

Global Approximation of Local Optimality: Nonsubmodular Optimization

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Abstract

In the study of information technology, one of important efforts is made on dealing with nonsubmodular optimizations since there are many such problems raised in various areas of computer and information science. Usually, nonsubmodular optimization problems are NP-hard. Therefore, design and analysis of approximation algorithms are important tasks in the study of nonsubmodular optimizations. However, the traditional methods do not work well. Therefore, a new method, the global approximation of local optimality, is proposed recently. In this paper, we give an extensive study for this new methodology.

Keywords: Global Approximation, Local Optimality, Nonsubmodular Optimization

1 Introduction

An important class of graph data is the social data, generated from the online social network (OSN). The social data have been growing rapidly over the internet through various OSNs, including online websites, such as Facebook, LinkedIn, ResearchGate, and messengers, such as Skype. The widespread

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use of them leads to an increasing interest in efficiently and correctly discovering important, useful, and implicit information. Efficient techniques for data harnessing about social data are crucial to applications across many domains, including public safety, environment management, election, and viral marketing.

In study of techniques for graph data harnessing, one of important efforts is made on dealing with nonsubmodular optimizations since there are many such problems raised in various research subjects on information discovery and data management. Let us mention a few examples.

Sharing and spreading various contents is growing importance of information diffusion in OSNs. To develop effective and efficient techniques for content processing, a content spread maximization problem is studied in [1], which is a nonsubmodular optimization. The target activation probability maximization [2] is another nonsubmodular optimization problem regarding information diffusion, which aims at influence towards certain target user.

There are two popular approaches to deal with the misinformation blocking. The first one is to cut connections at nodes or links (e.g., see [3, 4]). In [5], link deletion is considered and a rumor spread minimization problem is proposed, which is a nonsubmodular minimization problem. In [6], node-cuts are considered and a maximum protection problem is proposed, which is a nonsubmodular maximization problem. The second approach is to spread the truth to clarify misinformation [7, 8]. More optimization research problems are formulated along this line [9–13], which are also nonsubmodular optimizations.

The sentiment analysis has been widely used in mining information from OSNs for election prediction and decision making in business management [14–16]. The language corpora plays an important role in the sentiment analysis [17]. Previously, many larger corpora are created. The size of corpora effects the power and accuracy of analysis, however, it also brings redundancy. Consider such a tradeoff. A **language corpora extraction** problem is formulated in [18], which is nonsubmodular.

A very important application of social influence is the **viral marketing** where there exist various problem formulations based on various types of products. When influence tends to be of certain composed type, such as complementary relationship between products [19], multiple features of a product, the activity profit of multiple players [20], group influence [21], or hypergraph structure [22] is considered, corresponding optimization problem is nonsubmodular.

Actually, the submodular property is very important in optimization theory.

Definition 1 (Submodular and Monotone Properties). *A function f over all subsets of a finite set V is said to be **submodular** if for any two subsets A and B , $f(A) + f(B) \geq f(A \cup B) + f(A \cap B)$. Moreover, f is **monotone nondecreasing** if for $A \subset B$, $f(A) \leq f(B)$.*

The submodular function is usually considered as a sort of discrete convex function since its unconstrained minimization can be solved in polynomial-time [23–25]. In the set function optimization, the analysis on submodular functions, especially on monotone nondecreasing submodular functions has been very well studied [26], so that although maximization and constrained minimization of submodular functions are often NP-hard, there exist many theoretical guaranteed approximation solutions for them [27–32].

However, for nonsubmodular optimizations, although many applications have appeared, no satisfied solution has been found. Based on the study of existing algorithms for nonsubmodular optimization, such as parameterized algorithms, sandwich methods, and submodular-supermodular algorithms, a new type of approximation algorithms, the global approximation of local optimality is proposed recently. In this paper, we give an extensive study on this new type of approximations.

2 What is Global Approximation of Local Optimality?

Before explain what is the global approximation of local optimality, let us first review previous methods for nonsubmodular optimization. They can be classified into three classes.

