

1    **Virus-Like Particles for Drug Delivery: A Review of Methods and Applications**

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12 **HIGHLIGHTS**

13     • VLPs are highly versatile structures, adaptable for many drug delivery applications  
14     • Mutations to VLP structural proteins can improve VLP drug delivery  
15     • VLP cargo loading, release and activation are key traits for drug delivery

16 **ABSTRACT**

17   Virus-like Particles (VLPs) are self-assembling protein nanoparticles that have great promise as  
18   vectors for drug delivery. VLPs are derived from viruses but retain none of their infection or  
19   replication capabilities. These protein particles have defined surface chemistries, uniform sizes,  
20   and stability properties that make them attractive starting points for drug delivery scaffolds. Here,  
21   we review recent advances in tailoring VLPs for drug delivery applications, including VLP platform  
22   engineering approaches as well as methods for cargo loading, activation, and release. Finally,  
23   we highlight several successes using VLPs for drug delivery in model systems.

24 **KEYWORDS:** Virus-like Particles; Drug delivery; stimuli-responsive; protein library; genetic  
25   engineering; protein fusion

26 **ABBREVIATIONS:** VLP, virus-like particle; MS2, Male Specific Bacteriophage 2; TMV, Tobacco  
27   Mosaic Virus; Dox, doxorubicin; EPR, enhanced permeability and retention; SyMAPS, Systematic  
28   Mutagenesis and Assembled Particle Selection; PEG, Polyethylene Glycol; HBV, Hepatitis B  
29   Virus; CCMV, Cowpea Chlorotic Mosaic Virus; PhMV, Physalis Mottle Virus; MLV, Murine  
30   Leukemia Virus; Magnetic Resonance Imaging, MRI; Polydopamine, PDA

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33 **INTRODUCTION**

34       Virus-like particles (VLPs) are self-assembling protein nanoparticles, typically derived  
35   from the capsid protein of non-enveloped viruses that infect bacterial, insect, plant, and  
36   mammalian cells as seen in Figure 1. VLPs are empty viruses without any infection, maturation,  
37   or replication components, an important feature for their biotechnological applications [1].  
38   Engineers are repurposing VLPs for a variety of applications from imaging agents [2] to vaccine  
39   scaffolds [3–5], but we devote this review to exploring advances in engineering VLPs as drug  
40   delivery vehicles.

41       VLPs have multiple properties that make them desirable for drug delivery applications.  
42   VLPs are safe and biocompatible delivery vehicles. These particles are uniform in size when  
43   compared to other inorganic core nanoparticles such as gold core nanoparticles, which is valuable  
44   for drug delivery studies where the uniform size will increase the consistency of the accumulation  
45   of the nanoparticles in the tissues of interest. VLPs can be expressed in large quantities with ease  
46   in bacterial, plant, or mammalian systems through recombinant expression. The defined  
47   chemistry of these particles enables chemical modification of the interior and exterior by taking  
48   advantage of reactive amino acid residues such as lysine (N-hydroxysuccinimide ester  
49   modification) or cysteine residues (thiol-maleimide chemistry) [6]. Specifically, VLPs can be  
50   engineered with exterior targeting groups, enhancing the specificity of these delivery vehicles  
51   [7,8]. Many VLPs can also disassemble into coat protein monomers and dimers and then  
52   reassemble around cargo to easily load imaging agents, enzymes, and therapeutics into their  
53   interior [9]. This review highlights the recent developments towards engineering and using VLPs  
54   for drug delivery applications as shown in Figure 2, focusing on the VLP platform, VLP cargo  
55   loading, and cargo release or activation from VLPs.

