lower electron fractions at all points in the wind. As the wave contribution increases, fewer neutrino captures are required to unbind material from the potential well of the PNS and the NDW is accelerated to higher velocities at smaller radii. Both of these effects work to reduce the number of weak interactions in the wind and prevent the electron fraction in the wind from reaching  $Y_{e,\text{eq}}$ , which results in more neutron-rich conditions at the beginning of nucleosynthesis. The changes in  $Y_e$  begin prior to the waves forming weak shocks, indicating that the wave stress, rather than shock heating, is the primary contributor. These effects will therefore be present regardless of any uncertainty in the shock heating mechanism. We observe a spike in  $Y_e$  at small radii due to electron–positron capture when degeneracy is lifted at high temperatures. The electron fraction then relaxes towards  $Y_{e,\text{eq}}$ , but may not reach it due to the wave contributions.

Subsequent to the waves shocking, the entropy rapidly increases in all models. Shock formation occurs at temperatures between 2 and 10 GK depending on  $L_w/L_\nu$  (and  $\omega$ , see Fig. 5). The extra entropy production provided by  $\dot{q}_w$  is large compared to neutrino heating because of the low temperatures at which it occurs compared to the temperatures where the bulk of the neutrino heating takes place ( $\sim 30 \text{ GK}$  in our simulations). For the largest  $L_w/L_\nu$ , the entropy can reach asymptotic values of 300, which is quite large compared to even the largest entropies found for models that do not experience wave heating (see Section 5.1). Nevertheless, a significant amount of the entropy production occurs during or after the temperatures over which seed nuclei for the r-process are produced ( $\sim 2\text{--}8 \text{ GK}$ ). Therefore, estimating the likelihood of r-process nucleosynthesis from the often used metric  $s^3/\tau_d$  (see Hoffman et al. 1997) is difficult as  $s$  is no longer nearly constant while seed production occurs. Before the shock formation radius, the waves reduce both  $s$  and  $\tau_d$ .<sup>1</sup> This can hinder or abet an alpha-rich freeze-out depending on the relative strength of these two effects. After shock formation,

<sup>1</sup>We define the dynamical time-scale  $\tau_d$  at a given point in the wind as  $T/\dot{T}$ , similar to the  $r/\dot{r}$  used by Hoffman et al. (1997).



**Figure 4.** Radial profiles of the velocity, density, temperature, and entropy in the NDW. Different lines correspond to different  $L_w$ . Other parameters in the wind models were fixed to  $M_{\text{NS}} = 1.5 M_\odot$ ,  $L_v = 3 \times 10^{52} \text{ erg s}^{-1}$ , and  $\omega = 2 \times 10^3 \text{ rad s}^{-1}$ . The beginning of seed formation for each model is marked a square.

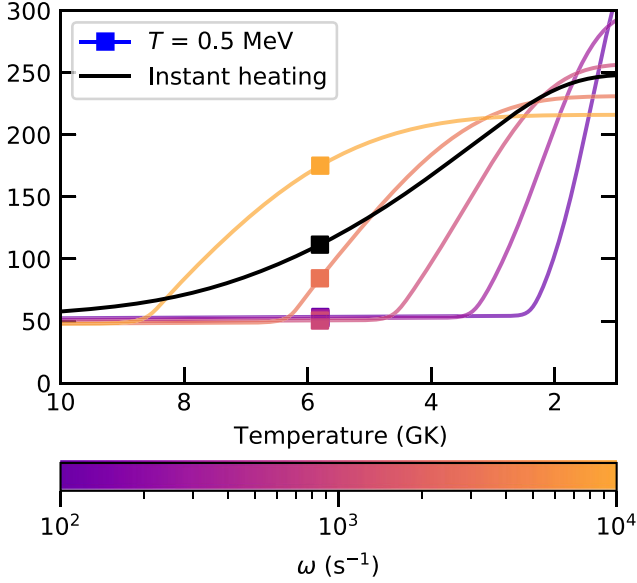
$s$  is increased relative to the  $L_w = 0$  case, but potentially at temperatures that are too low to impact the alpha-richness of the NDW. Therefore, to better understand the impact of gravito-acoustic wave heating on the wind, detailed nucleosynthesis calculations are required.

The radius at which the waves shock and the rate at which they damp will depend on their frequency content, with the shock formation radius approximately scaling as  $\omega^{-1}$  (see equation 13) and the damping length  $l_d \propto \omega^{-1}$  for a fixed  $L_w$ . Therefore, larger wave frequencies will result in wave heating impacting the thermodynamic conditions of the NDW at smaller radii and higher temperatures. In Fig. 5, we show the impact of varying  $\omega$  on the entropy of the wind. Clearly, larger  $\omega$  results in a higher entropy at higher temperature, which is potentially more favourable for an alpha-rich freeze-out. The limiting case ( $\omega \rightarrow \infty$ ) corresponds to instantaneous shock formation in the wind, but also implies a damping length that goes to zero. Nevertheless, we also show a case with fixed  $\omega$  in  $l_d$  but assuming instantaneous shock formation, as this has been assumed in previous work looking at secondary heating mechanisms in the NDW (Suzuki & Nagataki 2005; Metzger et al. 2007). It is not clear what shock formation radii are favoured, given the uncertainty in the range of frequencies excited by PNS convection and the approximate nature of equation (13).