Parameterized Method: To deal with nonsubmodular optimization, one intends to measure how far the function differs from the submodularity. Motivated from this intension, several parameters are introduced and theoretical results for submodular optimization are extended to nonsubmodular optimization with those parameters. For example, the supermodular degree $\mathcal{D}_f^+$ for set function f is introduced in [33] and with this parameter, a greedy algorithm for monotone nondecreasing nonsubmodular maximization with k matroid constraints is proved to have performance ratio $\frac{1}{k(\mathcal{D}_f^++1)+1}$ [34]. Moreover, for monotone nondecreasing nonsubmodular maximization with size-constraint, a similar greedy algorithm is proved to have performance ratio $\frac{1}{\alpha}(1 - e^{-\alpha\gamma})$ [35] where α is the curvature of objective set function f defined in [36] and γ is the submodularity ratio defined in [37]. These two results have been utilized in [11, 20], respectively. However, it is hard to find other significant application in the literature. In fact, in each specific nonsubmodular optimization problem in the real world problem, the parameter value often tends to give a performance ratio 0 for maximization and ∞ for minimization.

Sandwich Method: The sandwich method was initiated in [38] and later appeared in many publications [2, 5, 6, 9, 10, 13, 19, 21, 22, 39, 40]. To explain this method, suppose we face a problem $\max_{A \in \Omega} f(A)$ where Ω is a collection of subsets of 2^X and X is a finite set. Then the sandwich method works in the following way.

- **Input** a set function $f : 2^X \rightarrow R$.

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- Initially, find two submodular functions u and l such that $u(A) \geq f(A) \geq l(A)$ for $A \in \Omega$. Then carry out following
 - Compute an α -approximation solution S_u for $\max_{A \in \Omega} u(A)$ and a β -approximation solution S_l for $\max_{A \in \Omega} l(A)$.
 - Compute a feasible solution S_o for $\max_{A \in \Omega} f(A)$.
- **Output** $S = \operatorname{argmax}(f(S_u), f(S_o), f(S_l))$.

The solution S produced by the sandwich method satisfies the following:

$$f(S) \geq \max \left\{ \frac{f(S_u)}{u(S_u)} \cdot \alpha, \frac{\operatorname{opt}_l}{\operatorname{opt}_f} \cdot \beta \right\} \cdot \operatorname{opt}_f,$$

where opt_f (opt_l) is the objective function value of the minimum solution for $\max_{A \in \Omega} f(A)$ ($\max_{A \in \Omega} l(A)$).

Clearly, this performance ratio is really ugly, which cannot give a clean indication for the quality of approximation.

Seek Local Optimal Solution: Analysis in above two classes of algorithms indicates that the traditional approximation performance ratio cannot work well for nonsubmodular optimizations. Therefore, one's attention is moved to local optimal solutions and a class of algorithms are designed to stop at local optimal solutions. Submodular-supermodular algorithm [41], modular-modular algorithm [42], and iterated sandwich algorithm [43, 44] are examples in this class. To give further discussion, let us consider submodular-supermodular algorithm [41] as an example. This algorithm is designed based on following properties of set functions.

Theorem 1 (DS Decomposition [41, 42]). *Every set function f can be decomposed into the difference of two monotone nondecreasing submodular functions g and h , i.e., $f = g - h$.*

Theorem 2 (Sandwich Theorem [43]). *Consider a set function $f : 2^X \rightarrow R$. For any $A \subseteq X$, there exist two modular functions m_u and m_l such that $f(A) = m_u(A) = m_l(A)$ and $m_u(Y) \geq f(Y) \geq m_l(Y)$ for any $Y \in 2^X$. (A set function m is modular if both m and $-m$ are submodular.)*

The submodular-supermodular algorithm for unconstrained minimization $\min f(A)$ for $A \in 2^X$ is as shown in Algorithm 1.

To understand why this algorithm can reach a local minimal solution, let us first make clear what is a local minimal solution.