56 **VLP Platform Physical Property Engineering**

57 VLPs are versatile drug delivery platforms due to the ease with which their physical  
58 properties can be modified to suit specific application needs via genetic manipulation of the  
59 sequences encoding coat proteins. For example, VLP pH and temperature stability can be altered  
60 to create particles that remain stable in the bloodstream and preferentially disassemble in the low  
61 pH endosomes of cells to release their therapeutic cargo. This platform tuneability is a unique  
62 aspect of the technology, creating the potential to use model VLP drug delivery systems for new  
63 targets. Some notable studies have shown that novel viruses can be identified with unique  
64 properties, such as increased thermal [10] and pH [11] stabilities, which can be adapted into new  
65 VLP scaffolds. A second approach, which is commonly employed, is to use rational or directed  
66 evolution strategies to create variants of existing VLPs that have optimal size, shape, thermal  
67 stability, pH stability, or surface charge properties.

68 VLP size and/or shape can be shifted via genetic-level point mutations to improve their  
69 utility as a drug delivery vehicle. Single amino acid changes to the protein sequence that can  
70 create large changes in protein function. For example, a smaller variant of the Male specific  
71 Bacteriophage 2 (MS2) VLP (17 nm vs. 27 nm for wild type) was identified with a mutation at  
72 position 37 from serine to proline (S37P) [12]. A second study identified a nanodisk assembly of  
73 the Tobacco Mosaic Virus (TMV) VLP conferred by two mutations from lysine to arginine  
74 (K53R/K68R) [13]. In both cases, point mutations conferred the formation of uniquely sized and  
75 shaped particles [12,13] compared to their wild-type counterparts. For VLP drug delivery to  
76 tumors, point mutations that shift the size and/or shape can lead to particles with enhanced  
77 permeability and retention (EPR) effect [7,8], the effect that defines the ability of nanoparticles to  
78 enter tumors via the leaky vasculature that forms as a tumor grows. A key result of this effect is  
79 that engineers must optimize the balance of drug carrying capacity and tumor penetration. While  
80 larger diameter or aspect ratio particles can carry a larger therapeutic payload, the engineered  
81 VLPs accumulate less into tumor environments in accordance with the EPR effect. For example,

82 performed biodistribution studies were performed with novel 18 nm diameter TMV nanodisk VLPs,  
83 27 nm MS2 icosahedral VLPs, and 50 nm nanophages in a U87-Luc tumor bearing mice  
84 model[14]. The results demonstrated that mice survival improved the most with TMV nanodisk  
85 VLPs modified on the interior with chemotherapeutic doxorubicin (Dox) and on the exterior with  
86 polyethylene glycol (PEG). The delivery vehicles were each loaded with the same amount of Dox  
87 and compared to delivery of free Dox at the same concentration. Drug delivery with TMV nanodisk  
88 VLPs produced an advantageous result that would not be discovered without the use of the  
89 smaller-sized, disk-shaped TMV VLP variant. Importantly, VLP size and shape can be adjusted  
90 while retaining specific disassembly and chemical modification properties, making these  
91 nanoparticles attractive because a VLP can be tailored to match a specific drug delivery  
92 application without compromising its other preferred features.

93 VLPs with non-standard surface charge, thermal stability, or pH stability can also be  
94 identified through directed evolution. In this approach, researchers create a collection of variants  
95 of the coat protein, termed a protein library, and then screen or select for the particles in the library  
96 that have the physical properties of interest. The library can consist of a few variants or millions  
97 of variants, depending on the expected likelihood of finding a beneficial change. Protein libraries  
98 composed of point mutations have proven useful in tuning the properties of VLP drug delivery  
99 vehicles. For example, a 2,688 member library was generated for all single amino acid mutations  
100 of the MS2 VLP and selected for assembled particles [15]. High-throughput sequencing was used  
101 to quantify each VLP mutant's "fitness", which in this case was assessed by enrichment during  
102 the purification of MS2 VLP assembled particles. The entire process was named Systematic  
103 Mutagenesis and Assembled Particle Selection (SyMAPS). Following this seminal work, the  
104 SyMAPS process was applied to design a library of proteins with two point mutations targeted to  
105 a loop region of MS2 and uncovered VLPs with different pH and thermal stability properties than  
106 wild-type MS2 [16]. Three double mutants were discovered that were competent for assembly,