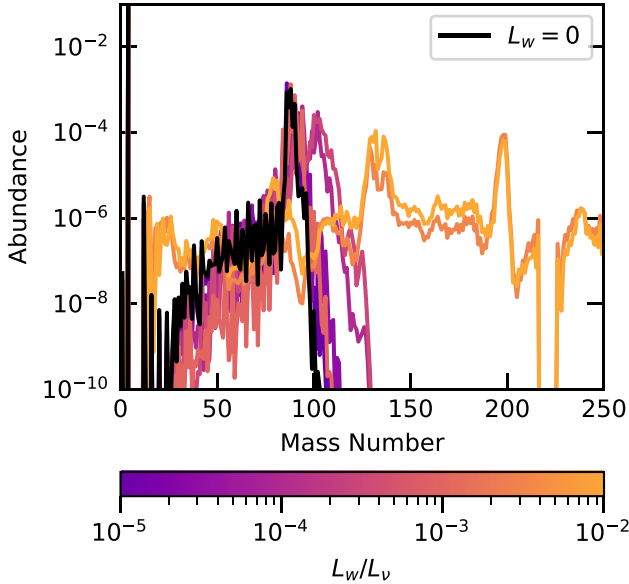
### 5.2.2 Nucleosynthesis

We now present nucleosynthesis calculations based on the steady-state, gravito-acoustic wave-heated NDW models described in the previous section. Throughout, we assume  $Y_{e,\text{eq}} = 0.48$  (unless otherwise noted), given that models of neutrino emission from PNSs suggest the NDW will at most be marginally neutron rich. Note that for larger  $L_w/L_v$  the actual value of  $Y_e$  at the beginning of nucleosynthesis can substantially differ from  $Y_{e,\text{eq}}$  (see Fig. 4).

First, we consider the impact of varying  $L_w/L_v$  for a fixed  $\omega = 2 \times 10^3 \text{ rad s}^{-1}$ . The final abundances for NDW models with  $M_{\text{NS}} = 1.5 M_\odot$  and  $L_v = 3 \times 10^{52} \text{ erg s}^{-1}$  are shown in Fig. 6. These correspond to the NDW models shown in Fig. 4. In the absence of wave heating, this parameter set only undergoes an  $\alpha$ -process that terminates with a peak around mass 90 (Woosley & Hoffman 1992) and is far from the conditions necessary for producing the third r-process peak. Increasing  $L_w$ , we find that the peak of the abundance distribution increases in mass until  $L_w/L_v \approx 10^{-4}$ . Further increase of  $L_w$  from this point briefly reduces the mass of the peak of the abundance distribution, but above  $L_w/L_v \approx 10^{-3}$  a strong r-process emerges. The final abundances for NDW models with  $M_{\text{NS}} = 1.9 M_\odot$  and  $L_v = 6 \times 10^{52}$  are shown in Fig. 7. Between  $L_w/L_v = 10^{-5}$  and  $L_w/L_v = 10^{-4}$ , these models produce both the second and



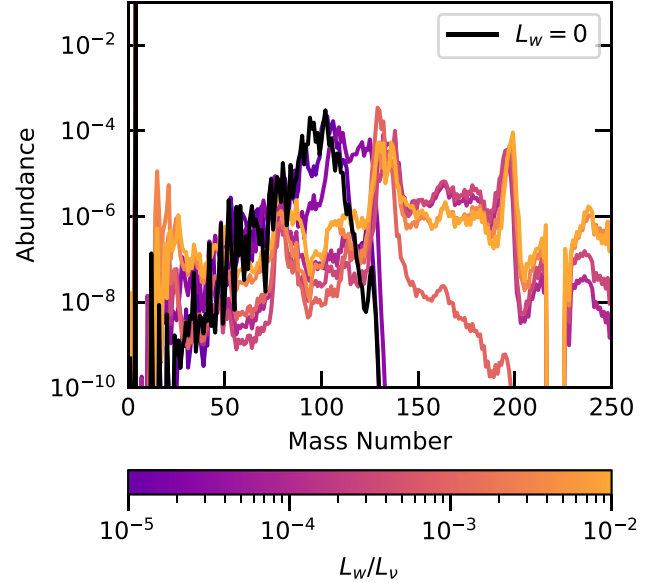
**Figure 5.** Early entropy profiles for a  $1.5 M_{\odot}$  neutron star with  $L_{\nu} = 3 \times 10^{52}$  erg s<sup>-1</sup> and  $L_w = 10^{-3} L_{\nu}$  with varied wave frequencies. For higher frequencies, the shock heating begins to increase the entropy in the wind earlier and has a larger impact where seed nuclei are formed. The impact of the shock prescription is illustrated by the black line, which shows the evolution of the entropy if the waves (with  $\omega = 2 \times 10^3$  rad s<sup>-1</sup>) shock immediately instead of when equation (13) dictates.