Definition 2 (Local Minimal Solution (Type 1)). *Consider a set function minimization problem $\min_{A \in \Omega} f(A)$ where Ω is the feasible domain. $A \in \Omega$ is called a local minimal solution if $A \in \Omega$ and for any $A' \in \Omega$, which is obtained from A by deleting an element or adding an element, $f(A') \geq f(A)$.*

Algorithm 1 Submodular-Supermodular Algorithm for Minimization.

Input: a set function $f : 2^X \rightarrow R$ and its DS decomposition $f = g - h$ where g and h are submodular functions.

Output: A subset A of X .

- 1: choose a set $A \subseteq X$;
- 2: **while** $A^+ \neq A$ **do**
- 3: $A \leftarrow A^+$;
- 4: compute a lower bound modular function m_{hl} for h such that $m_{hl}(A) = h(A)$;
- 5: compute a minimum solution A^+ for $\min_{Y \in 2^X} [g(Y) - m_{hl}(Y)]$;
- 6: **end while**
- 7: **return** A .

Following property of modular lower bound plays an important role in establishment of local minimal solution.

Lemma 2.1. *Let $h : 2^X \rightarrow R$ be a submodular function. Let σ is a permutation of all elements in X such that $A = \{\sigma(1), \dots, \sigma(|A|)\}$. Define the modular function m_{hl}^σ by*

$$m_{hl}^\sigma(Y) = h(\emptyset) + \sum_{\sigma(i) \in Y} \{h(S_i^\sigma) - h(S_{i-1}^\sigma)\}$$

where $S_i^\sigma = \{\sigma(1), \sigma(2), \dots, \sigma(i)\}$. Then m_{hl}^σ is a lower bound of h such that for any i , $h(S_i^\sigma) = m_{hl}^\sigma(S_i^\sigma)$. and especially, $h(A) = m_{hl}^\sigma(A)$.

Let Σ_A be a collection of at most $\max(|A|, |X \setminus A|)$ permutations σ of X such that $A = \{\sigma(1), \dots, \sigma(|A|)\}$, $\sigma(|A|)$ goes over all elements of A , and $\sigma(|A| + 1)$ goes over all elements of $X \setminus A$. In the submodular-supermodular algorithm, let m_{hl} go over all m_{hl}^σ for $\sigma \in \Sigma_A$. Then, the algorithm will stop at a local minimal solution. Therefore, we can state as follows.

Theorem 3. *The submodular-supermodular algorithm for unconstrained set function minimization stops at a local minimal solution if run each iteration in $O(n)$ time.*

There is an important question about the number of iterations. In [43, 44], it has been indicated that for some optimization problems, computing a local optimal solution is PLS-complete [45], that is, it is unlikely to have a polynomial-time algorithm to compute a local optimal solution. It follows that for submodular-supermodular algorithm, the number of iterations is unlikely to be bounded by a polynomial since they are working generally for a large class containing those optimization problems. Motivated from this fact, the global approximation of local optimality is proposed.

Global Approximation of Local Optimality: An algorithm is called a *global approximation of local optimality*, or *GL-approximation* for a brief name, if it can always produce an approximation solution within a guaranteed factor from some local optimal solution. Algorithm 2 is a GL-approximation obtained from modification of submodular-supermodular algorithm.

Algorithm 2 Modified Submodular-Supermodular Algorithm for Minimization.

Input: a set function $f : 2^X \rightarrow R$ and its DS decomposition $f = g - h$ where g and h are submodular functions.

Output: A subset A of X .