107 disassembled around the early endosome pH of 4.6, and were thermally stable at temperatures  
108 greater than 50 °C. These VLPs are candidates for commercial drug delivery applications  
109 because they are shelf stable and designed to disassemble under conditions that mimic those of  
110 endosomes. Researchers also have created smaller targeted libraries to test hypotheses about  
111 the importance of specific residues. For example, the Finn group created a library of 14 Q $\beta$   
112 variants in which exterior lysines were mutated to other residues [17]. They hypothesized that one  
113 or more of these lysines played a role in mammalian cell binding. After selecting for the ability to  
114 bind cell membranes, the researchers identified a Q $\beta$  variant with a native lysine at position 46  
115 mutated to glutamine (Q $\beta$  K46Q), which increased the positive charge of the particle and  
116 decreased binding of VLP to the cell membrane when compared to the wild-type particle. Creating  
117 VLP libraries, whether for pre-identified residues of interest or in a broad search for impactful  
118 mutants, is a powerful way to genetically modify the protein particle to improve drug delivery  
119 capabilities.

120 **Engineering the Cargo Loading of VLPs**

121 The ideal drug delivery scaffold should have flexibility in loading cargo into the interior or  
122 onto the exterior [18,19] in order to easily create scaffolds for a variety of use cases. This remains  
123 true for VLPs. Cargo in this review is defined as any therapeutic agent that the VLP is delivering  
124 to target cells. Targeting ligands are attached to nanoparticles in order to increase the likelihood  
125 that particles are delivered to the specific targeted cell, and the targeting groups are designed to  
126 bind specific cell receptors. Passive targeting experiments often incorporate a non-reactive  
127 spacer on the exterior such as PEG [20], while active targeting experiments incorporate cell-  
128 specific targeting ligands such as antibodies on their exterior [21,22]. These additions, while  
129 necessary to facilitate delivery, are not defined as cargo in this review. Cargo can be chemically  
130 conjugated to, loaded onto the exterior or interior of, or encapsulated within VLPs.

131        Inserting domains into VLP-encoding coat protein genes can give rise to new VLPs with  
132    novel handles to attach cargo to the interior or exterior. Protein domains are commonly added to  
133    the N-terminus[23], C-terminus[24], or both [25] because altering these regions typically does not  
134    compromise the structures of either the protein or VLP. VLPs with robust tolerance to domain  
135    insertions, whether at the termini or within the polypeptide, are particularly desirable because  
136    these particles can serve as models for inserting chemically reactive handles, adjuvants, or  
137    binding moieties into the VLP. These insertions can be rationally designed with specific peptide  
138    sequences or be discovered through a library-based approach. The SyMAPS approach was used  
139    to create a loop insertion library in which all possible three-amino-acid peptides were encoded for  
140    insertion within the MS2 VLP at the FG loop [26], a location known to tolerate alterations. This  
141    approach yielded novel assembly-competent MS2 VLP variants and informed some design rules  
142    for the inserted peptide sequences. Additionally, a modified SyMAPS approach was implemented  
143    to genetically modify the N-terminus of MS2 VLPs to create particles with new chemically reactive  
144    handles [27]. To do so, they created a library of every possible three-amino-acid peptide preceded  
145    by a proline and inserted at the N-terminus to take advantage of N-terminal proline conjugation  
146    chemistry [28]. After the selections for assembly and chemical reactivity, PNYR-MS2 and PYQR-  
147    MS2 mutant VLPs were identified as promising variants that permitted the desired modification at  
148    high yield. This study demonstrates that domain insertions in the VLP gene offer a viable method  
149    for cargo attachment on the assembled particle.