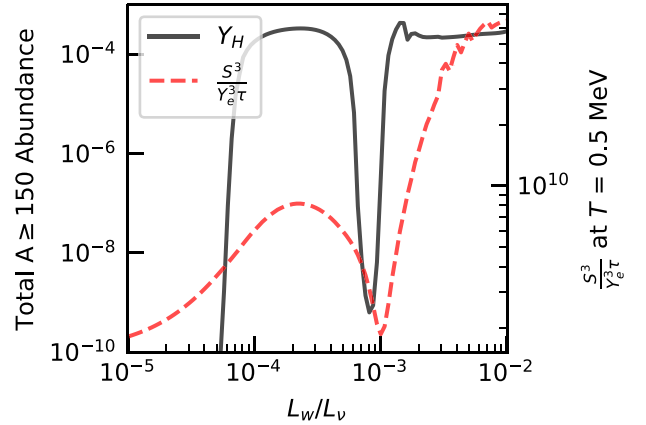
**Figure 6.** Final nucleosynthesis results, using temperature and density profiles for a  $1.5 M_{\odot}$  neutron star, with  $L_{\nu} = 3 \times 10^{52}$  erg s<sup>-1</sup> and using a wave frequency of  $2 \times 10^3$  rad s<sup>-1</sup>. A clear peak around mass 200 is indicative of a strong r-process taking place.

third r-process peaks, but between  $L_w/L_{\nu} \approx 10^{-4}$  and  $L_w/L_{\nu} \approx 10^{-3}$  production of the third peak is again cut-off and the peak of the abundance distribution is pushed down to lower mass. As  $L_w/L_{\nu}$  is increased above  $10^{-3}$ , a strong r-process re-emerges.

For both sets of parameters, we find the interesting behaviour that r-process nucleosynthesis is inhibited for  $L_w/L_{\nu}$  in the approximate range of  $10^{-4}$ – $10^{-3}$ . This turnover in the maximum mass number



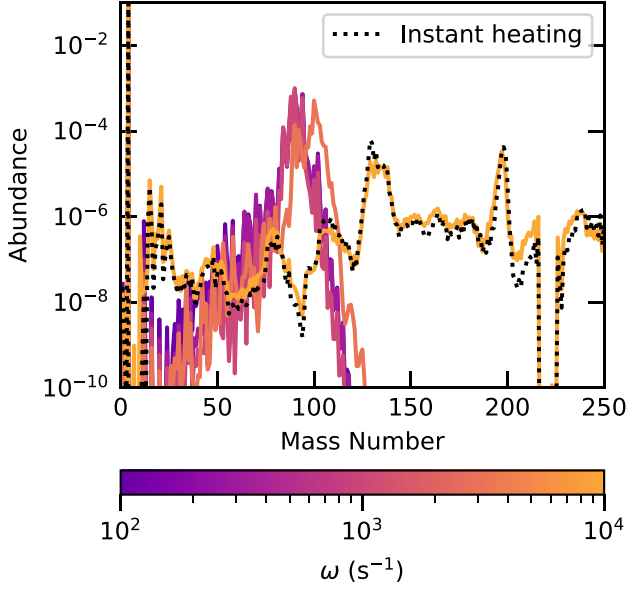
**Figure 7.** Final nucleosynthesis results, using temperature and density profiles for a  $1.9 M_{\odot}$  neutron star, with  $L_{\nu} = 6 \times 10^{52}$  erg s<sup>-1</sup> and using a wave frequency of  $2 \times 10^3$  rad s<sup>-1</sup>. A clear peak around mass 200 is indicative of a strong r-process taking place.



**Figure 8.** Comparison of the total, summed final abundances of all nuclides with mass  $A \geq 150$  (representative of the strength of any r-process taking place) with the quantity  $s^3/Y_e^3 \tau_d$  evaluated when seed formation begins. The relationship between  $s^3/Y_e^3 \tau_d$  and  $Y_H$  is necessarily approximate due to the presence of wave heating during seed formation. The relationship found in Hoffman et al. (1997) was derived under the assumption of constant entropy, which is not generally true in our models. Nevertheless, we still observe a strong correlation between the two quantities, which helps to provide a qualitative explanation for the variation in heavy element nucleosynthesis near  $L_w/L_{\nu} = 10^{-3}$ . These results are for the same parameters as those in Fig. 7, with a finer grid in  $L_w/L_{\nu}$ .

is due to the competition between the decreasing dynamical time-scale ( $\tau_d$ ) with  $L_w$ , which inhibits seed formation, and the decreasing entropy ( $s$ ) with  $L_w$ , which facilitates seed production by increasing the density at which alpha recombination occurs (Hoffman et al. 1997). Fig. 8 illustrates the correlation between the quantity  $s^3/Y_e^3 \tau_d$  and the total abundance above mass 150. Despite entropy no longer being constant during seed formation, we do observe a fairly strong correlation between r-process strength and this quantity. We find that as the wave luminosity is increased,  $\tau_d$  decreases slightly faster