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1: choose a set  $A \subseteq X$ ; set  $ok \leftarrow 1$ ;
2: while  $ok = 1$  do
3:   for  $\sigma \in \Sigma_A$  do
4:     compute a lower bound modular function  $m_{hl}^\sigma$  for  $h$ ;
5:     compute a minimum solution  $A_\sigma^+$  for  $\min_{Y \in 2^X} [g(Y) - m_{hl}^\sigma(Y)]$ ;
6:   end for
7:    $\sigma \leftarrow \operatorname{argmin}_{\sigma \in \Sigma_A} f(A_\sigma^+)$ ;
8:    $A^+ \leftarrow A_\sigma^+$ 
9:   if  $f(A^+) \cdot (1 + \varepsilon) \geq f(A)$  then
10:     $ok \leftarrow 0$ ;
11:   end if
12:    $A \leftarrow A^+$ ;
13: end while
14: return  $A$ .
```

In the algorithm, Σ_A is a collection of at most $\max(|A|, |X \setminus A|)$ permutations σ of X such that $A = \{\sigma(1), \dots, \sigma(|A|)\}$, $\sigma(|A|)$ goes over all elements of A , and $\sigma(|A| + 1)$ goes over all elements of $X \setminus A$, and m_{hl}^σ is a modular lower bound of h , constructed by using permutation σ (see [42] for detail.)

For this algorithm, we can prove following result.

Theorem 4. *Let f be a nonnegative function. Then above algorithm runs within $O(\frac{1}{\varepsilon} \ln \zeta)$ iterations and always ends at an approximation solution with objective function value within a factor of $(1 + \varepsilon)$ from a local optimal solution where ζ is the ratio of the maximum value and the minimum value of f .*

The GL-approximation provides a new tool to study nonsubmodular optimizations, which will introduce a lot of exploratory and innovative research opportunities.

A Remark Why we call the new-type of approximation as global approximation of local optimality? Let us look at theoretical development of nonlinear programming. For convex function minimization with or without constraints, a satisfied algorithm is usually expected to generate a sequence of points which is

convergent to an optimal solution. However, such an expectation cannot be realized for non-convex optimizations. Instead, one established another criterion, global convergence, which means that every cluster point is locally optimal, that is, every convergent subsequence converges to a local optimal point [46–48]. The name of global approximation of local optimality is an analogy of the global convergence.

3 Alternative Local Optimality

With DS decomposition, there exists another way to describe local optimal solutions. First, let us define subgradient of a submodular function.

Definition 3 (Subgradient of Submodular Function). *Let h be a submodular function over $\Omega \subseteq 2^X$. For any $A \in 2^X$, define its subgradient at set A as follows:*

$$\partial h(A) = \{c \in R^X \mid h(Y) \geq h(A) + \langle c, Y - A \rangle \text{ for } Y \in \Omega\},$$

that is, $\partial h(A)$ consists of all linear functions $c : X \rightarrow R$ satisfying $h(Y) \geq h(A) + c(Y) - c(A)$ for $Y \in \Omega$ where $c(Y) = \sum_{y \in Y} c(y)$.

Let $f = g - h$ be a set function on $\Omega \subseteq 2^X$ and g and h submodular functions on $\Omega \subseteq 2^X$. If set A is a minimum solution for $\min_{Y \subseteq X} f(Y)$, then for any $Y \in \Omega$,

$$f(Y) = g(Y) - h(Y) \geq g(A) - h(A) = f(A).$$

Therefore, for any $c \in \partial h(A)$,

$$g(Y) - g(A) \geq h(Y) - h(A) \geq c(Y) - c(A),$$

for $Y \in \Omega$, that is, $c \in \partial g$. Hence,

$$\partial h(A) \subseteq \partial g(A).$$

This fact motivates the following definition.

Definition 4 (Local Minimal Solution (Type 2)). *Consider a set function minimization problem $\min_{A \in \Omega} f(A)$ where $\Omega \subseteq 2^X$ is the feasible domain. $A \in \Omega$ is called a local minimal solution if $\partial h(A) \subseteq \partial g(A)$.*