150        Engineered protein-protein interactions offer an additional method, often in tandem with a  
151    domain insertion, to associate cargo with a VLP. For example, the SpyCatcher/SpyTag system  
152    creates isopeptide bonds between their appended proteins and has been explored in cargo  
153    attachment studies with VLPs [29]. Using this system, researchers demonstrated cargo  
154    attachment to both the interior and exterior of VLPs [21,30]. In a recent study, the SpyCatcher  
155    domain was inserted into an exterior loop region of the Hepatitis B Virus (HBV) VLP and the

156 SpyTag domain to the cargo protein, yeast cytosine deaminase [30]. The researchers also  
157 simultaneously appended targeting peptides to the exterior of their cargo-loaded VLP via the  
158 same SpyCatcher/SpyTag, and performed cellular delivery experiments that demonstrated the  
159 effectiveness of these modified HBV VLPs at killing cancer cells. Additionally, the P22 scaffolding  
160 protein was engineered to create a protein-protein interaction with therapeutic enzyme cargo that  
161 catalyze important steps in the GSH pathway [31]. These enzymes were genetically fused to the  
162 N-terminus of the scaffolding protein that is used to form the P22 VLP and were encapsulated by  
163 co-expression of the capsid protein and the enzyme scaffold fusion. The resulting enzyme-  
164 encapsulating P22 VLPs were effective in the treatment for GSH-deficient cancer cells in *in vitro*  
165 studies. These examples illustrate the utility of protein-protein interactions in loading VLPs with  
166 relevant cargo or targeting groups.

167 In addition to engineered protein-protein interactions, researchers can leverage a VLP's  
168 natural affinity for negatively charged molecules to encapsulate and deliver nucleic acids [32].  
169 VLPs are derived from viruses that use a protein exterior to encase their nucleic acid genomes,  
170 so there is an inherent affinity for negatively charged nucleic acids within every VLP. Taking  
171 advantage of this affinity typically requires incubating disassembled capsid proteins with  
172 therapeutic nucleic acids and stimulating re-assembly of the VLPs with encapsulated nucleic acid  
173 cargo. Cowpea Chlorotic Mosaic Virus (CCMV) VLPs were designed to encapsulate silencing  
174 RNA and anti-sense oligonucleotides in this way [33,34]. Cellular delivery experiments with CCMV  
175 VLPs loaded with RNA showed that treatment efficacy improved when using a VLP as compared  
176 to free RNA. Electrostatic interactions were leveraged to form theranostic, therapeutic and  
177 diagnostic Q $\beta$  VLPs in which the therapeutic cargo included RNA and the imaging components  
178 were fluorescent proteins [35,36]. These VLPs conferred delivery of RNAi downregulating  
179 expression of DNA repair mechanisms that made treatment with a chemotherapeutic more  
180 effective in mice brain tumor xenograft models. As these examples show, leveraging electrostatic

181 interactions of the VLP interior is an efficient way to load nucleic acids into VLPs, and can be used  
182 in conjunction with other methods to alter VLP disassembly and cargo delivery.

183 **Engineering Cargo Release and Activation from VLPs**

184 A key feature of VLPs is the programmability of their cargo release or activation. Cargo is  
185 sometimes modified to become compatible with other nanoparticle delivery vehicles, but these  
186 modifications can adversely affect the cargo's therapeutic function after entering the diseased  
187 cells. Additionally, cargo can be effective in killing cells, but are too dangerous for widespread  
188 delivery into the body. Due to the options for cargo association, VLPs overcome both challenges,  
189 offering a method to deliver toxic cargo specifically to cells while retaining therapeutic efficacy.