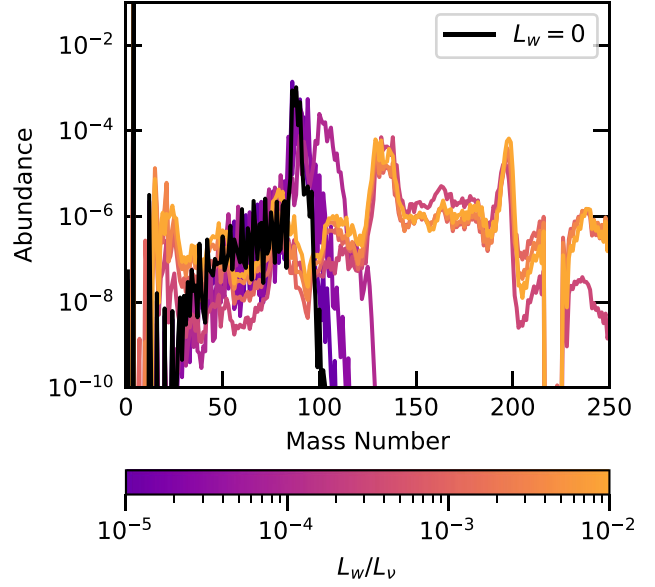


**Figure 9.** Final abundances for the NDW profiles shown in Fig. 5. For high frequencies, the shock heating begins early enough to drive a strong r-process even for a  $1.5M_{\odot}$  neutron star. Instantaneous shock formation is illustrated by the black dashed line, showing the final abundances for a wind that immediately experiences shock heating from waves with  $\omega = 2 \times 10^3 \text{ rad s}^{-1}$ .

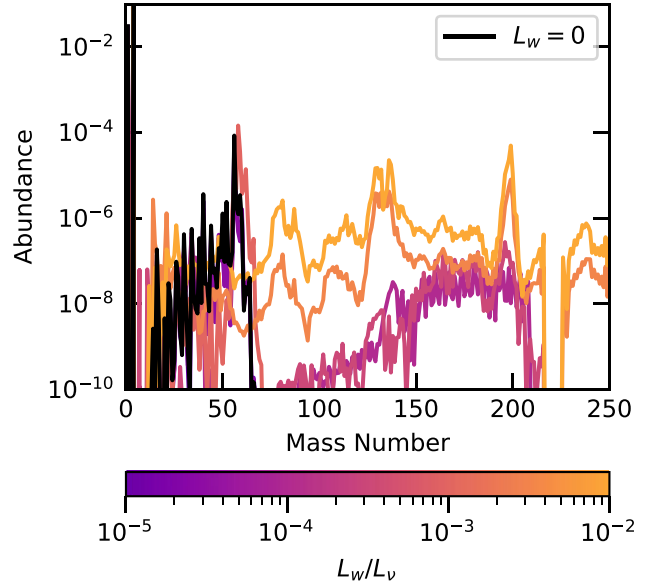
than the entropy, but eventually asymptotes to a minimum value of a few times  $10^{-4}$  s. The entropy continues to steadily decrease, which creates the trough in  $s^3/Y_e^3\tau_d$  as a function of  $L_w$  and gives rise to the window of inhibited r-processing we observe around  $L_w/L_v = 10^{-3}$ . At higher  $L_w$ , shock heating begins prior to alpha recombination, drastically increasing the entropy. This, coupled with the reduced electron fraction at high  $L_w$ , reinvigorates a strong r-process.

Secondly, we consider the impact of varying  $\omega$  on gravito-acoustic NDW nucleosynthesis. As was noted above, increasing  $\omega$  results in an earlier activation of shock heating. In Fig. 9, we show the final abundances for  $M_{\text{NS}} = 1.5 M_{\odot}$ ,  $L_v = 3 \times 10^{52} \text{ erg s}^{-1}$ , and  $L_w/L_v = 10^{-3}$ . For  $\omega < 10^4 \text{ s}^{-1}$ , the nucleosynthesis is similar to the models with  $L_w/L_v \approx 10^{-3}$  that efficiently form seed nuclei, as discussed in the preceding paragraphs. Comparing to Fig. 5, shock heating begins only after the beginning of seed formation and therefore the resulting increase in entropy only has a limited impact on the nucleosynthesis. On the other hand, for the largest frequency considered ( $\omega = 10^4 \text{ rad s}^{-1}$ ), a full r-process pattern extending through the third peak is produced. Here, the wave heating due to weak shocks begins before the start of seed formation. Therefore, the substantial increase in the entropy inhibits seed formation, and leaves a large neutron-to-seed ratio when alpha capture ends. This is mainly driven by the impact of  $\omega$  on the shock heating activation radius, and less so by the variation in  $l_d$  with  $\omega$ . This is illustrated by the model shown in Fig. 9 that assumes  $\omega = 2 \times 10^3 \text{ rad s}^{-1}$  but an instantaneous activation of shock heating. This results in nucleosynthesis that is very similar to the  $\omega = 10^4 \text{ rad s}^{-1}$  model.

Therefore, as a limiting case given the uncertainty in the shock activation radius and to compare to previous work (Suzuki & Nagataki 2005; Metzger et al. 2007), we show in Fig. 10 final abundances for varied  $L_w/L_v$  for  $\omega = 2 \times 10^3 \text{ rad s}^{-1}$ ,  $M_{\text{NS}} = 1.5 M_{\odot}$ ,  $L_v = 3 \times 10^{52} \text{ erg s}^{-1}$ , but with instantaneous activation of the shock heating. The results are noticeably different than those shown in