A continuous function is called a *DC function* if it can be represented as the difference of two convex functions. A discrete DC function is a DC function restricted in a discrete set in its definition domain. Every set function is a discrete DC function because every submodular set function can be extended

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to a convex function (Lovász extension) on domain $[0, 1]^n$. Definition 4 is consistent with the definition of local minimum solution of discrete DC functions [49].

There exist several algorithms for minimization of discrete DC functions [49–51], which terminate at local minimum solutions. Are they polynomial-time algorithms? No answer exists in the literature. Following investigation provides with an answer and a potential research topic.

Lemma 3.1. *Let A be a local minimum solution defined in Definition 4. Then for any $Y \in \mathcal{U}$, $f(A) \leq f(Y)$ where*

$$\mathcal{U} = \{Y \in \Omega \mid \partial h(Y) \cap \partial g(A) \neq \emptyset\}.$$

Proof Choose $c \in \partial h(Y) \cap \partial g(A)$. Then

$$h(A) \geq h(Y) + (c(A) - c(Y)) \text{ and } g(Y) \geq g(A) + (c(Y) - c(A)).$$

Hence $h(Y) - h(A) \leq c(Y) - c(A) \leq g(Y) - g(A)$. Therefore, $f(Y) \geq f(A)$. $\square$

Lemma 3.2 (Fujishige [52]). *A point $c \in R^X$ is an extreme point of $\partial f(A)$ if and only if there is a permutation σ for elements in X , i.e., $X = \{\sigma(1), \sigma(2), \dots, \sigma(X)\}$, such that $A = \{\sigma(1), \sigma(2), \dots, \sigma(A)\}$ and $c(\{\sigma(i)\}) = f(S_i) - f(S_{i-1})$ for $1 \leq i \leq X$ where $S_0 = \emptyset$ and $S_i = \{\sigma(1), \sigma(2), \dots, \sigma(i)\}$.*

Theorem 5. *If A is a local minimum solution of type 2, then A is a local minimum solution of type 1.*

Proof For any $x \in A$, consider permutation $X = \{\sigma(1), \sigma(2), \dots, \sigma(X)\}$ such that $A = \{\sigma(1), \sigma(2), \dots, \sigma(A)\}$ and $\sigma(A) = x$. Define linear function c by $c(\{\sigma(i)\}) = h(S_i) - h(S_{i-1})$ for $1 \leq i \leq X$ where $S_0 = \emptyset$ and $S_i = \{\sigma(1), \sigma(2), \dots, \sigma(i)\}$. Then $c \in \partial h(A \setminus \{x\}) \cap \partial h$. Since $\partial h(A) \subseteq \partial g(A)$, we have $c \in \partial h(A \setminus \{x\}) \cap \partial g(A)$. By Lemma 3.1, $f(A) \leq f(A \setminus \{x\})$.

Similarly, we can show that for any $x \in X \setminus A$, $f(A) \leq f(A \cup \{x\})$. $\square$

Corollary 3.3. *For any algorithm terminating at a local minimum solution of type 2, it is unlikely to run in polynomial-time.*

A research subject suggested by this corollary is to modify those algorithm into polynomial-time global approximations of local minimality.

4 Nonconvex Relaxation

Relaxation is a popular technique for design of approximation algorithms. Many traditional approximation algorithms are designed with LP relaxation, semi-definite relaxation, and convex relaxation. Now, nonconvex relaxation may become a new development for global approximation of local optimality, which will also introduce some interesting new research directions.

Let us consider a specific problem to make explanation. Consider the following problem [53]:

Problem 4.1 (Maximum Group Set Coverage with Composed Targets). *Consider m groups $\mathcal{G}_1, \mathcal{G}_2, \dots, \mathcal{G}_m$ of subsets of a finite set X . All elements in X are partitioned into subsets $X_1, X_2, \dots, X_r$, each called a composed target. Suppose that for every composed target X_t , each subset in any group $\mathcal{G}_i$ covers at most one elements in X_t . The problem is to select one subset from each group to cover the maximum total number of composed targets, where a composed target is said to be covered if all elements in the composed target are covered.*

This problem can be formulated as the following nonsubmodular maximization with linear constraints.