190 VLP-cargo interactions can be engineered so that drug payloads are released in response  
191 to certain stimuli (e.g., pH, proteolytic enzyme presence) in a local environment of interest. For  
192 example, plant-derived Physalis Mottle Virus (PhMV) VLPs were investigated for pH mediated  
193 release of chemotherapeutic drugs [37,38]. A recent study focused on chemically conjugating a  
194 prodrug derivative of doxorubicin to the interior of PhMV VLPs, forming a pH responsive  
195 hydrazone bond to the VLP [37]. In a separate experiment, the same research group conjugated  
196 the drug cisplatin to the VLP [38]. Both experiments showed cargo release after incubation at pH  
197 5.2 for multiple hours. Tumor xenograft mice injected with loaded PhMV VLPs had significantly  
198 improved survival when compared to free doxorubicin or cisplatin. VLPs can also be used to  
199 deliver cargo that is activated upon enzyme-mediated release. For example, VLPs that deliver  
200 Cas9-sgRNA ribonucleoproteins include a protease-cleavable linker between the Cas9 cargo and  
201 Gag structural protein [39,40]. Cleaving the Cas9 from the structural protein used to anchor Cas9  
202 to the VLP interior enables the Cas9-sgRNA ribonucleoprotein to edit DNA. Murine Leukemia  
203 Virus (MLV) VLPs were shown to effectively deliver Cas9 proteins N-terminally fused to an MLV  
204 protease site on the C-terminus of a Gag protein and that these Cas9 fusions still effectively  
205 modify genomic DNA in primary cells, embryo cells, and in mice upon proteolysis [39]. In a

206 similarly novel way, human immunodeficiency virus (HIV) VLPs were able to deliver Cas9 cargo  
207 proteins N-terminally fused to an HIV protease site on the C-terminus of a Gag protein to localize  
208 the Cas9 into the VLP interior. The loaded HIV VLPs successfully transport Cas9 to edit the  
209 genomes of human T-cells effectively without the need for electroporation [40].

210 VLPs are also compatible with light activatable drug delivery systems. These cargo are  
211 most effective at facilitating photodynamic or photothermal therapy [41]. The therapies use small  
212 molecule photosensitizers that react to light in the 600-800 nm wavelengths to generate reactive  
213 oxygen species which then kill tumor cells. Q $\beta$  VLPs were engineered for photothermal therapy  
214 by chemically conjugating the near infrared (NIR) dye Croc (thiophene-croconaine dye) to the  
215 exterior of the particle [42]. Injecting these loaded VLPs into mice with 4T1 murine breast cancer  
216 tumor xenografts led to suppression of 70% of the tumors in mice 4T1 tumor xenograft models  
217 compared to free photosensitizer dye alone. Furthermore, Q $\beta$  VLP photothermal therapy  
218 prolonged survival time and reduced lung metastasis by 85% in mice compared to the control. In  
219 another example, TMV theranostic VLPs were engineered by chemically conjugating a Gd-  
220 dodecane tetraacetic acid contrast agent to the interior of the VLP for magnetic resonance  
221 imaging (MRI), photoacoustic imaging, and photothermal therapy [43]. These VLPs were  
222 appended with the photothermal agent polydopamine (PDA), and the Gd-TMV-PDA VLPs showed  
223 improved MRI imaging capabilities and killed prostate cancer cells after irradiation at 808 nm for  
224 as little as 3 minutes. Collectively, these studies show how VLPs loaded with cargo can be  
225 delivered to areas of interest and activated with light.

226 **CONCLUSION**

227 There have been several exciting advances in VLP engineering for drug delivery  
228 applications over the past five years. Researchers demonstrated how to modify VLPs with both  
229 genetic and chemical methods to tune platform and cargo parameters for specific delivery goals,  
230 and established platforms for engineering VLPs to have favorable size, shape, surface charge,

231 pH and thermal stability properties. By taking advantage of the inherent genotype-to-phenotype  
232 link associated with viruses, recent progress has demonstrated the power of generating libraries  
233 of VLPs to identify VLP properties with improved drug delivery properties. Moreover, whether  
234 through genetic, chemical, or electrostatic methods, VLPs can be loaded with therapeutic cargo,  
235 and the VLP–cargo interactions can be modified for responsive release or therapeutic activation  
236 Recent advances in genome editing are giving rise to a promising new application for VLPs: the  
237 delivery of Cas9 proteins for gene and cell therapy. With ever-expanding toolkits for the  
238 engineering of VLPs, we expect to see a continued shift of the field of nanoparticle drug delivery  
239 towards a more functional and effective future.

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## 242 **DECLARATION OF INTEREST**

243 The authors declare no conflicts of interest.

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