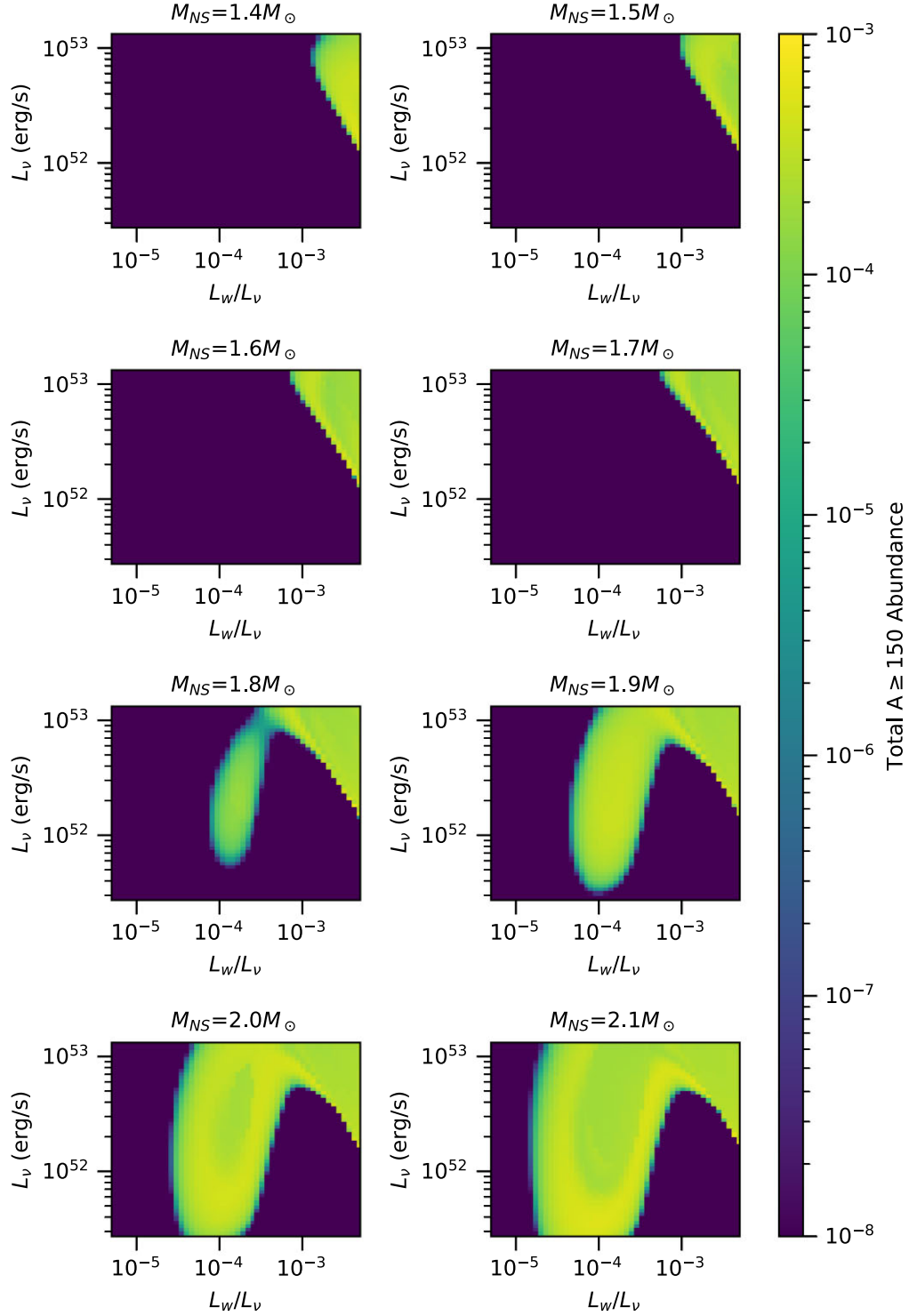
**Figure 10.** Final abundances using the same parameters as in Fig. 6, but assuming that shock heating begins instantaneously in the wind. We see that a strong r-process takes place even for moderate  $L_w$ .



**Figure 11.** Final abundances using the same parameters as in Fig. 7, but with antineutrino energies tuned to  $Y_{e,\text{eq}} = 0.52$ . We see r-processing regimes appear, despite a neutrino spectrum that would otherwise have precluded r-processing entirely.

Fig. 6, which shows models with the same parameters but without instantaneous shock heating. For instantaneous activation, the average mass of the abundance distribution increases monotonically with  $L_w/L_v$  and for even moderate wave luminosities is able to produce a full r-process. This illustrates that uncertainty in the shock formation radius translates into significant uncertainty in the predicted nucleosynthesis for gravito-acoustic NDWs.