$$\begin{aligned}
 \max \quad & \sum_{t=1}^{\tau} \prod_{j \in X_t} y_j & (INLP) \\
 \text{s.t.} \quad & y_j \leq \sum_{i=1}^m \sum_{S: j \in S \in \mathcal{G}_i} x_{iS} \quad \forall j = 1, \dots, n, \\
 & \sum_{S: S \in \mathcal{G}_i} x_{iS} \leq 1 \quad \forall i = 1, \dots, m, \\
 & y_j \in \{0, 1\} \quad \forall j = 1, \dots, n, \\
 & x_{iS} \in \{0, 1\} \quad \forall S \in \mathcal{G}_i \text{ and } i = 1, 2, \dots, m.
 \end{aligned}$$

Its relaxation is a linear constrained nonlinear programming as follows.

$$\begin{aligned}
 \max \quad & \sum_{t=1}^{\tau} \prod_{j \in X_t} y_j & (NLP) \\
 \text{s.t.} \quad & y_j \leq \sum_{i=1}^m \sum_{S: j \in S \in \mathcal{G}_i} x_{iS} \quad \forall j = 1, \dots, n, \\
 & \sum_{S: S \in \mathcal{G}_i} x_{iS} \leq 1 \quad \forall i = 1, \dots, m, \\
 & 0 \leq y_j \leq 1 \quad \forall j = 1, \dots, n, \\
 & 0 \leq x_{iS} \leq 1 \quad \forall S \in \mathcal{G}_i \text{ and } i = 1, 2, \dots, m.
 \end{aligned}$$

Let (y_j^*, x_{iS}^*) be a $(1 - \varepsilon)$ -approximate for a local optimal solution (KKT-point) of (NLP). Let (x_{iS}, y_j) be a solution obtained from the following randomized rounding.

Randomized Rounding: For each group $\mathcal{G}_i$, select one subset S (i.e., set $x_{iS} = 1$ and $x_{iS'} = 0$ for $S' \neq S$) with probability x_{iS}^* and no subset of group

$\mathcal{G}_i$ is selected with probability $1 - \sum_{S \in \mathcal{G}_i} x_{iS}^*$. Set $y_j = 1$ if element j appears in a selected subset, and $y_j = 0$ otherwise.

Du et al. [53] showed the following.

Theorem 6. *Let (y_j, x_{iS}) be an approximate solution obtained by the above randomized rounding. Then*

$$E \left[\sum_{t=1}^{\tau} \prod_{j \in X_t} y_j \right] \geq (1 - e^{-1})^{\alpha} (1 - \varepsilon) lopt$$

where $lopt$ is the objective value of a local optimal solution of (NLP) and $\alpha = \max_{1 \leq t \leq \tau} X_t$.

There are two research directions raised by this result.

First, what is complexity of computing approximation of KKT-points? Vavasis [54] gave an algorithm for computing an ε -KKT of a box-constrained quadratic programming with $O(n^3(M/\varepsilon)^2)$ arithmetic operations. Ye [55] presented an algorithm for computing an ε -KKT of a linearly constrained quadratic programming with $O((n^3/\varepsilon) \log(1/\varepsilon) + n \log n)$ iterations. Can those works be extended to other objective functions, especially multilinear functions? It is an interesting problem. In fact, this is a research direction with a largely unexplored field. Research outcomes will become foundation for nonconvex relaxation.

Second, what is the relationship between local optimal solutions of (INLP) and (NLP)? That is, what is the relationship between local optimal solutions of an integer programming and local optimal solutions of its nonconvex relaxation? So far, there is no research outcome in this research direction although it seems a fundamental problem related to nonconvex relaxation.

5 Conclusion

Design and analysis of GL-approximation is a new research direction in the study of nonsubmodular optimizations, with a lot of interesting open problems. With the increasing interests on nonsubmodular optimizations and their applications, one may pay more attention to theoretical foundation of this type of approximation algorithms. Therefore, our research on GL-approximations will not only contribute to the development of emerging components for building optimization theory, but also bring broader benefits in many technology developments. The discoveries on studying GL-approximation also promote the development of some fields in computer science and mathematics, such as social networks and nonlinear combinatorial optimization.

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