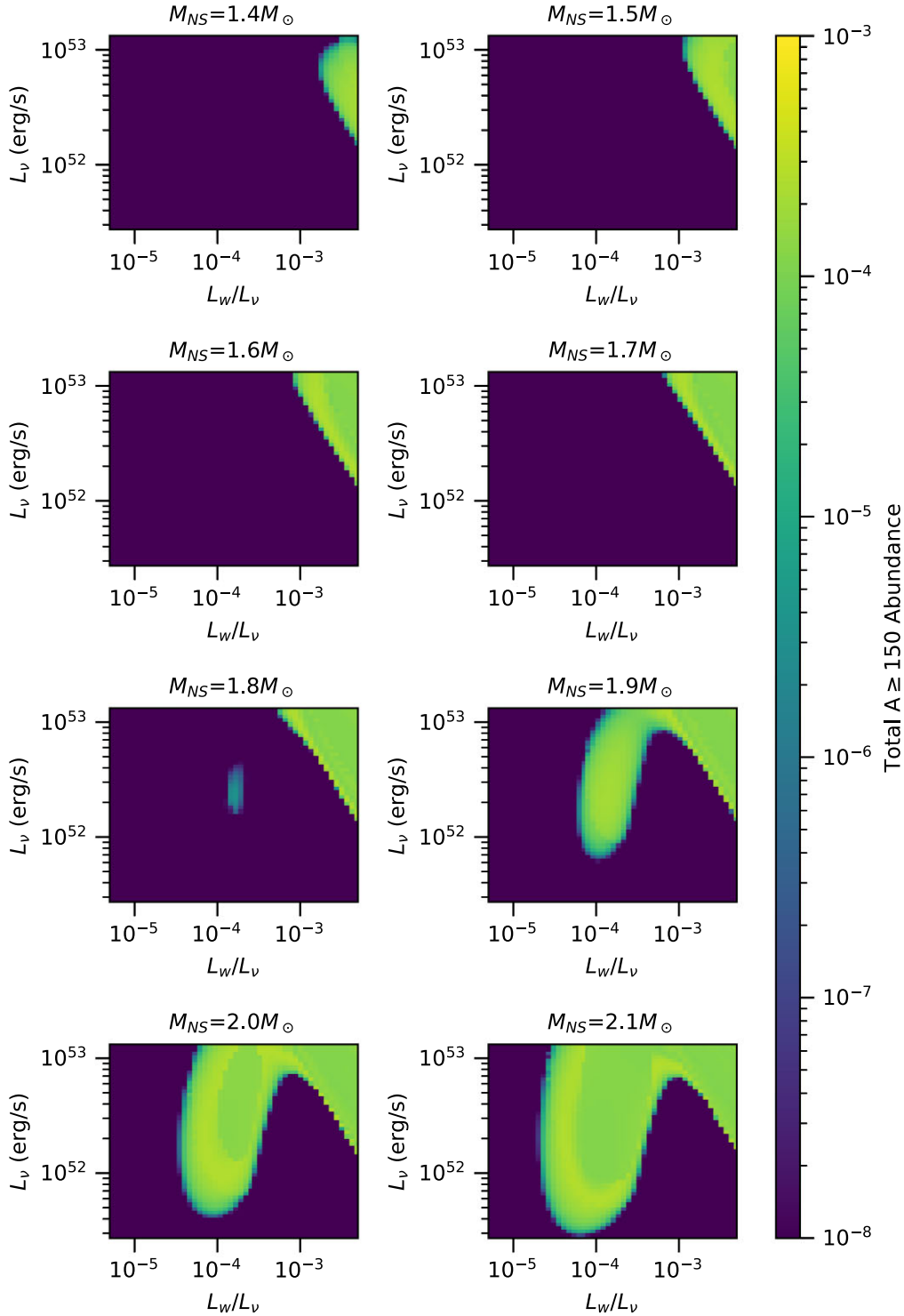
To illustrate the important impact of the reduced electron fraction from the wave contributions, we show in Fig. 11 abundance distributions from a wind with  $M_{\text{NS}} = 1.9 M_{\odot}$ ,  $L_v = 6 \times 10^{52}$ , and  $Y_{e,\text{eq}} = 0.52$ . In the absence of wave effects, the neutrino spectrum



**Figure 12.** A measure of r-process strength across our parameter space, using a wave frequency of  $2 \times 10^3 \text{ rad s}^{-1}$  and  $Y_{e,\text{eq}} = 0.48$ , and using the shock heating prescription from equation (13). Two regimes of r-process production emerge: a region of high wave and neutrino luminosities across all masses, driven by shock heating; and a region of moderate wave and neutrino luminosities driven by the wave stress, which becomes significant at larger neutron star masses.

used here should preclude any r-processing whatsoever. The wind would undergo an alpha-rich freeze-out, leaving only free protons to capture on to seed nuclei. However, with wave effects included, we find similar r-processing regimes to those obtained with neutrino

energies tuned to  $Y_e = 0.48$ . In the wave stress regime, with  $5 \times 10^{-4} \lesssim L_w/L_v \lesssim 5 \times 10^{-3}$ , the change in  $Y_e$  is not large enough to make the wind neutron rich, but the faster outflow caused by the wave stress prevents an  $\alpha$ -rich freeze-out from occurring. R-process



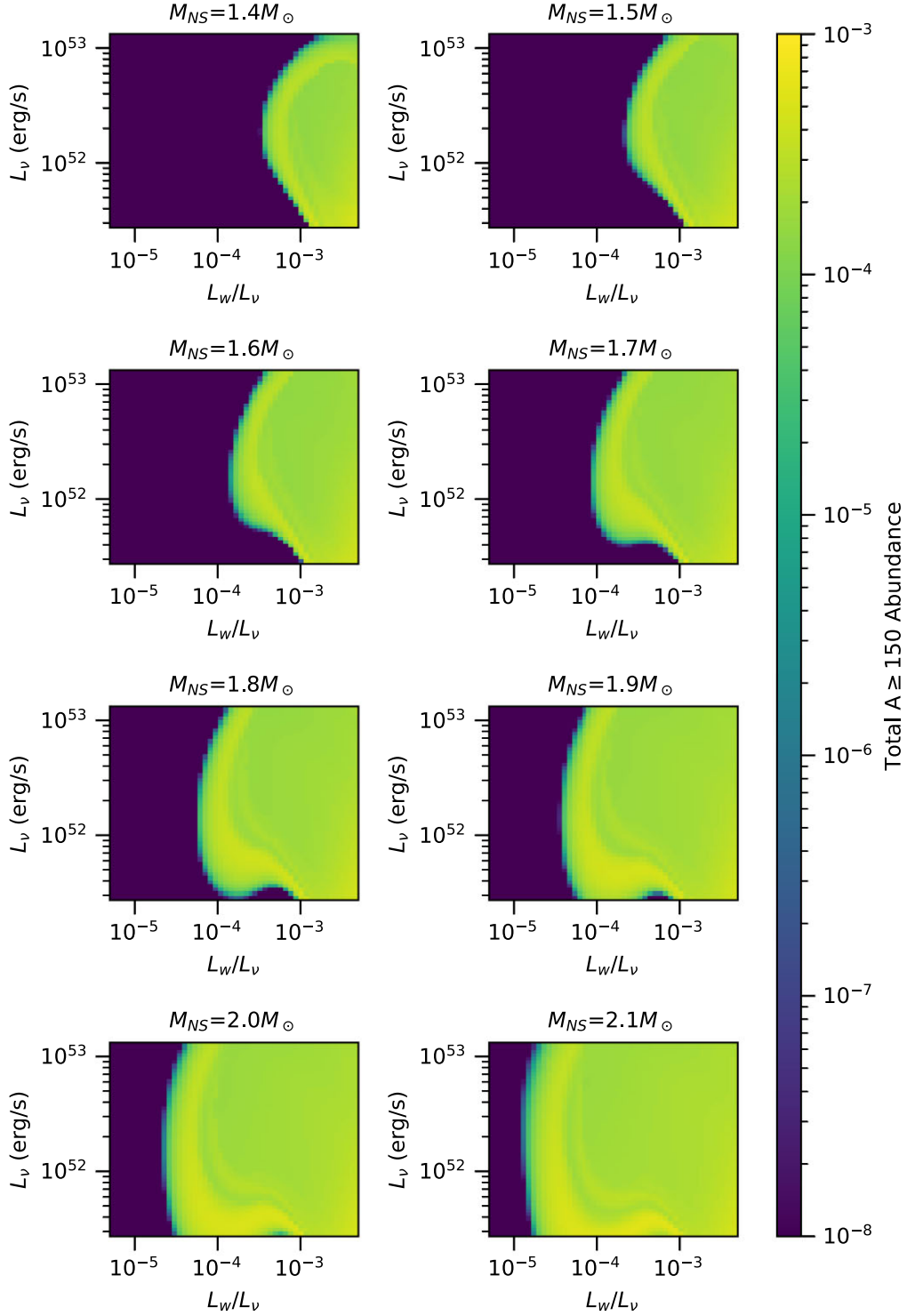
**Figure 13.** A measure of r-process strength across our parameter space, using identical parameters as Fig. 12 but with  $Y_e$  fixed at 0.48. The same two r-processing regimes emerge, but the wave stress regime is pushed to higher masses and neutrino luminosities by the lowered neutron abundance.

elements are then synthesized from the free neutrons in the wind, despite the wind being overall proton-rich. This gives rise to the suppressed, actinide-free r-process patterns in Fig. 11. In between the r-processing regimes, we again find a region where the combined entropy and dynamical time-scale in the wind favour strong seed formation and thus no r-processing, regardless of  $Y_e$  or the presence of an  $\alpha$ -rich freeze-out. At high  $L_w$ , the wind becomes neutron-rich

again, and early wave heating suppresses seed formation and drives the same strong r-processing as in Fig. 7.

### 5.2.3 Nucleosynthesis in the $L_w$ – $L_\nu$ – $M_{NS}$ parameter space

In Fig. 12, we show the total final abundance of nuclei with mass number  $A \geq 150$  as a function of  $L_\nu$  and  $L_w/L_\nu$  for a variety of



**Figure 14.** A measure of r-process strength across our parameter space, using a wave frequency of  $2 \times 10^3 \text{ rad s}^{-1}$  and  $Y_{\text{e,eq}} = 0.48$ , and assuming the waves immediately shock and begin to deposit heat into the wind. We see that for higher, but still quite reasonable wave luminosities, r-processing takes place nearly independent of PNS mass and neutrino luminosity. The r-processing parameter space broadens to very low wave luminosities at higher masses as the wave stress becomes significant.

PNS masses. Here, we have used  $Y_{\text{e,eq}} = 0.48$ ,  $\omega = 2 \times 10^3 \text{ rad s}^{-1}$ , and assumed the shock formation radius is given by equation (13). We find the abundance of nuclei with  $A \geq 150$  to be an effective proxy for the strength of the r-process in the wind (see e.g. Fig. 7). Two r-processing regimes appear. For the highest neutrino and wave

luminosities, shock heating begins early enough in the wind to drive a strong r-process. This shock heating regime is fairly insensitive to PNS mass but very dependent on wave frequency, which sets how early shock heating can begin in the wind. The second r-processing regime, driven by acceleration due to the wave stress,



is strongly dependent on mass but insensitive to wave frequency. We see this regime emerge at a PNS mass of around  $1.8 M_{\odot}$ , and grow to dominate the parameter space for the most massive neutron stars. The non-monotonic dependence of the average mass number of the final abundances is also visible here. At higher masses, the wave stress contribution is able to drive strong r-processes even for very low neutrino and wave luminosities, where shock heating begins too late to strongly affect the nucleosynthesis. We have also run similar calculations with  $Y_{e,\text{eq}} = 0.45$ . These show qualitatively similar behaviour to the results shown in Fig. 12, except that the onset of wave stress-driven r-process nucleosynthesis is shifted to lower PNS mass.

In order to quantify the impact of the reduced electron fraction due to the wave stress contribution, we show in Fig. 13 the same parameter set as in Fig. 12, but with  $Y_e$  fixed to a constant value of 0.48. We find that including a self-consistent  $Y_e$  evolution results in a noticeable broadening of the region in  $L_w$ – $L_\nu$  space where the r-process occurs, especially the wave stress-dominated regime at lower  $L_w$  and  $L_\nu$ . This is perhaps to be expected, as the change in  $Y_e$  is driven primarily by the wave stress reducing the amount of neutrino heating needed to unbind the wind material. We also observe generally higher yields of r-process material when  $Y_e$  evolution is included, due to the higher number of free neutrons available.

Finally, in Fig. 14, we show the impact of instantaneous shock formation on nucleosynthesis across the entire parameter space (once again with  $Y_{e,\text{eq}} = 0.48$  and  $\omega = 2 \times 10^3 \text{ rad s}^{-1}$ , and self-consistently evolving  $Y_e$ ). In this case, we find third peak r-process production for nearly all considered neutrino luminosities and PNS masses when  $L_w/L_\nu \gtrsim 2 \times 10^{-4}$ . Although the acceleration of the wind due to the wave stress plays a role in determining the nucleosynthesis in these models, the impact of the waves is mainly driven by the shock heating that they provide.

## 6 CONCLUSIONS

We have investigated the impact of gravito-acoustic waves launched by PNS convection on the dynamics and nucleosynthesis of the neutrino-driven wind. When these waves propagate through the NDW, they impose additional stresses on the wind and also may shock and provide an extra source of heating. Using steady-state, spherically symmetric models for the wind that include the impact of an acoustic wave energy flux, we surveyed the parameter space of the gravito-acoustic wave luminosity and frequency that is expected to be produced by PNS convection. The presence of shock heating in the wind precludes reliance upon the common predictive metric  $s^3/\tau_d$ , as entropy is no longer nearly constant during seed formation. Therefore, using the results of our hydrodynamic models, we then performed calculations of nucleosynthesis for the marginally neutron-rich compositions that may be encountered in some NDWs.

For  $L_w \gtrsim 10^{-5} L_\nu$ , the waves strongly impact the dynamics of the wind via two mechanisms, acceleration due to wave stresses and entropy production via wave shock heating. Acceleration of the NDW by wave stresses reduces the dynamical time-scale, but also reduces the entropy and electron fraction of the wind since a faster wind has less opportunity to undergo neutrino heating. Depending on  $L_w/L_\nu$ , this competition between reduced dynamical time-scale and reduced entropy can make conditions more or less favourable for strong r-process nucleosynthesis.

Similarly to previous work (Suzuki & Nagataki 2005; Metzger et al. 2007), we find that if the wave energy is deposited (in our case through shock heating) before r-process seed nucleus formation begins, the entropy of the wind at seed formation is substantially

increased. This in turn results in an alpha-rich freeze out and more favourable conditions for producing nuclei in the third r-process peak. Here, we found that the exact position of shock formation has a strong impact on the final nucleosynthesis. If wave shock heating begins before a temperature of around 7 GK, the final nucleosynthesis is strongly impacted and even NDWs with modest wave luminosities and fiducial PNS masses can produce a solar-like r-process pattern. If wave shock heating begins below this temperature range, its impacts on nucleosynthesis are muted. For gravito-acoustic waves, the radius of shock formation depends on their frequency, so higher frequency waves are likely to have a larger impact on nucleosynthesis. For higher PNS masses, wave stress contributions can still drive a strong r-process even if shock heating begins too late to affect seed formation.

At high wave luminosities ( $L_w \gtrsim 10^{-3}$ ), the electron fraction can also be reduced by up to almost 10 per cent as a result of gravito-acoustic wave acceleration of the NDW. This wave-induced reduction in  $Y_e$  broadens the regions of  $L_w$ – $L_\nu$  parameter space over which the r-process occurs and can even cause an r-process to be produced (if conditions are otherwise favourable) in winds with neutrino spectra predicted to result in proton-richness.

The models we have considered are necessarily approximate, given the substantial uncertainties about the properties of long-term PNS convection and wave propagation in these environments. Nevertheless, they suggest that gravito-acoustic waves may have a significant impact on NDW nucleosynthesis, especially at early times when PNS convection is strongest. As advanced, long-term 3D simulations of core-collapse supernovae become available, our results indicate the importance of resolving and examining the impact of PNS convection on wave excitation and possible NDW dynamics. Of course, the production of the r-process requires the NDW to be at least marginally neutron rich, which recent models suggest may or may not be the case. As a result, we intend to examine the impact of the wave effects discussed here in the context of a proton-rich wind in a subsequent paper.

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## DATA AVAILABILITY

The simulation code and results used in this work are available upon reasonable request to the authors. The SkyNet reaction network used is open-source software publicly available at <https://bitbucket.org/jlippuner/skynet>.

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